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Viscoplastic approach for rate-dependent failure analysis of concrete joints and interfaces

R. Lorefice^a, G. Etse^{b,*}, I. Carol^c

^a Universidad Nacional de Santiago del Estero, Argentina

^b Universidad de Buenos Aires, Argentina – CONICET, Munecas 730, 10 A, 4000 Tucuman, Tucuman, Argentina ^c ETSECCPB-UPC, Barcelona, Spain

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10 Abstract

In this work, a new rate-dependent interface model for computational analysis of quasi-brittle materials like concrete is 11 12 presented. The model is formulated on the basis of the inviscid elastoplastic model by [Carol, I., Prat, P.C., López, C.M., 13 1997. "A normal/shear cracking model. Interface implementation for discrete analysis". Journal of Engineering Mechan-14 ics, ASCE, 123 (8), pp. 765–773.]. The rate-dependent extension follows the continuous form of the classical viscoplastic theory by [Perzyna, P., 1966. "Fundamental problems in viscoplasticity". Advances in Applied Mechanics, 9, pp. 244-15 368.]. According to [Ponthot, J.P., 1995. "Radial return extensions for viscoplasticity and lubricated friction". In: Proceed-16 ings of International Conference on Structural Mechanics and Reactor Technology SMIRT-13, Porto Alegre, Brazil, (2), 17 18 pp. 711-722.] and [Etse, G., Carosio, A., 2002. "Diffuse and localized failure predictions of Perzyna viscoplastic models for 19 cohesive-frictional materials". Latin American Applied Research (32), pp. 21-31.] it includes a consistency parameter and 20 a generalized yield condition for the viscoplastic range that allows an straightforward extension of the full backward Euler 21 method for viscoplastic materials. This approach improves the accuracy and stability of the numerical solution. The model predictions are tested against experimental results on mortar and concrete specimens that cover different stress paths at 22 23 different strain rates. The results in this work demonstrate, on one hand, the capabilities of the proposed elasto-viscoplastic interface constitutive formulation to predict the rate-dependency of mortar and concrete failure behavior, and, on the 24 25 other hand, the efficiency of the numerical algorithms developed for the computational implementation of the model that 26 include the consistent tangent operator to improve the convergence rate at the finite element level.

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28 Keywords: Concrete; Failure; Interface; Rate-dependency; Viscoplasticity

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30 **1. Introduction**

It is known that quasi-brittle materials like concrete present a highly complex mechanical behavior characterized by a strongly stress-state dependent failure response. The main and fundamental reason is the intrinsic

* Corresponding author. Tel./fax: +54 381 4226151.

E-mail addresses: lorefice@unse.edu.ar (R. Lorefice), getse@herrera.unt.edu.ar (G. Etse), Ignacio.Carol@upc.edu (I. Carol).

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heterogeneity due to the composite nature of its mesostructure. Presently, the influence of the concrete heterogeneity in its response behavior is concentrating significant attention of the international scientific community.

In this sense, most of the recent attempts focus on the development and use of discrete models instead of those based on continuum approaches, see a.o. Stankowski (1990), Vonk (1992), Carol et al. (1997), López Garello (1999), Vervuurt (1997), Slowik and Leite (2000), Chang et al. (2002a,b), Shi et al. (1999), Wang and Bittencourt (2001), among others. The increasing use of discontinuous models is mainly due to their mesh objective numerical predictions but also to the fact that they can be used in computational analysis at both meso and micro-mechanical levels.

Another relevant difficulty of the concrete mechanical behavior arises from its rheologic feature that is 42 responsible for the significant time-effect dependence of its failure response. Essentially, two types of time-43 effects can be distinguished in concrete behavior. On the one hand, the time-dependent effect that appears 44 during sustained load and/or deformation processes in concrete such as creep and relaxation. This type 45 of time-effect is not taken into account in the present paper. On the other hand, the time-rate effect or, sim-46 ply, the rate-dependent effect that controls the concrete fracture/cracking process evolution (phenomenon 47 related to the activated energy that is necessary for the rupture of interatomics bounds). The rate dependence 48 of concrete is activated during seismic loads, impacts and/or machine vibrations that act in most of the exist-49 ing concrete structures and foundations. Contributions related to the experimental evidence of the rate-50 dependent effect are due to Watstein (1953), Takeda and Tachikawa (1962, 1971), Cowell (1966), Birkimer 51 (1968), Birkimer and Lindemann (1971), Hughes and Gregory (1972), Hughes and Watson (1978), Reinhardt 52 (1982, 1984, 1985), Ross et al. (1989), Ross (1991), Ross et al. (1995), Ross et al. (1996), John and Shah 53 (1987), John et al. (1992), Tedesco et al. (1989, 1991, 1993, 1997), Suaris and Shah (1984, 1985), Bresler 54 and Bertero (1975), Dilger et al. (1978), Malvern et al. (1985), Bažant and Gettu (1992), Bažant et al. 55 (1993, 1995) among others. The range of the evaluated strain rates was generally controlled by the type 56 of loading devices used in each experimental research. For instance, Bresler and Bertero (1975) and Takeda 57 and Tachikawa (1962) used hydraulic testing machines to load specimens at strain rates up to 1 s^{-1} . Hughes 58 and Gregory (1972), Watstein (1953) and Hughes and Watson (1978) used a drop-weight impactor to 59 achieve strain rates up to 10 s⁻¹. Ross et al. (1989, 1996) and Malvern et al. (1985) used a split Hopkinson 60 Pressure Bar (SHPB) and obtained deformation rates between 10 and $10^3 \, \text{s}^{-1}$. The strain at maximum 61 strength or peak stress is an important parameter in the characterization of material behavior. There have 62 been differing interpretations regarding how this strain varies with the strain rate. Watstein (1953) and 63 Takeda and Tachikawa (1962) reported that the strain at peak stress increases with increasing strain rate. 64 Contrarily, Hatano and Tsutsumi (1960) and Cowell (1966) found that it essentially remains constant while 65 Hughes and Watson (1978), Dilger et al. (1978), and Dhir and Sangha (1972) have indicated that it decreases 66 with increasing strain rate. 67

The contradictory findings can be partly attributed to the inconsistency in the loading methods used for the tests. However, and despite the differences in the authors findings regarding the variation of the strain at peak stress with the strain rate, they all demonstrated that the higher the strain rate, the more relevant the concrete rate dependence.

