Small Molecule Therapeutics

## Molecular Cancer Therapeutics

#### $\frac{2}{3}$ Q1 A Tricin Derivative from Deschampsia Antarctica $_4$ Q2 **Desv. Inhibits Colorectal Carcinoma Growth and** 5Liver Metastasis through the Induction of a



6 Q3 **Specific Immune Response** 

7 AU Mariana Malvicini<sup>1</sup>, Ana Gutierrez-Moraga<sup>2,3</sup>, Marcelo M. Rodriguez<sup>1</sup>, Sofia Gomez-Bustillo<sup>1</sup>,

- Lorena Salazar<sup>2</sup>, Carlos Sunkel<sup>2</sup>, Leonor Nozal<sup>4</sup>, Antonio Salgado<sup>4</sup>, Manuel Hidalgo<sup>5</sup>, 8
- Pedro P. Lopez-Casas<sup>6</sup>, Jose Luis Novella<sup>4</sup>, Juan Jose Vaquero<sup>4</sup>, Julio Alvarez-Builla<sup>4</sup>, Adda Mora<sup>7</sup>, Manuel Gidekel<sup>2,3,7,8</sup>, and Guillermo Mazzolini<sup>1</sup> 9

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#### 11 Abstract

12In colorectal carcinoma patients, distant metastatic disease is 13present at initial diagnosis in nearly 25% of them. The majority of 14 patients with metastatic colorectal carcinoma have incurable dis-15ease; therefore, new therapies are needed. Agents derived from 16medicinal plants have already demonstrated therapeutic activities 17 in human cancer cells. Antartina is an antitumor agent isolated from Deschampsia antarctica Desv. This study aimed to evaluate the 18 19antitumor properties of Antartina in colorectal carcinoma models. 20We used human and murine colorectal carcinoma cell lines for 21investigating proliferation, apoptosis, and cell-cycle effects of 22Antartina therapy in vitro. Avatar and immunocompetent colorec-23tal carcinoma animal models were applied for evaluating the 24effects of Antartina in vivo. Immune response against colorectal 25carcinoma model was investigated using CTL assay, analyzing 26dendritic cell activation and intratumor T-cell subpopulation, and 43

#### 44Introduction

45Colorectal carcinoma is a major cause of cancer-related mor-46bidity and mortality worldwide and is responsible for nearly 47700,000 deaths each year (1). For metastatic disease, surgery is

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by tumor rechallenge experiments. Antartina inhibits in vitro 28 29 human colorectal carcinoma cell proliferation; however, in vivo experiments in Avatar colorectal carcinoma model Antartina dis-30 31 play a limited antitumor effect. In an immunocompetent colorectal carcinoma mice model, Antartina potently inhibited tumor 32growth and liver metastases, leading to complete tumor regressions 33 in >30% of mice and increased animal survival. In addition, 34 Antartina induced a potent specific cytotoxic T-cell response 35against colorectal carcinoma and a long-lasting antitumor immu-36 nity. Interestingly, Antartina increased tumor immunogenicity and 37 stimulated dendritic cell activation. No toxic effects were observed 38 at the doses employed. Our findings showed that Antartina has the 39 ability to induce antitumor immunity against colorectal carcinoma 4041 and can be used to develop new tools for the treatment of colorectal carcinoma. Mol Cancer Ther; 1-11. ©2018 AACR. 42

a potential curative option, but less than 20% to 30% of patients are suitable for resection due to clinical or technical causes, including extrahepatic disease (2). In the past few years, multidisciplinary approaches such as chemotherapy regimens, radioembolization, and targeted therapies have been applied to improve patient survival, but as noted above still remains significant mortality (3). Therefore, new therapeutic therapies are needed for patients with a more advanced stage of the disease (4).

With its unique weather and environmental characteristics, Antarctica is home to an extraordinary variety of extremophile organisms, including vascular plants such as Deschampsia antarctica (5). There are several examples of novel psychrophilic enzymes and new molecules isolated from organisms found in Antarctica with potential uses in medicine (6). In particular, Deschampsia antarctica is able to tolerate high UV exposure due to the production of secondary metabolites as photoprotector agents, especially flavonoid-like molecules (7, 8). Tricin, a flavonoid type compound from the secondary metabolic pathways in plants, has importance to plant growth by protecting against disease, weeds, and microorganisms (9). Tricin is present in herbaceous and cereal plants and exists as free tricin and its derivatives, such as tricin-glycosides (9). The flavone tricin and their derivatives have been shown to exhibit antiproliferative activity against several human cancer cell lines, cancer chemoprotective effects in the gastrointestinal tract of mice, and

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<sup>&</sup>lt;sup>1</sup>Gene Therapy Laboratory Instituto de Investigaciones en Medicina Traslacional 04 Facultad de Ciencias Biomédicas. CONICET- Universidad Austral. Buenos Aires.

Argentina. <sup>2</sup>Universidad Autónoma de Chile, Providencia, Chile. <sup>3</sup>Universidad de 05 La Frontera, Temuco, Chile, <sup>4</sup>Departamento de Química Orgánica, Universidad de Alcalá, Madrid, Spain, <sup>5</sup>Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, Massachusetts. <sup>6</sup>Gastrointestinal Cancer Clinical Research Unit, CNIO - Spanish National Cancer Research Center, Madrid, Spain, <sup>7</sup>Creative BioScience, Huechuraba, Santiago, Chile. <sup>8</sup>Department of Entomology & Nematology, University of California, Davis, Davis, California,

Corresponding Authors: Guillermo Mazzolini, CONICET-Universidad Austral, Av. Presidente Perón 1500, Pilar, Buenos Aires B16290DT, Argentina. Phone: Q6 5423-2048-42618; Fax: 5423-2248-2205; E-mail: gmazzoli@cas.austral.edu.ar;

and Manuel Gidekel, Universidad Autonoma de Chile, Providencia, Chile. E-mail: 07 mgidekel@gmail.com

76 antioxidative effects (10-13). The reported antitumor activities of 77 flavonoids involve the inhibition of proliferation and induction 78 of apoptosis, suppression of protein tyrosine kinase activity, and 79antiangiogenic effects (14). However, little is known about the 80 role of tricin derivatives and its bioactivity as anticancer molecule. 81 Antartina (tricin 7-O-beta-D glucopyranoside) was isolated from 82 the Antarctic plant Deschampsia antarctica and has been previously 83 reported from other plants (15, 16). Because of low natural 84 availability, a synthesis was conducted, and herein, we report on 85 the antitumor activity of Antartina produced synthetically.

In this work, we show that Antartina induces a potent and
specific immune response against colorectal carcinoma in mice.
Therefore, Antartina represents a promising therapeutic agent for
colorectal carcinoma patients.

