- 1 TITLE: MIV-150 and zinc acetate combination provides potent and broad activity against HIV-1
- 2 SHORT TITLE: Anti-HIV-1 activity of the MIV-150/ZA combination
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24 ABSTRACT

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- 25 We previously showed that the combination of the non-nucleoside reverse transcriptase inhibitor (NNRTI) MIV-150
- 26 with zinc acetate (ZA) formulated in a carrageenan (CG; MZC) gel provided macaques significant protection against
- 27 vaginal simian-human immunodeficiency virus-RT (SHIV-RT) challenge, better than either MIV-150/CG or
- 28 ZA/CG. Herein, we used in vitro approaches to study the antiviral properties of ZA and the MIV-150/ZA
- 29 combination, compared to other NNRTIS. Like other NNRTIS, MIV-150 has EC₅₀ values in the sub-nM to nM range
- against wild type and NNRTI or RT resistant HIVs. While less potent than NNRTIs, ZA was shown to be active in 31 primary cells against lab-adapted and primary HIV-1 isolates and HIV-1 isolates/clones with NNRTI and RT
- 32 resistance mutations, with EC₅₀ values between 20-110 μ M. The MIV-150/ZA combination had additive antiviral
- 33 effects in primary cells. In vitro resistance selection studies revealed that previously described NNRTI resistant
- 34 mutations were selected by MIV-150. ZA-resistant virus retained susceptibility to MIV-150 (and other RTIs) and
- 35 MIV-150-selected virus remained sensitive to ZA. Notably, resistant virus was not selected when cultured in the
- 36 presence of both ZA and MIV-150. This underscores the potency and breadth of the MIV-150/ZA combination,
- 37 supporting preclinical macaque studies and the advancement of MZC microbicides into clinical testing.

INTRODUCTION 38

- 39 We have tested microbicide products composed of MIV-150, a non-nucleoside reverse transcriptase inhibitor 40 (NNRTI), and zinc acetate (ZA) formulated in carrageenan (CG). The MIV-150/ZA/CG (MZC) gel is highly 41 efficacious at protecting rhesus macaques from vaginal (1-5) and rectal (6) SHIV-RT and mice from vaginal and 42 rectal HSV-2 (7) and HPV pseudovirus (8) challenge. MZC gel is more protective than either MIV-150/CG or
- 43 ZA/CG formulations (1-4) against SHIV-RT challenge, but the mechanistic basis for this greater potency is unclear.
- 44 Since MIV-150 is an NNRTI, it targets HIV RT with well-defined properties (9). However, it is unknown whether 45 ZA boosts the host immune response and/or possesses antiviral properties itself to contribute to the antiviral effects in vivo. Biochemical assays have identified the formation of a stable, slowly polymerizing complex of zinc ions and 46 47 RT (10), suggesting that ZA may also target RT.
- Here, we characterized the *in vitro* antiviral activities of ZA alone and in combination with MIV-150. We 48 49 demonstrated the inhibitory activity of ZA in cells and tissues, and verified the breadth of activity of ZA and MIV-50 150 against a panel of primary isolates and multi-drug resistant (MDR) isolates/clones. ZA-resistant HIV remained 51 sensitive to MIV-150 and other RTIs, and MIV-150-selected virus remained sensitive to ZA. Moreover, the 52 combination of MIV-150 and ZA had additive inhibitory effects on HIV-1 and completely shut down HIV-1 53 replication. Taken together, these results suggest a mechanistic explanation of the efficient antiviral activity of the 54 MIV-150/ZA combination observed in our in vivo microbicide testing.

55 **MATERIALS AND METHODS**

58 NRTIS (3TC) were obtained through the NIH AIDS Reagent Program, Division of AIDS, NIAID, NIH. Sodium 59 acetate buffer (NA) was prepared by dissolving 1.3239 g sodium acetate trihydrate (Sigma-Aldrich, St. Louis, MO), 60 9 g sodium chloride (Sigma-Aldrich, St. Louis, MO), and 0.5 ml 1N glacial acetic acid (Sigma-Aldrich, St. Louis, MO) in 1L of water (Calbiochem, Darmstadt, Germany). The pH was adjusted to 6.22 with 1N sodium hydroxide 61 62 (BDH, West Chester, PA), and the solution was mixed for 30 min at room temperature at 250 rpm. ZA was 63 prepared by dissolving 0.676 g zinc acetate dihydrate (Spectrum, Gardena, CA) in 220 ml of NA buffer, and pH was 64 adjusted to 6.2 by adding 1N glacial acetic acid. Osmolality for NA and ZA solutions were 304 mOsmol/kg and 317 65 mOsmol/kg, respectively. Solutions were filter-sterilized through 0.45 mm syringe filters before use in cell culture-

