

Passivity-based control design for a grid-connected hybrid generation system integrated with the energy management strategy

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Abstract

Hybrid generation systems produce electric energy from a wide variety of energy sources, including renewable sources. A hybrid system based on renewable sources usually consists of two or more renewable energy sources with the possibility of including storage units so as to enhance the reliability of the system. The hybrid system requires an energy strategy that determines the operation point of each element of the system depending on multiple variables and subjected to the constraints inherent in this kind of systems. In addition, the system needs controllers to command each of these elements in order to reach the operation point established by the energy strategy. Here, we propose a control design via passivity-based control integrated with an energy management strategy for a hybrid generation system based on solar energy and coupled with the grid. The performance of the control methodology is extensively assessed through computer simulation using a

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comprehensive nonlinear model of the plant. The results show that the controlled system accomplishes the control tasks with good responses, working under very different atmospheric conditions and required load power.

Keywords: Hybrid generation system, Passivity-based control, Euler-Lagrange equations, Solar energy

1. Introduction

Energy consumption has considerably increased in the last few decades since the progression of socio-economic activities is closely connected with the access to electric energy. But satisfying this increasing consumption with fossil fuels is no longer possible due to their high level of emissions that contribute to global warming with devastating consequences for the environment. In this context, the use of renewable energies can overcome the growing energy demand with a minor impact on the environment [1].

Renewable energy sources are desirable for electrical power generation because they have many advantages including sustainability, low pollution and economic benefits. Due to the intermittent nature of many renewable resources, a combination of more than one source may be helpful towards obtaining a more reliable system, constituting a hybrid generation system (HGS). Also, a hybrid system can be complemented with a storage system to overcome periods of scarce generation reducing even more the probability of energy supply shortage. A hybrid system composed of renewable sources and storage elements is defined as stand-alone (SA) system, which is suitable for remote locations where the grid cannot penetrate and there is no other energy source. However, if the grid is accessible, the hybrid system could

20 be coupled to the electricity grid conforming a grid-connected (GC) system.
21 Although both SA and GC present many advantages, the choice of GC or
22 SA system may depend on a number of factors but primarily on electricity
23 accessibility [2].

24 In general, each element of the HGS is linked to a DC or AC bus through
25 power converters. A control system is implemented to command each power
26 converter, and therefore the behaviour of the HGS. This control system could
27 be divided in two levels with specific control tasks, depending on its hierar-
28 chical position. The low level is composed of the controllers of the power
29 converters which command the electronic switches to satisfy specific current
30 or voltage references. These references are established by a supervisory con-
31 trol or energy manager in order to satisfy the power demand of the load,
32 subjected to the constraints of the system. Different approaches for address-
33 ing the control system are found in the literature. For example, in [3] a
34 stand-alone HGS is presented where the control law is designed through the
35 combination of passivity and sliding mode techniques. Another example of
36 SA applications could be found in [4] but there a PI controller is considered.
37 A GC application could be found in [5] where they have also used PI con-
38 trollers. In this paper, we are particular interested in passivity-based control
39 (PBC) methods to command the converters.

40 PBC is a controller design technique based on physical principles, namely,
41 the energy and damping features of the system. Using physical principles,
42 the dynamic behaviour of a system could be described by means of its en-
43 ergy. Furthermore, a complex system may also be decomposed into simpler
44 subsystems that add up their energies to determine the full behaviour of the

45 system. Similarly, the controller could be understood in terms of energy as
46 another dynamical system interconnected with the plant to achieve a desir-
47 able behaviour in closed loop. Then, the control problem can be reformulated
48 as designing a controller that shapes the energy function of the system so
49 that the overall energy function takes the desired form. PBC is based on this
50 energy-shaping approach. A complete report on PBC is presented in [6] and
51 [7].

52 The fact that PBC is based on the physical principles of the system
53 explains its success to control physical systems with non-negligible nonlin-
54 earities. For example, this method has been primarily used to control AC
55 drives [8, 9] and mechanical systems [10]. However, this methodology has
56 been extended to address the control problem of the HGS. For instance,
57 Ayad et al. [11] have controlled a hybrid system composed of ultracapacitors
58 and fuel cell. A PBC strategy has been used to control an electric vehicle
59 with supercapacitors and batteries as storage elements [12]. Also, Tofighi
60 and Kalantar [13] used PBC to control a hybrid power source comprised of a
61 photovoltaic (PV) system and Li-ion batteries and PBC has also been used
62 to control systems in power electronics [14].

63 In this paper, a grid-connected hybrid generation system composed of
64 PV arrays and two different types of storage elements is addressed. The
65 PV system is the main power of energy and the storage system enhances
66 the reliability of the overall system. The main priority is to satisfy the load
67 demand using the grid as an ancillary energy source with the possibility
68 of selling any surplus generation. The HGS is described mathematically
69 by means of Euler-Lagrange equations and the control laws are achieved by

70 PBC. The main contribution of this article is the integration of the controllers
71 designed via passivity-based control with the energy management strategy
72 (EMS) to fulfil the mentioned objectives.

73 The paper is organized as follows. In Section 2, we give a detailed descrip-
74 tion of the hybrid generation system. Section 3 presents the Euler-Lagrange
75 model of the system. In Section 4, we design the controller following the
76 Standard PBC procedure. Section 5 presents the energy management strat-
77 egy using a finite-state machine approach. In Section 6, the results of several
78 simulations are presented and discussed. Finally, conclusions are stated in
79 Section 7.

80 **2. System description**

81 This section presents the hybrid generation system (HGS) and also the
82 modelling of its different parts. Figure 1 depicts the structure of the system
83 under study in this work. The HGS is comprised of battery and supercapac-
84 itor banks, DC and AC loads, and PV arrays. Also, the system is coupled
85 with an electrical network modelled by an ideal AC voltage source. The main
86 objective of the system is to supply the required power to the loads exploiting
87 the renewable resources and avoiding, if it is possible, power flow from the
88 grid.

89 *2.1. Solar energy system*

90 The electric behaviour of a photovoltaic cell could be modelled by a non-
91 linear current source connected in series with the intrinsic cell resistance. A
92 PV array is a group of individual cells connected in series and parallel to fulfil

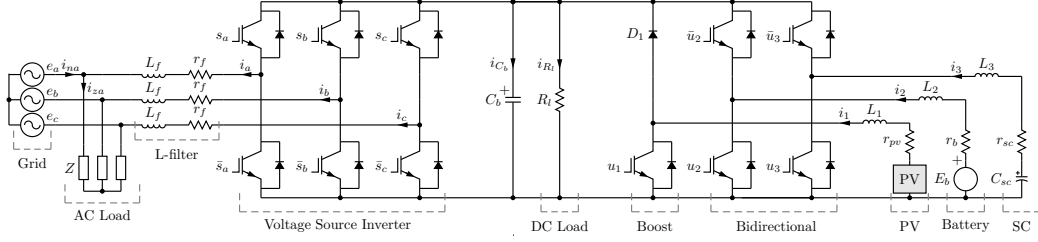


Figure 1: Hybrid system structure.

93 the grid voltage and current requirements. Therefore, the current provided
 94 by a PV array is defined implicitly by the following equation [15]:

$$i_{pv}(t) = n_p^{pv} \left[i_{ph}(t) - i_{rs}(t) \left(\exp\left(\frac{q(v_{pv}(t) + i_{pv}(t)r_s)}{n_s^{pv} A_c K T(t)}\right) - 1 \right) \right], \quad (1)$$

95 where n_s^{pv} and n_p^{pv} indicate de number of cells connected in series and parallel,
 96 respectively. v_{pv} is the voltage level on the PV panel array terminals, A_c is the
 97 cell deviation from the ideal p-n junction characteristic, i_{rs} is the cell reverse
 98 saturation current, i_{ph} is the generated current under a given insolation, q
 99 is the electron charge, K is the Boltzman constant, r_s is the intrinsic cell
 100 resistance and T is the cell temperature. i_{ph} and i_{rs} depend on the insolation
 101 and cell temperature according to the following expressions:

$$i_{rs}(t) = I_{or} \left(\frac{T(t)}{T_{ref}} \right)^3 \exp\left(\frac{qE_{go}(1/T_r - 1/T(t))}{KT(t)}\right), \quad (2)$$

$$i_{ph}(t) = (I_{sc} + K_l(T(t) - T_r))\lambda(t)/100, \quad (3)$$

102 where E_{go} is the band-gap energy of the semiconductor used in the cell, I_{or}
 103 is the reverse saturation current at the reference temperature T_{ref} , I_{sc} is the
 104 short-circuit cell current at the reference temperature and insolation, λ is
 105 the insolation in mW/cm^2 , and K_l is the short-circuit current temperature
 106 coefficient. The values of these constants are given in Table 1.

