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## Review

# Perinatal inflammation and adult psychopathology: From preclinical models to humans

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## ABSTRACT

Perinatal environment plays a crucial role in brain development and determines its function through life. Epidemiological studies and clinical reports link perinatal exposure to infection and/or immune activation to various psychiatric disorders. In addition, accumulating evidence from animal models shows that perinatal inflammation can affect various behaviors relevant to psychiatric disorders such as schizophrenia, autism, anxiety and depression. Remarkably, the effects on behavior and brain function do not always depend on the type of inflammatory stimulus or the perinatal age targeted, so diverse inflammatory events can have similar consequences on the brain. Moreover, other perinatal environmental factors that affect behavior (e.g. diet and stress) also elicit inflammatory responses. Understanding the interplay between perinatal environment and inflammation on brain development is required to identify the mechanisms through which perinatal inflammation affect brain function in the adult animal. Evidence for the role of the peripheral immune system and glia on perinatal programming of behavior is discussed in this review, along with recent evidence for the role of epigenetic mechanisms affecting gene expression in the brain.

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## 1. Introduction

Adult brain function is the result of a genetic developmental program and its interaction with the external environment throughout life. Many lines of research seek to understand how psychiatric disorders emerge both from the genome and its environment. Although many psychiatric disorders such as schizophrenia and autism have a big genetic contribution [1,2], the environment is emerging as a key determinant of brain health and malfunction. The perinatal environment in particular has been identified as a key factor that impacts brain development and has long-term consequences on its function [3].

Perinatal programming refers to the ability of an environmental event to affect the normal course of development, resulting in an adult animal whose physiology and/or behavior has been significantly impaired [4]. Many environmental factors are known to affect neurodevelopment and result in long-term alterations in brain physiology. Examples are toxins [5], stress [6], infection [7], drugs [8], and alcohol [9]. Moreover, changes in normal perinatal stimuli such as maternal care or diet can have profound, lasting effects on adult behavior [10]. As many environmental factors affect similar brain functions and behaviors, current studies are aimed at understanding how these factors converge in the developing brain. Inflammatory responses and peripheral immune system function are prime candidates as critical components of perinatal programming, as most adverse early events appear to elicit immune or inflammatory responses in the host. Here I will review the effects of perinatal inflammation on adult behavior, the links between environmental factors and inflammation, and the mechanisms proposed to underlie perinatal programming.

Studies on animal models are consistent with clinical studies in showing an increased risk for psychiatric disorders in individuals perinatally exposed to infection or to other inflammatory stimuli. For example, children prenatally exposed to influenza, rubella, measles, varicella-zoster, or diphtheria are at higher risk for schizophrenia [11,12]. Similarly, autism spectrum disorder (ASD) has been associated with perinatal rubella virus, cytomegalovirus, herpes simplex virus, varicella-zoster virus, enteroviruses and syphilis infection [reviewed in Ref. [13]]. There is increasing evidence for prenatal viral infection as the principal non-genetic cause of autism [14]. Finally, mood disorders have also been linked to perinatal infection [reviewed in Ref. [15]]. Animal models have emerged as critical tools for establishing the links between perinatal inflammation and psychiatric disorders and to elucidate underlying mechanisms.

## 2. Perinatal inflammation affects adult brain physiology and behavior

Two critical factors should be considered when developing animal models for the effects of perinatal inflammation on brain development: the nature of the inflammogen and the age of the animal. Researchers have used a variety of inflammatory stimuli, administered at different prenatal and postnatal ages, to test if perinatal infection in rodents affects adult behavior and brain function relevant to psychiatric disorders (Table 1).

### 2.1. Eliciting perinatal inflammation

Bacteria, viruses and parasites can trigger inflammatory responses in mammals, and the nature and extent of this response depends on the nature of the pathogen. Specific receptors recognize pathogen-associated molecular patterns that consist of molecular motifs that are conserved within a class of microbes. Consequently, the host's cellular and molecular responses are similar for any pathogen within each class. Therefore, some pathogen-free strategies are often used to model a bacterial or viral infection.

Bacterial infection is frequently modeled employing lipopolysaccharides (LPS). LPS is the main component of the outer membrane of Gram-negative bacteria, recognized by Toll-like receptors 4 (TLR4) present in microglia, monocytes, dendritic cells, macrophages and B lymphocytes. Similarly, viral infection is most commonly modeled using polyriboinosinic-polyribocytidilic acid (PolyI:C), a synthetic double-stranded RNA that binds to TLR3, also present in dendritic cells, macrophages and B lymphocytes. Upon binding these pathogen recognition receptors, both LPS and PolyI:C elicit cellular responses that result in the secretion of pro-inflammatory cytokines [68]. The use of these artificial inflammogens has the advantage of being independent of the pathogen, which allows for a tightly controlled dosage and control over exposure time. However, they have limited face validity as they produce effects that are distinct from human infections, which tend to trigger a longer lasting immune response involving different stages of cell activation, proliferation, and clearance.