Compounds and solutions. MIV-150 was provided by Drs. Bo Öberg and Disa Böttiger at Medivir, DPV was

provided by International Partnership for Microbicides and the other NNRTIS (EFV, ETR, RPV and NVP) and

66 based assays.

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- 67 Cells. Human PBMCs were isolated from leukopacks (NY Blood Center, New York, NY) and were activated with
- 68 3X3 stimulation method (11). PBMC stimulation media consisted in RPMI 1640 (Life Technologies, Grand Island,
- 69 NY) supplemented with 10% fetal bovine serum (FBS) (Life Technologies), 20 U/ml rIL-2 (Roche Diagnostics,
- 70 Indianapolis, IN) and antibiotics at a final concentration of 50 U/ml of penicillin and 50 µg/ml streptomycin (Life
- 71 Technologies). TZM-bl cells were obtained through the NIH AIDS Reagent Program, Division of AIDS, NIAID,
- 72 NIH and were maintained in complete DMEM (Life Technologies) supplemented with 10% FBS.

HIV strains and clones. Online Resource 1 summarizes the HIV-1 laboratory strains, primary isolates and MDR
isolates/clones used in our experiments. All HIV stocks were re-titered using 3x3 activated human PBMCs (11).
SHIV-RT was titered in macaque PBMCs (8) and HIV-1_{BaL} was also titered in TZM-bl cells (12). TCID₅₀ values

were calculated using the Reed and Muench formula. Aliquots of virus stocks were stored at -80°C.

77 Cytotoxicity assays.

XTT assay. The day before the assay, 1.5×10^4 TZM-bl cells/well were plated in a 96-well flat-bottom microplate 78 79 and incubated at 37°C overnight. Media was shaken off cells, and the plate was blotted dry on paper towels. 80 Varying dilutions of ZA were made in complete DMEM media, and were added to adherent cells for 1h at 37°C. 81 Media/ZA solutions were shaken off and the plate was blotted dry on paper towels, before addition of 100 ml/well 82 of fresh DMEM/10% FBS. Cells were incubated for 3d at 37°C. In PBMCs, varying concentrations of ZA were 83 made in stimulation media and were incubated with activated cells at 37°C overnight. The supernatant was replaced 84 with fresh stimulation media containing the same ZA concentrations (or fresh stimulation media only for cell 85 controls) on days 1 and 4 after starting the assay. Cell viability was estimated on day 7. For both cell types, XTT dye 86 reduction was carried out as previously described (13).

CyQuant assay. Cytotoxicity in activated PBMCs was performed as described above with the only difference that
 the CyQuant Direct Cell Proliferation Assay (Life Technologies) was performed following the manufacturer's
 procedure.

