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Title: PHENYLALANINE INTERACTION WITH LIPID MONOLAYERS AT DIFFERENT pHs

Author: A.C. Cutró A. Hollmann J. Cejas P. Maturana E.A. Disalvo M.A. Frías



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1                   **PHENYLALANINE INTERACTION WITH LIPID**  
2                   **MONOLAYERS AT DIFFERENT pHs.**

3  
4   Cutró, A. C, Hollmann, A., Cejas, J., Maturana, P., Disalvo, E. A., Frías,  
5   M. A.

6  
7   *Laboratorio de Biointerfases y Sistemas Biomiméticos, Laboratorios*  
8   *Centrales, CITSE- UNSE. Santiago del Estero. e-mail:*  
9   *disalvoanibal@yahoo.com.ar*

10  
11   **ABSTRACT**

12  
13   The influence of Phe on the surface pressure of 1,2-dipalmitoyl-*sn*-  
14   glycero-3-phosphocholine (DPPC) monolayers at the air–water interface  
15   was studied at different initial surface pressures (26 and 40 mN/m) and  
16   two pHs (5.0 and 7.3) at constant temperature (20°C). Changes produced  
17   by the aminoacid added to the subphase on the surface pressure and on  
18   the dipole potential of lipid monolayers were measured at a fixed area.  
19   Compressibility properties of the monolayers at different pHs were  
20   studied by ( $\pi$ -A) isotherms. The results suggest that Phe intercalates into  
21   a DPPC film at the air–water interface at pH 5 and forms a different  
22   arrangement at pH 7.3.

23   The possible relevance of these results of the effect of Phe in  
24   physiological conditions is discussed.

25  
26   **Abbreviations:** DPPC (1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine)-  
27   Phe (L-phenylalanine).

28

29 **Keywords:** Lipid monolayers - DPPC - Phenylalanine- surface pressure-  
30 dipole potential-pH.

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36

### 37 **I. INTRODUCTION**

38 Phe residues have been identified as a key component in the formation of  
39 amyloid structures under pathologically relevant concentrations which  
40 have similar biophysical and structural properties and are associated with  
41 a diverse group of diseases among them Alzheimer's disease, type II  
42 diabetes and prion disorders [1-3].

43 It has been also shown that Phe produces damage in thylakoid  
44 membranes at very low concentrations during freezing and that it induces  
45 leakage and membrane fusion in liposomes [4]. Apparently, at relatively  
46 low concentrations the damage is produced on membranes under stress  
47 conditions, i.e. partial dehydration. Therefore, the influence of Phe on the  
48 stability of membranes seems to be regulated by the water stress,  
49 probably, by positioning of the aromatic ring in the lipid-water interface,  
50 more precisely in the head group or glycerol backbone region [5]. In this  
51 regard, it is important to notice that after mechanical injuries,  
52 phenylalanine ammonium lyase (PAL) activation is followed by the  
53 synthesis of protective phenolic compounds to reduce the leakage of  
54 water [6].

55 The interaction of different amino acids with membranes used lipid  
56 monolayers as model systems [7-10]. In this type of system, previous  
57 studies with Phe were mostly carried out in conditions that differ from the

58 physiological ones, especially regarding to pH. This fact may be  
59 important to take into account when data are used to explain biological  
60 relevant processes.

61 In this context, the aim of this work is to evaluate the Phe interaction with  
62 lipid monolayers at pH 5.0 and at pH 7.3.

63 Monolayers spread at the air-water interphase allow obtaining  
64 information about the changes in the lipid packing and water accessibility  
65 measuring surface pressure at different areas and about the electrical  
66 changes produced in the membrane interface following the dipole  
67 potential.

68

## 69 **II EXPERIMENTAL DETAILS**

70

### 71 **Materials.**

72 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine (DPPC) was obtained from  
73 Avanti Polar Lipids Inc. (Alabaster, AL). Chloroform, KCl and 4-(2-  
74 hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) were of  
75 analytical grade. Purity of lipids and buffers were checked by FTIR and  
76 UV spectroscopies (see supplementary material). To identify unknown or  
77 unexpected components in all chemicals used, selected spectra were  
78 compared with available libraries.

79 Fresh stock solutions of Phe were prepared immediately before each  
80 assay in order to avoid the fiber formation [11]. The pH of Phe solution in  
81 KCl was 5.0, indicating that the zwitterionic state of Phe would dominate  
82 in this condition. Water was of Milli-Q quality obtained in an Osmoion  
83 10.2 equipment.

84

85

86

**87 Surface pressure**

88 Changes in the surface pressure of lipid monolayers upon addition of Phe  
89 to the subphase were measured at constant area at  $20 \pm 0.5$  °C) in a  
90 Kibron Langmuir-Blodgett trough. A chloroform solution of lipids was  
91 spread on the aqueous interface (KCl or HEPES) to reach surface  
92 pressures of  $26 \pm 1$  mN/m or  $40 \pm 1$  mN/m. Phe solutions were injected in  
93 the subphase and the changes of surface pressure were recorded with time  
94 until a constant value was reached. No significant changes were observed  
95 in the values of surface pressure when Phe was injected in the absence of  
96 lipid or when HEPES was added to the solution.

97 Surface pressure-area ( $\pi$ -A) isotherms were obtained in the KSV trough  
98 (area:  $240.00$  cm<sup>2</sup>) of a Wilhelmy balance provided by a Platinum probe of  
99  $39.24$  mm<sup>2</sup>. The Teflon trough and probes were washed and rinsed with  
100 water. The Platinum probe was flamed until glowed red-hot before each  
101 assay. The whole equipment was enclosed in an acrylic box to minimize  
102 solvent evaporation and to avoid contaminations from the environment  
103 during the study.