72 The strain rate dependence of the concrete compressive and tensile peak stresses is typically illustrated by means of the dynamic increase factor curve DIF that shows the variation of the dynamic to static uniaxial 73 strengths with the applied strain rates on a semi-log or log-log scale. Fig. 1 shows different DIF curves 74 75 obtained by several authors. They are compared with the CEB-DIF curves for 30 and 70 MPa concrete strengths. One important aspect of all the experimental data in Fig. 1 independently of the particular test 76 device and procedure considered, is that above the strain rate of $1 \sec^{-1}$ they all show the same trend, i.e., 77 a stronger increment of the DIF. The data from Birkimer (1968), and Birkimer and Lindemann (1971) were 78 obtained by measuring the strain pulses on long concrete rods impacted by metallic projectiles. McVay (1988) 79 80 and Antoun (1991) obtained their data by back calculating stress and strain from spall tests. Data collected by Ross et al. (1989, 1996) were obtained using two different size split Hopkinson pressure bars, three different 81 specimen sizes, and six different concrete mixtures. Moreover, they performed two different type of tensile 82 tests, the direct tensile test and the splitting tensile test (Brazilian test). Finally, data by John et al. (1992) were 83 obtained from independent SHPB tests. As indicated before, in all cases, and for strain rates larger than 1 s^{-1} , 84

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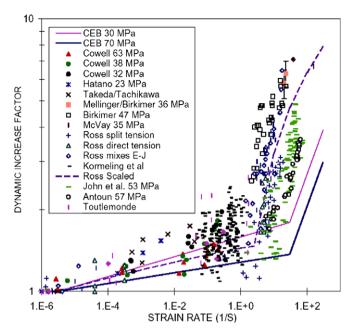


Fig. 1. Experimental test data - pure tension test.

very high dynamic tensile strengths were observed when compared to the quasi-static strength of concrete.
 Regarding the influence of the concrete static compressive strength in its strain rate sensitivity, the experimental results of Cowell (1966) as well as Kormeling et al. (1980) demonstrate that concretes with the lower compressive strength exhibited the higher DIF in tension.

The experimental evidence shows also that concrete rate dependency is higher in tension than in compres-89 sion. Particularly, in the tension regime we can distinguish between a low to moderate rate-dependency range 90 for strain rates from 10^{-6} to 1.0 s^{-1} , on the one hand, and a high rate-dependency range for strain rates greater 91 than 1.0 s^{-1} , on the other hand. The strong change of the DIF that takes place at this upper limit of strain rate 92 (1.0 s^{-1}) can be observed in Fig. 1. In the low to moderate regime, moisture content plays an important role in 93 concrete over-strength, Rossi et al. (1992), Rossi (1997), Cadoni et al. (2001a,b), Ross et al. (1996). The free 94 water in the micro-pores originate the so-called Stefan-effect (Rossi, 1997) causing a strengthening effect in 95 concrete with increasing loading rate. This Stefan-effect is the phenomenon that occurs when a viscous liquid 96 is trapped between two plates that are rapidly separated, causing a reaction force on the plates that is propor-97 tional to the velocity of separation. In Cadoni et al. (2001a,b), a different explanation for the influence of the 98 moisture content is given, based on the principle of wave propagation in concrete. When a pore is not filled 99 with water, it will locally reflect the incoming stress wave. The multiple reflections of all pores together may 100 cause a considerable stress increase giving rise to material damage. When a stress wave meets a pore that is 101 102 filled with liquid the reflected stress is not big enough to cause the stress increase. Therefore, wet concrete exhibits less damage and more pronounced rate-dependent effects than dry concrete when subjected to stress 103 waves. This interpretation by Cadoni et al. (2001a,b) provides an explanation to the strength differences 104 between wet and dry concrete. However, it fails to explain the increase in strength that occurs in concrete 105 under dynamic loading. Actually, the rate dependency in the high loading rate regime is mainly due to 106 micro-inertia effects in the fracture process zone, Weerheijm et al. (2001, 2003), Brara and Klepaczko 107 (2006). This mechanism is completely different to the one that governs the rate-dependency in the low to mod-108 erate strain rate regime. 109

110 Conceptual and numerical standpoint studies regarding the influence of the strain-rate in concrete and 111 cementitious materials at macromechanic level are a.o. due to Etse and Carosio (2002), Bažant et al. 112 (2000), Burlion et al. (2000), Winnicki et al. (2001), Grote et al. (2001) and Park et al. (2001). At the mesome-113 chanic level, the authors that evaluated the concrete strain rate sensitivity are a.o., Ruiz et al. (2000), Xu and

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Needleman (1994), Tvergaard and Hutchinson (1993), Sluvs and Liu (2003), Bažant and Li (1997), Bažant and 114 Oh (1982), and Li and Bazant (1997). Bazant et al. (2000) proposed a rate-dependent extended version of the 115 Microplane M4 model by means of a viscoelastic Maxwell chain. Etse and Carosio (2002) as well as Winnicki 116 117 et al. (2001) worked on a rate-dependent extension of elasto-plastic models for concrete using Perzyna's viscoplasticity theory in order to include the strain rate and time effects in the material response. Etse and Carosio 118 (2002) considered the continuous Perzyna viscoplasticity concept by Ponthot (1995) to evaluate the localized 119 failure indicator performance. Winnicki et al. (2001) extended the Hoffman model for concrete by introducing 120 the consistent viscoplasticity concept by Wang (1997), see also Wang and Sluys (2000). 121

In this work, a new viscoplastic-based time/rate-dependent interface model for quasi-brittle materials like 122 concrete is presented. Discussion focusses on the model performance to capture rate effects on failure behavior 123 of cementitious-like materials. Based on the previously discussed experimental evidence regarding the different 124 mechanisms controlling the concrete rate dependency in the low to moderate and the high strain rate regimes, 125 and as the proposed model takes into account the rate dependency by means of the viscoplastic theory, the 126 numerical predictions in this work are restricted to the low to moderate regime of strain rates. The interface 127 elasto-viscoplastic formulation is based on a continuum Perzyna-type extension of the inviscid interface model 128 129 by Carol et al. (1997). Contrarily to the classical Perzyna formulation this continuum theory by Ponthot (1995) includes a generalized consistency condition for the viscoplastic range which allows the extension of 130 the well-known numerical methods of classical plasticity to rate-dependent models. After formulating the con-131 stitutive equations of the viscoplastic interface model the numerical algorithms developed for the computa-132 tional implementation of the model are presented, including the consistent tangent operator to improve the 133 convergence rate at the finite element level. 134

In order to properly calibrate the model, the experimental tests on concrete specimens at different strain rates by Suaris and Shah (1984, 1985) are considered. The numerical analysis of concrete and mortar dynamic behaviors under different stress paths in this work demonstrate, on the one hand, the efficiency of the developed numerical tools and, on the other hand, the capabilities of the proposed formulation to reproduce the strength and ductility dependency of quasi-brittle materials like mortar and concrete on the considered strain rates.

141 2. Continuous Perzyna rate-dependent formulation

Similar to the flow theory of plasticity, the elasto-viscoplastic constitutive relations by Perzyna (1966) may
 be written as

$$\dot{\boldsymbol{\sigma}} = \dot{\boldsymbol{\sigma}}_{e} - \dot{\boldsymbol{\sigma}}_{vp} = \mathcal{E} : (\dot{\boldsymbol{\varepsilon}} - \dot{\boldsymbol{\varepsilon}}_{vp}) \tag{1}$$

$$\dot{\boldsymbol{\varepsilon}}_{vp} = \boldsymbol{g}(\boldsymbol{\psi}, F, \boldsymbol{\sigma}) = \frac{1}{n} \langle \boldsymbol{\psi}(F) \rangle \boldsymbol{m}$$
⁽²⁾

$$\boldsymbol{m} = \boldsymbol{\mathcal{A}}^{-1} : \boldsymbol{n} = \boldsymbol{\mathcal{A}}^{-1} : \frac{\partial F}{\partial \boldsymbol{\sigma}}$$
(3)

$$\psi(F) = \left[\frac{F(\boldsymbol{\sigma}, \boldsymbol{q})}{F_o}\right]^{T}$$

$$\dot{\boldsymbol{q}} = \frac{1}{\langle \psi(F) \rangle \mathcal{H}} : \boldsymbol{m}$$
(4)

