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# Water Level Rise Upstream a Permeable Barrier in Subcritical Flow: Experiment and Modeling

*This work addresses the dependence of water depth upstream a permeable barrier,  $h_1$ , with discharge per unit channel width,  $Q/W$ , in sub-critical flow regime. The barrier, that extends over the entire width of the channel, is composed by smooth cylinders of small aspect ratio vertically mounted on the bottom in a staggered pattern and fully submerged in the flow. The height of the cylinders above the bottom was kept constant for all runs. Several configurations were considered by varying systematically the cylinders diameter,  $d_v$ , the number of cylinders per unit area of the bed, or density,  $m$ , and the length of the barrier in the stream direction,  $L_v$ . A one-dimensional model was developed to predict the observed values of  $h_1$  and to obtain a sound basis taking into account the incidence of  $Q/W$ ,  $m$ ,  $d_v$  and  $L_v$ . This model is based on fluid mechanics equations applied on a finite control volume for the flow in the test section, and it was deduced under simplifying assumptions physically-based. Finally, and based on the experimental results and the model predictions, the mechanical energy losses of the flow are analyzed. The main role played by a dimensionless number  $R$ , that takes into account the barrier's resistance to the flow, is highlighted. [DOI: 10.1115/1.4026356]*

## 1 Introduction

The relationship between bed roughness patterns and drag resistance is a key area of research in dynamics of free surface flows. The presence of roughness elements along the bed protruding the bulk flow arise the question of the correlation between geometrical properties of the roughness elements and equivalent roughness height [1,2].

Porous or semipermeable barriers are bed-mounted obstacles, of finite size, conformed as clusters, or arrays, of large resistive elements. It is known that the presence of an object or obstacle of finite length in an open channel flow produces a significant change in the flow structure [3], which can be represented as an extra drag force exerted over the flow. Permeable barriers placed on a stream bed is an active field of research because of its practical relevance. The interest in using vegetative buffer strips, or constructed materials, as conservation measures to reduce fluxes of sediments and/or pollutants from overland flows led to numerous studies on determining the efficiency of such barriers together with the associated increment in flow resistance [4].

A first classification in the study of open channel flows through buffer strips or porous barriers is based on the Froude number of the incident flow  $F_1 = U_1/(gh_1)^{1/2}$ , where  $U_1 = Q/(Wh_1)$  is mean flow velocity and  $Q$ ,  $W$  and  $h_1$  are the flow rate, the channel width and the flow depth upstream the obstacle, respectively. If  $F_1 < 1$  the flow regime is called subcritical, while if  $F_1 > 1$  is called supercritical.

Most of the research works have been performed in flumes under supercritical flow conditions. In these works, permeable barriers are constructed from resistive elements (vertical cylinders, nails, etc.) that form obstacles networks. They are useful for modeling situations encountered in agricultural uses or soil management (vegetative filters, buffer strips, etc.). An example is the work of Rose et al. [5], where a fixed discharge was forced to pass through a buffer strip composed by long emergent nails. Several

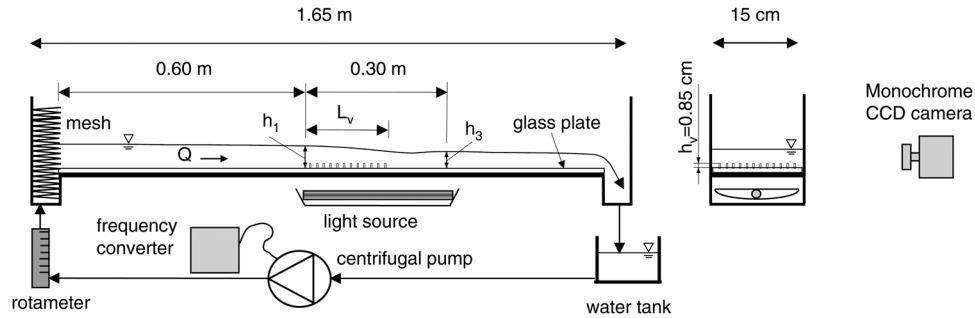
bed slopes,  $S$ , and different buffer densities (number of nails per unit bed area),  $N_{\text{nails}}$ , were considered. They reported that the extent of the hydraulic adjustment zone is approximately proportional to the ratio  $N_{\text{nails}}/S$  and proposed a model, based on momentum theory in finite segment, to predict the water depth throughout the resistive array.

However, there are circumstances where the flow is sub-critical [6] and the resistive elements of the barrier are completely submerged. Furthermore, frequently the flow rate, more than the bed slope, is the variable of interest. This is the case; for example, of some shallow overland flows developed on low bed slopes, where groups of large elements (vegetation, debris, rocks and/or boulders; for example) protrude from the bed [7,8].

In general, when a sub-critical flow impinges on an obstacle mounted on the bed, the water level upstream the obstacle increases to provide the extra force (or the extra energy) to overcome the drag force (or the mechanical energy losses). In turn, for a given discharge, an increase in water depth implies a lesser mean flow velocity. This fact is of great importance during transport of sediment, pollutants and/or nutrients. A zone has been reported to be upstream of a buffer strip of emergent nails densely packed, where a net deposition of solids takes place [9].