Other models involve inflammogens such as turpentine, the product of distilled resin. Turpentine intramuscular injection is considered a model of clinical trauma. It generates a localized injury, followed by the activation and recruitment of peripheral immune cells to the site of injury and local release of cytokines, resulting in the delayed presence of cytokines in plasma and fever [69]. These models allow for the study of the effects of endogenous inflammatory effectors without the confounding effect of the inflammogen acting on the embryo and/or the fetal/pup brain.

Finally, some models directly administer pro-inflammatory cytokines or artificially express them at specific perinatal ages to elucidate their effects on brain development. Such strategies involve both peripheral injections (intravenous or intraperitoneal [27]), and injections in the brain (intracerebroventricular or in specific brain regions [54]). Moreover, the stimuli can be acute (protein injection [27]) or chronic (viral vectors expression [54] or transgenic animals [70]).

Some long-lasting effects of inflammation on behavior are independent of the nature of the inflammogen (Table 1). For example, eliciting an inflammatory response at GD15 results in reduced pre-pulse inhibition (PPI) in adult rats, regardless of the inflammogen used [LPS [43], PolyI:C [32–40], or turpentine [41,42]]. However, LPS and PolyI:C have different effects on the dam and on the postnatal development of the pups [71]. The common underlying mechanism that determines the effects of these various inflammogens on brain development and adult behavior remains unknown.

The differential effects of various inflammogens are more difficult to find in the literature, as disparity in behavioral effects usually leads investigators to study different features of behavior. For example, in mice administration of PolyI:C at GD9 results in reduced exploratory behavior and reduced PPI [19–23], while a LPS challenge at GD9 results in anxiety-related behaviors [55]. So, although reports suggest that different inflammatory stimuli at

**Table 1**  
 Rodent models of psychiatric disease based on perinatal inflammation.

Psychiatric disorder modeled	Age	Inflammatory stimulus	Species	Behavioral effects	Physiological effects	References
Schizophrenia	GD1-21	LPS	Rat	↓PPI	↑DA in NAc ↑Glial activation	[16–18]
	GD9	Poly:I:C	Mouse	↓PPI ↑Exploration	↓Reelin+ cells in Hip and PFC ↓DG neurogenesis	[19–23]
	GD9.5	Human influenza virus	Mouse	↓PPI ↓Exploration ↓Sociability ↑Neophobia	↑Sensitivity to DA-R agonists ↓Purkinje cell density ↑5-HT <sub>2A</sub> in PFC ↓mGlu2 in PFC	[24–26]
	GD9.5	Poly:I:C	Mouse	↓PPI ↓Exploration ↓Sociability ↑Neophobia	↑Sensitivity to NMDA-R agonists ↓Purkinje cell density	[24,25,27,28]
	GD9.5	IL-6	Mouse	↓PPI		[27]
	GD12-17	Poly:I:C	Mouse	↓PPI	↑Sensitivity to DA-R agonists	[29]
	GD15	Poly:I:C	Mouse	↓PPI ↑AS	↓DG neurogenesis	[30,31]
	GD15	Poly:I:C	Rat	↓Cognitive flexibility ↓PPI ↓LI	↑Sensitivity to DA-R agonists ↓DG neurogenesis	[32–40]
	GD15	Turpentine	Rat	↓Cognitive flexibility ↓PPI	↑Sensitivity to DA-R agonists ↑DA in NAc	[41,42]
	GD15-16	LPS	Rat	↓PPI		[43]
	GD16	Poly:I:C	Mouse	↓PPI		[44]
	GD17	Poly:I:C	Mouse	↓Sociability ↑LI	↑Sensitivity to DA-R agonists	[45]
	Autism	GD17	Poly:I:C	Rat	↓LI	↑Sensitivity to DA-R agonists
GD18-19		LPS	Rat	↓PPI ↑AS	↑Sensitivity to DA-R agonists	[43,46]
GD9.5		LPS	Rat	↓Vocalizations ↓Sociability		[47,48]
GD10.5, 12.5 and 14.5		Poly:I:C	Mouse	↓Vocalizations ↓Sociability		[49]
GD12.5		Poly:I:C	Mouse	↑Repetitive behaviors ↓Vocalizations ↓Sociability		[50,51]
GD15		LPS	Mouse	↑Repetitive behaviors ↓Vocalizations	↑Spine density in DG	[52]

