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Optimized Solution Procedure of the G-Particle–Hole Hypervirial **Equation for Multiplets: Application to Doublet and Triplet States**

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ABSTRACT: Highly accurate descriptions of the correlated 11 electronic structure of atoms and molecules in singlet states 12 have recently been directly obtained within the framework of 13 the G-particle-hole hypervirial (GHV) equation method, 14

without any reference to the wave function. Here, the GHV

 $\langle \Phi | [{}^{2}\hat{G}_{im;lj}, \hat{H}] | \Phi \rangle = 0 \qquad \forall, i, j, l, m$

 ${}^{2}\hat{\mathbf{G}}_{im;lj}$: elements of the *G*-particle-hole operator

method is optimized and applied to the direct study of doublet and triplet atomic and molecular states. A new set of spinrepresentability conditions for triplet states has been derived and is also reported here. The results obtained with this optimized version of the GHV method are compared with those yielded by several standard wave function methods. This analysis shows that

- 18 the GHV energies are more accurate than those obtained with a single-double excitation configuration interaction as well as with a 19
- coupled-cluster singles and doubles treatment. Moreover, the resulting 2-body matrices closely satisfy a set of stringent N- and spin-2.0
- representability conditions. 21

I. INTRODUCTION 23

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Since all the fundamental electronic properties including the 24 energy can be expressed as expectation values of one- and two-2.5 electron operators, they can be determined from a mathematical 26 object which only depends on the variables of two electrons, the 27 second-order reduced density matrix (2-RDM).⁵⁻⁹ The quest 28 for a method of studying the structure of electronic systems by 29 directly determining the 2-RDM without any use of the wave function dates from the fifties. $^{10-13}$ A difficulty in this approach is 30 31 that the *N*-representability condition,^{14,15} which collects the con-32 ditions imposed by the N-fermion antisymmetry properties upon 33 the RDMs, is still not completely known.^{5–9,16} This problem has 34 greatly hindered the progress on the field. However, in the search 35 for a method of solving the second-order contracted Schrödinger 36 equation (2-CSE),⁶⁻⁹ a set of approximated construction algo-37 rithms for the RDMs were recently devised.^{2,3,17-28} These 38 algorithms jointly with the conditions imposed by the system 39 Hamiltonian and those N-representability conditions which are 40 known, lead to a direct determination of the 2-RDM without a 41 previous computation of the wave function.^{6–9} 42

The 2-CSE was initially derived in 1976 in first quantization by 43 Cho,²⁹ Cohen and Frishberg,³⁰ and Nakatsuji³¹ and deduced later on in second quantization by Valdemoro³² through the 44 45 contraction of the matrix representation of the Schrödinger 46 equation into the two-electron space. This equation was shown 47 to be equivalent to the Schrödinger equation (by the necessary 48 and sufficient condition) within the N-representable space of 49

RDMs.³¹ The drawback of this very attractive equation is that it is 50 operationally indeterminate.³³ This is due to the fact that the 51 2-CSE depends not only upon the 2-RDM but also upon the 3-52 and 4-RDMs. In 1992 Valdemoro proposed a method to approximate the 2-RDM in terms of the 1-RDM,²² which was 54 extended in order to approximate the 3- and 4-RDM in terms of 55 the lower-order matrices.²³ In 1994 Colmenero and Valdemoro¹⁷ applied these approximate constructing algorithms to avoid the 57 indeterminacy problem and solved iteratively the 2-CSE. These authors reported also in this same paper¹⁷ the compact as well as the explicit form of the hypervirial of the 2-electron density ope-60 rator $(2-HV)^{17,23,32-36}$ which they identified with the antiher-61 mitian part of the 2-CSE and used as a mean to assess the accu-62 racy of the results obtained with the 2-CSE. This started a successful 63 line of work which has been mainly developed by the groups led by Valdemoro, Nakatsuji, and Mazziotti.^{7,8,18–21,24–28,37–53}

A significant step forward was recently made by Mazziotti. 54,55 This author proposed an iterative method^{54,55} for solving only the antihermitian part of the 2-CSE, the 2-HV, which he called the antihermitian contracted Schrödinger equation (ACSE).⁵⁴ He applied his methodology to several electronic systems obtaining excellent results.^{1,27,28,53-61} The main advantages of this approach are that the ACSE does not depend on the 4-RDM and that the N-representability properties of the 2-RDM are practically

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preserved during the iterative process. Motivated by this 74 75 author's work, some of the authors of this manuscript have recently studied the properties of the hypervirial of the two-76 body correlation operator or, equivalently, the G-particle-hole 77 hypervirial (GHV) equation.¹⁻⁴ The particular interest of this 78 approach lies in that satisfying the GHV equation implies that the 79 80 ACSE is also fulfilled while solving the ACSE does not guarantee 81 that the GHV equation is also satisfied; that is, the GHV equation is a more demanding condition than the ACSE.^{1,2,4} 82

Following the ideas reported by Kutzelnigg for the solution of 83 the hypervirials of density operators^{34,62,63} and by Mazziotti for 84 the solution of the ACSE, $^{54-56}$ a very efficient iterative method 85 for solving the GHV equation has been developed.^{1,3,4} The accu-86 racy of the results obtained with this method when studying singlet 87 ground- and excited-states with weak to moderate multiconfi-88 gurational character of a set of atoms and molecules was excellent 89 compared to the equivalent full configuration interaction (FCI) 90 quantities.^{1,3,4} The purpose of the current work is to investigate 91 the behavior of the GHV methodology in the study of high-spin 92 93 doublet and triplet states occurring in a variety of systems. It must be noted that an alternative approach for treating these systems 94 has been reported within the framework of the ACSE.^{60,61} 95 However, this ACSE study of the multiplet states is achieved 96 by spin coupling the open-shell molecules to one or more hydro-97 gen atoms to form auxiliary singlet composite systems which can 98 be solved by existing ACSE algorithms, and, to our knowledge, 99 the direct approach for calculating multiplet states here reported 100 has not yet been carried out. 101