#### 90 Materials and Methods

#### 91 Antartina

92Tricin 7-O-β-D-glucopyranoside (initially called peak 10; molecular formula: C23H24O12; CAS no. 32769-01-0, purity 93 94>99.2%) was detected and isolated from aqueous extracts of 95 Deschampsia antarctica Desv. (Poaceae). Synthetic Antartina was 96 supplied by the Centro de Química Aplicada y Biotecnología 97 (CQAB), Universidad de Alcalá, Alcalá de Henares (Madrid, 98 Spain). To determine the structure of Antartina, a yellow amor-99 phous solid, encoded as peak 10, was used, obtained from 100 aqueous extract MCC12-034-03 (JON 2009) according to meth-101 odology developed by CQAB, by solid phase extraction and then semipreparative high-performance liquid chromatography 102(HPLC). The mass spectrum (MS) analysis using the internal 103104CQAB method confirms that the purity of the isolated sample 105was greater than 99.2% (detection at 254 nm). The MS, in 106 electrospray positive mode (ESI<sup>+</sup>), gives rise to a peak with a 107 mass/charge ratio (m/z 493), whereas in electrospray negative mode (ESI<sup>-</sup>) an m/z signal 491. This indicates a molecular mass 108109 for the product of 492 Da. A high-resolution time-of-flight mass 110 analysis gave m/z 492.1268 (Calc. 492.1267) corresponding to a formula of C<sub>23</sub>H<sub>24</sub>O<sub>12</sub>. The <sup>1</sup>H and <sup>13</sup>C nuclear magnetic reso-111 112 nance (NMR) analyses validate that the product is the 5-hydroxy-2-(4-hydroxy-3,5-dimethoxy-phenyl)-7-[(2S,3S,4R,5S,6R)-3,4,5-113 114trihydroxy-6-(hydroxymethyl)tetrahydropyran-2-yl] oxychromen-1154-one. Then, a synthetic method has been developed, and all the Antartina samples used in the tests were of synthetic origin. 116

#### 117 Cell lines

Mouse CT26 tumor cell line, an undifferentiated murine 118 119 colorectal carcinoma cell line established from a N-nitroso-N-120 methylurethan-induced transplantable tumor in BALB/c (H-2d) 121 mice and BNL cells (a hepatoma cell line) was kindly provided by 122Prof. Jesús Prieto, University of Navarra (Pamplona, Spain). We 123have maintained these cell lines since 2007, and they have been 124tested for mycoplasma every time that they were thawed and 125cultured by the PCR-based detection procedure involving 126three steps: cell culture supernatant collection, DNA isolation, and PCR. Human cell lines were purchased from ATCC: LoVo 127128(ATCC-CCL229); ASG (ATCC-CCRL-79); and lung fibroblasts Wi38 129(ATCC-CCL75). No authentication was done by the authors.

130All the cell lines were tested for mycoplasma as we described131above and cultured in complete DMEM (GIBCO, Thermo Fisher132Scientific; 2 mmol/L glutamine, 100 U/mL penicillin, 100 mg/mL133streptomycin; and 10% heat-inactivated FBS; GIBCO, Thermo

# Fisher Scientific) and incubated at $37^{\circ}$ C in a 5% CO2 humidified135atmosphere. For *in vitro* assays, cells were thawed and cultured136generally for 48 hours, until 90% to 100% confluence. Then, cells137were dissociated using tripsin, passed, and cultured for another 48138hours. For *in vivo* experiments, cells were cultured at least for one139week with two or three passages until inoculation.Q8 140

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#### Drugs

Antartina was dissolved in 0.1% DMSO and sterile water and 142injected intraperitoneally at the doses and the schedule indicated 143 or used for *in vitro* assays at different concentrations (0.1, 1, 10, 144 100, and 1,000 µg/mL). Tricin was dissolved in 0.3% DMSO 145and sterile water and injected intraperitoneally. Fluorouracil 146Rontag (5-FU) 500 mg was diluted in saline at indicated con-147 centrations for in vitro assays or injected intraperitoneally for 148 in vivo experiments. 149

In vitro experiments

Cell viability assays. LoVo, ASG, Wi38, and CT26 cells were plated 151 onto 96-well plates at a density of  $5 \times 10^3$  cells per well and 152cultured with DMEM 0.1% DMSO or Antartina at different doses. 153Forty-eight and 72 hours after treatment, viability was determined 154by the MTS assay (Promega). The plates were incubated for 4 155hours; the absorbance of each well was read at 490 nm. All assays 156were performed in quadruplicate, and each assay was repeated at 157least twice. Morphologic features associated with apoptosis were 158analyzed by acridine orange (AO) and ethidium bromide (EB) 159staining. CT26 cells were treated with 10 µg/mL Antartina, and 16024 or 72 hours later, cells were resuspended in the dye mixture 161(100 µg/mL AO and 100 µg/mL EB in PBS) and visualized by 162fluorescence microscopy (Nikon Eclipse E800). 163

Cell-cycle analysis. CT26 cells  $(1 \times 10^6)$  cultured with DMEM or164Antartina were collected, washed in PBS, and fixed in a mixture of165ice-cold 70% (v/v) ethanol, FBS, and distilled water. Fixed cells166were centrifuged and stained with propidium iodide (PI) solution167(50 µg/mL PI, 180 U/mL RNase). DNA content was determined168using a FACS Accuri 6 laser flow cytometer (Becton Dickinson).169

#### In vivo experiments

Avatar model. Four- to 6-week-old female athymic nude-Foxn1nu, 171(nu/nu) mice were purchased from Envigo. Animals were main-172tained at the Spanish National Cancer Research Centre Animal 173Facility (awarded with the AAALAC accreditation) in accordance 174with the guidelines stated in the International Guiding Principles 175for Biomedical Research Involving Animals, developed by the 176Council for International Organizations of Medical Sciences. All 177animal experiments were approved by the Competent Authority 178of Comunidad de Madrid (project PROEX 104/16). We attempted 179to establish an Avatar model from each of the patients following 180the methodology previously published by Hidalgo and colleagues 181182(17, 18)

Briefly, a tumor specimen obtained by a tumor biopsy was 183 transplanted and propagated in nude mice. Avatar models were 184mostly generated by specimens obtained from fresh biopsies of 185metastasis, as they were generally more accessible than the pri-186mary tumors and generally represent a more advanced tumor 187 clone with additional driver/aggressive mutations. Once the 188 tumor specimen was in an exponential growth phase, cohorts of 189mice with tumor sizes of 100 to 300 mm<sup>3</sup> were randomized to the 190 193 treatment groups indicated in the figures. Animals were 194treated with Antartina or 0.1% DMSO in sterile water intra-195peritoneally (Antartina; 5 mg/kg i.p., day 8; 3 times a week 196 during 3 weeks). Tumor growth was assessed 3 times a week by 197 caliper measurement.

