

Structural Anatomical Investigation of Long-Term Memory Deficit in Behavioral Frontotemporal Dementia

Maxime Bertoux^{a,b,*}, Emma C Flanagan^a, Matthew Hobbs^a, Amparo Ruiz-Tagle^c, Carolina Delgado^d, Marcelo Miranda^e, Agustín Ibáñez^{f,g,h,i,j}, Andrea Slachevsky^{k,l,1} and Michael Hornberger^{a,1}

^aNorwich Medical School, University of East Anglia, Norwich, UK

^bCentre de Référence Démence Rares, Pitié-Salpêtrière, INSERM UMRS 975, Paris, France

^cLaboratorio de Neurociencias, Centro de Investigación Avanzada en Educación, Universidad de Chile, Santiago, Chile

^dDepartment of Neurology, Clinic Hospital, University of Chile, Santiago, Chile

^eDepartment of Neurology, Clinica Las Condes, Santiago, Chile

^fCenter for Social and Cognitive Neuroscience (CSCN), School of Psychology, Universidad Adolfo Ibáñez, Santiago, Chile

^gInstitute of Cognitive and Translational Neuroscience (INCyT), INECO Foundation, Favaloro University, Buenos Aires, Argentina

^hNational Scientific and Technical Research Council (CONICET), Buenos Aires, Argentina

ⁱUniversidad Autónoma del Caribe, Barranquilla, Colombia

^jCentre of Excellence in Cognition and its Disorders, Australian Research Council (ACR), Sydney University, NSW, Australia

^kPhysiopathology Department, Neuroscience Department, Faculty of Medicine, University of Chile, Santiago, Chile

^lGerosciences Center for Brain Health and Metabolism, Santiago, Chile

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Abstract. Although a growing body of work has shown that behavioral variant frontotemporal dementia (bvFTD) could present with severe amnesia in approximately half of cases, memory assessment is currently the clinical standard to distinguish bvFTD from Alzheimer's disease (AD). Thus, the concept of "relatively preserved episodic memory" in bvFTD remains the basis of its clinical distinction from AD and a criterion for bvFTD's diagnosis. This view is supported by the idea that bvFTD is not characterized by genuine amnesia and hippocampal degeneration, by contrast to AD. In this multicenter study, we aimed to investigate the neural correlates of memory performance in bvFTD as assessed by the Free and Cued Selective Reminding Test (FCSRT). Imaging explorations followed a two-step procedure, first relying on a visual rating of atrophy of 35 bvFTD and 34 AD patients' MRI, contrasted with 29 controls; and then using voxel-based morphometry (VBM) in a subset of bvFTD patients. Results showed that 43% of bvFTD patients presented with a genuine amnesia. Data-driven analysis on visual rating data showed that, in bvFTD, memory recall & storage performances were significantly predicted by atrophy in rostral prefrontal and hippocampal/perihippocampal regions, similar to mild AD. VBM results in bvFTD ($p_{\text{FWE}} < 0.05$) showed similar prefrontal and hippocampal regions in addition to striatal and lateral temporal involvement. Our findings

¹These authors contributed equally to this work.

*Correspondence to: Dr. Maxime Bertoux, Centre Mémoire de Ressources et de Recherche, Hôpital Roger Salengro, CHRU de Lille, Lille, France. E-mail: maxime.bertoux@cantab.net.

showed the involvement of prefrontal as well as medial/lateral temporal atrophy in memory deficits of bvFTD patients. This contradicts the common view that only frontal deficits explain memory impairment in this disease and plead for an updated view on memory dysfunctions in bvFTD.

Keywords: Alzheimer's disease, amnesia, behavioral frontotemporal dementia, hippocampus

INTRODUCTION

Behavioral variant frontotemporal dementia (bvFTD) is the second most prevalent type of early onset dementia after Alzheimer's disease (AD) [1]. Despite a characteristic behavioral symptomatology, bvFTD could frequently be misdiagnosed as AD and, in clinical contexts where amyloid biomarkers cannot be sought, clinicians often rely on memory assessment for the differential diagnosis between both diseases.

Episodic memory impairment is indeed the hallmark of typical AD and is not contemplated as a possible clinical presentation of bvFTD in the current diagnostic criteria [2, 3]. However, memory impairments in FTD have been demonstrated through many past works. Originally, three of the five patients initially described by Arnold Pick suffered from episodic memory disturbances. Additionally, genuine amnesia in FTD was consistently observed in the early cases described in the last-century's scientific literature as well as in the more systematic observations that followed (for a review, see [4]). These findings seem to have been relatively ignored until a recent group study reported severe memory impairment in bvFTD [5]. Using the Free and Cued Selective Reminding Test (FCSRT) to investigate the different memory processes and supporting the patients' clinical diagnoses with biological evidence, a following study showed that half of bvFTD patients could present with a genuine amnesia characterized by encoding, storage and consolidation deficits while the remaining patients presented a decrease of spontaneous recall that normalized with cueing [6]. This identification of two distinct cognitive profiles, namely amnesic-bvFTD and non-amnesic-bvFTD [6], has recently been confirmed in an independent study [7]. In fact, during the past years, a growing number of studies have provided various findings of true memory dysfunctions in bvFTD, with patients having been shown to exhibit a wide range of memory difficulties such as in face recognition, object memory [8], prospective memory [9], episodic future-thinking [10], autobiographical memory [11], orientation [12], and word-list recall.

In particular, word-list based memory assessment, the most common form of memory evaluation in the field of neurodegeneration, has constantly shown evidence of variable memory impairment in bvFTD over the last years. Importantly, this poor discrimination power has been shown independently of the test used, such as with the Rey Auditory Verbal Learning Test (RAVLT) [5, 13–16], the California Verbal Learning Test (CVLT) [17, 18], the FCSRT [6, 7, 19], or others [20].

Taken together, these findings show that an important overlap between bvFTD and AD is consistently observed in neuropsychological studies of memory. The recently described bimodal profile of bvFTD patients (i.e., amnesic and non-amnesic presentation) explains why mean memory scores can be statistically different between AD and bvFTD at a group level (e.g., [19, 21]), but not at an individual level, therefore lacking clinical utility in the differential diagnosis of both diseases.