Table 1 (Continued)

Psychiatric disorder modeled	Age	Inflammatory stimulus	Species	Behavioral effects	Physiological effects	References
Anxiety	PD3	LPS	Rat	↓Sociability	↑Neurogenesis	[53]
	PD14-PD28	Hip TGF-β1 (adenoviral vector)	Mouse	↑Repetitive behaviors ↓Vocalizations		
	GD9	LPS	Mouse	↓Sociability ↑Repetitive behaviors ↓Time open arms EPM ↓Time center OF	↓5-HT and NA levels in Hip ↓Reelin+ cells in Hip =Neurogenesis	[55]
	GD10.5	LPS	Rat	↓Lit zone L/D ↓Time open arms EPM ↓Time center OF	↓ DA in NAc ↓5-HT levels in Hip	[56]
	GD17	LPS	Mouse	↓Sociability		[57]
	GD17	LPS	Mouse	↓Time open arms EPM ↓Time open arms EPM ↓Lit zone L/D		[58]
	PD3 and 5	LPS	Mouse	↓Lit zone L/D ↓Time center OF		[59]
Depression	PD3 and 5	LPS	Rat	↓Time open arms EPM ↓Sociability		[60,61]
	PD14	LPS	Rat	↑Neophobia		[62]
	PD14	PolyI:C	Rat	↓Time open field/defensive withdrawal		[63]
	GD9	LPS	Mouse	↑Immobility TST ↑Immobility FST	↓5-HT and NA levels in Hip ↓Reelin+ cells in Hip =Neurogenesis	[55]
	GD10.5	LPS	Rat	↑Immobility FST	↓Neurogenesis ↓Spine number	[64]
	GD12.5	PolyI:C	Mouse	↑Immobility FST	↓LTP	[65]
	GD17	LPS	Mouse	↓Anhedonic behavior (sucrose preference) ↑Immobility TST	↓Neurogenesis	[58,66]
	PD3 and 5	LPS	Mouse	↑Immobility FST ↑Immobility TST ↑Immobility FST		[59]
	PD14	LPS	Mouse	↓Anhedonic behavior (sucrose preference) ↑Immobility FST		[67]
	PD14-PD28	Hip TGF-β1 (adenoviral vector)	Mouse	↑Immobility TST ↑Immobility FST	↓Reelin+ cells in DG of Hip	[54]

Abbreviations: AS, acoustic startle; D1, dopamine receptor type 1; DG, dentate gyrus; EPM, elevated plus maze; FST, forced swimming test; Hip, hippocampus; IL-6, interleukin 6; L/D, light/dark test; LI, latent inhibition; LPS, lipopolysaccharide; NAc, nucleus accumbens; NOR, novel object recognition; OF, open field test; PFC, prefrontal cortex; PolyI:C, polyriboinosinic-polyribocytidilic acid; PPI, pre-pulse inhibition; TST, tail suspension test.

GD9 can have dissimilar effects on adult behavior in mice, specific studies testing this hypothesis should be designed and performed to support it. Such studies could shed light on some relevant clinical evidence, such as the higher risk for ASD in children born after maternal viral infection during the first trimester and maternal bacterial infection in the second trimester [72].

## 2.2. Developmental windows of susceptibility

At least two features could determine the windows of vulnerability to perinatal inflammation effects on development and long-term alterations in behavior and physiology. First, each stage of development is characterized by different populations of neurons proliferating, migrating, and differentiating. Second, both maternal and fetal/pup inflammatory responses change throughout pregnancy and postnatal life. In general, inflammatory responses earlier in pregnancy produce more marked effects in the offspring than later ones.

Brain development takes place both *in utero* and postnatally in rodents and humans, and different brain structures appear and mature at different ages [73]. So, each brain structure would have different periods of sensitivity to insult, and the behavioral effects of a perinatal stimulus could depend on the neuronal population affected at the time of inflammation. For example, PolyI:C injected at GD9 resulted in reduced spatial exploration, whereas the same stimulus administered at GD17 lead to perseverative behavior [19]. Moreover, PolyI:C at GD9 affects adult PPI, while no effects on PPI are observed when the inflammatory challenge is administered at GD17 [20]. Extended alterations in dopaminergic systems were observed in animals exposed to inflammation at GD9 [22], while those exposed at GD17 show more alterations in hippocampal neurons [20]. Structures like the cerebellum develop largely postnatally in the mouse [74], and accordingly, it is affected mainly by postnatal inflammatory agents [75]. Finally, the hippocampus continues to undergo neurogenesis, differentiation, and plasticity postnatally, extending throughout adulthood. Thus, postnatal inflammatory challenges mainly affect behaviors modulated by this structure [59,62,63,67], and intra-hippocampal inflammatory stimuli can have long-term effects even when administered late in the postnatal period [PD14–PD28; 54].

