

# Computational Method for Segmentation and Classification of Ingestive Sounds in Sheep

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1 **Abstract**

2 In this work we propose a novel method to analyze and recognize automatically  
3 sound signals of chewing and biting. For the automatic segmentation and classi-  
4 fication of acoustical ingestive behaviour of sheep the method use an appropriate  
5 acoustic representation and statistical modelling based on hidden Markov models.  
6 We analyzed 1813 seconds of chewing data from four sheep eating two different for-  
7 ages typically found in grazing production systems, orchardgrass and alfalfa, each  
8 at two sward heights. Because identification of species consumed when in mixed  
9 swards is a key issue in grazing science, we tested the possibility to discriminate  
10 species and sward height by using the proposed approach. Signals were correctly  
11 classified by forage and sward height in 67% of the cases, whereas forage was cor-  
12 rectly identified 84% of the time. The results showed an overall performance of 82%  
13 for the recognition of chewing events.

14 *Key words:* Acoustic modeling; Hidden Markov models; Grazing sheep; Ingestive  
15 behaviour.

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16 **1 Introduction**

17 Accurate measurement of feeding behavior are essential for a reliable manage-  
18 ment and investigation of grazing ruminants. An indication of animal health  
19 and welfare can be obtained by monitoring grazing and rumination activities,  
20 because ruminants have a daily chewing requirement to maintain a healthy  
21 rumen environment.

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22 Grazing ruminants spend a large part of their lives biting (grasping and sev-  
23 ering the herbage in the field) and chewing (grinding of the herbage inside  
24 the mouth). They expend quite a lot of energy in eating behavior. When con-  
25 suming high quality roughage, roughly 10% of the energy content of the feed  
26 is consumed in the eating process. On low quality feed, such as wheat straw,  
27 that figure jumps to about 25% of energy content (Susenbeth et al., 1998).

28 Other methods for chewing behavior studies rely on direct observation or on  
29 the use of switches and jaw strap adjustment (Stobbs and Cowper, 1972; Pen-  
30 ning, 1983; Matsui and Okubo, 1991; Rutter et al., 1997). Direct observation  
31 is costly and frequently infeasible. Both direct observation and methods based  
32 on jaw movements cannot detect the overlap between chewing and biting.  
33 Acoustic biotelemetry has been proposed for animal behavior studies because  
34 of the rich information contained in sounds (Alkon et al., 1989) and because  
35 sound can be recorded and collected without affecting animal behaviour (Laca  
36 et al., 1992; Klein et al., 1994; Nelson et al., 2005).

37 Acoustic analysis has been proved useful to discriminate a combined chew-bite  
38 during a single jaw movement (Laca et al., 1994), to identify some spectral  
39 differences of biting and chewing in cattle and it was shown to be a promis-  
40 ing method to estimate voluntary intake in different types of feed (Laca and  
41 Wallis DeVries, 2000; Galli et al., 2006).

42 Nevertheless, this method requires further research and development to doc-  
43 ument the potential of acoustic monitoring of ingestive behavior of animals  
44 to yield a consistent automatic decoding of chewing sounds in a variety of  
45 conditions. Although discrimination of eating (Nelson et al., 2005; Ungar and  
46 Rutter, 2006) and ruminating (Cangiano et al., 2006) sounds appears to be

47 accurate, in the past, sound records have been analyzed manually which is  
48 an arduous task. A system for automatic processing and recognition of sound  
49 signals is needed to refine and speed up the method.

50 Automatic speech recognition (ASR) has been an active field of research in  
51 the past two decades (Huang et al., 2001). The main blocks of a speech recog-  
52 nizer are: speech signal analysis, acoustic and language modeling. Statistical  
53 methods such as hidden Markov models (HMM) have performed well in ASR  
54 (Rabiner and Juang, 1986). It is likely that the methods and technologies de-  
55 veloped for ASR will be applicable to the analysis of sounds produced by the  
56 ingestive behaviour of ruminants. Recently, this type of tools has been used  
57 to study and characterize vocal sounds generated by vocalizations of other  
58 animals such as red deer (Reby et al., 2006). The objective of our research  
59 was to propose a novel method, based on an appropriate signal representation  
60 and HMM, to allow the automatic segmentation and classification of bites and  
61 chews in sheep grazing a variety of pastures.

62 The general structure of the proposed system resembles to that of a speech  
63 recognition system, where phoneme models are replaced by masticatory sub-  
64 events and word models by complete events (such as a chew, bite or combined  
65 chew-bite event). As in the speech case, the language model (LM) captures  
66 the long-term dependencies and constrains the possible sequences.

67 This paper was organized as follows. In the next section a brief introduction  
68 to hidden Markov models is provided. In Section 3, the sound registration  
69 procedure and the data employed for the experiments are presented. Then  
70 the statistical model of the acoustic signal is developed and the measures for  
71 evaluating its performance were presented. Next, the results of the proposed

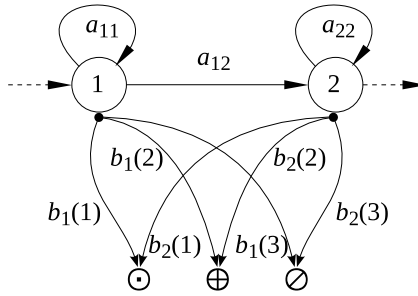


Figure 1. A discrete hidden Markov model with 2 states and 3 output symbols:  $a_{ij}$  are the transition probabilities and  $b_i(k)$  is the probability of emitting the symbol  $o_k$  in state  $i$ .

72 experiments are presented and discussed. Finally the conclusions and sugges-  
 73 tions for future work are posed.

## 74 2 Hidden Markov models

75 In this section we will introduce the main concepts behind hidden Markov  
 76 models, through a simple numerical example. Suppose we want to model se-  
 77 quences of discrete symbols  $\mathbf{X} = x_1, x_2, \dots, x_T$ , where  $x_t \in \mathcal{O}$ , the set of possible  
 78 symbols, and  $t \in \mathbb{N}$  stands for the time order in the sequence. For example,  
 79 with the symbols set  $\mathcal{O} = \{\odot, \oplus, \oslash\}$  we can think of the graph of Figure 1  
 80 as a generative model for sequences like  $\mathbf{X}$ . This is an instance of a discrete  
 81 hidden Markov model (DHMM), with only 2 states ( $\mathcal{Q} = \{1, 2\}$ ), and the 3  
 82 symbols as the possible outputs. In a Markov chain, given present state  $q_t$ , the  
 83 next state  $q_{t+1}$  is independent of the past states  $q_{t-1}, q_{t-2}, \dots, q_1$ . Therefore,  
 84 the transitions between states can be defined with the matrix of probab-  
 85 ities  $A = [a_{ij} = \Pr(q_{t+1} = j | q_t = i)]$ , where  $i, j \in \mathcal{Q}$ . For the generation of  
 86 sequences, each state  $i$  is related to the symbols  $o_k$  by an emission distribu-  
 87 tion  $b_i(k) = \Pr(x = o_k | q = i)$ . Using all these components, the DHMM can be  
 88 defined with the structure  $\Theta = \{\mathcal{O}, \mathcal{Q}, A, B\}$ .