Beyond the psychometric ability of the FCSRT to distinguish bvFTD from AD or not is the topic of its neural correlates in bvFTD. Past structural imaging studies have indeed only been conducted in AD [22] or focused on other memory tests [23–26]. Despite evidence for bilateral hippocampal atrophy in bvFTD [24, 27, 28], a common view is still that executive dysfunctions or prefrontal atrophy explains memory deficit in bvFTD [29]. Although recently contradicted by data-driven evidences [30], this hypothesis has justified the use of the FCSRT to delineate executive from genuine memory deficits in bvFTD and AD, respectively. However, anatomical and neuropsychological data [6, 24, 27, 28, 30] suggest a hippocampal involvement in bvFTD memory dysfunctions as well as the presence of a genuine memory impairment.

This study aims to identify the structural anatomical markers of episodic memory impairment in bvFTD as assessed by the FCSRT. Imaging explorations were conducted using a two-step procedure. First, a visual rating of the atrophy of 98 scans from two centers was conducted in bvFTD, AD, and controls, a procedure close to the neurological clinical practice. We included a group of AD patients because this disease is the most frequent differential diagnosis

of bvFTD and because amnesia is a clinical characteristic of typical AD. The relationship between atrophy and memory performance was then investigated with data-driven methods. Secondly, we used a voxel-based morphometric statistical approach in a subgroup of bvFTD patients and controls from the same center.

METHODS

Participants

A total of 98 participants were included in this study, including 35 probable bvFTD patients, 34 patients with AD, and 29 healthy, aged controls. We included bvFTD patients with memory impairment if other core diagnostic criteria were present [3]. Patients with bvFTD were selected from the database of the Memory and Alzheimer Institute of the Pitié-Salpêtrière Hospital in Paris, France ($n = 23$) and through the Cognitive Neurology and Dementia Unit of the Hospital del Salvador, University of Chile ($n = 12$). Of these 35 patients who received a clinical diagnosis of bvFTD on the basis of clinical, cognitive and imaging examinations (showing evidence of frontal and/or temporal atrophy at the MRI and/or hypometabolism at the single-photon emission computerized tomography), 31% ($n = 11$) had additional biological evidences supporting the clinical diagnosis through non-AD cerebrospinal fluid measures of phospho-tau, total-tau, and amyloid- β levels. A group of 35 patients with AD were included from the Cognitive Neurology and Dementia Unit (Chile) according to McKhann et al. [31] criteria. All underwent a cognitive examination and a T1 MRI. One patient was excluded because of significant movement that blurred the MRI examination resulting in a group of 34 patients. From an initial sample of 35 controls, we retained 29 of them. All were volunteers at the Cognitive Neurology and Dementia Unit (Chile). They underwent a neuropsychological examination and a MRI. On the basis of these examinations, we excluded 6 controls with abnormal cognitive examination or significant vascular signs. All patients were followed for at least 12 months and performed another cognitive assessment at 6, 12, or 18 months. The clinical progression of the patients included did support the initial clinical diagnosis made. All participants underwent a neuropsychological examination, assessing memory, executive functions, verbal abilities, and attention (see Supplementary Table 1). AD patients underwent

the Clinical Dementia Rating scale [32]; 14 patients had questionable dementia ($CDR = 0.5$), 15 were at a moderate stage of the disease ($= 1$), and 5 at a severe stage ($CDR = 2$). CDR data were not available for bvFTD patients.

Exclusion criteria included clinically significant vascular lesions (Fazekas scale with a score >2). FLAIR sequences were available for all controls, ADs, and most of bvFTD. For those patients without a FLAIR sequence, we also considered that any history of stroke or any sign of infarcts on T1 images were exclusion criteria. In any case, the fulfilment of the NINDS-AIREN criteria for vascular disease or the NINDS-AIREN imaging criteria was an exclusion criterion. Other exclusion criteria were missing cognitive data, concomitant motor-neuron disease, alcoholism, absence of T1-MRI or blurred MRI because of significant movements; atypical clinical, and imaging evolution compatible with the diagnostic of non-progressive bvFTD; atypical evolution not in accordance with initial diagnosis (i.e., predominance of language impairments, abrupt cognitive deterioration, cognitive improvement or fluctuation).

The Ethics and Scientific Committees of the East Metropolitan Health Service, Chile University (Chile) approved the recruitment and testing of participants whom all provided written informed consent. Biological and clinical data of French patients were collected during the routine clinical workup and were retrospectively extracted for the purpose of this study. Thus, according to French legislation, explicit informed consent was waived. However, the regulation concerning electronic filing was followed, and both patients and their relatives were previously informed that individual data could be used in retrospective clinical research.

Assessment of memory

All participants underwent the Free and Cued Selective Reminding Test (FCSRT), a memory test based on a semantic cueing method that controls for effective encoding of 16 unrelated words and facilitates retrieval by this semantic cueing. Immediate cued recall was tested in a first phase, to control for encoding (Encoding score). Then, the memory phase was performed in three successive trials, each trial including a free recall attempt (consisting of spontaneous recall of as many items as possible during 2 min) then a cued recall attempt, using an aurally presented semantic category for items that were not spontaneously retrieved by the patients. The same

semantic cues given during the initial encoding stage were used. These phases provided a free recall score and a cued recall score (the sum of both being the total recall score). We computed a percentage of sensitivity to cues. Following a delay of 30 min, a final recall trial was performed, providing free and cued delayed recall scores. The FCSRT age, sex, and educational level adjusted normative data were considered to classify participants as being amnestics or non-amnestics. In more detail, total recall scores equal to or below the 10th percentile were considered as abnormal and reflecting a genuine amnesia.

