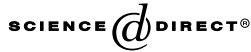




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# Geometrical localization analysis of gradient-dependent parabolic Drucker–Prager elastoplasticity

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## Abstract

In this work, the geometrical method for the analysis of the localization properties of the thermodynamically consistent gradient-dependent parabolic Drucker–Prager elastoplastic model is presented. From the analytical solution of the discontinuous bifurcation condition of small strain gradient-dependent elastoplasticity, the elliptical envelope for localization is formulated in the coordinates of Mohr. The tangency condition of the localization ellipse with the major principal circle of Mohr defines the type of failure (diffuse or localized) and the critical directions for discontinuous bifurcation.

The results of the geometrical localization analysis illustrate the capability of the gradient-dependent elastoplastic Drucker–Prager material to suppress the discontinuous bifurcations of the related local or classical elastoplastic model formulation that take place when the adopted hardening/softening modulus  $\bar{H}$  equals the critical (maximum) one for localization  $\bar{H}_c$ . On the other hand, the results in this work also demonstrate that the thermodynamically consistent gradient-dependent Drucker–Prager model may lead to discontinuous bifurcation not only when the characteristic length  $l$  turns zero but also when  $\bar{H} < \bar{H}_c$ .

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**Keywords:** Non-local constitutive model; Gradient elastoplasticity; Localized failure analysis

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## 28 1. Introduction

29 It has been widely accepted that when quasi-brittle or ductile materials are suffi-  
30 ciently deformed into the inelastic regime, they exhibit spatial discontinuities of the  
31 kinematic fields. The formation of cracks and shear bands observed in experiments  
32 in cementitious and granular materials, as well as in metals are typical examples of  
33 localized failure mechanisms.

34 There were several theoretical attempts to capture the onset of localization and to  
35 determine the direction and the amplitude of these cracks or shear bands. Following  
36 the original works by Nadai (1931), Thomas (1961), Hill (1962), Rudnicki and Rice  
37 (1975), recently many authors studied the problem in a systematic manner. Using the  
38 flow theory of plasticity, the theory of continuum damage and the viscoplastic the-  
39 ory, they developed mathematical conditions governing the failure behavior, see a.o.  
40 Sobh (1987), Perič (1990), Ottosen and Runesson (1991), Willam and Etse (1990),  
41 Sluys (1992), Rizzi et al. (1995), Etse and Willam (1999).

42 The solution at the boundary value or structural level requires the implementation  
43 of strain-softening material models in finite element codes. In this case, the problem  
44 of mesh dependence strongly affects the computational solution, when the governing  
45 equations turn ill-posed.

46 To solve the mesh sensitivity of the computational predictions of strain-softening  
47 material models, two possible strategies are at side. On one side, to improve the finite  
48 element technology by developing both standard finite element formulations, which  
49 are able to follow the post-bifurcation localization using realignment methods, and  
50 enhanced finite elements with discontinuous interpolation capabilities. On the other  
51 side, to regularize the description of the material behavior at the constitutive level.  
52 However, a combination of both approaches seems to be the most effective strategy.

53 In the regularization approach, the medium is considered to remain a continuum,  
54 with high deformation gradients being concentrated into a finite small region of the  
55 body. This leads to enriched material formulations that can be based on both local  
56 and non-local approaches. The most relevant example of the first one are the fracture  
57 energy-based constitutive formulations that lead to dissipation-objective regulariza-  
58 tion of the local constitutive theory, e.g. (Willam et al., 1984; Bažant, 1986). In the  
59 non-local approach, the gradients of the displacement function are evaluated in the  
60 vicinity of the material point, thus a spatial average is taken into account to evaluate  
61 the point value. This is accomplished by defining suitable weighted averages (non-lo-  
62 cal formulations) or gradients (gradient formulations) of a selection of thermody-  
63 namic variables.

64 Enhanced gradient material theories formulate constitutive relations on the contin-  
65 uum level that are used to solve the gap between the micro- and macromechanical  
66 description level, see Gao et al. (1999) and Abu Al-Rub Rashid and Voyiadjis  
67 (2004). In the literature, different frameworks of gradient-dependent plasticity theories  
68 may be recognized and they can be classified from different points of view. As pointed  
69 out by Huang et al. (2004), who presented a conventional theory of mechanism-based  
70 strain gradient plasticity that excludes higher-order stress, the classification may be  
71 based on the inclusion or not of this type of higher-order functions that requires extra

72 boundary conditions. Alternatively, gradient-dependent plasticity theories can be also  
73 classified depending on the type of conceptual setting considered to derive the consti-  
74 tutive equations. On the one hand, within classical hypoelastic framework which does  
75 not have a thermodynamic law, e.g. (Aifantis, 1984; Muhlhaus and Aifantis, 1991;  
76 Zbib and Aifantis, 1992; Fleck and Hutchinson, 1993; Zbib, 1994). Related to this type  
77 of material formulation Sluys et al. (1993) and Pamin (1994) have proposed numerical  
78 algorithms for the stress integrations at the local and finite element level. On the other  
79 hand, within a thermodynamic framework, e.g. (Dillon and Kratochvil, 1970; Ach-  
80 ariya and Bassani, 1995), who considered strain gradient plasticity formulation which  
81 retain the essential structure of conventional plasticity and obey thermodynamic  
82 restrictions, Svedberg and Runesson (1998), who developed gradient-dependent plas-  
83 ticity constitutive equations whereby the non-local character is restricted to the inter-  
84 nal variables, leading to an additive expression of the free energy density and and more  
85 recently, Voyiadjis et al. (2004), who presented a feasible thermodynamic approach to  
86 derive coupled gradient viscoplasticity and viscodamage theories.

87 In this work, the thermodynamically consistent gradient-dependent plasticity the-  
88 ory by Svedberg and Runesson (1998) is used to formulate a parabolic Drucker–Pra-  
89 ger model for cohesive-frictional materials that includes an isotropic hardening/  
90 softening law. The particular case of small strains is considered in the analyses. The  
91 attention is also focused on the analysis of the localization properties of the non-local  
92 material model. To this end, the gradient-dependent elastoplastic localization proper-  
93 ties are cast in the form of an elliptical envelope condition in the  $\sigma_N$ – $\tau_N$  coordinates of  
94 Mohr, see Pijaudier-Cabot and Benallal (1993), Liebe and Willam (2001). Thereby,  
95 the tangency condition between the localization ellipse and the major principal circle  
96 defines the existence of localized failure mode and the corresponding critical direc-  
97 tions. In this work, the geometrical localization analysis for gradient-dependent par-  
98 abolic Drucker–Prager elastoplasticity is defined in terms of the characteristic length  
99 that determines the degree of non-locality of the constitutive equations.

100 The results of the localization analysis in the Mohr coordinates demonstrate the  
101 capability of the thermodynamically consistent gradient-dependent elastoplastic  
102 Drucker–Prager model to suppress the discontinuous bifurcations of the local or  
103 classical model formulation that occur when the hardening/softening modulus  $\bar{H}$   
104 equals the critical value for localization  $\bar{H}_c$ , as long as the characteristic length  $l$  re-  
105 mains positive. However, when  $\bar{H} < \bar{H}_c$ , discontinuous bifurcation may be signaled  
106 by the gradient elastoplastic model if the non-local hardening/softening modulus  $\bar{H}^g$   
107 is lower than a limit value defined in terms of  $\bar{H}_c$  and  $l$ . This important results indi-  
108 cate that the regularization capability of the thermodynamically consistent gradient-  
109 dependent Drucker–Prager elastoplastic model does depend not only on the charac-  
110 teristic length  $l$  but also on the relationship between  $\bar{H}^g$ ,  $\bar{H}_c$ ,  $\bar{H}$  and  $l$ .

