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## Humic-like substances from urban waste as auxiliaries for photo-Fenton treatment: a fluorescence EEM-PARAFAC study†

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In this work, analysis of excitation-emission-matrices (EEM) has been employed to gain further insight into the characterization of humic like substances (HLS) obtained from urban wastes (soluble bio-organic substances, SBOs). In particular, complexation of these substances with iron and changes along a photo-Fenton process have been studied. Recorded EEMs were decomposed by using parallel factor analysis (PARAFAC). Three fluorescent components were identified by PARAFAC modeling of the entire set of SBO solutions studied. The EEM peak locations ( $\lambda_{\text{ex}}/\lambda_{\text{em}}$ ) of these components were 310–330 nm/400–420 nm (C1), 340–360 nm/450–500 nm (C2), and 285 nm/335–380 nm (C3). Slight variations of the maximum position of each component with the solution pH were observed. The interaction of SBO with Fe(III) was characterized by determining the stability constants of the components with Fe(III) at different pH values, which were in the order of magnitude of the ones reported for humic substances and reached their highest values at pH = 5. Photochemical experiments employing SBO and Fe(III), with and without H<sub>2</sub>O<sub>2</sub>, showed pH-dependent trends for the evolution of the modeled components, which exhibited a strong correlation with the efficiency reported for the photo-Fenton processes in the presence of SBO at different pH values.

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## Introduction

The photo-Fenton process is an advanced oxidation process (AOP) that is receiving increasing attention from researchers. Its oxidative action is a result of the formation of reactive oxygen species, especially hydroxyl radicals (HO<sup>•</sup>) that are able to oxidize most of the organic pollutants.<sup>1,2</sup> Despite its advantages of being economical and environmentally friendly, the photo-Fenton reaction has not been widely used because of the requirement of acidic conditions (optimal pH 2.8), since at higher pH values the formation of non-active iron oxides or hydroxides substantially decreases the efficiency of pollutant removal.<sup>3</sup>

Interest has grown over the past few years, in the application of circumneutral photo-Fenton processes for wastewater treatment.<sup>4,5</sup> One approach to avoid acidification and ferric iron hydroxide precipitation is performing the reaction with complexing agents. It has been demonstrated that Fe(III) can be strongly complexed with different polydentate ligands.<sup>6</sup> Humic substances (HS) are among the materials employed for this purpose, because of their ability of iron complexation.<sup>7,8</sup> On the other hand, experimental results seem to prove that HS under UV-Vis irradiation can directly improve the degradation of pollutants by speeding up the redox cycling of iron due to the ligand to metal charge transfer (LMCT) reactions of their ferric complexes, thus accelerating the photo-Fenton mechanism.<sup>9,10</sup> Nevertheless, the role played by HS in the oxidation mechanism is still not fully understood.

Recently, humic like substances (HLS), and in particular those isolated from the organic fraction of solid urban wastes (SBOs, soluble bio-organic substances), have been successfully applied as the iron complexing agent in photo-Fenton at slightly acidic pH.<sup>11,12</sup> Indeed, solar-photo-Fenton processes in the presence of SBO have been shown to be able to remove a mixture of emerging pollutants at pH 5.2, with an acceptable loss of efficiency when compared to pH 2.8 in the absence of SBO.<sup>13</sup> The capacity of HLS to expand the pH range up to

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*ca.* 5 in photo-Fenton processes might be ruled by the interaction of this substance with Fe(III). Also the transformation of HLS under UV-Vis irradiation could play a significant role in the efficiency of the photo-Fenton process, as changes in the structure of those substances have been observed.<sup>14</sup> In fact, preliminary experiments carried out with SBO along a photo-Fenton process show that significant changes in their structure occurred, which resulted in some variation in their ability to drive a mild photo-Fenton process.<sup>15</sup> However, gaining further insight into the mechanistic aspects of systems where HLS are involved is not easy, because their intrinsic complexity does not allow the application of routine analytical methods. In this context, fluorescence excitation emission matrix (EEM) spectroscopy seems a promising alternative when dealing with such organic complex molecules. EEM spectroscopy is a simple, sensitive, and nondestructive analytical technique, commonly employed in the analysis of dissolved organic matter (DOM) that provides meaningful information on the molecular structure, functional groups, as well as intramolecular and intermolecular interactions with metallic ions.<sup>16</sup> Multivariate data analysis techniques are increasingly used to obtain quantitative information from EEMs. The most widely used technique, parallel factor analysis (PARAFAC), deconvolutes complex EEMs into independent fluorescent components which represent groups of similar fluorophores for both quantitative and qualitative analysis.<sup>17</sup> The fluorescence EEM-PARAFAC technique has been used to characterize DOM in natural aqueous ecosystems as well as in wastewaters.<sup>18,19</sup> In particular, Yang *et al.*<sup>20</sup> investigated sunlight-induced changes in fluorophores of wastewater-derived organic matter with different salinities using EEM-PARAFAC; this application might be of interest in order to monitor changes in HLS when subjected to a photo-degradation process, as shown in previous work.<sup>14</sup> On the other hand, combining fluorescence quenching titrations with EEM-PARAFAC is a potentially useful technique in the assessment of interaction constants between dissolved metals and DOM.<sup>21,22</sup>