104 The trough was filled with the barriers fully open with the appropriate  
105 volume checking that the borders of the meniscus were even in the whole  
106 perimeter. Then the barriers were moved on the aqueous phase without  
107 lipids slowly to obtain a homogeneous surface, this procedure was made  
108 three times before adding the lipids

109 Before each experiment the water surface tension was checked with pure  
110 water to  $72$  mN m<sup>-1</sup>.

111 Monolayers were allowed to stabilize during 30 min before measurements.

112 In these experimental conditions, in order to maintain the reproducibility of  
113 the isotherms the same amount of lipids was added to the surface of the  
114 subphase containing buffer, KCl or Phe solutions at pH 5.0 (in KCl) and  
115 7.3 (in HEPES)

116 Compression rate for monolayers were in all the experiments  $5 \text{ mm}\cdot\text{min}^{-1}$ ,  
117 target pressure was set at  $60 \text{ mN/m}$  at a temperature of  $20 \pm 2^\circ\text{C}$ .

118 The surface pressure ( $\pi$ ) and the barriers were controlled by software  
119 purchased from NIMA (KSV-NIMA, Finland).

120 Control experiments in the absence of Phe were also carried out in the same  
121 conditions.

122 The number of determinations was increased in order to improve the  
123 reproducibility of the isotherms.

124 Reported data are the average of three different batches of lipid  
125 preparations. In each of them each sample was assayed at least by  
126 duplicate and averaged. Errors are reported as standard deviations.

127

### 128 **Dipole potential**

129 Dipole potential ( $\Psi_D$ ) was determined in monolayers formed on an air–  
130 water interface by spreading chloroform solutions of lipids on an aqueous  
131 surface in the presence and the absence of Phe at both pHs. Different  
132 aliquots of lipids were added until a constant surface pressure was  
133 achieved [12,13].

134 The values of the interfacial potential were determined through a circuit of  
135 high impedance, connecting a vibrating electrode above the monolayer  
136 and a reference Ag/AgCl electrode in the aqueous subphase. The  
137 temperature was set at  $20 \pm 0.5^\circ\text{C}$  measured with a calibrated  
138 thermocouple immersed in the subphase. Therefore, the reference  
139 potential is constant at the temperature of measure [14].

140 Zero of the potential was reached with solutions of  $1\text{mM}$  KCl and  $10 \text{ mM}$   
141 HEPES for measurements at pHs 5.0 and 7.3 respectively.

142

### 143 **III. RESULTS AND DISCUSSION**

144 The interaction of Phe with DPPC was studied following the changes in  
145 surface pressure ( $\Delta\Pi$ ) produced by Phe injected in the subphase  
146 underneath lipid monolayers stabilized on the air-water interface.  
147 Significant differences were found when the perturbation ( $\Delta\Pi$ ) was  
148 analyzed at different initial surface pressure 26 - 40 mN/m and at  
149 different pHs 5.0 and 7.3 (Figure 1).

150 Data in Figure 1 A and B denote that at 26 mN/m subsequent additions of  
151 Phe do not cause significant changes in surface pressure at both pHs.

152 However, at 40 mN/m a higher increase in surface pressure than that at  
153 26 mN/m at pH 5.0 is observed. Surprisingly, at pH 7.3 in the same  
154 degree of compression (40 mN/m) a consistent decrease in the pressure  
155 was observed.

156 The increase in surface pressure indicates a decrease of the excess surface  
157 tension of the monolayer after the lipids were spread. In contrast, a  
158 decrease in surface pressure denotes an increase in the surface tension,  
159 that is, towards values of pure water. This would suggest that in some  
160 extent lipids are being condensed in the surface exposing water regions.

161 In Figure 2, the kinetic profiles of the pressure changes at 40mN/m  
162 induced by 11 mM Phe at pH 5.0 or 7.3 is analyzed according to equation  
163 (1) [15]:

164

$$165 \quad \Delta\Pi = -e^{-kt} \Delta\Pi_{\max} + \Delta\Pi_{\max} \quad (1)$$

166

167 where ( $k$ ) is the adsorption rate constant. At pH 5.0 the rate of pressure  
168 change ( $k = 5.4 \pm 0.06 \cdot 10^{-4} \text{ s}^{-1}$ ) is significantly lower than that observed at  
169 pH 7.3 ( $k = 8.10 \pm 0.1 \cdot 10^{-1} \text{ s}^{-1}$ ). This means that at pH 5 the insertion of  
170 Phe may have kinetic hindrances to reach stabilized positions, which do  
171 not exist (or exist at a much lesser extent) at pH 7.3.

172 The results of Figures 1 and 2 suggest that there is a different behavior of  
173 Phe in DPPC as a function of pH. It is well known that Langmuir  
174 isotherms allow to characterize phase behavior of a system under study  
175 [16,17]. In this context, compression curves were carried out in the  
176 presence and the absence of Phe at both pHs (Figure 3A). The  
177 comparison of the control curves of DPPC in the absence of Phe at pH 5  
178 and pH 7.3 (full lines in part A) indicates that the buffer does not affect  
179 significantly the monolayer properties giving comparable extrapolated  
180 area per lipid values of  $50.1 \pm 1.0 \text{ \AA}^2$  and  $52.0 \pm 1.0 \text{ \AA}^2$ , respectively [18].  
181 At both pH conditions, Phe does not affect the initial surface tension of  
182 KCl or buffer without lipids.

183 In the presence of Phe (dotted lines), the profiles of the isotherms indicate  
184 that the coexistence of phases observed in DPPC at both pHs almost  
185 disappears. In addition, the estimated molecular area per lipid in the  
186 presence of 11 mM Phe at pH 5.0 (black line) ( $70.8 \pm 3.7 \text{ \AA}^2$ ) is greater  
187 than the changes observed at pH 7.3 (grey line) at the same concentration  
188 of Phe c.a.  $60.6 \text{ \AA}^2$  (see Table 1). Our results at pH 5 show the same trend  
189 as that reported in the literature by Petelska et al. although absolute  
190 values cannot be compared because the type of phosphatidylcholines is  
191 not reported [19].

192 The differences in the molecular area per lipid can be ascribed to a  
193 different insertion of the aminoacid in the interface. Since, according to  
194 the control assays in Figure 3A different pHs without Phe do not affect  
195 the surface pressure/area curves, this behavior can be ascribed to different  
196 conformation of the Phe molecule in the aqueous phase that modify its  
197 size and hydrophobicity according to its charge distribution. It has been  
198 reported that Phe conformation is sensible to the number of water  
199 molecules at which it may stabilize [20].



