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Fig. S1 One-dimensional loading plots in PC1 performed on the NIR spectra of GOS Cup Oligo H-70®, GOS Biotempo and lactulose equilibrated at different RH (Fig. 3).

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- Determination of amorphous/rubbery states in freeze-dried prebiotic 1
- sugars using a combined approach of near-infrared spectroscopy and
- multivariate analysis 3

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ABSTRACT

Galacto-oligosaccharides (GOS) and lactulose are well-recognized prebiotics widely used in functional food and 20 pharmaceutical products, but there is still a lack of knowledge regarding their physical-chemical properties. In 21 this study, a physical-chemical approach on two GOS of different composition (GOS Cup Oligo H-70® and GOS 22 Biotempo) and lactulose was assessed. Mid infrared and Raman spectra of the freeze-dried sugars allowed 23 their structural characterization in the amorphous state, lactulose, showing the main spectral differences. 24Freeze-dried sugars were then equilibrated at 4 °C at relative humidity (RH) ranging from 11% to 80%. Near-25 infrared reflectance spectra were registered in each condition in the 900- to 1700-nm region. A principal compo-26 nent analysis (PCA) was performed on the three sugars equilibrated at different RH. In all the three sugars, the 27 groups observed explained more than 95% of the variance and were related with the RH of the samples. Accord- 28ing to the loading plots of PC1, the main differences related with RH were observed in the 1380- to 1500-nm 29 region.

As the amorphous states are very sensitive to changes in temperature and moisture content, and the moisture 31 content is related with the parameter T-Tg (T: storage temperature; Tg: vitreous transition temperature), an 32 effort was made to determine this parameter directly from the NIR spectra. To this aim, a partial least square 33 model (PLS) was defined. Tg values obtained by differential scanning calorimetry (DSC) were used to calculate 34 the T-Tg values of reference. The model was validated with an independent set of data. The mean of predicted 35 values fitted nicely T-Tg obtained from DSC (correlation = 0.966; R^2 = 0.934), thus supporting the use of the 36 PLS model to investigate unknown samples. 37

The stability of amorphous sugars in foods and pharmaceuticals is of practical and economical importance 38 because it affects different quality attributes of foods, including texture, aroma retention and shelf life. Therefore, 39 predicting T-Tg, a parameter that is independent on the sugar investigated, directly from their NIR spectra is of 40 utmost importance to determine the shelf life of food and food-related products and up to our knowledge has 41 never been determined hereto. 42

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1. Introduction

Prebiotics are defined as "non-digestible food ingredients that bene-49 50ficially affect the host by selectively stimulating the growth and/or activity of one or a limited number of bacteria in the colon, thus improv-51 ing the host health" (Gibson & Roberfroid, 1995). From a chemical point 06 53of view, most of prebiotics are polyhydroxy compounds, including certain disaccharides and oligosaccharides. Among them, galacto-5455oligosaccharides (GOS) and lactulose have conclusively proven their 56efficiency as prebiotics (Barreteau, Delattre, & Michaud, 2006).

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GOS are composed by a variable number of galactose units (usually 57 from two to ten) and a terminal glucose unit, linked mostly by β 1-4 58 and B1-6 bonds (Casci & Rastall, 2006; Vera, Guerrero, Illanes, & 59 Conejeros, 2011). Their prebiotic effect is mostly associated with trisac- 60 charides [GOS with degree of polymerization equal to 3 (DP3)] and 61 tetrasaccharides (GOS DP4) (Tymczyszyn, Gerbino, Illanes, & Gómez- 62 Zavaglia, 2011). Lactulose is a disaccharide (4-O-B-D-galactopyranosyl- 63 p-fructose) produced by isomerization of lactose during heat treatment 64 of lactose containing foods (Marconi et al., 2003) or by chemical or enzy- 65 matic isomerization of lactose (Aider & de Halleux, 2007; Guerrero, Vera, 66 Plou, & Illanes, 2011; Kim & Oh, 2012; Zokaee, Kaghazchi, Zare, & 67 Soleimani, 2002).