## 111 2. Gradient-dependent elastoplasticity

112 We follow the thermodynamically consistent gradient-dependent material theory  
113 by Svedberg and Runesson (1998). After reviewing the relevant thermodynamic and

114 constitutive equations, the parabolic Drucker–Prager gradient elastoplastic model is  
115 presented, in which the non-local character is restricted to the internal plastic variables.

### 116 2.1. Thermodynamic consistency

117 Under consideration of small strain kinematics, the free energy density of a strain  
118 gradient elastoplastic continuum can be expressed in an additive form as

$$\rho\Psi(\boldsymbol{\varepsilon}^e, \kappa, \nabla\kappa) = \rho\Psi^e(\boldsymbol{\varepsilon}^e) + \rho\Psi^{p,\text{loc}}(\kappa) + \rho\Psi^{p,g}(\nabla\kappa), \quad (1)$$

122 where  $\rho$  is the material density. The elastic free energy density is defined as  
123  $\rho\Psi^e(\boldsymbol{\varepsilon}^e) = \frac{1}{2}\boldsymbol{\varepsilon}^e : \mathbf{E}^e : \boldsymbol{\varepsilon}^e$ , being  $\boldsymbol{\varepsilon}^e$  and  $\mathbf{E}^e$  the elastic strain tensor and the fourth-order  
124 elastic operator, respectively.

125 The local and gradient free energy density contributions due to inelastic strains  
126  $\Psi^{p,\text{loc}}$  and  $\Psi^{p,g}$  are expressed in terms of the scalar hardening/softening variable  $\kappa$ .  
127 We observe in Eq. (1) that the gradient effects are only restricted to hardening/soft-  
128 ening behavior via the inclusion of  $\nabla\kappa$ .

129 From the Coleman's relations follow the constitutive equations:

$$\boldsymbol{\sigma} = \rho \frac{\partial\Psi}{\partial\boldsymbol{\varepsilon}}, \quad \boldsymbol{\sigma} = \mathbf{E}^e : \boldsymbol{\varepsilon}^e, \quad (2)$$

133 whereby  $\boldsymbol{\sigma}$  is the stress tensor and  $\boldsymbol{\varepsilon}$  the strain tensor. The dissipative stress within the  
134 continuum is defined as

$$K = K^{\text{loc}} + K^g \quad (3)$$

138 being

$$K^{\text{loc}} = -\rho \frac{\partial\Psi^{p,\text{loc}}}{\partial\kappa}, \quad K^g = \nabla \cdot \left( \rho \frac{\partial\Psi^{p,g}}{\partial(\nabla\kappa)} \right) \quad (4)$$

142 while on the boundary  $\partial\Omega$ , the dissipative stress due to the gradient in Eq. (4.b) turns

$$K^{(g,b)} = -\mathbf{m} \cdot \rho \frac{\partial\Psi^{p,g}}{\partial(\nabla\kappa)} \quad (5)$$

145 with the (outward) normal  $\mathbf{m}$  to  $\partial\Omega$ .

### 146 2.2. Constitutive equations

#### 147 2.2.1. General case

148 Considering a convex set  $B$  of plastically admissible states defined as  $B =$   
149  $\{(\boldsymbol{\sigma}, K) | \Phi(\boldsymbol{\sigma}, K) \leq 0\}$  with the convex yield function  $\Phi = \Phi(\boldsymbol{\sigma}, K)$ , and a dissipative  
150 potential  $\Phi^* = \Phi^*(\boldsymbol{\sigma}, K)$ , which turns  $\Phi$  in case of associated plasticity. Then, the rate  
151 equations for the inelastic strains  $\dot{\boldsymbol{\varepsilon}}^p$  and the scalar hardening/softening variable  $\dot{\kappa}$ ,  
152 take the forms

$$\dot{\boldsymbol{\varepsilon}}^p = \dot{\lambda} \frac{\partial\Phi^*}{\partial\boldsymbol{\sigma}} \quad \text{and} \quad \dot{\kappa} = \dot{\lambda} \frac{\partial\Phi^*}{\partial K}, \quad (6)$$

156 where  $\dot{\lambda}$  is the rate of the plastic parameter.

157 From the Prandtl–Reuss additive decomposition of the total strain rate tensor  
158 into the elastic and plastic components that characterized the flow theory of plastic-  
159 ity and considering Eqs. (2), (4) and (6) follow the constitutive equations (in rate  
160 form):

$$\dot{\boldsymbol{\sigma}} = \dot{\boldsymbol{\sigma}}^e - \dot{\lambda} \mathbf{E}^e \frac{\partial \Phi^*}{\partial \boldsymbol{\sigma}} \quad \text{with } \dot{\boldsymbol{\sigma}}^e = \mathbf{E}^e : \dot{\boldsymbol{\varepsilon}}, \quad (7)$$

$$\dot{K}^{\text{loc}} = -\dot{\lambda} H \frac{\partial \Phi^*}{\partial K} \quad (8)$$

165 and

$$\dot{K}^{\text{g}} = l^2 \nabla \cdot \mathbf{H}^{\text{g}} \cdot \left[ \nabla \dot{\lambda} \frac{\partial \Phi^*}{\partial K} + \dot{\lambda} \nabla K \frac{\partial^2 \Phi^*}{\partial K^2} \right], \quad (9)$$

168 which on the boundary turns

$$\dot{K}^{(\text{g},\text{b})} = -l^2 \mathbf{m} \cdot \mathbf{H}^{\text{g}} \cdot \left[ \nabla \dot{\lambda} \frac{\partial \Phi^*}{\partial K} + \dot{\lambda} \nabla K \frac{\partial^2 \Phi^*}{\partial K^2} \right]. \quad (10)$$

171 In the above equations, two types of state parameters were considered. On the one  
172 hand, the *local* hardening/softening modulus  $H$  and, on the other hand, the second-  
173 order tensor of *non-local gradient* state parameters  $\mathbf{H}^{\text{g}}$  defined as

$$\mathbf{H}^{\text{g}} = \rho \frac{1}{l^2} \frac{\partial^2 \Psi^{\text{p},\text{g}}}{\partial (\nabla \kappa) \otimes \partial (\nabla \kappa)}, \quad (11)$$

177 with

$$H \geq 0, \quad \det(\mathbf{H}^{\text{g}}) \geq 0. \quad (12)$$

180 As pointed out by Svedberg and Runesson (1998), there are three possible interpre-  
181 tations for the characteristic length  $l$  in Eq. (11): as a convenient dimensional param-  
182 eter which allows that both  $H$  and  $\mathbf{H}^{\text{g}}$  get the same dimension, as a physical entity  
183 that defines the characteristic measure of the microstructure and as a parameter that  
184 brings numerical stabilization to the local constitutive theory.