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 $\dot{q} = \frac{1}{\eta} \langle \psi(F) \rangle \mathcal{H} : \mathbf{m}$ (5) where $\dot{\mathbf{k}}_{vp}$ represents the viscoplastic portion of the total strain rate tensor $\dot{\mathbf{k}}$, η the fluidity parameter (apparent viscosity) and $\dot{\mathbf{q}}$ the rate of hardening/softening variables defined as a tensor of arbitrary order. Eq. (1) follows from the additive decomposition of the total strain rate into an elastic and a viscoplastic part $\dot{\mathbf{k}} = \dot{\mathbf{k}}_e + \dot{\mathbf{k}}_{vp}$, quite similar to the Prandtl–Reuss equations in case of inviscid elasto-plastic constitutive materials. Thereby \mathcal{E} is the

similar to the Prandtl–Reuss equations in case of inviscid elasto-plastic constitutive materials. Thereby \mathcal{E} is the fourth order elastic tensor. Eqs. (2) and (3) describe a general non-associated flow rule that controls the direction of the viscoplastic strains. This is defined by the gradient tensor *m* obtained by a modification of the gradient tensor *n* to the yield surface *F* by means of the fourth order transformation tensor \mathcal{A} . $\psi(F)$ in Eq. (4) is a dimensionless monotonically increasing over-stress function that depends on the inviscid yield function $F(\sigma, q)$ which defines the limit of the elastic domain. F_o represents a normalizing factor, usually chosen equal to the

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initial yield limit. *N* is a parameter that should satisfy $N \ge 1$ and defines the order of the Perzyna's viscoplasticity while the Mc Cauley brackets in Eq. (2) and (5) states the features of the over-stress function as

$$\langle \psi(F) \rangle = \begin{cases} \psi(F) & \text{if } F > 0\\ 0 & \text{if } F \leqslant 0 \end{cases}$$
(6)

Finally, Eq. (5) represents the evolution law of the hardening/softening variables q by means of a suitable tensorial function \mathcal{H} of the state variables.

162 In the continuous Perzyna formulation, see Ponthot (1995), Eqs. (1)–(5) are complemented by the consis-163 tency viscoplastic parameter $\dot{\lambda}$ which is defined in terms of the over-stress function,

$$\dot{\lambda} = \frac{1}{\eta} \langle \psi(F) \rangle \tag{7}$$

167 So that the evolution Eqs. (2) and (5) take now the classical forms

$$\dot{\boldsymbol{\varepsilon}}_{vp} = \dot{\boldsymbol{\lambda}}\boldsymbol{m}$$

$$\dot{\boldsymbol{q}} = \dot{\boldsymbol{\lambda}}\mathcal{H}: \boldsymbol{m} = \dot{\boldsymbol{\lambda}}\boldsymbol{h}$$
(8)
(9)

being h = H : m. In the particular case of one state variable, Eq. (9) turns into

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$$\dot{q} = \dot{\lambda}h$$
 (10)

with *h* a scalar function of *m*. In what follows this case will be considered and the extension to the case of several state variables is straightforward. After eliminating λ between (7) and (8) and applying the inverse function ψ^{-1} in both terms follows

$$F = \psi^{-1} \left(\frac{\|\dot{\boldsymbol{k}}_{vp}\|}{\|\boldsymbol{m}\|} \eta \right) = \psi^{-1} (\dot{\lambda}\eta)$$
(11)

 $\frac{180}{181}$ We may now define the new constrain condition for the viscoplastic range restating (11) as

183
$$\overline{F} = F - \psi^{-1}(\dot{\lambda}\eta) = 0 \tag{12}$$

which represents a generalization of the inviscid yield condition F = 0 for rate-dependent Perzyna viscoplastic materials. The name *continuous formulation* is due to the fact that the condition $\eta = 0$ (no viscosity effect) leads to the elastoplastic yield condition F = 0, see Etse and Carosio (2002). Moreover, from (7) follows that when $\eta \rightarrow 0$ the consistency parameter remains finite and positive since also the over-stress goes to zero. The other extreme case, $\eta \rightarrow \infty$, leads to the inequality $\overline{F} < 0$ for every possible stress state, indicating that only elastic response may be activated.

190 The constrain defined by Eq. (12) allows a generalization of the Kuhn–Tucker conditions

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$$\dot{\lambda}\overline{F} = 0, \quad \dot{\lambda} \ge 0, \quad \overline{F} \le 0.$$
 (13)

Finally, the generalized consistency condition for the viscoplastic range expands into

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$$\dot{\overline{F}} = \mathbf{n} : \dot{\boldsymbol{\sigma}} + \overline{r}\dot{q} + \overline{s}\ddot{\lambda} = 0$$
(14)

197 where

$$\bar{r} = \frac{\partial \bar{F}}{\partial q} = \left(\frac{\partial F}{\partial q} - \frac{\partial \psi^{-N}(\dot{\lambda}\eta)}{\partial q}\right)$$
(15)

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$$\bar{s} = -\frac{\partial \varphi^{-N}(\eta \dot{\lambda})}{\partial \dot{\lambda}} \tag{16}$$

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The constrain in Eq. (12) and, particularly, the generalized consistency condition (14) play an important 203 role in the numerical integration of continuous Perzyna constitutive models. In this regard, linearization of 204 Eq. (14) allows, on the one hand, the application of the well-known Backward-Euler or Closest Point Projec-205 tion method of inviscid elastoplasticity to obtain full implicit stress integration procedures during Perzyna-206 type viscoplastic processes. On the other hand, it allows the formulation of the algorithmic tangent operator 207 to improve the convergence rate at the finite element level in the framework of the Newton-Raphson method. 208 Consequently, continuous Perzyna model formulations are related to a superior efficiency of the numerical 209 procedures for stress integration as compared to classical Perzyna viscoplasticity. 210

Other interesting modification to the classical Perzyna formulation is due to Wang (1997), which includes the strain rate as an additional state variable into the flow and viscoplastic potential functions, *i.e.*,

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$$F^{vp} = F^{vp}(\boldsymbol{\sigma}, \boldsymbol{q}, \dot{\boldsymbol{\epsilon}}) \tag{17}$$

This also leads to the formulation of the rate-dependent Kuhn–Tucker conditions for the viscoplastic range similarly to the continuous Perzyna formulation.