The stabilizing effect of GOS and lactulose have been recently ex- 69 plained on the basis of their ability to form glassy matrices of high 70

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Nomenclature

GOS	galacto-oligosaccharides
DP	degree of polymenzation
Ig	vitreous transition temperature
RH	relative humidity
DSC	differential scanning calorimetry
NIR	near-infrared spectroscopy
PCA	principal component analysis
PLS	partial least squares
RMSEC	root mean square error of calibration
RMSEP	root mean square error of prediction

viscosity and low molecular mobility where molecular interactions are
restricted (Golowczyc et al., 2013; Santos, Gerbino, Araujo-Andrade,
Tymczyszyn, & Gómez-Zavaglia, 2014; Tymczyszyn et al., 2011, 2012).
The stabilization of these glassy matrices occurs below the glass transition temperatures (Tg) (Tymczyszyn et al., 2012). However, the glassy
state mobility of small molecules (i.e., water, gases and small organic

molecules) is still possible and may lead to crystallization, browning,
etc., finally resulting in destabilization of amorphous compounds or
formulations (Franks, 1999; Le Meste, Champion, Boudaut, Blond, &
Simatos, 2002; Roos, 2009).

For this reason, Tg itself is important only as a macroscopic parame-81 82 ter, and for an adequate preservation of food and food-related products, a low molecular mobility is also required. In practice, the low molecular 83 mobility is attained at low water contents and low storage temperatures 84 (Schoonman, Ubbink, Bisperink, Le Meste, & Karel, 2002; Tromp, Parker, 85 86 & Ring, 1997; Tymczyszyn et al., 2012). Therefore, the parameter T-Tg 87 (T being the temperature of storage) is more appropriate to assess the 88 correctness of storage conditions. This indicates that the best condition will be the one in which the temperature of storage is as far as possible 89 below Tg. As T-Tg is independent on the sugar analyzed, the determina-90 91 tion of T-Tg represents a useful tool to investigate the stability of amor-92 phous sugars in foods and pharmaceuticals, which is of practical and economical importance because it affects different quality attributes of 93 foods (i.e., texture, aroma retention and shelf life) (Franks, 1999; Le 94 Meste et al., 2002; Roos, 2009). 95

96 Even when the usefulness of differential scanning calorimetry (DSC) 97 to determine Tg is doubtless, vibrational spectroscopy-based methods, including near-infrared spectroscopy (NIR), provide fast and valuable 98 physico-chemical information due to its sensitivity to hydrogen bond-99 100 ings (Alander, Bochko, Martinkauppi, Saranwong, & Mantere, 2013; 101 Bonanno, Olinger, & Griffiths, 1992; Small, 2006). For this reason, NIR spectroscopy is particularly useful to determine changes related with 102water structure and to analyze physical changes associated with amor-103 phous states (Buckton, Yonemochi, Hammond, & Moffat, 1998; 104 Buckton, Yonemochi, Yoon, & Moffat, 1999; Campbell, Campbell, 105106 Campbell, Fontana, & Ozanich, 2006; Czarnik-Matusewicz & Pilorz, 1072006; Czarnik-Matusewicz, Pilorz, & Hawranek, 2005; Delwiche, Pitt, & Norris, 1992; Giangiacomo, 2006; Grohganz et al., 2010; Izutsu, 108Hiyama, Yomota, & Kawanishi, 2009). It has been recently used to inves-109tigate the stabilizing effect of GOS and lactulose on freeze-dried lactic 110 111 acid bacteria (Santos et al., 2014).

Considering the relevance of T-Tg to predict the storage stability of 112 dehydrated products and the accuracy of NIR to quickly provide reliable 113 information about amorphous states, the aim of this work was to devel-114 op a multivariate model to predict T-Tg directly from the NIR spectra. 115 For this purpose, two GOS of different composition and the disaccharide 116 lactulose were equilibrated at 4 °C at different RH, and Tg values were 117 determined using DSC. In parallel, the NIR spectra were registered at 118 all RH and used to define a partial least squares (PLS) model. The infor-119 120 mation provided by DSC was used to calculate T-Tg values of reference.

2. Materials and methods

2.1. Galacto-oligosaccharides and lactulose

Two types of GOS consisting in mixtures of carbohydrates containing 123 galactose and glucose as monomers were studied: a commercial syrup 124 Cup Oligo H-70® (Kowa Company, Tokyo, Japan) kindly donated by 125 Kochi S.A. (Santiago, Chile) and GOS Biotempo synthesized and kindly 126 donated by Biotempo S.L. (Guimarães, Portugal). Cup Oligo H-70® 127 contained 75% GOS of different DP: 4% of high-molecular-weight 128 oligosaccharides (DP \geq 5), 21% of tetrasaccharides (DP4), 47% of trisac-129 charides (DP 3), 23% of disaccharides (DP2) and lactose and 5% of mono-130 saccharides, including glucose and galactose (Tymczyszyn et al., 2011). 131 GOS Biotempo contained 97% GOS of different DP: 8% of pentasaccharides (DP3), 42% of tetrasaccharides (DP4) and 47% of trisaccharides 133 (DP3) (Torres, Bastos, Goncalves, Teixeira, & Rodrigues, 2011). 134