200 The increase in the molecular area per lipid ( $\Delta\text{Area} = 19.9 \text{ \AA}^2$  at pH 5.0)  
201 can be due to the insertion of the aromatic ring with a characteristic size  
202 and hydrophobicity and, therefore it is expected that this amino acid  
203 would influence the molecular packing. The insertion of aromatic rings  
204 has been found in other systems such as the analogue of tyrosine, arbutin  
205 [21]. Instead, the result at pH 7.3, in which significant lower area change  
206 is found, could indicate an interfacial interaction without a significant  
207 insertion of Phe. This is congruent with the observation that the kinetics  
208 to insert at pH 5.0 is much lower than that at pH 7.3, which would  
209 suggest that Phe does not penetrate the interface at pH 7.3.

210 In Figure 3B the values of the compressibility modulus and its variations  
211 as a function of the mean molecular packing areas were analyzed. The  
212 compressibility modulus gives a quantitative measure of the state of the  
213 monolayer. As it was reported, a defined minimum or abrupt variation of  
214 the slope of the curve of compressibility modulus versus area indicates  
215 with high sensitivity the occurrence of a change in the physical state of  
216 the monolayer, for example the coexistence of expanded-condensed  
217 phase transitions in the film [22].

218 The compressibility modulus was calculated by the following equation 2  
219 [23]

220

$$221 \quad C^{-1} = -A \cdot \left( \frac{d\Pi}{dA} \right)_T \quad (2)$$

222

223 Compressibility modulus values in the presence of 11 mM Phe at pH 5.0  
224 are lower than those obtained in its absence, indicating high elasticity in  
225 the system. It is possible that Phe inserts in open spaces in the  
226 monolayers giving a higher area per lipid and a lower compressibility.  
227 This insertion would account for the partial disappearance of the

228 coexistence in DPPC curves as shown in Figure 3A. At pH 7.3 a decrease  
229 on the compressibility modules (Figure 3B) is also observed while the  
230 area change is  $8.6 \text{ \AA}^2$ . This also can be observed in panels A and B. At 26  
231 mN/m, there are similar area changes at both pH induced by Phe.  
232 However, at 40 mN/m, the area change is only observed at pH 5.0 but not  
233 at pH 7.3.

234 The measurements of surface pressure, compressibility and calculated  
235 area per molecule suggest that Phe inserts differently at pH 5.0 than at pH  
236 7.3 into DPPC monolayers at 40 mN/m. To support this suggestion the  
237 electrical properties were evaluated by measuring the dipole potential. In  
238 Figure 4, the change of the dipole potential as a function of the surface  
239 pressure is shown. At pH 5.0 the dipole potential of DPPC monolayers  
240 decreases in the presence of Phe from  $442 \pm 10 \text{ mV}$  to nearly  $286 \pm 24$   
241 mV, i.e.  $\Delta\psi = -156 \text{ mV}$  (Table 1). This stabilization is reached at 15  
242 mN/m This behavior is in agreement with the area increase observed in  
243 Figure 3 A. At pH 7.3, the dipole potential stabilizes at less than 5 mN/m  
244 in a higher value in comparison to that of monolayers without Phe  
245 opposite to that at pH 5.0. An explanation for this difference could be that  
246 Phe can fit in the membrane and oppose to the dipole at the interface  
247 more efficiently at pH 5.0 than at pH 7.3. At pH 7.3, the increase in  
248 dipole potential is compatible with dipoles organized at the external  
249 surface, i.e. without significant insertion (Figure 5).

250 In resume, at pH 5.0 we found a significant increase in area per lipid,  
251 concomitant with an increase on the surface pressure and a decrease on  
252 the dipole potential. A simple explanation of this result could be the  
253 formation of a complex between Phe and DPPC as previously proposed  
254 by Petelska et al. [20]. In this complex, the dipole of Phe opposes that of  
255 the lipid monolayer. As changes in the dipole potential may involve water  
256 dipoles reorganization it is likely that this complex may be formed at

257 expense of water removal [24,25]. At pH 7.3 no significant change of  
258 area were observed. In addition, the surface pressure decrease in  
259 condensed monolayer is accompanied by an increase of the dipole  
260 potential. How these results may be explained?. At pH 7.3, the dipole  
261 potential of DPPC is significantly lower than at pH 5, denoting that some  
262 dipoles are flat with respect to the plane or less water is polarized. Simon  
263 and McIntosh [26] have discussed about the contribution of the oriented  
264 dipoles in the head group region and other molecules to the dipole  
265 potential and also reported that the magnitude of the hydration pressure  
266 depends on the size of the dipole potential. This analysis allows us to  
267 explain our results with regard to the increase of the dipole potential and  
268 the decrease in surface pressure observed at pH 7.3. Besides the electric  
269 field produced by the dipoles could polarize the interface water yielding a  
270 different distribution of lipids around Phe. This means that the orientation  
271 of dipoles opposing normal to the surface favors the Phe interaction. In  
272 this condition the decrease in surface pressure induced by Phe could be  
273 explained by a direct interaction of the lipid head groups with amino acid,  
274 condensing some lipids in the surface, which would promote the  
275 formation of lipid free spaces. This would be congruent with the increase  
276 in surface tension at the air-water interface.

277

#### 278 **IV. CONCLUSIONS**

279 Data in literature are reported at pH 5.0 and usually these results are  
280 extrapolated to physiological conditions. However, according to our  
281 results, the interactions of Phe at pH 7.3 seem to follow a completely  
282 different trend.

283 At pH 5.0, Phe would be inserted into the membrane increasing the  
284 distance between the lipid molecules, reducing the degree of order of the  
285 dipoles in the membrane and thereby creating a lower total dipole.

286 However, the opposite effect observed at pH 7.3, could be due to the  
287 interaction of Phe with phospholipids at the interface regions, generating  
288 a reconfiguration of the lipid arrangement with areas of higher lipid  
289 packing. This new arrangement in the monolayer causes the existence of  
290 a higher orientation of dipoles of lipid and water molecules contributing  
291 to a higher overall dipole moment.

292 Concluding remarks: At pH 5.0 Phe perturbs the structure of the  
293 monolayer forming a complex Phe-DPPC which is not found at pH 7.3.