107 The instantaneous energy generated by a photovoltaic cell depends on the
 108 insolation and the cell temperature. Thus, in order to maximize the power
 109 extraction under varying atmospheric conditions there are several techniques
 110 which find the v_{pv} values that locate the operating point of the PV cell at
 111 the Maximum Power Point (MPP) [16].

112 2.2. Energy storage system

113 In this work, we decided to use lead-acid batteries as the energy storage
 114 elements with high specific energy. Each battery is modelled as a controlled
 115 voltage source (E_b) in series with an internal resistance (r_b) [17]. The lead-
 116 acid batteries could be described by the following equations:

$$E_b = E_0 - K_b \frac{Q}{Q - it} \cdot (it + p_1 \cdot i_b^*) + Exp, \quad (4)$$

$$Exp = B|i_b|(-Exp + A \cdot p_2), \quad (5)$$

$$p_1 = \begin{cases} 1 & \text{discharge mode,} \\ \frac{Q-it}{it-0.1Q} & \text{charge mode,} \end{cases} \quad (6)$$

$$p_2 = \begin{cases} 0 & \text{discharge mode,} \\ 1 & \text{charge mode,} \end{cases} \quad (7)$$

117 E_0 : Battery constant voltage (V),

118 K_b : Polarisation constant (V Ah⁻¹),

119 Q : Battery capacity (Ah),

120 $it = \int \frac{i_b}{3600} dt$: Actual battery charge (Ah),

121 i_b^* : Filtered current (A),

122 Exp : Exponential zone voltage (V),

123 A : Exponential zone amplitude (V),

124 B : Exponential zone time constant inverse (Ah^{-1}),

125 t : Time (s).

126 The SOC_b is the available energy capacity expressed as a percentage of
127 its rated energy capacity and it could be expressed as:

$$SOC_b = 1 - it/Q. \quad (8)$$

128 The energy storage system is completed with a supercapacitor bank.
129 These elements possess a high specific power; hence, it is sized for peak power
130 requirements. We model the supercapacitor bank as an ideal capacitor with
131 high capacity (C_{sc}) in series with an internal resistance (r_{sc}). Similarly to
132 the battery bank, the SOC is defined as:

$$SOC_{sc} = q_{sc}/Q_{sc}, \quad (9)$$

133 where q_{sc} is the supercapacitor charge and $Q_{sc} = V_{sc}^n C_{sc}$ is the total charge
134 capacity. V_{sc}^n is the supercapacitor nominal voltage.

135 There are constraints that must be considered in order to ensure good
136 performance and useful life:

$$I_x^m \leq i_x \leq I_x^M, \quad (10)$$

$$SOC_x^m \leq SOC_x \leq SOC_x^M, \quad x = b, sc, \quad (11)$$

137 where the superscript m and M indicate the minimum and maximum value
138 allowed, respectively.

139 2.3. Loads

140 A DC load modelled by a resistor (R_l) is connected in parallel with the
141 bus capacitor (C_b). An AC load modelled by a generic impedance (Z) is

142 connected directly to the grid and coupled with the HGS via a three-level
143 IGBT voltage source inverter (VSI).

144 *2.4. Power converters*

145 The amplitude of the voltage of the PV array depends on the insolation
146 and the cell temperature. Therefore, a boost DC/DC converter is utilized
147 to adjust this voltage. In the case of the battery or the supercapacitor bank
148 a bidirectional DC/DC converter is used so as to regulate the power flow in
149 both directions.

150 **3. Euler-Lagrange modelling of the system**

151 In the previous section we briefly described the power converters and other
152 components. In this section, we obtain their Euler-Lagrange descriptions.

153 The Euler-Lagrange (EL) equations are a set of differential equations
154 that describe the dynamic behaviour of physical systems. They are derived
155 from the variational principle, which is a powerful method to model physical
156 systems in terms of energy quantities. The starting point of the variational
157 approach to modelling is the definition of the energy function in terms of
158 sets of generalized variables. This procedure leads to the introduction of the
159 Lagrangian function.

160 The Lagrangian function of the system $\mathcal{L}(\dot{q}, q)$ is the difference in the
161 magnetic co-energy of the inductive elements, denoted by $\mathcal{T}(\dot{q}, q)$, and the
162 electric field energy of the capacitive elements, expressed in terms of $\mathcal{V}(q)$.
163 That is,

$$\mathcal{L}(\dot{q}, q) \triangleq \mathcal{T}(\dot{q}, q) - \mathcal{V}(q), \quad (12)$$

164 where $q \in \mathbb{R}^n$ are the generalized coordinates describing the circuit. In our
 165 case, $q = [q_{C_b}, q_L^\top]^\top$, where $q_L = [q_1, q_2, q_3, q_a, q_b, q_c]^\top$. Each element of q_L
 166 and \dot{q}_L represents the electric charge and current corresponding to the three
 167 boosting inductors and the filter inductors, respectively. q_{C_b} is the electric
 168 charge of the DC bus capacitor (C_b). Before presenting the EL equation, it
 169 is necessary to represent the switching actions for the six switches of the VSI
 170 by bipolar switches functions s_a , s_b and s_c , with the following definition

$$s_k = \begin{cases} 1, & S_k \text{ closed,} \\ -1, & \bar{S}_k \text{ closed,} \end{cases} \quad \text{with } k = a, b, c. \quad (13)$$

171 Similarly, we define the state of the remaining switches by $u_i = 1$ when it
 172 is closed and $u_i = 0$ otherwise, with $i = 1, 2, 3$. Also, we assume that the
 173 conducting resistance of any power switch is negligible.

174 The EL equation for a non-conservative system is described by

$$\frac{d}{dt} \left(\frac{\partial \mathcal{L}}{\partial \dot{q}}(\dot{q}, q) \right) - \frac{\partial \mathcal{L}}{\partial q}(\dot{q}, q) + \frac{\partial \mathcal{D}}{\partial \dot{q}}(\dot{q}) = \mathcal{Q}, \quad (14)$$

175 where \mathcal{D} is the Rayleigh dissipation function and $\mathcal{Q} \in \mathbb{R}^n$ are the external
 176 forces. In view of the system configuration and the definitions made, we
 177 present the following EL parameters:

$$\mathcal{T}(\dot{q}) = \frac{1}{2} \dot{q}_L^\top \mathbf{L} \dot{q}_L, \quad (15)$$

$$\mathcal{V}(q) = \frac{1}{2C_b} q_{C_b}^2, \quad (16)$$

$$\mathcal{D}(\dot{q}) = \frac{1}{2} \left[\dot{q}_L^\top \mathbf{R} \dot{q}_L + R_i \left(-\dot{q}_{C_b} - \frac{1}{2} s^\top \dot{q}_f + \bar{u}^\top \dot{q}_{123} \right)^2 \right], \quad (17)$$

178 where $\mathbf{L} = \text{diag}(L_1, L_2, L_3, L_f, L_f, L_f)$, $\mathbf{R} = \text{diag}(r_{pv}, r_b, r_{sc}, r_f, r_f, r_f)$, $q_f =$
 179 $[q_a, q_b, q_c]^\top$, $q_{123} = [q_1, q_2, q_3]^\top$, $s = [s_a, s_b, s_c]^\top$ and $\bar{u} = [\bar{u}_1, \bar{u}_2, \bar{u}_3]^\top$, with
 180 $\bar{u}_i = 1 - u_i$, $i = 1, 2, 3$.

