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Fig. S1 One-dimensional loading plots in PC1 p equilibrated at different RH (Fig. 3).	erformed on the NIR spe	ectra of GOS Cup Oligo H-7	70®, GOS Biotempo and lactulose

# ARTICLE IN PR

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- Determination of amorphous/rubbery states in freeze-dried prebiotic
- sugars using a combined approach of near-infrared spectroscopy and
- multivariate analysis
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#### ABSTRACT

Galacto-oligosaccharides (GOS) and lactulose are well-recognized prebiotics widely used in functional food and 20 pharmaceutical products, but there is still a lack of knowledge regarding their physical-chemical properties. In 21 this study, a physical-chemical approach on two GOS of different composition (GOS Cup Oligo H-70® and GOS 22 Biotempo) and lactulose was assessed. Mid infrared and Raman spectra of the freeze-dried sugars allowed 23 their structural characterization in the amorphous state, lactulose, showing the main spectral differences. Freeze-dried sugars were then equilibrated at 4 °C at relative humidity (RH) ranging from 11% to 80%. Near- 25 infrared reflectance spectra were registered in each condition in the 900- to 1700-nm region. A principal compo- 26 nent analysis (PCA) was performed on the three sugars equilibrated at different RH. In all the three sugars, the 27 groups observed explained more than 95% of the variance and were related with the RH of the samples. Accord-

region. As the amorphous states are very sensitive to changes in temperature and moisture content, and the moisture 31 content is related with the parameter T-Tg (T: storage temperature; Tg: vitreous transition temperature), an 32 effort was made to determine this parameter directly from the NIR spectra. To this aim, a partial least square 33 model (PLS) was defined. Tg values obtained by differential scanning calorimetry (DSC) were used to calculate 34 the T-Tg values of reference. The model was validated with an independent set of data. The mean of predicted 35 values fitted nicely T-Tg obtained from DSC (correlation = 0.966;  $R^2 = 0.934$ ), thus supporting the use of the 36PLS model to investigate unknown samples.

ing to the loading plots of PC1, the main differences related with RH were observed in the 1380, to 1500, nm 29

The stability of amorphous sugars in foods and pharmaceuticals is of practical and economical importance 38 because it affects different quality attributes of foods, including texture, aroma retention and shelf life. Therefore, 39 predicting T-Tg, a parameter that is independent on the sugar investigated, directly from their NIR spectra is of 40 utmost importance to determine the shelf life of food and food-related products and up to our knowledge has 41 never been determined hereto.

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# 1. Introduction

Prebiotics are defined as "non-digestible food ingredients that beneficially affect the host by selectively stimulating the growth and/or activity of one or a limited number of bacteria in the colon, thus improving the host health" (Gibson & Roberfroid, 1995). From a chemical point of view, most of prebiotics are polyhydroxy compounds, including certain disaccharides and oligosaccharides. Among them, galactooligosaccharides (GOS) and lactulose have conclusively proven their efficiency as prebiotics (Barreteau, Delattre, & Michaud, 2006).

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GOS are composed by a variable number of galactose units (usually 57 from two to ten) and a terminal glucose unit, linked mostly by  $\beta$ 1-4 58 and β1-6 bonds (Casci & Rastall, 2006; Vera, Guerrero, Illanes, & 59 Conejeros, 2011). Their prebiotic effect is mostly associated with trisaccharides [GOS with degree of polymerization equal to 3 (DP3)] and 61 tetrasaccharides (GOS DP4) (Tymczyszyn, Gerbino, Illanes, & Gómez- 62 Zavaglia, 2011). Lactulose is a disaccharide (4-O-β-D-galactopyranosyl- 63 D-fructose) produced by isomerization of lactose during heat treatment 64 of lactose containing foods (Marconi et al., 2003) or by chemical or enzymatic isomerization of lactose (Aider & de Halleux, 2007; Guerrero, Vera, 66 Plou, & Illanes, 2011; Kim & Oh, 2012; Zokaee, Kaghazchi, Zare, & 67 Soleimani, 2002).