294 At pH 7.3 Phe may organize at the surface of the lipid arrangements  
295 suggesting a film adsorbed on the lipids rather than an insertion.

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### TABLE I

422

423 **Effect of Phe 11 mM on the dipole potential and areas of DPPC**  
 424 **monolayers (40 mN/m) at different pHs**

425

Lipid	Sub phase	pH	$\psi$ (mV)	$\Delta\psi$ (mV)	Area/lipid ( $\text{\AA}^2$ )	$\Delta$ Area ( $\text{\AA}^2$ )	$\Delta\pi$ (mN/m)
DPPC	KCl	5	$442 \pm 10$		$50.1 \pm 1.0$		
DPPC	KCl/ Phe	5	$286 \pm 24$	-156	$70.8 \pm 3.7$	+19.9	$4.3 \pm 0.6$
DPPC	HEPES 10 mM	7.3	$152 \pm 15$		$52.0 \pm 1.0$		
DPPC	HEPES 10 mM/ Phe	7.3	$422 \pm 13$	+270	$60.6 \pm 0.6$	+8.6	$-11.8 \pm 0.1$

426



427

428

429 Errors are reported as standard deviations of three different batches of lipid  
430 preparations and averaged.

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#### 444 LEGENDS TO THE FIGURES.

445

#### 446 FIGURE 1

447 A.- Perturbation of the surface pressure by the addition of increasing Phe  
448 concentration at the subphase at pH 5.  $\Pi^0 = 40$  mN/m (■),  $\Pi^0 = 26$  mN/m  
449 (●).

450 B.- Perturbation of the surface pressure by the addition of increasing Phe  
451 concentration at the subphase at pH 7.3.  $\Pi^0 = 40$  mN/m (■),  $\Pi^0 = 26$   
452 mN/m (●). Temperature: 20 °C.

453 Errors are reported as standard deviations of three different batches of  
454 lipid preparations and averaged.

455

456 **FIGURE 2**

457 Variation of the surface pressure as a function of time after Phe injection  
458 to reach a final concentration of 1mM in DPPC monolayer spread on KCl  
459 pH 5.0 (A) or HEPES 7.3 (B). Dashed lines (--) correspond to the fitting of  
460 data with the equation 1. The initial surface pressure was 40mN/m for  
461 both assays.

462

463 **FIGURE 3**

464 (A) Surface pressure /area per lipid isotherms of DPPC monolayers on  
465 KCl 1mM pH 5 (black full line) or HEPES 10 mM, pH 7.3 (grey lines  
466 grey) in the absence and at pH: 5 (dash black line) or pH: 7.3 (dash grey  
467 line) in the presence of Phe 11 mM.

468 B) Curves of inverse compressibility modulus/molecular area in KCl  
469 1mM pH 5.0 with (dashed line) and without (full line) Phe.

470 C) Inverse compressibility of DPPC in HEPES 10 mM, pH 7.3 in the  
471 absence (full line) and the presence of Phe 11mM (dash line ).

472

473 **FIGURE 4.**

474 Changes of dipole potential produced by 11mM Phe added to the  
475 subphase of KCl 1mM at pH 5.0 (●) and HEPES 10mM at pH 7.3 (◆)

476 Errors are reported as standard deviation of three different batches of  
477 lipid preparations and averaged.

478

479 **FIGURE 5**

480 A) Scheme of the proposed location Phe in the lipid monolayer at the two  
481 different pHs studied.

482 B) Molecular area shift of the two Phe-DPPC arrangements.

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**HIGHLIGHTS.**

Phe inserts in DPPC monolayers at pH 5  
Phe forms a complex with PC molecules.  
Phe forms films on the monolayer surface at pH 7

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Figure 1

Manuscript

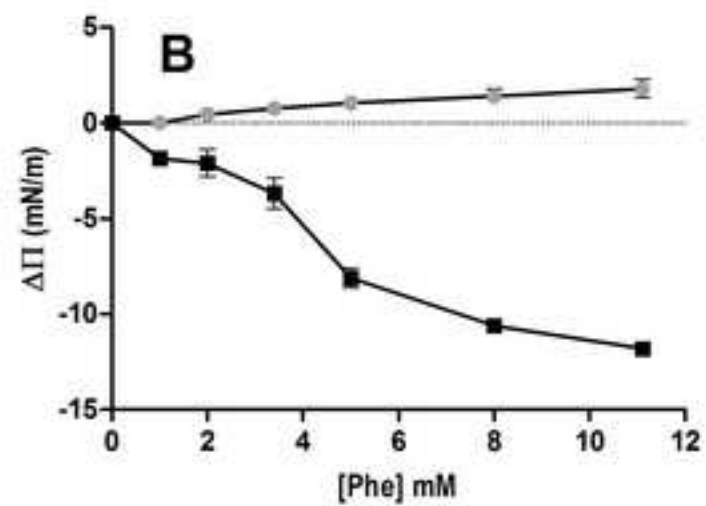
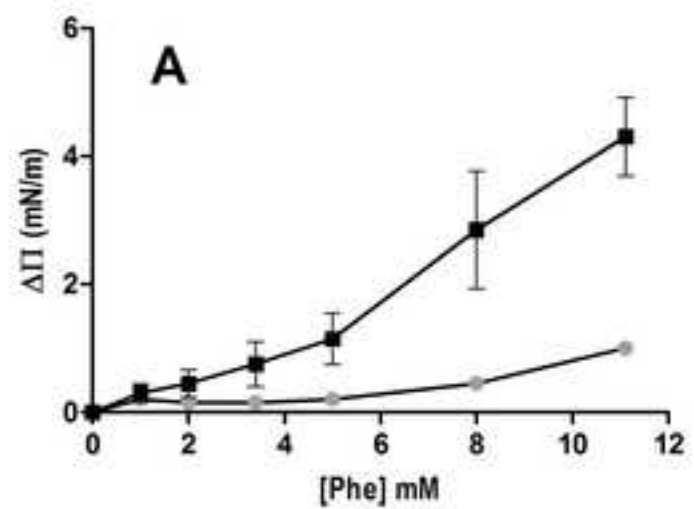