185 The Kuhn–Tucker conditions complete the rate formulation of the gradient-  
186 dependent plasticity in terms of hardening variables which, similarly to the local the-  
187 ory, are defined by

$$\dot{\lambda} \geq 0, \quad \Phi(\boldsymbol{\sigma}, K) \leq 0, \quad \dot{\lambda} \Phi(\boldsymbol{\sigma}, K) = 0. \quad (13)$$

**Remark.** In case of mixed isotropic and kinematic hardening/softening behavior, the local and gradient free energies depend on both the isotropic and the kinematic hardening/softening variables,  $\kappa$  and  $\boldsymbol{\beta}$ , respectively, i.e.  $\Psi^{\text{p},\text{loc}} = \Psi^{\text{p},\text{loc}}(\kappa, \boldsymbol{\beta})$ , and  $\Psi^{\text{p},\text{g}} = \Psi^{\text{p},\text{g}}(\kappa, \boldsymbol{\beta})$ . Therefore, Eqs. (4) turn

6

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$$K^{\text{loc}} = -\rho \frac{\partial \Psi^{\text{p,loc}}}{\partial \kappa}, \quad \mathbf{B}^{\text{loc}} = -\rho \frac{\partial \Psi^{\text{p,loc}}}{\partial \boldsymbol{\beta}} \quad (14)$$

196 and

$$K^{\text{g}} = \nabla \cdot \left( \rho \frac{\partial \Psi^{\text{p,g}}}{\partial (\nabla \kappa)} \right), \quad \mathbf{B}^{\text{g}} = \nabla \cdot \left( \rho \frac{\partial \Psi^{\text{p,g}}}{\partial (\nabla \boldsymbol{\beta})} \right), \quad (15)$$

199 whereas  $\mathbf{B} = \mathbf{B}^{\text{loc}} + \mathbf{B}^{\text{g}}$  is the *back-stress* due to kinematic hardening/softening.200 *2.2.2. Parabolic Drucker–Prager Material*

201 The expression of the second order Drucker–Prager yield criterium yields

$$\Phi = J_2 + \mu_f I_1 - k(f'_t + K), \quad (16)$$

205 with  $J_2$  the second invariant of the deviatoric stress tensor  $s$  and  $I_1$  the first invariant  
206 of the stress tensor  $\boldsymbol{\sigma}$ .207 The parameters  $\mu_f$  and  $k$  represent the material friction and cohesion, respectively.  
208 When expressed in terms of the uniaxial compressive and tensile strength  $f'_c$  and  $f'_t$ ,  
209 they take the form

$$\mu_f = \frac{f'_c - f'_t}{3}, \quad k = \frac{f'_c}{3}. \quad (17)$$

212 The explicit expression of  $K$  in Eq. (16) follows from Eqs. (3) and (4), where the local  
213 and gradient free energy densities take now the forms

$$\rho \Psi^{\text{p,loc}} = \frac{1}{2} H \kappa, \quad (18)$$

$$\rho \Psi^{\text{p,g}} = \frac{1}{2} l^2 \nabla \kappa \cdot \mathbf{H}^{\text{g}} \cdot \nabla \kappa. \quad (19)$$

218 Therefore, the components  $K^{\text{loc}}$  and  $K^{\text{g}}$  of  $K$  result

$$K^{\text{loc}} = -H \kappa, \quad (20)$$

$$K^{\text{g}} = l^2 \nabla \cdot (\mathbf{H}^{\text{g}} \cdot \nabla \kappa). \quad (21)$$

223 To account for the volumetric dilatancy of cohesive-frictional materials in the low  
224 confinement regime a pressure-sensitive plastic potential for non-associated flow is  
225 considered as

$$\Phi^* = J_2 + \mu_q I_1 - k(f'_t + K) + g_k K^2, \quad (22)$$

228 where  $g_k$  is a constant. The flow and softening rules read then

$$\dot{\boldsymbol{\varepsilon}}^{\text{p}} = \dot{\boldsymbol{s}} + \mu_q \mathbf{I} \quad \text{and} \quad \dot{\kappa} = -k \dot{\lambda}, \quad (23)$$

231 with  $\mathbf{I}$ , the second-order identity tensor.232 Associated flow is obtained when the dilatancy angle  $\mu_q$  coincides with the friction  
233 angle  $\mu_f$  and  $g_k = 0$ .

234 **3. Localized failure condition**

235 From the Continuum Mechanics’s viewpoint, localized failure modes are related to  
236 discontinuous bifurcations of the equilibrium path, and lead to the lost of ellipticity  
237 of the equations that govern the static equilibrium problem.

238 The inhomogeneous or localized deformation field exhibits a plane of discontinuity  
239 that can be identified by the singularity condition of the acoustic or localization sec-  
240 ond order tensor, see a.o. [Ottosen and Runesson \(1991\)](#) and [Willam and Etse \(1990\)](#).

241 The particular case of purely isotropic hardening/softening material behavior is  
242 considered in what follows as the parabolic Drucker–Prager formulation in this  
243 work is mainly used to model cohesive-frictional material behaviors which do not  
244 exhibit kinematic hardening/softening.

245 Local and gradient flow theories of plasticity result both in the tangential equa-  
246 tion that reads

$$\dot{\boldsymbol{\sigma}} = \mathbf{E}^{\text{ep}} : \dot{\boldsymbol{\varepsilon}}, \quad (24)$$

249 where  $\mathbf{E}^{\text{ep}}$  denotes the elastoplastic material operator that can be expressed by means  
250 of the encompassing equation

$$\mathbf{E}^{\text{ep}} = \mathbf{E}^{\text{e}} - \frac{1}{(h + h_g)} \mathbf{E}^{\text{e}} : \frac{\partial \Phi^*}{\partial \boldsymbol{\sigma}} \otimes \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{E}^{\text{e}}, \quad (25)$$

253 with the *local* and *non-local* generalized plastic moduli

$$h = \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{E}^{\text{e}} : \frac{\partial \Phi^*}{\partial \boldsymbol{\sigma}} + \bar{H} \quad (26)$$

256 and

$$h_g = \begin{cases} 0 & \text{for local plasticity;} \\ \mathbf{n}_l \cdot \bar{\mathbf{H}}^g \cdot \mathbf{n}_l \left(\frac{2\pi l}{\delta}\right)^2 & \text{for gradient – dependent plasticity.} \end{cases} \quad (27)$$

260 Being  $\mathbf{n}_l$  the normal direction to the discontinuous surfaces, and

$$\bar{H} = H \frac{\partial \Phi}{\partial K} \frac{\partial \Phi^*}{\partial K}, \quad (28)$$

$$\bar{\mathbf{H}}^g = \mathbf{H}^g \frac{\partial \Phi}{\partial K} \frac{\partial \Phi^*}{\partial K}. \quad (29)$$

266 From Eq. (29) and for the particular case of gradient isotropy, we obtain

$$\bar{\mathbf{H}}^g = \bar{H}^g \mathbf{I} \quad (30)$$

269 with  $\bar{H}^g$  a positive, nonzero scalar. As  $\mathbf{n}_l$  is a unit vector, results

$$\mathbf{n}_l \cdot \bar{\mathbf{H}}^g \cdot \mathbf{n}_l = \bar{H}^g \quad (31)$$

273 and, from Eq. (27-b)

$$h_g = \bar{H}^g \left(\frac{2\pi l}{\delta}\right)^2. \quad (32)$$

276 In case of localized failure forms associated with discontinuous bifurcation, we resort  
277 to the gradient elastoplastic localization tensor defined as

$$\mathbf{Q}^{\text{epg}} = \mathbf{Q}^{\text{c}} - \frac{1}{h + h^{\text{g}}} \mathbf{a}^* \otimes \mathbf{a}, \quad (33)$$