181 For ease of presentation, we define the current through R_l as $i_{R_l} = -\dot{q}_{C_b} -$
 182 $\frac{1}{2}s^\top \dot{q}_f + \bar{u}^\top \dot{q}_{123}$. A direct calculation of (14) with the EL parameters (15)-(17)
 183 yields

$$\frac{q_{C_b}}{C_b} + R_l i_{R_l} \frac{\partial i_{R_l}}{\partial \dot{q}_{C_b}}(\dot{q}) = 0, \quad (18)$$

$$\mathbf{L}\ddot{q}_L + \mathbf{R}\dot{q}_L + R_l i_{R_l} \mathbb{I}_6 \frac{\partial i_{R_l}}{\partial \dot{q}_L}(\dot{q}) = Q_L, \quad (19)$$

184 where $\frac{\partial i_{R_l}}{\partial \dot{q}_{C_b}}(\dot{q}) = -1$, $\frac{\partial i_{R_l}}{\partial \dot{q}_L}(\dot{q}) = \left[\frac{\partial i_{R_l}}{\partial \dot{q}_1}, \frac{\partial i_{R_l}}{\partial \dot{q}_2}, \frac{\partial i_{R_l}}{\partial \dot{q}_3}, \frac{\partial i_{R_l}}{\partial \dot{q}_a}, \frac{\partial i_{R_l}}{\partial \dot{q}_b}, \frac{\partial i_{R_l}}{\partial \dot{q}_c} \right]^\top$, $Q_L = [E_{pv}, E_b, E_{sc}, -e_n^\top]^\top$
 185 and $e_n = [e_a, e_b, e_c]^\top$ is a vector in which each element represents the line volt-
 186 age of the ideal AC voltage source. \mathbb{I}_n is the identity matrix of dimension
 187 n .

188 Using the definition of i_{R_l} , replacing (18) in (19) and after some algebraic
 189 manipulation we get

$$\dot{q}_{C_b} + \frac{1}{2}s^\top \dot{q}_f + \frac{q_{C_b}}{R_l C_b} - \bar{u}^\top \dot{q}_{123} = 0, \quad (20)$$

$$\mathbf{L}\ddot{q}_L + \mathbf{R}\dot{q}_L + \frac{q_{C_b}}{C_b} \mathbb{I}_6 \frac{\partial i_{R_l}}{\partial \dot{q}_L}(\dot{q}) = Q_L. \quad (21)$$

190 Now, we expand (21) with respect to each component in a new set of variables
 191 $w = [v_{C_b}, i_L^\top]^\top$, where we have used $i = \dot{q}$ and $v_{C_b} = q_{C_b}/C_b$. Under these
 192 considerations, the EL model now has the form

$$C_b \dot{v}_{C_b} + \frac{1}{2}s^\top i_f + v_{C_b} R_l^{-1} - \bar{u}^\top i_{123} = 0, \quad (22)$$

$$\mathbf{L}_{123} p[i_{123}] + \mathbf{R}_{123} i_{123} + v_{C_b} \mathbb{I}_3 \bar{u} = Q_{123}, \quad (23)$$

$$L_f \mathbb{I}_3 p[i_f] + r_f \mathbb{I}_3 i_f - (1/2)v_{C_b} \mathbb{I}_3 s = -e_n, \quad (24)$$

193 where $\mathbf{L}_{123} = \text{diag}(L_1, L_2, L_3)$, $\mathbf{R}_{123} = \text{diag}(r_{pv}, r_b, r_{sc})$, $Q_{123} = [E_{pv}, E_b, E_{sc}]^\top$,
 194 and $p[\cdot]$ is the operator d/dt .

195 Before continuing, we analyse the EL model in further detail. The first
 196 and third term in the left-hand side of (22) represent the current flowing
 197 through the bus capacitor and the DC load, respectively. The second term
 198 is the current taken by the inverter and the remaining term describes the
 199 sum of currents flowing from the boost and both bidirectional converters.
 200 Both currents are discontinuous due to the switching actions of s and u ,
 201 respectively. Equations (23) and (24) are the voltage equations that describe
 202 the voltage across each inductor of the system. Similarly, both equations
 203 contain discontinuous inputs due to s and u .

204 Finally, we explicit the passivity properties of the EL system. By arrang-
 205 ing the parameters in (22)-(24) into the following matrices

$$\begin{aligned}\mathcal{M} &= \text{block diag}(C_b, \mathbf{L}), \\ \mathcal{R} &= \text{block diag}(R_l^{-1}, \mathbf{R}), \\ \mathcal{J} &= \begin{bmatrix} 0 & -\bar{u}_1 & -\bar{u}_2 & -\bar{u}_3 & \frac{1}{2}s_a & \frac{1}{2}s_b & \frac{1}{2}s_c \\ \bar{u}_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ \bar{u}_2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \bar{u}_3 & 0 & 0 & 0 & 0 & 0 & 0 \\ -\frac{1}{2}s_a & 0 & 0 & 0 & 0 & 0 & 0 \\ -\frac{1}{2}s_b & 0 & 0 & 0 & 0 & 0 & 0 \\ -\frac{1}{2}s_c & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix},\end{aligned}$$

206 one deduces the matrix representation

$$\mathcal{M}\dot{w} + \mathcal{J}(s, u)w + \mathcal{R}w = \mathcal{Q}, \quad (25)$$

207 where \mathcal{M} is a positive-definite diagonal matrix, \mathcal{R} is the dissipation matrix
 208 and \mathcal{J} is the interconnection matrix. The total energy function is $\mathcal{H} =$

209 $\mathcal{T} + \mathcal{V} = (1/2)w^\top \mathcal{M}w$, which satisfies the following energy balance equation

$$\mathcal{H}(T) - \mathcal{H}(0) + \int_0^T w^\top \mathcal{R}w dt = \int_0^T w^\top \mathcal{Q} dt. \quad (26)$$

210 This equation describes that the sum of the stored energy and dissipated
 211 energy equals the supplied energy. Furthermore, if the supplied energy is
 212 zero, i.e. $\mathcal{Q} = 0$, the energy is not increasing, hence the equilibrium point of
 213 the unforced system is stable.

214 3.1. Inverter EL model in rotating d - q frame

215 In this subsection, we derive the EL model of the VSI in the dq -coordinates
 216 using the Blondel-Park's transform. This transformation produces a change
 217 of variables that reduces the complexity of the EL model in its original coor-
 218 dinates. In the new set of coordinates, the definitions of active and reactive
 219 power delivered to the AC load by the VSI are more transparent, hence easier
 220 to control since the control objective may then be simplified to a set-point
 221 regulation problem [18].

222 A change of variables that formulates a transformation of the 3-phase
 223 variables to the dq reference frame may be expressed as $\mathbf{f}_{qd0} = K\mathbf{f}_{abc}$ [19],
 224 where

$$K = \frac{2}{3} \begin{bmatrix} \cos(\phi) & \cos(\phi - 2\pi/3) & \cos(\phi - 4\pi/3) \\ \sin(\phi) & \sin(\phi - 2\pi/3) & \sin(\phi - 4\pi/3) \\ 1/2 & 1/2 & 1/2 \end{bmatrix}, \quad (27)$$

$$\dot{\phi} = \omega = 2\pi 50\text{Hz}. \quad (28)$$

225 After performing the Blondel-Park's transform on (22) and (24), the EL

226 model of the system with the inverter described in the rotating dq frame is

$$C_b \dot{v}_{C_b} + \frac{1}{2} s^\top J_2 i_f + v_{C_b} R_l^{-1} - \bar{u}^\top i_{123} = 0, \quad (29)$$

$$\mathbf{L}_{123} p[i_{123}] + \mathbf{R}_{123} i_{123} + v_{C_b} \mathbb{I}_3 \bar{u} = Q_{123}, \quad (30)$$

$$\frac{3}{2} \left(L_f \mathbb{I}_3 p[i_f] + J_1 i_f - \frac{1}{2} v_{C_b} \mathbb{I}_3 s + r_f \mathbb{I}_3 i_f \right) = -\frac{3}{2} e_n, \quad (31)$$

227 where, with some abuse of notation, we define $e_n = [0, E_m, 0]^\top$, $s = [s_q, s_d, s_0]^\top$,

228 $i_f = [i_q, i_d, i_0]^\top$,

$$J_1 = \begin{bmatrix} 0 & \omega L_f & 0 \\ -\omega L_f & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad J_2 = \begin{bmatrix} 3/2 & 0 & 0 \\ 0 & 3/2 & 0 \\ 0 & 0 & 3 \end{bmatrix}. \quad (32)$$