Figure 2

Manuscript

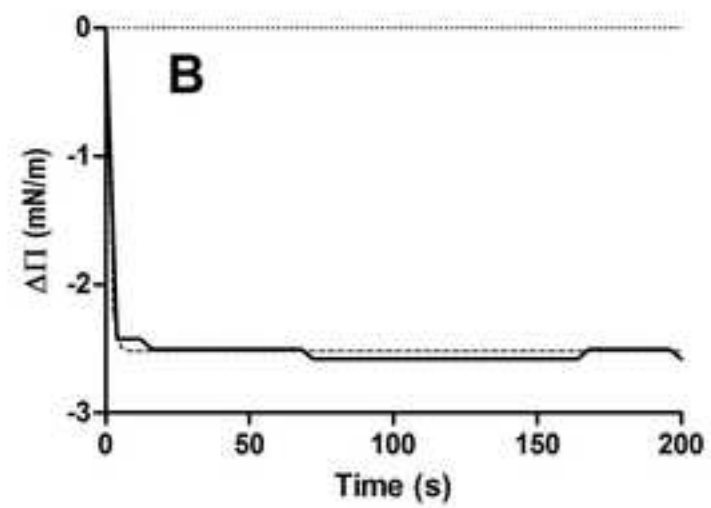
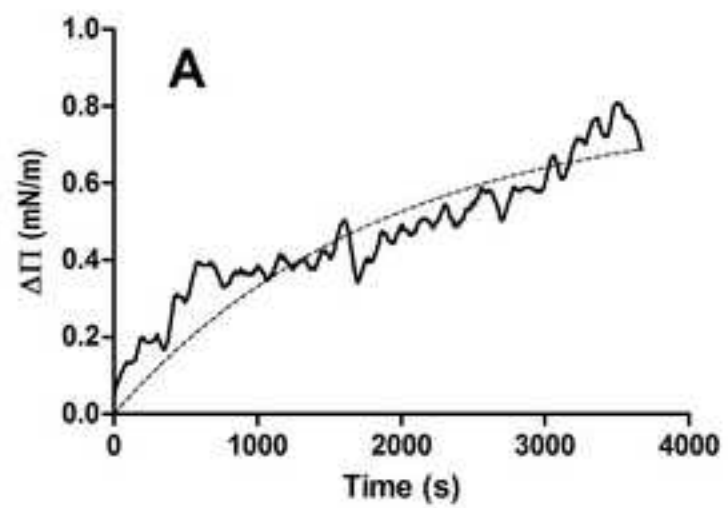
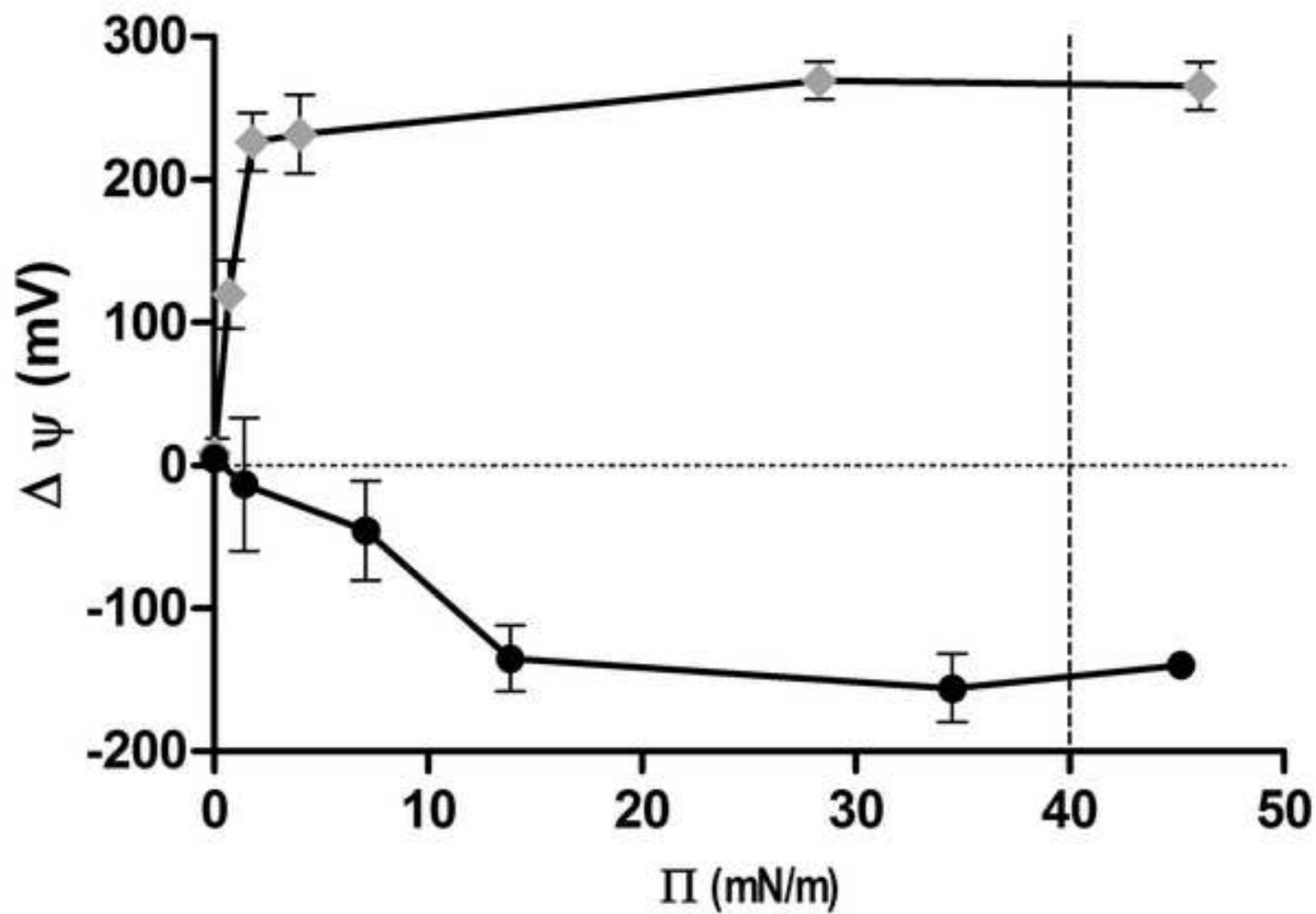
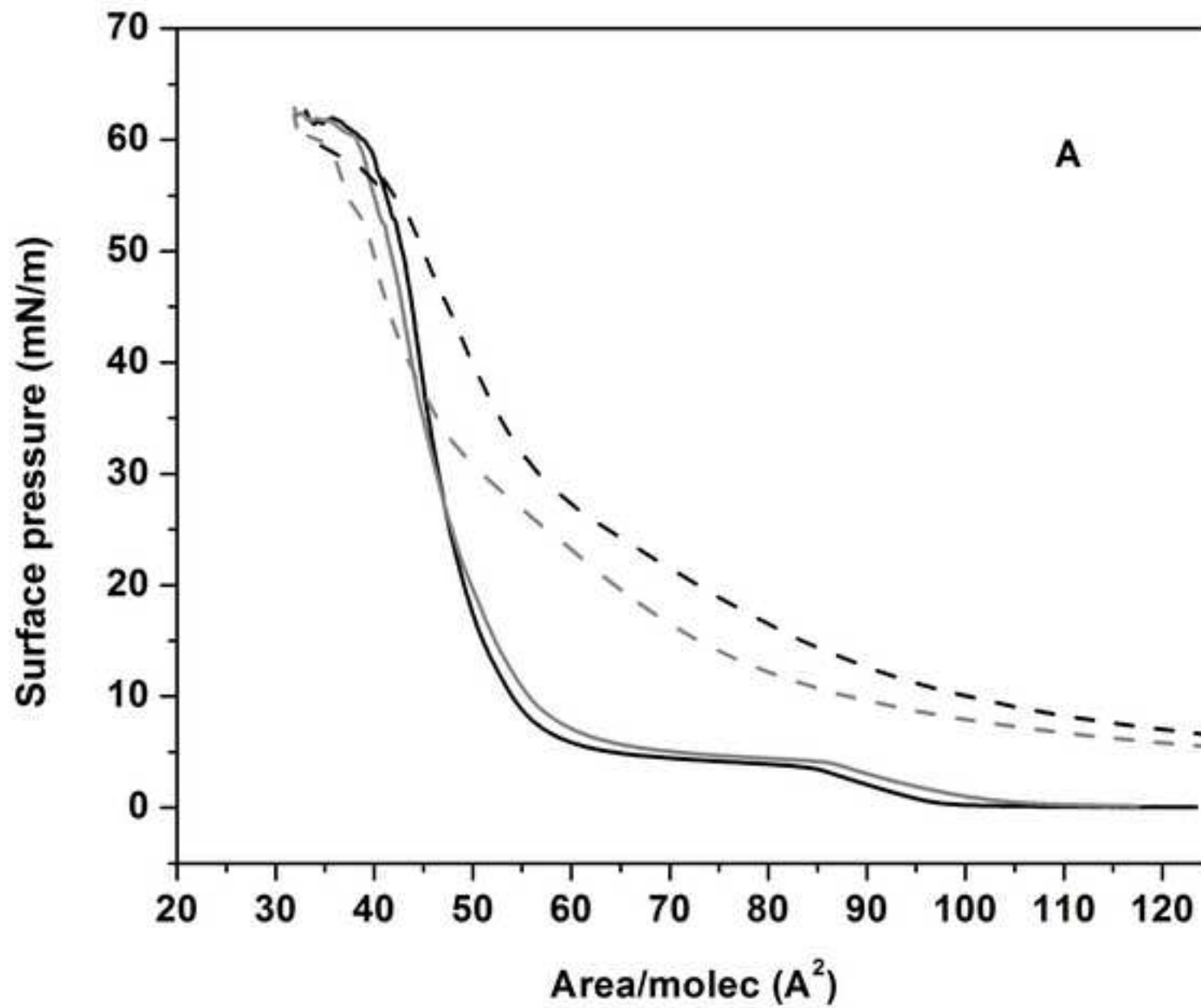


