

Excited baryons and heavy pentaquarks in large- N_c QCD

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Abstract. We briefly discuss the large- N_c picture for excited baryons, present a new method for the calculation of matrix elements and illustrate it by computing the strong decays of heavy exotic states.

PACS. 11.15.Pg Expansions for large numbers of components (*e.g.*, $1/N_c$ expansions) – 14.20.-c Baryons (including antiparticles)

1 Introduction

The $1/N_c$ expansion of QCD has turned out to be a fruitful approach to its non-perturbative regime, as is shown by many examples [1]. The successful applications to the study of ground-state baryons make the excited baryons and exotic states especially interesting because they provide a wider testing ground for the $1/N_c$ expansion.

It is useful to recall a few general facts that make the large number of colors limit interesting and useful:

- The $1/N_c$ expansion is the only candidate for a perturbative expansion of QCD at all energies.
- In the $N_c \rightarrow \infty$ limit baryons fall into irreducible representations of the *contracted* spin-flavor algebra $SU(2n_f)_c$, also known as \mathcal{K} -symmetry, that relates properties of states in different multiplets of flavor symmetry.
- The breaking of spin-flavor symmetry can be studied order by order in $1/N_c$ as an operator expansion.

It is important to stress that already at leading order in the large- N_c limit it is possible to obtain significant insights into the structure of excited baryons, among which we would like to highlight the following:

- The three towers [2–4] predicted by \mathcal{K} -symmetry for the $L = 1$ negative-parity N^* baryons, labeled by $\mathcal{K} = 0, 1, 2$ with \mathcal{K} related to the isospin I and spin J of the N^* 's by $\mathcal{K} \geq |I - J|$.
- The vanishing of the strong-decay width $\Gamma(N_{\frac{1}{2}}^* \rightarrow [N\pi]_S)$ for $N_{\frac{1}{2}}^*$ in the $\mathcal{K} = 0$ tower, which provides a natural explanation for the relative suppression of pion decays for the $N^*(1535)$ [2, 4, 5].

- The order $\mathcal{O}(N_c^0)$ mass splitting of the $SU(3)$ singlets $\Lambda(1405)$ - $\Lambda(1520)$ in the $[70, 1^-]$ multiplet [6].

The general framework is based on the observation that at the fundamental level of QCD diagrams can be classified according to their scaling with N_c . Planar diagrams are the leading order, non-planar diagrams and quark loops are subleading in $1/N_c$. In order to obtain finite amplitudes the quark-gluon coupling constant must scale as $g \propto N_c^{-1/2}$. An m -body operator requires at least the exchange of $m - 1$ gluons which gives a suppression factor of N_c^{1-m} . However, the matrix elements of an operator can eventually be enhanced by coherence effects, as is the case of G^{ia} defined below¹. In an explicit quark operator representation different hadronic operators like the masses, magnetic moments, axial currents, etc., can be expanded [7] in $1/N_c$. For example, for the mass operator we have schematically

$$\hat{M} = \sum_{k=0}^{N_c} \frac{1}{N_c^{k-1}} C_k \mathcal{O}_k \quad (1)$$

with \mathcal{O}_k a k -body operator. Both the coefficients C_k (which correspond to reduced matrix elements of QCD operators) and the matrix elements of the quark operators on baryon states $\langle \mathcal{O}_k \rangle$ have power expansions in $1/N_c$ with coefficients determined by nonperturbative dynamics. The basic building blocks to construct the \mathcal{O}_k are the

¹ $\langle G^{ia} \rangle \propto N_c$ when restricted to the subspace of states with spin and isospin of order N_c^0 , which are the ones that will correspond to the $N_c = 3$ physical states.

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generators of $SU(2n_f)$, where n_f is the number of flavors

$$S^i = \sum_{\alpha=1}^{N_c} s_{(\alpha)}^i, \quad T^a = \sum_{\alpha=1}^{N_c} t_{(\alpha)}^a, \quad G^{ia} = \sum_{\alpha=1}^{N_c} s_{(\alpha)}^i t_{(\alpha)}^a. \quad (2)$$

In the large- N_c limit we can define $X_{ia}^0 \equiv \lim_{N_c \rightarrow \infty} \frac{G_{ia}^0}{N_c}$, because the matrix elements of G_{ia} scale like N_c for the states of interest, which is the coherence effect mentioned before. In this way we obtain for $n_f = 2$ the contracted algebra $SU(4)_c$

$$\begin{aligned} [S_i, S_j] &= i\epsilon_{ijk} S_k, & [S_i, X_{ja}^0] &= i\epsilon_{ijk} X_{ka}^0, \\ [T_a, T_b] &= i\epsilon_{abc} T_c, & [T_a, X_{ib}^0] &= i\epsilon_{abc} X_{ic}^0, \\ [X_{ia}^0, X_{jb}^0] &= 0. \end{aligned} \quad (3)$$