- Antiviral assays. HIV-1_{BaL} (1x10³ TCID₅₀) was pre-incubated with varying doses of ZA, NA, or MIV-150 for 0-9h 90 at 37°C, before being added to TZM-bl cells for 1h at 37°C. Supernatant was shaken out and replaced with fresh 91 92 media. Duplicate wells were set up for each condition. After incubation for 3d at 37°C, b-gal activity was measured, 93 and percent inhibition of infection was determined relative to the NA control. The mean inhibition for ZA was 94 determined by subtracting values for NA at the corresponding concentrations.
- In PBMCs, antiviral activity of ZA pre-incubated with virus was measured as follows: 1000 TCID₅₀ of HIV-1_{NL4-3} 95 96 were pre-incubated at 37°C with different ZA concentrations for 6h and added to activated PBMCs (2x10⁵/well in 97 U-bottom 96-well plates) before overnight incubation at 37°C. The supernatant was replaced with fresh stimulation 98 media on days 1 and 4 after starting the assay. For antiviral assays, dilutions of ZA, NNRTI or NRTI were prepared in stimulation media and 2x10⁵ activated PBMCs/well were incubated for 1h in U-bottom 96-well plates before 99 100 adding 100 TCID₅₀ of HIV-1_{NI4-3} followed by an overnight incubation at 37°C. The supernatant was replaced with 101 fresh stimulation media on days 1 and 4 post- infection for ZA single application, NNRTIs and NRTI or fresh 102 stimulation media containing the same ZA concentrations for ZA multiple applications. The supernatant p24 levels 103 for all PBMCs antiviral assays were tested on day 7 after infection using the p24 ELISA (Zeptometrix, Buffalo, 104 NY). The EC₅₀ values were calculated using a dose-response-inhibition analysis on GraphPad Prism v5.0 software.
- All the ZA concentrations used in the cytotoxicity or antiviral assays were tested in triplicate. 105
- 106 Cell culture combination antiviral activity relationships. The antiviral activity of each compound (MIV-150 and 107 ZA) or their combination was determined as described above in the PBMC assay. The assay was designed in a way 108 that MIV-150 and ZA concentrations were combined based on their 50% effective concentration EC_{50}/EC_{50} ratios. 109 Six different concentrations of MIV-150 (4.56, 2.28, 1.14, 0.57, 0.29, and 0.14 nM), ZA (228, 114, 57, 28.5, 14.2, and 7.1 μ M) or their combination were prepared in PBMC stimulation media. The dilutions were added to 2×10^5 110 111 activated PBMCs/well and were incubated for 1h in U-bottom 96-well plates before adding 100 TCID₅₀ of HIV-112 1_{92BR014} followed by an overnight incubation at 37°C. The supernatant was replaced with fresh stimulation media on days 1 and 4 post- infection for MIV-150 only treatment or fresh stimulation media containing the same ZA 113 114 concentrations for ZA or ZA+MIV-150 treatments. The supernatant p24 levels were tested on day 7 after infection 115 using the p24 ELISA (Zeptometrix). The percentage of virus inhibition was used to analyze the effect of the 116 combination on the antiviral activity and to estimate the combination index (CI) values using Calcusyn for Windows 117 software (Biosoft, Cambridge, United Kingdom) (14). All MIV-150, ZA, MIV-150+ZA concentrations or controls 118 were tested in triplicate.
- 119 Selection for diminished ZA, MIV-150 or ZA+MIV-150 susceptibility. Activated PBMCs (2x10⁶/ml) were 120 pretreated with ZA, MIV-150 or their combination for 1h at 37°C, then infected with an equal volume of ZA 121 susceptible isolate HIV-192BR014 (100 TCID50) for a final ZA and MIV-150 concentration of 90 mM and 0.5 nM 122 respectively. With these conditions, about 80% inhibition of virus replication allows outgrowth of variants with 123 diminished susceptibility to ZA. Cells were subcultured twice per week in the constant presence of ZA, MIV-150 or 124 the their combination with one subculture containing fresh stimulation media and each compound or combination, 125

and the second subculture containing fresh activated PBMCs pretreated with fresh stimulation media and each

126 compound or combination. Prior to each subculture, p24 was measured in the supernatants, and if elevated, the 127 concentration of each compound or combination was gradually increased to an eventual final concentration of 180 128 mM for ZA and 800 nM for MIV-150 over the course of nine weeks. Culture supernatants were aliquotted and 129 frozen at -80°C. Additionally, MIV-150 only *in vitro* resistance development was also performed in two additional 130 independent experiments using the same procedure described above using HIV-1_{MN} and MT-4 cells and virus 131 replication was monitored with the TZM-bl assay instead of p24 ELISA.