The paper is organized as follows: In the next section the 102 notation, definitions and general theoretical background of 103 the GHV methodology are given. A set of new theoretical 104 results aiming at optimizing the GHV method accuracy are 105 106 described in section III. The results obtained in a set of applications of the method are reported in section IV. These 107 calculations show that the correlation energies accounted for 108 lie within 97.6% and 102.1% of the FCI one. It is also found 109 that the calculated two-body matrices describing the correla-110 tion effects satisfy closely a set of *N*- and Spin-representability stringent conditions.^{39,50,64-66} The results are compared with 111 112 those obtained with traditional wave function methods such as 113 single and double excitation configuration interaction, coupled-114 cluster singles-doubles, and FCI. Finally, a brief description of the 115 conclusions of this work is given in the last section. 116

117 **II. THEORETICAL OUTLINE**

A. General Notation. In what follows, we will consider pairwise-interacting systems composed of fixed number of electrons, N, whose Hamiltonian may be written within second quantization formalism⁶⁷ in the occupation number representation as⁹

$$\hat{H} = \frac{1}{2} \sum_{p,q,r,s} {}^{0}H_{rs;pq} a_{p}^{\dagger} a_{q}^{\dagger} a_{s} a_{r}$$
(1)

where a_p^{\dagger} and a_r are second quantization creation and annihilation operators, the indices refer to members of a finite basis set of 2*K* real orthonormal spin—orbitals, and the secondorder matrix ⁰H collects the 1- and 2-electron integrals over the basis set. 130

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In this formalism an element of a *p*-RDM, p **D**, corresponding to a *N*-electron state Φ describing the system may be defined as

$$p!^{P} \mathcal{D}_{i_{1}i_{2}..i_{p};j_{1}j_{2}..j_{p}} = \langle \Phi | a_{i_{1}}^{\dagger} a_{i_{2}}^{\dagger} a_{i_{p}}^{\dagger} a_{j_{p}} a_{j_{2}} a_{j_{1}} | \Phi \rangle$$
$$\equiv \langle \Phi |^{p} \hat{\Gamma}_{i_{1}i_{2}..i_{p};j_{1}j_{2}..j_{p}} | \Phi \rangle$$
(2)

where ${}^{p}\hat{\Gamma}$ is a *p*-electron density operator. That is, a *p*-RDM is the expectation value of ${}^{p}\hat{\Gamma}$ in the state Φ considered.

Through the application of the fermion algebra several different, although equivalent, decompositions of a *p*-RDM can be132obtained. Here we focus on the decomposition leading to an expression combining matrices whose labels coincide with those of the *p*-RDM being decomposed.133Let us consider the decomposition of the 2-RDM which provides the simplest example:136

$$2!^{2}D_{ij;kl} = {}^{1}D_{i;k}{}^{1}D_{j;l} - \delta_{j,k}{}^{1}D_{i;l} + {}^{2}C_{ij;kl}$$
(3)

The matrix ${}^{2}C$ is the second-order correlation matrix 25,26,38,39,41,46,52 139 This matrix, which describes two-body correlation effects in the state Φ , is defined as 141

$${}^{2}C_{ij;kl} = \sum_{\Phi' \neq \Phi} \langle \Phi | a_{i}^{\dagger} a_{k} | \Phi' \rangle \langle \Phi' | a_{j}^{\dagger} a_{l} | \Phi \rangle$$
$$\equiv \langle \Phi | a_{i}^{\dagger} a_{k} \hat{P} a_{j}^{\dagger} a_{l} | \Phi \rangle \tag{4}$$

where \hat{P} is the projection operator upon the complementary space to $|\Phi\rangle\langle\Phi|$. When the elements of the ²C matrix are ordered in such a way that the resulting matrix is a Hermitian, one has the well-known *G*-particle—hole matrix.^{15,68} Thus

$$C_{ij;kl} \equiv {}^{2}G_{ik;lj} \equiv \langle \Phi | {}^{2}\hat{G}_{ik;lj} | \Phi \rangle$$
(5)

where ${}^{2}\hat{G}$ is the *G*-particle—hole operator. Both the correlation and *G*-particle—hole matrices play relevant roles in RDMs theory and GHV methodology. ${}^{1,25,26,35,36,38,39,44-46,50,52,65}$ 148

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B. Form of the Hypervirial Equation for the *G*-Particle– Hole Operator. The GHV methodology is centered on the solution of the *G*-particle–hole hypervirial equation, whose compact form is 1^{-4} 152

$$\langle \Phi | [{}^{2} \hat{\mathbf{G}}_{im;lj}, \hat{\mathbf{H}}] | \Phi \rangle = 0, \ \forall, i, j, l, m \tag{6}$$

When developed, this equation is a hierarchy equation, which depends not only on 2-electron matrices—such as the 2-RDM or the ${}^{2}C$ —but on the 3-RDM or the third-order correlation matrix ${}^{(3;2,1)}C$ whose elements are defined as

$$^{(3;2,1)}\mathbf{C}_{tvi;rsl} = \langle \Phi | a_t^{\dagger} a_{\nu}^{\dagger} a_s a_r \hat{P} a_i^{\dagger} a_l | \Phi \rangle \tag{7}$$

The explicit form of the *G*-particle—hole hypervirial equation in term of these third-order correlation matrix elements is¹ 159

$$\sum_{t_{r}\nu_{r}r_{r}s}{}^{0}H_{rs;t\nu}{}^{(3;2,1)}C_{t\nu j;rsl}{}^{1}D_{i;m} - \sum_{t_{r}\nu_{r}r_{s}s}{}^{0}H_{rs;t\nu}{}^{(3;2,1)}C_{t\nu m;rsl}{}^{1}D_{j;l} + 2\sum_{t_{r}\nu_{r}r}{}^{0}H_{jr;t\nu}{}^{(3;2,1)}C_{lrm;t\nu t} + 2\sum_{t_{r}\nu_{r}r}{}^{0}H_{\nu r;tl}{}^{(3;2,1)}C_{\nu rm;jti} + 2\sum_{t_{r}\nu_{r}r}{}^{(3;2,1)}C_{t\nu j;mrl} + 2\sum_{t_{r}\nu_{r}r}{}^{0}H_{\nu r;tm}{}^{(3;2,1)}C_{itj;\nu rl} = 0$$
(8)