Imaging acquisition and analyses

All participants underwent a whole-brain T1-weighted examination. In Paris, this examination was performed with a 1.5 Tesla GE-Medical Systems Signa Excite ($n = 12$ bvFTD) or with a 3 Tesla GE-Medical Systems Signa HDx ($n = 11$ bvFTD) MRI scanners. In Santiago, the examination was performed with a 1.5 Tesla Siemens scanner ($n = 34$ AD) or with a 1.5 Tesla Phillips Intera scanner ($n = 12$ bvFTD and 29 controls). Importantly, as Chilean controls and bvFTD participants underwent the examination from the same machine with identical parameters, VBM analyses were restricted to these participants. Twenty controls were then selected to match the bvFTD participants on age. The 1.5 Tesla Phillips Intera scanner is equipped with a standard head coil. A T1-weighted spin echo sequence acquired parallel to the plane connecting the anterior and posterior commissures and covering the whole brain was used to generate 120 contiguous axial slices (repetition time = 2300 ms; echo time = 13 ms; flip angle = 68° ; field of view = rectangular 256 mm; matrix size = 256×240 ; slice thickness = 1 mm; isotropic voxel size $1 \times 1 \times 1$ mm).

Visual atrophy ratings

Two raters (EF, MH), blind to the clinical diagnoses, rated T1 coronal MRIs. Previously, all textual information displayed on the MR scans was removed and the coronal slices were exported into standardized and anonymous video files. The ratings of the scans involved reviewing 6 standardized coronal MRI slices: the first one slice before seeing the corpus-callosum; the second at the level of the fronto-temporal junction; the third posterior to the optical chiasma when the optical nerve are distinct and not joined; the fourth at the level of the junction between

the Pons and the rest of the brain; the fifth at the level where the brainstem is detached from the rest of the brain; the sixth one slice after the posterior corpus callosum. A total of 11 regions were scored bilaterally; on the first slice the dorso-lateral, medial and ventro-median prefrontal cortices; on the second slice the anterior cingulate and polar temporal cortices; on the third the amygdala as well as the perirhinal and entorhinal cortices; on the fourth, the anterior hippocampus; on the fifth, the posterior hippocampus; on the sixth, the precuneus. Atrophy within each region was rated on a 5-point Likert scale ranging from 0 to 4 (0 = normal; 1 = borderline appearances, possibly normal; 2 = definite atrophy present; 3 = marked atrophy; 4 = severe atrophy). The raters were first trained (two sessions) on an independent set of 29 MR scans that included different dementia populations with varying degrees of severity, as well as healthy controls. Inter-rater reliability between the two raters was assessed through inter-class correlation. Coefficients were significant and good (average Cronbach's alpha = 0.744).

Statistics

Using SPSS 20 (SPSS, Chicago, IL, USA), one-way ANOVA were conducted to compare demographic, neuropsychological, and imaging data across groups (with age as a covariate for the two last dimensions), followed by Bonferroni *post hoc* tests. Binary logistic regressions with Enter method were computed for atrophy ratings. As a second step, all brain regional ratings were entered into an Automated Linear Model (ALM) as predictors of FCSRT Free recall and total recall scores separately. Basically, in a heterogeneous group of potential predictor variables, ALM will find the best way to predict targeted values on a single scaled outcome variable. ALM overcomes the limitations of traditional regression techniques [33] and involves automatic data preparation and variable selection.

Voxel based morphometry analyses

These analyses were performed on 3D T1-weighted sequences that were acquired with the same machine in Santiago, Chile. Images were analyzed with FSL-voxel based morphometry (VBM), a VBM analysis [34, 35] which is part of the FSL software package (<http://www.fmrib.ox.ac.uk/fsl/fslvbm/index.html>) [36]. First, tissue segmentation was carried out using FMRIB's automatic

segmentation tool (FAST) [37] from brain-extracted images. The resulting grey-matter partial volume maps were then aligned to the Montreal Neurological Institute standard space (MNI152) using the non-linear registration approach using FNIRT [38, 39], which uses a b-spline representation of the registration warp field [40]. Default settings were used for these steps, but quality control for each scan was performed and slight alteration of the search space for the segmentation algorithm was performed for some patients with severe atrophy. A study specific template was created in which bvFTD and control participants were equally represented, following which the native grey matter images were re-registered non-linearly to this template. The registered partial volume maps were then modulated (to correct for local expansion or contraction) by dividing them by the Jacobian of the warp field. Importantly, the Jacobian modulation step did not include the affine part of the registration, which means that the data are normalized for head size as a scaling effect [41]. The modulated images were then smoothed with an isotropic Gaussian kernel with a SD of 3 mm.

VBM analyses were conducted on 20 controls and 12 bvFTD patients who did not differ on age (68.85 and 68.27 years, respectively, $p > 0.84$) and education level (13.55 and 13.67 years respectively, $p > 0.95$). VBM analyses were run on a subsample of participants that had the same imaging protocol, as a validation of the visual ratings of regional atrophy. AD patients were not included in these analyses because the acquisition of the MRI for those patients was performed with a different machine.

A voxel-wise general linear model (GLM) was applied and permutation-based non-parametric

testing was used to form clusters with the Threshold-Free Cluster Enhancement (TFCE) method [42], tested for significance at $p < 0.05$, corrected for multiple comparisons via Family-wise Error (FWE) correction across space. Age was added as a nuisance variable in the GLM.

First, a two-sample t -test was run to contrast patients and controls in order to identify specific regions atrophied in patients. Then, we performed a correlation analysis between grey matter intensity and FCSRT scores in bvFTD only (using a specific template with bvFTD patients only). Each FCSRT score was entered as a covariate of interest in the GLM. For statistical power, a covariate only statistical model with a positive t -contrast was used, providing an index of association between grey matter intensity and performance on the FCSRT. Anatomical locations of significant results were overlaid on the MNI standard brain. Anatomical labelling was determined with reference to the Harvard-Oxford probabilistic cortical atlas.