The maternal, fetal and postnatal immune system responds differently to inflammatory stimuli. Hormonal changes during pregnancy modulate the maternal immune response, favoring anti-inflammatory molecules and reducing pro-inflammatory responses [76]. This effect is stronger later in pregnancy. For example, the maternal inflammatory response to locally administered turpentine is attenuated when injected at GD18, compared to GD15 [41] or to non-pregnant female rats [77]. These differences in maternal response could explain some of the disparities observed in offspring, as turpentine at GD15 affects adult PPI but turpentine at GD18 results in normal PPI [41]. The inflammatory response also changes throughout postnatal development. For example, the hypothalamus-pituitary-adrenal (HPA) axis goes through a period of hypo-response from PD1 to about PD12 [78], and this could have profound effects on the reaction of the organism to an inflammatory stimulus and its consequences.

Finally, recent evidence shows that microglia follow a specific pattern of perinatal development that can be shifted upon prenatal immune activation [79]. Consequently, perinatal inflammatory stimuli could have long-term effects on microglia function, which can in turn affect neuronal function and behavior.

## 2.3. Long-lasting effects on behavior

The various effects of perinatal inflammation on behavior are described in Table 1. Researchers base their claim of modeling

a specific psychiatric disorder based on the domains of behavior affected. However, as some domains are affected in more than one human disorder, some authors claiming to model one disorder can be referred to model another. For example, repetitive behaviors are observed in ASD models [49,50,54], but these same models have been listed as Tourette's disorder models [80]. In Table 1 models are classified according to the claim made in the original paper.

### 2.3.1. Effects on schizophrenia-related behaviors

Perinatal inflammation can affect rodent behavioral tasks with translational relevance to domains affected in schizophrenia. This includes social withdrawal, cognitive impairment, and hyperactivity, each of which has been described in schizophrenia [81]. In prepulse inhibition of startle protocols (PPI), animals normally show a reduced response to a strong stimulus (auditory or tactile) when previously exposed to a weaker stimulus. Remarkably, reduction in the PPI is usually considered the main behavioral deficit of a rodent model of schizophrenia, as it models the pre-attentive information processing (i.e. before conscious attention) deficits observed in patients.

Reduced PPI has been observed in mice and rats prenatally exposed to inflammation, regardless of the inflammatory stimulus (LPS, PolyI:C, IL-6 or turpentine) or the prenatal age (from GD1 to GD19) (Table 1). Alterations in other behaviors are less robust as different studies show that exploration is increased after prenatal inflammation [19–23], but others show that exploration is decreased [24–28]. Latent inhibition (LI) refers to the ability to ignore irrelevant stimuli and focus on biologically important information. Schizophrenic patients show abnormalities in LI, and this has been modeled using classical conditioning protocols in rodents. Late prenatal inflammation (GD15 and GD17) results in altered LI, although the effects are different in mice and rats, being increased in the former [45] and decreased in the latter [32–40]. The physiological effect most frequently reported in prenatal inflammation models of schizophrenia is the increased sensitivity to DA receptors agonists, an effect that parallels the increased sensitivity of schizophrenic patients to psychotomimetic drugs [82].

### 2.3.2. Effects on autism-related behaviors

Rodent models of autism have been developed to manifest the core symptoms of ASD: inappropriate social interactions, deficits in communication, repetitive and stereotyped behaviors, and resistance to change. Therefore, the reduction in social interaction or social play, the decrease in amount and/or variety of vocalizations, the increased time spent in repetitive behaviors such as grooming or marble burying, and the resistance to change a learned task, are all considered autism-related behaviors [83].

Prenatal inflammatory challenges between GD9.5 and GD12.5 can affect all these domains [47–51]. In particular, the effects of maternal injection of PolyI:C at GD12.5 have been well characterized by different researchers, and this model shows a variety of biological alterations observed in individuals with autism [50,51,84]. In particular, animals prenatally exposed to PolyI:C show reduced sociability and more repetitive behaviors [50]. In addition, they show immune alterations that can be rescued by bone marrow transplantation [50]. Interestingly, grafted animals also undergo reversion of some behavioral deficits, showing that correcting immune function can have a positive effect on behavior. A role for gastrointestinal microbiota in the development of autism-related behavior has also been proven in animals exposed to PolyI:C at GD12.5 [51], and may be relevant to the chronic gastrointestinal inflammation observed in children with ASD [85].