198 Subcutaneous colorectal carcinoma model. Six- to 8-week-old male 199BALB/c mice were purchased from Fundación Balseiro (Buenos 200Aires, Argentina). Animals were maintained at our Animal 201 Resources Facilities in accordance with the experimental ethical 202committee and the NIH (Bethesda, MD) guidelines on the ethical use of animals. The Animal Care Committee from School of 203204Biomedical Sciences, Austral University (Buenos Aires, Argen-205tina), approved the experimental protocol.

206CT26 cells were injected at a dose of  $5 \times 10^5$  cells subcutaneously into the right flank of BALB/c mice (day 0). Tumors were 207208allowed to reach approximately 90 mm<sup>3</sup> in size before treatment. 209Animals were distributed in different groups and then treated with 2100.1% DMSO in sterile water intraperitoneally (control group, n =211 7), or Antartina (5 or 50 mg/kg i.p., day 8, n = 9), tricin (50 mg/kg 212n = 8), or 5-FU (50 mg/kg; n = 6) 3 times a week during 3 weeks. Tumor growth was assessed by caliper measurement. Tumor 213214volume was calculated using the following formula: tumor 215volume (mm<sup>3</sup>) = length × (width)<sup>2</sup>/2 (19).

216Liver metastatic colorectal carcinoma model. To study the effects of 217 Antartina in a more aggressive intrahepatic tumor model (20), 218 BALB/c mice received an intrahepatic inoculation of  $5 \times 10^5$  CT26 219cells (day 0). At day 8, mice were distributed in experimental 220 groups and treated with 0.1% DMSO in sterile water intraperitoneally (control group, n = 6) or Antartina (5 mg/kg i.p., n = 8) 3 221 222times a week during 3 weeks. At day 18, animals were sacrificed 223and the volume of metastatic nodules was measured with caliper.

224Adoptive T-cell therapy. On day 8, CT26 tumor-bearing mice received a single injection of  $1 \times 10^6$  CD3<sup>+</sup> T cells isolated by 225226magnetic cell sorting as it was described below, and as we previously 227 reported (21). We evaluated the effects of ATC with Antartina alone. 228 and untreated CT26 tumor-bearing mice were used as control. 229Tumor growth was assessed by caliper measurement.

230Long-term protection study. To evaluate protective immunity, 231animals that were free of tumor at 3 weeks after complete 232regression of primary tumors (7 weeks after first tumor inoculation) were challenged with 5  $\times$  10<sup>5</sup> CT26 cells on the left flank. 233

#### 234Ex vivo experiments

Histology. Tumor samples from experimental groups were 235236obtained 10 and 15 days after treatment and fixed in 10% 237phosphate-buffered formalin. Five-micrometer sections from par-238affin-embedded tissues were stained with hematoxylin and eosin 239(H&E) for histologic examination.

240Proliferation assay. To measure the proliferation of splenocytes cultured with 0.1 to 10 µg/mL of Antartina, [<sup>3</sup>H] thymidine 241242incorporation assay was performed. For splenocyte isolation, spleens from healthy mice were obtained, mechanically dis-243244rupted, and red blood cells were lysed. In vitro stimulation was 245performed in RPMI medium for 48 hours in 96-well plates containing 5  $\times$  10<sup>5</sup> splenocytes and Antartina or 10  $\mu$ g/mL of 246247concanavalin A as control of splenocytes proliferation. Each sample was analyzed 6-fold. Briefly, 5 µCi/mL [methyl-3H] 249 thymidine (specific activity 20 Ci/mmol; PerkinElmer) was added 250to culture and incubated for 24 hours. Cells were harvested 251252and radioactivity was determined by using a liquid scintillation counter (Beckman LS 6500). 253

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Flow cytometry analyses. Tumor samples were treated with 0.5 mg/mL Collagenase I at 37°C for 45 minutes and washed with PBS 1% BSA to obtain single-cell suspensions by mechanical disruption. Then, tumor cells were stained with PECy5 anti-CD4 (BD Biosciences) and Alexa Fluor 488 anti-CD8 (BD Biosciences) and their respective control isotypes. Staining of generated dendritic cells (DC) was carried out using different conjugated antibodies as follows: anti-CD11c, anti-MHC-II, anti-CD80, and their respective control isotypes (BD Biosciences). Cells were 262analyzed by flow cytometry (FACSAria, BD Biosciences) and the Cyflogic v. 1.2.1 software was used.

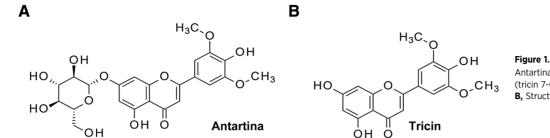
Tumor lysates. Seven days after treatment, tumor samples were 265obtained and frozen at  $-80^{\circ}$ C; then, samples were disrupted by 5 266 freeze-thaw cycles. To remove large debris, tumor lysates were 267centrifuged at 300 rpm for 10 minutes. The supernatant was 268collected and filtered (0.2 µm). The protein concentration of the 269 lysate was determined by Bradford assay. Tumor lysates were 270aliguoted and stored at  $-40^{\circ}$ C until use. 271

Bone marrow-derived DC generation. Bone marrow-derived DCs from untreated or Antartina treated tumor-bearing BALB/c mice were generated as described previously (22). Briefly, 10<sup>6</sup> bone marrow cells/mL were plated into 6-well plates and cultured for 7 days with 20 ng/mL of GM-CSF (PeproTech) at 37°C with 5% CO<sub>2</sub>. Medium was replaced on days 3 and 5 of culture. At day 7, DCs were centrifuged and pulsed with whole tumor lysates (200  $\mu$ g/10<sup>6</sup> cells/ml) at 37°C for 18 hours. In addition, bone marrow DCs were generated in the presence of 10 µg/mL Antartina and cultured during 7 days to characterize their status of maturation by flow cytometry.

Peripheral blood mononuclear cell-derived DC. Peripheral blood 283mononuclear cells from healthy donors and patients were 284 isolated by Ficoll-Paque gradient (Sigma). Cells were plated into 2856-well plates for 2 hours. Then, adherent cells were cultured for 2867 days in RPMI1640 medium (Invitrogen), containing 10% FCS 287 (Invitrogen), 2 mmol/L L-glutamine, 100 U/mL penicillin, 100 288 mg/mL streptomycin, human recombinant GM-CSF (Bio-289profarma, Growgen, 350 ng/mL), and 2-β-mercaptoethanol 290(0.05 mol/L). Cells were preconditioned with 10 µg/mL Antartina 291and stained with anti-human CD11c-PE, anti-human MHC-II-292 FITC, and anti-human CD80-APC (BD Biosciences) by flow 293294cytometry at day 7.