In hydraulics, the raising of the water level upstream an obstacle, compared to the unperturbed level, is called backwater effect. The study of this phenomenon, which is highly complex because of the large amount of involved variables, is of great interest for engineers, biologists and ecologists. Indeed, not only the overall shape of the barrier, its size and relative position to the main flow direction, are important, but also its internal structure, since they are composed by a number of resistive single elements grouped in a finite region of the bed. Theoretical interpretations of flow through grass strips are very few [10]. Based on previous works, dealing with a uniform cover of large-roughness elements along the bed [11], it can be expected that the shape and the size of every single constitutive element, as well as their number per unit bed area, or density,  $m$ , will play a central role in flow resistance. In the analysis, it should also be included both their relative positions and planimetric distribution along the bed. Those previous works choose smooth rigid circular cylinders, of diameter  $d_v$  and

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**Fig. 1** Scheme of the small horizontal channel and the equipment used for driving and controlling the flow, together with the main dimensions and geometrical variables (not drawn to scale)

78 of height  $h_v$ , vertically mounted above the bed in a staggered configuration across the entire width of the channel. In a staggered  
79 distribution it is possible to set a desired value of  $m$  by simply varying  
80 the center-to-center distance of the cylinders,  $s_v$ . In addition,  
81 the length of the barrier along the stream direction,  $L_v$ , should be  
82 included in problems of finite barriers.

84 This experimental contribution addresses the problem of the  
85 backwater effect measuring the water depth,  $h_1$ , upstream a barrier  
86 composed by smooth cylinders, as a function of the flow rate per  
87 unit channel width,  $Q/W$ . The cylinders, of constant height, were  
88 vertically mounted in a staggered pattern. Several configurations  
89 were tested by systematically varying the cylinders diameter,  $d_v$ ,  
90 the number of cylinders per unit area of the bed,  $m$ , and the barrier's  
91 length,  $L_v$ . In all cases, the cylinders remained completely  
92 submerged in the bulk flow.

93 Based on fluid mechanics equations under simplifying  
94 physically-based assumptions, a one-dimensional model is developed  
95 to predict the incidence of  $Q/W$ ,  $m$ ,  $d_v$  and  $L_v$  on the  
96 observed values of  $h_1$ . The dimensionless numbers that appear to  
97 play a central role in the mechanics of the phenomenon were identified  
98 from this model. An analysis of the implications of these findings  
99 on the mechanical energy losses of the flow is finally offered.  
100

101 **2 Experimental Set Up**

102 The experiments were performed in a small 1.65 m long and  
103  $W = 15$  cm width horizontal open channel with transparent Plexiglas  
104 walls (see Fig. 1). The flow was imposed with a centrifugal  
105 pump that was controlled with a frequency variator. At the channel  
106 inlet, the flow was driven through a honeycomb to ensure a  
107 uniform entrance velocity profile. At the channel outlet, the water  
108 was allowed to freely discharge in a tank. This outlet flow  
109 configuration was preferred to weirs in order to prevent possible  
110 interactions between the barrier and the recirculation zone at the  
111 upstream side of the weir. Thus, the experiments were designed to  
112 avoid additional sources of resistance to flow, so that the increase  
113 in the water level is due only to the barrier.

114 The fluid temperature was registered in all runs, and its values  
115 ranged between 24.9°C and 26.3°C. Using water as working  
116 fluid, the kinematic viscosity of the fluid was  $\nu = 0.01$  cm<sup>2</sup>/s.

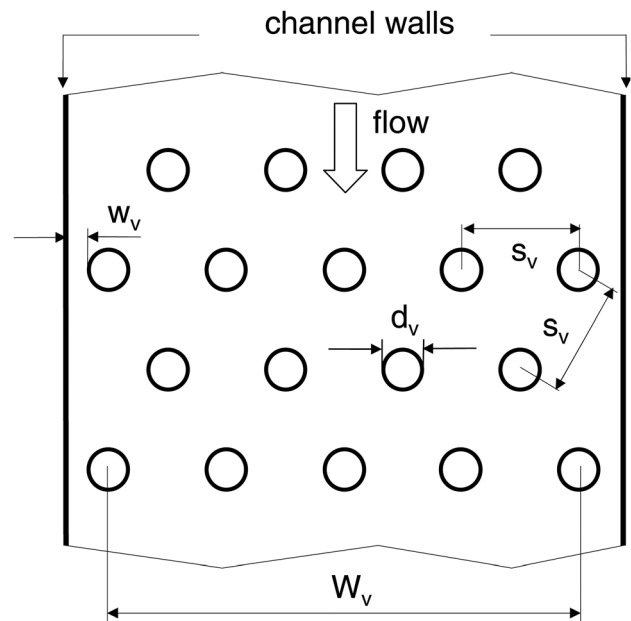
117 A glass plate 1.50 m long and 15 cm width was placed on the  
118 channel floor as a false bottom where the different barrier configurations  
119 were mounted. The smoothness of the glass helps to minimize the  
120 skin friction, making it insignificant when compared with the barrier's  
121 resistance itself (see discussion in Sec. 4). This procedure allows to  
122 emphasize the role played by the barrier itself, turning it into the  
123 main source of resistance to flow. Of course, in more realistic  
124 situations, a friction coefficient should be considered to take into  
125 account the presence of grained sediments at the bottom.