With this background, the aim of this work was to investigate whether the fluorescence EEM-PARAFAC technique can be a useful tool to gain further insight into the complexation of iron with organic macromolecules, which is a necessary step in order to understand mechanistic issues of a mild photo-Fenton process driven in the presence of these substances. In particular, both the interaction of SBO with Fe(III) and the transformation of these substances during the photo-Fenton processes at different pH values using fluorescence have been investigated.

## Experimental

### Reagents

FeCl<sub>3</sub>·6H<sub>2</sub>O and H<sub>2</sub>O<sub>2</sub> (30% w/v) were purchased from Panreac. All aqueous solutions were prepared with ultra-pure water, obtained from a Millipore Milli-Q™ system. SBOs were kindly provided by Alessandra Bianco Prevot and Enzo Montoneri from the University of Torino. They were isolated from urban

biowaste sampled from the process lines of the ACEA Pinerolese waste treatment plant in Pinerolo (Italy). The urban biowaste was obtained in the compost production section, from urban public park trimming and home gardening residues aged for more than 180 days. Isolation of SBO was performed following a previously reported procedure.<sup>12</sup> The SBO chemical structure is fully detailed elsewhere.<sup>23</sup>

### Experimental conditions

A solution of 20 mg L<sup>-1</sup> of SBO combined with solutions of Fe(III) at different concentrations (1–10 mg L<sup>-1</sup>) was used for fluorescence quenching titration experiments, which were carried out at three different pH (3, 5 and 7). To study the evolution of SBO in photo-Fenton processes, a solution of 20 mg L<sup>-1</sup> of SBO and 5 mg L<sup>-1</sup> of Fe(III), with (2.2 mmol L<sup>-1</sup>) and without H<sub>2</sub>O<sub>2</sub> was used. These concentrations were selected as they are close to the optimal conditions previously reported for the degradation of a mixture of emerging pollutants in the presence of SBO at pH 5.<sup>12</sup> Photo-Fenton experiments were carried out in a 250 mL cylindrical Pyrex vessel irradiated with a solar simulator (Sun 2000, ABET Technologies) equipped with a 550 W Xenon Short Art Lamp. A Pyrex glass filter was used to cut off radiation below 300 nm.

### Analytical techniques

The fluorescence Excitation Emission Matrices (EEMs) were recorded with a modular QuantaMaster spectrofluorometer and collected by subsequent scanning emission from 300 to 600 nm at 5 nm increments by varying the excitation wavelength from 250 to 550 nm at 5 nm increments. Data at lower excitation wavelengths were not recorded because of the excessive background noise, caused by the low intensity of the lamp in the range of 200–250 nm. The spectra were recorded using excitation and emission slit bandwidths of 5 nm. The fluorescence intensities are reported in c.p.s. (counts per second). To check the lamp stability, Raman signals from milli-Q water samples were measured several times during the same day. Comparison of the areas under the Raman scatter peaks (excitation wavelength of 350 nm) revealed no significant changes in the lamp intensity during the measurement period. UV-Vis absorption spectra were recorded on a Helios  $\gamma$  spectrophotometer (Thermo Scientific), using quartz cells of 1.0 cm optical path length. Dissolved organic carbon (DOC) was determined with Shimadzu model TOC-V CSH apparatus.