Isolation of CD3<sup>+</sup> T lymphocytes. To evaluate specific T-cell 295response induced by Antartina, CT26 tumo- bearing mice were 296treated as described above. Splenocytes from cured mice were 297isolated and pooled;  $2 \times 10^6$  cells/mL were cocultured with 298 mitomycin C-treated CT26 cells (2  $\times$  10<sup>5</sup>/mL) in a 24-well 299plate (1 mL/well) with mouse recombinant IL2 (10 IU/mL). 300 Seven days later, viable cells were harvested and washed, adjusted 301 to  $2 \times 10^6$ /mL, and cocultured again with mitomycin C-treated 302 CT26 cells with 10 IU/mL of IL2. The cytotoxic activity of har-303 vested cells was confirmed with the LDH Cytotoxicity Detection 304

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Antartina. **A**, Structure of Antartina (tricin 7-O- $\beta$ -D-glucopyranoside). **B**, Structure of tricin.

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Kit (Sigma-Aldrich). On day 14, viable cells were used for isola tion of CD3<sup>+</sup> T lymphocytes using anti-mouse CD3<sup>+</sup> MicroBeads
 and magnetic cell sorting following the manufacturer's recommendations (Miltenvi Biotec).

311 Cytotoxicity assay. Viable splenocytes from control or treated mice 312  $(1 \times 10^7)$  were stimulated *in vitro* with mitomycin C-treated CT26 cells (1  $\times$  10<sup>6</sup> cells/well in 24-well plates). On day 5, cells were 313 314 harvested and washed, adjusted to  $2 \times 10^6/mL$ , and added to 96-well plates (effector cells). To determine specific cytotoxic T 315316 lymphocyte (CTL) cytotoxicity activity, CT26 and BNL cells were 317used as target at  $2 \times 10^5$ /mL. After incubation for 4 hours at  $37^{\circ}$ C, 318 plates were centrifuged and cell-free supernatants were obtained. 319Levels of released LDH were evaluated with the LDH Cytotoxicity 320 Detection Kit and expressed as percentage of lysis.

321 Toxicity studies. BALB/c mice untreated or treated with Antartina 322 (5 and 50 mg/kg) were used to assess toxicology. Mice were 323 observed for more than 30 days. Animal weight was registered 324 and aspartate aminotransferase and alanine aminotransferase 325 levels were measured by standard colorimetric methods. Healthy 326 mice or mice receiving Antartina were sacrificed to collect liver, 327 spleen, and kidney samples. Paraffin-embedded tissues were 328 stained with H&E for pathologic analysis.

#### 329 Statistical analysis

330 All experiments were repeated at least three times. Values 331 were expressed as the mean  $\pm$  SEM. The Student *t* test, Mann-332 Whitney test, or ANOVA with Tukey test were used to evaluate 333 the statistical differences between two groups or more than two groups. Mice survival was analyzed by a Kaplan-Meier 334 335 curve. A P value of <0.05 was considered statistically signifi-336 cant. Prism software (GraphPad) was employed for the statis-337 tical analysis.

## 338 Results

#### 339 Antartina isolation and identification

340Antartina has been detected and obtained by isolation using semipreparative HPLC from aqueous extracts of Deschampsia 341342antarctica Desv. (Poaceae; Peak 10, Fig. 1A). The purity and 343 structural identification of the isolated peak 10 was performed 344by NMR and analyzed by mass spectrometry. Peak 10, isolated from Deschampia antarctica extract, is tricin 7-O-345346β-D-glucopyranoside, also denominated 5-hydroxy-2- (4-347 hydroxy-3,5-dimethoxy-phenyl) -7 - [(2S, 3S, 4R, 5S, 6R) -3,4,5-348 trihydroxy-6- (hydroxymethyl) tetrahydropyran-2-yl] oxychromen-349 4-one. Then, tricin 7-O-β-D-glucopyranoside or Antartina was 350obtained following the synthetic method indicated in Supplemen-351tary Material.

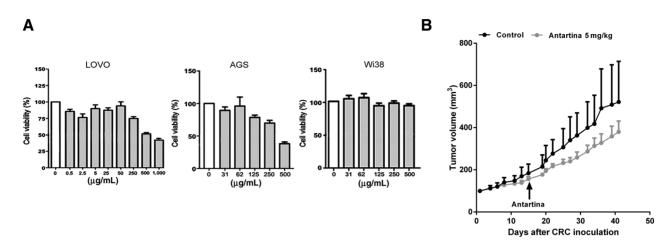
Antartina reduced human cancer cell viability *in vitro* and has modest effects *in vivo* in the Avatar model

It has been reported that tricin, a natural flavone, and its derivatives exert antioxidative effects and exhibit antiproliferative activity in tumor cells. Therefore, we decided to assess the effects of Antartina on in vitro cultured human colorectal carcinoma cell line (LoVo). Figure 2A shows that Antartina inhibited tumor cell viability in a dose-dependent manner. Antartina also decreases the viability of ASG gastric carcinoma cells, whereas at the same concentrations, it has no effect on normal human fibroblasts (Wi38 cells; Fig. 2A). Taking into consideration the in vitro cytotoxic activity of Antartina against human colorectal carcinoma cells, we decided to examine the capability of Antartina to reproduce its effects in in vivo model using small fragments of fresh surgical specimens of colorectal carcinoma liver metastasis in the Avatar model, an immunodeficient mice model. To this end, nu<sup>+</sup>/nu<sup>+</sup> mice were subcutaneously inoculated with a piece of approximately 80 mm<sup>3</sup> of tumor sample from patients with colorectal carcinoma. Over the course of 40 days, we observed that tumor-bearing mice treated with 5 mg/kg Antartina showed a mild inhibition of tumor growth in comparison with control group (Fig. 2B).

# Effects of Antartina on immunocompetent colorectal carcinoma murine models

To assess whether the limited antitumor effect of Antartina was 377 related to the absence of the adaptive immune system arm, we 378 tested the efficacy of Antartina in immunocompetent murine 379 models of colorectal carcinoma. For this purpose, we first incu-380 bated in vitro CT26 cells with increasing doses of Antartina for 48 381and 72 hours. We observed that 10  $\mu$ g/mL Antartina significantly 382inhibited CT26 cell survival at 48 hours (P < 0.05, Fig. 3A). In 383 addition, cell viability was reduced at 72 hours to approximately 384 60% at 10 µg/mL of Antartina, and more than 15% at the dose of 385 $1 \mu g/mL$  (*P* < 0.001 and *P* < 0.05, respectively). However, when 386 compared with 5-FU, Antartina exhibited a lower capacity to 387 decrease CT26 cell viability (Fig. 3B). It has been previously 388 observed that tricin showed capability to block cell-cycle progres-389 sion of some cancer cell lines (10, 23). We examined whether 390 Antartina might affect cell cycle and observed that CT26 cells were 391arrested at G2-M phase both at 48 and 72 hours after incubation 392 with 10  $\mu$ g/mL of Antartina (P < 0.05; Fig. 3C). Similar results 393 were obtained when cell-cycle analysis was performed 72 hours 394after treatment with 5-FU (P < 0.05, Fig. 3D), whereas at 48 hours, 395 the antimetabolite induced a clear arrest at the S-phase. Impor-396 tantly, Antartina has the ability to induce apoptosis on CT26 cells 397 when cells were incubated with 10 µg/mL of Antartina for 72 398 hours (P < 0.05, Fig. 3E). 399

In view of the modest cytotoxic effect in CT26 cells observed *in vitro*, we next tested Antartina activity *in vivo*. To this end, BALB/c



#### Figure 2.

Antiproliferative effects of Antartina on human cancer cells. **A**, Antartina reduced the viability of LoVo (colorectal) and ASG (gastric) carcinoma cells lines determined by MTS assay at 72 hours; human Wi38 fibroblasts remained viable at the same doses. Percentages of viable cells  $\pm$  SD are presented for each cell line. **B**, Nu/nu mice bearing subcutaneous palpable human tumors were untreated (n = 4) or treated intraperitoneally with 5 mg/kg of Antartina (n = 5) 3 times a week. CRC, colorectal carcinoma. Mean of tumor sizes  $\pm$ SD are presented for each experimental group.