RESULTS

Demographics and clinical data (Table 1)

Control participants did not differ from AD and bvFTD on age (all p 's > 0.05), but AD patients were significantly older than bvFTD patients ($p = 0.001$). The three groups did not differ on education level. MMSE performance followed an expected profile with controls scoring significantly higher than bvFTD patients ($p < 0.001$), who in turn scored significantly higher than AD patients ($p = 0.001$). In addition, the neuropsychological assessment revealed an

Table 1
Demographics, clinical, and memory performances for controls, AD, and bvFTD patients and percentage of amnesic participants according to the FCSRT normative data

	Controls ($n = 29$)	AD ($n = 34$)	bvFTD ($n = 35$)
Demographics & clinical data			
Age (y)	71.72 (5.8)	74.11 (6.7) [§]	67.17 (9.3) [§]
Education (y)	12.86 (4.0)	10.79 (4.8)	12.14 (5.2)
MMSE	28.28 (1.5)*,†	21 (4.7) ^{¶,§}	24.23 (3.9)*,§
Episodic memory assessment (FCSRT)			
Encoding (/16)	15.14 (0.9)*,†	9.29 (4.4) [¶]	14.35 (2.3)*
Free recall (/48)	28.35 (6.6)*,†	8.06 (6.77) ^{¶,§}	16.83 (8.06) ^{¶,§}
Total recall (/48)	44.86 (3.4)*,†	22.26 (13.2) ^{¶,§}	37.74 (11.4) ^{¶,§}
Sensitivity to cues (%)	85.45 (14.1)*,†	39.08 (26.0) ^{¶,§}	71.06 (26.5) ^{¶,§}
Delayed total recall (/16)	15.34 (0.9)*,†	6.18 (5.0) ^{¶,§}	12.77 (4.1) ^{¶,§}
Amnesic participants (%)	0%	85%	43%

Mean (Standard deviation). MMSE, Mini-Mental State Examination; FCSRT, Free and Cued Selective Reminding Test. *Significant difference ($p < 0.05$ corrected) between bvFTD and controls; [§]Significant difference ($p < 0.05$ corrected) between AD and bvFTD; [¶]Significant difference ($p < 0.05$ corrected) between AD and controls.

388 impairment of abstract reasoning, cognitive inhibition, 414
 389 attention, and verbal fluency abilities in both 415
 390 AD and bvFTD (see Supplementary Table 1 for more 416
 391 details). 417

392 Episodic memory impairment (Table 1)

393 FCSRT scores showed that controls performed sig- 414
 394 nificantly better than bvFTD (all p 's < 0.05) except for 415
 395 the encoding score ($p = 0.626$). However, bvFTD per- 416
 396 formed significantly better than AD (all p 's < 0.001) on 417
 397 all scores (free recall, total recall, sensitivity to cues, 418
 398 and delayed recall), except encoding score. 419

399 When taking the FCSRT normative data to iden- 420
 400 tify amnesic patients, 85% of AD and 43% of 421
 401 bvFTD were considered to be amnesic. There was 422
 402 no difference in the proportion of amnesic patients 423
 403 in the Chilean and French subgroups (41.7% and 424
 404 43.5%, respectively). Interestingly, when considering 425
 405 the FCSRT thresholds originally proposed to iden- 426
 406 tify the "amnesic syndrome of the medial temporal 427
 407 type" [43], we obtained a strict identical classifica- 428
 408 tion of patients. Mean percentile rank and ranges are 429
 409 available in Supplementary Table 2. 430

410 Regional atrophy, visual ratings (Fig. 1)

411 Raters' average scores of atrophy for each region 432
 412 were compared across the groups. When consider- 433
 413 ing the three groups, the ANOVA showed significant 434

414 differences in all brain regions rated (all p 's < 0.05). 415
 416 *Post-hoc* two-by-two Bonferroni comparisons were 416
 417 then performed. Compared to controls, AD showed 417
 418 more atrophy in all regions (all p 's < 0.05) with the 418
 419 exception of the left dorsolateral prefrontal cortex. 419
 420 Compared to controls, bvFTD showed more atrophy 420
 421 in all regions (all p 's < 0.05) except in the bilateral dor- 421
 422 sal prefrontal cortex and in the left precuneus, where 422
 423 only statistical trends were observed. 423

424 AD had more atrophy than bvFTD in the left 424
 425 anterior ($p < 0.005$; Cohen's $d = 0.096$) hippocampus 425
 426 and in the left and right posterior hippocampus 426
 427 ($p = 0.008$; $d = 0.126$ and $p = 0.01$; $d = 0.039$, respec- 427
 428 tively). These effect-sizes were small. However, 428
 429 bvFTD had more atrophy than AD in the right 429
 430 ventro-medial ($p = 0.01$; $d = 0.626$) and right medial 430
 431 prefrontal cortices ($p = 0.0001$; $d = 0.949$). By con- 431
 432 trast, these effect-sizes were medium and large. 432

433 Logistic regressions were conducted on the raters' 433
 434 average scores of atrophy in the regions iden- 434
 435 tified during the direct comparison between bvFTD 435
 436 and AD. The left anterior hippocampus reached an 436
 437 accuracy of 66.7% to predict the correct diagnosis 437
 438 of patients (i.e., AD identified as AD and bvFTD 438
 439 identified as bvFTD). The right anterior and pos- 439
 440 terior hippocampus reached an accuracy of 62.3% 440
 441 and 63.8%, respectively. In the frontal regions, the 441
 442 right OFC and the right mPFC reached an accu- 442
 443 racy of 66.7% and 69.6% to predict the correct 443
 444 diagnoses. 444