217 **3. Time-dependent interface model formulation**

In this section, the rate-dependent extension of the interface model by Carol et al. (1997) is presented. The viscoplastic yield condition of the interface constitutive model is defined as

$$\overline{F} = F - \psi^{-1}(\dot{\lambda}\eta) = \tau^2 - (c - \sigma \tan \phi)^2 + (c - \chi \tan \phi)^2 - (\dot{\lambda}\eta)$$
(18)

where a Perzyna exponent N = 1 is considered. The interface behavior is formulated in terms of the stress vec-223 tor $\mathbf{t} = [\sigma, \tau]^T$, with normal and tangential stress components to the interface plane, and corresponding relative 224 displacements $\mathbf{u} = [u, v]^T$. The tensile strength χ (vertex of hyperbola), the shear strength c (cohesion strength) 225 and the internal friction angle ϕ are model parameters, while λ is the viscoplastic multiplier defined as in Eq. 226 (7) being η the viscosity. In Eq. (18), two limit situations can be distinguished: (a) cracking under pure tension, 227 with zero shear stress (Mode I), when the yield surface is reached along the horizontal axis, and (b) cracking 228 under shear and very high compression, when the yield surface is reached in its asymptotic region, where the 229 hyperbola approaches a Mohr-Coulomb criterion, which is called "asymptotic Mode II". The hyperbolic cri-230 teria provides a smooth transition between these two limit states. The evolution of the rate-dependent fracture 231 process is driven by the cracking parameters γ and c, which depends on a single state variable: the work spent 232 during viscoplastic crack formation, q^{vcr} . As q^{vcr} increases, c and χ are assumed to linearly decrease from their 233 initial values χ_0 and c_0 in terms of an intermediate scaling function S, defined as 234

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$$\chi = \chi_0 (1 - S(\xi_{\chi})) \quad c = c_0 (1 - S(\xi_c))$$
 (19)

237 with

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$$S(\xi_{\chi}) = \frac{e^{-\alpha_{\chi}}\xi_{\chi}}{1 + (e^{-\alpha_{\chi}} - 1)\xi_{\chi}} \quad S(\xi_{c}) = \frac{e^{-\alpha_{c}}\xi_{c}}{1 + (e^{-\alpha_{c}} - 1)\xi_{c}}$$
(20)

where $\xi_{\chi} = q^{vcr}/G_f^I$, $\xi_c = q^{vcr}/G_f^{II}$ and α_{χ} , α_c are material parameters. Here, G_f^I and G_f^{II} are the fracture energies in Mode I and Mode II, respectively. As the friction angle is assumed to remain constant, the evolution law of q^{vcr} that defines the necessary amount of release energy to open a single crack in a time-dependent tensile or compressive fracture processes is

$$\dot{q}^{vcr} = \mathbf{t}^T \dot{\mathbf{u}}^{vcr} \tag{21}$$

246 and

$$\dot{\mathbf{u}}^{vcr} = \dot{\boldsymbol{\lambda}} \mathbf{A} \mathbf{n} \tag{22}$$

the critical rate-dependent displacement vector at the interface. The viscoplastic flow is fully associated in tension while non-associated in compression. In the viscoplastic interface model formulation, Eq. (3) is expressed in matrix form as $\mathbf{m} = \mathbf{An}$, with

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(27)

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$$\mathbf{n} = \frac{\partial F}{\partial \mathbf{t}} = \left[\frac{\partial F}{\partial \sigma}, \frac{\partial F}{\partial \tau}\right]^{T} = \left[2 \tan \phi (c - \sigma \tan \phi), 2\tau\right]^{T}$$
(23)

Thereby, the transformation matrix **A** defining the loss of normality of the rate-dependent crack opening displacement evolution vector $\dot{\mathbf{u}}^{vcr}$ in the viscoplastic interface model is obtained as

$$\mathbf{A} = \begin{cases} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \text{if } \sigma \ge 0 \\ \begin{pmatrix} f_{\sigma^{dil}} f_{c^{dil}} & 0 \\ 0 & \left(1 - \left|\frac{\sigma \tan \phi}{\tau}\right|\right) \end{pmatrix} & \text{if } \sigma < 0 \end{cases}$$
(24)

The factors $f_{\sigma}^{dil}=1-|\sigma|/\sigma^{dil}$ and $f_{c}^{dil}=1-c/c_{0}$ account for the dilatancy effects in the compressive regime by means of a reduction of the normal stress component to the interface, see Carol et al. (1997) and López Garello (1999). In the expression of f_{σ}^{dil} , σ^{dil} is a model parameter representing the value at which dilatancy vanishes.

The continuum viscoplastic formulation of the rate-dependent interface constitutive model is completed by the following equations

$$\dot{\mathbf{u}} = \dot{\mathbf{u}}^{el} + \dot{\mathbf{u}}^{vcr}$$

$$\dot{\mathbf{u}}^{el} = \mathbf{E}^{-1} \dot{\mathbf{t}}$$
(25)
(26)

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 $\dot{\mathbf{t}} = \mathbf{E}(\dot{\mathbf{u}} - \dot{\mathbf{u}}^{vcr})$

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where $\dot{\mathbf{u}}^T = (\dot{u}, \dot{v})$ is the rate of the relative displacement vector which is decomposed into the elastic or recoverable portion and the rate-dependent crack opening component, $\dot{\mathbf{u}}^{el}$ and $\dot{\mathbf{u}}^{vcr}$, respectively. E defines a fully uncoupled normal/tangential elastic stiffness at the interface

$$\mathbf{E} = \begin{pmatrix} E_N & 0\\ 0 & E_T \end{pmatrix}$$
(28)

The viscoplastic consistency condition in Eq. (14) takes now the form $\dot{\overline{F}} = \mathbf{n}^T \dot{\mathbf{t}} + \overline{r} \dot{q}^{vcr} + \overline{s} \ddot{\lambda} = 0$, with \overline{r} and \overline{s} defined as

$$\bar{r} = \left(\frac{\partial F}{\partial c} \frac{\mathrm{d}c}{\mathrm{d}q^{vcr}} + \frac{\partial F}{\partial \chi} \frac{\mathrm{d}\chi}{\mathrm{d}q^{vcr}}\right) \tag{29}$$

$$\frac{\partial F}{\partial c} = 2 \tan \phi(\sigma - \chi) \tag{30}$$

$$\frac{\partial F}{\partial \chi} = -2 \tan \phi (c - \chi \tan \phi) \tag{31}$$

275 with

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$$\frac{\mathrm{d}c}{\mathrm{d}q^{vcr}} = -\frac{c_0 \mathrm{e}^{-\alpha_c} G_f^{\mu}}{\left[(\mathrm{e}^{-\alpha_c} - 1)q^{vcr} + G_f^{\mathcal{U}}\right]^2} \tag{32}$$

$$\frac{d\chi}{dq^{vcr}} = -\frac{\chi_0 e^{-\alpha_{\chi}} G_f^I}{\left[(e^{-\alpha_{\chi}} - 1)q^{vcr} + G_f^I\right]^2}$$
(33)

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$$\bar{s} = -\frac{\partial \varphi^{-1}(\eta \dot{\lambda})}{\partial \dot{\lambda}} = -\eta \tag{34}$$

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4. Stress integration procedure 281

In the context of the Closest Point Projection Method (CPPM), the viscoplastic crack opening displacement 282 at time "n" takes the form, see Carosio et al. (2000) 283

285
$$\mathbf{u}_{n}^{vcr} = \mathbf{u}_{n-1}^{vcr} + \Delta\lambda_{n}\mathbf{A}_{n}\mathbf{n}_{n}$$
(35)

Ignoring the subscripts "n", the incremental form of stress and state variables can be expressed as 286 287

$$\Delta \mathbf{t} = \mathbf{E}(\Delta \mathbf{u} - \Delta \mathbf{u}^{vcr}) = \mathbf{E}(\Delta \mathbf{u} - \Delta \lambda \mathbf{A} \mathbf{n})$$
(36)
$$\Delta q^{vcr} = \mathbf{t}^T \Delta \mathbf{u}^{vcr}$$
(37)