89 Consider the model  $\Theta$  with the following probabilities:

$$A = \begin{bmatrix} \frac{9}{10} & \frac{1}{10} \\ 0 & 1 \end{bmatrix} \quad B = \begin{bmatrix} \frac{1}{10} & \frac{1}{10} & \frac{4}{5} \\ \frac{9}{10} & \frac{1}{20} & \frac{1}{20} \end{bmatrix},$$

90 where  $B$  is the emissions matrix, that specifies the probability of observing  
 91 each symbol in the actual state. Given the output sequence  $\mathbf{X} = \oplus, \otimes, \odot, \odot$ , we  
 92 now ask for the probability of  $\mathbf{X}$  given that the model is fully specified. Since  
 93 we do not know the sequence of states that generated this output sequence,  
 94 we say that the model is *hidden*, and the states are often referred as latent  
 95 variables of the model. Thus, to compute the probability of  $\mathbf{X}$  given the model  
 96  $\Theta$ , we need to consider all the possible sequences of states and sum up over  
 97 all the cases (that is, the total probability formula).

98 The model in Figure 1 is known as a left-to-right HMM, because there are only  
 99 forward links and self loops (notice that  $a_{21} = 0$ ). In this example, the first  
 100 state in a sequence will always be the state 1, known as initial state. Similarly,  
 101 state 2 is the terminal state and all the sequences of states should end with  
 102 this state. Thus, once the terminal state is reached, the model must observe  
 103 all the remaining symbols in the same state (that is,  $a_{22} = 1$ ). For a sequence  
 104 of four symbols, all the possible sequences of states are:  $\mathbf{q}^1 = 1 \rightarrow 1 \rightarrow 1 \rightarrow 2$ ,  
 105  $\mathbf{q}^2 = 1 \rightarrow 1 \rightarrow 2 \rightarrow 2$ , and  $\mathbf{q}^3 = 1 \rightarrow 2 \rightarrow 2 \rightarrow 2$ . In the first sequence of transitions we  
 106 have: the emission of symbol  $\oplus$  in state  $q_1 = 1$ ,  $b_1(2) = \frac{1}{10}$ ; the transition from  
 107 the first state to itself at time 2,  $a_{11} = \frac{9}{10}$ ; the emission of symbol  $\otimes$ ,  $b_1(3) = \frac{4}{5}$ ;  
 108 the second transition  $a_{11} = \frac{9}{10}$ ; the emission of symbol  $\odot$ ,  $b_1(1) = \frac{1}{10}$ ; the third  
 109 transition, now from state 1 to state 2,  $a_{12} = \frac{1}{10}$ ; and the last emission, of

110 symbol  $\odot$  in state  $q_4 = 2$ ,  $b_2(1) = \frac{9}{10}$ . By using all these probabilities we can  
111 obtain  $\Pr(\mathbf{X}|\mathbf{q}^1) = \frac{1}{10} \frac{9}{10} \frac{4}{5} \frac{9}{10} \frac{1}{10} \frac{1}{10} \frac{9}{10} \approx 0.0006$ . Similarly, we get  $\Pr(\mathbf{X}|\mathbf{q}^2) \approx$   
112  $0.006$  and  $\Pr(\mathbf{X}|\mathbf{q}^3) \approx 0.0004$ . Then, the probability of the emission sequence  
113 given the model is  $\Pr(\mathbf{X}) = \Pr(\mathbf{X}|\mathbf{q}^1) + \Pr(\mathbf{X}|\mathbf{q}^2) + \Pr(\mathbf{X}|\mathbf{q}^3) \approx 0.007$ .

114 For the classification tasks we build an HMM for each event to be recognized  
115 and then, given an emission sequence of unknown class, we classify it as that  
116 corresponding to the most probable model. As it can be seen in the previous  
117 example, the probability of the most probable sequence of states is a good  
118 approximation to the total probability.

119 The training or parameter estimation problem remains unaddressed. That is,  
120 given a set of sequences of emissions, we are looking for the model probabilities  
121  $A$  and  $B$  that best fit the data. An intuitive process can be: obtain the best  
122 sequences of states for each sequence of emissions and then count the number of  
123 transition between states. Thus, probabilities in  $A$  can be approximated by the  
124 relative frequencies of transitions. In the same way, by counting the times that  
125 each state emit a symbol, we can estimate the emission probabilities in  $B$ . This  
126 algorithm is known as the forced-alignment training and it is based in the fast  
127 algorithm proposed by Viterbi (1967). A more complete estimation that uses  
128 all the sequences of states (weighted by its probabilities) can be done with the  
129 Baum-Welch training method. The forward-backward algorithm provides an  
130 efficient way to compute the probabilities for all the sequences and reestimates  
131 the parameters in an acceptable processing time for real applications (Huang  
132 et al., 1990).

133 In the case of the acoustic modeling, the sequences of symbols are indeed  
134 sequences of spectra. Acoustic models of sounds have evolved from vector

135 quantization with DHMM to more direct models of spectral information with  
136 continuous observation density hidden Markov models (CHMM). In the former  
137 systems, acoustic features are mapped to a finite set of discrete elements, that  
138 is, the outputs of a vector quantizer (Gray, 1984). Thus, it was possible to use  
139 DHMM to model sequences of spectral representations. However, in CHMM  
140 it is possible to model continuous observation densities in the HMM itself –  
141 instead of vector quantization, taking advantage of modeling selected features  
142 through Gaussian mixtures (Rabiner and Juang, 1993). To train these mod-  
143 els, Liporace (1982) defined the auxiliary function to use in the expectation-  
144 maximization (EM) algorithm. He proved that using Gaussian mixtures in the  
145 HMM states, this auxiliary function has a unique global maximum as function  
146 of the model parameters. The Baum-Welch training uses this EM algorithm  
147 and can reach the global maximum given that, as proved in the same work,  
148 the sequence of reestimates obtained in produce a monotonic increase in the  
149 likelihood of the data given the model.