Finally, the behavioral effects of cytokine transforming growth factor  $\beta$ 1 (TGF- $\beta$ 1) overexpression in the brain depend on the brain structure affected and the animal's age at treatment [86]. In particular, adult TGF- $\beta$ 1 overexpression in the hippocam-



pus results in decreased autism-related behaviors, whereas the opposite effect is observed when TGF- $\beta$ 1 overexpression occurs postnatally (between PD14 and PD28) [54]. Remarkably, early TGF- $\beta$ 1 overexpression results in reduced TGF- $\beta$ 1 expression in the adult hippocampus, suggesting that hippocampal levels of this cytokine can modulate sociability and repetitive behavior in adult animals.

### 2.3.3. Effects on anxiety-related behaviors

Various behavioral paradigms have been used to test anti-anxiety drugs in rodents [87]. Many of them exploit the innate tendency of rodents to explore a novel environment and the conflict elicited by a potential danger. Consequently, reduced time spent in the center of an open field (OF), in the open arms of an elevated plus maze (EPM) or in the lit zone of a light/dark box (L/D) are considered anxiety-related behaviors, and the administration of anxiolytic drugs reverts all these parameters. In addition, anxiolytic drugs increase sociability in rodents [87].

Perinatal exposure of mice or rats to LPS results in increased anxiety-related behavior, independently of the age of exposure [55–63]. This is remarkable, because it suggests that brain circuits regulating anxiety-related behaviors are susceptible to programming by inflammation throughout the entire perinatal period. When brain physiological markers were analyzed, changes in hippocampal serotonin (5-HT) levels and Reelin-positive cells were observed [55,56]. As granular cells in the dentate gyrus continue to proliferate, differentiate and remodel throughout life, changes in this neurogenic niche caused by perinatal inflammation could underlie the lasting effects observed on their function irrespective of the time of challenge [88].

### 2.3.4. Effects on depression-related behaviors

Antidepressant drugs diminish the immobile time that rodents show when exposed to inescapable adverse stimulus, such as water in the forced swimming test (FST) or hanging in the tail suspension test (TST) [89]. In addition, anhedonic responses to palatable sucrose solutions is also considered a depression-related behavior [90].

Similar to what is observed when anxiety-related behaviors are analyzed, perinatal inflammatory stimuli can increase depression-related behaviors in adulthood, irrespective of the time when inflammatory response was elicited [54,58,59,64–67]. Interestingly, some reports show increases in both anxiety- and depression-related behaviors after the same pro-inflammatory stimulus [55], suggesting a common biological pathway for these effects.

## 3. Other perinatal environmental factors can elicit an immune or inflammatory response

### 3.1. Stress

Not only immunological stress but also early exposure to psychological stress (e.g. maternal) can have long-term consequences on the development of the nervous system [6]. Most of our knowledge on this subject comes from animal studies, as moderate stress may not have clinical effects on the pregnant woman and/or the baby, and consequently they may not be recorded at the time of occurrence, precluding future correlational studies. However, some evidence suggests an increase of emotional disorders in subjects exposed to prenatal stress. For example, women exposed to a major earthquake are more prone to have children diagnosed with major depression later in life [91]. Similarly, children of mothers that experienced the ice storm of Quebec, Canada in 1998, showed reduced intellectual and language abilities [92]. Finally, stress at

21–34 weeks of gestation results in offspring having a higher incidence of autism [93], as did postnatal stressors during the first 6 months of life [94].

Animal models show that perinatal stress can affect neurodevelopment and adult behavior. Offspring of dams exposed to prenatal stress have increased anxiety- [95–99] and depression-related behaviors in adulthood [100–102], and they can show deficits in learning [103].

Psychological stress is transmitted from the brain to the body via two main pathways: glucocorticoid release from the HPA axis, and catecholamine release (noradrenaline/adrelinine) from the sympathetic nervous system. These stress hormones could directly affect brain development and function [104–106]. Glucocorticoids and catecholamine release initially cause an inflammatory response [107], but when the stressful stimulus persists continued exposure to glucocorticoids results in immunosuppression, which can in turn make the organism more prone to infection [108]. In addition, maternal corticotropin-releasing hormone (CRH) can cross the placenta, and the placenta itself can produce CRH in response to external or intrauterine stress [109]. CRH can then induce the expression of chemokines and cytokines in the myometrium [110]. So, prenatal stress can lead to the exposure of the fetus to inflammatory molecules, and the long-term effects on the nervous system could be due to this intra-uterine exposure to inflammation.