126 The barriers consisted of a network of staggered cylinders of  
127 equal height and radius. They were glued with silicon seal on the  
128 glass plate to cover a length  $L_v$ , beginning at 60 cm from the flow

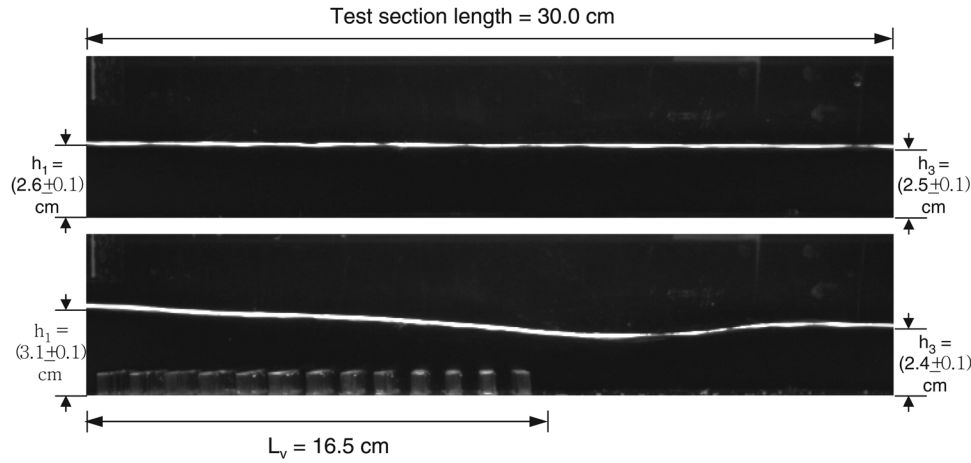
129 inlet, where the flow is assumed to be fully developed, see Fig. 1.  
130 Each barrier configuration was constructed following a preprinted  
131 pattern in a paper sheet that was located below the glass plate during  
132 the preparation process. The staggered configuration was chosen  
133 because it has a well-defined cylinder's center-to-center distance,  
134 and, simultaneously, the longitudinal flow channeling is lower  
135 compared with square configurations.

136 The flow rate,  $Q$ , was varied between 1000 l/h to 7000 l/h. Previous  
137 measurements for the flow in the test section and in the absence  
138 of any barrier (base flow) revealed that the highest water depth  
139 (for the maximum tested flow rate) is about 3.0 cm, while the lowest  
140 water depth (for the minimum tested flow rate) is about 1.0 cm. Therefore,  
141 the flow Reynolds number, based on the mean flow velocity and the  
142 channel hydraulic diameter at the test section, varied between 6500  
143 and 37000. The choice of this range of  $Q$  will be discussed later,  
144 when the drag coefficient for an isolated cylinder and the friction  
145 coefficient for a smooth plate are determined. From the above values  
146 the flow aspect ratio,  $W/h_1$ , varied from 5 to 15. On the other hand,  
147 and taking into account the lowest water depth, the height of the  
148 cylinders was set at  $h_v = 0.85 \pm 0.03$  cm in order to achieve the  
149 condition of fully submerged cylinders in all runs.

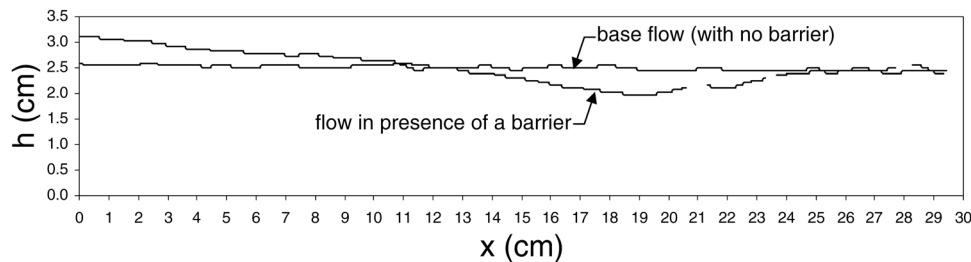
150 Two values of  $s_v$  were tested, 1.5 cm and 4.5 cm, respectively,  
151 see Fig. 2. They ensure that in both cases the barrier width is the  
152 same,  $W_v = 13.5$  cm, and contain an entire number of cylinders  
153 on each row. In turn, in a staggered distribution  $s_v$  sets the value



**Fig. 2** Plan view of the main geometrical variables for to define the staggered distribution of cylinders (not drawn to scale)



**Fig. 3** Two snapshots of the flow at the test section (from left to right), with  $Q = 5000 \text{ l/h}$  in both cases, showing the differences between the base flow (with no cylinders), in the upper snapshot, and the flow when a barrier is present, with  $m = 0.513 \text{ cyls/cm}^2$ ,  $d_v = 0.502 \text{ cm}$  and  $L_v = 16.5 \text{ cm}$ , in the lower snapshot



**Fig. 4** After analyzing the snapshots showed in Fig. 3 with an ImageJ macro, it is obtained the free surface profile at the test section for both the base flow (no barrier) and for the flow when a barrier is present

152 of the density,  $m$ , defined as  $m = (2/\sqrt{3})s_v^{-2}$ , which implies  $m$  180  
 153 equal to  $0.513 \text{ cyls/cm}^2$  and  $0.057 \text{ cyls/cm}^2$ , respectively. The 181  
 154 resulting densities are thus separated by almost an order of 182  
 155 magnitude.

156 On the other hand the choice of the cylinders diameter, was 183  
 157 guided regarding the barrier solidity  $\phi$  [11], which is defined as 184  
 158  $\phi = \pi d_v^2 / (4s_v^2)$ . This parameter measures the portion of the total 185  
 159 bed area that is occupied by cylinders. In order to cover a reason- 186  
 160 able wide range of values, two diameters  $d_v$  were tested:  $0.308 \text{ cm}$  187  
 161 and  $0.699 \text{ cm}$ , respectively, giving  $0.03 < \phi < 0.17$ .