A commercial lactulose [(4-O- β -D-galactopyranosyl-(1 \rightarrow 4)- β -D- 135 fructofuranose)] was provided by Discovery Fine Chemicals (Wimborne, 136 UK). 137

2.2. Freeze-drying procedure

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One-milliliter solutions of 20% w/v sugars were transferred into 5 mL 139 glass vials and frozen at -80 °C for 48 h, Freeze drying was carried out 140 on a Heto FD4 equipment (Heto Lab Equipment, Denmark) operating 141 with the condenser at -50 °C at a chamber pressure of 0.04 mbar. 142 The freeze drying process lasted for 48 h. 143

2.3. Structural analysis

FTIR and Raman spectra of the three sugars immediately after freeze 145 drying were registered. The FTIR spectra were registered in the 4000-to 146 500-cm⁻¹ range on KBr pellets, prepared with a ratio of 1 mg sugar/ 147 200 mg KBr. They were recorded in a transmission mode by co-adding 148 64 scans with 4-cm⁻¹ spectral resolution, on a Thermo Nicolet iS10 149 spectrometer (Thermo Scientific, MA, USA). 150

The Raman spectra were measured on a Renishaw 1000B Raman 151 spectrometer. The wavelength of excitation was 830 nm. The laser 152 power irradiation over the samples was 45 mW. Each spectrum was 153 registered with an exposure of 30 s₁ two accumulations, and collected 154 in the 1800- to 200-cm⁻¹ region with 2-cm⁻¹ spectral resolution. 155

The fluorescence contribution was removed by approximating a 156 polynomial function to the spectra and then subtracting it from the 157 spectra.

2.4. Humidification procedure

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Freeze-dried sugars were equilibrated for 15 days at 20 °C in atmo- 160 spheres of the following saturated salts: LiCl, KCH₃COO, MgCl₂, K₂CO₃, 161 Mg(NO₃)₂, NaCl and (NH₄)₂SO₄, giving RH 11[%], 22[%], 33[%], 44[%], 52[%], 162 75[%] or 80%, respectively. 163

2.5. Glass transition temperatures

Glass transitions of the freeze-dried lactulose were determined by 165 DSC (onset values, heating rate: 10 °C/min) using a Q100 calorimeter 166 (TA Instruments, USA), calibrated with indium, lead and zinc. Hermeti-167 cally sealed 40- μ L medium pressure pans were used (an empty pan 168 served as reference). An average value of at least two replicates is 169 reported. The standard deviation for glass transition temperatures measurement was \pm 1 °C.

For GOS Cup Oligo H-70® and GOS Biotempo, the values reported by 172 us in Tymczyszyn et al. (2012) and in Torres et al. (2011), respectively, 173 determined in the same conditions as those described in this work for 174 lactulose, were used for the analysis in Section 2.7. 175

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176 2.6. Near_infrared spectroscopy

NIR reflectance spectra corresponding to all humidified samples pre-177 178 pared as stated in Section 2.4 were collected in the 900- to 1700-nm spectral region. They were registered in an Ocean Optics spectropho-179tometer model NIRQuest 512 equipped with a thermoelectrically cooled 180 CCD in a linear array of 512 pixels at 20 °C with an optical resolution of 181 3 nm FWHM and a signal to noise ratio of 15000:1. A reflection fiber-182 183optic probe with low OH content, 400 µm core diameter and numerical aperture of 0.22 was used to illuminate the sample and collect the 184 backscattered light in a 45° configuration (probe/sample). A Tungsten-185Halogen lamp, model LS-1 from Ocean Optics Company, was used as 186radiation source. The power output of the lamp was of 6.5 W, working 187 in the visible/NIR range (360-2500 nm). The spectrometer, connected 188 to a computer, was controlled via SpectraSuite™ software from Ocean 189 Optics. The integration time was set at 600 ms, and five spectra were 190 taken non-consecutively for each sample in order to evaluate the spec-191 tral reproducibility during the collection stage. 192

193 2.7. Data analysis

Multivariate analysis and data pre-processing as mean centering and extended multiplicative scatter correction were performed on the NIR spectra, using The Unscrambler® software (version 9.8, CAMO, Norway).