132 RNA isolation, RT-PCR, cloning, sequencing. RNA was isolated from virus culture supernatants with the 133 QIAamp UltraSens Virus Kit (Qiagen, Valencia, CA) and resuspended in ddH₂O. RNA was reverse-transcribed 134 with the SuperScript III First Strand kit (Life Technologies) using random hexamer primers. PCR amplification of cDNA was carried out with Phusion Taq (New England Biolabs, Ipswich, MA) and Clade B pol gene primers Fwd: 135 136 GGGAATTTTCTTCAGAGCAGACC and Rev: TCCCCTAGTGGGATGTGTACT, amplifying a product of 3,098 137 nt. PCR products were either purified with the PCR purification kit (Qiagen) and sent for sequencing, or were gel-138 purified (Qiagen) after separation on and excision from 0.5% agarose gels. Purified PCR products were cloned with 139 Zero Blunt TOPO (Life Technologies). Transformed E. coli clones were DNA miniprepped (QIAprep Spin 140 Miniprep). Sanger sequencing on PCR products and clones was carried out by primer extension sequencing by 141 GENEWIZ, Inc. (South Plainfield, NJ) using Applied Biosystems BigDye version 3.1, followed by analysis on 142 Applied Biosystem's 3730xl DNA Analyzer. DNA sequence analysis was carried out with DNASTAR Lasergene 143 10 software (Madison, WI).

144 RESULTS

145 We showed previously that repeated application of a ZA/CG gel protected macaques against vaginal SHIV-RT 146 challenge. Additionally, the inclusion of MIV-150 in the gel (MZC) afforded significant protection (2, 4). We 147 wanted to better understand the antiviral effects of the MIV-150/ZA combination in the MZC formulation. To first 148 explore the antiviral effects of ZA that might be at work in vivo, we utilized TZM-bl cells as a rapid test to evaluate 149 in vitro antiviral activity of ZA. Low-level time- and dose-dependent antiviral activity was demonstrated by pre-150 incubating HIV-1_{BaL} with ZA before the addition to TZM-bl cells (Fig. 1a). It was not possible to calculate an EC₅₀ 151 value, since inhibition did not achieve 100% using sub-cytotoxic ZA concentrations (CC₅₀=2mM). In contrast, high 152 concentrations of MIV-150 (200 nM) readily achieved maximal inhibitory activity when pre-incubated for 0-9h.

- 153 Greater activity was observed when ZA and MIV-150 were used together (Fig. 1b and c).
- To verify the antiviral activity of ZA, we utilized the more biologically relevant primary cell model. Repeated application of non-toxic doses of ZA (cell viability was ~100% at the highest dose tested in the antiviral assay) inhibited HIV-1_{NL4-3} replication in PBMCs, where the EC₅₀ was 62.2 μ M. The appeal of the PBMC system is that it allowed us to evaluate the antiviral activity different HIV-1 clades and multi-drug resistant (MDR) HIVs (primary isolates and clones; Online Resource 1) and assess the combined activities of MIV-150 and ZA under non-toxic conditions. ZA had broad activity with EC₅₀ values ranging from 20 to >228 μ M, with ZA-sensitive viruses (EC₅₀ values <228 μ M) present among different clades and MDRs (Table 1). All but one (isolate OL-1/4(II)d4) of the ZA-

