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Bifunctional CoFe₂O₄/ZnO Core/Shell Nanoparticles for Magnetic Fluid Hyperthermia with Controlled Optical Response

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ABSTRACT

Conjugation of optical and magnetic responses in a unique system at the nanoscale emerges as a powerful tool for several applications. Here, we fabricated bifunctional CoFe₂O₄-core/ZnO-shell nanoparticles with simultaneous photoluminescence in the visible range and *ac* magnetic losses suitable for hyperthermia. The structural characterization confirms that the system is formed by a ≈ 7 nm CoFe₂O₄ core encapsulated in a ≈ 1.5 nm-thick semiconducting ZnO shell. As expected from its high anisotropy, the magnetic losses in an *ac* magnetic field are dominated by the Brown relaxation mechanism. The *ac* magnetic response of the core/shell system can be accurately predicted by the linear response theory and differs from that one of bare CoFe₂O₄ nanoparticles as a consequence of changes in the viscous relaxation process due to the effect of the magnetostatic interactions. Concerning the optical properties, by comparing core/shell CoFe₂O₄/ZnO and single-phase ZnO

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3 nanoparticles, we found that the former exhibit a broader optical absorption and
4 photoluminescence, both shifted to the visible range, indicating that the optical properties are
5 closely associated to the shell-morphology of ZnO. Being focused on bifunctional
6 nanoparticles with an optical response in the visible range and a tunable hyperthermia output,
7 our results can help to address current open questions on magnetic fluid hyperthermia.
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18 **1. INTRODUCTION**

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21 Research on magnetic nanoparticles (NPs) for biomedical applications has been continuously
22 growing in fields such as magnetic fluid hyperthermia (MFH), drug delivery, magnetic
23 separation of biomolecules and contrast agents for magnetic resonance imaging (MRI)¹.
24 MFH consists in destroying tumor cells by the localized heat generation of a colloid of
25 magnetic NPs exposed to a biologically relevant radiofrequency magnetic field and is
26 currently one of the most promising strategies to supplement traditional oncological
27 therapies²⁻⁵. In parallel, the optical labeling of MFH-suitable NPs would allow for their
28 detection through fluorescence imaging and could provide a powerful tool to evaluate the
29 NPs in biological environments^{6,7}. In this way, bifunctional core/shell NPs with both optical
30 and magnetic response are of great interest in several biomedical areas, to be used as markers
31 and magnetic drivers^{8,9}. However, in our opinion, the design of multifunctional NPs oriented
32 to MFH has not been fully explored.
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49 The successful applications of NPs require the ability to tune their properties. Besides the
50 control of the NPs' size and composition, their properties are governed by their shape,
51 morphology, interactions and long-range order, which remain an important research goal to
52 be addressed. In this way, we expect distinct magnetic and optical properties for bifunctional
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3 core/shell structures when compared to the respective properties of isolated single-phase
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5 NPs.

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7 Enormous efforts were made, and continue to be made, in order to increase the inductive
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9 heating efficiency of the NPs and to reduce the minimum dose required to achieve a
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11 therapeutic effect¹⁰⁻¹⁴. The inductive heating efficiency of the NPs, or their *ac* magnetic
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13 losses, is strongly dependent on the morphological, magnetic and rheological properties of
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15 the system, as well as on the frequency and amplitude of the external *ac* magnetic field. The
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17 magnetic losses depend on the dephasing between the external field and the relaxation of the
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19 magnetic moment, which occurs in two ways¹⁵: a magnetic relaxation associated with Néel
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21 relaxation time, depending on the magnetic anisotropy and magnetic volume, and a viscous
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23 relaxation, associated with the Brown relaxation time and depending on the viscosity of the
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25 medium and the hydrodynamic diameter. However, after such strong progress, the future of
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27 MFH may lay on engineering the NPs to achieve an optimal concentration in the tumor after
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29 a systemic injection¹⁶, without surpassing toxicity limits. The precise dose incorporated by
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31 biological systems such as organs, tissues or cells is difficult to evaluate, and the detection
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33 and quantification of the particles are still important challenges¹⁷. To overcome this
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35 difficulty, MRI can be used to detect the NPs^{1,18}, but the ideal properties of the material for
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37 MFH do not necessarily meet the requirements for a MRI contrast agent^{1,19,20}. It is known
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39 that the local concentration of NPs can be strongly modified in different environments (water,
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41 viscous media, on membranes, inside cells) or upon cellular uptake, leading to marked
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43 changes in the heating efficiency^{21,22} and in the cytotoxicity of the NPs²³. At the same time,
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45 the effects of the heat generated and transferred at a cellular and molecular level and the
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47 associated cell death pathways are still under debate²⁴⁻²⁶, including new therapies based on
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49 non-apoptotic cell death mechanisms triggered by the local heat generation in MFH
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3 experiments²⁷. Therefore, the development of bifunctional systems that may act as optical
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5 markers is worthwhile to the future development of MFH.

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7 Due to their tunable photoluminescence in the visible range and larger photostability
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9 compared to organic dyes, plasmonic NPs and quantum dots have been proposed for
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11 biological markers in the last years^{28–30} and, for example, semiconducting oxides such as
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13 ZnO or TiO₂ can be used as inorganic probes for optical labelling taking profit from their
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15 good biocompatibility^{31–33}. Multicomponent NPs have been recently proposed as well,
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17 aiming at combining the properties of distinct materials³⁴, to take advantage of the properties
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19 emerging from the interactions between their components^{35,36} or to protect/functionalize the
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21 core^{37,38}. In this context, some recent studies have been focused on the development of
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23 core/shell multicomponent NPs that combine both magnetic and optical properties^{39–41}. For
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25 instance, Cho et al. employed Fe₃O₄/ZnO core/shell NPs to deliver antigens in a dendritic-
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27 based approach against cancer, acting simultaneously as a delivery and labeling agent that
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29 can be detected by confocal microscopy and flux cytometry⁴², and CoFe₂O₄/ZnO core/shell
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31 NPs were also proposed to act simultaneously as a fluorescence optical imaging and a MRI
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33 contrast agent⁴³.

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35 The cobalt ferrite (CoFe₂O₄), with a similar saturation magnetization compared to magnetite
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37 but a much higher magnetocrystalline anisotropy, has been widely studied in
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39 hyperthermia^{15,44–47} since it provides a model-system to study the effects of the anisotropy
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41 on the heating capability^{15,46}. While important concerns have been raised regarding the
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43 toxicity effects of Co ions in physiological environments^{48,49}, the passivation of the NPs'
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45 surface with a biocompatible inorganic shell could provide a potential solution⁵⁰. Regarding
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47 ZnO, it is a biocompatible low-cost semiconducting oxide that has been extensively applied
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3 in optics, optoelectronics, catalysis and piezoelectricity, promoted by the versatility of
4 fabricating it in many different nanostructured forms^{51,52}.