## 150 **3 Materials and methods**

### 151 *3.1 Sound registration*

152 Acoustic signals were obtained from a grazing experiment performed at Uni-  
153 versity of California, Davis. The experiment consisted of a factorial of two  
154 forages and two plant heights grazed by sheep. The forages were orchardgrass  
155 (*Dactylis glomerata*) and alfalfa (*Medicago sativa*) in a vegetative state. Al-  
156 falfa and orchardgrass were offered in two plant heights, tall (not defoliated,  
157  $29.8 \pm 0.79$  cm) and short (clipped with scissors to approximately  $1/2$  the



158 height of the tall treatment,  $14.1 \pm 0.79$  cm). Pots were firmly attached to a  
159 heavy wooden board that held them in place when grazed. We used four tame  
160 crossbreed ewes that were 2-4 years old and weighed  $85 \pm 6.0$  kg.

161 The order of all combinations of species, plant height and ewe was randomized,  
162 and each day (between 12 and 16 h) 8-9 of these combinations (sessions) were  
163 observed during six consecutive days. Randomization was restricted such that  
164 each of the four combinations of species and plant height and the three ewes  
165 were observed at least in one session each day. Animals were fed alfalfa hay  
166 and spent the rest of the day in an adjacent yard.

167 Sounds were recorded using wireless microphones (Nady 155 VR, Nady Sys-  
168 tems, Inc., Oakland, California) protected by a rubber foam and placed on  
169 the animal's forehead fastened to a halter where the transmitter was attached  
170 (see Figure 2). Sound was recorded on the sound track of a digital camcorder.

171 A watch alarm was set to go off next to the microphone every 10 s as standard  
172 sound. Recordings contain various types of natural environmental noises, like  
173 bird songs. However, denoising was not applied, signals were fed to the recog-  
174 nizer as recorded in natural environment. Sounds were originally digitized into  
175 uncompressed PCM wave format, using a mono channel with a resolution of  
176 16 bits at a sampling frequency of 44100 Hz. Due to the low-frequency nature  
177 of signals they were re-sampled to 22050 Hz after processing by an appropriate  
178 low-pass filter.

179 A preliminary comparison of the typical waveforms and spectrograms illus-  
180 trates the differences between a chew, a bite and a chew-bite of grazing sheep.  
181 Figure 3 shows the temporal sound wave and spectrogram of a sequence of  
182 chews, bites and chew-bites of grazing sheep. Bites appear as a sequence of

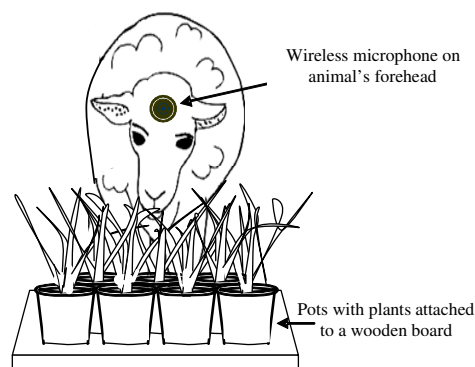


Figure 2. Schematic illustration of the experimental device for sound recording.

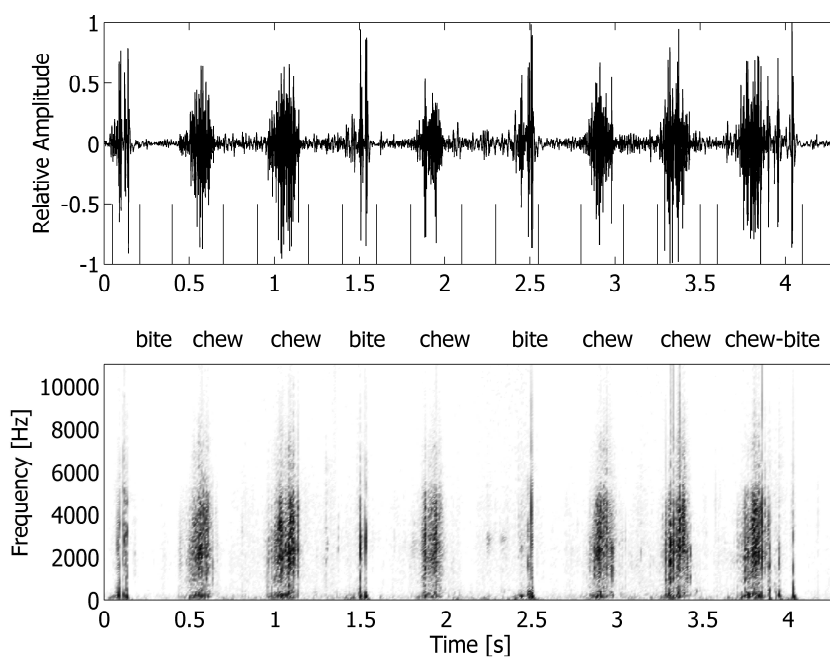


Figure 3. Sound wave (top) and narrow band spectrogram (bottom) of a sequence of chews, bites and chew-bites of grazing sheep.

183 short bursts of high frequency (Figure 4). Chewing has a relative high energy  
184 in the lower half of the spectrum, sustained during a large proportion of its  
185 duration (Figure 5). The chew-bite is a composite signal, relatively difficult  
186 to be distinguished from the isolated chew signal (Figure 6). Sounds of all events  
187 have non-stationary behaviour and their relative frequency contents overlap.