Because of the symmetry properties which interrelate the elements of the third-order cumulant matrix, 24,69 $^{3}\Delta$, it is simpler and hence more convenient to express relation 8 in terms of this matrix.^{1,3} To this aim, in our present version of the

computational code, the ^(3;2,1)C elements are replaced by the 164 following expression:^{1,3,4} 165

$$^{(3;2,1)}C_{tvj;rsl} = 2^{1}D_{t;l}^{1}D_{v;s}^{1}D_{j;r} - {}^{1}D_{t;l}^{1}D_{v;r}^{1}D_{j;s} - {}^{1}D_{t;s}^{1}D_{v;l}^{1}D_{j;r}$$

$$- 2^{1}D_{t;l}^{1}D_{v;s}\delta_{j;r} + {}^{1}D_{t;l}\delta_{v;r}^{1}D_{j;s} + {}^{1}D_{t;s}^{1}D_{v;l}\delta_{j;r}$$

$$- {}^{1}D_{t;l}\delta_{v;s}^{1}D_{j;r} + {}^{1}D_{t;l}^{1}D_{v;r}\delta_{j;s}$$

$$+ {}^{1}D_{t;l}\delta_{v;s}\delta_{j;r} - {}^{1}D_{t;r}\delta_{v;r}\delta_{j;s}$$

$$+ {}^{1}D_{t;l}^{2}C_{vj;sl} + {}^{1}D_{v;s}^{2}C_{tj;rl} - {}^{1}D_{j;s}^{2}C_{tv;rl}$$

$$- {}^{1}D_{v;l}^{2}C_{tj;rs} - {}^{1}D_{t;s}^{2}C_{vj;rl} - {}^{1}D_{v;r}^{2}C_{tj;sl}$$

$$+ {}^{1}D_{t;l}^{2}C_{vj;rs} + {}^{1}D_{j;r}^{2}C_{tv;sl}$$

$$- {}^{0}J_{j;r}^{2}C_{tv;sl} + {}^{0}J_{j;r}^{2}C_{tv;sl}$$

$$- {}^{0}J_{j;r}^{2}C_{tv;sl} + {}^{0}J_{j;r}^{2}C_{tv;sl} + {}^{0}J_{v;rsl}$$

$$- {}^{0}J_{j;r}^{2}C_{tv;sl} + {}^{0}J_{j;r}^{2}C_{tv;sl} + {}^{0}J_{v;rsl}$$

The next question-to construct the third-order cumulant 167 166 168 matrix in terms of the second-order one-is analyzed in the following section. 169

C. Solution of the *G*-Particle–Hole Hypervirial Equation. 170 A very efficient procedure for solving the GHV equation has been 171 developed.^{1,3,4} This approach, whose general lines follow the 172 exponential formulation of successive unitary transformations of 173 trial functions (or RDMs) aiming at enforcing the vanishing of 174 175 the hypervirials of *p*-electron density operators proposed by 176 Kutzelnigg in refs 34, 62, and 63 and the continuous formulation 177 for solving the ACSE proposed by Mazziotti in ref 54, is based on the stationary condition of the eigenstates of the Hamiltonian against 178 unitary transformations. Thus, eq 6 implies a relation linking RDMs 179 and correlation matrices. It is fulfilled for the exact eigenstates of the 180 Hamiltonian.¹ But, for approximate matrices, its lhs does not vanish. 181 It provides a measure of the deviations from those associated with an 182 eigenstate of the Hamiltonian and gives the error of the approxima-183 tion. The error diminishes as the matrices approach those of an 184 eigenstate. A unitary operator modifies either the wave function Φ 185 and the elements of the RDMs or, alternatively, since the G-186 particle—hole operator is related to Φ , the commutator of $2\hat{G}$ and 187 \hat{H} . Such a unitary operator can be written as $e^{\hat{A}B}$ provided that \hat{B} is 188 antihermitian. The real parameter λ expresses the extent of the 189 transformation. The transformation operator becomes an identity as 190 the \hat{B} operator or the λ -parameter vanish. 191

192 The 2-electron density operator transforms as

$${}^{2}\hat{\Gamma}'_{ij;kl} = e^{\lambda \hat{B}^{2}}\hat{\Gamma}'_{ij;kl}e^{-\lambda \hat{B}}$$

whereas the transformation of the G-particle-hole operator is of 193 the form 194

$${}^{2}\hat{\mathrm{G}}_{im;lj}'=\mathrm{e}^{\lambda\hat{\mathrm{B}}2}\hat{\mathrm{G}}_{il;mj}\mathrm{e}^{-\lambda\hat{\mathrm{B}}}$$

The 2-RDM and the G-particle-hole matrix elements are 196 195 transformed accordingly. The first-order variation of any of their elements 198

$$\frac{\mathrm{d}^{2}\mathrm{D}_{im;lj}}{\mathrm{d}\lambda} = \langle \Psi | [\hat{\mathrm{B}}, {}^{2}\hat{\Gamma}_{ij;kl}] | \Psi \rangle_{\lambda} \tag{10}$$

$$\frac{\mathrm{d}^{2}\mathrm{G}_{im;lj}}{\mathrm{d}\lambda} = \langle \Psi | [\hat{\mathrm{B}}, {}^{2}\hat{\mathrm{G}}_{im;lj}] | \Psi \rangle_{\lambda} \tag{11}$$

199 is the expectation value of the commutator of the corresponding 200 operator with B.

Now the problem is 2-fold: Propose an adequate antihermitian \hat{B} 201 operator and find a numerical solution to the differential equation 11. 202

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Here, the B operator has been chosen in a way similar to that used 203 by Mazziotti⁵⁴ for solving the ACSE. Both, Mazziotti's and the relation here proposed, can be traced back at least to the work of Kutzelnigg³⁴ in 1979. In that paper, the author makes use of a Newton-Raphson-type method to generate a transformation leading to the vanishing of the hypervirials of the density 208 operators. 209

$$\hat{\mathbf{B}} = \sum_{p,q,r,s} \mathbf{B}_{pr;sq}^{2} \hat{\mathbf{G}}_{pr;sq}$$
(12)

where the coefficients

$$\mathbf{B}_{pr;sq} = \langle \Psi |^2 \hat{\mathbf{G}}_{pr;sq}, \hat{\mathbf{H}} | \Psi \rangle \tag{13}$$

In that way the B operator is antihermitian by construction. The coefficients B_{pr;sq} become smaller as residual in GHV equation, the lhs of eq 6, vanishes, and the unitary transformation $e^{\lambda B}$ becomes an identity one.