229 E_m is the peak voltage of the ideal AC voltage source.

230 Omitting the homopolar component, in expanded form we have

$$C_b \dot{v}_{C_b} + \frac{3}{4} s^\top i_f + v_{C_b} R_l^{-1} - \bar{u}^\top i_{123} = 0, \quad (33)$$

$$L_1 \frac{di_1}{dt} + r_{pv} i_1 + (1 - u_1) v_{C_b} = E_{pv}, \quad (34)$$

$$L_2 \frac{di_2}{dt} + r_b i_2 + (1 - u_2) v_{C_b} = E_b, \quad (35)$$

$$L_3 \frac{di_3}{dt} + r_{sc} i_3 + (1 - u_3) v_{C_b} = E_{sc}, \quad (36)$$

$$\frac{3}{2} L_f \frac{di_q}{dt} + \frac{3}{2} \omega L_f i_d - \frac{3}{4} s_q v_{C_b} + \frac{3}{2} r_f i_q = 0, \quad (37)$$

$$\frac{3}{2} L_f \frac{di_d}{dt} - \frac{3}{2} \omega L_f i_q - \frac{3}{4} s_d v_{C_b} + \frac{3}{2} r_f i_d = -\frac{3}{2} E_m. \quad (38)$$

231 We define $i_E = \frac{3}{4} s^\top i_f$ as the current taken from the DC bus by the VSI.

232 The matrix form of the new system is

$$\overline{\mathcal{M}} \dot{z} + \overline{\mathcal{F}}(s, u) z + \overline{\mathcal{R}} z = \overline{\mathcal{Q}}, \quad (39)$$

233 where

$$\begin{aligned}
z &= [v_{C_b}, i_1, i_2, i_3, i_q, i_d]^\top, \\
\overline{\mathcal{M}} &= \text{block diag}(C_b, \mathbf{L}_{123}, (3/2)L_f\mathbb{I}_2), \\
\overline{\mathcal{R}} &= \text{block diag}(R_l^{-1}, \mathbf{R}_{123}, (3/2)r_f\mathbb{I}_2), \\
\overline{\mathcal{Q}} &= [0, Q_{123}^\top, 0, -(3/2)E_m]^\top, \\
\overline{\mathcal{J}} &= \begin{bmatrix} 0 & -\bar{u}_1 & -\bar{u}_2 & -\bar{u}_3 & \frac{3}{4}s_q & \frac{3}{4}s_d \\ \bar{u}_1 & 0 & 0 & 0 & 0 & 0 \\ \bar{u}_2 & 0 & 0 & 0 & 0 & 0 \\ \bar{u}_3 & 0 & 0 & 0 & 0 & 0 \\ -\frac{3}{4}s_q & 0 & 0 & 0 & 0 & \frac{3}{2}\omega L_f \\ -\frac{3}{4}s_q & 0 & 0 & 0 & -\frac{3}{2}\omega L_f & 0 \end{bmatrix}.
\end{aligned}$$

234 Analogously, the total energy function is $\overline{\mathcal{H}} = (1/2)z^\top \overline{\mathcal{M}}z$ and one can easily
235 arrive at a similar energy balance equation as (26) with the same passivity
236 properties.

237 The EL model (39) and its equivalent in the original reference frame (25)
238 contain discontinuous input terms due to the switching actions of the bipolar
239 switching functions s and u . Thus, the analysis of their solutions and also
240 the controller design are difficult tasks. A suitable way of addressing this
241 problem is deriving an average model of the system. The average model
242 behaves exactly as the switched model under extreme duty ratios saturation
243 conditions and under intermediary duty ratio conditions it is consistent with
244 the physically plausible interpretation of the averaged values of its currents
245 and voltages. The average model of the system does not alter the structure
246 of the nonlinear EL model but now the state vector z represents the averaged

247 state vector and the switching functions s and u are regarded as duty ratio
 248 functions with values in their appropriate interval. From this point forward,
 249 we will define the average model of the HGS by equations (33)-(38) or by its
 250 matrix form (39).

251 We conclude this section describing mathematically the interaction of the
 252 system with the AC voltage source and the AC load. Observing the HGS
 253 structure (Figure 1), the network equations are given by Kirchoff's laws

$$i_n + i_f - i_z = 0, \quad e_n = e_z, \quad (40)$$

254 where e_z is the voltage across the generic impedance Z .

255 4. Passivity-based controller design

256 PBC is a methodology to design controllers for physical systems modify-
 257 ing its total energy function and damping characteristics [20]. The central
 258 idea of the PBC methodology is to achieve a closed-loop system dynamics
 259 associated to a closed-loop desired energy function of the form

$$\mathcal{H}_d = (1/2)\tilde{z}^\top \overline{\mathcal{M}}\tilde{z}, \quad (41)$$

260 where its election is motivated by the form of the total energy function $\overline{\mathcal{H}}$
 261 of the average model. Let $z_d = [v_{C_b d}, i_{1d}, i_{2d}, i_{3d}, i_{qd}, i_{dd}]^\top$ denote the desired
 262 state vector of the closed-loop system, thus we define the error state vector
 263 \tilde{z} , which we want to drive to zero, as $\tilde{z} = z - z_d = [\tilde{z}_{C_b}, \tilde{z}_1, \tilde{z}_2, \tilde{z}_3, \tilde{z}_q, \tilde{z}_d]^\top$.
 264 Since the system is underactuated we cannot select arbitrary functions for
 265 the desired state signals, they will thus result from the definition of the error
 266 dynamics.

267 The election of \mathcal{H}_d gives the following error dynamics equation

$$\overline{\mathcal{M}}\dot{\tilde{z}} + \overline{\mathcal{J}}(s, u)\tilde{z} + \mathcal{R}_d\tilde{z} = 0, \quad (42)$$

268 where we have added a desired damping by choosing

$$\mathcal{R}_d = \overline{\mathcal{R}} + \mathcal{R}_1, \quad (43)$$

269 where $\mathcal{R}_1 = \text{diag}(r_{C_b}, r_1, r_2, r_3, r_q, r_d)$ with each diagonal element positive.

270 Taking the derivative of \mathcal{H}_d along the solutions of (42) we get

$$\dot{\mathcal{H}}_d = -\tilde{z}^\top \mathcal{R}_d \tilde{z} \leq -\frac{\alpha}{\beta} \mathcal{H}_d < 0, \quad \forall \tilde{z} \neq 0, \quad (44)$$

271 where α may be chosen to be $\alpha = \min(\mathcal{R}_d)$ and $\beta = \max(\frac{1}{2}\overline{\mathcal{M}})$. Therefore,
272 the error dynamics is exponentially stable.

273 From (42) and using (39) we obtain

$$\overline{\mathcal{M}}\dot{z}_d + \overline{\mathcal{J}}(s, u)z_d + \mathcal{R}_d z_d - \mathcal{R}_1 z = \overline{\mathcal{Q}}, \quad (45)$$

274 where this equation is explicitly written as

$$C_b \dot{v}_{C_b d} + \frac{3}{4} s^\top i_{fd} + \frac{v_{C_b d}}{R_l} - \bar{u}^\top i_{123d} - r_{C_b} \tilde{z}_{C_b} = 0, \quad (46)$$

$$L_1 \frac{di_{1d}}{dt} + r_{pv} i_{1d} + (1 - u_1) v_{C_b d} - r_1 \tilde{z}_1 = E_{pv}, \quad (47)$$

$$L_2 \frac{di_{2d}}{dt} + r_b i_{2d} + (1 - u_2) v_{C_b d} - r_2 \tilde{z}_2 = E_b, \quad (48)$$

$$L_3 \frac{di_{3d}}{dt} + r_{sc} i_{3d} + (1 - u_3) v_{C_b d} - r_3 \tilde{z}_3 = E_{sc}, \quad (49)$$

$$\frac{3}{2} L_f \frac{di_{qd}}{dt} + \frac{3}{2} \omega L_f i_{dd} - \frac{3}{4} s_q v_{C_b d} + \frac{3}{2} r_f i_{qd} - r_q \tilde{z}_q = 0, \quad (50)$$

$$\frac{3}{2} L_f \frac{di_{dd}}{dt} - \frac{3}{2} \omega L_f i_{qd} - \frac{3}{4} s_d v_{C_b d} + \frac{3}{2} r_f i_{dd} - r_d \tilde{z}_d = -\frac{3}{2} E_m. \quad (51)$$

275 The equations (46)-(51) implicitly define the controller of the system. To
276 obtain an explicit expression we must use the degrees of freedom to match the

277 number of equation and variables. Since direct voltage control is infeasible
 278 due to lack of stability [21], this goal is achievable through the regulation of
 279 the inductor currents. Therefore, we establish reference values for the desired
 280 current of each inductor adding five new equations. These are $i_{1d} = i_{1*}$,
 281 $i_{2d} = i_{2*}$, $i_{3d} = i_{3*}$, $i_{qd} = i_{q*}$ and $i_{dd} = i_{d*}$, where the reference values are
 282 yet to be defined. We establish that the notation $(\cdot)_*$ is used exclusively
 283 for external reference signals, while $(\cdot)_d$ denotes signals generated by the
 284 controller. Hence, the reference signal for the desired current of each inductor
 285 is given externally and the signal reference for the desired dc voltage is defined
 286 by the PBC.