The last commutation relations can also be obtained in a purely hadronic language. They are known as consistency relations [7] and are necessary to obtain finite amplitudes for pion-nucleon scattering. Consider the direct and crossed diagrams that contribute at tree level. The pion-nucleon coupling scales like $\sqrt{N_c}$, which makes each diagram separately to scale like N_c . To obtain a finite amplitude for the physical process we need a cancellation to happen. This requires $[X_{ia}^0, X_{jb}^0] = \mathcal{O}(1/N_c)$, which in the large- N_c limit gives eq. (3). This symmetry structure gives rise to model-independent predictions like the three towers for excited baryons that was mentioned above. In an explicit quark operator representation this is manifest by the presence of two $\mathcal{O}(N_c^0)$ operators (that also involve the generator of $O(3)$ [8]) and has been checked by an explicit calculation [3,4].

2 Occupation number formalism

In this section we give an outline of the occupation number formalism [9] that we use to compute matrix elements for arbitrary N_c . In broken $SU(3)$, the $SU(6)$ spin-flavor generators can be decomposed into generators of the subgroup

$$\begin{aligned} SU(6)_{SF} &\supset SU(4)_{SI} \otimes SU(2)_{J_s} \otimes U(1)_{n_s} \\ J^i, \quad I^a &= T^a, \quad G^{ia} = G^{ia} \quad (i, a = 1, \dots, 3), \\ J_s^i &= s^\dagger \frac{\sigma^i}{2} s, \quad N_s = s^\dagger s \end{aligned}$$

plus operators mediating transitions between sectors of different n_s

$$\begin{aligned} \tilde{t}^\alpha &= q^\dagger \alpha s, \quad t_\alpha = s^\dagger q_\alpha \quad (\alpha = \pm 1/2), \\ \tilde{Y}^i \alpha &= q^\dagger \alpha \frac{\sigma^i}{2} s, \quad Y_\alpha^i = s^\dagger \frac{\sigma^i}{2} q_\alpha. \end{aligned}$$

We introduce the “6n-symbol” defined as ($N = \sum_{i=1}^6 n_i$)

$$\begin{aligned} \{n_1, n_2, n_3, n_4, n_5, n_6\} &= \sqrt{\frac{n_1! n_2! n_3! n_4! n_5! n_6!}{N!}} \\ &\times (u_\uparrow^{n_1} u_\downarrow^{n_2} d_\uparrow^{n_3} d_\downarrow^{n_4} s_\uparrow^{n_5} s_\downarrow^{n_6} + \text{perms}). \end{aligned}$$

The nonstrange states in a $\mathcal{K} = 0$ tower have spin and isopin satisfying $I = J$. Their spin-flavor symmetric wave functions can be given in closed form as

$$\begin{aligned} |II_3 J_3; N_{ud}\rangle &= \sum_i \left(\begin{array}{c} \frac{N_u}{2} \\ i \end{array} \begin{array}{c} \frac{N_d}{2} \\ J_3 - i \end{array} \middle| I \right) \\ &\times \left\{ \frac{N_u}{2} + i, \frac{N_u}{2} - i, \frac{N_d}{2} + J_3 - i, \frac{N_d}{2} - J_3 + i \right\}, \end{aligned}$$

where $N_{u,d}$ are the number of up and down quarks: $N_u = \frac{N_{ud}}{2} + I_3, N_d = \frac{N_{ud}}{2} - I_3$ with $N_{ud} = N_c - n_s$.

A few representative nonstrange $J_3 = +\frac{1}{2}$ states are

$$p_\uparrow = \sqrt{\frac{2}{3}} \{2, 0, 0, 1\} - \frac{1}{\sqrt{3}} \{1, 1, 1, 0\}, \quad \Delta_\uparrow^{++} = \{2, 1, 0, 0\}.$$

Acting with

$$\begin{aligned} q_i \{ \dots, n_i, \dots \} &= \sqrt{n_i} \{ \dots, n_i - 1, \dots \}, \\ q_i^\dagger \{ \dots, n_i, \dots \} &= \sqrt{n_i + 1} \{ \dots, n_i + 1, \dots \} \end{aligned} \quad (4)$$

we obtain the matrix elements of any operator for arbitrary N_c .