Figure 4



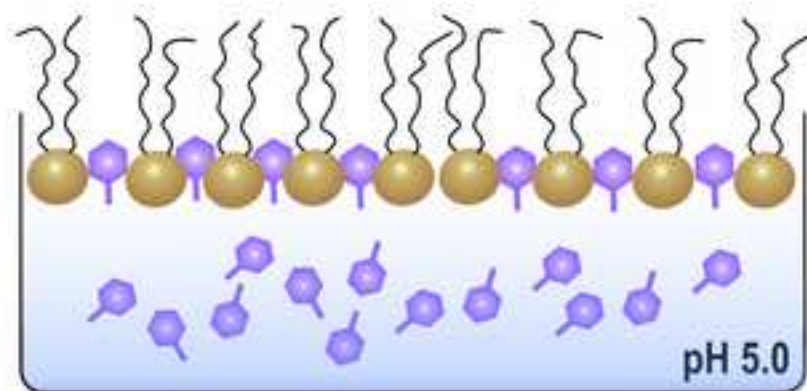
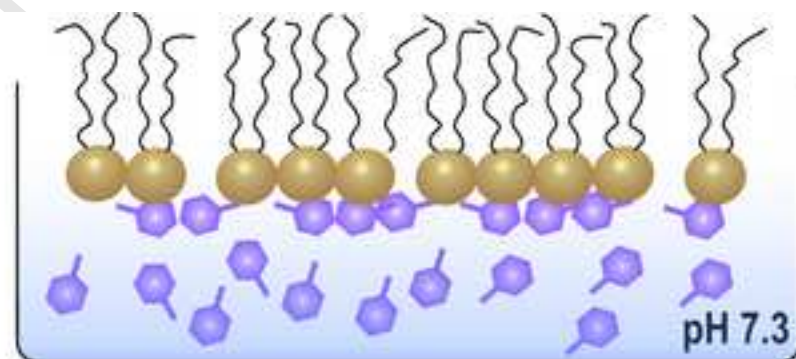
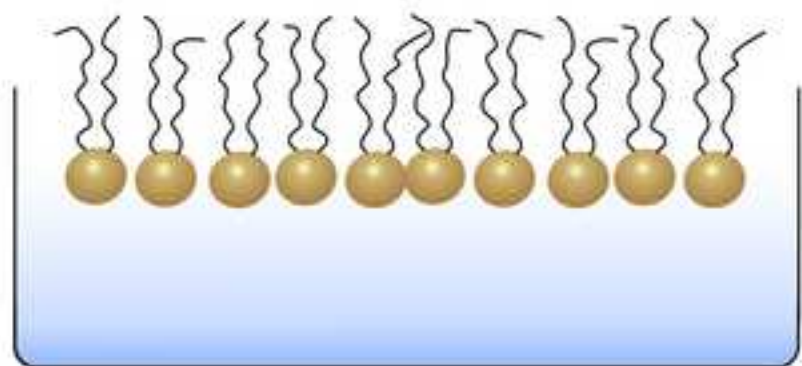