162 Recent works on flow over vegetated channels [12] proposed 188  
 163 the roughness density  $\lambda = d_v h_v / s_v^2$ , to quantify the structure of the 189  
 164 large roughness cover. If this parameter is much smaller than  $0.1$ , 190  
 165 the cover can be considered sparse, whereas if it is much larger 191  
 166 than  $0.1$ , the cover is called dense. The case when it is equal to 192  
 167  $0.1$  is called transitional. From the above, it follows that the tested 193  
 168 barriers fall within the range  $0.013 \leq \lambda \leq 0.263$ .

169 Finally, three values of  $L_v$ , were chosen to study the influence of 194  
 170 the barrier extension,  $3.5 \text{ cm}$ ,  $16.5 \text{ cm}$  and  $23.0 \text{ cm}$ , giving  $L_v/W$  195  
 171 ratios less, similar and larger than  $1$ , respectively. In all cases the 196  
 172 flow conditions at the channel outlet are not altered with respect 197  
 173 to the base flow, for the same discharge.

174 A Pulnix Dual Tap AccuPixel CCD monochrome camera was 198  
 175 placed perpendicular to the lateral side of the channel and centered 199  
 176 at the test section ( $30 \text{ cm}$  long, starting at the beginning of 200  
 177 the barrier). The free surface was illuminated from below of the 201  
 178 bed channel through a light box source with a diffuser plate. By 202  
 179 setting the focal plane of the camera on the lateral transparent 203  
 180 wall of the channel, it was observed a well-defined bright line cor- 204  
 181 responding to the water-air-plexiglass contact line, see Fig. 3. It is 205  
 182

180 clear that the water depth corresponds to the lower limit of this 181  
 181 bright line. The physical calibration (pixels to centimeters) was 182  
 182 obtained by taking a snapshot of a millimetric ruler after each run.

183 Figure 3(a) shows a snapshot of the base flow for  $Q = 5000 \text{ l/h}$ , in 183  
 184 absence of the cylinders. Water flows from the left to the right. The 184  
 185 free surface is almost flat and the observed slight head loss is due to 185  
 186 the friction between the fluid and the smooth walls of the channel.

187 Figure 3(b), shows a snapshot of the flow for the same flow rate 187  
 188  $Q$ , but in presence of a barrier. The parameters characterizing the 188  
 189 barrier are  $m = 0.513 \text{ cyl/cm}^2$ ,  $d_v = 0.502 \text{ cm}$  and  $L_v = 16.5 \text{ cm}$ . 189  
 190 Here, the bright line representing the free surface is markedly 190  
 191 affected by the presence of cylinders. The water height at the inlet 191  
 192 of the test section,  $h_1$ , is larger than the corresponding to the base 192  
 193 flow showing the backwater effect upstream the barrier. Accord- 193  
 194 ingly, the free surface slope over the barrier is larger compared to 194  
 195 that of the base flow. Immediately downstream the barrier an 195  
 196 adjustment zone, with a weak expansion after a *vena contracta* 196  
 197 flow region, is observed. Finally, downstream this zone, the flow 197  
 198 recovers, and at  $x = 30 \text{ cm}$  the flow height,  $h_3$ , becomes almost 198  
 199 the same as in the base flow.

200 The free surface profile,  $h = h(x)$ , was extracted by using an 200  
 201 ImageJ macro developed to detect the lower bound of the bright 201  
 202 line for each snapshot. In Fig. 4 the superposition of the two pro- 202  
 203 files for the above cases is shown. The impact on the free surface 203  
 204 slope due to the barrier's resistance to the water flow is clearly 204  
 205 visible. In particular, flow heights at the outlet of the test section 205  
 206 ( $30 \text{ cm}$  downstream the inlet) are practically indistinguishable 206  
 207 from one case to another.

207 A summary of the main experimental parameters is given in 207  
 208 Table 1. 208



**Table 1 Summary of the variables for the parametric experimental study on the dependence of  $h_1$  with  $Q$**

Run	$m$ (cyls/cm <sup>2</sup> )	$d_v$ (cm)	$L_v$ (cm)	$w_v$ (cm)
A1	0.513	0.308	16.5	0.6
A2	0.513	0.699	16.5	0.4
B1	0.057	0.502	15.0	0.5
B2	0.513	0.502	16.5	0.5
C1	0.513	0.502	3.5	0.5
C2	0.513	0.502	23.0	0.5

**3 Experimental Results**

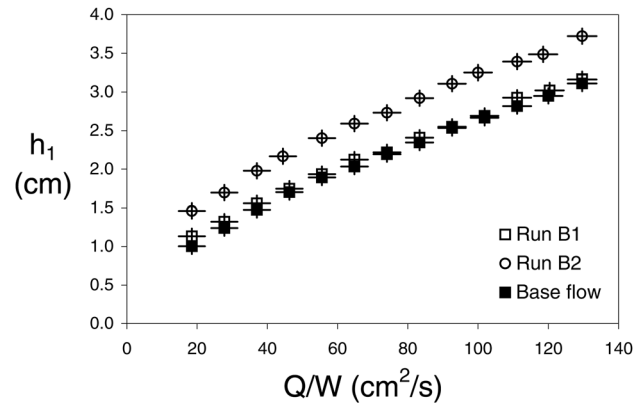
In this section, raw measurements of  $h_1$  as a function of  $Q/W$ , for the experimental conditions given in Table 1, are presented. The tests were grouped into three categories: A, B and C, considering the effects of the cylinder diameter,  $d_v$ , the density,  $m$  and the length barrier,  $L_v$ , respectively.

Figure 5 shows the effects of increasing the cylinder diameter. Open squares and open circles correspond to test A1 and A2, respectively. For comparison, the measurements for the base flow (for the same flow rates but with no barrier), are also plotted in black squares. The experimental uncertainties,  $\Delta(Q/W)/(Q/W)$ ; 10% and  $\Delta h_1 = 1\text{mm}$ , are shown with horizontal and vertical bars in the figures.