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7 The design of novel multifunctional NP-based systems to address specific biomedical issues
8 usually requires the development of complex materials and incorporating imaging probes in
9 a single compact bifunctional nanoparticle is a key aspect of multifunctional nanosystems⁶.
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11 In this aim, here we fabricated and studied a core/shell nanoparticle system formed by a
12 magnetic CoFe₂O₄ core and a photoluminescent ZnO shell. We analyzed the influence of the
13 structure and morphology of CoFe₂O₄/ZnO core/shell NPs on the magnetic properties, on the
14 hyperthermia output and on the optical response.
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27 **2. EXPERIMENTAL**

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30 Single-phase CoFe₂O₄ and CoFe₂O₄/ZnO core/shell NPs were obtained by the heat-up
31 method⁵³. The synthesis route is based on the decomposition of organometallic compounds
32 at high temperature assisted by surfactants in an organic solvent⁵⁴ and core/shell samples can
33 be obtained by a seed-mediated process^{55,56}. To fabricate CoFe₂O₄ NPs, 1 mmol of Co(II)
34 acetylacetonate (Co(acac)₂), 2 mmol of Fe(III) acetylacetonate (Fe(acac)₃) were mixed with
35 18 mmol of Oleic Acid, 18 mmol of Oleylamine, 2 mmol of 1,2-octanediol and 380 mmol of
36 diphenyl ether. Once the excess of humidity was removed by keeping a constant temperature
37 of 120 °C for 20 min, the mixture was magnetically stirred and heated at a constant rate of 8
38 °C/min up to 200 °C, temperature that was kept for 10 min. Then the mixture was heated
39 again at a rate of 10 °C/min up to the reflux temperature (260 °C), which was kept for another
40 120 min. After cooling the sample down to room temperature, a small fraction (10 mL) of
41 liquid was extracted in order to analyze bare CoFe₂O₄ NPs. Afterwards, in order to overgrow
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3 a ZnO shell on the ferrite core, 2 mmol of Zn(II) acetylacetonate ($\text{Zn}(\text{acac})_2$) were added to
4 the preparation together with 3 mmol of Oleic Acid, 3 mmol of Oleylamine, 1 mmol of 1,2-
5 octanediol and 129 mmol of diphenyl ether. The mixture was then heated to the reflux
6 temperature (260 °C) at a constant rate of 10 °C/min and was kept at that temperature for
7 another 120 min. Once the solution was cooled to room temperature, the NPs were washed
8 several times with an ethanol and toluene 8:1 mixture and precipitated by using a permanent
9 magnet. This process was carried out for both CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ core/shell NPs,
10 ensuring that only magnetic particles were collected and that residual free ZnO, if present,
11 was discarded. Finally, the samples were redispersed in toluene and kept at -20 °C. In order
12 to perform the structural characterization, a portion of each sample was dried by evaporating
13 the toluene.
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28 The residual organic mass from the synthesis was evaluated through a thermogravimetric
29 analysis (TGA) in a Shimadzu DTG-60H equipment by heating dried fractions of the
30 samples up to 500 °C at a fixed heating rate of 5 °C/min in Ar flux (100 mL/min.).
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35 The crystalline structure and crystallite size were studied by powder x-ray diffraction (XRD)
36 experiments that were conducted in a PANalytical Empyrean instrument (Cu- $K\alpha$ radiation)
37 in the 2θ range 20-80 ° with a step size of 0.02 °. Rietveld analyses were performed by using
38 the Fullprof software⁵⁷ and were employed to estimate the mean crystallite size of each phase.
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40 The morphology and particle size were evaluated in a Philips CM200 transmission electron
41 microscope (TEM, Ultra-Twin lens, operating at 200 kV) by measuring ~250 particles of
42 each sample from bright-field images; high-resolution TEM images were obtained in a JEOL
43 2100F microscope. TEM specimens were prepared by dispersing the dry powder in
44 chloroform and dropping the suspension on a carbon-covered copper grid.
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3 Dynamic light scattering (DLS) experiments were performed in a Malvern Nano ZS90
4 apparatus at room temperature with a measurement angle of 90° for samples dispersed in
5 toluene and placed in a quartz cuvette. A viscosity of 0.5564 cP and a refractive index of
6 1.496 were employed for data processing.
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12 In order to conduct the magnetic measurements, the samples dispersed in toluene were
13 transferred to polyethyleneimine (PEI) which keeps the NPs well-dispersed and immobilized
14 during the experiments. DC magnetization measurements were carried out in a SQUID
15 magnetometer (MPMS Quantum Design) equipped with a superconducting coil that produces
16 magnetic fields up to 50 kOe. The temperature dependence of the magnetic moment was
17 analyzed by employing the zero-field cooled/field-cooled (ZFC/FC) and thermoremanent
18 (TRM) conventional protocols with an applied field of 50 Oe. Hysteresis loops were obtained
19 at 5 K by applying magnetic fields up to 50 kOe and room temperature curves were measured
20 by applying magnetic fields up to 10 kOe. The heating efficiency of the NPs was assessed by
21 analyzing the field-dependence of the magnetization during the application of a
22 radiofrequency magnetic field in an AC hysteresis loop tracer. M vs H loops were recorded
23 for NPs dispersed in toluene (total volume of ≈ 0.5 mL and concentration ≈ 1.5 mg_{NPs}/mL) by
24 an inductive method during the application of an alternating magnetic field with amplitude
25 of 80 Oe and frequency of 85 kHz.
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44 UV-visible spectroscopy experiments were conducted in a Perkin Elmer Lambda-35
45 spectrometer. Room temperature spectra of NPs dispersed in hexane were acquired for a
46 wavelength in the range 250-700 nm. Photoluminescence spectra were obtained in a Horiba
47 FluoroMax-4P spectrofluorometer. The experiments were carried out for NPs dispersed in
48 hexane at room temperature in the range 350-700 nm with a fixed excitation wavelength of
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3 $\lambda=350$ nm. In both cases, a quartz cuvette was used as sample-holder and another quartz
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5 cuvette with hexane was used as blank.
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10 **3. RESULTS AND DISCUSSION**