### Parallel factor analysis (PARAFAC) modelling

PARAFAC analysis was conducted using MATLAB R2015b (Mathworks, Natick, MA) with the N-way toolbox (<http://models.klv.dk/source>). A non-negativity constraint was applied to the parameters, thus allowing only chemically relevant results. Some preprocessing steps were adopted to minimize primary and secondary inner-filter effects using the absorption spectra of the samples and the influence of scatter lines.<sup>24</sup> The EEM of a control (Milli-Q water) was subtracted from each sample EEM. Rayleigh and Raman scattering signals were removed according to the protocol described by Bahram

*et al.*<sup>25</sup> PARAFAC models with two to six components were computed for the EEMs. The determination of the correct number of components in the data set was assessed by the core consistency diagnostic score, evaluation of the distribution of residuals and inspection of the physical sense of spectral loadings.

### Complexation modeling

The binding parameters between fluorescent components derived from PARAFAC and Fe(III) were determined using a modification of the complexation model reported by Ryan and Weber<sup>26</sup> using nonlinear fitting of eqn (1).

$$\frac{I}{I_0} = 1 + \left( \frac{I_{\text{nq}}}{I_0} - 1 \right) \frac{(KH_0 + KM_0 + 1) - \sqrt{(KH_0 + KM_0 + 1)^2 - 4K^2H_0M_0}}{2KH_0} \quad (1)$$

where  $I_0$  is the intensity of SBO solutions without added Fe(III),  $I$  is the intensity recorded at a given metal concentration,  $I_{\text{nq}}$  is the fluorescence intensity that is unaffected by the presence of iron,  $K$  denotes the conditional stability constant at a given pH,  $M_0$  is the metal concentration and  $H_0$  is the total concentration of fluorescence-active sites of SBO. Details of Fe(III)-SBO complexation modelling are described in the ESI.†

## Results and discussion

### Fluorescence quenching titrations of SBO with Fe(III)

Fluorescence EEMs of SBO recorded at pH 3.0, 5.0 and 7.0 are shown in Fig. 1. Similar qualitative EEM contours were observed in all cases, with a well-defined peak at excitation/emission (Ex/Em) wavelengths (nm) of 320/430; however, there were quantitative differences, as the fluorescence intensity of EEMs showed a clear dependence on the solution pH and higher values of fluorescence intensity at the 320/430 peak

were observed at pH 5. A similar behavior of natural organic matter with pH has also been observed by Yan *et al.*<sup>27</sup> Although the intrinsic quenching and enhancement mechanism is currently not clear, it has been accepted that carboxylic chromophores significantly contributed to the changes in fluorescence of HS at pH values between 3.0 and 5.0, whereas phenolic chromophores are predominant at pH values between 8.0 and 10.0.<sup>28</sup> In addition, the structure of HS and HLS varies with modifications in their environment as pH changes, which could result in the precipitation of both HS and HLS at pH below 3, thus modifying the recorded fluorescence intensities.<sup>14,29</sup>

The addition of increasing concentration of Fe(III) to the SBO solution resulted in the decrease of fluorescence intensity for all pH tested, indicating that there is an interaction between both species, which might be interesting to investigate since it could affect the performance of the Fe-HLS photo-Fenton system. For this purpose, the entire set of the EEM data at various Fe(III) concentrations and different pH values was examined using PARAFAC analysis. All the EEMs of the SBO were successfully decomposed into a three-component model. The fluorescence intensities of these components (C1, C2 and C3) at pH 3.0, 5.0 and 7.0 are shown in Fig. 2. Each component was tentatively identified with a fraction of organic matter, according to the literature data: C1 can be associated with biological degradation of terrestrial humic components<sup>30</sup> and C2 could be linked to humic-like substances from terrestrial organic matter.<sup>20</sup> In line with the results reported by Ishii and Boyer,<sup>18</sup> the difference between C1 and C2, from a structural viewpoint, may be related to the presence of compounds with a larger molecular size and probably more hydrophobic in the C2 component. C3 exhibited EEM peaks similar to tryptophan substances derived from sewage DOM.<sup>21</sup> This assignation is meaningful as all three fractions are expected to be found in a system originating from the digestion of organic wastes. Finally, the excitation/emission peak positions were slightly shifted with the increasing pH (Table S1, in the ESI)†.