It is seen that  $h_1$  grows monotonically and nonlinearly with  $Q/W$  for both cylinder diameters and also for the case of no barrier. For a given  $Q/W$ ,  $h_1$  in presence of the barrier is larger than when there is no barrier, as expected. On the other hand, the larger the diameter of the cylinders,  $d_v$ , the larger is the value of  $h_1$ .

The results of Test B showing the dependence of  $h_1$  with  $Q/W$  for two different densities,  $m$ , is shown in Fig. 6. Measurements of the series B1 and B2 are shown in open squares and open circles, respectively, the case of no barrier is shown in filled squares, as above.

The slight difference in  $L_v$  values between these configurations is due to the fact that the length of the barrier depends on  $s_v$  through  $L_v = (\sqrt{3}/2)ns_v$ , with  $n$  the number of rows. Indeed,  $L_v = 16.5\text{ cm}$  for  $s_v = 1.5\text{ cm}$  is achieved with  $n = 13$ , but for  $s_v = 4.5\text{ cm}$  the closest value is achieved with  $n = 4$  giving  $L_v = 15.0\text{ cm}$ . Beyond this small difference, the same trends can be observed as in the previous case. In particular, for a given  $Q/W$ , an increase in the density involves an increase in  $h_1$ . Therefore, it can be concluded that not only  $d_v$ , but also  $m$ , contributes to increase the flow resistance.



**Fig. 6 Effect of barrier density,  $m$ , on flow water depth  $h_1$  against discharge per unit channel width,  $Q/W$ , for:  $\square$  Run B1:  $m = 0.057\text{ cyls/cm}^2$  with  $d_v = 0.502\text{ cm}$  and  $L_v = 15.0\text{ cm}$ ,  $\circ$  Run B2:  $m = 0.513\text{ cyls/cm}^2$  with  $d_v = 0.502\text{ cm}$  and  $L_v = 16.5\text{ cm}$ , and  $\blacksquare$  base flow (no barrier)**

Figure 7 shows the results of Test C comparing the effects of two different barrier lengths. Open squares correspond to Test C1, open circles are to Test C2, and filled squares for the base flow.

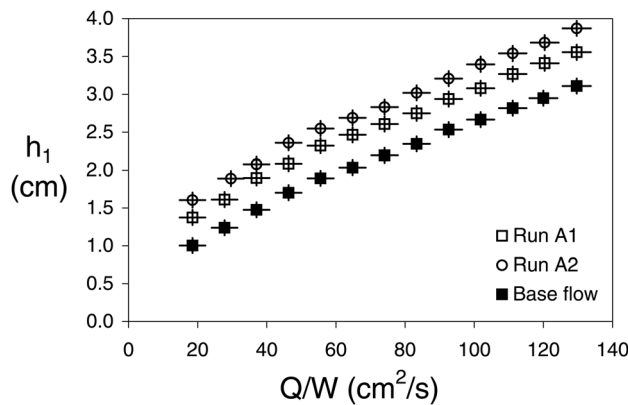
With similar trends as in the previous plots, it is observed that the water level  $h_1$  grows with  $Q/W$  in all cases. Also,  $h_1$  is larger than the corresponding value in the base flow configuration when a barrier is present. Finally, for a given  $Q/W$  an increase in  $L_v$  implies a corresponding increase in  $h_1$ .

Therefore, it can be concluded that not only  $d_v$  and  $m$ , but also  $L_v$  is of importance when dealing with flow resistance due to the presence of a permeable barrier, and the corresponding elevation in water depth upstream this barrier.

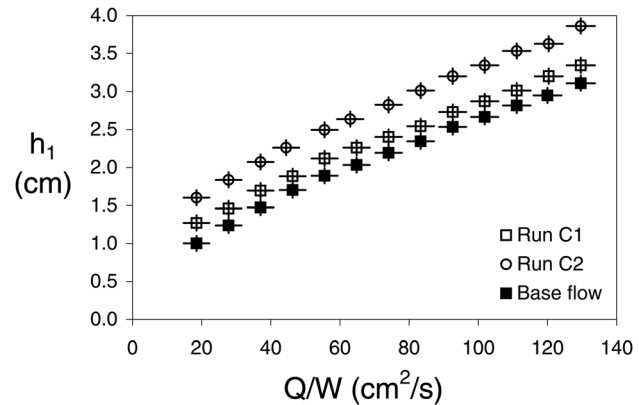
**4 Finite Volume Control Model**

This section is devoted to develop a model to predict the increase in water level,  $h_1$ , due to the presence of a permeable barrier, for a given flow rate,  $Q$ , and water level behind the adjustment zone (downstream the barrier, where flow recovers),  $h_3$  (see Fig. 3). This model is derived from fluid mechanics basic equations (continuity and momentum) using physically based hypothesis.