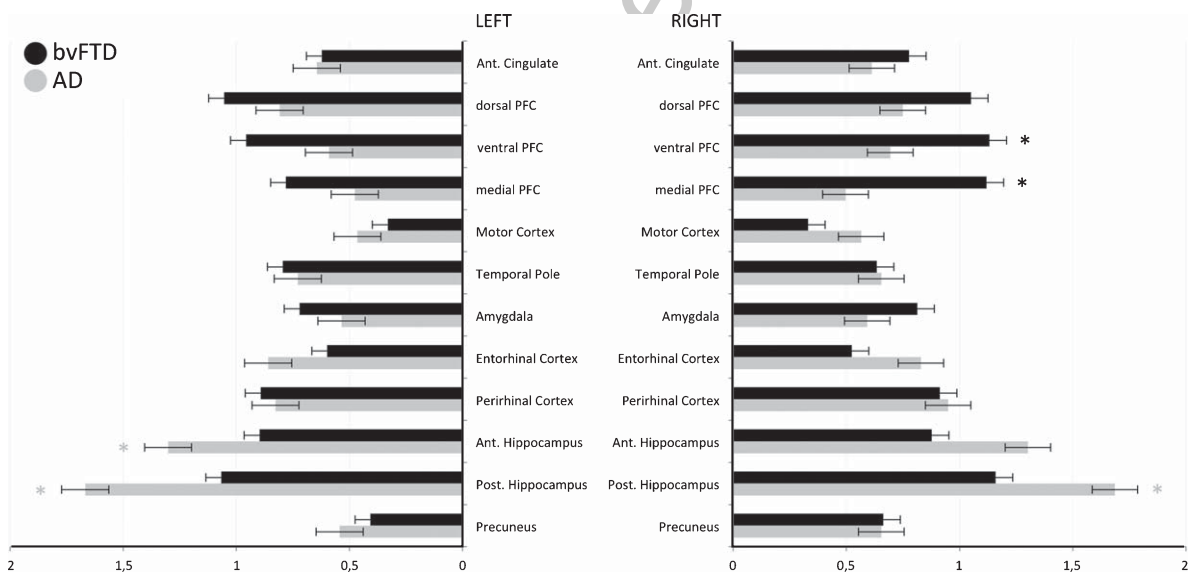


Fig. 1. Graphic representation of the differences (and error bars) between AD (grey) and bvFTD (black) patients and controls atrophy (taken as a baseline) in all left and right regions of interest. Asterisk represent either AD > bvFTD (grey) or bvFTD > AD (black) significant difference (corrected for multiple comparison). Ant, anterior; Post, posterior; PFC, prefrontal cortex.

Automated linear model

In this step, all brain regional ratings were entered into an ALM aiming to identify the significant predictors of FCSRT free recall and total recall scores separately. One separate ALM was run for each patients group.

FCSRT free recall

In AD, the model reached an adjusted R^2 of 49.5% with an information criterion of 130.799 and identified the bilateral medial prefrontal cortex as a significant predictor of the FCSRT Free Recall score, although this result failed to survive after correction for multiple comparisons. In bvFTD, the model failed to identify any significant predictor.

FCSRT total recall

In AD, the model reached an adjusted R^2 of 27% with an information criterion of 169.822 and identified the bilateral mPFC and the left dorsolateral as significant predictors of the FCSRT total recall score, but these regions failed to remain significant after correcting the model for multiple comparisons. In addition, a visual inspection of the linear regression plot between predicted and actual values showed two separate subgroups corresponding to patients with severe amnesia (FCSRT total recall <20) and patients with moderate amnesia (FCSRT total score >20). A linear curve was only evident in the last subgroup. We then decided to distinguish AD patients as being in the mild or moderate/severe stage of the disease using the GDS as an independent criterion and ran the ALM again on the AD subgroups identified by the GDS score separately. In the mild AD group ($N = 14$),

the model reached an adjusted R^2 of 96.9% with an information criterion of 46.802 and identified the left amygdala, the right OFC, the left mPFC, the left perirhinal and entorhinal cortices, and the right posterior hippocampus as significant predictors. All these regions remained significant after correction. In the moderate/severe AD group ($N = 20$), the model failed to identify any significant predictor.

In bvFTD, the model reached an adjusted R^2 of 59.9% with an information criteria of 150.915 and identified the bilateral perirhinal cortex, the bilateral OFC, the left anterior hippocampus, the right posterior hippocampus, and the left mPFC as significant predictors of the FCSRT total recall score. After correction, the left perirhinal and right ventromedian cortices as well as left anterior hippocampus remained significant.

Voxel based morphometry (Figs. 2 and 3)

All VBM results were obtained at a threshold of $p < 0.05$ after FWE correction. We only report clusters with a conservative cluster extent threshold of 100 contiguous voxels. Peak coordinates, cluster sizes, and t -values for each result are reported in Supplementary Table 3. Comparison between bvFTD and controls showed an important cluster (66148 voxels) encompassing large parts of the dorsal and ventral medial frontal cortex, regions of the dorsolateral frontal cortex, anterior and posterior insula, most of the regions of the striatum, the thalamus, polar regions of the temporal lobe, middle temporal gyrus, amygdala and hippocampus bilaterally, as well as regions within the parietal and occipital lobe, mostly lateralized on the right side and a bilateral involvement of the cerebellum. Another large cluster (1693 voxels) was also found in the right cerebellum.

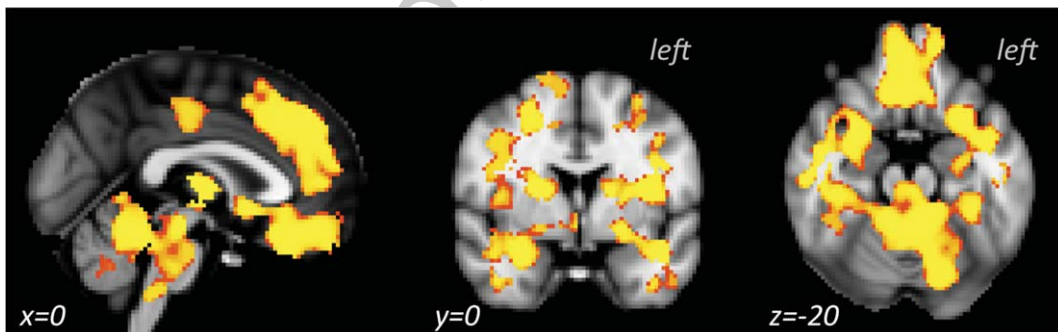


Fig. 2. Atrophy observed in the bvFTD group, resulting from the VBM contrast between controls and bvFTD patients at $p_{FWE} < 0.05$ (controlled for age).