188 The corpus was formed by the original sound recordings of sheep grazing  
189 short alfalfa, tall alfalfa, short orchardgrass and tall orchardgrass. Chews,

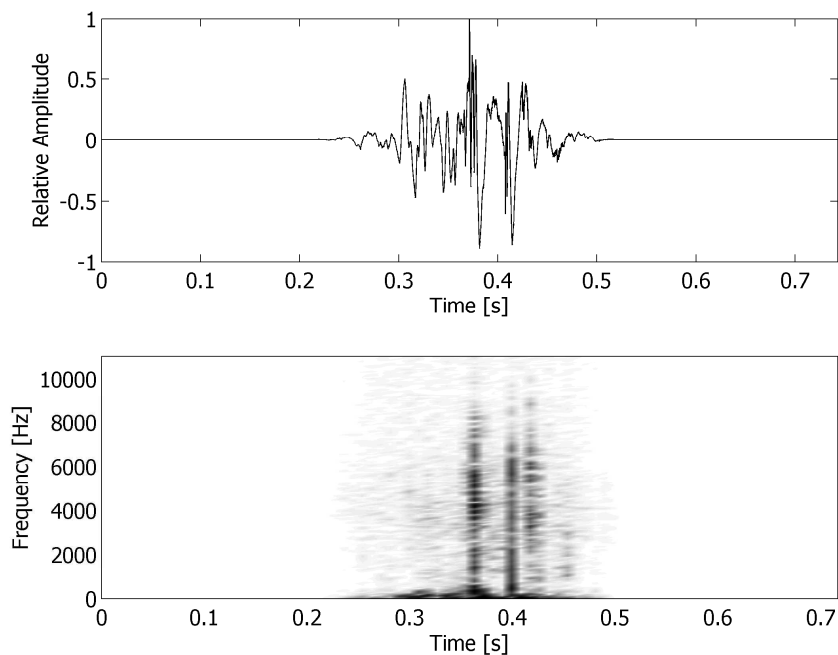


Figure 4. Sound wave (top) and narrow spectrogram (bottom) of an artificially isolated bite of grazing sheep.

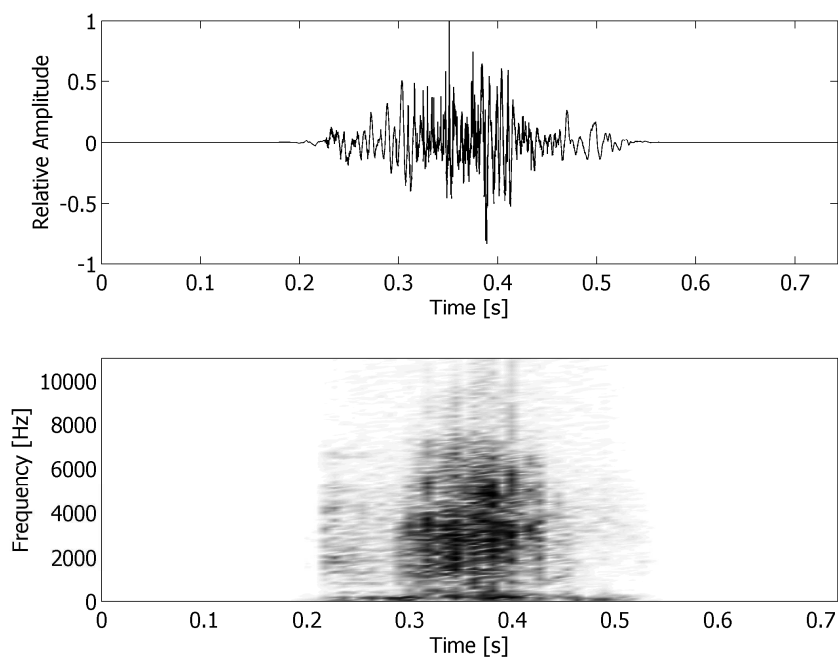


Figure 5. Sound wave (top) and narrow band spectrogram (bottom) of an artificially isolated chew of grazing sheep.

190 bites and chew-bites were identified and labeled by animal behaviour experts  
191 through direct vieweing and listening of the video files. The total lengths of  
192 the registered sound data base were 563 and 457 seconds for tall and short

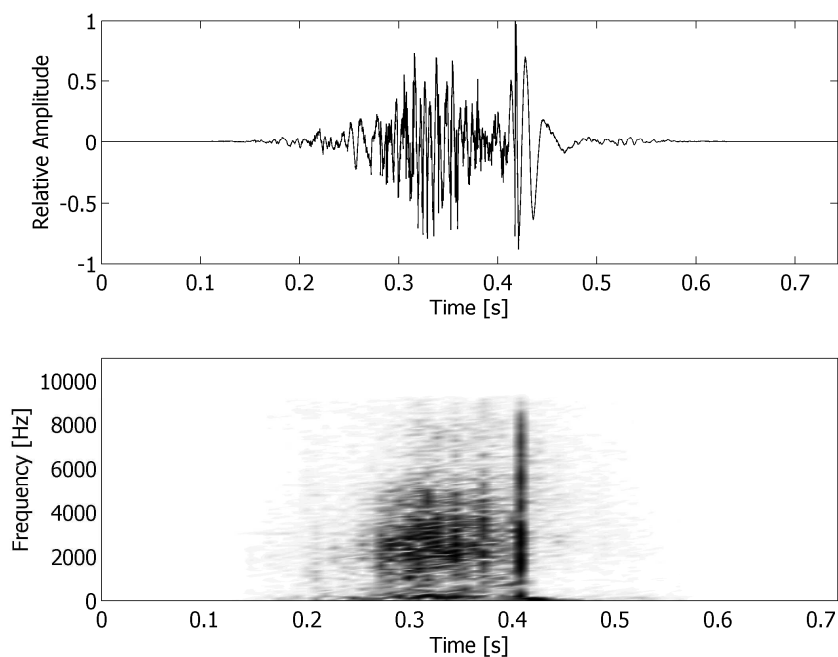


Figure 6. Sound wave (top) and narrow band spectrogram (bottom) of an artificially isolated chew-bite of grazing sheep.

193 alfalfa and 420 and 373 seconds for tall and short orchardgrass.

### 194 3.2 Signal analysis and recognition model

195 Signal preprocessing consisted of a preemphasis filter and mean subtraction.  
196 Because of the non-stationarity of the signal, the next step is to apply short-  
197 time analysis techniques. This type of analysis consists in split the whole  
198 signal record in short segments called *frames* (Cohen, 1995). These frames are  
199 extracted with some periodicity named *step* and have a characteristic time  
200 *width*. If the frame width is greater than the step, two consecutive frames will  
201 share some samples from the signal record. Each frame was smoothed with a  
202 Hamming window to avoid the border effects of the splitting process, and then  
203 it was analyzed with several standard spectral estimation techniques, such  
204 as: linear prediction coefficients (LPC), linearly spanned filter-bank (FB), log  
205 spanned FB, mel FB, cepstrum and mel cepstrum (Oppenheim and Schaffer,

206 1989).