Let consider a rectangular finite volume control of vertical sides, between locations (1) and (3), as seen in Fig. 3, with the  $x$ -axis along the channel bed and the  $y$ -axis vertical. Under the



**Fig. 5 Effect of cylinders diameter,  $d_v$ , on flow water depth  $h_1$  against discharge per unit channel width,  $Q/W$ , for:  $\square$  Run A1:  $d_v = 0.308\text{ cm}$  with  $m = 0.513\text{ cyls/cm}^2$  and  $L_v = 16.5\text{ cm}$ ,  $\circ$  Run A2:  $d_v = 0.699\text{ cm}$  with  $m = 0.513\text{ cyls/cm}^2$  and  $L_v = 16.5\text{ cm}$ , and  $\blacksquare$  base flow (no barrier)**



**Fig. 7 Effect of barrier length,  $L_v$ , on flow water depth  $h_1$  against discharge per unit channel width,  $Q/W$ , for:  $\square$  Run C1:  $L_v = 3.5\text{ cm}$  with  $m = 0.513\text{ cyls/cm}^2$  and  $d_v = 0.502\text{ cm}$ ,  $\circ$  Run C2:  $L_v = 23.0\text{ cm}$  with  $m = 0.513\text{ cyls/cm}^2$  and  $d_v = 0.502\text{ cm}$ , and  $\blacksquare$  base flow (no barrier)**

258 hypothesis of incompressible, stationary and uniform flow at the  
 259 locations (1) and (3), the integral form of the mass conservation  
 260 equation can be written as follows:

$$Q = U_1 A_1 = U_3 A_3 \quad (1)$$

261 where  $U_1$ ,  $U_3$  and  $A_1 = Wh_1$  and  $A_3 = Wh_3$  are the mean flow  
 262 velocities and areas of the control surface at sections (1) and (3),  
 263 respectively.

264 Under the assumption of hydrostatic pressure distribution at  
 265 sections (1) and (3), the Newton's second law of motion (momen-  
 266 tum equation) along  $x$ , is given by:

$$-D - F_b - \left( \rho g W \frac{h_3^2}{2} - \rho g W \frac{h_1^2}{2} \right) = \rho U_3^2 A_3 - \rho U_1^2 A_1 \quad (2)$$

267 where  $g$  is gravity acceleration,  $\rho$  is fluid density,  $D$  is the total  
 268 drag force exerted by the cylinders of the barrier and  $F_b$  is the  
 269 force term associated with glass plate skin friction. The next step  
 270 is to propose suitable formulations for  $D$  and  $F_b$ .

271 First, it is assumed that  $D$  can be expressed as the sum of the  
 272 single drag forces associated with each cylinder. Therefore,  $D$  can  
 273 be written as:

$$D = \sum_{i=1}^N C_d' \frac{1}{2} \rho \gamma U_1^2 h_v d_v \quad (3)$$

274 where  $N = mWL_v$  is the number of cylinders forming the barrier  
 275 and  $C_d'$  is the drag coefficient of a single cylinder. Coefficient  $\gamma$   
 276 reflects the fact that the velocity impinging each cylinder should  
 277 not necessarily be equal to the mean velocity,  $U_1$  [13]. A discus-  
 278 sion on the values of  $C_d'$  and  $\gamma$  will be given later in this section.

279 Second,  $F_b$  is calculated through the Darcy-Weisbach coeffi-  
 280 cient,  $f_b$ , as follows:

$$F_b = f_b \frac{1}{8} \rho \gamma U_1^2 \left( WL_v - N \frac{\pi d_v^2}{4} \right) \quad (4)$$

281 where the term in parenthesis represents the effective area of the  
 282 glass plate contributing to flow resistance. As can be noted, the  
 283 friction force associated with the portion of the glass plate  
 284 between the end of the barrier ( $x = L_v$ ) and location (3)  
 285 ( $x = 30$  cm) has not been included. In fact, as it will be discussed  
 286 below, the skin friction of the whole (smooth) glass plate is insig-  
 287 nificant with respect to the resistance due to the cylinders.

288 By replacing Eqs. (3) and (4) in Eq. (2), together with  
 289  $U_1 = Q/A_1$  and  $U_2 = Q/A_2$ , and after rearrange terms, it is  
 obtained the following:

$$C_d' \gamma \frac{1}{2} \frac{Q^2}{A_1^2} WL_v \left( md_v h_v + \frac{f_b}{4C_d'} \left( 1 - m \frac{\pi d_v^2}{4} \right) \right) = \left( \frac{Q^2}{A_1} + gW \frac{h_1^2}{2} \right) - \left( \frac{Q^2}{A_3} + gW \frac{h_3^2}{2} \right) \quad (5)$$

290 Therefore, for a given barrier, defined by  $m$ ,  $d_v$  and  $L_v$ , and by  
 291 considering suitable values for  $\gamma$ ,  $C_d'$  and  $f_b$  (all of them having a  
 292 well definite physical meaning), Eq. (5) implicitly defines  $h_1$  as a  
 293 function of discharge,  $Q$ , and the corresponding water depth  
 294 downstream,  $h_3$ . In principle, the solution for  $h_1$  can be obtained  
 295 by solving the implicit equation. As an alternative procedure, the  
 296 both sides of Eq. (5) can be multiplied by  $h_1^2$ , and, after rearrange  
 297 terms, a fourth-order polynomial is obtained:

$$h_1^4 - \left( h_3^2 + \frac{q}{h_3} \right) h_1^2 + qh_1 - \frac{\gamma C_d'}{2} qL_v (md_v h_v + \varepsilon) = 0 \quad (6)$$

298 with

$$q = \frac{2}{g} \left( \frac{Q}{W} \right)^2 \quad (7)$$

$$\varepsilon = \frac{f_b}{4C_d'} \left( 1 - m \frac{\pi d_v^2}{4} \right) \quad (8)$$

Some authors argue that the parameter  $\gamma$  depends on the relative  
 submergence of the cylinder, being less than 1 for fully sub-  
 merged cylinders within barriers of "infinite" length under uni-  
 form flow conditions [11]. These studies do not include the  
 possible dependence of the parameter with the longitudinal posi-  
 tion of the cylinders in the channel,  $x$ , measured from the point  
 where the barrier begins. Instead, they focus on the asymptotic  
 value of  $\gamma$ , corresponding to values for  $x \gg s_v$ . Near the beginning  
 of the barrier is reasonable that  $\gamma$  approaches unity as the mean ve-  
 locity which impinges on the first cylinder is  $U_1$ . While more  
 research is needed to examine this issue in depth, as a first approx-  
 imation to the calculation of the effective drag we consider  $\gamma = 1$ ,  
 which means that all the cylinders are impinged by the same mean  
 flow velocity  $U_1$ . The validity of this simplification can be judged  
 by the consistency of the results.