In addition, early life stress can affect microglia development, resulting in increased density, and altered morphology and expression profile [111]. Animal studies show that psychological stress can lead to an exacerbated inflammatory response in the brain after a subsequent immune challenge, and this increased inflammation is mediated by the priming of microglia [112]. Moreover, both psychological and inflammatory stressors can induce comparable neuroendocrine responses (activation of the HPA axis) and neurotransmitter alterations in the central nervous system (CNS), consequently sensitizing the brain to a second stressor (either psychological or immunological), thereby eliciting a state of increased stress vulnerability similar to what is observed in numerous psychiatric and neurodevelopmental disorders [113].

### 3.2. Maternal diet and obesity

According to the World Health Organization, overweight/obesity is among the main factors contributing to noncommunicable disease, including cardiovascular and respiratory diseases, and diabetes. In addition, under-nutrition and malnutrition are a common problem in developing countries and certain segments of society in developed countries, affecting a huge number of children born every year around the world. Emerging evidence is linking not only deficient diets but also highly caloric diets with mental health dysfunction [reviewed in [114]]. Indeed, both small and large for gestational age babies are at a higher risk of being diagnosed with psychiatric disorders later in life, including autism [10], depression and anxiety [115–118] [reviewed in [119]]. This overlap in the disorders suggests that common mechanisms could be acting when babies are exposed to restricted and excessive diets during gestation, affecting the normal development of their nervous systems.

Interestingly, obesity is considered a condition of systemic inflammation, with increased levels of circulating cytokines and chronically activated immune cells [120]. In turn, maternal malnutrition interferes with both the quality and quantity of immune factors transferred to the fetus during pregnancy and later to the breastfed neonate [121].

Research on animal models also shows a link between diet during pregnancy and lactation and changes in the offspring behavior and their inflammatory response. Maternal high fat

diet results in increased anxiety- and depression-related behaviors, and decreased cognitive abilities in the offspring [122,123], along with hippocampal microglial activation, and increased pro-inflammatory cytokines expression in the hippocampus [124] or amygdala [125]. Moreover, animals perinatally exposed to high fat diet showed an exacerbated peripheral response to an inflammatory challenge and increased anxiety-related behavior [124,125].

Animal models of perinatal malnutrition show deficient working memory [126], increased anxiety- [119,127] and depression-related behavior [128,129], or hyperactivity [130]. Much like a perinatal high fat diet, a low protein diet can lead to altered brain expression of cytokines under normal conditions and after inflammatory and psychological stressors in the adult offspring [131], suggesting that inflammation could be underlying the neurodevelopmental effects of both deficient and excessive diets.

#### 4. Mechanisms of inflammatory programming of adult behavior

The immune system allows mammals to fight foreign agents. Immune responses are elicited after a traumatic injury or pathogen invasion. First, pathogen-associated molecular patterns (PAMPs) such as lipopolysaccharides, peptidoglycans and nucleic acids, are recognized by Toll receptors (TLRs) present in the innate immune system cells, activating the expression of proinflammatory cytokines and chemokines. This generalized response is called inflammation, and it is mainly guided by macrophages, dendritic cells, and leukocytes, which are concentrated in the infected tissue, creating an inflammatory focus. After the clearance of the pathogen and tissue repair, inflammatory responses usually deactivate. However, if the stimulus persists over time, or the response is deregulated, inflammation can turn chronic or lead to a harmful autoimmune disease. Another scenario in which inflammation can have detrimental effects is during development. Immune system responses can be essential for the fetus to survive if either the mother or the fetus is infected, but immune molecules can alter normal development with long-lasting effects on offspring.

To result in long-term consequences for adult behavior and neurophysiology, inflammatory responses must: 1) reach the brain and affect its development; 2) have effects that persist. Different mechanisms have been described to explain the lasting consequences of perinatal inflammation on adult brain function.

##### 4.1. Peripheral and central inflammation can affect brain function and behavior

There are well-characterized pathways of communication between the peripheral immune system and the brain, including the autonomic nervous system, the HPA axis, and the direct effect of cytokines, chemokines and peripheral immune cells that enter the brain across the blood-brain barrier (BBB) [132]. Through these mechanisms it is well established that the immune system can impact behavior [133]. One well-characterized example is the effect of the inflammatory response in eliciting sickness behavior, an organized, adaptive response that involves various behaviors such as feeding, sociability, activity and sleep. It was long ago established that sickness behavior is the result of the action of one immune molecule (interleukin-1) in the brain [134]. Since then, it was demonstrated that sickness behavior is independent of the pathogen but results from the immune response, through the action of cytokines [135].