280 with the elastic-localization tensor

$$\mathbf{Q}^{\text{c}} = \mathbf{n}_l \cdot \mathbf{E}^{\text{c}} \cdot \mathbf{n}_l \quad (34)$$

284 and

$$\mathbf{a}^* = \frac{\partial \Phi^*}{\partial \boldsymbol{\sigma}} : \mathbf{E}^{\text{c}} \cdot \mathbf{n}_l, \quad (35)$$

$$\mathbf{a} = \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{E}^{\text{c}} \cdot \mathbf{n}_l. \quad (36)$$

291 The localized failure condition in case of gradient-dependent elastoplasticity

$$\det(\mathbf{Q}^{\text{epg}}) = 0 \quad (37)$$

294 leads to the analysis of the spectral properties of  $\mathbf{Q}^{\text{epg}}$ . Its smallest eigenvalue, with  
295 respect to the metric defines by  $\mathbf{Q}^{\text{c}}$ , has the expression

$$\lambda^{(1)} = 1 - \frac{\mathbf{a}(\mathbf{n}_l) \cdot [\mathbf{Q}^{\text{c}}(\mathbf{n}_l)]^{-1} \cdot \mathbf{a}^*(\mathbf{n}_l)}{h + h^{\text{g}}} = 0. \quad (38)$$

299 In case of gradient isotropy, the explicit form of Eq. (38) turns

$$\mathcal{H} + \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{E}^{\text{c}} : \frac{\partial \Phi^*}{\partial \boldsymbol{\sigma}} - \mathbf{a} \cdot [\mathbf{Q}^{\text{c}}]^{-1} \cdot \mathbf{a}^* = 0, \quad (39)$$

303 with

$$\mathcal{H} = \bar{H}_c^{\text{g}} \left( \frac{2\pi l}{\delta} \right)^2 + \bar{H}_c. \quad (40)$$

307 The localization condition in Eq. (39) serves as a basis for analytical and numerical  
308 evaluations of the localization directions  $\mathbf{n}_l$  and of the corresponding graphical max-  
309 imum hardening/softening parameters  $\bar{H}_c(\mathbf{n}_l) = \max[\bar{H}(\mathbf{n}_l)]$  in case of local plastic-  
310 ity, and  $\bar{H}_c^{\text{g}}(\mathbf{n}_l) = \max[\bar{H}^{\text{g}}(\mathbf{n}_l)]$  in gradient-dependent plasticity.

#### 311 4. Geometrical method for discontinuous bifurcation in gradient-dependent parabolic 312 Drucker–Prager elastoplasticity

313 In this section, the geometrical method for localization analysis is derived for the  
314 thermodynamically consistent gradient-dependent elastoplastic model formulation  
315 detailed in Section 2. The approach is based on the original proposal by Benallal  
316 (1992), which was further developed by Pijaudier-Cabot and Benallal (1993) and  
317 Liebe and Willam (2001) for classical plasticity.

318 Eq. (39) defines an ellipse in the  $\sigma$ – $\tau$  coordinates of Mohr



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$$\sigma = \boldsymbol{\sigma} \cdot \mathbf{n}_l \cdot \boldsymbol{\sigma}, \quad s = \mathbf{n}_l \cdot \mathbf{s} \cdot \mathbf{n}_l, \quad (41)$$

$$\tau = (\mathbf{n}_l \cdot \mathbf{s}) \cdot (\mathbf{n}_l \cdot \mathbf{s}) - (\mathbf{n}_l \cdot \mathbf{s} \cdot \mathbf{n}_l)^2 \quad (42)$$

323 being  $\mathbf{n}_l$  the normal to the plane where the Mohr components are evaluated.

324 For the gradient-dependent non-associated parabolic Drucker–Prager model in  
325 Section 2, we obtain

$$\frac{\partial \Phi}{\partial \boldsymbol{\sigma}} = \mathbf{s} + \mu_f \mathbf{I}, \quad (43)$$

$$\frac{\partial \Phi^*}{\partial \boldsymbol{\sigma}} = \mathbf{s} + \mu_q \mathbf{I}. \quad (44)$$

330 By adopting for the elastic tensor  $\mathbf{E}^c$  the form

$$\mathbf{E}^c = 2G\mathbf{I}_4 + \lambda\mathbf{I} \otimes \mathbf{I} \quad (45)$$

333 the traction vectors in Eqs. (35) and (36) can then be rewritten as

$$\mathbf{a}^* = 2G\mathbf{n}_l \cdot \mathbf{s} + \frac{E}{1-2\nu} \mu_q \mathbf{n}_l, \quad (46)$$

$$\mathbf{a} = 2G\mathbf{n}_l \cdot \mathbf{s} + \frac{E}{1-2\nu} \mu_f \mathbf{n}_l \quad (47)$$

338 and from Eq. (34) we obtain

$$[\mathbf{Q}^e]^{-1} = \frac{1}{G} \left[ \mathbf{I} - \frac{1}{2(1-\nu)} \mathbf{n}_l \otimes \mathbf{n}_l \right]. \quad (48)$$

341 The critical direction  $\mathbf{n}_l$  and the maximum hardening/softening parameters  $\bar{H}_c$  and  
342  $\bar{H}^g$  for localization are obtained when the Mohr circle of stresses

$$(\sigma - \sigma_c)^2 + \tau^2 = R^2 \quad (49)$$

346 contacts the elliptical localization envelope

$$\frac{(\sigma - \sigma_0)^2}{A^2} - \frac{\tau^2}{B^2} = 1, \quad (50)$$

350 where the center and radius of the Mohr circle, Eq. (49), are

$$\sigma_c = \frac{\sigma_1 + \sigma_3}{2} \quad (51)$$

353 and

$$R = \frac{\sigma_1 - \sigma_3}{2}, \quad (52)$$

356 with  $\sigma_1$  and  $\sigma_3$ , the major and minor principal stresses, respectively, and the center  $\sigma_0$   
357 and half axes  $A$  and  $B$  of the localization ellipse, Eq. (50), are defined by

$$\sigma_0 = \frac{1}{3}I_1 - \frac{1+\nu}{2(1-2\nu)}(\mu_f + \mu_q), \quad (53)$$

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$$B^2 = \frac{\mathcal{H}}{4G} + J_2 + \frac{1(1+\nu)^2(\mu_f + \mu_q)^2}{8(1-2\nu)(1-\nu)} + \frac{1+\nu}{1-\nu}\mu_f\mu_q, \quad (54)$$

$$A^2 = 2\frac{1-\nu}{1-2\nu}B^2. \quad (55)$$

365 In the particular case of classical elastoplasticity, the inhomogeneous differential  
366 equation (39) turns

$$\bar{H}_c(\mathbf{n}_l) = -\frac{\partial\Phi}{\partial\boldsymbol{\sigma}} : \mathbf{E}^e : \frac{\partial\Phi^*}{\partial\boldsymbol{\sigma}} + \mathbf{a} \cdot [\mathbf{Q}^e]^{-1} \cdot \mathbf{a}^* \quad (56)$$

370 therefore, the parameter  $B^2$  representing the vertical axis of the ellipse in Eq. (50)  
371 takes now the form

$$B^2 = \frac{\bar{H}_c}{4G} + J_2 + \frac{1(1+\nu)^2(\mu_f + \mu_q)^2}{8(1-2\nu)(1-\nu)} + \frac{1+\nu}{1-\nu}\mu_f\mu_q, \quad (57)$$

375 which agrees with the expression given by [Liebe and Willam \(2001\)](#) for the geomet-  
376 rical localization analysis of classical, parabolic Drucker–Prager elastoplasticity.

