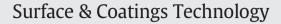
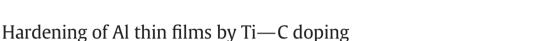
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# ABSTRACT

Amorphous aluminum alloys have been evaluated as suitable thin films for protective coatings. Magnetron sputtering deposition may provide the necessary conditions for preparing such alloys due to its far-from-equilibrium deposition conditions. In this work, Al-Ti-C nanocomposite films were deposited by magnetron sputtering technique using TiC and Al targets. The produced films are mainly composed of Al nanocrystallites embedded into an amorphous matrix. Films effective hardness varied in the 6.4–8.2 GPa range, while their elastic modulus ranged from 109 up to 134 GPa. The higher the TiC/Al target power ratio, the harder the film. Topographic atomic force microscopy (AFM) images showed that films are mainly constituted by unevenly dispersed grains. Also, the dark phase angle fraction calculation derived from the phase angle contrast AFM images could be correlated with the deposited Al-Ti-C films hardness measured by nanoindentation tests; the higher the surface dark phase angle fraction, the harder the Al-Ti-C films.

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## 1. Introduction

Although aluminum films present potential use for different applications [1–3] they usually show low hardness (*H*) and elastic modulus (*E*) [3], being susceptible to scratches and plastic (permanent) deformation. Different methods have been developed for improving mechanical properties. As an example, a 90% increase in aluminum hardness has been achieved by ion bombardment with oxygen [4]. Carbon ion bombardment led to an increase in hardness from 275 MPa to approximately 760 MPa using doses of  $10^{18}$  ions/cm<sup>2</sup>. This increase was caused by the formation of aluminum carbide [5].

Recently, ceramic compounds (TiC, SiC) incorporated into Al films are being investigated in order to combine their mechanical properties with those from Al, such as enhanced reflectivity and corrosion resistance [6,7]. Ceramic-based films may be used to increase the surface resistance of tools and mechanical components [7,8], being useful for a number of industrial applications as a wide range of distinct coatings

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can be produced depending on their thicknesses, crystalline structures and chemical compositions [9,10]. TiC films can have high internal compressive stresses, which reduce film-substrate adhesion and increase film brittleness [11]. Binary aluminum alloys produced by magnetron sputtering with the addition of niobium, molybdenum and tantalum [12,13] were amorphous in most of the aluminum content range, but crystalline alloys could be obtained as well as crystalline-amorphous mixed films.

Aluminum was also combined with TiC or a-C for achieving superior coating properties [6,14–16]. Even so, few studies have been published about the preparation and characterization of Al–Ti–C nanocomposite films containing an amorphous matrix [6,7,16–18]. Pang et al. [7] have deposited Ti–Al–C films using TiAl and graphite targets and showed that, by increasing the substrate bias, the *sp*<sup>3</sup>/*sp*<sup>2</sup> carbon hybridization ratio in the films becomes steadily lower with increasing Al content. As a consequence, the films hardness decreased from 37 to 22 GPa. On the other hand, the Al addition into sputtered TiC–Al films improved their oxidation resistance [6,17].

The present study is focused on the relation between structure, deposition parameters and nano-mechanical properties of aluminum films with the addition of TiC produced by double magnetron

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sputtering. Transmission electron microscopy (TEM) images showed a fine dispersion of nanocrystals embedded in an amorphous matrix. Phase angle contrast AFM images were correlated to the films hardness assessed by nanoindentation tests.

## 2. Experimental

## 2.1. Samples preparation

Films were deposited by double magnetron sputtering on glass slides ( $25 \times 25$  mm) and silicon (111) wafer ( $20 \times 20$  mm) substrates using pure-Al (99.99%,  $\emptyset = 3^n$ , K.J. Lesker, USA) and TiC targets (99.5%,  $\emptyset = 3^n$ , K.J. Lesker, USA). Aluminum was deposited using a *DC* power supply while TiC via RF sputtering at 13.56 MHz. Film composition and structure were varied by changing the power applied to the different targets. Prior to depositions, substrates were ultrasonically degreased in isopropyl alcohol for 15 min. All depositions were carried out at 0.1 Pa working pressure, 10 sccm Ar flow and 85.0 mm substrate-target distance. Neither bias voltages nor heating were applied to the substrates. Deposition time was set to 90 min for all conditions. Thus, coatings containing different Ti–C/Al ratios and thicknesses were produced. Thickness values for all samples are shown on Table 1.

The substrates were fixed on a rotating disc, which alternately immersed them into the plasma of each sputtering target (TiC and pure-Al). Under the rotating disc, a 2-hole plate was placed to ensure that the substrate would be exposed to the plasma only when it was just above the target. The disc rotating speed was kept constant at 60 rpm, so that less than one atomic layer of each constituent (Ti–C or Al) would be deposited at every cycle.