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Thus, the viscoplastic consistency condition at iteration "i" can be expressed as a function of ${}^{i}\Delta(\lambda)$, 290 ${}^{i}\overline{F} = {}^{i}\overline{F}({}^{i}\Delta(\lambda))$. The viscoplastic consistency parameter ${}^{i}\Delta(\lambda)$ can be obtained similarly to classical inviscid elas-291 toplasticity from the expression of a truncated Taylor's serie at the first term 292 293

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$${}^{i}\overline{F} = {}^{i-1}\overline{F} + {}^{i-1}\left(\frac{\mathrm{d}\overline{F}}{\mathrm{d}\Delta\lambda}\right){}^{i}\mathrm{d}\Delta\lambda = 0 \Rightarrow {}^{i}\mathrm{d}\Delta\lambda = -{}^{i-1}\overline{F}\left[{}^{i-1}\left(\frac{\mathrm{d}\overline{F}}{\mathrm{d}\Delta\lambda}\right)\right]^{-1}$$
(38)

As proposed by several authors, see a.o. Ponthot (1995), Wang (1997), Carosio et al. (2000), and to avoid 296 further complication, it is supposed here that $\dot{\lambda}$ is accurately approximated by $\dot{\lambda} = \Delta \lambda / \Delta t$, i.e., $\Delta \lambda = \Delta t \langle \psi(F) \rangle$. 297 This leads to $d\lambda/d\Delta\lambda = 1/\Delta t$. Thus, the derivative of the viscoplastic yield function with respect to $\Delta\lambda$ takes 298 the form 299

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$$\frac{\mathrm{d}\overline{F}}{\mathrm{d}\Delta\lambda} = \mathbf{n}^{T} \frac{\mathrm{d}\mathbf{t}}{\mathrm{d}\Delta\lambda} + \left(\frac{\partial F}{\partial c} \frac{\mathrm{d}c}{\mathrm{d}q^{vcr}} + \frac{\partial F}{\partial\chi} \frac{\mathrm{d}\chi}{\mathrm{d}q^{vcr}}\right) \mathbf{t}^{T} \mathbf{m} - \frac{\eta}{\Delta t}$$
(39)

The change of the stresses with respect to $\Delta\lambda$ follows from Eq. (36) as 303 304

$$\frac{\mathrm{d}\mathbf{t}}{\mathrm{d}\Delta\lambda} = -\mathbf{E}^m \mathbf{m} \tag{40}$$

with 307

$$\mathbf{E}^{m} = \left[\mathbf{E}^{-1} + \Delta \lambda \frac{\partial \mathbf{m}}{\partial \boldsymbol{\sigma}}\right]^{-1} = \left(\mathbf{E}^{-1} + \Delta \lambda \mathbf{M}\right)^{-1}$$
(41)

where \mathbf{E}^m is the modified elastic matrix and $\mathbf{M} = \partial \mathbf{m} / \partial t$ the hessian matrix for the interface model, which for a 310 constant friction angle results: 311

• For $\sigma > 0$ 312

$$\mathbf{M} = \begin{pmatrix} -2\tan^2\phi & 0\\ 0 & 2 \end{pmatrix} \tag{42}$$

• For $\sigma < 0$ 315

$$\mathbf{M} = \begin{pmatrix} -2f_c^{dil}\tan\phi \left[\frac{|\sigma|}{\sigma^{dil}}(c - \sigma\tan\phi) - \left(1 - \frac{|\sigma|}{\sigma^{dil}}\tan\phi\right)\right] & 0\\ -2|\frac{\sigma\tan\phi}{\tau}|\tan\phi & 2|\frac{\sigma\tan\phi}{\tau}|\left[\frac{\sigma\tan\phi}{\tau} - 1\right] + 2 \end{pmatrix}$$
(43)

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321

314

After replacing Eq. (40) in Eq. (39) and further in Eq. (38) we obtain the iteration formula for
$$d\Delta\lambda$$
 as
 $i^{-1}\overline{F}$

$${}^{i}\mathbf{d}\Delta\lambda = -\frac{1}{{}^{i-1}\left[-\mathbf{n}^{T}\mathbf{E}^{m}\mathbf{m} + \left(\frac{\partial F}{\partial c}\frac{\mathbf{d}c}{\mathbf{d}q^{vcr}} + \frac{\partial F}{\partial \chi}\frac{\mathbf{d}\chi}{\mathbf{d}q^{vcr}}\right)\mathbf{t}^{T}\mathbf{m} - \frac{\eta}{\Delta t}\right]}$$
(44)

from where the increment of the viscoplastic parameter ${}^{i}\Delta\lambda = {}^{i-1}\Delta\lambda + {}^{i}d\Delta\lambda$ is obtained and further those of the 322 stress vector and state variable from Eqs. (36) and (37). 323

(50)

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324 5. Algorithmic tangent operator

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In the framework of the finite element method, it is desirable to use an algorithmic tangent operator instead of the continuous one in order to preserve a quadratic convergence rate. The algorithmic tangent operator for the interface model can be formulated starting from the linearization of the viscoplastic consistency condition, see Eq. (14), for a finite increment "d", quite similar to rate independent plasticity,

$$\mathrm{d}\overline{F} = \mathbf{n}^{T}\mathrm{d}\mathbf{t} + \bar{r}\mathrm{d}q - \eta\mathrm{d}\dot{\lambda} = 0 \tag{45}$$

The differential changes of the stress vector and state variables can be evaluated in a consistent form with the BE scheme

$$\mathbf{dt} = \mathbf{E}^m (\mathbf{du} - \mathbf{d\Delta\lambda m}) \tag{46}$$

$$dq = d\Delta\lambda t^{T} \mathbf{A} \mathbf{n} + \Delta\lambda dt^{T} \mathbf{A} \mathbf{n} + \Delta\lambda t^{T} \left(\frac{d(\mathbf{A}\mathbf{n})}{dt}\right) dt$$
(47)

Substituting Eqs. (46) and (47) into Eq. (45) and after some algebra, we obtain

$$d\Delta\lambda = \frac{\mathbf{n}^T \mathbf{E}^m d\mathbf{u} + \beta \Delta \lambda \bar{r}}{\mathbf{n}^T \mathbf{E}^m \mathbf{m} - \alpha \bar{r} + \eta / \Delta t}$$
(48)

341 with the scalar values α , β defined as

$$\alpha = \mathbf{t}^T \mathbf{m} - \Delta \lambda (\mathbf{m}^T \mathbf{E}^m + \mathbf{t}^T \mathbf{M} \mathbf{E}^m) \mathbf{m}$$
(49)

343
$$\beta = \mathbf{d}\mathbf{u}^T \mathbf{E}^m \mathbf{m} + \mathbf{t}^T \mathbf{M} \mathbf{E}^m \mathbf{d}\mathbf{u}$$

Inserting Eq. (48) into the tangential stress–strain relation $d\mathbf{t} = [\mathbf{E}_{vp}^{alg}]d\mathbf{u}$, the algorithmic tangent operator for the continuum viscoplastic interface model is obtained as

$$[\mathbf{E}_{vp}^{alg}] = \left[\mathbf{E}^{m} - \frac{\mathbf{n}^{T}\mathbf{E}^{m} + \Delta\lambda\bar{r}[\mathbf{m}^{T}\mathbf{E}^{m} + \mathbf{t}^{T}\mathbf{M}\mathbf{E}^{m}]}{\mathbf{n}^{T}\mathbf{E}^{m}\mathbf{m} - \alpha\bar{r} + \eta/\Delta t}\right]$$
(51)

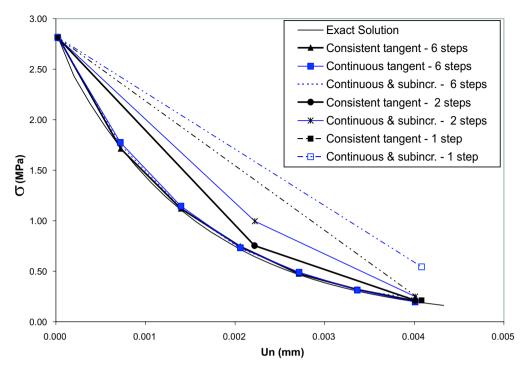
348 The numerical performance of this development is tested in the next section.