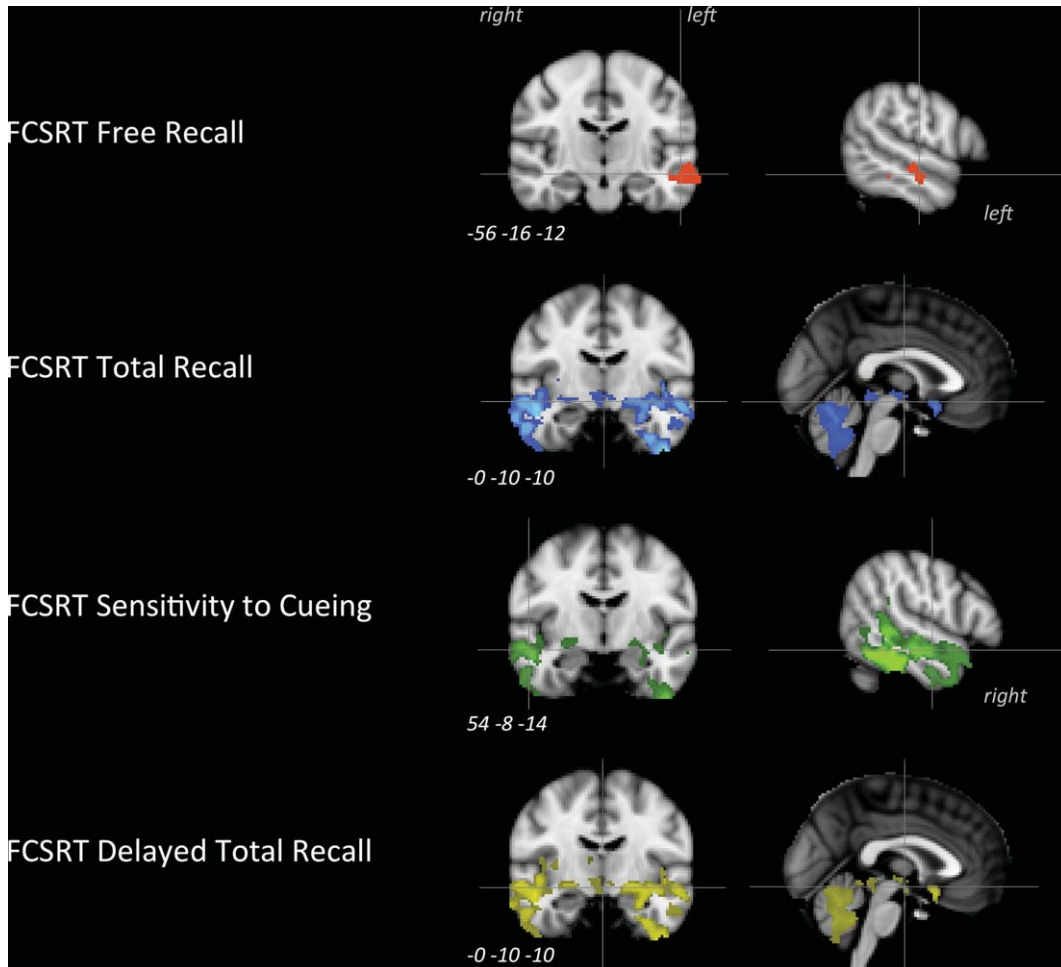


Fig. 3. Results of the correlation between grey-matter intensity in bvFTD and FCSRT Free (red), total (blue), and delayed total (yellow) recall scores as well as sensitivity to cueing (green) at $p_{FWE} < 0.05$ (with age as a nuisance variable). MNI coordinates (x, y, z) are specified for each pair of views (coronal and sagittal).

510 *Correlation with FCSRT free recall in bvFTD*

511 Results showed two clusters (266 and 138 voxels,
512 respectively) in the left middle temporal gyrus.

513 *Correlation with FCSRT total recall in bvFTD*

514 A large cluster (19498 voxels) correlated with the
515 FCSRT total recall score and encompassed the ventral
516 mPFC in its subgenual portion, the anterior puta-
517 men. and nucleus accumbens within the striatum, the
518 insula, large parts of the polar and lateral regions of
519 the temporal lobes bilaterally, bilateral median cere-
520 bellum (regions V, IX, vermis VIII), bilateral lateral
521 cerebellum (regions VI and Crus I) as well as the left
522 amygdala, anterior hippocampus, perihippocampus,
523 and ventral temporal regions.

524 *Correlation with FCSRT sensitivity to cueing in*
525 *bvFTD*

526 Sensitivity to cueing correlated with a first cluster
527 (6874 voxels) within the right temporal lobe includ-
528 ing the right polar temporal regions extending to the
529 anterior portion of the superior temporal gyrus and
530 to large parts of the middle temporal gyrus. This
531 cluster also included posterior portions of the infe-
532 rior temporal gyrus (including its most ventral parts)
533 as well as right putamen and amygdala. A second
534 cluster (3466 voxels) was found in the left tem-
535 poral lobe encompassing the temporal pole in its
536 superior regions, anterior and posterior regions of
537 the inferior temporal gyrus, posterior regions of the
538 middle temporal gyrus, and the left amygdala and
539 hippocampus.

Correlation with FCSRT delayed total recall in bvFTD

Delayed total recall score correlated with a large cluster (22788 voxels) that was highly similar to the cluster identified with the correlations with FCSRT total recall score. The same regions were involved, with ventral prefrontal regions extended more anteriorly, beyond the sole subgenual cortex.

DISCUSSION

The main goal of the study was to identify, in bvFTD, the structural grey-matter correlates of episodic memory dysfunctions as measured by the FCSRT. Past neuroimaging studies in the field did rely on other memory tests, which are different in their construct as they do not allow to control for encoding or to delineate free and cued recalls. To our knowledge, only one previous imaging study did investigate the neural correlates of FCSRT scores in bvFTD but through metabolic imaging [7].

In accordance with previous works [6, 7, 28], we first observed that 40% of bvFTD patients had abnormal memory performance characterized by poor retrieval, decreased storage abilities, and low sensitivity to semantic cues. The imaging results showed a lateral temporal involvement related to the free recall score of the test, a large fronto-insulo-striato-cerebello-temporal correlation with FCSRT's total and delayed total recall scores, and a lateral-polar temporal involvement related to the sensitivity to semantic cues during the test. In more detail, the bilateral ventro-median prefrontal cortex (vmPFC), the left hippocampus, left perihippocampal regions, and the bilateral temporal poles in bvFTD showed a significant relationship with the total and delayed total recall of the FCSRT, two measures of memory storage and consolidation. By contrast, regions identified in mild AD were the left amygdala, right vmPFC, left mPFC, left anterior perihippocampal regions, and the right posterior hippocampus. These regions were identified during the first step of our study, based on a visual rating of each patient's scan atrophy, blinded to diagnosis. In this step, all measures of atrophy were entered in an automated linear model (ALM) used to identify the key regions that significantly predicted the FCSRT total recall performance in each group. In a second step, VBM correlation analyses with FCSRT performance in bvFTD identified the same regions as the ALM did, alongside a larger fronto-insulo-temporal network.