#### 2.2. Samples characterization

Films thicknesses were measured by contact profilometry using a Dektak IIA profilometer. Since the silicon wafer is smoother than the glass substrate, measurements were performed on films deposited on Si wafers. Transmission electron microscopy (TEM) images were obtained using a probe corrected FEI Titan 80–300 microscope operating at 300 kV. TEM samples were prepared by the lift out technique using a focused ion beam (FIB) – FEI Nova Novalab 600 instrument.

Surface chemical composition of the films was evaluated by X-ray photoelectron spectroscopy (XPS; Omicron Nanotecnology). The XPS analyses were performed in an ultra-high vacuum medium using a monochromatic Al K $\alpha$  X-ray source. Argon ion flux was employed to sputter the surface for depth profile measurements, with energy of 3.5 keV, sample current of 4  $\mu$ A, 4  $\times$  4 mm rastered area, incidence angle of 45°. Peak analysis was performed after subtraction of a Shirley background using Gaussian–Lorenzian peak shapes obtained from the Casa XPS software package. The signal of C 1 s located at 285 eV was selected for energy calibration. Sample composition was also determined by Rutherford Backscattering (RBS) at the 1.7 MV Bariloche Tandem

Table 1

Thicknesses of the produced Ti–Al–C films, according to the power applied on each target. (Mean value  $\pm$  standard deviation).

Sample	TiC target power ( $W_{RF}$ )	Al target power ( $W_{DC}$ )	Thickness (nm)*
80:120	80	120	$411\pm21$
80:140	80	140	$443 \pm 23$
80:160	80	160	$475 \pm 13$
100:100	100	100	$456 \pm 23$
100:120	100	120	$485\pm82$
100:140	100	140	$520 \pm 11$
100:160	100	160	$547 \pm 48$
120:100	120	100	$502\pm29$
120:140	120	140	$608 \pm 19$
140:140	140	140	$668 \pm 37$

Accelerator [19] with 2 MeV alpha particles and using the SIMNRA code [20].

The films surface morphologies were analyzed by atomic force microscopy (AFM) using a NanoWizard I (JPK Instruments) microscope. Both topographic and phase angle contrast images ( $1.0 \times 1.0 \mu$ m) were obtained at room temperature. Nanoworld NCSTR silicon cantilevers (with integrated tip) with 7.4 N/m spring constant and 160 kHz resonance frequency were used in tapping mode. Besides the topographic images, phase angle contrast ones were obtained and treated using the Gwyddion 2.26 software [21] to evaluate the phase angle dispersion on the surface, which was obtained by the fraction of the harder area formed on the surface.

Hardness (*H*) and elastic modulus (*E*) of the resulting films were assessed by instrumented indentation tests using a Nanoindenter G200 equipment (MTS/Agilent) following the Oliver-Pharr method [22]. A Berkovich-type diamond indenter was employed. Such measurements were carried out on the films deposited on the glass substrates. A total of 25 indents were performed on each sample under successive load cycles of 0.2, 0.4 and 0.8 mN. A fused-silica sample with known properties (H = 9.5 GPa, E = 73.0 GPa) was used for the tip area calibration. Poisson's ratio of 0.25 was used to calculations.

### 3. Results and discussion

Al–Ti–C films were prepared by double magnetron sputtering with different current powers applied to TiC and Al targets. From this point on, the samples are identified in accordance with the power applied to each target (TiC:Al), as shown on Table 1. Fig. 1 displays the applied target powers and measured Al–Ti–C films deposition rates. The latter are more dependent on the TiC power target, as indicated by the arrow therein. It was observed that Al and TiC deposition rates considerably changed when both materials were consecutively deposited in comparison to the isolated deposition at constant flows (not shown).

As expected for both targets, the deposition rate increases with increasing target power. The obtained maximum rate is 7.4 nm/min for the 140:140 sample. Taking into account that deposition time was kept constant (90 min) for all depositions, the Al–Ti–C films are 411–668 nm thick.