11 **3.1 Structure and morphology**

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17 CoFe₂O₄ and CoFe₂O₄/ZnO core/shell NPs were successfully synthesized by adapting the
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19 heat-up method that has demonstrated a remarkable versatility for the fabrication of
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21 multicomponent nanostructures. The synthesis procedure allows to control the size of the
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23 core by changing the surfactants-to precursors ratio⁵⁸, the heating rate⁵⁹ or, alternatively, by
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25 a seed-mediated process⁶⁰. At the same time, the thickness of the shell can be adjusted by
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27 controlling the concentration of Zn(acac)₂ in the second step of the process³⁹.
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32 Figure 1 shows representative TEM micrographs of both samples. The associated size
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34 histograms (Figure 1c) were fitted by a lognormal function given by $f(D) =$
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36 $(\sqrt{2\pi}\sigma D)^{-1} e^{-\frac{\ln^2(D/D_0)}{2\sigma^2}}$ and the obtained results are summarized in table 1. The mean diameter
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38 of the NPs was calculated from the mean value of the lognormal fit $\langle D_{NP} \rangle = D_0 e^{\sigma^2/2}$ and is
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40 found to be around 7 nm and 10 nm for CoFe₂O₄ and core/shell NPs, respectively. The size
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42 dispersion, given by the standard deviation calculated through $\sigma_{NP} = \langle D_{NP} \rangle \sqrt{e^{\sigma^2} - 1}$,
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44 increases for the core/shell system, probably due to the increase in the polydispersity during
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46 the second step of the synthesis process. High-resolution TEM images allow to distinguish
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48 different orientations for the core and shell crystal structures, as shown in Figures 1e-f and
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50 in the FFT of selected core and shell areas (inset of Figure 1f). The analysis confirms the
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3 core/shell morphology and indicates that ~7 nm cores are encapsulated in a ~1.5 nm-thick
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5 shell, in good agreement with the size distribution histograms.
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8 X-ray diffractograms of both samples are reported in Figure 2 and the expected positions for
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10 bulk CoFe_2O_4 ($a = 8.39 \text{ \AA}$) spinel and ZnO würtzite ($a = 3.25 \text{ \AA}$ and $c = 5.2 \text{ \AA}$) are indicated
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12 in the graph. ZnO is difficult to see in the core/shell sample probably because of the
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14 substantial peak broadening due to its reduced size and/or lattice distortion as a consequence
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16 of the mismatch between the lattices (expected to be around 25 %). Nevertheless, the ZnO
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18 shell was taken into account in a more detailed microstructural examination with a Rietveld
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20 refinement (full lines in Figure 2), which reflects a mean ZnO diameter of 3.1(3) nm,
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22 contributing with a $\approx 17\%$ of the total diffracted area. Such ZnO crystallite size is much lower
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24 than the whole shell volume but larger than the shell thickness. Therefore, we can consider
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26 that the ZnO is not a single-crystal but is polycrystalline and formed by multiple small ZnO
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28 grains in close contact, in agreement with HRTEM results shown in Figure 1e. This can be
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30 attributed to multiple nucleation points at the core's surface during the second step of the
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32 synthesis. In addition, although its quantification is rather complex, some cation
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34 interdiffusion between core and shell cannot be discarded, as was pointed out in other
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36 works^{61,62}.
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44 The Co-ferrite diameter estimated by XRD (and summarized in Table 1) is, within the
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46 experimental error, equal to 6 nm for both samples, implying that the crystallite size of the
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48 Co-ferrite is kept unchanged after the second step of the synthesis. Such value is slightly
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50 smaller compared to the mean diameter obtained from TEM, suggesting some degree of
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52 crystalline disorder in the ferrite.
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Table 1. Summary of the structural characterization for single-phase CoFe_2O_4 and core/shell $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs: mean crystallite diameter of CoFe_2O_4 (D_{CFO}) and ZnO (D_{ZnO}) obtained from XRD, mean diameter of the NPs, $\langle D_{\text{NP}} \rangle$, obtained from the lognormal fit of TEM histograms and its standard deviation (σ_{NP}), mean hydrodynamic diameter obtained from the lognormal fit of DLS measurements, $\langle D_{\text{H}} \rangle$, and its standard deviation (σ_{DH}). All values are expressed in nm.

Sample	XRD		TEM		DLS	
	D_{CFO}	D_{ZnO}	$\langle D_{\text{NP}} \rangle$	σ_{NP}	$\langle D_{\text{H}} \rangle$	σ_{DH}
CoFe_2O_4	6.1(5)	-	7.2	2.1	24.2	4.1
$\text{CoFe}_2\text{O}_4/\text{ZnO}$	5.9(4)	3.1(3)	10.0	3.2	12.8	2.5

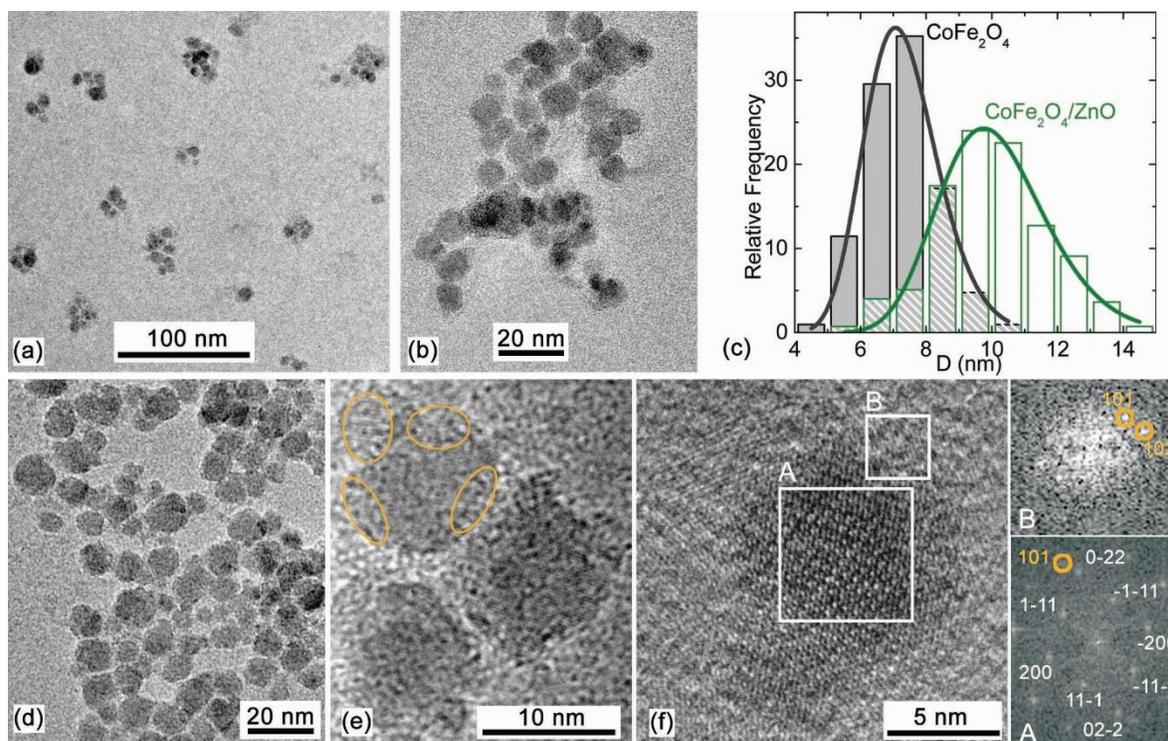


Figure 1: Figure 1a and 1b give representative TEM images of CoFe_2O_4 NPs. Figure 1c gives the diameter histograms of both samples obtained by measuring the diameter of about 250 particles for each one; solid lines are the fitting with a lognormal distribution. Figures 1d, 1e and 1f show TEM and HRTEM representative images of $\text{CoFe}_2\text{O}_4/\text{ZnO}$ core/shell NPs, which evidence the core-shell morphology; the insets A and B in Figure 1f indicate the FFT of selected core and shell areas of the image indexed with the planes of CoFe_2O_4 and ZnO (circles).