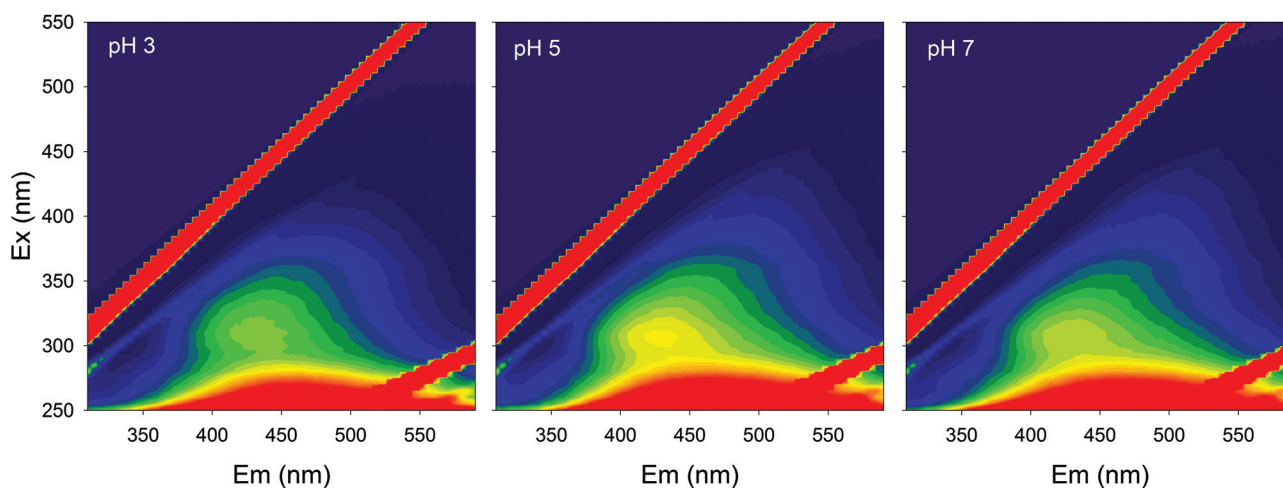


Fig. 1 Fluorescence EEMs of SBO (20 mg L<sup>-1</sup>) at different pH values.

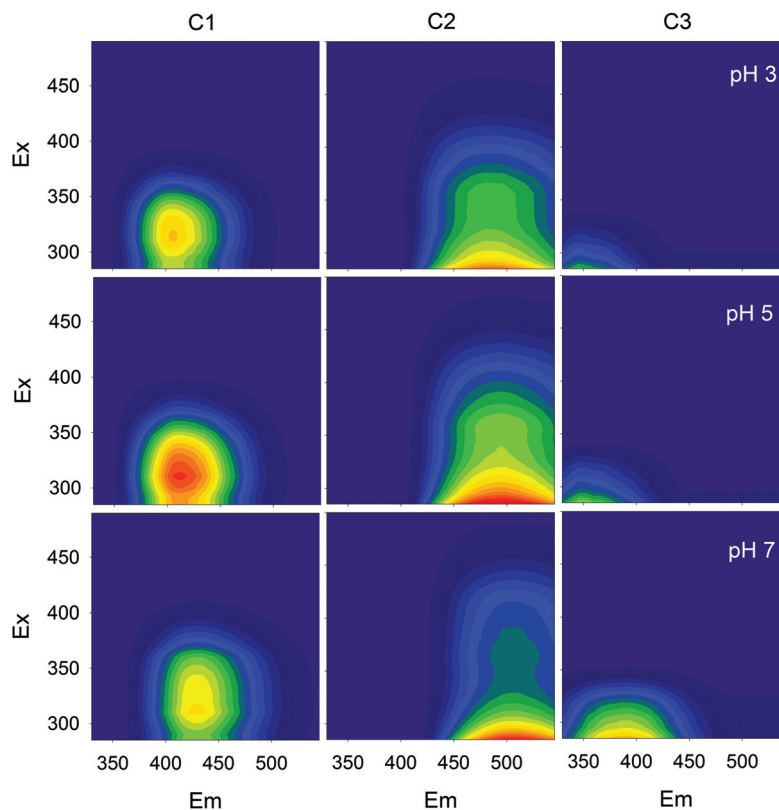


Fig. 2 Fluorescence EEMs of the three components identified by the PARAFAC model at different pH values.