404 mice were subcutaneously inoculated with CT26 tumor cells, 405and treatments were initiated when tumors reached a tumor 406 volume approximately 90 mm<sup>3</sup>. Over the course of 30 days, CT26 407tumor-bearing mice treated with Antartina showed a significant 408inhibition of tumor growth in comparison with the control group 409(Fig. 4A). Importantly, survival rate of mice receiving Antartina 410 was significantly increased compared with controls (P < 0.01: 411 Fig. 4B). Then, we compared the in vivo efficacy of Antartina with a 412similar molecule tricin (Fig. 1B) and with 5-FU in the CT26 413colorectal carcinoma model. Antartina was significantly superior 414 in terms of tumor growth inhibition in comparison with tricin and 5-FU (Supplementary Fig. S1). 415

416We also challenged Antartina anticancer activity in a meta-417 static colorectal carcinoma model. For this purpose, CT26 cells 418 were injected directly into the liver of mice by laparotomy (day 419 0) and then treated with Antartina at a dose of 5 mg/kg on day 4208. Figure 4C showed mean tumor size at day 18 after CT26 cell 421 inoculation. Interestingly, Antartina exerted a potent antitumor 422effect in comparison with control group (mean of tumor 423 volume 955  $\pm$  275 mm<sup>3</sup> vs. 1,508  $\pm$  204 mm<sup>3</sup>, respectively); in addition, more than 60% of Antartina-treated animals 424425 showed a reduction in metastases growth. More importantly, 426survival of mice treated with Antartina was superior in com-427parison with controls (P < 0.01, Fig. 4D).

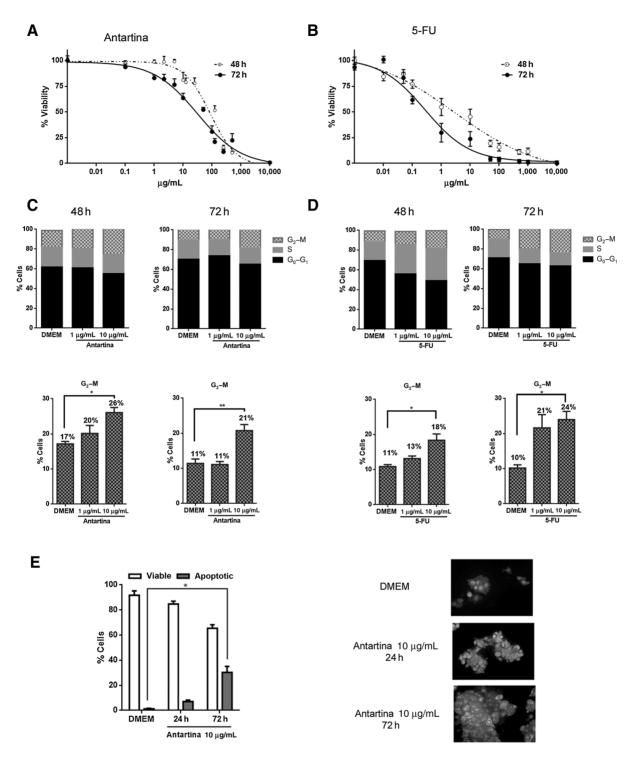
428Then, we decided to study higher doses of Antartina. To this 429 end, CT26 colorectal carcinoma cells were subcutaneously 430injected into BALB/c mice (day 0). On day 8, nodules reaching 43190 mm<sup>3</sup> in size received 50 mg/kg of Antartina or vehicle. A 432potent tumor volume reduction was obtained with Antartina, 433 and complete tumor regression was achieved in more than 30% of mice (3/9; Fig. 5A). Tumor progression was evaluated 434435at different time points (10 and 15 days after treatment) as 436 shown in Fig. 5B. Microscopic examination of tumor sections 437 showed extensive areas of necrosis and marked mononuclear 438 cell infiltration in tumors treated with Antartina (Fig. 5D). 439In addition, animal survival was significantly increased in 440Antartina-treated mice compared with the control group 441(P < 0.01, Fig. 5C).

# Antartina therapy generates a potent antitumor immune response

444 In view of the marked presence of mononuclear cells infiltrated 445into Antartina-treated tumors, we investigate the effect of Antar-446 tina on immune cells. When splenocytes from healthy mice were 447 incubated for 48 hours with 0.1 to 10 µg/mL of Antartina, we 448observed a significant induction of splenocytes proliferation (Fig. 4496A). Remarkably, Antartina (10 µg/mL) significantly inhibited 450CT26 cell survival (Fig. 3A). These data suggest that our molecule 451could be able to inhibit tumor growth without distressing normal 452cells but also inducing the activation of the immune system. We 453then analyzed the prevalence of T lymphocytes in tumor samples 454by flow cytometry and detected an increased proportion of tumor-455infiltrating CD4<sup>+</sup> and CD8<sup>+</sup> T cells in Antartina-treated mice in 456comparison with controls (P < 0.05; Fig. 6B). 457

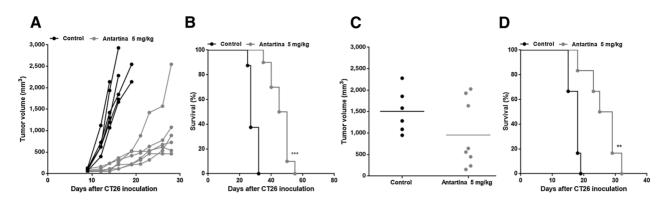
To evaluate whether Antartina was able to enhance tumor 458immunogenicity, we analyzed the activation status of DCs. Tumor 459lysates derived from CT26-bearing mice were used to pulse bone 460marrow-derived DCs and to determine changes in the maturation 461 status of DCs by the expression levels of CD11c, the class II MHC 462and CD80 molecules. As shown in Fig. 6C, we observed that 463Antartina induced a higher activation profile in DCs based upon 464the following: when DCs derived from untreated CT-26 tumor-465bearing mice were treated with tumor lysate derived from Antar-466 tina-treated mice, a greater increase in levels of costimulatory 467 molecules (CD11c, MHC, and CD80) was observed than in DCs 468from untreated CT-26 tumor-bearing mice treated with tumor 469lysate from untreated mice (Fig. 6C; P < 0.05, Mann–Whitney 470test). These results may reflect the capacity of Antartina to increase 471tumor immunogenicity. In addition, when cultured murine and 472human DCs were incubated with Antartina, a high activated 473profile was observed, suggesting that Antartina could be used as 474an adjuvant for activation of DCs as anticancer vaccines (Supple-475mentary Fig. S2). 476