349 6. Numerical analysis

350 6.1. Efficiency assessment of stress integration procedure

We evaluate the numerical performance of the proposed rate-dependent interface model when three dif-351 ferent approaches for the material operator are used: the consistent operator, the continuum operator and 352 353 the continuum one with a subincrementation procedure by López Garello (1999). For this numerical performance evaluation the post-peak regime of the uniaxial tensile test is considered with decreasing number 354 of displacement increments. The results are depicted in Fig. 2 whereby the"exact" solution that is 355 obtained using very small displacement increments is indicated with solid line. The numerical tests were 356 run using alternatively 6, 2, and 1 displacement increments. When 6 steps were considered, all the three 357 numerical approaches leaded to very similar response behavior. However, the continuous operator proce-358 dure required significantly more iterations to achieve the convergence criterion than the continuum tan-359 gent with subincrementation, and, moreover, than the consistent tangent procedure. When up to 2 steps 360 were considered the numerical schemes based on the consideration of continuum tangent without subin-361 crementation techniques could not fulfill the convergence criterion. Contrarily, the convergence could be 362 reached when the consistent tangent was used leading to an accurate solution of the algebraic problem. 363 The convergence properties of the algorithms can further be investigated by looking at the residuum of 364 the iteration procedure. Fig. 3 shows the evolution of the measured residuum norm versus the number 365 of iteration steps obtained for one selected converged stress state of the numerical tests. In the analyses 366 for Fig. 3 the non-linear branch is evaluated with 6, 2, and 1 steps (departing from a stress state at the 367 beginning of the softening regime). In the same figure the convergence performance of the continuum tan-368

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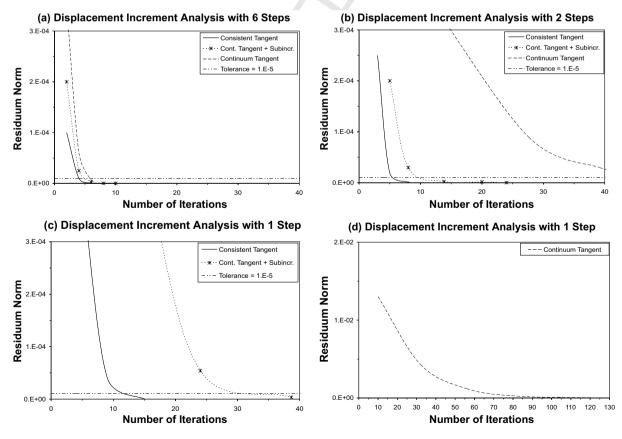


Fig. 3. Convergency behavior of compared algorithms.

gent shows that for 2 and 1 steps this algorithm fails to achieve the prescribed tolerance within the specified maximum number of iterations (50). The results in Figs. 2 and 3 demonstrate the superior numerical performance regarding robustness and efficiency of the algorithmic or consistent tangent operator for the proposed time-dependent interface model.

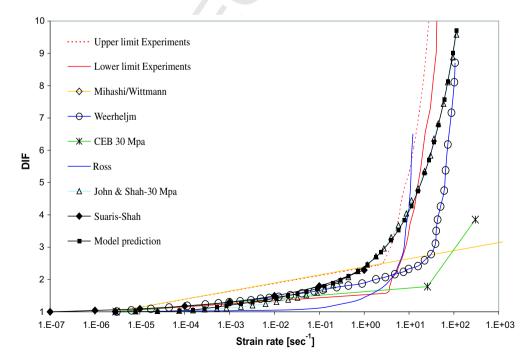
373 6.2. Model calibration

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In the framework of the viscoplastic flow theory the time dependence of the material parameters and particularly the over-strength is introduced by the viscosity η . To properly reproduce the complex variation of the concrete tensile over-strength with the applied loading rate, a velocity-dependent description of the viscosity parameter needs to be incorporated. In this work, and based on the considered calibration tests by Suaris and Shah (1984, 1985), the following evolution law for the viscosity is proposed in terms of the applied velocity

$$\eta = \eta(\dot{u}) = \eta_0 [\alpha \ln(\dot{u}) + \beta \sqrt{\dot{u}} + \gamma]$$
(52)

whereby \dot{u} represents the displacement rate at the interface, and the coefficients are $\alpha = 0.072$, $\beta = 0.719$ and 381 382 $\gamma = 1.678$, while the initial viscosity is $\eta_0 = 1.E5$ MPa.sec. The prediction of the interface model in terms of the tensile dynamic increase factor DIF is plotted in Fig. 4 and compared versus experimental results. The stress-383 displacement response for different velocities are shown in Fig. 5 and compared versus experimental results. 384 The numerical analyses were carried-out in a range of velocities between 1.0E-6 and 1.0 mm/s. For the pur-385 pose of verification of the present model, stresses and displacements reported in the experiments were consid-386 ered as average values at the interface. Model parameters were set as: $E_N = 30000 \text{ MPa/mm}, \chi_0 = 5.37 \text{ MPa},$ 387 $G_{f}^{l} = 0.03$ N/mm, $G_{f}^{ll} = 10G_{f}^{l}$, and shape coefficients $\alpha_{r} = \alpha_{c} = 0$. Model predictions are in good agreement 388 with the measured peak values, but unfortunately, experimental results of the dynamic response of concrete 389 specimens are not available for the softening branch, so model predictions after that point cannot be tested. 390 The results also demonstrate, that in one extreme case when $\eta/\Delta t \to 0$, as expected, the viscoplastic model 391 leads to the same prediction of the inviscid elastoplastic formulation while in the other extreme case when 392 $\eta/\Delta t \to \infty$ the elastic solution is approximated. 393





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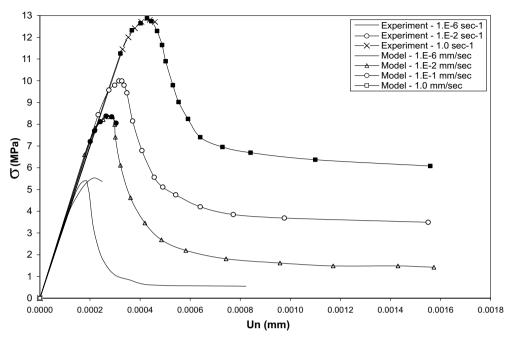


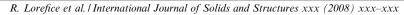
Fig. 5. Pure tension test - constitutive response.