Fig. 3 shows the fluorescence quenching curves of each component with the addition of Fe(III) at different pH values. Although marked differences in fluorescent features were observed between fluorophoric components C1 and C2, their fluorescence quenching curves with Fe(III) were similar displaying, in both cases, their highest initial slopes at pH 5. Some quantitative differences could be appreciated, as quenching was more intense for C2. This suggests that certain fluorophores exhibit varying sensitivity to iron aside from the properties of aromaticity or molecular weight. The high level of quenching observed for C2 could be the result of either favored iron complexation by humic-like fluorophores of higher molecular weight or the greater sensitivity of these fluorophores to fluorescence quenching. Similar findings were reported by Poulin *et al.*<sup>31</sup> who found that regions of the fluorescence EEM associated with greater DOM conjugation were more susceptible to iron quenching. Opposite trends were observed for the protein-like component (C3); it is well-known that the fluorescence of protein-like substances is quenched or enhanced by complexation of metal ions, although the fluorescence quenching method has been scarcely employed to characterize the heavy metal binding potential of specific protein-like substances.<sup>21</sup>

The binding parameters between fluorescent components derived from PARAFAC and Fe(III) were determined using a modification of the complexation model reported by Ryan and Weber as is described in the ESI.† The stability constants

( $\log K_M$ ) calculated for PARAFAC components, C1 and C2, at pH 3.0, 5.0, 7.0 are listed in Table 1. The  $\log K_M$  values range from 5.18 to 6.77. These values are slightly higher than those reported in the literature between DOM and Fe(III):  $\log K_M$  of 4.9–5.3 were determined for DOM from a eutrophic algae-rich lake at pH 6 or  $\log K_M$  of 5.0 and 5.6 at pH 4.0 for the fulvic acid fraction extracted from composted sewage sludge, municipal wastes, and livestock wastes.<sup>32,33</sup> On the other hand, the stability constant between the Suwannee River Fulvic Acid and Fe(III) at pH 4.0 was reported to be  $\log K = 5.09$ , also determined by the Ryan and Weber analysis.<sup>34</sup> The stability constant between HLS and Fe(III) should increase with increasing pH from 3 to 7, taking into account that carboxylate groups are involved in the formation of the Fe(III)–HLS complex. However, we obtained a maximum value of  $\log K_M$  for Fe(III)–SBO at pH 5 (Table 1). This could be explained by taking into account differences in the speciation of iron, since at increasing pH values the formation of scarcely soluble iron hydroxides is favored; in fact, Mikutta and Kretzschmar<sup>35</sup> revealed that the iron in Fe(III)–HS complexes was predominantly present as oligomeric Fe(III) clusters at neutral pH. Thus, the lower  $\log K_M$  value at pH 7 with respect to pH 5 could be attributed to the lower affinity of oligomeric Fe(III) species for the carboxylate groups of SBO or to steric hindrance of these bulky molecules. Indeed, the fraction of fluorophore groups that are not quenched by Fe(III) ( $I_{nq}/I_0$ ) is higher at pH 7 (Table 1). Finally, a lower quenching effect