On the other hand, Antartina was found to elicit a potent 477 activation of specific CTLs against CT26 cells (Fig. 6D; P < 478 0.001); no CTL activity was observed against hepatocellular 479 carcinoma cells (BNL cells) *in vitro*. Interestingly, when specific 480



#### Figure 3.

Antartina inhibits murine CT26 colorectal carcinoma cell growth *in vitro*. **A**, CT26 cells were incubated with DMEM or with increasing concentrations of Antartina during 48 or 72 hours. Cell viability was determined by MTS assay in 5 independent experiments. Percentages of viable cells  $\pm$ SD are presented. \*, *P* < 0.05 (DMEM 0.1% DMSO vs. 10 µg/mL Antartina 48 hours); \*\*\*, *P* < 0.001 (DMEM 0.1% DMSO vs. 10 µg/mL Antartina 72 hours); and \*, *P* < 0.05 (DMEM 0.1% DMSO vs. 1 µg/mL Antartina 72 hours) ANOVA and Tukey test. **B**, The effect of Antartina was compared with 5-FU in their ability to inhibit colorectal carcinoma cells growth. \*, *P* < 0.05; \*\*, *P* < 0.01; \*\*\*, *P* < 0.001 using ANOVA and Tukey test. **C**, Cell-cycle flow cytometry analysis of CT26 cells stained with propidium iodide at 48 and 72 hours; Antartina (10 µg/mL) incubation halted CT26 cells at the transition from G<sub>2</sub> to M-phase. \*\*, *P* < 0.01 (DMEM 0.1% DMSO vs. 10 µg/mL Antartina 72 hours) of S-FU-treated cells. \*, *P* < 0.05 (DMEM 0.1% DMSO vs. 10 µg/mL Antartina 48 hours) ANOVA and Tukey test. **D**, Cell-cycle analysis of 5-FU-treated cells. \*, *P* < 0.05 (DMEM value) to 10 µg/mL 5-FU 48 and 72 hours) ANOVA and Tukey test. **E**, *In vitro* CT26 cell apoptosis was assessed at 10 µg/mL Antartina using acridin orange/ethidium bromide staining (right, magnification ×40). Bars represent the average of measures of each group ± SEM (left). \*, *P* < 0.05 (DMEM 0.1% DMSO vs. 10 µg/mL Antartina 72 hours).



#### Figure 4.

Antitumor activity of Antartina in CT26 colorectal carcinoma tumor models *in vivo*. **A**, Subcutaneous model: BALB/c mice were subcutaneously injected with  $5 \times 10^5$  CT26 cells into the right flank (day 0) and tumors reach 90 mm<sup>3</sup> before treatment was started. Animals were distributed in groups: control (0.1% DMSO in sterile water), Antartina (5 mg/kg i.p., day 8 three times a week, for 3 weeks). Data are expressed as tumor volume for each mice; unpaired *t* test. \*\*, P = 0.0009 control versus Antartina. **B**, Animal survival (Kaplan-Meier, log-rank test, P < 0.001). **C**, Liver colorectal carcinoma metastatic model: BALB/c mice were injected with  $5 \times 10^5$  CT26 cells directly into the liver by laparotomy (day 0). Animals were distributed into different groups: control, Antartina (5 mg/kg i.p., day 8 three times a week, for 3 weeks). Data are expressed as tumor volume; unpaired *t* test. \*, P = 0.0472 control versus Antartina. **D**, Animal survival (Kaplan-Meier, log-rank test, P < 0.001).

483 CTLs were adoptively transferred into CT26 tumor-bearing mice, a 484 significant inhibition of tumor growth was achieved compared 485with controls (Fig. 6E). Our results strongly suggest that the potent antitumor effect induced by Antartina is mediated, at least in part, 486487 by the induction of antitumor immunity against CT26 colorectal 488 carcinoma. We next investigated whether Antartina can induce 489memory immune response; to this end, cured animals were rechallenged with CT26 colorectal carcinoma cells 3 weeks after 490491complete tumor regression. Figure 6F shows that CT26 cells were 492rejected in all animals of Antartina group; on the contrary, tumors 493grew in all mice in the control group. These data indicate that 494Antartina has the ability to induce long-term protection against 495tumor recurrence.

Finally, we characterized the toxicology profile of Antartina
therapy (at the doses of 5 and 50 mg/kg) in mice. We found that all
animals remained healthy throughout the experimental protocol;
body weight gain in all groups of mice was similar during the
observed period. Moreover, Antartina was well tolerated with no
evident signs of clinical and biochemical toxicity within the
studied period of time (Supplementary Fig. S3).

## 503 **Discussion**

504Despite progress due to the combination of chemotherapy and505targeted therapies, such as bevacizumab and cetuximab/panitu-506mumab, patients with metastatic colorectal cancer still have a low507long-term survival (24, 25); thus, it is necessary to develop new508nontoxic and effective therapies for advanced disease (4).

509Because of low natural availability, the compound was generated synthetically, and herein, we report on the activity of this 510511synthetic material, which we call Antartina. This study found that 512Antartina not only elicits a potent antitumor response in mice 513with colorectal carcinoma but also induces a systemic antitumor 514immunity. A tumor-specific T-cell response was generated, which 515was further exploited in adoptive T-cell therapy protocol with 516significant antitumor effects. We observed that the ability of 517Antartina to induce apoptosis of CT26 cells and to increase the activation of DCs is important to the tumor-specific immune 518

response. Importantly, Antartina therapy was very well tolerated, and no toxic effects were observed.