394 6.3. Prediction of sudden changes of the loading rate

Experimental evidence indicates that the mechanical response of quasi-brittle materials like rock, concrete 395 or ceramics are sensitive to changes in the applied loading rate. This effect has been recently investigated by 396 several authors, see among others Bažant et al. (1993, 1995), Tandon et al. (1995), Bažant and Gettu (1992). 397 The work by Tandon et al. (1995) that includes a comprehensive experimental study on normal and high 398 strength concrete specimens of different sizes using the three point bending test on notched beams demon-399 strates that for a large increase of the applied loading rate or velocity, the post-peak softening may reverse 400 to hardening followed by a second peak of the stress-strain or stress-displacement curves. In the case of a sud-401 den decrease of the velocity, a steeper slope is obtained for the softening branch, either for normal or high 402 strength concrete quality. In this section, the interface model capability to capture the reversal of softening 403 effect is studied starting from the previously presented computational simulations of the tensile tests at several 404 displacement rates. To this end, a sudden change of the applied velocity (decrease or increase) is considered 405 from the so-called *restart points* located on the softening branch of the different tensile response curves, see 406 Fig. 6. For a sudden velocity increase, the softening response turns into hardening behavior, with a second 407 stress peak. The numerical response stabilizes reaching the corresponding applied velocity slope to the higher 408 velocity. In the same figure, after a sudden decrease of loading rate, the slope of the stress-displacement dia-409 gram becomes steeper, following a softening response that evolves until reaching the softening curve corre-410 sponding to the lower velocity. It can be noted that immediately after velocity reduction, the 411 experimentally observed stress relaxation effect is captured. The numerical tests in this section indicates that 412 the proposed model is capable to qualitatively reproduce the global observed experimental behavior regarding 413 reverse of softening effects due to sudden velocity changes. 414

415 6.4. Shearlcompression tests

A second set of numerical simulations was performed to investigate the predictive capabilities of the viscoplastic model under shear/compression stress states at different strain rates. In the first set of tests, an initial load step is applied to impose a compressive stress state over the interface. Then, the shear relative displace-



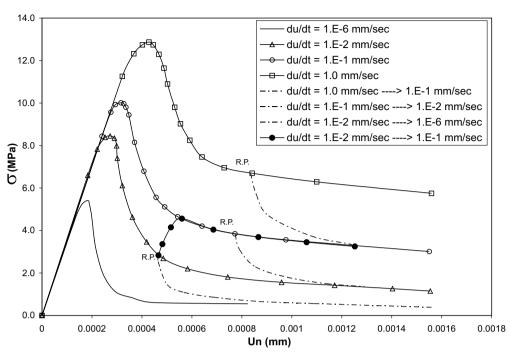
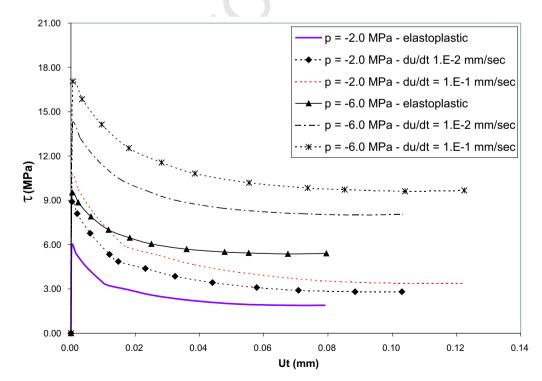
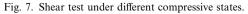


Fig. 6. Reversal of softening effect - constitutive response.

419 ment in the joint is progressively increased while keeping the compression constant. Fig. 7 shows the consti-420 tutive response in terms of shear stress versus relative tangential displacement for compressive stresses of 2.0





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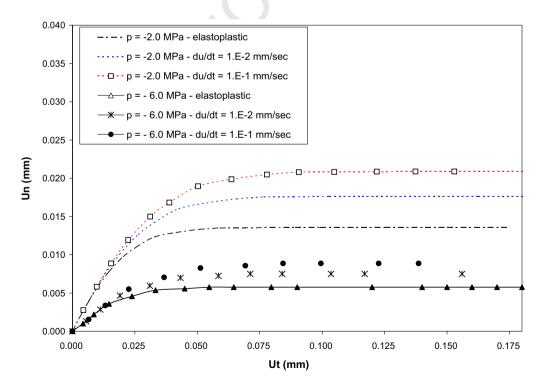
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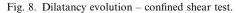
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and 6.0 MPa. The family of curves shows that an increasing shear strength are obtained for higher confine-421 ment pressures as well as for higher relative displacement rates. An additional effect of the velocity increment 422 is the higher ductility of the post-peak branches as well as the related energy release. Unfortunately, no exper-423 424 imental evidence was found to verify this effect of the velocity increase on the fracture mechanics properties of concrete and/or mortar. The evolution of dilatancy is represented in the form of normal relative displacement 425 against shear relative displacement and plotted in Fig. 8. In case of the rate-independent model formulation 426 the increase of the dilatancy in the normal direction to the joint takes place for decreasing confining pressures. 427 However, in case of the rate-dependent model an additional increase of normal dilatancy is obtained for 428 increasing velocities. 429

Figs. 9-14 show model performance when compared against the experimental results by Hassanzadeh 430 (1992). These tests consisted on imposing combined normal and shear relative displacements to a developing 431 crack in a prismatic concrete specimen of 0.07×0.07 m² square cross section with a perimeteral 0.015 m deep 432 notch. During the first part of the numerical tests, a uniaxial tensile stress was imposed until the peak strength 433 is reached. From that stress state, a fixed ratio $\theta = u_n/u_t$ was applied, with u_n and u_t the normal and tangential 434 relative displacements, respectively. All these experiments were carried-out under monotonically increasing 435 436 displacement control at the crack mouth in order to ensure stable crack propagation. The Hassanzadeh tests were also considered by other authors to calibrate and/or validate the predictions of material models at con-437 stitutive levels of observations, see Ali (1996), Carol et al. (1997), Cocchetti et al. (2002), Parland and Miet-438 tinen (2002), López Garello (1999). In this sense, for continuum type of material models the Hassanzadeh tests 439 were considered at the global stress-strain level, while for discrete models like the present one these tests are 440 considered at the stress-relative displacement level. In both cases homogeneous distribution of the global 441 strains and of the relative displacements at the crack mouth are assumed. In this work tests were run with 442 443 $\theta = 30^{\circ}$ and $\theta = 60^{\circ}$. The model parameters value used in these numerical analysis are: $E_N = E_T = 200 \text{ MPa/m}, \ tan\phi = 0.9, \ \chi_0 = 2.8, \ c_0 = 7 \text{ MPa}, \ G_f^I = 0.1 \text{ N/mm}, \ G_f^{II} = 10G_f^I, \ \sigma_{dil} = 56 \text{ MPa},$ 444 $\alpha_x = 0, \alpha_c = 1.5$. The results in Fig. 9 show that the tangential and normal displacement control in the second 445 part of the Hassanzadeh test for $\theta = 30^{\circ}$ is responsible for a stronger softening of the normal tensile stress than 446





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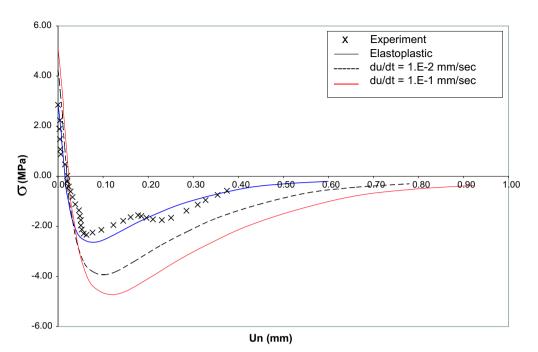


Fig. 9. Hassanzadeh test – normal stress vs. normal relative displacement for $\theta = 30^{\circ}$.