The stabilizing effect of GOS and lactulose have been recently ex- 69 plained on the basis of their ability to form glassy matrices of high 70

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#### Nomenclature

GOS galacto-oligosaccharides DP degree of polymerization Tg vitreous transition temperature relative humidity RH DSC differential scanning calorimetry NIR near-infrared spectroscopy **PCA** principal component analysis PLS partial least squares root mean square error of calibration RMSEC **RMSEP** root mean square error of prediction

viscosity and low molecular mobility where molecular interactions are restricted (Golowczyc et al., 2013; Santos, Gerbino, Araujo-Andrade, Tymczyszyn, & Gómez-Zavaglia, 2014; Tymczyszyn et al., 2011, 2012). The stabilization of these glassy matrices occurs below the glass transition temperatures (Tg) (Tymczyszyn et al., 2012). However, the glassy state mobility of small molecules (i.e, water, gases and small organic molecules) is still possible and may lead to crystallization, browning, etc., finally resulting in destabilization of amorphous compounds or formulations (Franks, 1999; Le Meste, Champion, Boudaut, Blond, & Simatos, 2002; Roos, 2009)

For this reason, Tg itself is important only as a macroscopic parameter, and for an adequate preservation of food and food-related products, a low molecular mobility is also required. In practice, the low molecular mobility is attained at low water contents and low storage temperatures (Schoonman, Ubbink, Bisperink, Le Meste, & Karel, 2002; Tromp, Parker, & Ring, 1997; Tymczyszyn et al., 2012). Therefore, the parameter T-Tg (T being the temperature of storage) is more appropriate to assess the correctness of storage conditions. This indicates that the best condition will be the one in which the temperature of storage is as far as possible below Tg. As T-Tg is independent on the sugar analyzed, the determination of T-Tg represents a useful tool to investigate the stability of amorphous sugars in foods and pharmaceuticals, which is of practical and economical importance because it affects different quality attributes of foods (i.e., texture, aroma retention and shelf life) (Franks, 1999; Le Meste et al., 2002; Roos, 2009).

Even when the usefulness of differential scanning calorimetry (DSC) to determine Tg is doubtless, vibrational spectroscopy-based methods, including near-infrared spectroscopy (NIR), provide fast and valuable physico-chemical information due to its sensitivity to hydrogen bondings (Alander, Bochko, Martinkauppi, Saranwong, & Mantere, 2013; Bonanno, Olinger, & Griffiths, 1992; Small, 2006). For this reason, NIR spectroscopy is particularly useful to determine changes related with water structure and to analyze physical changes associated with amorphous states (Buckton, Yonemochi, Hammond, & Moffat, 1998; Buckton, Yonemochi, Yoon, & Moffat, 1999; Campbell, Campbell, Campbell, Fontana, & Ozanich, 2006; Czarnik-Matusewicz & Pilorz, 2006; Czarnik-Matusewicz, Pilorz, & Hawranek, 2005; Delwiche, Pitt, & Norris, 1992; Giangiacomo, 2006; Grohganz et al., 2010; Izutsu, Hiyama, Yomota, & Kawanishi, 2009). It has been recently used to investigate the stabilizing effect of GOS and lactulose on freeze-dried lactic acid bacteria (Santos et al., 2014).

Considering the relevance of T-Tg to predict the storage stability of dehydrated products and the accuracy of NIR to quickly provide reliable information about amorphous states, the aim of this work was to develop a multivariate model to predict T-Tg directly from the NIR spectra. For this purpose, two GOS of different composition and the disaccharide lactulose were equilibrated at 4 °C at different RH, and Tg values were determined using DSC. In parallel, the NIR spectra were registered at all RH and used to define a partial least squares (PLS) model. The information provided by DSC was used to calculate T-Tg values of reference.