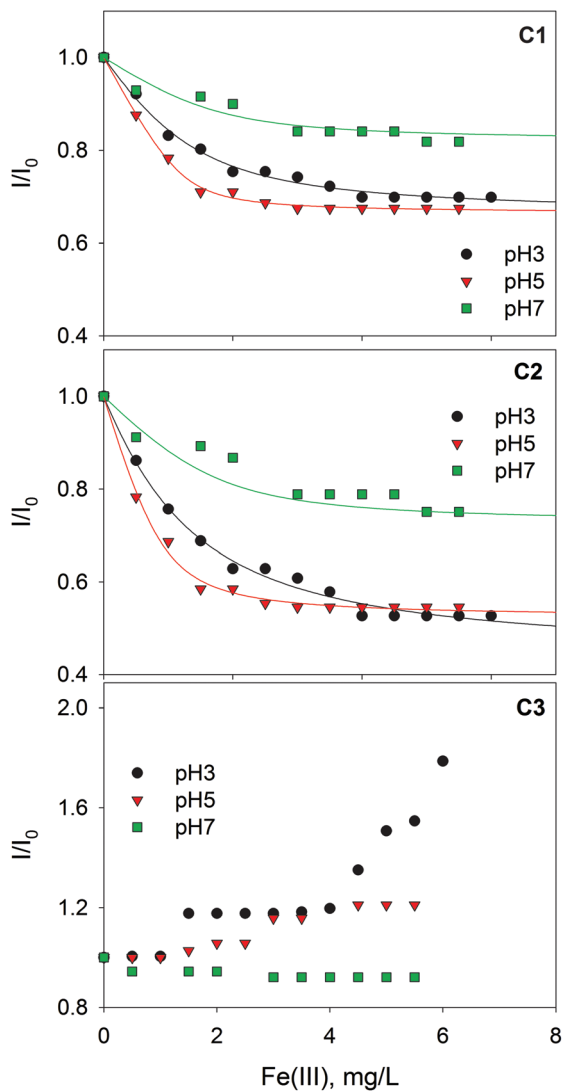


Fig. 3 Changes in the relative fluorescence intensity of three PARAFAC-derived components with the addition of Fe(III) at pH 3.0, 5.0 and 7.0. Lines represent fittings to the Ryan–Weber equation.

Table 1 Values of  $\log K$  and  $f_q$  for the C1 and C2 with Fe(III) at pH 3, 5 and 7 determined by the modified Ryan and Weber model

pH	C1		C2	
	$\log K$	$I_{\text{ng}}/I_0$	$\log K$	$I_{\text{ng}}/I_0$
3	$5.79 \pm 0.08$	$0.43 \pm 0.02$	$6.04 \pm 0.09$	$0.65 \pm 0.08$
5	$6.57 \pm 0.06$	$0.52 \pm 0.01$	$6.77 \pm 0.08$	$0.67 \pm 0.02$
7	$5.18 \pm 0.16$	$0.73 \pm 0.07$	$5.18 \pm 0.19$	$0.82 \pm 0.09$

of oligomeric Fe(III) species in comparison with Fe(III) monomeric species could also be expected.

#### Photo-Fenton in the presence of SBO

It is interesting to gain further insight into the behaviour of SBO when subjected to a photo-Fenton process using

EEM-PARAFAC. In fact, in previous work, absorbance spectroscopy combined with organic carbon, chemical oxygen demand and a battery of bioassays, showed that the oxidative process resulted in a slight modification of the SBO, which resulted in higher hydrophilicity, and more biocompatible macromolecules.<sup>15</sup> In this work, EEM of solutions of SBO and Fe(III), with and without H<sub>2</sub>O<sub>2</sub>, were recorded at different irradiation times and PARAFAC analysis was applied on the respective EEM data sets. Fig. 4 and 5 show the change of the three PARAFAC modeled components with irradiation time, at different pH values, in the absence and in the presence of H<sub>2</sub>O<sub>2</sub>, respectively. Since photo-Fenton processes led to a substantial degradation of SBO, experiments in the absence of H<sub>2</sub>O<sub>2</sub> were conducted to gain insight regarding the initial photochemical steps involving only Fe(III)–SBO complexes. At pH 3 and without H<sub>2</sub>O<sub>2</sub>, the fluorescence intensity of all three components decreased with increasing the irradiation time.