Beyond its traditional role in host defense, the immune system can communicate to the brain changes in different organs and elicit the signals necessary to change the metabolism and behavior, in order to regain homeostasis. Accordingly, sickness behavior

is now regarded as an orchestrated response that promotes host survival produced by the concerted action of the brain and the immune system. The similarities between sickness behavior and some symptoms of psychiatric diseases such as depression, suggest that immune mechanisms acting on brain cells could be underlying these diseases.

Central inflammation modulates brain function. Both glia (the primary immunocompetent cells within the CNS) and peripheral immune cells communicate by releasing soluble molecules (cytokines and chemokines) and expressing their receptors. Microglia and astrocytes participate in many processes in the brain, including neuroinflammatory responses [136], neuronal activity and plasticity [137], development and integrity of the BBB [138], neuronal development [139], and adult neurogenesis [140]. During normal development, cytokines are expressed at very low levels in the CNS [141], but they can affect developing neurons and neuronal progenitors both of which express receptors for these immune molecules [142].

However, when glial cells are chronically activated they have the potential to persistently alter brain homeostasis and normal brain development, leading to disorders such as ASD or schizophrenia [143]. Accordingly, an imbalance favoring pro- over anti-inflammatory cytokines has been associated with altered brain development and higher risk of developmental disorders, such as schizophrenia [144], autism [145] and major depression [108]. Moreover, persistent microglia activation could directly affect brain function and behavior in adulthood, independently of the effects during development [146]. Finally, some early inflammatory stimuli may cause none or mild effects on brain function, but predispose the organism to a stronger response after a second hit later in life [147,148].

In summary, chronic peripheral and/or central inflammation can have profound effects on brain function and behavior. The perinatal inflammatory response, if sustained through life, could be the underlying factor affecting behaviors related to psychiatric disorders in both human patients and animal models.

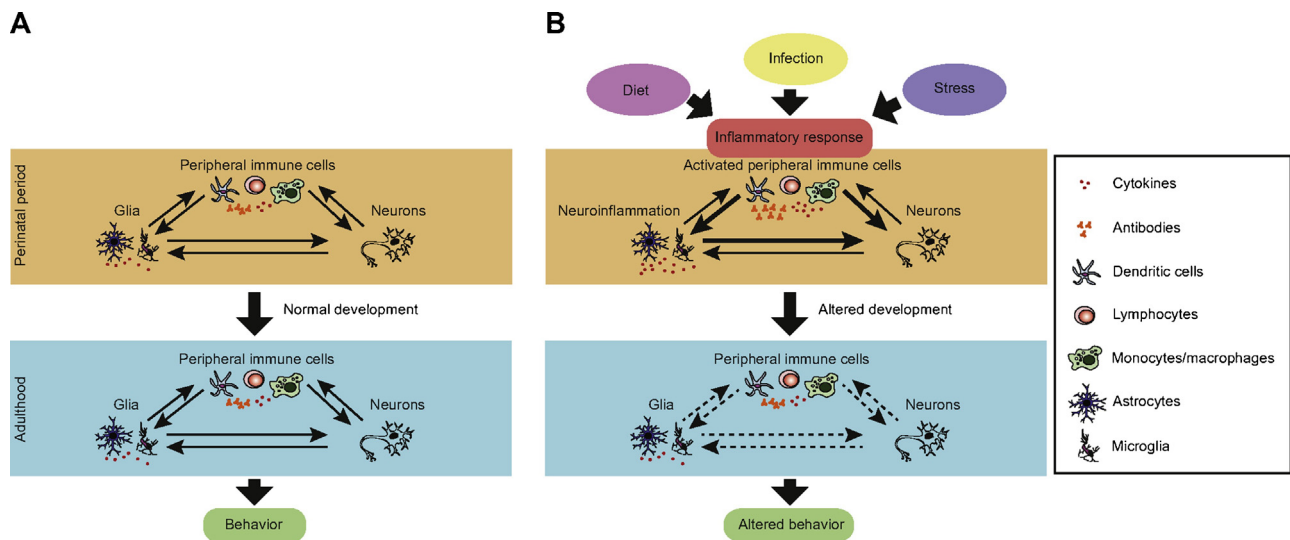
##### 4.2. Perinatal inflammation can affect brain development

Peripheral and central inflammation during the perinatal period can alter normal neuronal development [136,141], resulting in changes in neuronal function and/or brain connectivity. These changes are prime candidates as mediators of the behavioral alterations associated with perinatal inflammation. As can be observed in Table 1, different inflammatory stimuli can have diverse effects on neuronal protein expression, neurotransmitter synthesis, synaptic function, and even neuronal number. All these factors can affect neural circuits controlling specific behaviors.