### 2. Materials and methods

2.1. Galacto-oligosaccharides and lactulose

Two types of GOS consisting in mixtures of carbohydrates containing 123 galactose and glucose as monomers were studied: a commercial syrup 124 Cup Oligo H-70® (Kowa Company, Tokyo, Japan) kindly donated by 125 Kochi S.A. (Santiago, Chile), and GOS Biotempo synthesized and kindly 126 donated by Biotempo S.L. (Guimarães, Portugal). Cup Oligo H-70® 127 contained 75% GOS of different DP: 4% of high-molecular-weight 128 oligosaccharides (DP  $\geq 5$ ), 21% of tetrasaccharides (DP4), 47% of trisaccharides (DP 3), 23% of disaccharides (DP2) and lactose and 5% of monosaccharides, including glucose and galactose (Tymczyszyn et al., 2011). 131 GOS Biotempo contained 97% GOS of different DP: 8% of pentasaccha- 132 rides (DP5), 42% of tetrasaccharides (DP4) and 47% of trisaccharides 133 (DP3) (Torres, Bastos, Goncalves, Teixeira, & Rodrigues, 2011).

A commercial lactulose [(4-O- $\beta$ -D-galactopyranosyl-(1  $\rightarrow$  4)- $\beta$ -P- 135 fructofuranose)] was provided by Discovery Fine Chemicals (Wimborne, 136 UK).

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# 2.2. Freeze-drying procedure

One-milliliter solutions of 20% w/v sugars were transferred into 5 mL 139 glass vials and frozen at -80 °C for 48 h, Freeze drying was carried out 140 on a Heto FD4 equipment (Heto Lab Equipment, Denmark) operating 141 with the condenser at -50 °C at a chamber pressure of 0.04 mbar. 142 The freeze-drying process lasted for 48 h.

### 2.3. Structural analysis

FTIR and Raman spectra of the three sugars immediately after freeze, 145 drying were registered. The FTIR spectra were registered in the 4000- to 146 500-cm<sup>-1</sup> range on KBr pellets, prepared with a ratio of 1 mg sugar/ 147 200 mg KBr. They were recorded in a transmission mode by co-adding 148 64 scans with 4-cm<sup>-1</sup> spectral resolution, on a Thermo Nicolet iS10 149 spectrometer (Thermo Scientific, MA, USA).

The Raman spectra were measured on a Renishaw 1000B Raman 151 spectrometer. The wavelength of excitation was 830 nm. The laser 152 power irradiation over the samples was 45 mW. Each spectrum was 153 registered with an exposure of 30 s, two accumulations, and collected 154 in the 1800- to 200-cm<sup>-1</sup> region with 2-cm<sup>-1</sup> spectral resolution.

The fluorescence contribution was removed by approximating a 156 polynomial function to the spectra and then subtracting it from the 157 spectra. 158

# 2.4. Humidification procedure

Freeze-dried sugars were equilibrated for 15 days at 20 °C in atmo- 160 spheres of the following saturated salts: LiCl, KCH<sub>3</sub>COO, MgCl<sub>2</sub>, K<sub>2</sub>CO<sub>3</sub>, 161 Mg(NO<sub>3</sub>)<sub>2</sub>, NaCl and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, giving RH 11%, 22%, 33%, 44%, 52%, 162 75% or 80%, respectively.

# 2.5. Glass transition temperatures

Glass transitions of the freeze-dried lactulose were determined by 165 DSC (onset values, heating rate: 10 °C/min) using a Q100 calorimeter 166 (TA Instruments, USA), calibrated with indium, lead and zinc. Hermeti- 167 cally sealed 40-µL medium pressure pans were used (an empty pan 168 served as reference). An average value of at least two replicates is 169 reported. The standard deviation for glass transition temperatures mea- 170 surement was + 1 °C.

For GOS Cup Oligo H-70® and GOS Biotempo, the values reported by 172 us in Tymczyszyn et al. (2012) and in Torres et al. (2011), respectively, 173 determined in the same conditions as those described in this work for 174 lactulose, were used for the analysis in Section 2.7. 175 M.I. Santos et al. / Food Research International xxx (2014) xxx-xxx

### 2.6. Near-infrared spectroscopy

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NIR reflectance spectra corresponding to all humidified samples prepared as stated in Section 2.4 were collected in the 900- to 1700-nm spectral region. They were registered in an Ocean Optics spectrophotometer model NIRQuest 512 equipped with a thermoelectrically cooled CCD in a linear array of 512 pixels at 20 °C with an optical resolution of 3 nm FWHM and a signal to noise ratio of 15000:1. A reflection fiberoptic probe with low OH content, 400 µm core diameter and numerical aperture of 0.22 was used to illuminate the sample and collect the backscattered light in a 45° configuration (probe/sample). A Tungsten-Halogen lamp, model LS-1 from Ocean Optics Company, was used as radiation source. The power output of the lamp was of 6.5 W, working in the visible/NIR range (360-2500 nm). The spectrometer, connected to a computer, was controlled via SpectraSuite™ software from Ocean Optics. The integration time was set at 600 ms, and five spectra were taken non-consecutively for each sample in order to evaluate the spectral reproducibility during the collection stage.