377 So, the thermodynamically consistent gradient-dependent plasticity formulation  
378 allows a simple extension of the geometrical localization method as demonstrated  
379 in this section. Thereby, the non-local effects in terms of the characteristic length  
380 and of the gradient hardening/softening modulus only affect the expression of the  
381 localization ellipse half axes  $A$  and  $B$ . Moreover, from Eqs. (39) and (40) we conclude  
382 that the half axis  $B$  of the gradient plasticity-based localization ellipse in Eq. (54) turns  
383 to its form in case of local plasticity formulation in Eq. (57) as the ratio  $l/\delta \rightarrow 0$ .

## 384 5. Localization analysis of gradient-dependent parabolic Drucker–Prager 385 elastoplasticity

386 The localization properties of the thermodynamically consistent gradient-depen-  
387 dent, generalized Drucker–Prager model are analyzed for the plane strain state,  
388 when  $\sigma_z = \nu(\sigma_x + \sigma_y)$ . Two cases are considered. On the one hand, the case  
389  $\bar{H} = \bar{H}_c$  and, on the other hand, the case  $\bar{H} < \bar{H}_c$ , being  $\bar{H}$  the particular harden-  
390 ing/softening modulus of the gradient-dependent model and  $\bar{H}_c$  the critical (maxi-  
391 mum) hardening/softening modulus for localization of the local elastoplastic model.

### 392 5.1. Case $\bar{H} = \bar{H}_c$

393 The geometrical localization analysis of the non-local gradient material formula-  
394 tion is performed for the simple shear, uniaxial compression and uniaxial tensile tests  
395 and the results are shown in [Figs. 1–3](#), respectively. These results illustrate the influ-  
396 ence of the characteristic length  $l$  in the mode of failure. When  $l > 0$ , no contact is  
397 observed between the localization ellipses of the gradient-dependent plasticity model  
398 and the Mohr circle corresponding to the analyzed limit stress state. Thus, diffuse

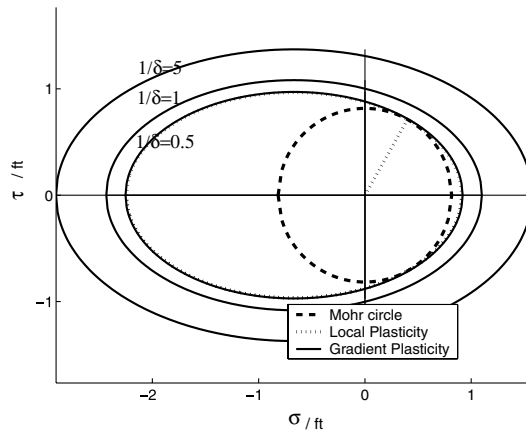


Fig. 1. Geometric localization analysis at peak of the simple shear test. Local and gradient-dependent plasticity.

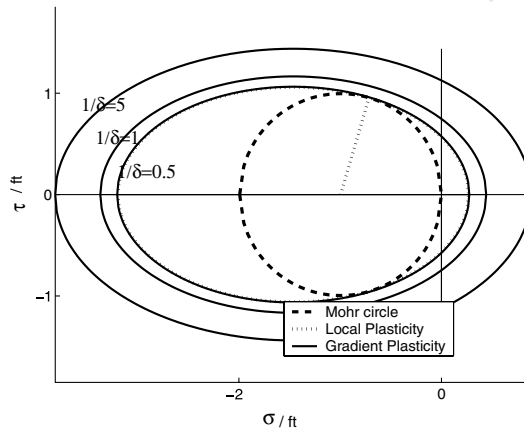


Fig. 2. Geometric localization analysis at peak of the uniaxial compression test. Local and gradient-dependent plasticity.

399 failure mode takes place for all three limit stress states. However, as  $l/\delta \rightarrow 0$  the gra-  
400 dient-based localization ellipses approaches that of the local model which contacts  
401 the Mohr circle, indicating that the localization condition is fulfilled and therefore,  
402 discontinuous bifurcation takes place.

403 Tables 1–6, indicate the critical localization directions as well as the critical nor-  
404 malized hardening/softening parameter  $H_c/E$  of the classical (local) Drucker–Prager  
405 material for different strength ratios  $f'_c/f'_t$  and Poisson’s modulus  $\nu$ . Tables 1 and 2  
406 correspond to the limit stress state of the simple shear test, for associated and non-  
407 associated ( $J_2$ -type) plastic flows, respectively. Similarly, Tables 3 and 4 correspond  
408 to the limit stress state of the plane strain uniaxial compression test, with associated

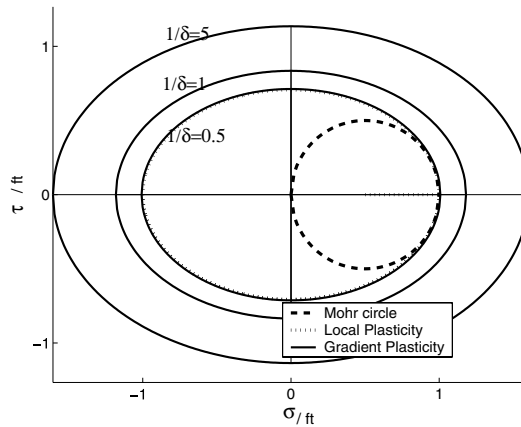


Fig. 3. Geometric localization analysis at peak of the uniaxial tensile test. Local and gradient-dependent plasticity.

Table 1  
Critical localization direction  $\theta_c$  and  $\bar{H}_c/E$

$f'_c/f'_t$	$\nu = 0.0$		$\nu = 0.2$		$\nu = 0.4$	
	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$
2	32.9	-0.11	30.3	-0.11	27.6	-0.11
5	0.0	-1.78	0.0	-1.94	0.0	-2.23
10	0.0	-11.00	0.0	-12.30	0.0	-15.75

Simple shear test:  $\mu_q = \mu_f$ .

Table 2  
Critical localization direction  $\theta_c$  and  $\bar{H}_c/E$

$f'_c/f'_t$	$\nu = 0.0$		$\nu = 0.2$		$\nu = 0.4$	
	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$
2	39.1	0.027	37.9	0.04	36.7°	0.065
5	29.4	0.44	25.8	0.667	0.0	0.88
10	0.0	2.25	0.0	3.37	0.0	4.5

Simple shear test:  $\mu_q = 0$ .

Table 3  
Critical localization direction  $\theta_c$  and  $\bar{H}_c/E$

$f'_c/f'_t$	$\nu = 0.0$		$\nu = 0.2$		$\nu = 0.4$	
	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$
2	45.0	-1	36.8	-0.53	30.7	-0.21
5	39.2	-9.0	30.1	-5.63	21.9	-2.79
10	37.2	-40.11	28.0	-26.23	18.9	-13.68

Uniaxial compression test:  $\mu_q = \mu_f$ .