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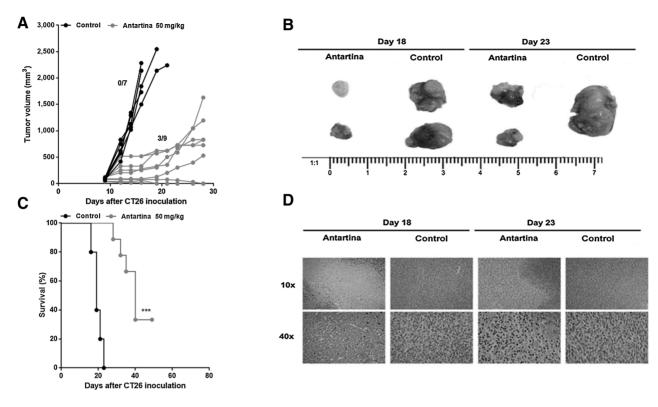
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Certain compounds derived from medicinal plants, such as 522flavonoids and isoflavonoids, have received much attention 523because of their protective effects observed in populations with 524525low incidence of colorectal, breast, and prostate cancer (14). Their antitumor activity involves a number of mechanisms: flavonoids 526527act on reactive oxygen species, on cell signal transduction pathways related to cellular proliferation by inhibiting the transcrip-528tion factor NF-KB or by inducing apoptosis, and also on cell 529migration (26-29). In particular, their capacity to inhibit tyrosine 530kinase activity and to suppress metalloproteinases was associated 531with inhibition of tumor development (30, 31). In line with this, 532it has been recently reported that tricin 4'-O-(erythro and threo 533β-guaiacylglyceryl) ether induced apoptosis in colorectal, ovarian, 534and breast cancer cells through mitochondrial membrane poten-535536tial loss and chromatin condensation (32). These in vitro effects were observed using similar dose concentrations as we applied in 537our work. However, when we compared similar doses of tricin 538in vivo in mice with colorectal carcinoma, antitumor effects were 539mild. This led us to speculate that the superior antitumor effect of 540Antartina in comparison with other flavonoids depends, at least in 541part, on the stimulation of the immune system. Dietary flavonoids 542could be considered as cancer-preventive agents, but little is 543known about their application in immunotherapy. It has been 544previously described that tricin might block cell-cycle progression 545in breast carcinoma cells (10). Similarly, we observed that Antar-546tina was able to inhibit human and murine tumor cells viability in 547a dose-dependent manner, without affecting survival of normal 548cells such as fibroblasts and splenocytes. 549

It was previously observed that the polyhydroxylated flavonoid quercetin has the ability to inhibit cancer cell growth *in vitro* (31, 33). Kashyap and colleagues showed that the inhibitory effect on gastric and colorectal carcinoma cell proliferation induced by quercetin seems to be dependent on the interference with cell-cycle events (34). In agreement with this, Antartina affects the cell-cycle arresting CT26 cells at the  $G_2$ -M phase. Although the intrinsic mechanism behind this effect was not identified yet, we

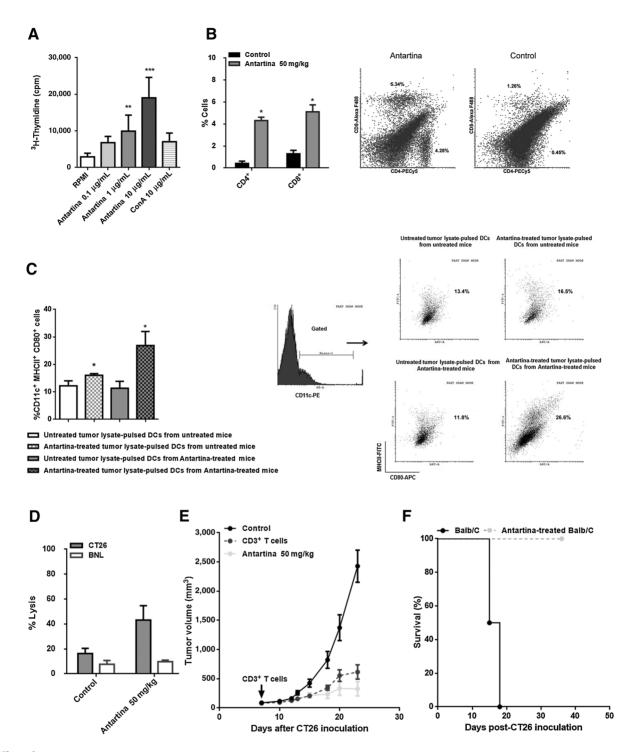


#### Figure 5.

Higher doses of Antartina exerted a more potent antitumor effect against CT26 colorectal carcinoma tumors *in vivo*. **A**, BALB/c mice were subcutaneously injected with  $5 \times 10^5$  CT26 cells into the right flank (day 0) and tumors reach approximately 90 mm<sup>3</sup> before treatment was started. Animals were distributed in two groups: control and Antartina (50 mg/kg i.p., 3 times a week during 3 weeks). Data are expressed as tumor volume; unpaired *t* test. \*\*\*, *P* < 0.001 control versus Antartina. We include the number of cured mice for each group (0/7 for control and 3/9 for Antartina). **B**, Representative macroscopic images of treated and untreated tumors from BALB/c mice treated or not with Antartina; Antartina; Antartina-treated tumors showed intense mononuclear infiltrate and extensive areas of necrosis in comparison with control, magnification of tumor regions (×20). Scale bar, 50 µm.

560showed that Antartina promotes apoptosis in colorectal carcino-561ma cells in vitro. Thus, our first results suggested the use of 562Antartina as a chemotherapeutic or chemopreventive agent, 563although it exerted a modest in vitro effect. The antitumor effects 564of Antartina were examined in vivo in immunocompromised nude 565mice using patient-derived xenografts (Avatar model). Unfortu-566 nately, the antitumor effect of Antartina was mild compared with 567controls. In contrast, when Antartina was tested in an immuno-568competent murine colorectal carcinoma model, a potent volume reduction of subcutaneous tumors and also a significant inhibi-569570tion in hepatic metastases growth was observed, suggesting that the limited antitumor effect of Antartina observed in nude mice 571572was related to the lack of an efficient adaptive immune response.

573As previously mentioned, flavonoids have been reported to 574have a wide range of biological activities (35), but information 575regarding immunostimulatory properties is very limited. It has 576 been recently reported that salvigenin, a polyoxygenated flavone, stimulates the immune system in mice leading to the inhibition of 577578tumor growth in a breast cancer model (36). The therapeutic 579 efficacy of salvigenin involves the activation of splenocyte pro-580liferation and the modulation of cytokine production of immune 581cells (36). Herein, we demonstrated that Antartina potently 582stimulated the immune system. Our hypothesis is that Antartina 583therapy modulated the tumor microenvironment in a way that cancer cells were more effective at inducing DC activation, and 585perhaps more potently to stimulate a specific CTL response 586against colorectal carcinoma cells. The immunomodulatory 587approach described in this work appears to be an attractive 588strategy to tip the balance for the generation of antitumor immu-589nity. DC-based immunotherapy has been used to promote acti-590vation of nonfunctional DCs in patients with advanced cancer 591with the aim to stimulate a potent T-cell response (37). Part of the 592success is dependent on the generation in culture of mature DCs 593with high capacity to stimulate T cells. However, several DC-based 594immunotherapy strategies failed in the clinic because DC cultures 595generate immature DCs (38). In this study, we showed that 596murine and human DCs incubated with Antartina were activated, 597suggesting that Antartina could be employed as an adjuvant for 598DC activation. In our work, DCs obtained from Antartina-treated 599mice primed with tumor lysate from Antartina-treated mice 600 showed higher levels of costimulatory molecules in comparison 601 with DCs treated with tumor lysate from control mice. Therefore, 602 603 it is possible to speculate that Antartina promotes immunogenic cell death (39) and that this may explain, at least in part, why 604 Antartina therapy increased tumor immunogenicity. Although 605 some chemotherapeutic agents can induce tumor-specific 606 607 immune responses (e.g., mitoxantrone, 5-FU, camptothecin, and cisplatin; ref. 40), mainly through the induction of immunogenic 608