- 161 resistant viruses tested were sensitive to MIV-150 as well as other the NNRTIS Dapivirine (DPV), Etravirine (ETR)
- and EFV (Table 1). MIV-150 and the other NNRTIs showed potent and broad activity against many of the HIVs,
- 163 except isolate OL-1/4(II)d4 and isolate W1023892-2 (Table 1). Building on the earlier observation in TZM-bl cells
- that combining MIV-150 and ZA resulted in increased anti-HIV activity (Fig. 1), we were able to examine MIV-
- 165 150/ZA equipotential combinations (based on their individual EC_{50s}) in the PBMC assay, and determined that the
- 166 MIV-150/ZA combination has additive antiviral effects (Table 2).
- 167 Development of drug resistance to single drugs is expected, but combining more than one antiviral agent could 168 reduce the likelihood of this occurring. Although no drug-resistant virus has been detected in MZC-treated macaques that have become infected with SHIV-RT (1, 2, 4, 6, 8, 15), we set out to evaluate the development of 169 170 drug resistance against the MIV-150/ZA combination under stringent in vitro conditions. Initial studies using MT-4 171 cells, HIV-1_{MN}, and MIV-150, verified the selection of MIV-150-resistant HIV (post-selection EC₅₀ values >10 nM 172 vs. pre-selection EC₅₀ value of 0.2 nM, 95% CI 0.18 to 0.25) expressing the triple NNRTI mutations L100I, K103N, 173 and Y181C or E138G, Y181C, and M230L. Not surprisingly, these triple mutations in RT also conferred resistance 174 to the NNRTIS EFV, NVP, DPV and ETR with more than 10 fold increased EC₅₀ values. Notably, both of these two 175 viruses remained sensitive to ZA with EC₅₀ values of 63.8 µM (95% CI, 35.7 to 113.9) and 38.8 µM (95% CI, 24.8 176 to 60.7), respectively. Selection studies were then performed in PBMCs using the HIV-1_{92BR014} R5/X4 isolate with 177 MIV-150, ZA, or the MIV-150/ZA combination. HIV-192BR014 has no known RTI mutations and is sensitive to both MIV-150 (EC₅₀ 0.7 nM, CI 0.6 to 0.9) and ZA (EC₅₀ 65.1 µM, CI 57.5 to 73.4). As in the MT-4 cells infected with 178 179 HIV-1_{MN}, MIV-150 selected for virus with multiple NNRTI mutations (K103N, E138G, Y181N, and M230L) in 180 PBMCs infected with HIV-1_{92BR014}, but the low titer of this virus precluded determination of the EC₅₀ values. 181 Importantly, ZA-selected HIV-1_{92BR014} (ZA $EC_{50} > 228 \mu M$) remained sensitive to MIV-150, as well as rilpivirine 182 (RPV), ETR, EFV, and Lamivudine (3TC) that are used to treat HIV infection (Table 3). We explored the presence 183 of potential mutations in *pol* gene that could be linked to ZA resistance but there was not a consistent mutation 184 pattern to support this hypothesis. Supporting the *in vivo* observations using MZC gel (1, 2, 4, 6, 8, 15), no resistant 185 virus could be selected in cultures treated with the MIV-150/ZA combination.
- In summary, MIV-150 and ZA potentially target different sites in the HIV RT contributing to the potency of the
 MIV-150/ZA combination against different HIV-1 clades as well as MDR HIVs and reducing the likelihood of
 resistant viruses emerging. Together, these results underscore the potential of microbicide gels and intravaginal rings
 (IVRs) containing the MIV-150/ZA combination to limit HIV spread.

190 DISCUSSION

The MZC microbicide, comprised of the NNRTI MIV-150, ZA, and CG, has shown excellent safety and activity against HIV, HSV-2, and HPV in preclinical testing (1-8, 13, 15-17) and the first in-human safety testing of the MZC gel has been completed (18, 19). Additionally, we are developing sustained-release MZC-containing IVRs and recently observed that they significantly reduce SHIV-RT infection and HSV-2 shedding in macaques. Initial studies revealed that repeated treatment with a ZA/CG gel protected macaques against vaginal SHIV-RT challenge, but that significant protection was observed when MIV-150 and ZA were used together (1-4, 7, 8). Herein we specifically investigated the *in vitro* antiviral activity of ZA and the attributes of the MIV-150/ZA combination, to further support the advancement of MZC microbicides.

199 NNRTIs are a class of drugs that shows promise for use in microbicides (9). Initial clinical studies on MIV-200 150 verified its safety after oral dosing (Bo Öberg, personal communication). MIV-150 is a particularly potent antiretroviral with subnanomolar EC₅₀ values (8, 13, 16, 20), active against wild type HIVs of different clades and 201 202 drug-resistant HIVs much like other NNRTIs. MIV-150 has a remarkable post washout effect in TZM-bl cells (not 203 shown) similar to that seen in mucosal explant models for MIV-150 (21, 22) as well as other NNRTIS like UC781 204 (23) and DPV (24). Zinc is generally recognized as safe by the FDA and has a history as a component of topical 205 agents such as sunscreens, diaper rash creams, and antiperspirants, with some previous investigation of its antiviral 206 properties as a salt (25-28), ionophore (29-31) and in the context of other molecules, for example, the zinc-finger 207 antiviral protein (ZAP) (32, 33). In addition, zinc salts have been proposed as safe, anti-HIV constituents of sexual 208 lubricants (34). Herein, using cell lines and primary cells we demonstrated the *in vitro* antiviral activity of ZA, albeit 209 of lower potency than the NNRTIs. Notably, the MIV-150/ZA combination was found to be additive in vitro, 210 paralleling the earlier in vivo observations using the combined MZC (vs. MIV-150 or ZA) gel (2). Of note, we 211 cannot rule out that immunomodulatory effects of ZA treatment also contributed to the antiviral effects observed in 212 vivo. Strikingly, we demonstrated that virus was unable to grow in the continued presence of the MIV-150/ZA 213 combination in vitro, suggesting that excessive selective pressure precluded virus replication.