Table 4

Critical localization direction  $\theta_c$  and  $\bar{H}_c/E$ 

$f'_c/f'_t$	$\nu = 0.0$		$\nu = 0.2$		$\nu = 0.4$	
	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$
2	49.8	-0.63	42.6	-0.25	38.3	0.006
5	46.9	-4.55	39.6	-1.8	34.6	0.47
10	45.9	-18.9	38.6	-7.49	33.6	2.66

Uniaxial compression test:  $\mu_q = 0$ .

Table 5

Critical localization direction  $\theta_c$  and  $\bar{H}_c/E$ 

$f'_c/f'_t$	$\nu = 0.0$		$\nu = 0.2$		$\nu = 0.4$	
	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$
2	0.0	0.0	0.0	-0.03	0.0	-0.09
5	0.0	-2.0	0.0	-2.92	0.0	-4.3
10	0.0	-14.26	0.0	-25.32	0.0	-19.56

Uniaxial tensile test.  $\mu_q = \mu_f$ .

Table 6

Critical localization direction  $\theta_c$  and  $\bar{H}_c/E$ 

$f'_c/f'_t$	$\nu = 0.0$		$\nu = 0.2$		$\nu = 0.4$	
	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$	$\theta_c$ (°)	$\bar{H}_c/E$
2	24.1	0.027	27.9	0.069	27.0	0.08
5	0.0	0.66	0.0	0.67	0.0	0.73
10	0.0	1.73	0.0	1.73	0.0	1.78

Uniaxial tensile test:  $\mu_q = 0$ .

409 and non-associated flows, respectively. Finally, Tables 5 and 6 are related to the  
 410 plane strain uniaxial tensile test. Positive values of the critical normalized harden-  
 411 ing/softening parameter of the local Drucker–Prager model in Tables 1–6 indicate  
 412 that localized failure mode in form of discontinuous bifurcation takes place before  
 413 peak. The results in Tables 1 and 2 agree with the well known localization properties  
 414 of the classical Drucker–Prager elastoplastic material in the sense that, in hardening  
 415 regime of the simple shear test, localized failure forms occurs for all possible Pois-  
 416 son’s modulus and strength ratios, provide the non-associated flow rule ( $\mu_q = 0$ ) is  
 417 considered. In the uniaxial compression test, a localized failure form in the pre-peak  
 418 regime of classical Drucker–Prager material occurs only when  $\nu \geq 0.4$  in the non-  
 419 associated flow rule ( $\mu_q = 0$ ), as can be observed in Table 4. Finally, in the uniaxial  
 420 tensile test localized failure mode takes place in the hardening regime, in both asso-  
 421 ciated flow rule (when  $f'_c/f'_t = 2$  and  $\nu = 0$ ) and non-associated flow rule (for all pos-  
 422 sible Poisson’s moduli and strength ratios).

423 The results in Figs. 1–3 demonstrate that the non-local gradient formulation leads  
 424 to the same critical directions for localization as those corresponding to the local

425 model. However, the lack of contact between the Mohr circles and the localization  
 426 ellipses of the gradient model, indicates that this non-local theory suppress the dis-  
 427 continuous bifurcation condition of the local one, for all possible ratios  $l/\delta > 0$ .

428 5.2. Case:  $\bar{H} < \bar{H}_c$

429 We consider the particular case when the adopted hardening/softening modulus is  
 430 smaller than the critical one for localization of the local elastoplastic model formu-  
 431 lation,  $\bar{H} < \bar{H}_c$ . From Eqs. (39) and (56) we obtain

$$\bar{H}_c - \bar{H} = n_l \cdot \bar{H}_c^g \cdot n_l \left( \frac{2\pi l}{\delta} \right)^2. \quad (58)$$

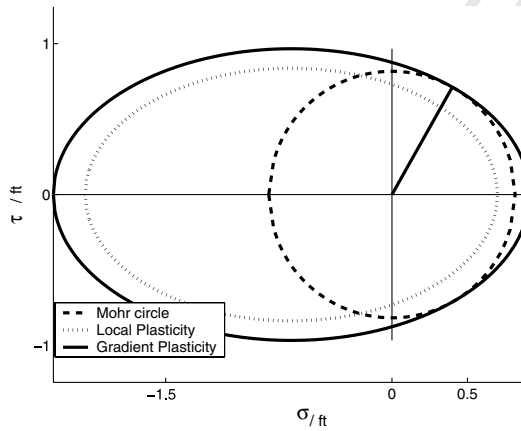


Fig. 4. Gradient localization ellipse for simple shear test and associated plasticity flow.

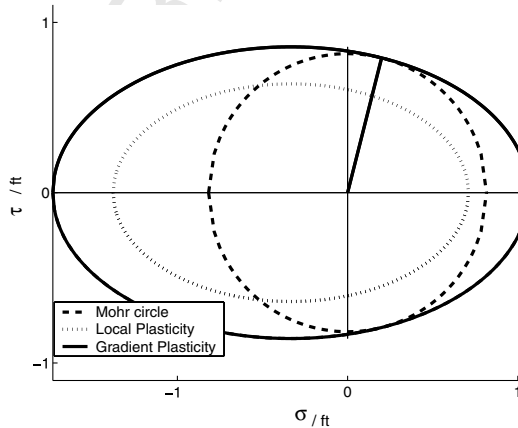


Fig. 5. Gradient localization ellipse for simple shear test and non-associated plasticity flow.

434 Therefore, the ratio  $\delta/l$  results, see [Svedberg \(1999\)](#),

$$\frac{\delta}{l} = 2\pi \sqrt{\frac{\mathbf{n}_l \cdot \bar{\mathbf{H}}_c^g \cdot \mathbf{n}_l}{(\bar{H}_c - \bar{H})}}. \tag{59}$$

437 For isotropic gradient, and taking into account Eq. (31), the previous equation gives

$$\frac{\delta}{l} = 2\pi \sqrt{\frac{\bar{H}_c^g}{(\bar{H}_c - \bar{H})}}. \tag{60}$$

440 And the localization condition is then satisfied when

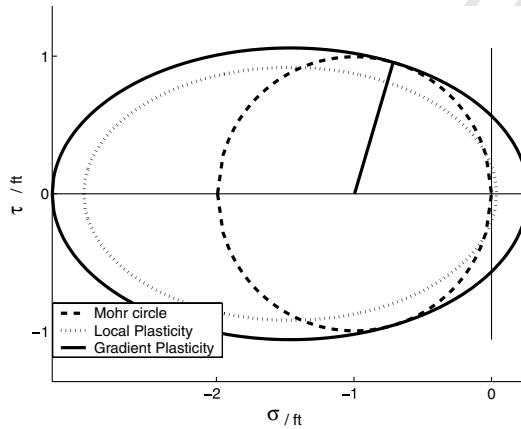


Fig. 6. Gradient localization ellipse for uniaxial compression test and associated plasticity flow.