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Figure 5

**A**



**B**

50.1 - 52.0 Å<sup>2</sup>



60.6 Å<sup>2</sup>

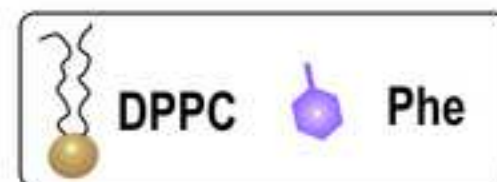


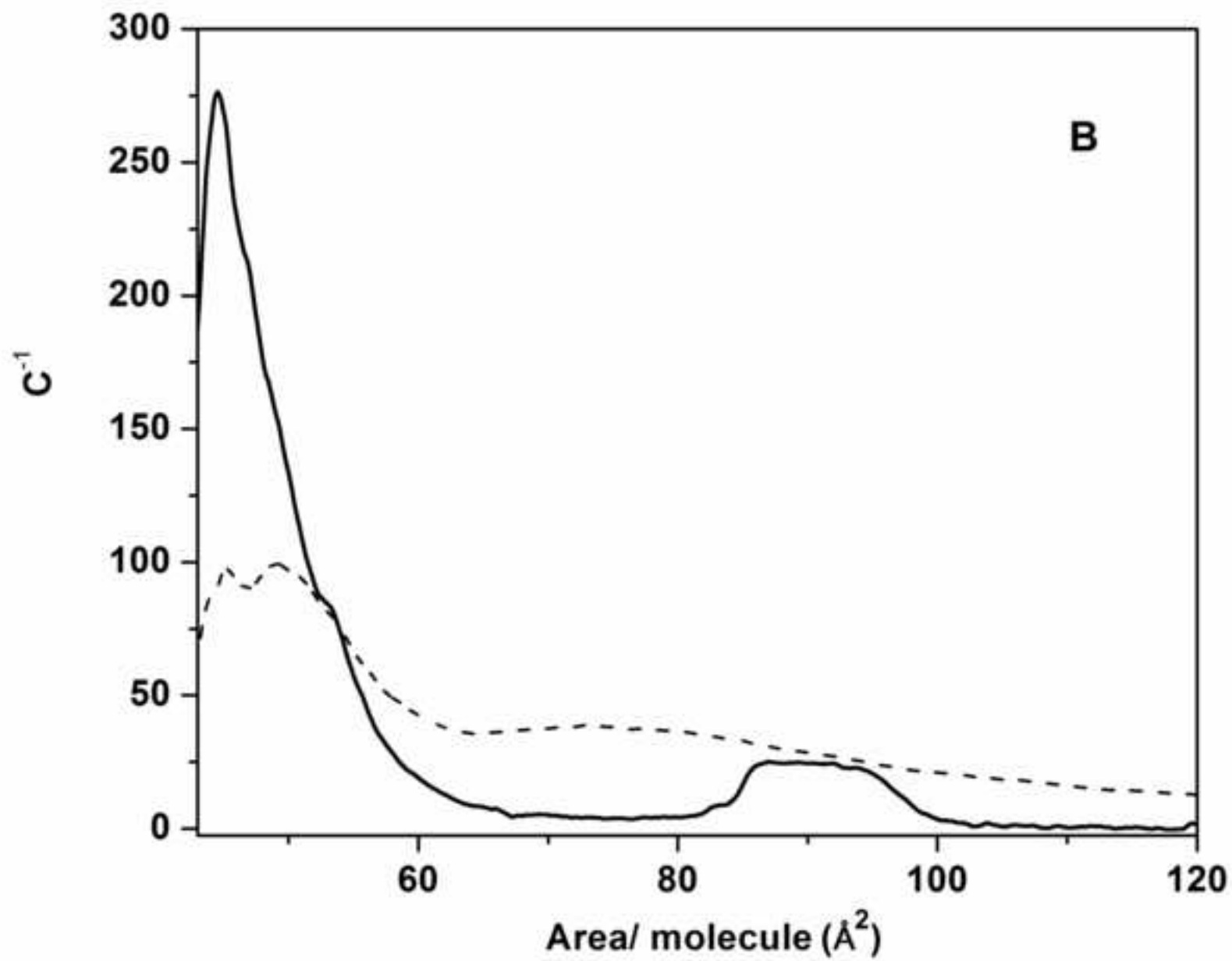
pH 7.3

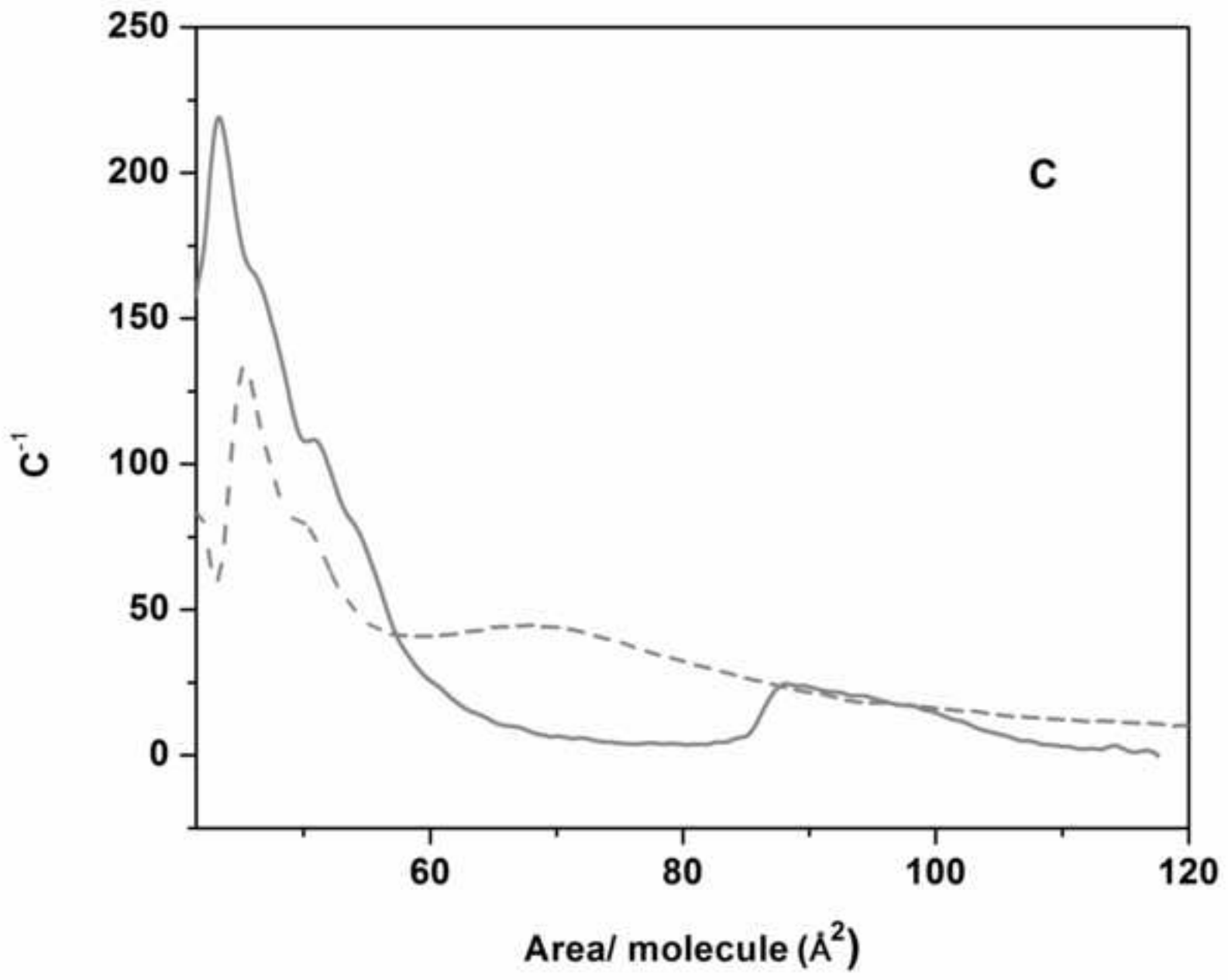