In particular, schizophrenia models mainly show alterations in dopaminergic function [16–18,20,22,23,29,33–35,41–43,45,46] and reduced neurogenesis [19,25,30,31,37]. Rodent models of autism show increased spine density [52], increased neurogenesis [53] and reduced number of Reelin-positive cells [54] in the DG of the hippocampus. Finally, anxiety-related behaviors are mainly accompanied by a reduction in serotonin levels in the hippocampus [55,56], and depression-related behaviors by reduced neurogenesis [64,65]. Note that I have only included animal models of perinatal inflammation in which behavior was analyzed, but there exist many other reports that describe alterations in brain parameters that could also affect behavior.

##### 4.3. Epigenetic mechanisms

Epigenesis plays a fundamental role in cell differentiation and is therefore a fundamental process during development. Epigenetic mechanisms that modulate gene expression include histone post-



**Fig. 1.** Perinatal inflammation can affect adult behavior. (A) During the perinatal period, the interaction between peripheral immune cells, glia and neurons determine their development. In adulthood, behavior results from neuronal function and its regulation by immune and glial factors. (B) Infection, diet or stress can elicit an inflammatory response in the perinatal period, altering the normal path of development of peripheral immune cells, glia and neurons, along with their interplay. Then the function of these cells is permanently modified along with the interaction with the others, resulting in abnormal brain function and behavior.

translational modifications (including methylation, acetylation, phosphorylation, ubiquitination, and sumoylation), DNA methylation, noncoding long and short RNAs (including interference RNAs and microRNAs), among others. Some processes, such as X inactivation in females, occur in early stages of embryogenesis, but epigenetic modifications can happen through life. Epigenetic mechanisms generally result in the silencing or expression of genes, and therefore their occurrence during development can have significant impact on the individual.

Prenatal inflammation alters DNA methylation [149], histone methylation [150] and miRNA expression [151] in the brain. These epigenetic alterations can affect the expression of neuronal proteins, glucocorticoid receptors [152] and cytokines [153], and may therefore have long-term consequences on both brain and immune function. Moreover, the non-genetic transmission of behavioral traits is proposed to be mediated by epigenetic mechanisms and has been observed after different perinatal environmental stimuli. Interestingly, it was recently shown to occur also in a model of prenatal inflammation [PolyI:C at GD9; [154]], showing that the F2 reproduce some of the behavioral deficits observed in the F1 (reduced sociability and increased fear), do not present others (reduced PPI) and even show new behavioral alterations (increased depression-related behavior). Transgenerational transmission of behavioral deficits provides novel translational value to environmental models of psychiatric diseases, as they provide an opportunity to identify epigenetic mechanisms of increased risk for these diseases.

## 5. Conclusions

The evidence presented in this article is summarized in Fig. 1. In animal models, different perinatal stimuli can elicit an inflammatory response, which can affect the function and development not only of peripheral immune cells, but also glia and neurons. During development, peripheral immune cells (e.g. monocytes, dendritic cells and lymphocytes), glia, and neurons affect each other (Fig. 1A), and their interplay can be modulated by inflammation (Fig. 1B). In adulthood, such interactions determine the function of both the immune and the nervous system, and when either of these has been permanently affected, adult behavior is altered. Indeed, abundant evidence is accumulating regarding the effects of perinatal

inflammation on behavioral domains relevant to different psychiatric disorders. This evidence supports clinical reports of increased susceptibility to these disorders in humans born after prenatal exposure to infection. Moreover, other risk factors such as malnutrition and stress are also linked to inflammation.

Nevertheless, the field lacks the systematic research necessary to disentangle the similarities and differences underlying the effects of various perinatal inflammatory stimuli. Although there have been attempts [155], a comprehensive assessment of the effects of different inflammogens at different ages and on different behaviors is lacking. Such an analysis could shed light on the pathways that produce lasting behavioral effects. Moreover, the evaluation of the maternal and fetal inflammatory response to various perinatal stimuli is also lacking, an understanding of which will be relevant to important questions regarding differences observed in human populations.

Finally, translation of the results from animal models to human health needs to take into account the differences in both immune and brain development between humans and rodents. As these processes do not always follow the same patterns in these species. Thus, translational value could be limited if differences are not accounted and measured [73].

## Conflict of interest

The author declares no conflict of interest.

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