207 In the recognition model design, each event (*bite*, *chew* and *chew-bite*) was  
208 modeled as a concatenation of sub-events (*bite* = [ $b1 \leftrightarrow b2$ ], *chew* = [ $c1 \leftrightarrow$   
209  $c2 \leftrightarrow c3$ ] and *chew-bite* = [ $cb1 \leftrightarrow cb2 \leftrightarrow cb3$ ]) and, each sub-event as an HMM.  
210 Also, one sub-event could have several states and each state could observe one  
211 or more frames. The silence was also modeled as a *sil* event<sup>1</sup>. Finally, the  
212 individual event models were associated by a language model (LM) into a  
213 compound model for every possible sequence of events.

214 In Fig. 7 we can see the compound model used for the recognition experiments  
215 (expanded with more detail for the event *chew*). Each HMM has its states,  
216 transition probabilities and probability density functions for the emissions.  
217 In our case, gaussian mixtures were used at each state to model the spectral  
218 features (i.e., CHMM). We used the above mentioned Baum-Welch algorithm  
219 in order to train the HMM transition and observation probabilities.

220 The LM allows concatenating large sequences of events to model the whole  
221 signal record (in a statistical way). In a simple bigram LM, the *a priori* prob-  
222 ability that an event occurs given a previous event is used (Jelinek, 1999).  
223 For example, a *bite* is frequently followed by a *chew*, thus, the probability  
224 for the sequence [*bite chew*] is greater than the probability for the sequence  
225 [*bite bite*]. In the same sense the probability for the sequence [*chew chew*]  
226 would be greater than the probability for the sequence [*chew bite*]. Figure 8  
227 shows the general bigram LM used in this work.

228 Once trained, we used the model to recognize unknown sounds by means of  
229 standard Viterbi algorithm (Rabiner and Juang, 1993). All the experiments

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<sup>1</sup> In some experiments the *sil* event was modeled as a sub-event of the others events.

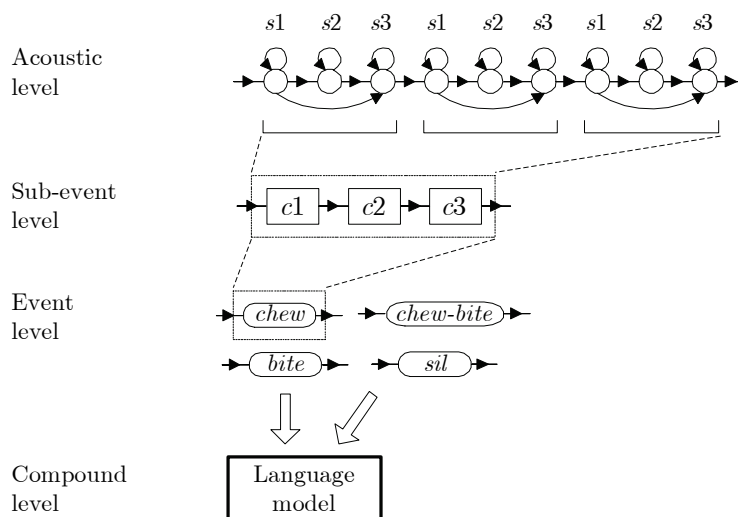


Figure 7. Schematic diagram of the compound model used for the recognition experiments. The different states represent the events at the acoustic level, while individual HMMs have been used to represent the sub-event level. Each sub-event model was merge into an event model by a dictionary and all this events are associated in a compound model by a language model.

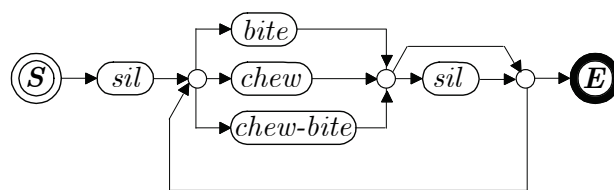


Figure 8. General language model used for the experiments.  $S$  means “start” and  $E$  means “end”.

230 were implemented using the HTK toolkit<sup>2</sup>. The configuration details will be  
 231 given in the next section.

232 The before mentioned compound model was used for the experiments with  
 233 only one species and height of pasture. In this case the model had three possible  
 234 output classes of events for the sequence (*bite*, *chew* and *chew-bite*). It was  
 235 a *pasture dependent* model (for one species and one height). Four different  
 236 pasture dependent models were trained: tall alfalfa ( $M_{TA}$ ), short alfalfa ( $M_{SA}$ ),  
 237 tall orchardgras ( $M_{TO}$ ) and short orchardgrass ( $M_{SO}$ ).

238 In order to construct the *pasture independent* model ( $M_{ind}$ ) we followed a

<sup>2</sup> <http://htk.eng.cam.ac.uk>

239 similar way but using twelve events classes (and silence): one *bite*, *chew* and  
 240 *chew-bite* different model for every one of each four pasture conditions. For  
 241 example for tall alfalfa we had  $bite_{TA}$ ,  $chew_{TA}$  and  $chew-bite_{TA}$  events, for  
 242 short alfalfa we had  $bite_{SA}$ ,  $chew_{SA}$  events and  $chew-bite_{SA}$ , and so on. All  
 243 these events were associated in an overall model, extending the LM to include  
 244 12 events.

### 245 3.3 Recognition performance measures

246 In order to achieve statistically representative results, all the tests were con-  
 247 ducted according to the averaged leave- $k$ -out method (Michie et al., 1994).  
 248 The complete data set was randomly split 10 times in train/test sets, using  
 249 the 80% of total signals for training and the remaining 20% for recognition.

For each test partition, the performance was evaluated by using the recognition rate and the recognition accuracy, respectively as follows:

$$C_j = \frac{\sum_{i=1}^{N_j} T_{ji} - D_{ji} - S_{ji}}{\sum_{i=1}^{N_j} T_{ji}} \quad A_j = \frac{\sum_{i=1}^{N_j} T_{ji} - D_{ji} - S_{ji} - I_{ji}}{\sum_{i=1}^{N_j} T_{ji}}$$

250 where:

251  $N_j$  : total number of sequences in test partition  $j$

252  $T_{ji}$  : total number of events in the sequence  $i$  of the test partition  $j$

253  $D_{ji}$  : deleted events in the recognized sequences  $i$  of the test partition  $j$

254  $S_{ji}$  : substituted events in the recognized sequences  $i$  of the test partition  $j$

255  $I_{ji}$  : inserted events in the recognized sequences  $i$  of the test partition  $j$