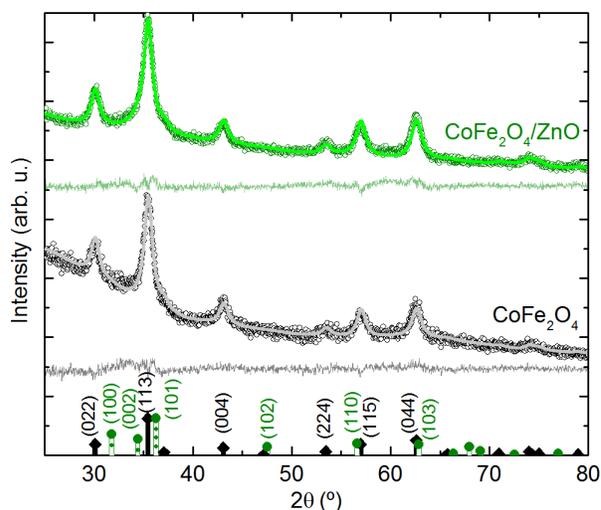


Figure 2. X-ray diffractograms of CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs. The full lines indicate the Rietveld refinements and their corresponding residuals. The circles and diamonds indicate the position for bulk ZnO and CoFe_2O_4 respectively.

Afterwards, we characterized the colloidal stability of the NPs dispersed in toluene by performing DLS experiments, which are summarized in Figure 3 and table 1. Interestingly, while the mean hydrodynamic diameter $\langle D_H \rangle$ of $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs results 12.8 nm, in agreement with the size obtained from TEM and XRD plus a thin layer (probably a monolayer) of oleic acid coordinating the NPs⁶³, $\langle D_H \rangle$ of CoFe_2O_4 NPs results significantly larger. This difference suggests the formation of small aggregates of some NPs only for the CoFe_2O_4 sample, which is likely to be prevented for core/shell NPs due to the non-magnetic coating. Such feature is also confirmed by TEM images (see Figure 1a) where it is possible to distinguish small aggregates formed by roughly 4-10 NPs. The mean separation between particles is around 1 nm (the organic layer of an oleic acid monolayer), suggesting that the formation of aggregates is due to magnetostatic interactions rather than due to the coalescence during the synthesis process. In contrast, the non-magnetic ZnO shell is responsible for an increase in the mean separation distance between magnetic cores

(schematically shown in the inset of Figure 3), which can be contributing to diminish the interparticle interaction in the core/shell sample. This analysis will be deepened in the next section.

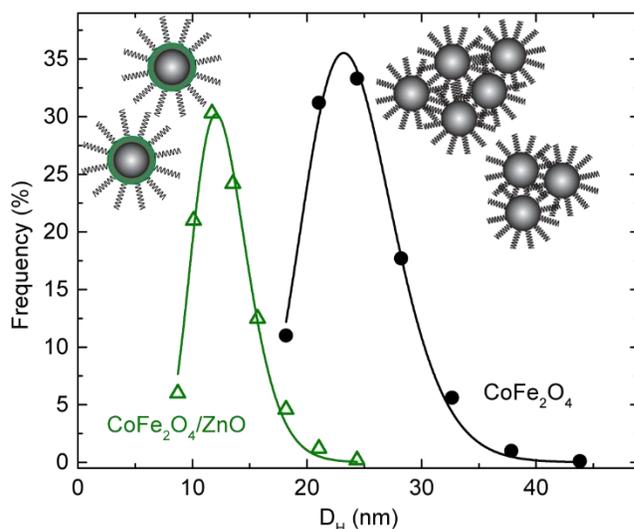
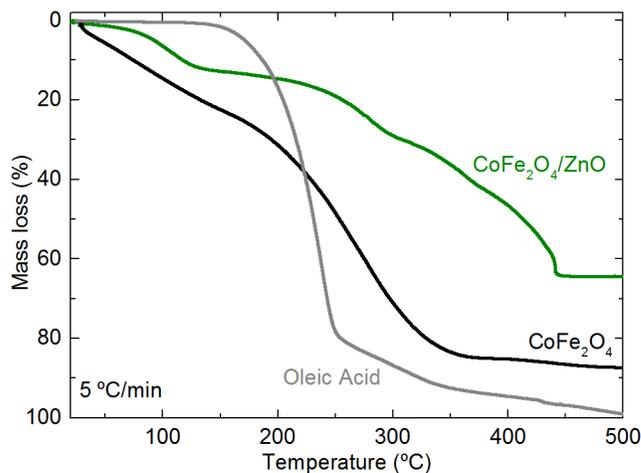


Figure 3. Dynamic light scattering experiments for CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs. The full lines indicate the fit with a lognormal function. The inset shows schematically the formation of small aggregates for single-phase NPs.

Finally, a thermogravimetric analysis (Figure 4) was performed for each sample to estimate the weight fraction of organic material. For CoFe_2O_4 NPs, we obtained about 88 % *wt.* of organic material, much more than the expected 13 % in the case of an oleic acid monolayer with 1.5 nm linked to the nanoparticle surface. Also, the core/shell system presents about 65 % *wt.* of organic material against an expected value of 11 % for the oleic acid monolayer. Thus, in both samples the amount of organic material is larger than the associated to the organic layer linked to the particle. In addition, while bare oleic acid is decomposed at ~ 250 $^\circ\text{C}$, the mass loss associated to the decomposition of the NPs' organic coating is shifted to higher temperatures. In fact, the oleic acid molecules, being embedded at the nanoparticle

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3 surface, are expected to be stabilized^{64,65}. This condition is more evident for core/shell NPs,
4 probably because the smaller amount of organic material in this sample improves the ratio of
5 organic material linked to the particle surface.
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28 Figure 4. Thermogravimetric analyzes of CoFe₂O₄ and CoFe₂O₄/ZnO NPs in Ar flux. For comparison,
29 the same measurement was performed for pure oleic acid.
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32 From XRD and TEM analyses, the core-shell morphology was described and the sizes of
33 core and shell were obtained. Concomitantly, DLS results point out the effectiveness of the
34 ZnO shell in avoiding agglomeration of core/shell NPs, which is indeed observed for bare
35 CoFe₂O₄. This is likely to be due to a reduction in the dipolar inter-particle interaction for
36 the core/shell system because of the increment in the distance between magnetic dipoles. We
37 notice that the mass loss observed in the thermogravimetric analyses indicates a large amount
38 of organic component in both samples, excluding the possibility that the difference in the
39 hydrodynamic diameters were solely due to the presence of such organic material.
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51 52 3.2 Magnetic properties 53 54 55 56 57 58 59 60

Figures 5a and 5b show the thermal dependence of the magnetization for both samples according to the ZFC-FC and TRM protocols, respectively. The shape of the ZFC-FC curves suggests a progressive blocking of the magnetic moments without evidence of significant interparticle interactions. The distributions of blocking temperatures, shown in the insets of each graph, were estimated from $f(T_B) = -\frac{1}{T} \frac{d(M_{ZFC} - M_{FC})}{dT}$ and $f(T_B) = -\frac{1}{T} \frac{dM_{TRM}}{dT}$ and were fitted with a lognormal function. The mean value of the fit, $\langle T_B \rangle$, is an indicator of the thermal stability of the system and is slightly larger for core/shell NPs, as given in table 2.