In order to evaluate the spectral differences among sugars equili-198brated at different RHs, a principal component analysis (PCA) was 199200 performed over NIR spectra covering the whole range of RH investigated (from 11% to 80% RH). Taking into account the spectral differences 201associated to RH in the PCA scores plot, a PLS model was calibrated to 202 determine T-Tg values (Esbensen, 2005; Martens & Næs, 1989). To cal-203ibrate the model, a group of 34 NIR spectra covering the whole range of 204205T-Tg, including spectra obtained from independent experiments and repetitions, was used. Tg values obtained by DSC (Section 2.5) were 206used to calculate the parameter T-Tg used as reference. The reliability 207and robustness of the calibrated model was determined as a function 208of their coefficient of determination, R^2 , BIAS and their calibration and 209 prediction errors (RMSEC and RMSEP, indicating the average difference 210 between predicted and experimentally obtained results). High correla-211tion values and low errors indicate a good capacity to correctly predict 212 or classify unknown samples. A set of 34 spectra collected independent-213ly from those used for calibration (and in the same conditions of T-Tg) 214 were used to validate the model. All the information regarding the set 215up of PLS model is shown in Table 1. 216

217 3. Results and discussion

218 3.1. Structural analysis of sugars, FTIR and Raman spectra

As vibrational spectroscopic techniques, infrared and Raman spectroscopies provide information on both the chemical and physical states of samples. Figs. 1A and B depict the FTIR and Raman spectra of GOS Cup Oligo H-70®, GOS Biotempo and lactulose after freeze drying. In contrast with the spectra of crystalline sugars, where the organized structure of the unit cells originates narrow and highly resolved bands

t1.1 Table 1

t1.2 Data set preparation and performance results of partial least squares analysis.

Sample	
No. total of spectra collected	68
No. spectra used for calibration	34
No. spectra used for validation	34
No. spectra removed from the calibration (outliers)	0
Validation method	independent
Mathematical treatment	raw
PLS factors for prediction	8



Fig. 1. (A) FTIR spectra of GOS Biotempo (I), GOS Cup Oligo H-70® (II) and lactulose (III). (B) Raman spectra of GOS Biotempo (I), GOS Cup Oligo H-70® (II) and lactulose (III).

(Ahlqvist & Taylor, 2002), the spectra depicted in Figs. 1A and B are typical of the amorphous phases. They are constituted by broad and poorly resolved bands resulting from unordered structures. 227

The three spectra look similar in most of the FTIR regions (Fig. 1A). 228 The strong band at 3380 cm⁻¹ corresponds to the vOH vibrational 229 mode, those at 2935 and 2886 cm⁻¹, to ν CH vibrational modes, the 230 group of bands at ~1400 cm⁻¹, to the δ CH (Fig. 1A) (Sekkal, Dincq, 231 Legrand, & Huvenne, 1995). The band at 1643 cm^{-1} corresponds to 232 water molecules embedded in the amorphous sugar matrix. The main 233 differences in the FTIR spectra were observed in the so-called "finger- 234 print region" of sugars $(1200-900 \text{ cm}^{-1})$ (Sekkal et al., 1995). This re- 235 gion is rich in bands that collectively provide a pattern of bands 236 characteristic of the compound. The main vibrational modes absorbing 237 in this region are related with the C-O-C glycosidic linkage, the δ COH 238 and the ν C-C. The narrower band of lactulose in this region can be ex- 239 plained considering the simpler structural nature of this sugar. It is a di- 240 saccharide with only one glycosidic linkage. The FTIR spectra of other 241 disaccharides (maltose and cellobiose) also depict narrower fingerprint 242 bands than those of higher polymerized structures (Sekkal et al., 1995). 243

Raman spectroscopy also provides rich information about sugars. 244 However, it has been scarcely used to assess the structure of amorphous 245 sugars (Connolly, Patapoff, Wang, Moore, & Kamerzell, 2010; Q9 Söderholm et al., 1999). Even when the absorption of the Raman vibra- 247 tional modes does not differ significantly from that of the FTIR modes, 248

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the Raman spectra showed more noticeable differences betweenlactulose and GOS (Fig. 1B).