In contradiction with the common conception that memory deficits in bvFTD are solely attributed to prefrontal dysfunctions, the correlation between the degree of hippocampal atrophy and memory storage/consolidation deficits was highly expected in our study. Many converging works have indeed shown the role of these regions during encoding and consolidation of episodic memories [see 44] and atrophy of the left hippocampus in particular has been found to correlate with the FCSRT total recall score in AD [22]. Here we show that, similarly to what is observed in AD, the atrophy of the hippocampal/parahippocampal regions is involved in the true memory deficit observed in bvFTD.

Another region identified in our results is the vmPFC. Although its role in autobiographical memory is well known, especially for emotional or self-related items [45, 46], its role in episodic memory as assessed by word-list based tests remains unclear. This region is richly interconnected with multiple structures within the Papez circuit as well as limbic and paralimbic regions involved in memory processing [47]. Its connections with the temporal pole via the ventral branch of the uncinate fascicle are of crucial interest in the context of memory retrieval. This regional combination was found to trigger the retrieval of episodic and factual events [48, 49], and OFC was specifically found to be of critical usefulness during the encoding phase and for applying organizational strategies during the retrieval phase of the CVLT [50]. One interesting interpretation could nicely explain the involvement of the vmPFC during the FCSRT retrieval phases. A recent lesion study showed that impairment of mnemonic monitoring and control was associated with lesions of the subcallosal segment of the vmPFC, the same region found in our VBM results [51]. According to these authors, similarly to the way valuation mechanisms integrate various aspects of a choice into a single subjective value, mnemonic monitoring processes integrate information to subjectively assess the likelihood of a memory being correct or not. Our findings could thus reflect a critical involvement of the atrophy of this region to a failed or imperfect second-order confidence, choice or answer [51]. In other words, the correlation between the vmPFC and FCSRT measures could represent a failed judgement about the accuracy of the given answers related to the semantic cues.

The atrophy of the temporal pole was also correlated to storage and consolidation deficits in our study. Similarly to the vmPFC and hippocampus,

641 this region was already found to be covaried with
642 memory performance in bvFTD [16] as well as in
643 AD [52]. Clinically based investigations as well as
644 computational models strongly support the critical
645 role of the temporal pole in semantic cognition, act-
646 ing as an amodal “semantic hub” [53]; however, the
647 role of the temporal pole in verbal memory process-
648 ing is far less known. Its involvement in episodic
649 memory could only be indirectly suggested by prior
650 studies that have shown how semantic impairment
651 may contribute to deficits in verbal episodic mem-
652 ory or during learning (e.g., [54, 55]). However, one
653 recent work has showed a direct link between tem-
654 poral pole and episodic verbal memory by showing
655 the impact of temporal pole lesion in false memory
656 [56]. In more detail, this study demonstrated that the
657 temporal pole contains partially overlapping neural
658 representation of related concepts, with the extent of
659 this neural overlap reflecting the semantic similarity
660 between those concepts. As the FCSRT total recall
661 depends on the ability to rely on a given semantic
662 cue (e.g., profession) to retrieve a previously learned
663 word (e.g., plumber), it is easy to understand that
664 providing a semantic cue could open the door to
665 false memories which are closely related to the same
666 semantic concept (e.g., electrician), thus explaining
667 the correlation between temporal pole’s atrophy and
668 the FCSRT total recall score decrease as well as the
669 decrease of sensitivity to semantic cues. Further qual-
670 itative studies analyzing the type of errors committed
671 during memory testing by patients could help to con-
672 firm that the same mechanism is indeed at play in this
673 context.

674 Among the other regions involved in memory
675 deficits in bvFTD, our analyses identified the lat-
676 eral temporal regions, insula, and cerebellum that
677 were correlated to memory storage and consoli-
678 dation performance. Strong evidence suggests that
679 lateral temporal regions are also involved in seman-
680 tic processing and that this region carries the neural
681 representation of concrete words in particular [57].
682 Investigations related to the role of the insula in ver-
683 bal memory are rare and further studies are needed
684 to fully understand its role in memory processing.
685 Although our data cannot directly address this ques-
686 tion, Mesulam and Mufson [58] suggested that insular
687 connections provide a critical anatomical substrate
688 for memory functions and lesion data have supported
689 this assumption [59]. Median and lateral subregions
690 of the cerebellum have already been found to corre-
691 late with memory performance (and other cognitive
692 functions) in bvFTD [60] with lobules VII and the

693 vermis emerging as specific correlates to memory
694 deficit. These results support the concept of a cortical-
695 cerebellar network to support memory processing in
696 bvFTD [61] and highlight the necessity to investi-
697 gate further the cerebellar contribution in cognitive
698 processing.

699 Although this study is the first to investigate the
700 structural grey-matter correlates of the FCSRT per-
701 formance in bvFTD, a recent study focused on the
702 metabolic correlates of this test is of particular inter-
703 est [7]. To our knowledge, this study was the only
704 previous imaging study focused on FCSRT perfor-
705 mance in bvFTD, and it reported that FCSRT total
706 recall score was correlated with lower metabolism in
707 bilateral inferior temporal gyri, right uncus, and right
708 parahippocampus gyri. The same regions (minus
709 parahippocampal regions) were found to be corre-
710 lated to the total delayed recall score. Interestingly,
711 this study did not report any metabolic correlates in
712 the vmPFC or hippocampus. This absence of result
713 could be due to the inclusion of the MMSE as a
714 covariate, which integrate items assessing memory
715 encoding/retrieval and is also correlated to dis-
716 ease severity. However, the involvement of these
717 two regions together with the temporal pole was
718 reported in virtually all previous structural stud-
719 ies of memory performance in bvFTD, using visual
720 rating scale of atrophy [23, 62], VBM correlation
721 analyses [16, 25, 26], or VBM contrast in bvFTD
722 patients between high and low memory impairment
723 [24], in addition to imaging studies reporting hip-
724 pocampal degeneration in bvFTD [27, 63, 64]. Taken
725 together, these metabolic and structural findings,
726 including ours, highlight the impact of medial pre-
727 frontal and medial/lateral temporal alterations on
728 memory impairments in bvFTD.