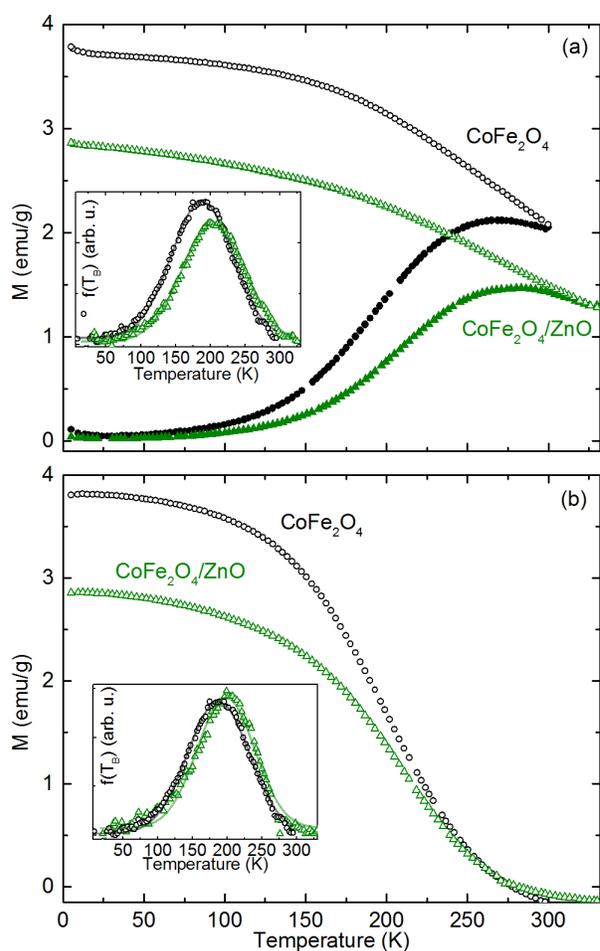
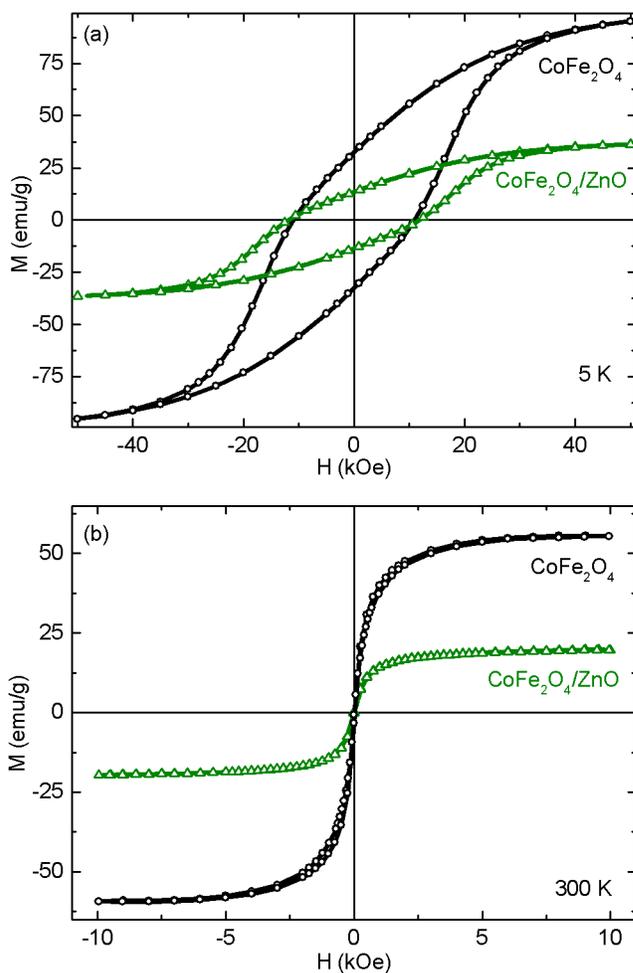


Figure 5. Temperature dependence of the magnetization for CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs: (a) zero-field-cooled (full symbols) / field-cooled (open symbols) curves measured with an applied field

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3 of 50 Oe and (b) thermoremanent-magnetization curves measured upon heating after cooling down
4 to 5 K with an applied field of 50 Oe. The insets indicate the distribution of blocking temperatures
5 calculated in each case.
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10 Hysteresis loops measured at 5 K (Figure 6a) reveal the typical shape expected for NPs with
11 randomly oriented easy axes and, at low temperature, the coercive field (H_C) and the reduced
12 remanence value (M_R/M_S) are somewhat larger for the core/shell sample. In both cases
13 M_R/M_S is close to 0.5, suggesting that the system presents uniaxial magnetic anisotropy
14 ($M_R/M_S=0.5$), rather than cubic anisotropy ($M_R/M_S=0.83$)⁶⁶. The low-temperature loops
15 show a remarkable non-saturating trend and a large high-field susceptibility (χ_{HF}) even for
16 fields up to 50 kOe. The lack of saturation in magnetic NPs has been usually ascribed to the
17 presence of surface spin disorder⁶⁷ that can be also responsible for a magnetization increase
18 at very low temperatures⁶⁸, evident in the ZFC-FC curves of Co-ferrite cores (Figure 5a). In
19 our case, the structural characterization revealed that the crystallite size of the CoFe_2O_4 is
20 lower than the mean diameter determined by TEM, supporting the hypothesis of surface spin
21 canting promoted by some crystalline disorder at the ferrite surface. In addition, χ_{HF} decreases
22 from $4.6(4)\cdot 10^{-4}$ to $3.9(4)\cdot 10^{-4}$ emu/g_{CF}Oe for CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs respectively,
23 indicating that the ZnO shell contributes to decrease, to some extent, the surface spin disorder
24 in the ferrite. At 300 K (Figure 6b), both samples exhibit a typical superparamagnetic
25 behavior with negligible hysteresis and a saturation magnetization (M_S) lower than the low-
26 temperature values. Regarding the low-temperature M_S , it is close to the expected 90 emu/g
27 for the bulk CoFe_2O_4 ⁶⁹, although it is significantly reduced for $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs due to the
28 contribution of the non-magnetic shell to the total mass of the sample. If we normalize the
29 M_S values of core/shell NPs with the Co-ferrite mass obtained from the structural analysis, a
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3 $M_S \sim 98 \text{ emu/g}_{\text{CFO}}$ is obtained, reflecting the consistence of the magnetic characterization and
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5 the structural analysis.
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41 Figure 6. Hysteresis loops of CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs measured at (a) 5 K and (b) 300 K.