The bands observed in the 1200- to 1000- cm⁻¹ region can be 251 ascribed to the ν C-C and ν C-O vibrational modes (Söderholm et al., 2521999). The main differences between lactulose and GOS were observed 253in the 900- to 800- cm⁻¹ region. This region takes part of the so-called 254"anomeric region." In this regard, Söderholm et al. (1999) reported 255that amorphous α and β glucose can be distinguished in this region. 256257These bands were ascribed to skeletal modes involving the anomeric 258carbon (Söderholm et al., 1999). This also explains that these bands occur at different wave numbers for lactulose (816 and 873 cm^{-1}) 259and GOS (a broader band centered at 889 cm⁻¹). The bands occurred 260in the 550- to 300-cm⁻¹ region can be ascribed to the δ C-C-C, δ C-C-O, 261 δ C-O and τ C-C vibrational modes. Mathlouthi, Luu, Meffroy-Biget, and 010 Luu (1980) reported that the ν C-C-O vibrational mode of fructose 263 occurs at 531 cm⁻¹. Therefore, the band at 531 cm⁻¹ observed in the 264Raman spectrum of lactulose, a disaccharide composed by fructose 265and galactose, can be ascribed to this vibrational mode (Fig. 1BIII). 266

In summary, small changes were observed in the positions, widths
 and intensities of the bands in the spectra of the three sugars. The great er differences observed in lactulose can be explained considering both
 its structure of disaccharide and the occurrence of a fructose furanose
 ring instead of the pyranose rings of both GOS.

272 3.2. Effect of RH on the NIR spectra

The sensitivity of infrared spectroscopy to water molecules supports the use of IR-based methods to assess amorphous states of sugars. As the near-infrared is a cleaner region than the mid infrared, and NIR features originate from combinations and overtones of fundamental vibrations that may be involved in hydrogen bonds (OH, NH, CH and SH), this technique was selected to get an insight on the physical properties of GOS and lactulose.

Fig. 2 depicts the spectra of GOS Cup Oligo H70, GOS Biotempo and 280 lactulose at different RH. The main changes observed in the spectra 281 were the following: (a) a clear decrease of the shoulder at ~1571 nm 282 and (b) a decrease in the intensity of the band at 1204 nm. PCA per-283 formed on the three sugars equilibrated at different RH is shown in 284 Fig. 3. In all the three sugars, the groups observed explained more 285than 95% of the variance and were related with the RH of the samples. 286According to the loading plots in PC1 (Fig. S1), the main differences 287related with RH were observed in the 1380- to 1500-nm region. This 288region was recently related with the amorphous or rubbery state of 289290 samples (Santos et al., 2014). The capacity of infrared spectroscopy to 291 discriminate both states is directly related with the type of hydrogen bondings. These hydrogen bondings are predominantly weaker in the 292293amorphous state (established between sugar and water) than those in the rubbery state (predominantly established among water molecules). 294This explains the occurrence of the first overtone of the symmetric 295valence vibration (2vOH) at lower wave numbers in the rubbery state 296(Gergely & Salgo, 2003; Oldenhof, Wolkers, Fonseca, Passot, & Marin, 2972982005; Wolkers, Oliver, Tablin, & Crowe, 2004).

Considering the relevance of amorphous and rubbery states in the 299storage of food and food-related products and the sensitivity of NIR to 300determine them, an effort was made to calibrate an NIR-based PLS 301 model to assess the correctness of storage conditions. As low molecular 302 303 mobilities and low temperatures of storage are required for an adequate long-term preservation, the calibration of a PLS model on the basis of 304 T-Tg (T: 4 °C) instead of on the bases of Tg becomes a more adequate 305 approach. This way, the correctness of storage conditions can be evalu-306 ated directly from the spectra regardless the sugar under analysis 307(Schoonman et al., 2002; Tromp et al., 1997; Tymczyszyn et al., 2012). 308 The values of Tg, necessary to calculate T-Tg and construct the 309 model, were determined by DSC and are shown in Table 2. The vitrifica-310 tion capacity of the three sugars investigated follows the order GOS 311 312 Biotempo > GOS Cup Oligo H-70[®] > lactulose (Santos et al., 2014).



Fig. 2. NIR spectra of freeze-dried sugars equilibrated at different RH. (A) GOS Cup Oligo H-70®, (B) GOS Biotempo, (C) lactulose. Arrows indicate increase of RH.