#### Figure 6.

Antartina therapy generates therapeutic antitumor immunity. **A**, Induction of splenocytes proliferation by Antartina. Splenocytes from naïve mice were exposed to 10 µg/mL Antartina. <sup>3</sup>H-Thymidine incorporation (cpm  $\pm$  SD) are shown. \*\*, *P* < 0.01 RPMI versus 1 µg/mL and \*\*\*, *P* < 0.001 RPMI versus 10 µg/mL Antartina. Kruskal-Wallis test. **B**, Comparative analysis of CD4<sup>+</sup> and CD8<sup>+</sup> T cells in tumor tissues from untreated or 50 mg/kg Antartina-treated mice. The frequency of CD8<sup>+</sup> cells and CD4<sup>+</sup> T cells was significantly higher in tumor tissue after Antartina therapy. \*, *P* < 0.05 control versus Antartina on day 18, Mann–Whitney test. **C**, Antartina increases colorectal carcinoma tumor immunogenicity. Tumor lysate from mice treated with Antartina enhances DCs maturation: phenotypic analyses of pulsed DCs stained with anti-CD11c, MHC-II, and CD80. The CD11c<sup>+</sup> was gated and the coexpression of several markers was analyzed. \*, *P* < 0.05 Kruskal-Wallis test. **D**, Antartina induces a potent specific cytotoxic T-cell response against CT26 cells. Splenocytes derived from Antartina-treated and cured mice exerted a potent CTL activity against CT26 cells. \*\*, *P* < 0.001 control versus Antartina, Student *t* test. BNL hepatoma cell line was used as specificity control for CT26 cells. **E**, Antitumor effect of adoptively transferred CD3<sup>+</sup> T cells on CT26 tumor-bearing mice. Adoptive transfer of specific CD3<sup>+</sup> T cells induced a significant inhibition of tumor growth in comparison with controls. Bars represent the average of measures of each group (*n* = 6/group)  $\pm$  SEM. \*, *P* < 0.05, Kruskal-Wallis test. **F**, Antartina induces long-term antitumor immunity against CT26 colorectal carcinoma cells. Naïve BALB/c (*n* = 4) and cured mice (*n* = 3) were rechallenged with 5 × 10<sup>5</sup> CT26 cells into the left flank. Results were expressed as the percentage of animal survival (Kaplan–Meier, log-rank test, *P* < 0.05).

611 cell death of cancer cells, significant clinical responses were not 612 observed after their application (39).

The presence of immune cells, in particular CD8<sup>+</sup> T cells within 613 614 the tumor microenvironment, is considered a marker of good 615 prognosis for many tumor types, including colorectal carcinoma 616 (41, 42). In line with this, immunotherapy using immunostimu-617 latory mAbs is gaining much attention in the clinic (43, 44) in 618 particular with the use of checkpoint inhibitors (45). However, 619 the elimination of inhibitory pathways may not be enough to cure 620 cancer, and strategies aimed at increasing the amount of specific 621 tumor-infiltrating immune cells could be similarly important.

622 We observed a remarkable macroscopic effect of Antartina on 623 colorectal carcinoma tumors, which was histologically supported 624 by the presence of extensive areas of necrosis and intense mono-625 nuclear inflammatory infiltrate mainly due to CD4<sup>+</sup> and CD8<sup>+</sup> T cells. These observations correlate with the potent specific cyto-626 627 toxic T-cell response observed. Taken together, these data suggest 628 that Antartina might modulate the immune system as well as the 629 tumor microenvironment leading to the inhibition of colorectal 630 carcinoma tumor growth and even to the eradication of near 30% 631 of tumors. Importantly, our molecule generated long-term 632 immune memory against tumor rechallenge in cured mice after 633 Antartina therapy

634 The immunomodulatory effect of Antartina on the immune 635 system may be beneficial for the designing of combinatorial 636 antitumor strategies. The ability of Antartina to recruit effector cells into the tumor microenvironment could enhance for 637 638 instance the activity of immune checkpoint inhibitors (e.g., nivo-639 lumab or ipilimumab) in colorectal carcinoma with low tumor 640 inflammatory infiltrate (46, 47).

641 On the other hand, many cancer chemotherapeutic drugs and 642 some immunostimulatory agents can produce toxicity, even at the 643therapeutic doses, and toxicity remains one of the most important 644 barriers to the administration of curative doses of the drug. It is 645 clear that not only efficient but also nontoxic therapies are needed. 646 We characterized the toxicology profile of Antartina therapy in 647 mice and found that all animals remained healthy and tolerated 648 repeated doses with no signs of toxicity.

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All in all, our study demonstrated that the synthetic molecule 650 Antartina has potent immunostimulatory properties and that it 651652deserves further evaluation as a potential anticancer molecule for patients with advanced colorectal carcinoma. 653

#### **Disclosure of Potential Conflicts of Interest**

No potential conflicts of interest were disclosed.

## Authors' Contributions

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uthors' Contributions	Q11 <sub>556</sub>
nception and design: M. Malvicini, J.J. Vaquero, J. Alvarez-Builla,	657
Gidekel, G. Mazzolini	658
evelopment of methodology: M. Malvicini, A. Gutierrez-Moraga, C. Sunkel,	659
Nozal, J.L. Novella, J.J. Vaquero, J. Alvarez-Builla, G. Mazzolini	
quisition of data (provided animals, acquired and managed patients,	661
ovided facilities, etc.): M. Malvicini, A. Gutierrez-Moraga, M.M. Rodri-	662
ez, S. Gomez-Bustillo, L. Salazar, M. Hidalgo, P.P. Lopez-Casas, A. Mora,	663
Mazzolini	664
alysis and interpretation of data (e.g., statistical analysis, biostatistics,	665
mputational analysis): M. Malvicini, M.M. Rodriguez, S. Gomez-Bustillo,	666
Salazar, M. Hidalgo, G. Mazzolini	667
riting, review, and/or revision of the manuscript: M. Malvicini, M. Hidalgo,	668
P. Lopez-Casas, J.J. Vaquero, J. Alvarez-Builla, G. Mazzolini	669
ministrative, technical, or material support (i.e., reporting or organizing	670
ta, constructing databases): P.P. Lopez-Casas, M. Gidekel	671
udy supervision: J. Alvarez-Builla, M. Gidekel, G. Mazzolini	672
ther (characterization of the molecular structure of isolated and synthe-	
red compounds by NMR and mass spectrometry techniques): A. Salgado	674

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