# 2.7. Data analysis

Multivariate analysis and data pre-processing as mean centering and extended multiplicative scatter correction were performed on the NIR spectra, using The Unscrambler® software (version 9.8, CAMO, Norway).

In order to evaluate the spectral differences among sugars equilibrated at different RHs, a principal component analysis (PCA) was performed over NIR spectra covering the whole range of RH investigated (from 11% to 80% RH). Taking into account the spectral differences associated to RH in the PCA scores plot, a PLS model was calibrated to determine T-Tg values (Esbensen, 2005; Martens & Næs, 1989). To calibrate the model, a group of 34 NIR spectra covering the whole range of T-Tg, including spectra obtained from independent experiments and repetitions, was used. Tg values obtained by DSC (Section 2.5) were used to calculate the parameter T-Tg used as reference. The reliability and robustness of the calibrated model was determined as a function of their coefficient of determination,  $R^2$ , BIAS and their calibration and prediction errors (RMSEC and RMSEP, indicating the average difference between predicted and experimentally obtained results). High correlation values and low errors indicate a good capacity to correctly predict or classify unknown samples. A set of 34 spectra collected independently from those used for calibration (and in the same conditions of T-Tg) were used to validate the model. All the information regarding the set up of PLS model is shown in Table 1.

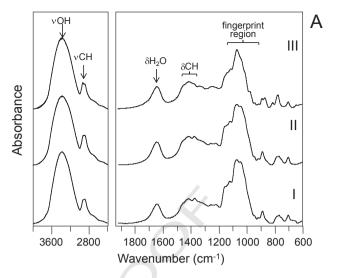
# 3. Results and discussion

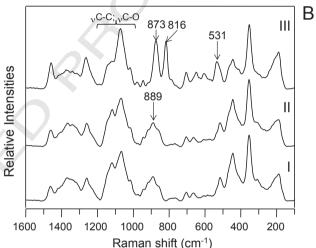
# 3.1. Structural analysis of sugars, FTIR and Raman spectra

As vibrational spectroscopic techniques, infrared and Raman spectroscopies provide information on both the chemical and physical states of samples. Figs. 1A and B depict the FTIR and Raman spectra of GOS Cup Oligo H-70®, GOS Biotempo and lactulose after freeze drying. In contrast with the spectra of crystalline sugars, where the organized structure of the unit cells originates narrow and highly resolved bands

t1.1 Table 1
 t1.2 Data set preparation and performance results of partial least squares analysis.

t1.3	Sample	
t1.4	No. total of spectra collected	68
t1.5	No. spectra used for calibration	34
t1.6	No. spectra used for validation	34
t1.7	No. spectra removed from the calibration (outliers)	0
t1.8	Validation method	independent
t1.9	Mathematical treatment	raw
t1.10	PLS factors for prediction	8





**Fig. 1.** (A) FTIR spectra of GOS Biotempo (I), GOS Cup Oligo H-70® (II) and lactulose (III). (B) Raman spectra of GOS Biotempo (I), GOS Cup Oligo H-70® (II) and lactulose (III).

(Ahlqvist & Taylor, 2002), the spectra depicted in Figs. 1A and B are typical of the amorphous phases. They are constituted by broad and poorly 226 resolved bands resulting from unordered structures.