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43 The small differences in the magnetic properties of both samples are reflected in a $\approx 7\%$
44 increase in both $\langle T_B \rangle$ and H_c for the core/shell sample. The longer synthesis time of the
45 core/shell system and the presence of the ZnO layer may be promoting a slight increase in
46 the effective anisotropy associated to a reduction in the surface crystalline disorder, as
47 suggested by the previous analysis. Regardless of the origin of such differences, the effective
48 magnetic anisotropy (K_{eff}) can be estimated either from the field or from the temperature
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3 dependence of the magnetization. By assuming the Néel relationship given by $K_{eff}V =$
4 $\ln(\tau_m/\tau_0)k_B\langle T_B \rangle$, where V is the magnetic volume, k_B is the Boltzmann constant, τ_m and τ_0
5 the measuring time (100 s) and the characteristic relaxation time (10^{-10} s), respectively, K_{eff}
6 can be calculated from the mean blocking temperature. Then, K_{eff} is found to increase from
7 $3.9(1)\cdot 10^6$ erg/cm³ for CoFe₂O₄ to $4.2(2)\cdot 10^6$ erg/cm³ for CoFe₂O₄/ZnO NPs. If we consider,
8 instead, the coercivity of randomly oriented NPs with uniaxial anisotropy given by $H_C =$
9 $0.96K_{eff}/M_S$, where M_S stands for the experimental saturation magnetization of the Co-
10 ferrite in each sample, $K_{eff} \approx 5.7(2)\cdot 10^6$ is obtained.
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23 The estimations above are in good agreement with the expected values for nanostructured
24 cobalt ferrite^{70,71} and the overall DC magnetic characterization results are therefore
25 successfully interpreted through the Stoner-Wohlfarth model for non-(or weakly) interacting
26 NPs. As discussed before, the structural characterization suggests that the ZnO shell, being
27 non-magnetic, is increasing the interparticle distance between magnetic cores and reducing
28 the magnetic interactions. The magnitude of the dipole interaction energy can be estimated
29 from the separation between particles d and the NP magnetic moment μ through $T_d =$
30 $\mu_0\mu^2/k_B4\pi d^3$.⁷² If we consider the experimental values, T_d is reduced in our system from
31 114 K to 39 K due to the ZnO coating, well below the blocking temperature due to the
32 magnetocrystalline anisotropy. Therefore, it is likely that the formation of CoFe₂O₄
33 aggregates suggested by DLS is hindered in the core/shell sample due to the reduced
34 influence of interparticle interactions. In fact, other experimental studies have shown that a
35 thin (< 2 nm) SiO₂ shell can reduce substantially the magnetic interactions in ensembles of
36 carefully prepared γ -Fe₂O₃/SiO₂ core/shell NPs⁷³.
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Table 2. Summary of the magnetic characterization and the heating capability for single-phase CoFe_2O_4 and $\text{CoFe}_2\text{O}_4/\text{ZnO}$ core/shell NPs: mean blocking temperature, $\langle T_B \rangle$, coercivity (H_C) and saturation magnetization at 5 K (M_S 5 K), reduced remanence ratio (M_R/M_S) at 5 K, saturation magnetization at 300 K (M_S 300 K), experimental (SPA^{exp}) and theoretical (SPA^{the}) estimation of the specific power absorption.

Sample	$\langle T_B \rangle$ (K)	H_C 5K (kOe)	M_S 5 K (emu/g)	M_R/M_S 5 K	M_S 300 K (emu/g)	SPA^{exp} (W/g)	SPA^{the} (W/g)
CoFe_2O_4	188(2)	11.0(1)	95.1(1)	0.35(3)	55.3(2)	9.6(7)	26.7(6)
$\text{CoFe}_2\text{O}_4/\text{ZnO}$	201(6)	11.8(1)	36.3(1)	0.43(1)	20.0(1)	2.5(2)	2.0(1)

3.3 *ac* magnetic response

The heating efficiency of a colloid for MFH is typically associated to the specific power absorption (SPA), *i.e.* the ability of a material to absorb energy (which will be turned into heat) from the alternating magnetic field. Alternatively, the SPA can be evaluated by the magnetic losses from the *ac* hysteresis loop area. In this way, we recorded magnetization loops for the samples dispersed in toluene under the application of a radiofrequency magnetic field with amplitude $H_0=80$ Oe and frequency $f=85$ kHz, which are reported in Figure 7a. The areas of the loops reveal a SPA of 2.5(2) W/g for $\text{CoFe}_2\text{O}_4/\text{ZnO}$ NPs and 9.6(7) W/g for CoFe_2O_4 cores, which are in good agreement with previous reports on the dynamic magnetic properties of Co-ferrite under similar experimental conditions^{15,74–76}.

A simple model describing the heating efficiency of a magnetic fluid was presented by Rosensweig⁷⁷. In such approach, the relaxation of the magnetic moments of non-interacting single domain NPs is considered and a linear response of the magnetization with the applied

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3 field is assumed, being known as linear response theory (LRT), typically valid for low
4 applied fields. Two types of relaxation processes can be present: a “viscous” Brown
5 relaxation mechanism or a purely “magnetic” Néel process. As both can coexist, the
6 relaxation mechanism and its effects on the SPA can be complicated and have led in the last
7 years to several theoretical and experimental investigations^{3,78,79}.

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10 The Brownian relaxation time is a function of the viscosity of the medium (η), the
11 hydrodynamic volume (V_H), the Boltzmann constant (k_B) and the temperature (T):

$$\tau_B = \frac{3\eta V_H}{k_B T} \text{ (equation 1)}$$

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15 While the “magnetic” relaxation time within a Néel process is given by $\tau_N = \tau_0 e^{K_{eff}V/k_B T}$,
16 where K_{eff} is the effective magnetic anisotropy, V the magnetic volume and τ_0 the
17 characteristic relaxation time. Rosensweig simplifies this problem by considering both
18 relaxation mechanisms as independent, and consequently the effective relaxation time can be
19 written as $1/\tau_{eff} = 1/\tau_B + 1/\tau_N$, where the shorter time determines the relaxation process.

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25 Due to the high magnetocrystalline anisotropy of CoFe_2O_4 , NPs with typical magnetic sizes
26 of 5-10 nm and hydrodynamic sizes of 10-50 nm usually follow a Brown relaxation
27 mechanism^{15,77}. In our case, in view of the high K_{eff} estimated in the previous section, τ_B is
28 much smaller than τ_N and we can safely suppose that our particles follow a Brown relaxation
29 process defined by equation 1.

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32 Therefore, in the frame of the LRT, the SPA results⁷⁷:

$$SPA = \frac{\pi \chi_0 f H_0^2 2\pi f \tau_B}{1 + (2\pi f \tau_B)^2} \text{ (equation 2)}$$