256

257 In all the counts, silence events are ignored and the final results are computed  
 258 as the average among all test partitions. To obtain the  $D_{ji}$ ,  $S_{ji}$  and  $I_{ji}$  counts,  
 259 a dynamic programming alignment between the hand labeled reference se-  
 260 quence ( $Ref_{seq}$ ) and the recognized sequence ( $Rec_{seq}$ ) was performed (Young  
 261 et al., 2000). An example of the recognizer output is reproduced here with the  
 262 corresponding hand-labeled reference:

263  $Ref_{seq}$ : [ bite chew chew chew chew bite chew chew ]  
 264  $Rec_{seq}$ : [ bite chew chew bite chew chew chew chew ]

264 The second bite from the recognized sequence is an insertion and the fifth  
 265 chew is a substitution. The last event from the reference sequence has not  
 266 been recognized so it is a deletion. From this example it can be seen that  $A_j$   
 267 is a much more exigent measure since it considers insertions while  $C_j$  does  
 268 not.  $A_j$  is very useful to make decisions about the overall system performance,  
 269 because if  $I_{ji}$  is greater than  $T_{ji}$ ,  $C_j$  can be high (near 100%) while  $A_j$  will  
 270 be negative. However, once a system has reached a reasonable  $A_j$  (say, 80%)  
 271 then  $C_j$  can be used to select the best system configuration.

272 We also used the *confusion matrix* as another method to evaluate classification  
 273 performance. In confusion matrices, each column represents a predicted event  
 274 class, while each row represents the actual class. One benefit of a confusion  
 275 matrix is that it is easy to see if the system is confusing two classes.

## 276 4 Experiments and Results

277 For the feature extraction the best recognition rates among parametric tech-  
 278 niques were for LPC. For non-parametric techniques best results were for



279 linearly spanned FB. We employed 10 linearly spanned bands between 0 and  
280 2000 Hz for the FB and 20 coefficients for LPC. In addition, an estimation of  
281 the derivatives and total energy in the spectral estimation was used. Frame  
282 width ranged from 10 ms to 100 ms in steps of 10 ms and overlapping ranged  
283 from 0% to 75% in steps of 25%. Best results were obtained with a frame  
284 width of 20 ms and an overlapping of 25%.

285 In the tuning of the HMM architecture, we first made tests using one model per  
286 event, where the number of emitting states ranged from 2 to 8. These results  
287 were useful as a baseline for the following stage. Based on visual inspection of  
288 the sound signal, chews and chew-bites were modeled by three sub-events, bites  
289 with two and silence with only one. This division in sub-events is justified by  
290 the different spectral evolution between ingestive events but not for the silence  
291 that should remain almost stationary.

292 Using the Gaussian means of the trained models, one can build the estimated  
293 spectra that HMM holds in each state. This could provide some insights about  
294 the internal model representation of spectral signal dynamics, remarking the  
295 important characteristics that it takes into account. As an example we used  
296 the  $M_{TA}$  trained. The spectral dynamic for bite is modeled by two sub-events  
297 ( $b1$  and  $b2$ ) each with three states (Figure 9). Chew is modeled by three sub-  
298 events ( $c1$ ,  $c2$  and  $c3$ ) each with three states (Figure 10). Chew-bite is also  
299 modeled by three sub-events ( $cb1$ ,  $cb2$  and  $cb3$ ) each with three states (Figure  
300 11). Bite spectra for the first state of  $b1$  has an important peak around 1  
301 kHz, alternating between narrow and broad-band spectra for the rest of the  
302 states. It also finishes the last state of  $b2$  with a peaked spectrum again. If  
303 we carefully inspect Figure 4, this is compatible with the sequence of short  
304 duration bursts (naturally broad-band) and low energy regions (which presents

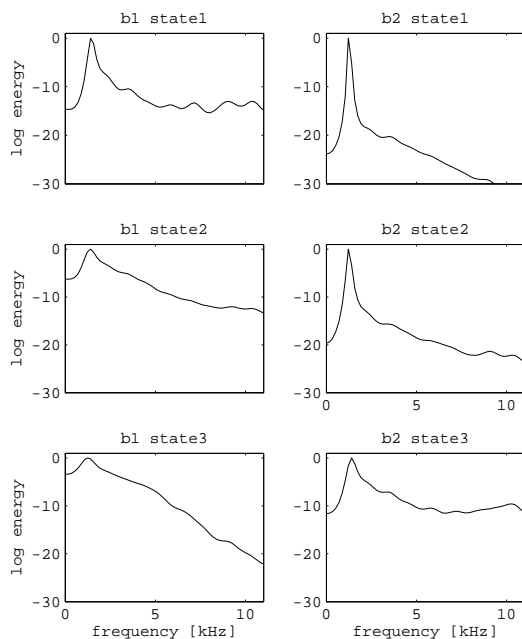


Figure 9. Spectra of bite estimated by the tall alfalfa model after the training process, computed from the Gaussian means of LPC parameters of each model state. Columns: sub-events; Rows: states.

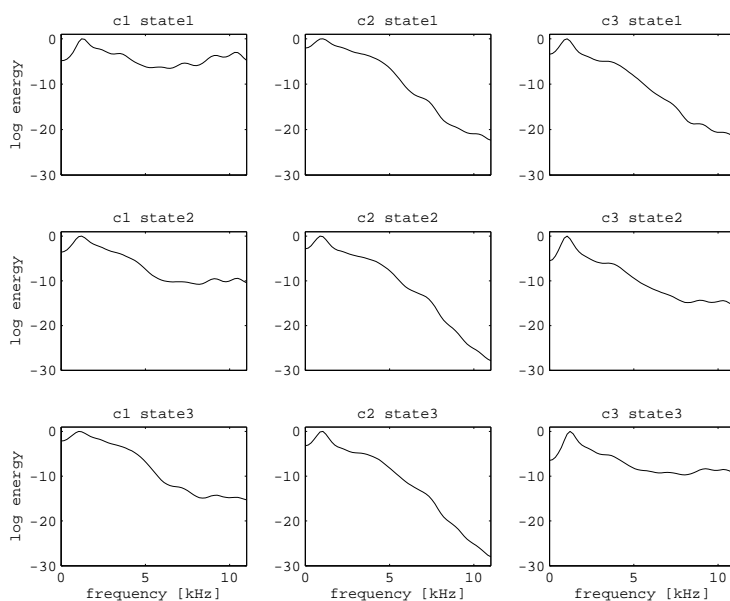


Figure 10. Spectra of chew estimated by the tall alfalfa model after the training process, computed from the Gaussian means of LPC parameters of each model state. Columns: sub-events; Rows: states.