As has been discussed,¹ this exponent of the transformation 215 operator can be considered to represent an effective or mean 216 G-particle-hole hypervirial operator since it includes an opera-217 tional trace over one electron variable. This approximation con-218 stitutes a very powerful operative procedure which renders 219 feasible the calculations in terms only of 3-order and lower-order 220 matrices while preserving the essence of the theory and causing 221 the enforcement of the GHV stationary condition. 222

Although the B operator has been calculated in the form described above, in order to take advantage of the antisymmetry properties under permutation of indices of the RDMs, eq 10 has been preferred over eq 11 for the numerical solution. At this point, it must be noted that Mazziotti's continuous formulation proposed in ref 54 differs from the one reported here, as it uses a different algorithm than the one given by eqs 12 and 13.

For the integration of the differential equation eq 10 an adaptive variable step method due to Fehlberg 70 has been used.³ The integration of the differential equation is carried out until either the least-squares error of the GHV equation, or the least-squares error of its contraction into the 1-electron space, the first-order contracted Schrödinger equation, 32,38 ceases to decrease.^{1,3}

The computational efficiency of the GHV method has recently 236 been significantly enhanced through the use of sum factorization 237 and matrix—matrix multiplication at computational costs of K^{6} in 238 floating point operations and K^4 in storage, where K is the number 239 of orbitals forming the basis set.3 For the sake of com-240 parison, MP2, CCSD, and CCSD(T) methods scale in floating 241 point operations as K^5 , K^6 , and K^7 , respectively. At present, solving 242 the GHV equations is slower than solving the CCSD ones because 243 the present CCSD codes only compute excitations from a single-244 reference wave function which lowers the computational cost. 245

III. NEW THEORETICAL RESULTS AND SPIN-REPRESEN-TABILITY CONDITIONS

Recently we have realized that the performance of the con-248 struction algorithms for the third-order cumulants, ${}^{3}\Delta$, used pre-249 viously for studying singlet states was not sufficiently accurate 250 for doublet and triplet states. This motivated our search for an 251 improved version of these algorithms which would prove ade-252 quate in the treatment of high spin-multiplicity states. This set of 253 new constructing algorithms is reported here. Another new 254 theoretical result presented in this section concerns the explicit 255 expression of the spin-representability conditions that a G-particle-256 hole matrix corresponding to a triplet state must satisfy. 257

Table 1.	Energy Errors,	in Units of E	_h , of Various	High-Spin	Doublet	Ground S	tates from	GHV Me	ethodology ((m-NY A	lgorithm)
Compare	ed with Those f	from Several	Standard <i>ab</i>	Initio Meth	ods ^a						

system	state	ROHF	SDCI	CCSD	CCSD(T)	GHV	FCI		
В	² S	1.277×10^{-2}	5.000×10^{-8}	5.000×10^{-8}	5.000×10^{-8}	-1.100×10^{-6}	-24.1143052		
C^+	² S	1.250×10^{-2}	5.000×10^{-8}	5.000×10^{-8}	5.000×10^{-8}	-1.200×10^{-6}	-36.5565514		
N^{2+}	² S	1.237×10^{-2}	5.000×10^{-8}	5.000×10^{-8}	5.000×10^{-8}	2.500×10^{-6}	-51.6147425		
OH	$^{2}\Pi$	1.010×10^{-1}	3.494×10^{-3}	8.743×10^{-4}	3.157×10^{-4}	2.423×10^{-4}	-75.4628553		
NH_2	${}^{2}B_{1}$	1.050×10^{-1}	4.395×10^{-3}	1.276×10^{-3}	3.636×10^{-4}	6.196×10^{-4}	-55.6351826		
CH_3	${}^{2}A_{2}^{\prime\prime}$	9.925×10^{-2}	4.310×10^{-3}	1.359×10^{-3}	3.146×10^{-4}	8.675×10^{-4}	-39.6426085		
СН	$^{2}\Pi$	$6.740 imes 10^{-2}$	2.480×10^{-3}	1.078×10^{-3}	3.002×10^{-4}	7.682×10^{-4}	-38.3175616		
NO	$^{2}\Pi$	2.386×10^{-1}	1.997×10^{-2}	7.844×10^{-3}	2.214×10^{-3}	-5.020×10^{-3}	-129.407249^{b}		
^a Errors are	Errors are measured relative to FCI. ^b Frozen core approximation: The two lowest doubly occupied molecular orbitals frozen.								

258 A. Constructing Algorithms Suitable for Multiplet States. There are several suitable approaches for constructing the third-2.59 order cumulant matrices, ${}^{3}\Delta$, in terms of the second-order ones ${}^{3,18,23,25-27}$ when the electronic state has weak to moderate 260 261 multiconfigurational character and is well approximated by a single 262 Slater determinant. Here we report a new algorithm for approx-263 imating ${}^{3}\Delta$ when treating high-spin doublet and triplet states. This 264 new algorithm is a modified version of the Nakatasuji-Yasuda one 265 and is here denoted as m-NY. It has the following form: 266

$${}^{3}\Delta_{ij\overline{k};pq\overline{r}} \approx \hat{\mathscr{N}} \sum_{\overline{t}} {}^{2}\Delta_{i\overline{k};p\overline{t}} ({}^{1}\mathrm{D}_{\overline{t};t}^{(*)} - {}^{1}\overline{\mathrm{D}}_{\overline{t};\overline{t}}^{(*)})^{2}\Delta_{j\overline{t};q\overline{r}} + \hat{\mathscr{N}}' \sum_{t} {}^{2}\Delta_{ij;pt} ({}^{1}\mathrm{D}_{t;t}^{(*)} - {}^{1}\overline{\mathrm{D}}_{t;t}^{(*)})^{2}\Delta_{t\overline{k};q\overline{r}} {}^{3}\Delta_{i\overline{j}\overline{k};p\overline{q}\overline{r}} \approx \hat{\mathscr{N}} \sum_{t} {}^{2}\Delta_{i\overline{j};t\overline{q}} ({}^{1}\mathrm{D}_{t;t}^{(*)} - {}^{1}\overline{\mathrm{D}}_{t;t}^{(*)})^{2}\Delta_{t\overline{k};p\overline{r}} + \hat{\mathscr{N}}' \sum_{\overline{t}} {}^{2}\Delta_{j\overline{k};\overline{t}\overline{q}} ({}^{1}\mathrm{D}_{\overline{t};t}^{(*)} - {}^{1}\overline{\mathrm{D}}_{\overline{t};\overline{t}}^{(*)})^{2}\Delta_{i\overline{t};p\overline{r}}$$
(14)