GOS Biotempo are composed of oligosaccharides with degree of polymerization higher than 3 (Torres et al., 2011), GOS Cup Oligo-H70®, 314 and mono- and disaccharides (Tymczyszyn et al., 2011). It was reported that higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacand tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacand tetrasaccharides in mixtures of oligosacthat higher contents of tri and tetrasaccharides in mixtures of oligosacthat higher contents of this sugar against bacteria dehydration at low 320 RH (Santos et al., 2014). 321

3.3. PLS model

The PLS model was calibrated using 34 spectra (correlation = 0.994, 323 $R^2 = 0.989$, RMSEC = 3.131 °C, bias = 9.59×10^{-5}) (Tables 1 and 3). 324 The model was validated with an independent set of data composed of 325 34 spectra collected in the same RH conditions as those used in the cal-326 ibration set (Table 3). Fig. 4 depicts the independent validation results 327 for the prediction model developed to determine T-Tg. The root mean 328 square error of prediction (RMSEP) is depicted in the Y-error bars. The 329 use of spectra of the three sugars covering a broad range of T-Tg, both 330 in calibration and validation, strengthens the predictive capacity of the 331 model. The mean of predicted values nicely fits the T-Tg obtained 332 from DSC (Table 2), thus supporting the use of the PLS model to 333

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Fig. 3. PCA performed on the freeze-dried sugars equilibrated at different RH. (A) GOS Cup Oligo H-70®, (B) GOS Biotempo, (C) lactulose. The loading plots in PC1 are shown in Fig. S1.

t2.1 Table 2

t2.2 Vitreous transition temperatures of GOS Cup Oligo H-70®, GOS Biotempo and lactulose at t2.3 different RH.

t2.4	RH	GOS Cup Oligo H-70® ^a (°C)	GOS Biotempo ^b (°C)	Lactulose (this work) (°C)
t2.5	11	49.71	105.71	41.95
t2.6	22	36.77	84.76	33.1
t2.7	33	36.32	69.08	7.395
t2.8	44	13.92	51.43	1.715
t2.9	52	6.36	37.62	- 14.055
t2.10	75	-24.55	n.d.	-47.545
t2.11	80	-41.87	n.d.	n.d.
t2.12				- 36.99

Q1 ^a Values were taken from Tymczyszyn et al. (2012).
 Q2 ^b Values were taken from Torres et al. (2011).

Calibration set		Validation set	
Correlation	0.994	Correlation	0.966
R ^{2a}	0.989	R^{2a}	0.934
RMSEC ^b	3.131 °C	RMSEP ^c	8.051 °C
SEC ^d	3.178 °C	SEP ^e	8.148 °C
Bias	9.58 × 10 ^{−5} °C	Bias	-0.618 °C
a R^2 : coefficient of	of determination		

^e SEP: standard error of prediction.

investigate unknown samples. The complete data set of the perfor- 334 mance calibration and validation processes are shown in Table 3. 335

The amorphous states are very sensitive to changes in temperature 336 and moisture content. As mentioned, Tg is specific for each sugar and 337 also depends on experimental variables (Roos & Karel, 1991). Above 338 Tg, the molecular mobility is greatly increased and numerous amorphous compounds crystallize. For that reason, crystallization may take 340 place if either the temperature or the moisture content is increased 341 (Roos & Karel, 1991). This underlines the usefulness of the PLS model 342 developed. As the NIR spectra could be correlated with T-Tg, the 343 model can predict not only the behavior of different sugars but also 344 the behavior of sugars stored at different temperatures or RH. 345

4. Conclusions

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This work provided vibrational spectroscopic information about two 347 types of GOS and lactulose in the amorphous states. NIR spectroscopy 348 allowed the discrimination of samples equilibrated at different RH 349 (PCA). 350

As a low molecular mobility is crucial to avoid sugar crystallization 351 during storage, the determination of T-Tg, a parameter directly related 352 with water mobility and independent on the sugar considered, is very 353 useful to predict the storage behavior of food components. The sensitiv-354 ity of NIR to T-Tg is of great importance to determine the shelf life of 355 food and food-related products. Therefore, the application of the PLS 356 model to predict T-Tg will contribute to save time in determining the correct storage of food and food-related products, directly by registering 358 NIR spectra. 359

Supplementary data to this article can be found online at http://dx. 360 doi.org/10.1016/j.foodres.2014.07.040. 361



Fig. 4. Predicted vs reference values of T-Tg. T is the storage temperature (4 °C). The values of Tg depicted in Table 2 (determined by DSC) were used to determine the reference T-Tg.

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Author's contributions 362

M.S. and E.T. did the experimental work. M.S. and C.A.-A. did the 363 364 multivariate analysis, A.G.-Z. coordinated the work (analysis of results, discussion and writing of the manuscript). 365

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