305 relative concentration of energy around 1 kHz) displayed in it. Chew spectra  
306 varies from one with a relative flatness for *c1* to one more concentrated around  
307 the bottom half in *c2*, finishing with spectra in *c3* similar to *c1* (but in reverse

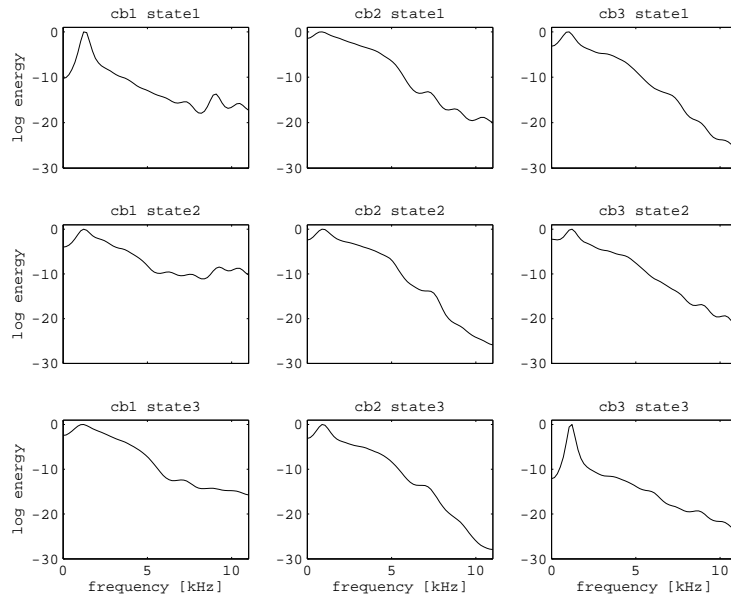


Figure 11. Spectra of chew-bite estimated by the tall alfalfa model after the training process, computed from the Gaussian means of LPC parameters of each model state. Columns: sub-events; Rows: states.

308 order). This is clearly related with the example of chew presented in Figure  
309 5. As expected, spectrum of the first sub-events of chew-bite were similar to  
310 those of chew while the last (*cb3* state 3, Figure 11) was similar to those of  
311 bite. This is associated with the composite signal nature observed in Figure  
312 6.

313 Our preliminary results (not shown here for brevity) revealed that, for all the  
314 cases, the models that used LPC as parameterization performed better than  
315 those that used FB. Also, the use of more than one model per event obtained  
316 higher recognition rates, compared to the baseline of one model per event.

317 After extensive trials, the best recognition results were obtained with a window  
318 of 20 ms and an overlapping 15 ms, using 3 emitting states per HMM. In this  
319 case, the system yielded an average  $A_j$  of 81.60% and an average  $C_j$  of 89.47%.  
320 This analysis was carried out using the tall alfalfa files and  $M_{TA}$  model, and  
321 it set the basic system configuration that was employed afterwards.

Table 1

Recognition results classifying pasture species and height, by relabeling  $M_{ind}$  model (see details in text).

	Average $A_j$ (%)	Average $C_j$ (%)
$M_{ind}^{dir-sil}$ species and height	51.59	58.11
$M_{ind}^{sub-sil}$ species and height	61.63	66.53
$M_{ind}^{sub-sil}$ species only	80.54	83.78

322 We will first present the detailed results of the pasture independent model  
 323  $M_{ind}$ . In this case we employed the previous described configuration and the  
 324 extended LM for 12 events. We used two different models for these exper-  
 325 iments: modelling the sil event directly ( $M_{ind}^{dir-sil}$ ) or as a sub-event of the  
 326 others events ( $M_{ind}^{sub-sil}$ ):  $chew = [c1 + c2 + c3 + sil]$  and  $bite = [b1 + b2 + sil]$ .  
 327 As explained before, the model was trained with the different pastures condi-  
 328 tions.

329 The grazing behaviour and diet selection by sheep are influenced by the sward  
 330 height and the relative species content of pastures (Illius et al., 1992). Conse-  
 331 quently it would be useful for reseachers to obtain an accurate classification of  
 332 ingestive sounds for different species and different heights within species. In or-  
 333 der to obtain different recognition results once trained we re-labelled the final  
 334 classes or events in the model. The first case was for the recognition of species  
 335 and height of pasture, that is a four classes problem. For example for tall alfalfa  
 336 class we replace  $bite_{TA} \rightarrow TA$ ,  $chew_{TA} \rightarrow TA$  and  $chew-bite_{TA} \rightarrow TA$ . The  
 337 second required result was the recognition of species only, that is a two classes  
 338 problem. For example for alfalfa class we replace  $bite_{TA} \rightarrow A$ ,  $chew_{TA} \rightarrow A$ ,  
 339  $chew-bite_{TA} \rightarrow A$ ,  $bite_{SA} \rightarrow A$ ,  $chew_{SA} \rightarrow A$  and  $chew-bite_{SA} \rightarrow A$ . The  
 340 results for this problems are shown in Table 1. When dealing with mixtures of  
 341 pastures, the system turned on recognizing the species and height of pasture  
 342 with a performance of 66.53%, while on the recognition of only the species the

Table 2

Confusion matrix for classifying bites, chews and chew-bites, by relabeling  $M_{ind}$  model (values in parenthesis are %).

	<i>bite</i> 's	<i>chew</i> 's	<i>chew-bite</i> 's
<i>bite</i> 's	438 (58)	160 (21)	154 (21)
<i>chew</i> 's	182 (4)	4658 (89)	380 (7)
<i>chew-bite</i> 's	90 (13)	222 (31)	402 (56)

343 result grew up to 83.78%.

344 Another case is to use  $M_{ind}^{sub-sil}$  to recognize the event without taking into  
 345 account the pasture conditions (i.e. for bite class we replace  $bite_{TA} \rightarrow bite$ ,  
 346  $bite_{SA} \rightarrow bite$ ,  $bite_{TO} \rightarrow bite$  and  $bite_{SO} \rightarrow bite$ ). The results are displayed  
 347 in Table 2. Overall classification performance of events was 82%, where we  
 348 obtained 58% for bites, 89% for chews and 56% for chew-bites (see confusion  
 349 matrix diagonal, Table 2). Chews were classified as chew-bites in 7% of the  
 350 cases, chew-bites were partially misclassified as bites in 13% and chews in 31%.