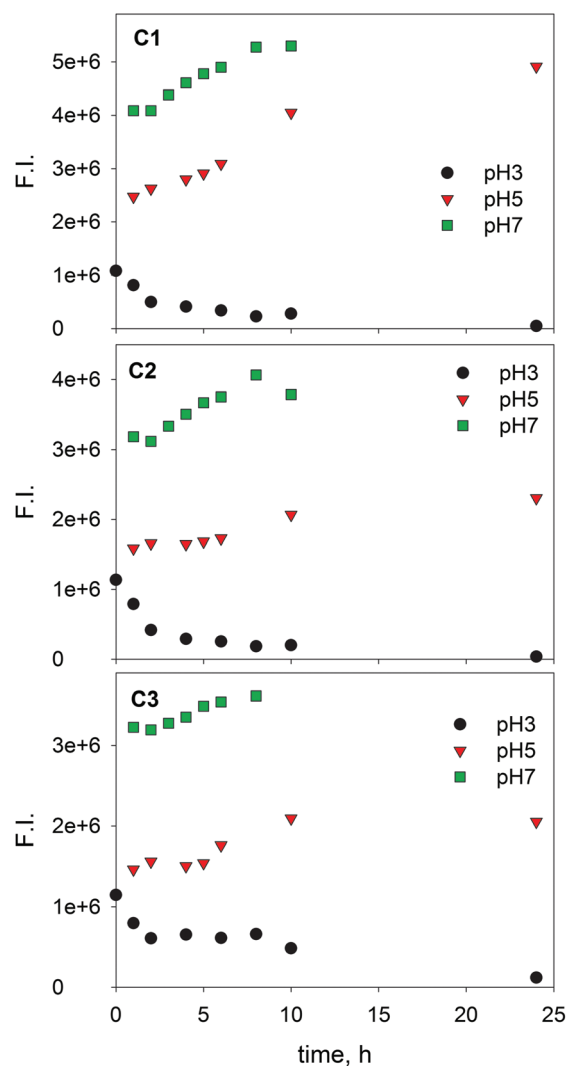
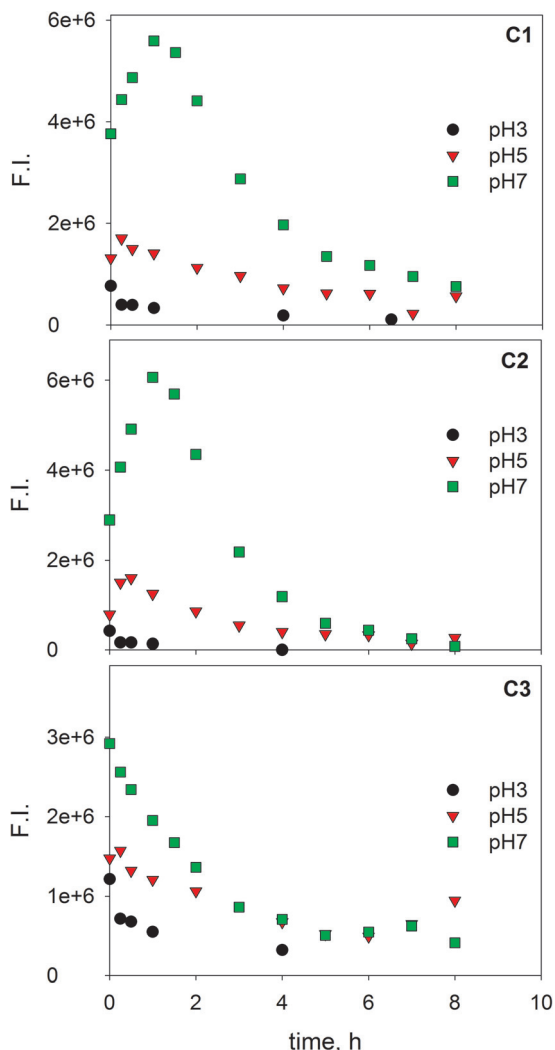


Fig. 4 Changes in the fluorescence intensity of three PARAFAC-derived components with the irradiation time for SBO with Fe(III) at pH 3.0, 5.0 and 7.0. [SBO]<sub>0</sub> = 20 mg L<sup>-1</sup>; [Fe(III)]<sub>0</sub> = 20 mg L<sup>-1</sup>.



**Fig. 5** Changes in the fluorescence intensity of three PARAFAC-derived components with the irradiation time for SBO with Fe(III) and H<sub>2</sub>O<sub>2</sub> at pH 3.0, 5.0 and 7.0. [SBO]<sub>0</sub> = 20 mg L<sup>-1</sup>; [Fe(III)]<sub>0</sub> = 20 mg L<sup>-1</sup>; [H<sub>2</sub>O<sub>2</sub>]<sub>0</sub> = 2.2 mmol L<sup>-1</sup>.

Formation of a brown precipitate in the solutions at pH 3 was also observed, which could be responsible for the decrease in fluorescence intensity. Furthermore, fluorescence quenching by aggregation of HS in the presence of cation binding is well-known.<sup>36</sup> TOC measurements showed a substantial decrease in the dissolved organic carbon concentration (about 60% in 10 h) which could account for the precipitate formation at pH 3. This photo-induced precipitation of the SBO–Fe(III) complex at pH 3 may be explained by taking into account the increment of the proportion of carboxyl groups as a result of irradiation, which enhanced the ability of iron to flocculate HLS at a pH close to 3. This precipitation was not observed at pH 5 and 7 probably because the size of SBO aggregates is expected to be smaller than the one at pH 3. On the other hand, at pH 5 and 7 in the absence of H<sub>2</sub>O<sub>2</sub>, irradiation resulted in an increase of fluorescence intensity of each component (Fig. 4), suggesting a decrease of the fluorescence quenching of SBO by Fe(III).