70.8 Å<sup>2</sup>



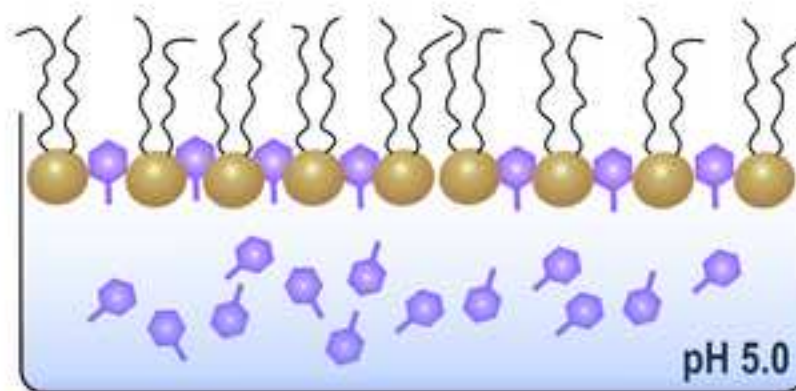
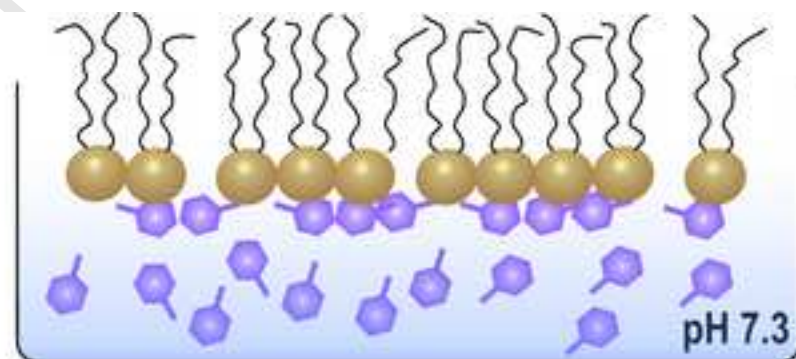
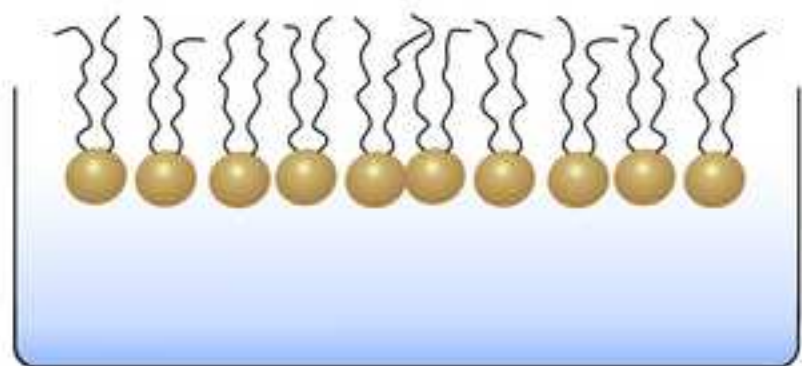
pH 5.0







**A**



**B**

50.1 - 52.0 Å<sup>2</sup>



60.6 Å<sup>2</sup>



pH 7.3

70.8 Å<sup>2</sup>



pH 5.0