287 From (47)-(51) the control variables u and s can be solved as follows:

$$u_1 = 1 - \frac{1}{v_{C_{bd}}} (E_{pv} - r_{pv}i_{1d} + r_1\tilde{z}_1), \quad (52)$$

$$u_2 = 1 - \frac{1}{v_{C_{bd}}} (E_b - r_b i_{2d} + r_2\tilde{z}_2), \quad (53)$$

$$u_3 = 1 - \frac{1}{v_{C_{bd}}} (E_{sc} - r_{sc}i_{3d} + r_3\tilde{z}_3), \quad (54)$$

$$s_q = \frac{1}{v_{C_{bd}}} \left(2\omega L_f i_{dd} + 2r_f i_{qd} - \frac{4}{3}r_q\tilde{z}_q \right), \quad (55)$$

$$s_d = \frac{1}{v_{C_{bd}}} \left(2E_m - 2\omega L_f i_{qd} + 2r_f i_{dd} - \frac{4}{3}r_d\tilde{z}_d \right). \quad (56)$$

288 Then, substituting u and s into (46) and after a straightforward calculation,
 289 the controller state $v_{C_{bd}}$ is:

$$\dot{v}_{C_{bd}} = \frac{1}{C_b} \left(-\frac{3}{4}s^\top i_{fd} - \frac{v_{C_{bd}}}{R_l} + \bar{u}^\top i_{123d} + r_{C_b}\tilde{z}_{C_b} \right). \quad (57)$$

290 5. Energy management strategy

291 In this section, we define an adequate energy management strategy (EMS)
 292 to efficiently command each component of the HGS. The EMS should ensure

293 that the HGS supplies the required load power demand, subjected to the
294 constraints imposed by the different components under varying atmospheric
295 conditions. Also, the EMS is intended to exploit the renewable resources
296 avoiding the use of the grid as energy source.

297 There are several approaches in the literature to address the energy man-
298 agement in hybrid power systems. In this sense, a comprehensive review
299 is done in [22]. EMS can be classified into two main categories: i) classi-
300 cal strategies and ii) intelligent strategies. In the first category we can find
301 strategies based on heuristic rules [23, 24, 25] or filtration [26, 27], while
302 in the second category strategies based on fuzzy logic [28], model predic-
303 tive control [29, 30], receding horizon approach [31, 32], multi-agent based
304 power [33], Pontryagin's minimum principle [34], dynamic programming [35]
305 or nonlinear programming [36] are reported. Heuristic based strategies are
306 more appropriate to work in real time and for this reason we prefer to use
307 this kind of strategy in this work.

308 In this work, the EMS is formalized using a finite-state machine approach
309 [25]. The state machine is composed of states in which a specific mode of
310 operation of the system is defined. The change from one state to another is
311 called a transition and this occurs when a condition defining the transition
312 is true. A finite-state machine can be graphically depicted by a statechart,
313 which is a schematic diagram where the states are typically represented as
314 circles connected between each others through transitions represented by
315 arrows. Each arrow has attached an event that produces the transition [37].

316 In this section, we design an EMS using a state machine in which each
317 state defines the reference currents needed by the passivity-based controller

318 and the transition conditions or events are defined from comparison rules
 319 using process variables such as state of charge, current, power, etc. This
 320 state machine is presented by the statechart depicted in Figure 2, which can
 321 be defined by a tuple $EMS = \langle S, \Sigma, \epsilon, s_0 \rangle$, where

- 322 • $S = \{s_1, s_2, s_3, s_4, s_5\}$ is the set of all states in the statechart.
- 323 • $\Sigma = \{1, \dots, 9\}$ is the set of possible input events (defined in Table 2).
- 324 • ϵ is a function that maps states and input events to states ($\epsilon : S \times \Sigma \rightarrow$
 325 S).
- 326 • $s_0 \in S$ is the initial state. In this case, $s_0 = s_1$.

327 The proposed EMS maximizes the power generation from the solar energy
 328 system, thus the reference current i_{1*} is defined by a maximum power point
 329 tracking (MPPT) algorithm. That is, $i_{1*} = f_{MPPT}(T, \lambda)$. Also, this EMS
 330 only provides the active power of the AC load, therefore, we set $i_{q*} = 0$. Since
 331 both definitions are common to all states in the EMS statechart they are not
 332 stated in the following description of each state in the set S . A detailed
 333 description of each current reference definition could be found in Appendix
 334 A.

335 **State 1** (Energy sale mode): The solar energy system is capable of sat-
 336 isfying the demand, recharging the battery and supercapacitor banks, if it is
 337 necessary, and selling the remaining energy to the grid:

$$i_{2*} = i_b^c, \quad (58)$$

$$i_{3*} = i_{sc}^c, \quad (59)$$

$$i_{d*} = (1/s_d) ((4/3)i_{Ed} - s_q i_{qd}), \quad (60)$$

338 where $i_{Ed} = \bar{u}^\top i_{123} - v_{C_b}^* R_l^{-1}$ is the necessary current taken by the inverter in
339 order to satisfy the AC load power demand and sell the surplus to the grid. i_b^c
340 and i_{sc}^c are the reference charging currents for the battery and supercapacitor
341 banks, respectively. In this work, we define them considering the SOC. If the
342 SOC is sufficiently high, the reference charging current is set to zero, else the
343 storage elements are charged at their nominal values $i_x^{c,n}$ (Figure 3).

344 **State 2** (Self-sufficient mode): The solar energy system and the storage
345 system are capable of satisfying the demand without energy transaction with
346 the grid. This mode covers several situations from satisfying the load power
347 demand with the solar energy system and storing the surplus, to non-existent
348 solar energy generation with the storage system supplying the totally of the
349 load power demand:

$$i_{2*} = (-\bar{u}_1 i_1 + i_E + v_{C_b}^* R_l^{-1}) / \bar{u}_2, \quad (61)$$

$$i_{3*} = (-\bar{u}_1 i_1 - \bar{u}_2 i_2 + i_E + v_{C_b}^* R_l^{-1}) / \bar{u}_3, \quad (62)$$

$$i_{d*} = i_{zd}. \quad (63)$$

350 It is important to note that the storage reference currents are limited by the
351 constraints imposed by (10).

352 **State 3** (Critical mode): When one of the storage elements reaches a
353 minimum SOC the system replaces the storage system power supply with
354 the grid power supply to meet the load requirements:

$$i_{2*} = 0, \quad (64)$$

$$i_{3*} = 0, \quad (65)$$

$$i_{d*} = (1/s_d) ((4/3)i_{Ed} - s_q i_{qd}). \quad (66)$$

355 **State 4** (Maximum capacity mode): When the load power demand ex-
 356 ceeds the power capacity of the system, the grid provides the rest:

$$i_{2*} = i_b^d, \quad (67)$$

$$i_{3*} = i_{sc}^d, \quad (68)$$

$$i_{d*} = (1/s_d) ((4/3)i_{Ed} - s_q i_{qd}). \quad (69)$$

357 Similarly, i_b^d and i_{sc}^d are the reference discharging currents for the battery
 358 and supercapacitor banks, respectively. In this case, there is a hysteresis to
 359 recover the SOC until certain point without discharging the storage elements
 360 during the recovery process (Figure 3).

361 **State 5** (Recovery mode): When the energy generated from the solar
 362 energy system exceeds the load requirements, this surplus is used to charge
 363 those storage elements in critical conditions to restore their SOC until certain
 364 level:

$$i_{2*} = (-\bar{u}_1 i_1 - c \bar{u}_3 i_3 + i_E + v_{C_b}^* R_i^{-1}) / \bar{u}_2, \quad (70)$$

$$i_{3*} = (-\bar{u}_1 i_1 - \bar{c} \bar{u}_2 i_2 + i_E + v_{C_b}^* R_i^{-1}) / \bar{u}_3, \quad (71)$$

$$i_{d*} = i_{zd}, \quad (72)$$

365 where if $SOC_b > SOC_b^{OK}$, then $c = 1$, else $c = 0$. The purpose of the binary
 366 variable c is to establish a preference between both storage elements to charge
 367 the one in most critical condition. If both elements have their SOC under
 368 critical conditions, the battery bank has main priority; hence we define c as
 369 a function of SOC_b .