The three spectra look similar in most of the FTIR regions (Fig. 1A). 228 The strong band at 3380 cm $^{-1}$  corresponds to the vOH vibrational 229 mode, those at 2935 and 2886 cm $^{-1}$ , to  $\nu$ CH vibrational modes, the  $^{230}$ group of bands at ~1400 cm<sup>-1</sup>, to the  $\delta$ CH (Fig. 1A) (Sekkal, Dincq, 231 Legrand, & Huvenne, 1995). The band at 1643 cm<sup>-1</sup> corresponds to 232 water molecules embedded in the amorphous sugar matrix. The main 233 differences in the FTIR spectra were observed in the so-called "finger- 234 print region" of sugars (1200–900 cm<sup>-1</sup>) (Sekkal et al., 1995). This re- 235 gion is rich in bands that collectively provide a pattern of bands 236 characteristic of the compound. The main vibrational modes absorbing 237 in this region are related with the C-O-C glycosidic linkage, the  $\delta$ COH  $_{238}$ and the  $\nu$ C-C. The narrower band of lactulose in this region can be ex- 239 plained considering the simpler structural nature of this sugar. It is a di- 240 saccharide with only one glycosidic linkage. The FTIR spectra of other 241 disaccharides (maltose and cellobiose) also depict narrower fingerprint 242 bands than those of higher polymerized structures (Sekkal et al., 1995). 243

Raman spectroscopy also provides rich information about sugars. 244 However, it has been scarcely used to assess the structure of amorphous 245 sugars (Connolly, Patapoff, Wang, Moore, & Kamerzell, 2010; Q9 Söderholm et al., 1999). Even when the absorption of the Raman vibra- 247 tional modes does not differ significantly from that of the FTIR modes, 248

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the Raman spectra showed more noticeable differences between lactulose and GOS (Fig. 1B).

The bands observed in the 1200- to 1000-cm<sup>-1</sup> region can be ascribed to the  $\nu$ C-C and  $\nu$ C-O vibrational modes (Söderholm et al., 1999). The main differences between lactulose and GOS were observed in the 900- to 800-cm<sup>-1</sup> region. This region takes part of the so-called "anomeric region." In this regard, Söderholm et al. (1999) reported that amorphous  $\alpha$  and  $\beta$  glucose can be distinguished in this region. These bands were ascribed to skeletal modes involving the anomeric carbon (Söderholm et al., 1999). This also explains that these bands occur at different wave numbers for lactulose (816 and 873 cm<sup>-1</sup>) and GOS (a broader band centered at 889 cm<sup>-1</sup>). The bands occurred in the 550- to 300-cm<sup>-1</sup> region can be ascribed to the  $\delta$ C-C-C,  $\delta$ C-C-O, δC-O and τC-C vibrational modes. Mathlouthi, Luu, Meffroy-Biget, and Luu (1980) reported that the  $\nu$ C-C-O vibrational mode of fructose occurs at 531 cm<sup>-1</sup>. Therefore, the band at 531 cm<sup>-1</sup> observed in the Raman spectrum of lactulose, a disaccharide composed by fructose and galactose, can be ascribed to this vibrational mode (Fig. 1BIII).

In summary, small changes were observed in the positions, widths and intensities of the bands in the spectra of the three sugars. The greater differences observed in lactulose can be explained considering both its structure of disaccharide and the occurrence of a fructose furanose ring instead of the pyranose rings of both GOS.

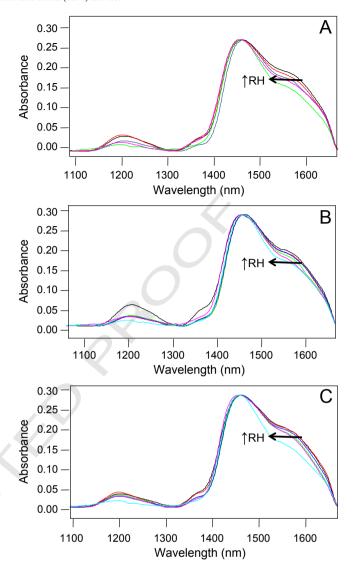
#### 3.2. Effect of RH on the NIR spectra

The sensitivity of infrared spectroscopy to water molecules supports the use of IR-based methods to assess amorphous states of sugars. As the near\_infrared is a cleaner region than the mid infrared, and NIR features originate from combinations and overtones of fundamental vibrations that may be involved in hydrogen bonds (OH, NH, CH, and SH), this technique was selected to get an insight on the physical properties of GOS and lactulose.