According to XPS analysis, the 140:140 sample surface composition is about 21% Al, 1% Ti, 49% C and 30% O. The high oxygen concentration may be resulted from atmospheric contamination. Besides that, the composition changed to 60% Al, 8% Ti, 24% C and 8% O after surface sputtering for 275 min. Similar effects were reported by El Mel et al. [23], where argon bombardment onto an oxygen contaminated carbon

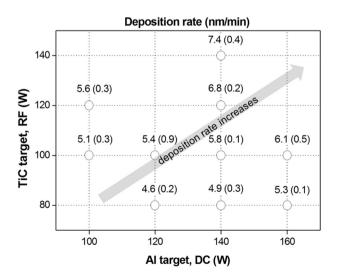


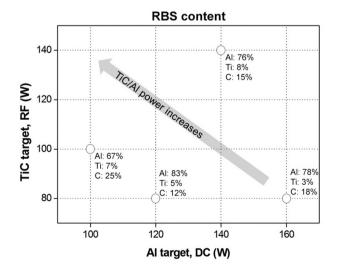
Fig. 1. Deposition rates with respect to the RF/DC powers applied on each target (TiC and Al).

film with TiC particles lead to a preferential sputtering of the matrix, thereby forming a TiC-O rich surface analyzed by XPS. Moreover, the difference between C and Ti contents was reported by Eklund et al. [24] as caused by the shorter mean free path of sputtered titanium atoms when compared to the same parameter for carbon atoms. Notwithstanding, RBS analysis indicates the mean composition of 76% Al, 8% Ti, 15% C and 1% O for the same sample (140:140). Therefore, the films compositions were preferentially evaluated by RBS analysis. Fig. 2 shows the RBS results for analyzed films. Ti:Al content ratio was observed to be dependent on the DC:RF power ratio. In Fig. 3a, a TEM image of sample 140:140, collected in underfocus condition then highlighting mass contrast, shows titanium-rich darker areas (as circled ones). Selected area electron diffraction analysis of the area in Fig. 3a (inset in Fig. 3a) shows a polycrystalline pattern with five rings having d-spacing values of 2.35 Å, 2.00 Å, 1.41 Å, 1.22 Å and 1.17 Å, corresponding to Al (111), (200), (220), (311) and (222) planes, respectively.

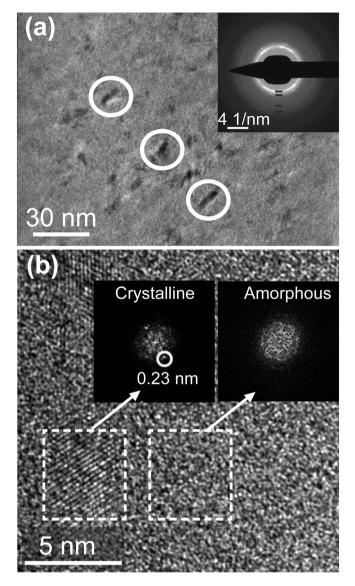
In Fig. 3b, a high-resolution TEM (HRTEM) image of the same sample shows nanocrystals surrounded by amorphous regions. These nanocrystals have diffraction fringes with *d*-spacing compatible with Al(111). Therefore, it is indicated that this film is composed of Al nanocrystallites (<5 nm) embedded into an amorphous non-homogeneous matrix. In addition, Raman spectroscopy analysis (not shown) indicates no presence of amorphous carbon phase. In fact, it can be assumed that all coatings should present a similar structure, as discussed next.

Fig. 4 shows the AFM topography and phase angle contrast images of 140:140 sample. The surface is smooth with roughness in the nanoscale range (Fig. 4a). Also, phase angle contrast images indicate the presence of a harder phase, shown by the darker areas in Fig. 4b. It can be noticed that the phase angle contrast image presents a straight forward relation to the topography indeed. It is well known that phase angle contrast allows to qualitative assessing the surface local relative stiffness [25,26]. From that, it can be argued that the film morphology is composed of grains, which are stiffer than the matrix and unevenly dispersed on the surface. To quantify the amount of stiffer regions on the surface, phase angle contrast images were segmented using the Gwyddion software and the amount of dark areas analyzed for different films, as illustrated in Fig. 4c. The calculated dark phase angle fractions (%) are displayed in Fig. 5. It can be seen that the dark phase angle fraction increases with increasing the TiC power. Contrarily, the Al power increase led to a reduction of the dark phase angle fraction. Such results are correlated with films hardness and elastic moduli properties, as follows.