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3 where $\chi_0 = M_S^2 V / (3k_B T)$ is the initial susceptibility of the system and H_0 and f are the
4 amplitude and frequency of the excitation field. The SPA depends on the magnetic size and
5 M_S through χ_0 and on the hydrodynamic size through τ_B . As a result, when $2\pi f \tau_B = 1$ the
6 SPA is a maximum and D_H will be optimum.
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13 The existence of an optimum D_H close to 20 nm is evidenced in the inset of Figure 7b, where
14 the theoretical SPA is plotted as a function of D_H according to equation 2 and considering all
15 the experimental conditions (temperature, viscosity of the medium, field amplitude,
16 frequency and magnetic volume). Next, bearing in mind the distribution of D_H reported in
17 Figure 4, we can reconstruct the distribution of theoretical SPA rates expected for both
18 samples (Figure 7b). By integrating such distributions, the overall theoretical SPA rates were
19 calculated and compared with the experimental values, as shown in Figure 7c and table 2. It
20 is observed that the theoretical SPA value for CoFe₂O₄/ZnO NPs is in excellent agreement
21 with the experiments. However, the situation is different for CoFe₂O₄, whose value is less
22 than half of the theoretical prediction. The difference should be ascribed to an
23 oversimplification of the model that neglects the dependence of the SPA on magnetic
24 interactions, which are suggested by the experiments presented in the previous sections.
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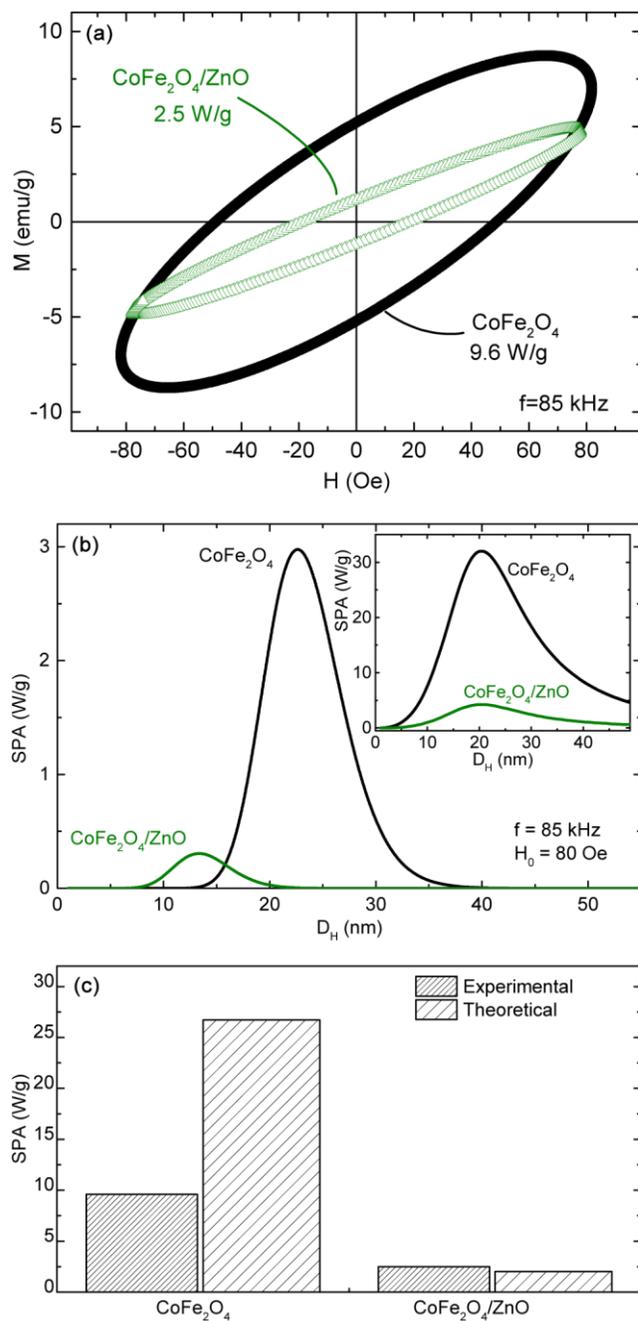


Figure 7. (a) Field-dependence of the magnetization during the application of an alternating field with amplitude $H_0=80$ Oe and $f=85$ kHz. The experimental SPA rates obtained from the area of each loop are indicated. (b) Distribution of SPA rates estimated by considering equation 2, the experimental distribution of D_H from DLS experiments shown in Figure 3 and the experimental

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3 conditions. The inset shows the distribution of theoretical SPA as a function of D_H . (c) Comparison
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5 of the experimental and theoretical SPA rates.
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8 In the last few years, several works have been devoted to understand the effects of
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10 interactions on the heating efficiency of magnetic colloids^{22,78,80,81}. In the case of NPs
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12 relaxing by Brown's mechanism, it is normally accepted that interactions push down the SPA
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14 due to the dependence of τ_B with V_H . This is a reason why highly anisotropic NPs usually
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16 have a very different output when measured in biological media that favor their
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18 aggregation²¹. In fact, it was predicted by Montecarlo simulations that dipolar interactions
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20 can help to increase the heating ability of Brown-relaxing NPs only in the very high field's
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22 regime, while interactions promote lower SPAs for low applied fields⁸².
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27 In this context, the possibility of tuning the interactions by a thin non-magnetic shell could
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29 be interesting⁸³. In our case, although the SPA is significantly reduced for the core/shell
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31 sample, it is worth noting that the non-magnetic ZnO shell allows the reduction of the dipolar
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33 interactions between particles by controlling their mean separation distance. As a
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35 consequence, the SPA rate is correctly predicted by the LRT and the NPs are likely to be
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37 less-sensitive to the media in which they are dispersed, which is a key aspect for keeping
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39 high SPA values in physiological media^{12,21,22}. In this sense, the introduction of a non-
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41 magnetic coating, apart from exploiting its optical functionality, could be useful to decrease
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43 the influence of magnetic interactions on the heating efficiency.
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52 **3.4 Optical response**

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3 Once the effects of the structural, morphological, rheological and *dc* and *ac* magnetic
4 properties were analyzed, we can turn our attention to the optical response of the material.
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6 UV-Vis and photoluminescence (PL) spectra, the latter acquired by using a fixed excitation
7 wavelength (λ_{EX}) of 350 nm, are reported in Figure 8. The experiments were conducted for
8 both CoFe₂O₄/ZnO and CoFe₂O₄ samples, and, for comparison, single-phase ZnO NPs with
9 a mean size of ~4 nm obtained by a similar method⁸⁴, were also analyzed.

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12 Single-phase ZnO NPs exhibit an absorption peak at $\lambda \sim 280$ nm, which is significantly lower
13 than the wavelength associated to the bandgap of bulk ZnO (~3.3 eV), typically $\lambda \sim 365$
14 nm^{85,86}. The shift of the absorption peak to lower values of λ , usually observed in ZnO
15 quantum dots, is ascribed to finite size effects and it can be shifted down to $\lambda \sim 265$ nm for 1
16 nm ZnO clusters⁸⁷. In the case of our core/shell NPs, the absorption peak is shifted to lower
17 values but, at the same time, is also significantly broader. Such broadening has already been
18 observed in other CoFe₂O₄-ZnO composites^{43,88} and has been also predicted by theoretical
19 studies on ZnO nanowires by considering the effects of Zn and O vacancies on the optical
20 properties⁸⁹.

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23 Regarding the PL spectra shown in Figure 8b, single-phase ZnO NPs present multiple
24 emission peaks in the near-UV (~395 nm) and the visible region (425 nm and 450 nm), while
25 CoFe₂O₄/ZnO NPs display a broader emission in the visible region with a maximum at 450
26 nm and a narrower maximum at 520 nm.