370 The events are defined in Table 2. Event 1 produces the transition from
 371 s_1 to s_2 when the energy generated is not sufficient enough to satisfy the

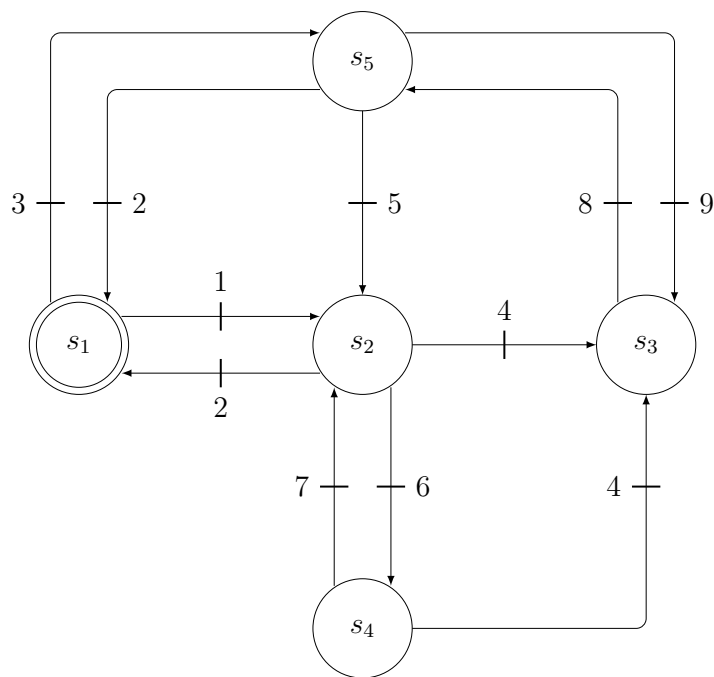


Figure 2: Schematic diagram of the energy management strategy.

372 load and storage requirements. This situation is detected by a positive value
 373 in the grid power P_n . δ is a sufficiently small value of power to avoid the
 374 zero crossing. Event 3 is very similar to Event 1, but for the critical state of
 375 the storage elements. Events 2 and 6 are identical but the former becomes
 376 active when the storage system reaches its maximum charging capacity and
 377 the latter when it reaches its maximum discharging capacity, considering a
 378 small tolerance in both cases. Event 7 is analogous to events 1 or 3 but now
 379 the event becomes active when a negative value in the grid power is detected,
 380 indicating that the assistance from the grid is not longer necessary. Event
 381 4 indicates that either the battery bank or the supercapacitor bank have
 382 their SOC under a critical level. Event 5 becomes active after the SOC of

383 the storage system in critical condition has been restored. Finally, events 8
 384 and 9 compare the power generated by the PV system with the load power
 demand to charge the storage elements when there is energy available.

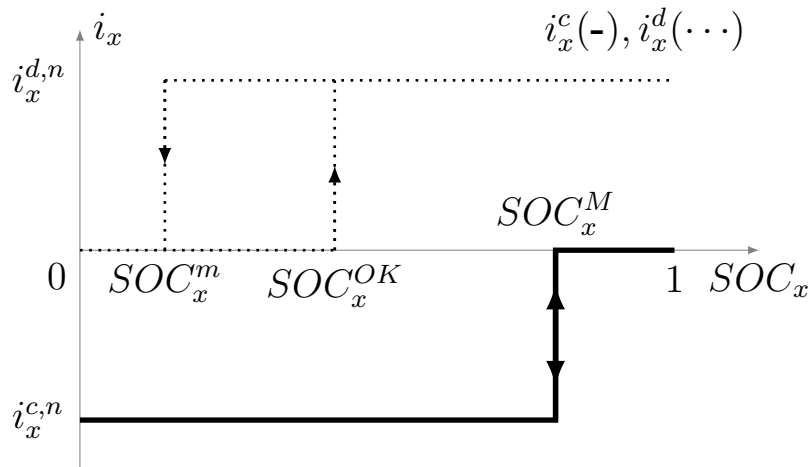


Figure 3: Charge and discharge reference current. $x = b, sc$.

385

386 6. Simulations and results

387 In this section, we test the designed passivity-based controller with the
 388 proposed EMS. We use ambient temperature and insolation data for a typical
 389 winter day (July 17, 2009) and a typical summer day (January 23, 2009) in
 390 Rosario, Argentina to simulate under realistic conditions. Both profiles are
 391 shown in Figure 4a and 4b. Also, the load power demand profile (P_l) depicted
 392 in Figure 4c corresponds to a residential hourly average power consumption.

393

394 *6.1. System sizing*

395 Before testing the behaviour of the system we size and parametrize each
396 system component. The sizing of renewable hybrid systems is a complex issue
397 because there is a compromise between cost and reliability, coupled with the
398 uncertainty in demand and energy production. The bibliography in this
399 matter is extensive [38]-[46]. In this section, we proposed a reasonable sizing
400 in order to test the controller design and the energy management strategy.

401 The nominal voltage value for the DC bus is $v_{C_b}^* = 100V$. We set the
402 number of PV cells in series and in parallel as $n_s^{pv} = 50$ and $n_p^{pv} = 38$,
403 respectively. The parameters used for the lead-acid battery bank are given
404 in Table 3 and the battery bank is formed of $n_s^b = 4$ and $n_p^b = 10$. In the
405 case of the SC bank, we used one unit with the features given in Table 4.

406 Finally, the specifications of the power converters are given in Table 5.

407 *6.2. Simulation tests*

408 The mathematical model of the HGS defined in (39) as well as the control
409 laws (52)-(57) and the EMS are implemented in language Modelica using
410 OpenModelica [47]. We simulate the proposed HGS using the winter and
411 summer weather profiles with their respective winter and summer load power
412 demand and under same initial conditions.

413 First, the system is evaluated with the winter weather profile and with
414 the following initial state of charge: $SOC_b^{init} = 0.75$ and $SOC_{sc}^{init} = 0.8$. The
415 voltage of the DC bus is shown in Figure 5 where it can be seen that the
416 system achieves a very good regulation throughout the simulation. Figure 9
417 shows the power exchanged by each component of the system. During the

418 first 5.5 hours the storage elements are capable of supplying the power de-
 419 manded by the loads (P_l) establishing the operation mode of the EMS in
 420 the state s_2 as it is shown in Figure 6. The currents of the storage elements
 421 are depicted in Figure 8. The battery bank supplies the totally of the load
 422 power demand and the supercapacitor bank only delivers power due to track-
 423 ing mismatches. The current of the supercapacitor bank could be observed
 424 by the zoom in Figure 8. Continuing with the description of the simulation,
 425 in Figure 7 the SOC_b reaches a critical point ($SOC_b^m = 0.4$) due to the lack of
 426 energy available from the PV arrays, and the EMS makes a transition to state
 427 s_3 . The grid replaces the role of the storage elements avoiding its depletion.
 428 Thus, the grid power P_n matches the load power P_l until the PV arrays start
 429 transforming solar energy into electric energy. This increment in the power
 430 generation from the PV arrays reduces the grid energy consumption to zero.
 431 Having reached this point, the EMS transitions to state s_5 recovering the
 432 battery. When the SOC_b is higher than a reasonable level ($SOC_b^{OK} = 0.5$),
 433 the EMS leaves the recovery mode transitioning again to state s_2 . Since
 434 the generated power P_{pv} is still increasing, the system reaches its maximum
 435 storage capacity sending the surplus to the grid, that is, selling energy (State
 436 1). Then, with the storage elements fully charged ($SOC_{b,sc} = 0.8$) and the
 437 decreasing insolation, the systems transitions to the self-sufficient mode (s_2)
 438 meeting the power requirements without assistance from the grid. This mode
 439 of operation persists until the load power demand exceeds the power capacity
 440 of the HGS, transitioning to s_4 where the grid supports the HGS to meet
 441 the load power demand. A transition period between states s_3 and s_4 occurs
 442 until finally the load power demand is high enough to establish the EMS in

443 state s_4 . The simulation ends with both storage elements with low SOC,
444 transitioning the EMS to state s_3 .