Fig. 6 illustrates the typical load versus displacement curves obtained by instrumented indentation technique for two deposited films,



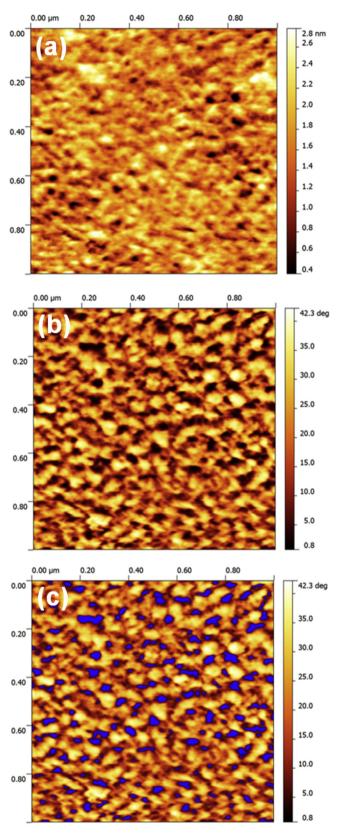
**Fig. 2.** Films composition measured by RBS spectroscopy. Oxygen content is omitted (<1%). The arrowed line indicates the RF/DC power ratio increase (TiC/Al targets).



**Fig. 3.** TEM analyses of Al–Ti–C film (sample 140:140). (a) TEM image, circles show the presence of titanium-rich darker areas. The inset shows a representative electron diffraction pattern. The blue dashes indicate the diffraction rings having d-spacing values of 2.35 Å, 2.00 Å, 1.41 Å 1.22 Å and 1.17 Å, corresponding to Al (111), (200), (220) (311) and (222) planes, respectively. (b) HRTEM showing adjacent crystalline and amorphous regions. The inset shows the fast Furrier transform (FFT) of both regions, the 0.23 nm spaced fringes correspond to Al (111) planes. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

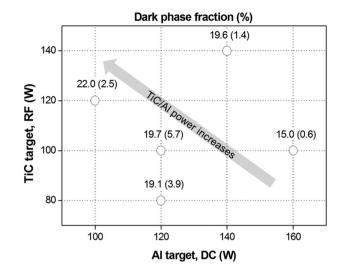
namely 80:160 and 140:140 samples. Both of them presented a similar plastic-elastic deformation behavior for the three applied loads. The absence of pop-ins in the curves is an indication of fracture resistance for the applied loads [22]. Contact depth  $h_c$  is determined from the load-ing-unloading curve, which represents the depth penetration where the material maintains contact with indenter tip, as indicated in Fig. 6. Indeed, the  $h_c$  parameter becomes important when evaluating films that possess some degree of elastic deformation, not only the plastic one. Therefore,  $h_c$  would be a more accurate parameter for assessing the actual indent depth rather than the maximum penetration  $h_{max}$ . Moreover, it can be argued that the highest  $h_{max}$  (and  $h_c$ ) values were obtained for 80:160 sample, being in the 80–85 nm range for the 0.8 mN load. Thus, one can assume that the indenter tip did not reach the substrates, since the thinnest films are about 411 nm thick.

Regarding thin films, it is usual to assume that if the indent depth is larger than 10% of the film thickness, nanoindentation measurements



**Fig. 4.** Atomic force microscopy: (a) topography and (b, c) phase angle contrast images for Al–Ti–C film deposited by double magnetron sputtering (sample 140:140).

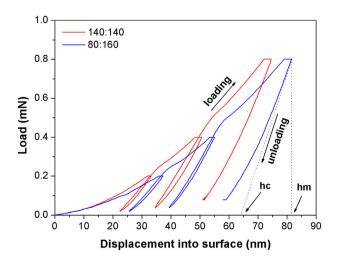
are influenced by the substrate; i.e., hardness is somehow related to film-substrate composite instead of the coating solely [27]. On the other hand, elastic modulus values assessed by indentation through the entire layer region represent actually the coating-substrate



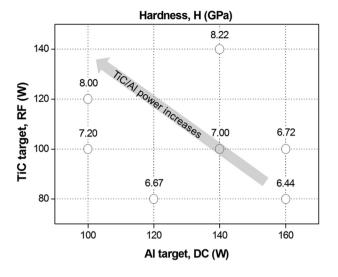
**Fig. 5.** Dark phase angle fraction ( $\pm$  standard deviation) calculated from AFM phase angle contrast images of the Al–Ti–C films deposited by double magnetron sputtering: TiC (rf power) and Al (DC power) targets. n = 8. The arrowed line indicates the RF/DC power ratio increase (TiC/Al targets).

composite behavior, since the elastic field under the indenter has a long range, extending into the substrate [27]. Films hardness (H) values calculated from the loading-unloading curves are plotted as a function of the deposition parameters (TiC and Al target power) in Fig. 7. The H values are related to the 0.2 mN load, which led to a maximum penetration depth (hmax) of  $35.7 \pm 0.9$  nm for the sample 80:160. Therefore, the obtained results are mainly referred to the Al–Ti–C films rather than the film-substrate composites.