Despite the complexity of the system, a potential explanation for this behavior is that Fe(III)–SBO complexes, under simulated solar-light irradiation, can undergo charge transfer reactions that result in the formation of Fe(II). This enhanced redox cycling of iron is compatible with the observed ability of SBO to drive the photo-Fenton process at pH above 3. In addition, in sharp contrast to the results obtained at pH 3, dissolved organic carbon concentrations measured at pH 5.0 and 7.0 remained constant during the whole irradiation process, most probably because of the higher solubility of HLS at this pH range.

At pH 3, in the presence of H<sub>2</sub>O<sub>2</sub>, the fluorescence intensity of the three derived-components decreased faster than in its absence (compare Fig. 4 and 5). Moreover, no evidence of precipitation was found. This may be attributed to the H<sub>2</sub>O<sub>2</sub>-induced formation of HO radicals that results in a fast SBO degradation within a time scale much shorter than that associated with SBO precipitation. In contrast, at pH 5 and 7 with H<sub>2</sub>O<sub>2</sub>, the emission intensity of C1 and C2 increased until reaching maximum values and then decreased to zero at 7 h. DOC measurements, at both pH 5 and 7, only showed a decrease of 20% after 7 h, indicating that, although the SBO fluorophores were degraded, non-fluorescent organic material remained in the solution. This might involve a selective attack of the reactive species towards the fluorophores (e.g. aromatic moieties), generating non-fluorescent more hydrophilic groups, namely carboxylic ones. The increase in the region of the fluorescence intensity related to C1 and C2 might be connected with the reduction reaction of Fe(III) to Fe(II) from the complex Fe(III)–SBO as stated above. Thus, the maximum position of C1 and C2 that appeared at earlier times at pH 5 rather than pH 7, may indicate that Fe(III) reduction is a faster process at pH 5. Since oligomeric Fe(III) species are less photo-reactive than monomeric Fe(III) species,<sup>37</sup> our results are consistent with the hypothesis that at pH 7, oligomeric Fe(III) clusters are the main Fe(III) species present in the solution with SBO. All these results are in agreement with the loss of efficiency of the photo-Fenton process above pH 5, suggesting that this is the limit that can be reached following this approach.<sup>12</sup>

Finally, in order to rule out fluorescence changes due to the non-photo-induced process, dark control experiments were performed. Fluorescence EEMs of samples containing 20 mg L<sup>-1</sup> of SBO and 5 mg L<sup>-1</sup> of Fe(III), with and without H<sub>2</sub>O<sub>2</sub> (2.2 mmol L<sup>-1</sup>), were recorded over 24 hours in the dark at pH 3.0, 5.0 and 7.0 (data not shown). The analysis of the fluorescence EEMs did not show significant fluorescence changes at the different pH values tested, either in the presence or in the absence of H<sub>2</sub>O<sub>2</sub>. The latter results show that the complexes between Fe(III) and SBO remain without changes in the absence of irradiation and further suggest that only scarce structural changes occurred because of the inefficiency of the dark Fenton process.

## Conclusions

The EEM-PARAFAC has been demonstrated as a useful tool for studying mechanistic issues where complex organic molecules,

namely HLS, are involved. In particular, complexation of iron(III) with SBO has been studied showing that the strongest Fe(III)–SBO complex is formed at pH = 5, as under more acidic conditions complexation is limited by the protonation of carboxylic groups and at neutral pH values the chemical speciation of Fe(III) hinders its interaction with SBO. Regarding Fe(III)–SBO systems under irradiation at pH 3, it was observed that in the presence of H<sub>2</sub>O<sub>2</sub>, the behavior of the system is ruled by the high production of HO radicals rather than the low solubility of the SBO under these conditions. On the other hand, at pH 5 and pH 7, the observed initial increase in the fluorescence can be correlated with the photo-reduction of Fe(III) to Fe(II), thus favoring the photo-Fenton process. Interestingly, the latter increase is faster at pH 5 than at pH 7. Hence, the efficiency decrease observed for the photo-Fenton process in the presence of SBO above pH 5 can be attributed to the lower complexation of Fe(III) at higher pH, together with the more limited ability to drive iron photo-reduction. Therefore, the results achieved in this paper suggest that the previously reported optimal pH for photo-Fenton degradation of EPs in the presence of SBO is closely related to the stability of Fe(III)–SBO complexes formed at different pH values.

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