445 Finally, we simulate the system with the summer weather data and sum-
446 mer load power demand with identical initial conditions than before. Fig-
447 ures 10-14 includes the simulation responses of many variables of interest.
448 Although more energy is available from the renewable source, the summer
449 load power profile is also more demanding. Therefore, the HGS is slightly
450 more self-sufficient than in the previous simulation. For instance, the state
451 s_1 is active a longer period of time during daylight, but at the beginning
452 of the simulation the SOC of the battery bank reaches the minimum value
453 sooner than before. The time in recovery mode (s_5) is also shorter in this
454 new simulation. A quantitative comparison could be made between both
455 simulations after introducing the following concept.

456 A reliable electrical power system can be defined as a system capable to
457 feed the load demand with a small loss of power supply probability (LPSP)
458 [48]. LPSP is defined as the probability that the hybrid system is unable
459 to satisfy the load demand. A LPSP of 0 means the load is always satisfied
460 whereas a LPSP of 1 means the contrary. The objective function LPSP can
461 be expressed as follows:

$$\text{LPSP} = \frac{T_{ft}}{T_t}, \quad (73)$$

462 where T_t is the total time of weather data used in the analysis and T_{ft} is the
463 power failure time, which is defined as the time that the load is not satisfied.
464 Since the HGS is coupled with the grid, the load power demand is ensured
465 all the time; hence the traditional definition of the LPSP renders useless.
466 Instead, we proposed to evaluate the LPSP by means of the reliability of the

467 system working without the support of the grid. Thus, we define T_{ft} as the
 468 period of time in which the active power from the grid is positive, that is,
 469 when the HGS needs energy from the grid. Therefore, the definition of T_{ft}
 470 is the following:

$$T_{ft} = \int_0^{T_t} \gamma(t) dt, \quad (74)$$

471 where

$$\gamma(t) = \begin{cases} 1 & \text{if } P_n > 0, \\ 0 & \text{otherwise.} \end{cases} \quad (75)$$

472 Evaluating the LPSP in both scenarios gives 0.375 and 0.369 using the winter
 473 and summer weather profile, respectively. The results show that both LPSPs
 474 are high, concluding that the sizing prioritizes the overall cost rather than
 475 the system reliability. In a grid-connected power system, the grid acts like a
 476 battery with an unlimited storage capacity. So it could handle seasonal load
 477 variations. Thus, the sizing of the storage elements could be reduced, which
 478 explains the high LPSP values obtained in both simulations.

479 7. Conclusions

480 We have presented an energy management strategy integrated with a
 481 passivity-based controller for a hybrid generation system. The hybrid system
 482 consists of two types of storage elements (one with high specific energy and
 483 the other with high specific power), one source of renewable energy (solar)
 484 and DC and AC loads. Also, the system is coupled with an electrical network
 485 which allows an exchange of energy between them. These power elements

486 are connected to a DC bus through power converters. We obtained an Euler-
487 Lagrange model based on the kinetic co-energy and the potential energy of
488 the power system. Subsequently, we designed the passivity-based controllers
489 to command the power converters. Finally, a energy management strategy
490 establishes the reference signals of the controller.

491 We validate the performance of the strategy by means of computer sim-
492 ulations using different weather and load data profiles. We compare both
493 simulations in terms of loss of power supply probability (LPSP), resulting in
494 that both scenarios present similar LPSP values. The results obtained in-
495 dicate feasibility of the proposed control technique and energy management
496 strategy. Finally, although the study is intended for one particular system,
497 the proposed controller design methodology is applicable for other hybrid sys-
498 tems with multiple renewable sources. It is within our interests to extend this
499 work to include a wind energy system. Also, an optimal sizing methodology
500 for designing the overall hybrid system is the subject of on-going research.

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506 **Appendix A.**

507 Here, we briefly describe the procedure to obtain the definition of each
508 reference current presented in the description of the EMS states in Section 5.

509 The main purpose of the Passivity-Based controller is to regulate the
510 voltage of the DC bus. As we have mentioned before, this objective is only
511 feasible through indirect voltage regulation. This means that the DC voltage
512 regulation can be indirectly accomplished by a suitable definition of each
513 reference current i_{2*} , i_{3*} and i_{d*} . The regulation of the DC voltage implies
514 that equation (33) holds with $v_{C_b} = v_{C_b}^*$ and $\dot{v}_{C_b} = 0$. That is,

$$\frac{3}{4}s^\top i_f + v_{C_b}^* R_l^{-1} - \bar{u}^\top i_{123} = 0. \quad (\text{A.1})$$

515 Therefore, the definition of the reference currents will be obtained from (A.1).

516 In each EMS state the system defines the reference current of only one
517 element to regulate the DC voltage. For instance, in state s_1 , s_3 and s_4 the
518 PBC regulates the DC voltage by means of the inverter. For ease of reference,
519 we write again the equation (60):

$$i_{d*} = (1/s_d) ((4/3)i_{Ed} - s_q i_{qd}), \quad (\text{A.2})$$

$$i_{Ed} = \bar{u}^\top i_{123} - v_{C_b}^* R_l^{-1}. \quad (\text{A.3})$$

520 i_{Ed} is the desired inverter current that regulates the DC bus, then (A.3) is
521 obtained from (A.1). Finally, i_{d*} is derived from the expression of the inverter
522 current

$$\frac{3}{4}s^\top i_f = i_E. \quad (\text{A.4})$$

523 When the state is s_2 or s_5 , the regulation task is accomplished by the
524 definition of the reference current of the storage elements. For example, in
525 state s_2 these definitions are:

$$i_{2*} = (-\bar{u}_1 i_1 + i_E + v_{C_b}^* R_l^{-1}) / \bar{u}_2, \quad (\text{A.5})$$

$$i_{3*} = (-\bar{u}_1 i_1 - \bar{u}_2 i_2 + i_E + v_{C_b}^* R_l^{-1}) / \bar{u}_3. \quad (\text{A.6})$$

526 Both (A.5) and (A.6) are derived from (A.1) but the former neglects the effect
527 of the other storage element over the DC bus ($-\bar{u}_3 i_3$). The consequence
528 of this is that once the controller has reached the equilibrium point, the
529 regulation task will be accomplished by the definition of i_{2*} with $i_{3*} = 0$,
530 that is, only the battery bank will play an active role in the regulation of
531 the DC bus leaving the supercapacitor bank in stand by. But if i_{2*} reaches
532 its extreme value imposed by the constraint in (10), then i_{3*} will assumed
533 the required value to regulate the DC voltage. This situation is similar to
534 state s_5 but in (70) and (71) we introduce the binary variable c to invert the
535 behaviour when it is necessary.

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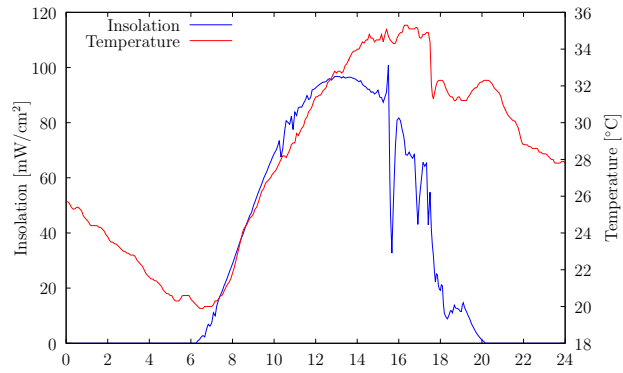
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Table 1: Parameters used in the solar system.

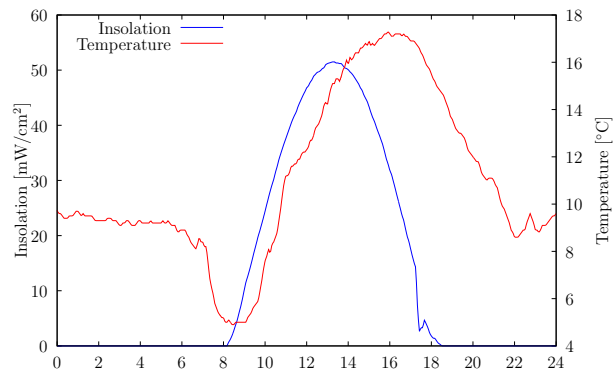
Parameter	Value
q	$1.6 \times 10^{-19}(C)$
A_c	1.6
K	$1.3805 \times 10^{-23}(NmK^{-1})$
K_l	$0.0017(A_0C^{-1})$
I_{or}	$2.0793 \times 10^{-6}(A)$
T_{ref}	301.18(K)
E_{go}	1.10(V)
r_{pv}	0.5(Ω)

Table 2: Events in the set Σ .