Fig. 2 depicts the spectra of GOS Cup Oligo H70, GOS Biotempo and lactulose at different RH. The main changes observed in the spectra were the following: (a) a clear decrease of the shoulder at ~1571 nm and (b) a decrease in the intensity of the band at 1204 nm. PCA performed on the three sugars equilibrated at different RH is shown in Fig. 3. In all the three sugars, the groups observed explained more than 95% of the variance and were related with the RH of the samples. According to the loading plots in PC1 (Fig. S1), the main differences related with RH were observed in the 1380- to 1500-nm region. This region was recently related with the amorphous or rubbery state of samples (Santos et al., 2014). The capacity of infrared spectroscopy to discriminate both states is directly related with the type of hydrogen bondings. These hydrogen bondings are predominantly weaker in the amorphous state (established between sugar and water) than those in the rubbery state (predominantly established among water molecules). This explains the occurrence of the first overtone of the symmetric valence vibration (2 $\nu$ OH) at lower wave numbers in the rubbery state (Gergely & Salgo, 2003; Oldenhof, Wolkers, Fonseca, Passot, & Marin, 2005; Wolkers, Oliver, Tablin, & Crowe, 2004).

Considering the relevance of amorphous and rubbery states in the storage of food and food-related products and the sensitivity of NIR to determine them, an effort was made to calibrate an NIR-based PLS model to assess the correctness of storage conditions. As low molecular mobilities and low temperatures of storage are required for an adequate long-term preservation, the calibration of a PLS model on the basis of T-Tg (T: 4 °C) instead of on the bases of Tg becomes a more adequate approach. This way, the correctness of storage conditions can be evaluated directly from the spectra regardless the sugar under analysis (Schoonman et al., 2002; Tromp et al., 1997; Tymczyszyn et al., 2012).

The values of Tg, necessary to calculate T-Tg and construct the model, were determined by DSC and are shown in Table 2. The vitrification capacity of the three sugars investigated follows the order GOS Biotempo > GOS Cup Oligo H-70® > lactulose (Santos et al., 2014).



**Fig. 2.** NIR spectra of freeze-dried sugars equilibrated at different RH. (A) GOS Cup Oligo H-70®, (B) GOS Biotempo, (C) lactulose. Arrows indicate increase of RH.

GOS Biotempo are composed of oligosaccharides with degree of polymerization higher than 3 (Torres et al., 2011), GOS Cup Oligo-H70®, 314 and mono- and disaccharides (Tymczyszyn et al., 2011). It was reported that higher contents of tri and tetrasaccharides in mixtures of oligosaccharides are related with higher Tg (Higl et al., 2007; Miao et al., 2008). 317 Lactulose is mainly known as prebiotic, and its physical chemical properties have never been addressed. The Tgs depicted in Table 2 explain the protective effect of this sugar against bacteria dehydration at low RH (Santos et al., 2014). 321

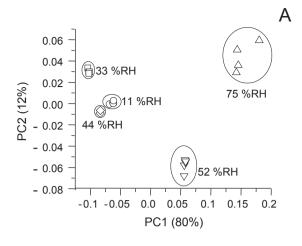
# 3.3. PLS model 322

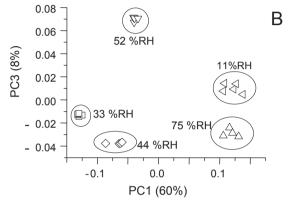
The PLS model was calibrated using 34 spectra (correlation = 0.994, 323  $R^2 = 0.989$ , RMSEC = 3.131 °C, bias =  $9.59 \times 10^{-5}$ ) (Tables 1 and 3). 324 The model was validated with an independent set of data composed of 325 34 spectra collected in the same RH conditions as those used in the calibration set (Table 3). Fig. 4 depicts the independent validation results 327 for the prediction model developed to determine T-Tg. The root mean 328 square error of prediction (RMSEP) is depicted in the Y-error bars. The 329 use of spectra of the three sugars covering a broad range of T-Tg, both 330 in calibration and validation, strengthens the predictive capacity of the 331 model. The mean of predicted values nicely fits the T-Tg obtained 332 from DSC (Table 2), thus supporting the use of the PLS model to 333

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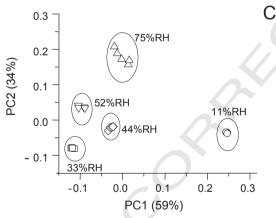


Fig. 3. PCA performed on the freeze-dried sugars equilibrated at different RH. (A) GOS Cup Oligo H-70®, (B) GOS Biotempo, (C) lactulose. The loading plots in PC1 are shown in Fig. S1.