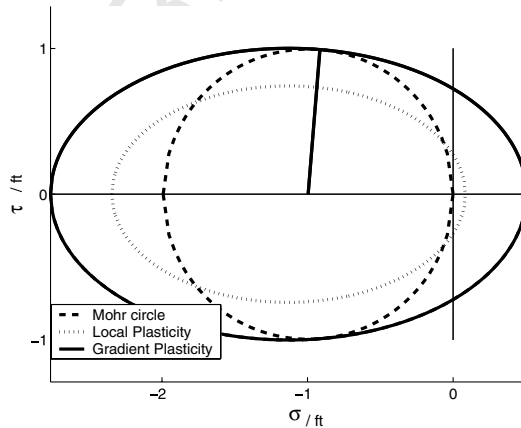


Fig. 7. Gradient localization ellipse for uniaxial compression test and non-associated plasticity flow.

$$\bar{H}_c^g = (\bar{H}_c - \bar{H}) \left( \frac{\delta}{2\pi l} \right)^2. \tag{61}$$

444 We conclude that, when the condition in Eq. (61) is fulfilled, the gradient-dependent  
445 elastoplastic material formulation leads to localized failure modes, similarly to the  
446 local material model.

447 Figs. 4 and 5 exhibit the localization angles of the gradient-dependent elastoplas-  
448 tic model for the limit state of the simple shear test with associated and non-associ-  
449 ated plastic flows, respectively.

450 The gradient localization ellipses corresponding to the limit stress state of the uni-  
451 axial compression test are presented in Figs. 6 and 7, while in Figs. 8 and 9 those  
452 corresponding to the stress state of the uniaxial tensile test.

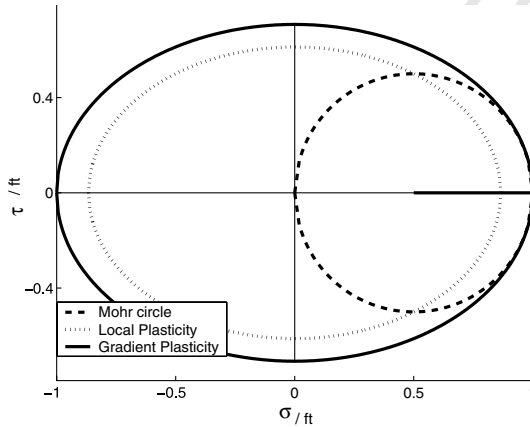


Fig. 8. Gradient localization ellipse for uniaxial tensile test and associated plasticity flow.

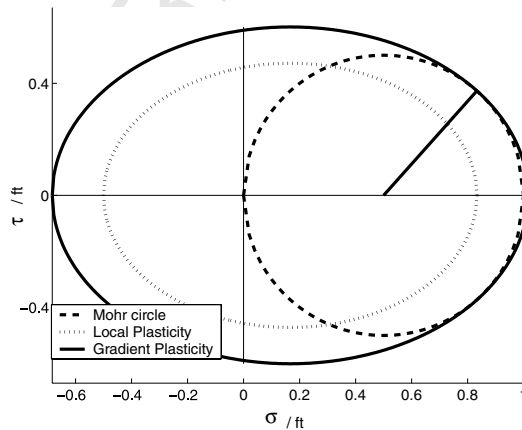


Fig. 9. Gradient localization ellipse for uniaxial tensile test and non-associated plasticity flow.



453 To verify the previous geometrical results regarding the shortcomings of the gra-  
 454 dient-dependent elastoplastic formulation of the parabolic Drucker–Prager model to  
 455 suppress discontinuous bifurcations when Eq. (61) is fulfilled, a numerical localiza-  
 456 tion study is performed at the constitutive level. The diagrams in Fig. 10 show the  
 457 variation of the normalized localization indicator  $\det \mathbf{Q}^{epg} / \det \mathbf{Q}^e$  with the in-plane  
 458 failure angles for pure shear test at peak, when  $\bar{H}/E = 0.5\bar{H}_c/E = 0.032$ . The cases  
 459 corresponding to associated and non-associated gradient elastoplasticity as well as  
 460 non-associated local elastoplasticity were considered. The results in Fig. 10

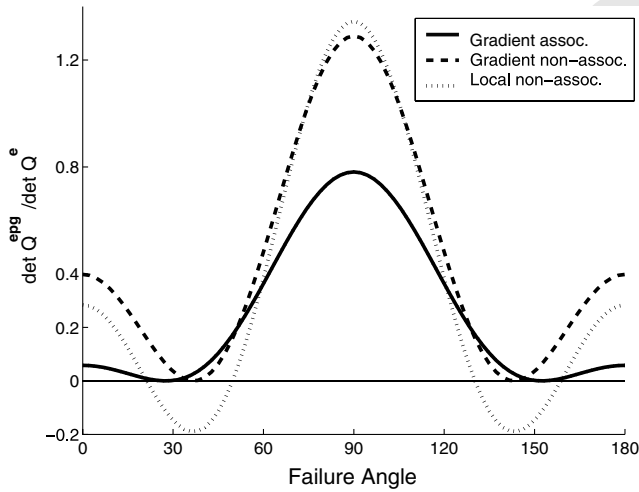


Fig. 10. Bifurcation analysis for parabolic Drucker–Prager model with  $f'_c/f'_t = 2$ ,  $\nu = 0.4$ .

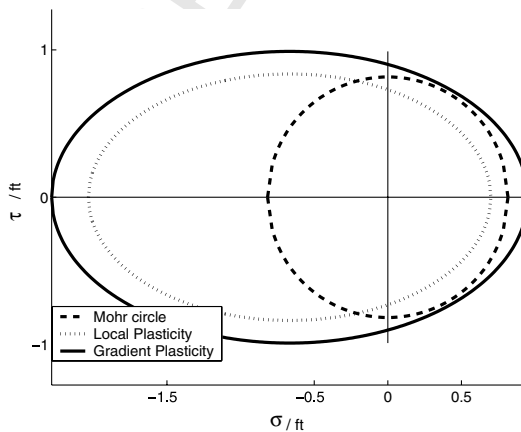


Fig. 11. Gradient localization ellipse for simple shear test at peak when  $\bar{H}^g > (\bar{H}_c - \bar{H})(\delta/2\pi l)^2$ . Associated plasticity.

461 demonstrate the shortcomings of the gradient-dependent elastoplastic model formulation  
 462 to eliminate discontinuous bifurcation in the form of localized failure when  
 463  $\bar{H} < \bar{H}_c$ . In the particular case of simple shear test, the non-associated gradient-  
 464 dependent model leads also to localized failure in the pre-peak regime, similarly to  
 465 the local elastoplastic formulation.

466 By adopting a gradient modulus  $\bar{H}_g$  that satisfies

$$\bar{H}^g > \bar{H}_c^g \tag{62}$$

469 the discontinuous bifurcations is suppressed. To analytically verify this, the value  
 470  $\bar{H}^g = 1.2(\bar{H}_c - \bar{H})(\delta/2\pi l)^2$  is assumed. The failure predictions of the resulting

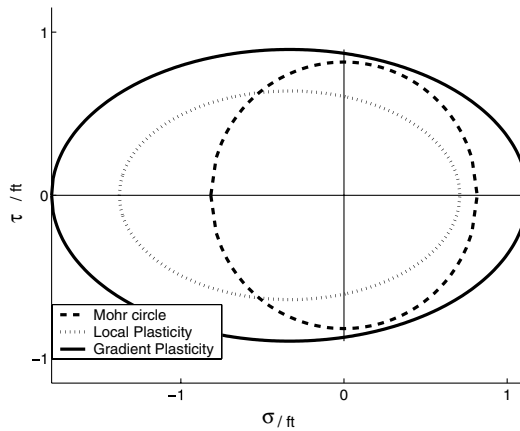


Fig. 12. Gradient localization ellipse for simple shear test at peak when  $\bar{H}^g > (\bar{H}_c - \bar{H})(\delta/2\pi l)^2$ . Non-associated plasticity.