The drag coefficient for a single smooth circular cylinder with  
 infinite aspect ratio (i.e., length  $\gg$  diameter) depends on the cyl-  
 inder's Reynolds number,  $Re_v$ , based on the mean velocity of the  
 impinging flow and the diameter of the cylinder. Therefore,  
 strictly speaking,  $C_d'$  depends on the actual impinging flow whose  
 depth  $h_1$  is unknown. If, in a first approximation, the base flow is  
 taken as reference, with the extreme values of  $d_v$  (see Table 1)  
 is  $585 \leq Re_v \leq 2900$ . The available bibliography [14] presents  
 values for  $C_d'$  against  $Re_v$  for smooth cylinders of aspect ratio  
 $h_v/d_v = 5$ , being this values roughly constant for  
 $10^3 \leq Re_v \leq 10^5$  (and lower than those for cylinders with infinite  
 aspect ratio). In the present work  $1.2 \leq h_v/d_v \leq 2.8$ , that corre-  
 sponds to  $0.64 \leq C_d' \leq 0.72$  obtained from the above cited refer-  
 ence. Therefore,  $C_d' = 0.68 \pm 0.04$  can be considered a  
 representative value for the working conditions. Possible interfer-  
 ence effects, that could arise from the proximity of the neighbor-  
 ing cylinders, are not considered.

Regarding friction coefficient associated with the glass plate,  $f_b$ ,  
 it is assumed the Blasius correlation for turbulent flow in a smooth  
 pipe ([15]; pg. 335) is valid:

$$f_b = \frac{0.3164}{Re_v^{0.25}} \quad (9)$$

where the Reynolds number,  $Re$ , in the above equation, was taken  
 as equal to those corresponding to the base flow previously discus-  
 sed (see Sec. 2), which ranges between 6500 and 37000, then  
 $f_b = 0.027 \pm 0.004$  is the average value in this range. This last  
 result made the term  $\varepsilon$  in Eq. (6) of about one order of magnitude  
 less than  $md_v h_v$  (see Table 1) except for run B1, where it is still  
 $\varepsilon < md_v h_v$  but of the same order of magnitude. Therefore, it can  
 be assumed that, in most of the configurations,  $\varepsilon$  can be neglected  
 in a first approximation.

The latter result depends on the initial assumption to model the  
 force  $F_b$  via Eq. 4. If the false bottom is described as a smooth flat  
 plate, the corresponding drag resistance can be approximated as  
 $C_{Db} 1/2 \rho U_1^2 WL_v$ , where  $C_{Db}$  is the drag coefficient for a flat plate at  
 zero incidence [16]. The Reynolds number based on the mean ve-  
 locity of the base flow and the test section length (30 cm) varies between  
 60,000 and 130,000, therefore, from the cited reference,  $C_{Db}$  lies  
 in the range  $0.01 \leq C_{Db} \leq 0.013$ . After substituting in Eq. (2) is  
 obtained that the ratio of  $C_{Db}/C_d'$  is negligible when compared to the  
 term  $md_v h_v$ . This reinforces the conclusion of the previous paragraph.

For each run, roots of the polynomial were numerically com-  
 puted and were only considered those with physical meaning  
 (real, positive and in the subcritical flow regime). Direct compari-  
 son between measurements and model predictions are shown in  
 Figs. 8 and 9 and 10. The good agreement indicates that the model



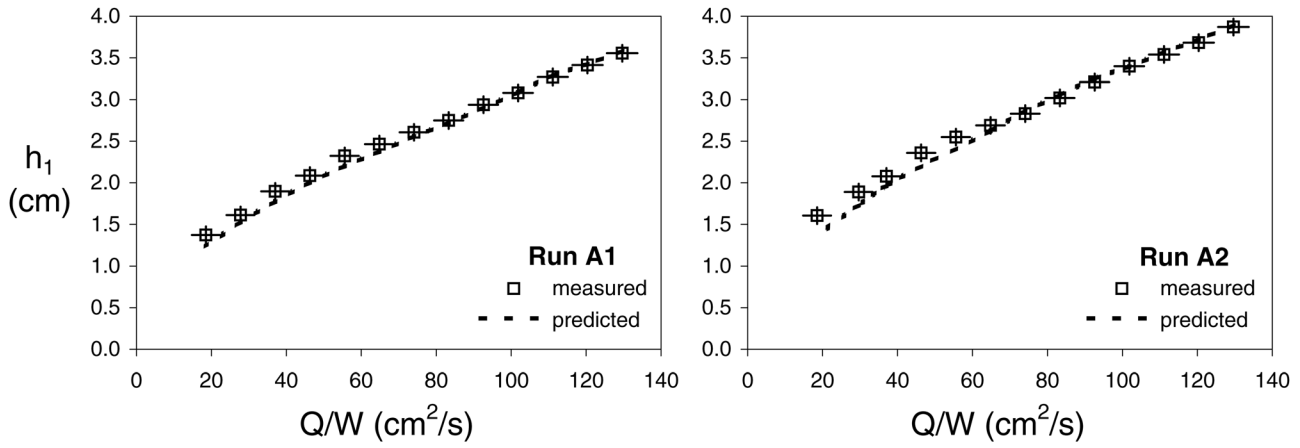


Fig. 8 Comparison between measured ( $\square$ , repeated) and computed (---, Eq. (6))  $h_1$  against  $Q/W$ , for two cylinder diameters,  $d_v$ : Run A1:  $d_v = 0.308$  cm with  $m = 0.513$  clys/cm<sup>2</sup> and  $L_v = 16.5$  cm; and Run A2:  $d_v = 0.699$  cm with  $m = 0.513$  clys/cm<sup>2</sup> and  $L_v = 16.5$  cm