Table 2 Vitreous transition temperatures of GOS Cup Oligo H-70®, GOS Biotempo and lactulose at

RH	GOS Cup Oligo H-70®ª (°C)	GOS Biotempob (°C)	Lactulose (this work) (°C)
11	49.71	105.71	41.95
22	36.77	84.76	33.1
33	36.32	69.08	7.395
44	13.92	51.43	1.715
52	6.36	37.62	<b>- 14.055</b>
75	-24.55	n.d.	-47.545
80	-41.87	n.d.	n.d.
			-36.99

<sup>&</sup>lt;sup>a</sup> Values were taken from Tymczyszyn et al. (2012).

t2.1

t2.2

t2.3

Q2

Table 3 Statistical values of the calibration and validation sets for the PLS model.

Calibration set		Validation set	Validation set	
Correlation	0.994	Correlation	0.966	
$R^{2a}$	0.989	$R^{2a}$	0.934	
RMSEC <sup>b</sup>	3.131 °C	RMSEP <sup>c</sup>	8.051 °C	
SEC <sup>d</sup>	3.178 °C	SEP <sup>e</sup>	8.148 °C	
Bias	$9.58 \times 10^{-5}  ^{\circ}\text{C}$	Bias	−0.618 °C	
a R <sup>2</sup> : coefficient o	of determination.			
b RMSEC: root m	nean square error of calibrat	ion.		
RMSEP: root m	ean square error of predicti	on.		
d SEC: standard	error of calibration.			
e SEP: standard e	error of prediction,			

investigate unknown samples. The complete data set of the perfor- 334 mance calibration and validation processes are shown in Table 3.

The amorphous states are very sensitive to changes in temperature 336 and moisture content. As mentioned, Tg is specific for each sugar and 337 also depends on experimental variables (Roos & Karel, 1991). Above 338 Tg, the molecular mobility is greatly increased and numerous amor- 339 phous compounds crystallize. For that reason, crystallization may take 340 place if either the temperature or the moisture content is increased 341 (Roos & Karel, 1991). This underlines the usefulness of the PLS model 342 developed. As the NIR spectra could be correlated with T-Tg, the 343 model can predict not only the behavior of different sugars but also 344 the behavior of sugars stored at different temperatures or RH.

#### 4. Conclusions 346

This work provided vibrational spectroscopic information about two 347 types of GOS and lactulose in the amorphous states. NIR spectroscopy 348 allowed the discrimination of samples equilibrated at different RH 349 (PCA).

As a low molecular mobility is crucial to avoid sugar crystallization 351 during storage, the determination of T-Tg, a parameter directly related 352 with water mobility and independent on the sugar considered, is very 353 useful to predict the storage behavior of food components. The sensitivity of NIR to T-Tg is of great importance to determine the shelf life of 355 food and food-related products. Therefore, the application of the PLS 356 model to predict T-Tg will contribute to save time in determining the 357 correct storage of food and food-related products, directly by registering 358

Supplementary data to this article can be found online at http://dx. 360 doi.org/10.1016/j.foodres.2014.07.040.

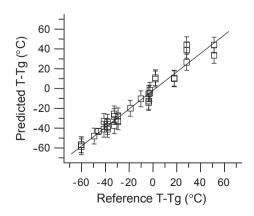


Fig. 4. Predicted vs reference values of T-Tg. T is the storage temperature (4 °C). The values of Tg depicted in Table 2 (determined by DSC) were used to determine the reference T-Tg.

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<sup>&</sup>lt;sup>b</sup> Values were taken from Torres et al. (2011).

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# **Author's contributions**

M.S. and E.T. did the experimental work, M.S. and C.A.-A. did the multivariate analysis, A.G.-Z. coordinated the work (analysis of results, discussion and writing of the manuscript).

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