729 The small sample size of the VBM analysis could
730 limit the interpretation of our findings. In addition,
731 the direct contrast between bvFTD and AD groups in
732 VBM has not been investigated because each group
733 was examined with different scanners, and the design
734 of our study did not allow the use of statistical proce-
735 dures that could control for this bias. Although VBM
736 analyses conducted specifically in the AD subgroup
737 identified FCSRT total recall’s correlates in the hip-
738 pocampi, retrosplenial, and subcallosal cortices, this
739 result was only obtained at an uncorrected threshold
740 and needs to be replicated in larger sample. Further
741 studies should replicate our findings in a larger sam-
742 ple, ideally with biological data that could support
743 the clinical diagnoses of the patients. These data were
744 not available for the majority of our patients, and thus

745 we cannot rule out that some bvFTD patients had an
746 underlying AD pathology (or that some AD patients
747 had FTLN pathology). In addition, future studies
748 should employ diffusion tensor imaging procedures
749 to investigate the white matter tracts that could be
750 degenerated in bvFTD and impact memory perfor-
751 mance in this disease. Our study suggests that, given
752 the role of vmPFC and temporal limbic structures in
753 memory deficits, the uncinate fasciculus, connecting
754 these structures together, could be a good candidate
755 for a region of interest approach. Another limitation
756 is that this study did not take into account the use of
757 medication that could impact cognition in patients.
758 Although this limit is common to most of the stud-
759 ies in the field, studies that specifically address this
760 question should be conducted to investigate this pos-
761 sible pharmacological impact. Finally, the absence
762 of FLAIR sequence for all participants may have led
763 to the inclusion of patients with vascular impairment
764 although our exclusion criteria may have restrained
765 this limit.

766 Despite these limitations, the good consistency
767 between visual ratings of atrophy and VBM anal-
768 yses (both relying on results corrected for multiple
769 comparisons) support the validity of our results. This
770 study thus has important implications for the under-
771 standing of memory deficits in bvFTD. In this study,
772 we showed evidences that memory storage func-
773 tions could be genuinely impaired in bvFTD and
774 that hippocampal, perihippocampal, temporal, and
775 vmPFC regions were found to correlate with these
776 deficits. In line with a recent data-mining cogni-
777 tive study [30], this contradicts the common view
778 that executive dysfunctions (and thus atrophy in dor-
779 sal/cingulate frontal regions) solely cause memory
780 deficits in bvFTD. Another important impact of this
781 study is related to the diagnostic criteria of bvFTD and
782 AD. The well-established link between hippocampus
783 atrophy and FCSRT storage difficulties has driven
784 the conceptualization of the “amnesic syndrome of
785 the hippocampal type” that have been proposed to
786 specifically help the diagnosis of typical AD [2]. By
787 contrast, the “relative preservation of episodic mem-
788 ory” is included in the revised diagnosis criteria for
789 bvFTD [3]. We believe that our results, taken with
790 the growing number of studies that showed a signifi-
791 cant proportion of bvFTD patients presenting patent
792 episodic memory impairments are now blurring the
793 line between AD and bvFTD and their clinical dis-
794 tinction [5, 6, 7, 15, 18, 24–26, 28, 30]. Despite
795 their usefulness, there is thus a necessity to revise
796 the current diagnostic criteria for bvFTD, given the

797 important proportion of amnesic-bvFTD presenta-
798 tion. Future studies on this topic should also review
799 each bvFTD patients’ clinical profile and symptoms
800 in order to check their compatibility with the current
801 revised criteria, data that were not available in the
802 present study.

803 Furthermore, this study also highlights that cur-
804 rent neuropsychological tests of memory functioning
805 may not be appropriate neither to identify the
806 impaired processes, nor to distinguish one disease
807 from another, as it was previously thought. For exam-
808 ple, the FCSRT’s free recall has long been considered
809 as a measure of executive processing of memory
810 retrieval, by contrast to total recall, considered as a
811 purest measure of memory storage. However, this
812 study and others did not retrieve any evidences
813 supporting this assumption (e.g., [16, 30]). Also,
814 beyond the group differences that can be statisti-
815 cally observed (e.g., [21]), individual performances
816 show how poor the accuracy of the FCSRT is to
817 distinguish bvFTD from AD because of the sig-
818 nificant proportion of amnesic-bvFTD patients [6,
819 7]. Finally, we believe that word-list based memory
820 assessments are not ecologically valid and should
821 be replaced by tasks more closely related to every-
822 day activities. They have been considered as a useful
823 proxy to assess episodic memory but their “episodic”
824 character is only assumed and lacks support of evi-
825 dence. Episodic recollection is supposed to imply
826 autoeetic consciousness [65], but this ability is not
827 measured in word-list based tasks and thus, these
828 tests do not comply with this “episodic” criterion [65,
829 66]. In addition, no real-life situations involve learn-
830 ing and retrieving 16 unrelated words, which is in
831 stark contrast to more ecological paradigms devel-
832 oped recently such as the supermarket task [67] that
833 may have a real potential. Current memory tests such
834 as the RAVLT, FCSRT, or CVLT also involve a strong
835 language component and are thus difficult to use
836 or to interpret in context of aphasia. Beyond mem-
837 ory assessment, our group and others have shown
838 that social cognition has good potential to distin-
839 guish bvFTD from AD, even when both diseases
840 present with a severe amnesia [68], as it critically
841 involves the mPFC [69, 70], a region selectively atro-
842 phied in bvFTD. Supporting this view, our imaging
843 results show that the mPFC was the region provid-
844 ing the better distinction accuracy between bvFTD
845 and AD. Social cognition may thus be the most
846 interesting cognitive domain to explore as it could
847 provide key elements for the distinction between both
848 diseases.

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SUPPLEMENTARY MATERIAL

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