214 NNRTIS represent one of the most potent molecules against HIV, but the downside of NNRTIS is the rapid 215 development of viral resistance. While MIV-150 takes about twice as long to select HIV resistance in vitro 216 compared to first and second generation NNRTIs (Bo Öberg, Medivir, personal communication), MIV-150-resistant 217 viruses were isolated in vitro. MIV-150-resistant HIVs were shown to harbor three or four mutations that have been 218 previously described in the literature for other NNRTIS (35). The triple or four mutations observed in our in vitro 219 selection may confer high level cross-resistance against other NNRTIs, like EFV, ETR, and NVP (36). However, 220 resistance selection after topical application of antiviral compounds remains as an area where more evidence needs 221 to be accumulated. In fact, we have recently shown that while intramuscular administration of MIV-150 resulted in 222 selection of NNRTI-associated mutations in SHIV-RT infected macaques, exposure to MIV-150 from a high-dose 223 IVR resulted in limited emergence of NNRTI-associated mutations (20). Therefore, combining MIV-150 with other 224 anti-HIV agents like ZA may result in even less resistance, which was supported by the lack of virus growth in 225 MIV-150/ZA-treated cultures. This supports the *in vivo* findings where macaques that became infected with SHIV-226 RT in the presence of MZC microbicides did not carry NNRTI-resistant virus (1, 2, 4, 6, 8, 15). In fact, although in 227 vitro selected MIV-150-resistant HIVs exhibited cross-resistance to other NNRTIs, they remained sensitive to ZA. 228 Thus, the presence of ZA in a formulation provides a second line of attack against wild type and drug-resistant HIV.

Within the limits defined by the *in vitro* cytotoxicity of ZA, we demonstrated the antiviral activity of ZA in 3 different systems *in vitro*. One limitation of this study is that the maximum ZA concentration defined by *in vitro* cytotoxicity is lower than the *in vivo* or *ex vivo* tolerated concentration. Tissue explants and animals exposed to neat ZA-containing gels (ZA at 14 mM) for brief periods of time are not damaged (2, 4, 7, 21, 22). Because of the lower
 concentrations required for *in vitro* experimentation, we were not able to fully assess the antiviral activity at high
 concentrations that may be more physiologically realistic, and we may have underestimated the antiviral activity of
 ZA. Previous studies have shown that zinc inhibits HIV replication by diminishing the reverse transcriptase catalytic

activity (10). Although we explored the potential presence of mutations in the *pol* gene in the ZA-resistant HIV

viruses, we were not able to identify any mutation pattern to support this hypothesis.

Importantly, *in vitro* selected ZA-resistant HIV remained susceptible to MIV-150, as well as other RTIs.
We were unable to perform additional studies examining the combinations of ZA with other NNRTIs due to limiting
resources. However, these data suggest that other NNRTI/ZA combinations might similarly possess increased antiHIV activity that could lessen the emergence of drug-resistant virus.

Together these data underscore that the MIV-150/ZA combination delivers a potent one-two punch against a broad range of HIV strains potentially by targeting different sites within RT and thereby limit the potential transmission and/or emergence of drug-resistant virus. MZC-containing on-demand gels for vaginal and rectal use as well as sustained release MZC-containing IVRs (37-41) represent exciting options for women and men to simultaneously provide potent, broad-acting activity against HIV, HSV-2 and HPV.

247 ETHICAL STANDARDS

All the experiments published in this manuscript comply with the current laws of the country in which they were

249 performed. All institutional and national guidelines for the care and use of laboratory animals were followed.

250 O. Mizenina, M. Hsu, N. Jean-Pierre, M. Aravantinou, K. Levendosky, G. Paglini, T.M. Zydowsky, M. Robbiani

and J. A. Fernández-Romero declare that they have no conflict of interest.

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