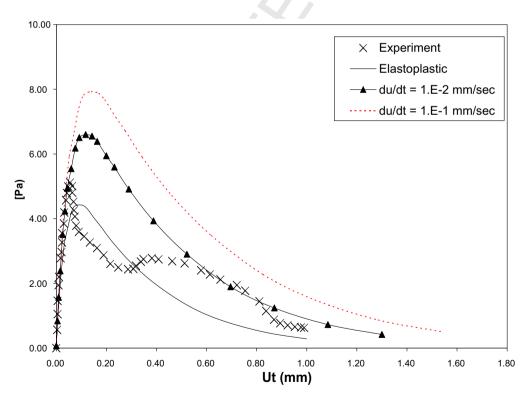


Fig. 10. Hassanzadeh test – shear stress vs. tangential relative displacement for $\theta = 30^{\circ}$.

in case of the pure tension test. After the tensile normal stress reduces to zero compressive normal stress devel ops up to a peak value from where a final softening branch (in compression) follows. This behavior as well as

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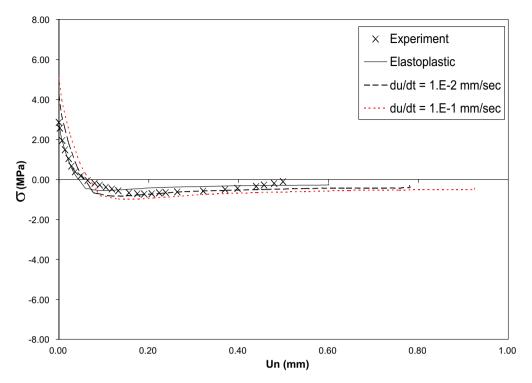


Fig. 11. Hassanzadeh test – normal stress vs. normal relative displacement for $\theta = 60^{\circ}$.

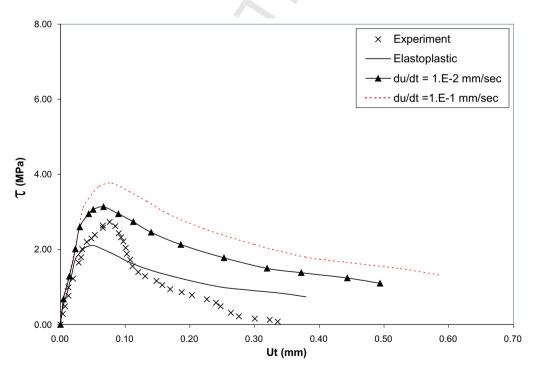


Fig. 12. Hassanzadeh test – shear stress vs. tangential relative displacement for $\theta = 60^{\circ}$.

the peak normal stresses in tensile and compressive regimes are less significant in case of the $\theta = 60^{\circ}$ in Hassanzadeh's test, as can be observed in Fig. 11. It is important to note, that the increment of velocity in both

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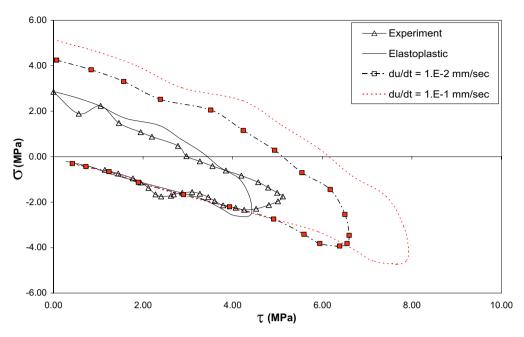


Fig. 13. Hassanzadeh test – normal stress vs. shear stress $\theta = 30^{\circ}$.

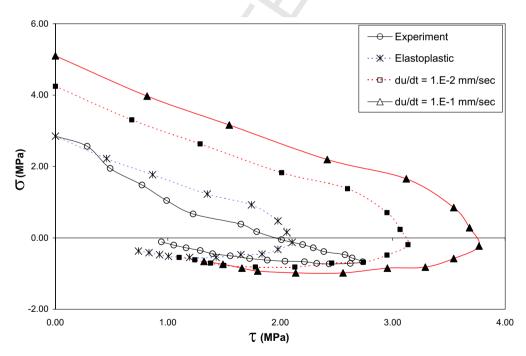


Fig. 14. Hassanzadeh test – normal stress vs. shear stress $\theta = 60^{\circ}$.

tests leads to an increase of the peak normal stresses together with a more ductile behavior in the post peakregime.

Regarding the behavior of the shear stress in terms of the tangential relative displacement in Figs. 10 and 12, similar conclusions to those corresponding to the performance of the normal stress related to the normal

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relative displacement can be obtained. In other words, a reduction of the peak shear stress and an increment of the ductility can be obtained by increasing the value of θ or by decreasing the applied velocity. Finally, Figs. 13 and 14 plot the evolution of normal stresses against shear stresses during those tests.

458 **7. Conclusions**

A new rate-dependent viscoplastic interface model for quasi-brittle materials like concrete and mortar was 459 presented. The proposed model is based on the inviscid elastoplastic interface formulation by Carol et al. 460 (1997) and on the continuous Perzyna's theory for time-dependent material behavior. For the stress integra-461 tion procedure during finite viscoplastic processes an extension of the well known Closest Point Projection 462 Method for rate-independent elastoplasticity was developed. To assure quadratic rate of convergence in finite 463 element analysis of deformation problems of quasi-brittle materials with the proposed rate-dependent inter-464 face model the algorithmic or consistent tangent operator was formulated from the linearized form of the gen-465 eralized consistency condition. The proposed model parameters were calibrated with the experimental results 466 by Suaris and Shah (1984, 1985) of uniaxial tensile tests on concrete specimens at different strain rates. To 467 capture the non-linear variation of the tensile strength of concrete with the applied strain rate, an internal log-468 arithmic function of the interface viscosity in terms of the velocity is proposed that was calibrated with the 469 above indicated experimental tests. The computational results in this paper demonstrate the capability of 470 the proposed rate-dependent interface model to reproduce the most relevant features of brittle material 471 dynamic failure. In this sense, the numerical predictions fits very well the overstrength of concrete under 472 increasing velocity as well as the reversal of softening effects by sudden velocity changes. The model is also 473 able to capture the interaction between the rate effects and the time effects that develop in mortar and concrete 474 for strain rates in the range of 1.0E-6 to 1.0E-4 s⁻¹. The proposed rate-dependent interface model is a suitable 475 numerical tool for discrete analysis of transient failure processes in quasi-brittle materials at the mesostructur-476 al level of observation. 477

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