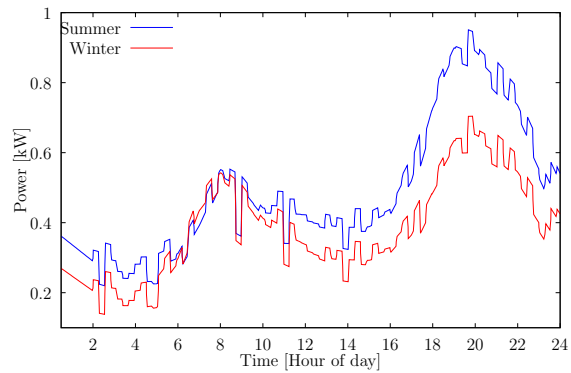
Event	Description
1	$P_n > \delta \wedge SOC_b \geq SOC_b^{OK} \wedge SOC_{sc} \geq SOC_{sc}^{OK}$
2	$P_n == 0 \wedge i_b - i_b^c \leq 1\% \wedge i_{sc} - i_{sc}^c \leq 1\%$
3	$P_n > \delta \wedge (SOC_b < SOC_b^{OK} \vee SOC_{sc} < SOC_{sc}^{OK})$
4	$SOC_b \leq SOC_b^m \vee SOC_{sc} \leq SOC_{sc}^m$
5	$SOC_b \geq SOC_b^{OK} \wedge SOC_{sc} \geq SOC_{sc}^{OK}$
6	$P_n == 0 \wedge i_b - i_b^d \leq 1\% \wedge i_{sc} - i_{sc}^d \leq 1\%$
7	$P_n < -\delta$
8	$P_{pv} - P_{Rl} - P_z > 0$
9	$P_{pv} - P_{Rl} - P_z < 0$



(a) Insolation and temperature profile of a summer day.



(b) Insolation and temperature profile of a winter day.



(c) Load power demand profiles.

Figure 4: Simulation results using winter weather profile.

Table 3: Lead-acid battery parameters.

Parameter	Value	Parameter	Value
$E_0(V)$	12.47	$I_b^m(A)$	-1
$K_b(\Omega)$	0.047	$I_b^M(A)$	1
$Q(Ah)$	7.2	$r_b(\Omega)$	0.04
$A(V)$	0.83	$B(Ah^{-1})$	125

Table 4: Supercapacitor parameters.

Parameter	Value
Rated voltage(V)	48
$C_{sc}(F)$	83
$r_{sc}(\Omega)$	0.01
$I_{sc}^m(A)$	-10
$I_{sc}^M(A)$	10

Table 5: Specifications of power converters.

Parameter	Value	Parameter	Value
L_1	1(mH)	r_f	0.5(Ω)
L_2	1(mH)	C_b	10000(μF)
L_3	1(mH)	R_l	250(Ω)
L_f	1(mH)	E_m	220(V)

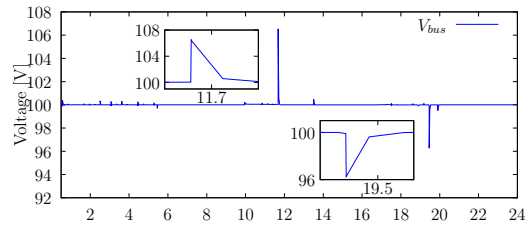


Figure 5: DC bus voltage with winter profile.

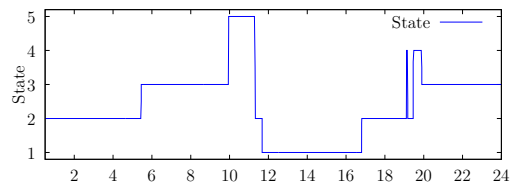


Figure 6: EMS state with winter profile.

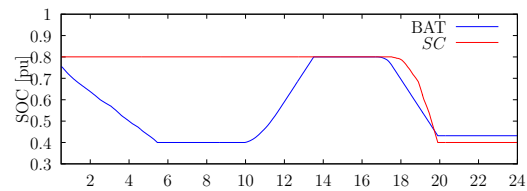


Figure 7: State of charge with winter profile.

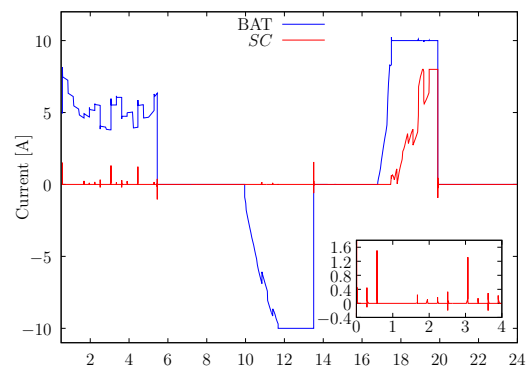


Figure 8: Storage currents with winter profile.

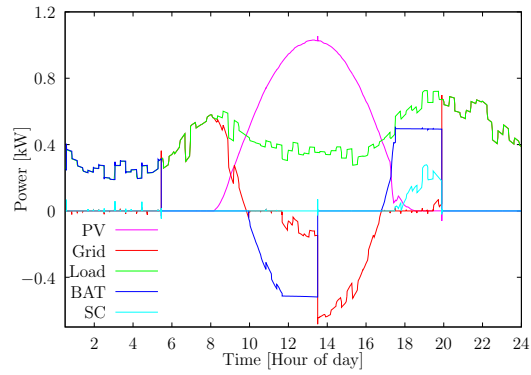


Figure 9: Powers with winter profile.

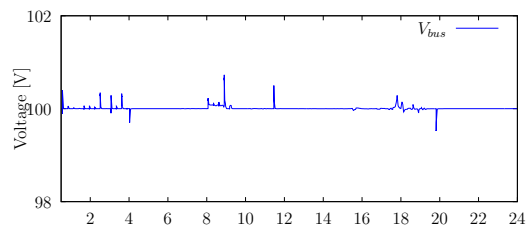


Figure 10: DC bus voltage with summer profile.

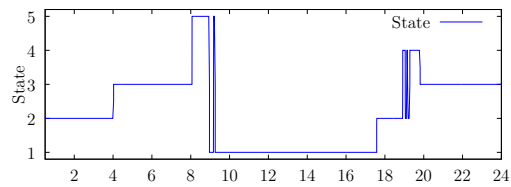


Figure 11: EMS state with summer profile.

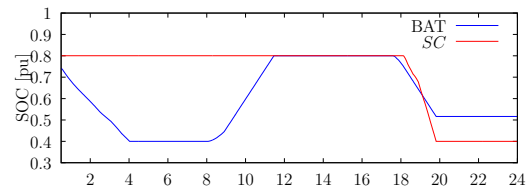


Figure 12: State of charge with summer profile.

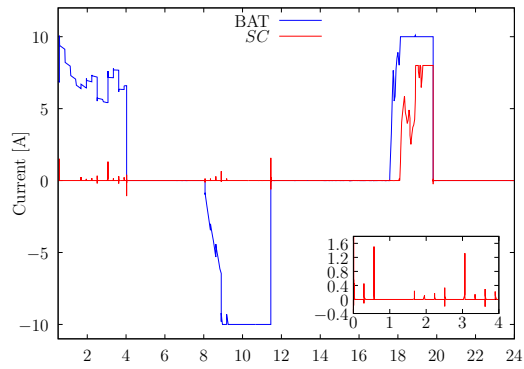


Figure 13: Storage currents with summer profile.

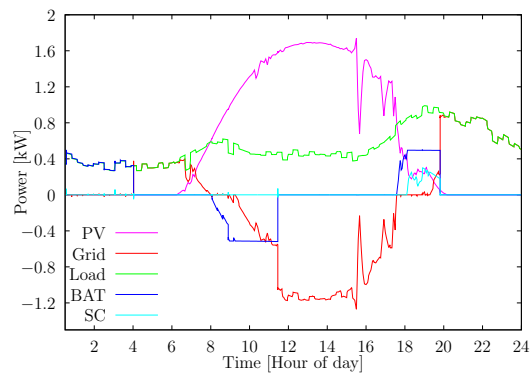


Figure 14: Powers with summer profile.