where the bar over an index indicates that the spin—orbital has a β spin, $\hat{\mathcal{A}}$ and $\hat{\mathcal{A}}'$ are antisymmetrizer operators, ${}^{1}D^{(*)}$ and ${}^{1}\bar{D}^{(*)}$ 267 268 are the 1-RDM and the first-order hole RDM (1-HRDM)¹⁴ 269 corresponding to a Hartree–Fock reference calculation, and $^{2}\Delta$ is 270 the second-order cumulant matrix,^{24,69} which is related to the 271 second-order correlation matrix as follows:²⁵ 272

$${}^{2}\Delta_{ij;kl} = -\overline{\mathbf{D}}_{j,k}{}^{1}\mathbf{D}_{i;l} + {}^{2}\mathbf{C}_{ij;kl}$$
(15)

The remaining elements of ${}^{3}\Delta$ are set to zero. 273

B. The G-Particle-Hole Matrix Spin-Representability Con-274 ditions. The G-particle-hole matrix corresponding to a state 275 with a given S and S_z spin quantum-numbers can be decom-276 posed^{52,65} in terms of different spin components, $(S',Sz')^2$ G, result-277 ing from the splitting of the \hat{P} operator in eq 4. These spin-278 component matrices have to be positive, and in some cases negative, 279 semidefinite.⁶⁵ It is important to realize that the well-known N-280 representability condition, which imposes that ${}^{2}G \ge 0$, 15 does not 2.81 necessarily imply that the necessary properties of the spin-compo-282 nents of the G-particle-hole matrix are satisfied.⁶⁵ In other words, 283 the constraints imposed on the separate spin-components are far 284 more demanding than those imposed on the whole matrix. 285

a. A New Set of Necessary Spin-Representability Conditions 286 for Triplet States. From the relations reported in refs⁵² and,⁶⁵ we 2.87 have derived the following necessary conditions linking the differ-288 ent spin-components of the G-particle-hole matrix when the 289 state is a triplet with $S_z = 1$ 290

$${}^{2}_{(2,2)}G_{\overline{il};\overline{mj}} = {}^{2}G_{\overline{il};\overline{mj}}$$
(16a)

$$^{2}_{(2,0)}G_{i\bar{l};m\bar{j}} = \frac{1}{6} \,^{2}G_{\bar{i}l;m\bar{j}}$$
 (16b)

$${}^{2}_{(1,1)}G_{il;mj} = -\frac{1}{4}{}^{2}_{(2,2)}G_{\overline{i}l;\overline{m}j} + {}^{1}D_{i;l}{}^{1}D_{j;m} - {}^{1}D_{i;m}\delta_{j;l} - 2!{}^{2}D_{ij;lm}$$
(16c)

$${}^{2}_{(1,1)}G_{il;\overline{mj}} = +\frac{1}{4}{}^{2}_{(2,2)}G_{\overline{i}l;\overline{m}j} - {}^{2}_{(2,2)}G_{\overline{j}l;\overline{m}i} + {}^{1}\overline{D}_{i;l}{}^{1}D_{\overline{j};\overline{m}}$$
(16d)

$${}^{2}_{(1,1)}G_{\overline{i}\overline{i};mj} = +\frac{1}{4}{}^{2}_{(2,2)}G_{\overline{i}\overline{i};\overline{m}\overline{j}} - {}^{2}_{(2,2)}G_{\overline{i}\overline{m};\overline{l}\overline{j}} + {}^{1}D_{\overline{i};\overline{l}}{}^{1}\overline{D}_{\overline{j};m} \quad (16e)$$

$${}^{2}_{(1,1)}G_{\bar{i}l;\bar{m}\bar{j}} = -\frac{1}{4}{}^{2}_{(2,2)}G_{\bar{i}l;\bar{m}j} + {}^{1}D_{\bar{i};\bar{l}}{}^{1}D_{\bar{j};\bar{m}} - {}^{1}D_{\bar{i};\bar{m}}\delta_{\bar{j};\bar{l}} - 2!{}^{2}D_{\bar{i}j;\bar{l}\bar{m}}$$
(16f)

$${}^{(1,0)}_{(1,0)}G_{\bar{i}\bar{l};m\bar{j}} = \frac{1}{2} {}^{(2)}_{(1,1)}G_{i\bar{l};mj} - {}^{(2)}_{(1,1)}G_{\bar{i}\bar{l};m\bar{j}} - {}^{(2)}_{(1,1)}G_{\bar{i}\bar{l};m\bar{j}} + {}^{(2)}_{(1,1)}G_{\bar{i}\bar{l};m\bar{j}}) + \frac{1}{2} {}^{(1)}_{(1)}D_{i;l} - {}^{(1)}_{1}D_{\bar{i};\bar{l}}) {}^{(1)}_{(1)}D_{j;m} - {}^{(1)}_{1}D_{\bar{j};\bar{m}})$$
(16g)

and

$${}^{2}_{(0,0)}G_{i\bar{l};m\bar{j}} = {}^{2}G_{i\bar{l};m\bar{j}} - {}^{2}_{(1,0)}G_{i\bar{l};m\bar{j}} - {}^{2}_{(2,0)}G_{i\bar{l};m\bar{j}}$$
(16h)

All the spin-components can be obtained in terms of the G-particle-hole matrix spin-blocks. The resulting spin-representability conditions which these components must satisfy are:

$${}^{(1,1)}_{(1,1)}G \ge 0 \quad {}^{2}_{(1,0)}G_{\alpha\beta;\alpha\beta} \ge 0 \quad {}^{2}_{(2,2)}G_{\beta\alpha;\beta\alpha} \ge 0 \quad {}^{2}_{(0,0)}G_{\alpha\beta;\alpha\beta} \ge 0$$
(17)

b. The Spin-Representability Conditions Taken into Account in the Calculations Here Reported. The conditions that the spin-components of the G-particle-hole matrix have to fulfill in the doublet case have been already described and analyzed⁶⁶ in detail. This previously published set of conditions, which for brevity are not recalled here, are applied in the calculations reported in the following section.