According to Fig. 7, the hardness tends to increase when the applied power ratio (TiC/Al) is increased. That is, the higher (lower) the power applied to the TiC (Al) target, the harder the film. This statement is, of course, limited to the complexity of the deposition mechanisms involved as well as the parameters used, such as: DC and RF target powers, Ar flow, working pressure etc. Pure-Al films have been reported to present hardness in the 1.4–2.0 GPa range [3]. On the other hand, TiC-Al films deposited by magnetron sputtering are much harder, having H values as high as 25 GPa, depending on the deposition parameters [6, 7,18]. Both hardness and elastic modulus increase when the amount of TiC increases [6]. Also, Wilhelmsson et al. [28] have reported Ti<sub>3</sub>AlC<sub>2</sub> films 20 GPa hard with and elastic modulus around 260 GPa. In the



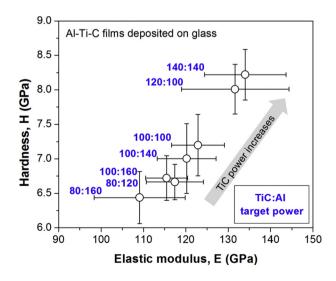
**Fig. 6.** Typical load versus penetration depth curves from instrumented indentation tests for the Al–Ti–C films deposited by double magnetron sputtering: 140:140 and 80:160 samples.  $H_{max}$  is the maximum penetration depth and  $h_c$  is the calculated contact depth.



**Fig. 7.** Hardness (*H*) values (in GPa) obtained from instrumented indentation tests with respect to the applied TiC and Al target powers for the Al–Ti–C films deposited by double magnetron sputtering. Load = 0.2 mN, n = 25, and maximum standard deviation of about 5%. The arrowed line indicates the RF/DC power ratio increase (TiC/Al targets).

present study, the Al–Ti–C films are considerably harder than pure-Al films, being 6.4–8.2 GPa hard. It is noteworthy that a very similar behavior was obtained by both instrumented indentation tests and AFM analysis. In this way, the dark phase angle fraction can be surely associated with the film hardness – the higher the dark phase angle fraction, the harder the Al–Ti–C film.

Fig. 8 displays the hardness of the Al–Ti–C films with respect to their elastic modulus. The correlation between *H* and *E* follows a slight linear relationship. Indeed, *E* corresponds to the slope of a harmonic interatomic potential, whereas *H* is more sensitive to the microstructural defects in the network. For amorphous carbon coatings, some studies have argued that the found linear *H*/*E* relation comes from the mean compressive strain (*H*/2*E*) behavior [29,30]. Therefore, this also appears to be the case of amorphous Al–Ti–C films. Furthermore, the  $H^3/E^2$  (or *H*/*E*) parameter is also attributed for predicting the wear resistance or the film resistance to permanent deformation [29,31]. In case of Al-doped TiC coatings, the  $H^3/E^2$  ratio increases when the amount of Al is reduced, leading to more wear resistant films [6]. However, this effect does not appear to be the case of Al–Ti–C thin coatings, once the



**Fig. 8.** Hardness (*H*) versus elastic modulus (*E*) of the producing Al–Ti–C films measured by instrumented indentation tests; Load = 0.2 mN, n = 25. The arrowed line indicates the RF power increase (TiC target).

produced films did not present any significant differences among the  $H^3/E^2$  values (~0.06 GPa), regardless of their deposition parameters.

The *H*/*E* relation is mostly ruled by the *RF* power (TiC target), as also indicated in Fig. 8. It can be observed that the higher the *H* (and *E*), the higher the TiC target power. Since the sputtered pure-TiC films are hard (~30 GPa) [6], the Al–Ti–C films deposited at highest *RF* powers (TiC target) may present more ionic bonds, which could be responsible for hardening.

To conclude, once the dark area fractions (AFM analysis) and films hardness (elastic modulus) increased when the TiC deposition power did so, the proposed AFM image analysis can be straightly combined with nano-mechanical properties (hardness and elastic modulus) of the Al–Ti–C films deposited.

#### 4. Conclusions

In this work, Al–Ti–C films were prepared by magnetron sputtering technique. Different *RF* and *DC* powers were applied to TiC and pure-Al targets, respectively. All films presented <10% at. titanium in their compositions. The produced films are constituted by an amorphous matrix containing Al nanocrystallites. Films hardness and elastic modulus were correlated to the deposition parameters. The higher the TiC/Al target power ratio, the harder the films. Likewise, the dark phase angle fraction (derived from phase angle contrast AFM images) increased when the TiC/Al power ratio does so. Therefore, the surface dark phase angle fraction determined by AFM analysis can be correlated with the Al–Ti–C films hardness obtained by nanoindentation tests.

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