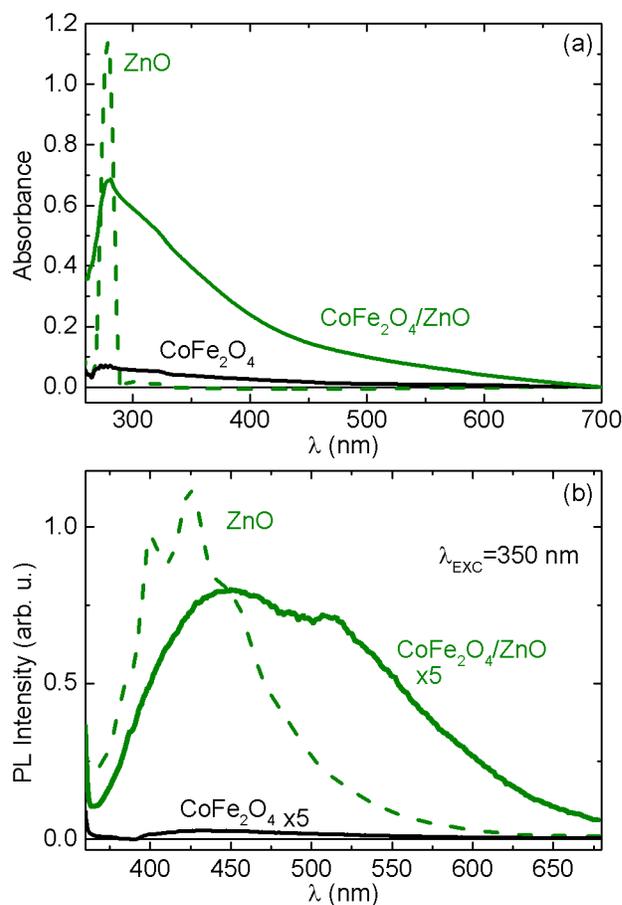


Figure 8. (a) UV-visible and (b) photoluminescence spectra (under an excitation wavelength of 350 nm) for single-phase ZnO and core/shell CoFe₂O₄/ZnO. For comparison, the same measurements were performed for CoFe₂O₄ cores.

Although the precise mechanism responsible for the PL of ZnO is still under discussion^{52,85,86,90}, it is accepted that different defects result in energy levels within the ZnO bandgap⁵² that are responsible for the multiple observations of emission lines in the visible range. Both bulk and nanostructured ZnO show emission at the near UV edge (380 nm) and also at the blue (425 and 468 nm) and green band (521 nm)^{91,92}. While the near band edge UV emission is associated to band gap excitons, the blue and green emissions are assigned to recombination processes of acceptor defects from Zn interstitial and oxygen vacancies⁹³.

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3 It has also been established that the ratio between the visible and UV PL intensity is closely
4 related to the size and the fabrication method: while a fine UV emission is observed in ZnO
5 quantum dots and also in highly crystalline ZnO, size distribution and low-temperature
6 fabrication techniques usually lead to inhomogeneous broadening of the emission in the
7 visible range⁸⁶. Therefore, the differences between the absorption and UV-vis spectra of
8 single-phase ZnO and core/shell NPs can be ascribed to the presence of a larger density of
9 defects in the crystalline structure resulting from the shell-morphology of the nanocrystals in
10 the latter. This is supported by the structural characterization, which reveals that the ZnO
11 shell is not single-crystalline but it is formed by several very small grains. In addition, the
12 possibility of interface interdiffusion cannot be ruled out, particularly given that this effect
13 could contribute to the inhomogeneous broadening and the observed red-shift of both the
14 absorption and photoluminescence spectra, as was reported e.g. for Fe-doped ZnO NPs^{93,94}.

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31 In this work we showed that the PL can be controlled by choosing the ZnO morphology,
32 however it should be pointed out that the PL can be further tuned modifying the surface
33 defects by changing the ZnO shell thickness³⁹ or by coordinating the NPs with different
34 polymers^{31,86}. Thanks to their optical response, the NPs can be applied as contrast agents in
35 optical fluorescence microscopy *in vitro*. The incorporation of imaging functionalities to the
36 NPs could contribute to the future development of magnetic fluid hyperthermia and its
37 clinical application by monitoring their presence in the intracellular medium *in vitro* through
38 the optical output of the ZnO shell in fluorescence microscopy. For example, labeling NPs
39 suitable for MFH by optical imaging probes can help to correlate precisely the cell response
40 and the intracellular presence of the NPs and can contribute to clarify the cell death
41 mechanisms. Given the widespread use of fluorescence microscopy in biological sciences,
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3 its good sensitivity, as well as the real-time imaging capability and the great availability of
4 fluorophores that can be tailored to specific biological applications^{7,8}, the co-localization of
5 the NPs by optical means can be very useful. However, further work is required to evaluate
6 and optimize the optical response during biological experiments.
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15 **4. Conclusions**

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18 In this work we synthesized 7 nm CoFe₂O₄ and 10 nm CoFe₂O₄/ZnO core/shell nanoparticles
19 and we evaluated the effects of the structure and morphology on the *dc* and *ac* magnetic
20 behavior and on the optical response. The single phase CoFe₂O₄ nanoparticles are
21 superparamagnetic at room temperature and present an effective magnetic anisotropy around
22 $K_{\text{eff}} \approx 3.9(1) \cdot 10^6 \text{ erg/cm}^3$. On the other hand, the core/shell system exhibits a slightly larger
23 energy barrier, $K_{\text{eff}} \approx 4.2(2) \cdot 10^6 \text{ erg/cm}^3$, as reflected by the greater coercivity and blocking
24 temperature, which can be explained by considering the reduction of the surface crystalline
25 disorder in the ferrite. The dipolar interparticle interactions are being reduced in the core/shell
26 system as a result of the thin ZnO layer that increases the mean separation distance between
27 magnetic cores. Being highly anisotropic, the nanoparticles follow a viscous relaxation
28 process under an *ac* magnetic field and the specific power absorption rate of the core/shell
29 system can be accurately predicted by the linear response theory because of the reduced
30 influence of magnetic interactions.
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49 In addition, we found that the morphology of the ZnO capping layer promotes an
50 inhomogeneous broadening of the absorption and emission bands and a shift of the
51 photoluminescence and absorption energies toward the visible region, as evidenced by the
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3 comparison with single-phase ZnO nanoparticles. Such behavior is ascribed to the greater
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5 density of surface defects due to the shell morphology and also to the interface interdiffusion.
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7 The wide photoluminescence in the visible range presented by the core/shell system is a
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9 promising result for the development of a bifunctional material acting as an inorganic optical
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11 marker suitable for magnetic fluid hyperthermia. At the same time, the ZnO capping could
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13 be a potential solution for the design of materials with reduced magnetostatic interactions
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15 and diminished nanoparticle agglomeration, which would be highly interesting for
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17 applications in magnetic fluid hyperthermia. Finally, it is worth mentioning that further work
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19 oriented to analyze the passivation of the cobalt ferrite by the ZnO shell and to evaluate the
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21 effects of the inductive heating on the optical properties of the system could provide
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23 important information for the development of oxide multifunctional nanosystems for
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25 biological applications.
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TOC Graphic