The new triplet spin-representability conditions collected in eq 17 jointly with the doublet ones just mentioned constitute an essential set of very stringent spin-representability conditions aimed at testing (but not constraining) the resulting G-particlehole matrices calculated with the GHV methodology. 305

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system	state	ROHF	SDCI	CCSD	CCSD(T)	GHV	FCI	
B^+	³ S	1.299×10^{-2}	5.000×10^{-8}	5.000×10^{-8}	5.000×10^{-8}	-3.000×10^{-7}	-23.6053196	
C^{2+}	³ S	1.279×10^{-2}	5.000×10^{-8}	5.000×10^{-8}	5.000×10^{-8}	2.000×10^{-7}	-35.3043096	
N^{3+}	³ S	1.268×10^{-2}	5.000×10^{-8}	5.000×10^{-8}	5.000×10^{-8}	1.200×10^{-6}	-49.3628128	
0	³ P	6.117×10^{-2}	1.256×10^{-3}	4.449×10^{-4}	9.380×10^{-5}	5.490×10^{-5}	-74.8394081	
NH	${}^{3}\Sigma^{-}$	7.464×10^{-2}	1.932×10^{-3}	6.558×10^{-4}	2.142×10^{-4}	3.616×10^{-4}	-55.0130005	
CH_2	${}^{3}B_{1}$	7.484×10^{-2}	2.249×10^{-3}	8.813×10^{-4}	2.611×10^{-4}	7.258×10^{-4}	-38.9811685	
B ₂	${}^{3}\Sigma_{g}^{-}$	1.631×10^{-1}	1.952×10^{-2}	1.338×10^{-2}	2.846×10^{-3}	3.983×10^{-3}	-49.2211366	
O ₂	${}^{3}\Sigma_{g}^{-}$	2.588×10^{-1}	1.252×10^{-2}	7.235×10^{-3}	3.106×10^{-4}	-4.607×10^{-3}	-149.7867936^{b}	
Errors are measured relative to FCI. ^b Frozen core approximation: The two lowest doubly occupied molecular orbitals frozen.								

Table 2. Energy Errors, in Units of E_h, of Various High-Spin Triplet Ground States from GHV Methodology (m-NY Algorithm) Compared with Those from Several Standard ab Initio Methods^a

Table 3. Root-Mean-Square Deviations on Various Quantities Obtained via GHV Methodology (m-NY Algorithm) from their Exact (FCI) Counterparts for High-Spin Doublet **Ground States**

system	state	1-HV	1-CSE	2-HV	2-GHV
В	² S	3.178×10^{-6}	1.999×10^{-6}	3.373×10^{-5}	4.409×10^{-5}
C^+	² S	5.064×10^{-6}	3.125×10^{-6}	4.194×10^{-5}	5.480×10^{-5}
N^{2+}	² S	2.399×10^{-6}	1.493×10^{-6}	3.745×10^{-5}	4.895×10^{-5}
OH	$^{2}\Pi$	1.218×10^{-4}	6.246×10^{-4}	1.146×10^{-4}	1.740×10^{-4}
$\rm NH_2$	$^{2}B_{1}$	5.495×10^{-5}	4.899×10^{-4}	8.806×10^{-5}	1.297×10^{-4}
CH_3	$^2\!A_2{}^{\prime\prime}$	4.396×10^{-5}	3.252×10^{-4}	6.431×10^{-5}	9.303×10^{-5}
CH	$^{2}\Pi$	7.096×10^{-5}	5.561×10^{-4}	1.019×10^{-4}	1.561×10^{-4}
NO	$^{2}\Pi$	1.604×10^{-4}	2.597×10^{-3}	1.212×10^{-4}	2.291×10^{-4}

Table 4. Root Mean Square Deviations on Various Quantities Obtained via GHV Methodology (m-NY Algorithm) from Their Exact (FCI) Counterparts for High-Spin Triplet **Ground States**

system	state	1-HV	1-CSE	2-HV	2-GHV
B^+	³ S	5.750×10^{-8}	9.948×10^{-7}	1.299×10^{-7}	3.973×10^{-7}
C^{2+}	³ S	2.624×10^{-8}	6.382×10^{-7}	7.902×10^{-8}	2.528×10^{-7}
N^{3+}	³ S	8.563×10^{-8}	4.530×10^{-7}	5.737×10^{-8}	1.800×10^{-7}
0	³ P	1.830×10^{-4}	7.290×10^{-4}	1.621×10^{-4}	2.503×10^{-4}
NH	$^{3}\Sigma^{-}$	7.267×10^{-5}	6.579×10^{-4}	1.365×10^{-4}	2.026×10^{-4}
CH_2	${}^{3}B_{1}$	5.092×10^{-5}	4.668×10^{-4}	9.714×10^{-5}	1.414×10^{-4}
B_2	${}^{3}\Sigma_{g}^{-}$	1.247×10^{-4}	4.350×10^{-3}	1.551×10^{-4}	3.154×10^{-4}
O ₂	${}^{3}\Sigma_{g}^{-}$	1.650×10^{-4}	4.044×10^{-3}	1.949×10^{-4}	4.510×10^{-4}

IV. RESULTS 306

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The GHV methodology has been used for the study of the electronic structure of several atoms and simple molecules in 308 both neutral and ionic forms, including B, B⁺, C⁺, C²⁺, N²⁺, 309 N³⁺, O, OH, NH₂, CH₃, CH, NO, NH, CH₂, B₂, and O₂. Only 310 the lowest lying doublet- or triplet-states of a given symmetry 311 have been studied. In all cases, the studied state is the leading 312 member of the multiplet, $S_z = S$, which generally has the weakest 313 multiconfigurational character. In that way, the state is well 314 approximated by a single Slater determinant and the algorithms 315 for the construction of higher-order matrices perform well. The 316 317 one-electron basis sets for the B, C, and N atoms have been double- ζ quality s-type GTOs proposed by Huzinaga and 318 Dunning.^{71,72} The calculations on the O atom and on the mole-319 cular systems OH, NH₂, CH₃, CH, NO, NH, CH₂, B₂, and O₂ 320