351 In the pasture dependent models, where only one species and height were  
 352 considered, recognition values were generally higher than the previous ones.  
 353 The results for tall alfalfa  $M_{TA}^{sub-sil}$  were 74, 96 and 61% of bites, chews and  
 354 chew-bites, respectively. Similar results were obtained for short alfalfa  $M_{SA}^{sub-sil}$   
 355 (68, 94 and 49 %). Tall orchardgrass  $M_{TO}^{sub-sil}$  resulted in 66, 90 and 39% and  
 356 short orchardgrass  $M_{SO}^{sub-sil}$  performed slightly worse with 18, 77 and 74%,  
 357 probably due to a relative lower signal to noise ratio for this type of sounds.

## 358 5 Discussion

359 To our knowledge this is the first time an automatic recognition of ingestive  
 360 chewing of ruminants is done and it extends the use of HMM beyond vocal-

361 izations studies in wild animals (Clemins et al., 2005; Reby et al., 2006).

362 The systems was effective and robust for the automatic segmentation and  
363 classification of chewing behaviour in sheep. It speed-up the processing of  
364 data and leaving the real time factor from hours to minutes, including the  
365 analysis of other useful variables such as energy of chews (Galli et al., 2006)  
366 while (Laca and Wallis DeVries, 2000) took nearly of 120 hours to manage  
367 nearly 1000 bites and chews of steers.

368 The individual recognition models for each species have better performance  
369 than using a unique overall model. These last ones had a high error rate mainly  
370 because of event substitution. Nevertheless, they could be useful for prelimi-  
371 nary segmentation of signals with unknown species and height of pasture.

372 The values obtained for window width and overlapping are indicators of the  
373 signal stationarity, in the sense that its spectral characteristics (LPC or the  
374 spectrum itself) do not show significant variation in the interval, so one frame  
375 can be distinguished from another using a spectral distance measure. The  
376 3-state models have demonstrated to be sufficient to model human speech  
377 phonemes, so we consider reasonable that best results have been obtained  
378 with models of few states. Carefully inspection of Figures 9, 10 and 11 seems  
379 to indicate that the proposed number of states and sub-events allows the model  
380 to correct follow the spectral dynamic of the events. A priori, chewing sounds  
381 do not exhibit the complexity of speech, at least when considering the sound  
382 generation mechanisms and the information content of both signals.

383 The surrounding noise such as animal vocalization and scratching against the  
384 ground are difficult to filter out automatically. The overall system performance  
385 is being altered because this sounds are generally classified as masticatory

386 events (they are not strictly silence) and this yields an error by insertion.  
387 However, these sounds can be discarded by a previous stage because in gen-  
388 eral are of short duration and low energy compared to those of the masticatory  
389 events. Studies to determine if these sounds are very frequent must be made,  
390 and a model for these events could be added to the system in order to im-  
391 prove the general performance. This fact does not imply a major deviation in  
392 the overall signal energy thus does not represent a problem when using the  
393 chew energy for dry matter intake prediction (Laca and Wallis DeVries, 2000;  
394 Galli et al., 2006). However, they represent an error when analyzing grazing  
395 behaviour because they introduce a bias in the chews per bite ratio. Several  
396 denoising techniques are also available, which could be used to improve signal  
397 quality previously the starting of the recognition process.

398 The compound jaw movements, namely chew-bites and already detected in  
399 cattle by Laca and Wallis DeVries (2000) were acoustically confirmed in sheep  
400 and their spectra automatically recognized by trained HMM.

401 Since much of the acoustic signal generated by mechanical interaction of teeth  
402 and food during occlusion is transmitted by bone conduction, the direct at-  
403 tachment of the microphone to the forehead of bovine (Laca et al., 1994) or  
404 head of mule deer (Nelson et al., 2005) picks up a wider range of sound than a  
405 free-standing (collar-mounted) microphone, and can more easily pick up the  
406 vibrations associated with mastication. The location is unobtrusive and proven  
407 acceptable in controlled applications but for grazing extensive conditions could  
408 be exposed to serious damage. The ear canal is one another possible place to  
409 locate the microphone as a more secure and insulated position as already  
410 proved in human (Amft et al., 2005). Furthermore, our system can be used  
411 for real-time monitoring, at short distances, with wireless microphones. For an

412 implementation in a wide area, we are planning to incorporate a transmission  
413 system over the cellular network or to use a recording system for several days  
414 and then to process the signals in an standard personal computer. Alterna-  
415 tively, a digital signal processor may be integrated with the microphone in the  
416 forehead of each animal, but this may be a quite expensive solution.

## 417 6 Conclusions and future work

418 We conclude that the automatic segmentation and classification of mastica-  
419 tory sounds by sheep is possible by modelling the acoustical signals with con-  
420 tinuous hidden Markov models. Models were tuned for optimal performance  
421 using a compound model with different levels of analysis, from the acous-  
422 tic of sub-events to the long-term dependence given by the intake language  
423 model. This study provides a basis for future work on the complete automa-  
424 tion of recording, segmentation and classification of masticatory sounds for  
425 intake and grazing animal behaviour studies and for a wide application of the  
426 acoustical method.

427 The ultimate goal of a system that precisely and reliably determines the type  
428 and amount of food that the animal consumed is far. However, considering the  
429 rate at which new commercial technologies become available, and the develop-  
430 ment and analysis of larger sound data-bases, we can visualize an automated  
431 acoustic monitoring system for ingestive behaviour in ruminants.

432 The novel approach we used has potential to be used no only as a technique  
433 to automatically record and classify ingestive sounds, but also as a new way  
434 to describe ingestive behaviour and to relate it to animal and forage char-



435 acteristics. We hypothesize that the parameters for the language models are  
436 synthetic descriptors of ingestive behavior that have the ability to integrate  
437 the characteristics of feeding bouts into a few numbers. These numbers, such  
438 as transition probabilities between behaviors and components of events could  
439 be used to gain insight in the ingestion process. Automatic acoustic moni-  
440 toring of ingestive behaviours is also valuable to assess animal welfare in a  
441 manner that cannot be achieved with other methods, not even by direct vi-  
442 sual observation. Ruminants can chew and bite within a single jaw movement.  
443 Thus, mechanical or visual methods cannot fully discriminate these events  
444 into simple bites or chew-bites. Chewing is a fundamental behavior for the  
445 maintenance of rumen function and animal well-being because it supplies the  
446 rumen with saliva, enzymes and buffering compounds. Acoustic monitoring  
447 provides the most accurate quantification of chewing, and could be developed  
448 into a routine method to monitor animals such as dairy cows that are subject  
449 to the stresses of extremely high productivity.

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