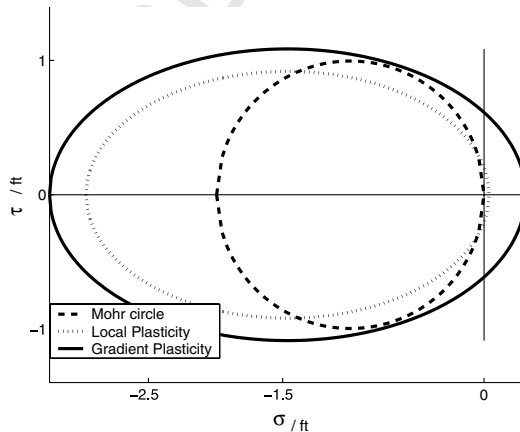


Fig. 13. Gradient localization ellipse for uniaxial compression test at peak when  $\bar{H}^g > (\bar{H}_c - \bar{H})(\delta/2\pi l)^2$ . Associated plasticity.

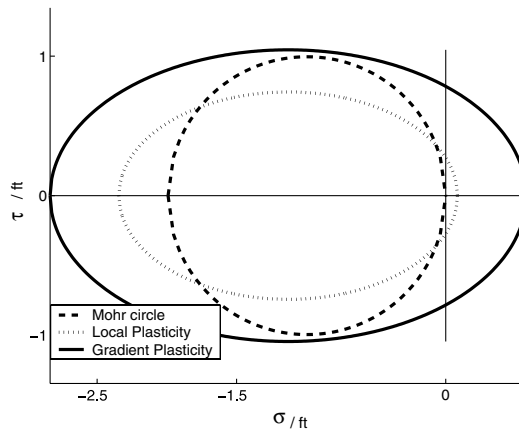


Fig. 14. Gradient localization ellipse for uniaxial compression test at peak when  $\bar{H}^g > (\bar{H}_c - \bar{H})(\delta/2\pi l)^2$ . Non-associated plasticity.

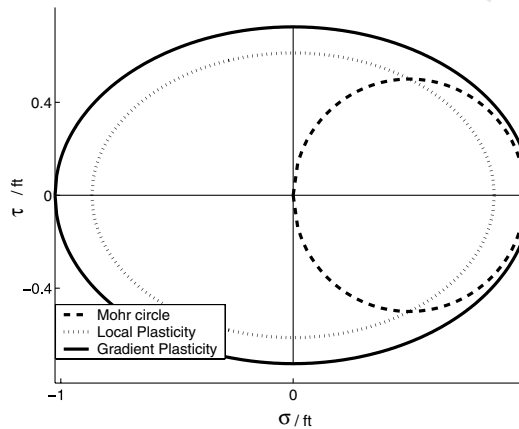


Fig. 15. Gradient localization ellipse for uniaxial tensile test at peak when  $\bar{H}^g > (\bar{H}_c - \bar{H})(\delta/2\pi l)^2$ . Associated plasticity.

471 non-local generalized Drucker–Prager elastoplastic model in terms of the localiza-  
472 tion ellipse are depicted in Figs. 11–16 for the peak stress states of the plane strain  
473 simple shear, uniaxial compression and uniaxial tensile tests. We observe the lack  
474 of contact between the localization ellipse and the Mohr circle, indicating that no  
475 localized failure mode takes place.

476 **Remark.** According to the classification of strain gradient plasticity theories by  
477 Huang et al. (2004), the constitutive theory considered in this work belongs to the so-  
478 called lower-order theory as it does not involve higher order stresses and the plastic  
479 strain gradients appear only at the constitutive level, while the boundary conditions

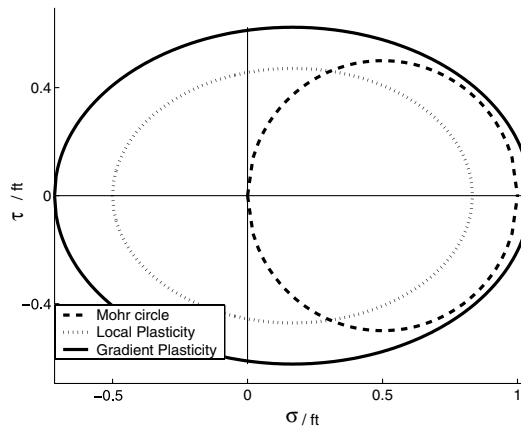


Fig. 16. Gradient localization ellipse for uniaxial tensile test at peak when  $\bar{H}^g > (\bar{H}_c - \bar{H})(\delta/2\pi l)^2$ . Non-associated plasticity.

480 are the same as in the classical or local plasticity. Actually, as shown in the same  
 481 work by Huang et al. (2004), both lower and higher order strain gradient theories  
 482 lead to similar stress distributions away from a thin boundary layer of the solid.  
 483 However, as the localization bands may represent internal boundaries in the  
 484 continuum it is expected that the localization solutions corresponding to the two  
 485 different gradient plasticity theories, obtained with geometrical and/or analytical  
 486 methods, would differ.

## 487 6. Conclusion

488 In this work, a geometrical localization method was developed for the analysis of  
 489 the discontinuous bifurcation properties of the thermodynamically consistent gradi-  
 490 ent-dependent parabolic Drucker–Prager elastoplastic model for cohesive-frictional  
 491 materials. The gradient-dependent elastoplastic localization condition was expressed  
 492 in terms of the coordinates of Mohr to obtain a second-order ellipse that represents the  
 493 envelope of localization for each particular state of stress. The localization condition  
 494 was geometrically defined by the tangency condition between the localization ellipse  
 495 and the major principle circle of Mohr, while the mode of failure was defined by the  
 496 inclination of the Mohr circle radio to the tangential point with the localization ellipse.

497 The results of the geometrical localization analysis indicate that the gradient-  
 498 dependent parabolic Drucker–Prager elastoplastic formulation suppresses the dis-  
 499 continuous bifurcations of the classical elastoplasticity when the selected harden-  
 500 ing/softening modulus  $\bar{H}$  equals the critical one for localization of the local  
 501 material formulation  $\bar{H}_c$ .

502 The regularization capability of the gradient formulation reduces as  $l/\delta \rightarrow 0$ .  
 503 Therefore, the characteristic length  $l$  defines the level of diffusion of the failure

504 mode. When  $l$  approaches zero, a continuous transition from non-local gradient  
505 to local elastoplasticity is obtained. In the extreme case, when  $l=0$ , see Eqs.  
506 (40), (54) and (57), the local formulation is fully recovered. On the other hand,  
507 by adopting a hardening/softening modulus  $\bar{H} < \bar{H}_c$ , the gradient elastoplastic  
508 material formulation may lead to localized failure modes, as long as the non-local  
509 gradient hardening/softening modulus  $\bar{H}^g$  is lower than a limit value defined in  
510 terms of  $\bar{H}_c$  and  $l$ . Therefore, we conclude that regularization capability of the  
511 thermodynamically consistent gradient-dependent plasticity formulation does not  
512 only depend on the characteristic length  $l$  but also on the relationship between  
513  $\bar{H}^g$ ,  $\bar{H}_c$ ,  $\bar{H}$  and  $l$ .

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