Table 5. $\langle \hat{N}_{\alpha} \rangle$, $\langle \hat{N}_{\beta} \rangle$, $\langle \hat{S}_{z} \rangle$ and $\langle \hat{S}^{2} \rangle$ Calculated for the 2-RDM by the GHV Methodology (m-NY Algorithm) for High-Spin **Doublet Ground States**

system	state	$\langle \hat{N}_{lpha} angle$	$\langle \hat{N}_{\!eta} angle$	$\langle \hat{S}_z \rangle$	$\langle \hat{S}^2 \rangle$
В	² S	3.000 000	2.000 000	0.500 000	0.750 000
C^+	² S	3.000 000	2.000 000	0.500 000	0.750 000
N^{2+}	² S	3.000 000	2.000 000	0.500 000	0.750 000
OH	$^{2}\Pi$	5.000 075	4.000 023	0.500 026	0.750 350
NH_2	${}^{2}B_{1}$	5.000 091	4.000 025	0.500 032	0.750 530
CH_3	$^2A_2{}^{\prime\prime}$	5.000 077	4.000 018	0.500 029	0.750 790
CH	$^{2}\Pi$	4.000 063	3.000 032	0.500 016	0.750 270
NO	$^{2}\Pi$	8.000 291	7.000 496	0.499 898	0.750 539

Table 6. $\langle \hat{N}_{\alpha} \rangle$, $\langle \hat{N}_{\beta} \rangle$, $\langle \hat{S}_z \rangle$ and $\langle \hat{S}^2 \rangle$ Calculated for the 2-RDM by the GHV Methodology (m-NY Algorithm) for High-Spin **Triplet Ground States**

system	state	$\langle \hat{N}_{lpha} angle$	$\langle \hat{N}_{eta} angle$	$\langle \hat{S}_z \rangle$	$\langle \hat{S}^2 \rangle$
B^+	³ S	3.000 000	1.000 000	1.000 000	2.000 000
C^{2+}	³ S	3.000 000	1.000 000	1.000 000	2.000 000
N^{3+}	³ S	3.000 000	1.000 000	1.000 000	2.000 000
0	³ P	5.000 075	3.000 002	1.000 036	2.000 198
NH	${}^{3}\Sigma^{-}$	5.000 113	3.000 007	1.000 053	2.001 126
CH_2	${}^{3}B_{1}$	5.000 114	3.000 001	1.000 053	2.001 656
B_2	${}^{3}\Sigma_{g}^{-}$	6.002 079	4.000 863	1.000 608	2.007 229
O_2	${}^{3}\Sigma_{g}^{-}$	9.000 135	7.000 825	0.999 655	2.003 115

have been performed under the standard 6-31G basis set. The geometries of the molecular systems are the experimental ones.⁷³ The PSI3 program⁷⁴ has been used to calculate the integrals matrix ⁰H, the orthonormal MOs, and the initial values of all the matrices required for the iterative GHV process.

A previous ROHF calculation provides the starting point for the iterative solution of the GHV equation. For the sake of comparison other usual ab initio calculations have been done for the states considered. The reported figures include ROHF, SDCI, CCSD, CCSD(T), and FCI in addition to the GHV results. To render FCI calculation on the largest systems NO and O₂ feasible, it has been performed within a *frozen core* approximation, where the inner core spin-orbitals have been assumed to be fully "occupied" in every configuration. Tables 1 and 2 present the energy results for doublet and for triplet states, respectively. The calculations show that the correlation energies which are accounted for within the GHV methodology lie within

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system	state	2-RDM	2-HRDM	${}^{\left(\frac{1}{2},\frac{1}{2}\right)}_{\left(\frac{1}{2},\frac{1}{2}\right)}G$	${}_{\left(\frac{1}{2},-\frac{1}{2}\right)}\!G$	$\underset{\left(\frac{3}{2},\frac{3}{2}\right)}{\overset{2}{2}G}$
В	² S	-8.857×10^{-10}	-1.964×10^{-9}	$-1.079 imes 10^{-8}$	-5.629×10^{-10}	-2.692×10^{-9}
C^+	² S	$-3.256 imes 10^{-10}$	$-7.811 imes 10^{-10}$	-9.364×10^{-10}	$-1.144 imes 10^{-10}$	$-7.474 imes 10^{-10}$
N^{2+}	² S	-1.800×10^{-10}	-4.556×10^{-10}	-6.416×10^{-10}	-7.117×10^{-11}	-9.220×10^{-11}
OH	$^{2}\Pi$	$-5.639 imes 10^{-6}$	-2.575×10^{-5}	$-7.765 imes 10^{-4}$	-4.173×10^{-4}	-1.363×10^{-6}
NH_2	${}^{2}B_{1}$	$-7.951 imes 10^{-6}$	-8.923×10^{-6}	$-9.267 imes 10^{-4}$	$-4.423 imes 10^{-4}$	-7.241×10^{-7}
CH_3	${}^{2}A_{2}''$	-4.377×10^{-6}	-6.308×10^{-6}	$-1.837 imes 10^{-3}$	-7.247×10^{-4}	-8.470×10^{-7}
СН	$^{2}\Pi$	-7.096×10^{-5}	-1.020×10^{-5}	$-6.133 imes 10^{-4}$	-2.425×10^{-4}	-3.327×10^{-5}
NO	$^{2}\Pi$	-1.325×10^{-3}	-9.998×10^{-4}	-2.937×10^{-3}	-9.150×10^{-5}	-2.342×10^{-4}

Table 7. N- and Spin-Representability Deviations of Two-Body Matrices Obtained via GHV Methodology (m-NY Algorithm) for High-Spin Doublet Ground States