3 Pentaquark towers

For the exotic $q^{N_c+1} \bar{q}$ states with $N_c + 1$ quarks in a “ $\bar{\mathbf{3}}$ ” of color, Fermi statistics implies the $SU(6) \otimes O(3)$ decomposition

The negative-parity states were studied in [10]. Here we reconsider the positive-parity states [11], which are all members of the two towers

$$\begin{aligned} \mathcal{K} = 1/2 : & \quad \overline{\mathbf{10}}_{\frac{1}{2}}, \quad \mathbf{27}_{\frac{1}{2}, \frac{3}{2}}, \quad \mathbf{35}_{\frac{3}{2}, \frac{5}{2}}, \dots \\ \mathcal{K} = 3/2 : & \quad \overline{\mathbf{10}}_{\frac{3}{2}}, \quad \mathbf{27}_{\frac{1}{2}, \frac{3}{2}, \frac{5}{2}}, \quad \mathbf{35}_{\frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \frac{7}{2}}, \dots \end{aligned}$$

In [11] only states in the first tower were considered. In the heavy-quark limit $m_Q \rightarrow \infty$ these two towers become degenerate and the tower label for the light degrees of freedom becomes a good quantum number:

$$\mathcal{K}_{light} = 1 : \quad \overline{\mathbf{6}}_1, \quad \mathbf{15}_{0,1,2}, \quad \mathbf{15}'_{1,2,3}, \quad \dots \quad (5)$$

On the other hand, the heavy pentaquarks considered in [11] belong to the tower

$$\mathcal{K}_{light} = 0 : \quad \overline{\mathbf{6}}_0, \quad \mathbf{15}_1, \quad \mathbf{15}'_2, \quad \dots, \quad (6)$$

Table 1. Reduced matrix elements Y and large- N_c width for the $\mathcal{K} = 1/2$ pentaquark $\Theta_{\bar{Q}J_\ell} \rightarrow NK, \Delta K$ decays.

Decay	$(I'J', IJ)$	$Y(I'J'K', IJK)$	$\frac{1}{p^3} \Gamma_{N_c \rightarrow \infty}^{p\text{-wave}}$
$\Theta_0(\frac{1}{2}) \rightarrow NK$	$(\frac{1}{2}\frac{1}{2}, 0\frac{1}{2})$	$-\frac{\sqrt{3}}{2}\sqrt{N_c+1}$	1
$\Theta_1(\frac{1}{2}) \rightarrow NK$	$(\frac{1}{2}\frac{1}{2}, 1\frac{1}{2})$	$\frac{1}{2}\sqrt{N_c+5}$	$\frac{1}{9}$
$\rightarrow \Delta K$	$(\frac{3}{2}\frac{3}{2}, 1\frac{1}{2})$	$\frac{1}{\sqrt{2}}\sqrt{N_c-1}$	$\frac{8}{9}$
$\Theta_1(\frac{3}{2}) \rightarrow NK$	$(\frac{1}{2}\frac{1}{2}, 1\frac{3}{2})$	$-\sqrt{2}\sqrt{N_c+5}$	$\frac{4}{9}$
$\rightarrow \Delta K$	$(\frac{3}{2}\frac{3}{2}, 1\frac{3}{2})$	$-\frac{1}{2}\sqrt{\frac{5}{2}}\sqrt{N_c-1}$	$\frac{5}{9}$

which arises naturally in the Skyrme model.

As an example we compute the strong decays of the $\mathcal{K} = 1/2$ states in [11]. The reduced matrix elements of the transition operator are defined by

$$\langle I'I'_3, J'J'_3; n_s - 1 | Y^{i\alpha} | II_3, JJ_3; n_s \rangle = \begin{pmatrix} I & \frac{1}{2} & I' \\ I_3 & \alpha & I'_3 \end{pmatrix} \begin{pmatrix} J & 1 & J' \\ J_3 & i & J'_3 \end{pmatrix} Y(I'J'K', IJK). \quad (7)$$

In the large- N_c limit we find [12]

$$Y_0(I'J'K', IJK) \propto \sqrt{[I][J]} \begin{Bmatrix} \frac{1}{2} & 1 & \frac{1}{2} \\ I & J & \mathcal{K} \\ I' & J' & K' \end{Bmatrix}. \quad (8)$$

The expressions for arbitrary N_c are found in table 1. Averaging over initial states and summing over final states the p -wave widths are obtained as

$$\Gamma(I'J'K', IJK) \propto \frac{[I'][J']}{[I][J]} |Y(I'J'K', IJK)|^2.$$

In the large- N_c limit all pentaquark states in the same tower have the same total width. This leads to sum rules like

$$\begin{aligned} \Gamma\left(\Theta_0\left(\frac{1}{2}\right) \rightarrow NK\right) &= \\ \Gamma\left(\Theta_1\left(\frac{1}{2}\right) \rightarrow NK\right) + \Gamma\left(\Theta_1\left(\frac{1}{2}\right) \rightarrow \Delta K\right) &= \\ \Gamma\left(\Theta_1\left(\frac{3}{2}\right) \rightarrow NK\right) + \Gamma\left(\Theta_1\left(\frac{3}{2}\right) \rightarrow \Delta K\right) & \end{aligned}$$

as can be verified from table 1. The results for $N_c = 3$ in [11] can also be verified from table 1.

4 Large- N_c and heavy-quark limit predictions

Heavy-quark symmetry predicts the amplitudes in terms of a few reduced matrix elements f_i (see table 2). The decay amplitude for $\Theta_{\bar{Q}}(IJJ_\ell) \rightarrow [NH_{\bar{Q}}^{(*)}(J'J'_\ell)]_{J_N}$, where $\mathbf{J}_N = \mathbf{S}_N + \mathbf{L}$ is the angular momentum carried by the final baryon, is given by [13]

$$A_i = \sqrt{(2J_\ell+1)(2J'+1)} \begin{Bmatrix} J_\ell & J'_\ell & J_N \\ J' & J & \frac{1}{2} \end{Bmatrix} f_i. \quad (9)$$

Table 2. Heavy-quark symmetry predictions for the decay amplitudes $\Theta_{\bar{Q}J_\ell} \rightarrow [NH_{\bar{Q}}^{(*)}]_{p\text{-wave}}$.

Decay	$J_N = 1/2$	$J_N = 3/2$
$\Theta_{\bar{Q}0}(\frac{1}{2}) \rightarrow NH_{\bar{Q}}$	$-\frac{1}{2}f_0$	—
$\Theta_{\bar{Q}1}(\frac{1}{2}) \rightarrow NH_{\bar{Q}}$	$\frac{\sqrt{3}}{2}f_1$	—
$\Theta_{\bar{Q}1}(\frac{3}{2}) \rightarrow NH_{\bar{Q}}$	—	$-\frac{1}{2}\sqrt{\frac{3}{2}}f_2$
$\Theta_{\bar{Q}0}(\frac{1}{2}) \rightarrow NH_{\bar{Q}}^*$	$\frac{\sqrt{3}}{2}f_0$	—
$\Theta_{\bar{Q}1}(\frac{1}{2}) \rightarrow NH_{\bar{Q}}^*$	$\frac{1}{2}f_1$	$-f_2$
$\Theta_{\bar{Q}1}(\frac{3}{2}) \rightarrow NH_{\bar{Q}}^*$	$-f_1$	$\frac{1}{2}\sqrt{\frac{5}{2}}f_2$

Table 3. Ratios of strong-decay widths for heavy pentaquarks $R^I(J) = \Theta_{\bar{Q}}^I(J) \rightarrow (NH_{\bar{Q}}) : (NH_{\bar{Q}}^*)$.

$I = 1$	$R^I(J = \frac{1}{2})$	$R^I(J = \frac{3}{2})$
$\mathcal{K}_{light} = 1$	$1 : 3 \quad (J_\ell = 0)$	$\frac{1}{2} : \frac{7}{2} \quad (J_\ell = 1)$ $2 : 2 \quad (J_\ell = 1)$ $\frac{5}{2} : \frac{3}{2} \quad (J_\ell = 2)$
$\mathcal{K}_{light} = 0$	$1 : 11 \quad (J_\ell = 1)$	$4 : 8 \quad (J_\ell = 1)$

Combining the heavy-quark symmetry predictions with the large- N_c amplitudes we can fix the reduced amplitudes f_i and obtain predictions for the ratios of decays widths, as summarized for the $I = 1$ states in table 3. More details will be given elsewhere [12].

5 Conclusions

The large- N_c limit reveals a structure of mass degeneracies and sum rules for decay widths that is not apparent at $N_c = 3$. This picture can be corrected systematically in $1/N_c$. We presented a new method for computing matrix elements for arbitrary N_c which is useful for this purpose. As an illustration, we showed how the combined large- N_c and heavy-quark limit allows to compute decay width ratios that discriminate between different heavy-pentaquark states. In the heavy-quark limit the spin of the light degrees of freedom is a conserved quantum number. When this is combined with the large- N_c limit we can label the states by the new quantum number \mathcal{K}_{light} . The states considered in [11] have $\mathcal{K}_{light} = 0$, while the states considered in this work have $\mathcal{K}_{light} = 1$. The predictions for their strong decays differ, as can be seen in table 3.

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