Table 8. *N*- and Spin-Representability Deviations of Two-Body Matrices Obtained via GHV Methodology (m-NY Algorithm) for High-Spin Triplet Ground States

system	state	2-RDM	2-HRDM	$(0,0)^2 G$	(1,0) ² G	(1,1) ² G	(2,2)G
B^+	³ S	-1.941×10^{-9}	-1.811×10^{-9}	-3.356×10^{-8}	-3.000×10^{-10}	-2.271×10^{-9}	-1.728×10^{-9}
C^{2+}	³ S	-9.367×10^{-10}	$-6.905 imes 10^{-10}$	-2.440×10^{-8}	-1.202×10^{-10}	-9.331×10^{-10}	-7.646×10^{-10}
N^{3+}	³ S	-6.538×10^{-10}	$-6.223 imes 10^{-10}$	$-1.620 imes 10^{-7}$	-5.147×10^{-11}	-6.882×10^{-10}	$-5.910 imes 10^{-10}$
0	³ P	-5.925×10^{-5}	-3.213×10^{-5}	-9.385×10^{-3}	-7.926×10^{-5}	-2.093×10^{-4}	-2.141×10^{-6}
NH	$^{3}\Sigma^{-}$	-8.738×10^{-5}	-4.507×10^{-5}	-2.464×10^{-2}	-1.107×10^{-3}	-1.657×10^{-3}	-3.120×10^{-6}
CH ₂	${}^{3}B_{1}$	-8.322×10^{-5}	$-6.195 imes 10^{-5}$	-3.128×10^{-2}	-9.312×10^{-4}	-1.484×10^{-3}	-1.047×10^{-6}
B ₂	${}^{3}\Sigma_{g}^{-}$	-2.039×10^{-3}	-4.067×10^{-3}	-2.634×10^{-2}	-1.940×10^{-3}	$-5.237 imes 10^{-3}$	-2.332×10^{-3}
O ₂	${}^{3}\Sigma_{g}^{-}$	-2.322×10^{-3}	-2.992×10^{-3}	-3.252×10^{-2}	$-1.573 imes 10^{-3}$	-5.220×10^{-3}	-4.784×10^{-6}

97.6% and 102.1% of the FCI one. Since the GHV methodology 338 is not a variational one, it may happen that a particular result falls 339 slightly below the FCI value; in fact, this is generally due to a 340 slight N- or spin-representability defect of the 2-RDM or 3-RDM. 341 Indeed, the GHV methodology is dependent on the approximation 342 used when evaluating the higher-order matrices in terms of the 343 lower-order ones. That is why, in the study of states other than 344 singlet states, it was proven necessary, after a detailed analysis, 345 346 that the new ${}^{3}\Delta$ approximation algorithm, eq 14, had to be used. 347 Upon convergence, the GHV equation provides excellent results for the energies of the atoms in neutral and ionic form. 348 It must be noted that since only s-type basis sets are used for B, C 349 and N atoms, doublet states of the five-electron atoms are not the 350 ground ²P state but the excited ²S states. For the same reason the 351 triplet states of the four-electron atoms are of the ³S symmetry 352 rather than the ³P. Computationally, as a benchmark for the 353 methodology, such S states are easier to calculate than the P 354 states. The larger systems energies obtained with the GVH, the 355 CCSD and CCSD(T) methods when compared with the FCI 356 ones are in most cases very accurate and clearly much better than 357 the values obtained with the SDCI treatment. Both the CCSD-358 (T) and GHV yield better results than the CCSD method. The 359 accuracy of the CCSD(T) and GHV results is of the same order, 360 although the CCSD(T)'s errors are in general somewhat lower 361 than the GHV ones. The most unfavorable cases are the triplet 362 O2 and the doublet NO where the GHV error is slightly negative 363 due to deviation from N- and S-representability of the 2-RDM 364 and G-matrix spin-components. These results clearly establish 365 that the iterative solution of the GHV equation is as highly 366 reliable in the study of doublet and triplet states as it has proven 367 368 to be in the closed-shell singlet case.

In order to further assess the accuracy of the results obtained
 via the solution of the GHV equation, various quantities in
 addition to the energy have been calculated. Tables 3 and 4

collect the root-mean-square deviations of the first-order density 372 T4 hypervirial (1-HV), the first-order CSE (1-CSE), the second-373 order density hypervirial (2-HV) and the second-order correla-374 tion hypervirial, or, equivalently, the second-order G-particle-375 hole hypervirial (2-GHV) matrices from their exact counterpart. 376 These deviations are reliable measures of the calculations accuracy. 377 Here again, the smaller the system the better the results. The largest 378 deviations which have been found for the 1-CSE are due to the fact 379 that the approximation of the higher order matrices, although very 380 accurate, is not an exact one. The quantities which measure the 381 deviations of the resulting one- and two-body matrices from the N-382 and Spin-representability have also been calculated. These quantities 383 are reported in Tables 5, 6, 7 and 8. The expectation values of the 384 T5 numbers of α and β spin electrons, $\langle \hat{N}_{\alpha} \rangle$ and $\langle \hat{N}_{\beta} \rangle$, and those of 385 T6 the operators \hat{S}_z and \hat{S}^2 , $\langle \hat{S}_z \rangle$ and $\langle \hat{S}^2 \rangle$, are summarized in 386 T7 Tables 7 and 8 for the doublet and triplet states, respectively. These 387 T8 quantities are correct for atoms and slightly deviate from the exact 388 values for molecules, although the present approximate decoupling 389 technique does not include any restrictive conditions for the number 390 of the electrons and spins. The D and Q N-representability condi-391 tions^{8,9,15} and the G Spin-representability conditions indicate that the 392 2-RDM, the 2-HRDM, and the different spin-components of the 393 G-particle-hole matrix must be Hermitian and positive semidefinite. 394 The lowest eigenvalues of these matrices provide a measure of the 395 fulfillment of those conditions. The relevant eigenvalues are collected 396 in Tables 7 and 8 for the doublet and triplets, respectively. In general 397 the deviations from the positivity of the matrices involved is very small. 398 The only slightly poor results appear in the $(0,0)^2 G$ of the NH, CH₂, B₂, 399 and O2 triplet states. It should be pointed out that these latter results 400 could easily be optimized by carrying out a N- and Spin-401 representability purification of the 2-RDM. 48,50,52,65,66 Although 402 we do not report it here, the consistency of the contraction 403 into the 1-body space of the 2-RDM, the 2-HRDM and the 404 G-particle-hole matrix has also been verified. 405

406 V. CONCLUDING REMARKS

The RDMs of a variety of atoms and molecules in doublet and 407 triplet states have been successfully calculated in a direct way by a 408 theoretically and computationally extended version of the GHV 409 methodology and without any use of the wave function. Gen-410 erally speaking, the quality of the solutions for the studied sys-411 tems in high-spin doublet and triplet states are similar to those 412 previously reported for systems in singlet states.^{1,3,4} As seen from 413 the Results, the present method and the solution algorithm, 414 although very good, are not yet complete, and there is need for 415 some future improvement. Nonetheless, the results presented 416 here constitute a landmark within the GHV approach in theore-417 tical chemistry as they are its first direct application to doublet 418 and triplet states. 419

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