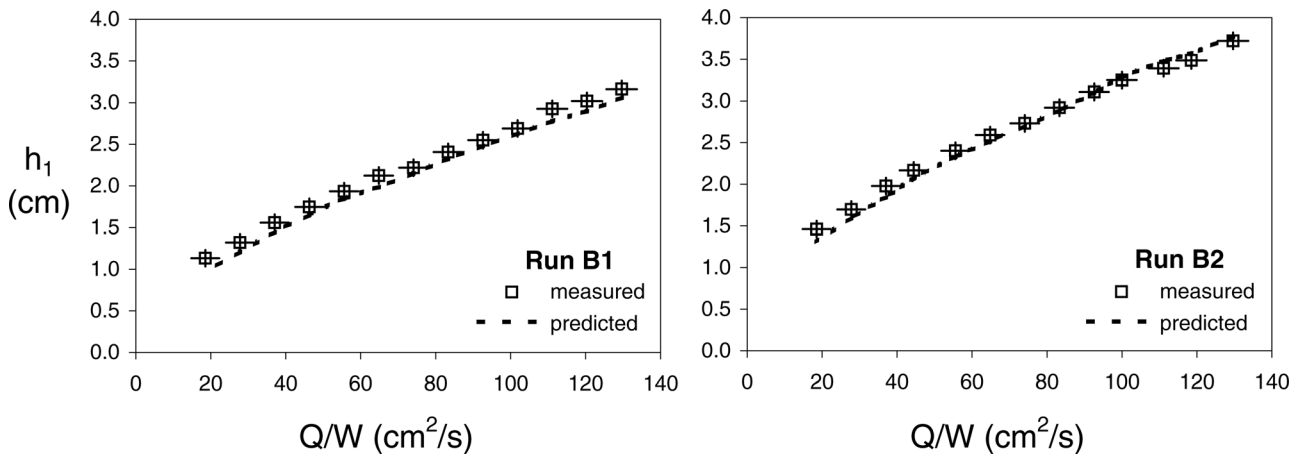


Fig. 9 Comparison between measured ( $\square$ , repeated) and computed (---, Eq. (6))  $h_1$  against  $Q/W$ , for two barrier densities,  $m$ : Run B1:  $m = 0.057$  clys/cm<sup>2</sup> with  $d_v = 0.502$  cm and  $L_v = 15.0$  cm; and Run B2:  $m = 0.513$  clys/cm<sup>2</sup> with  $d_v = 0.502$  cm and  $L_v = 16.5$  cm

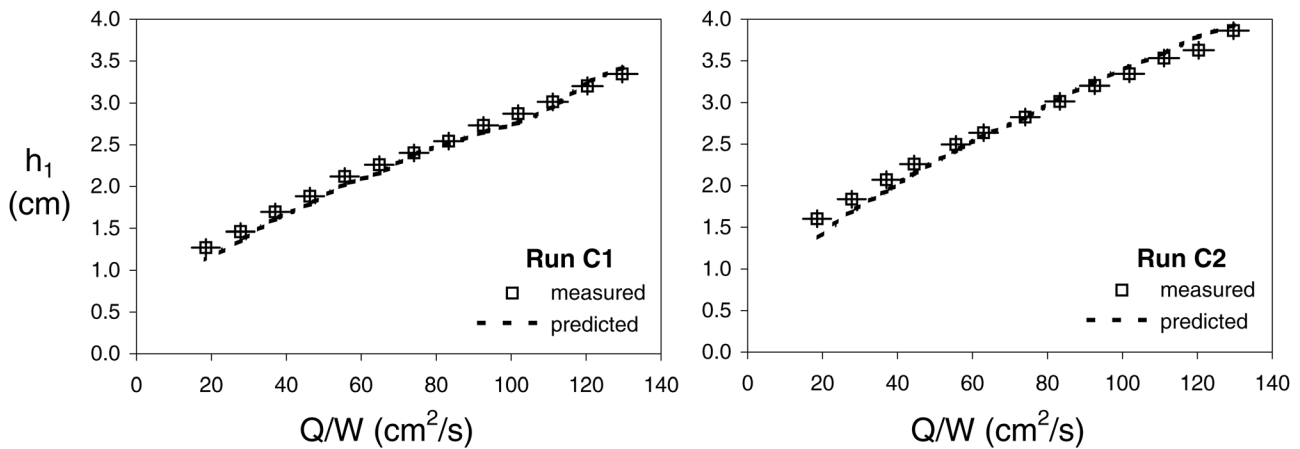


Fig. 10 Comparison between measured ( $\square$ , repeated) and computed (---, Eq. (6))  $h_1$  against  $Q/W$ , for two barrier lengths,  $L_v$ : Run C1:  $L_v = 3.5$  cm with  $m = 0.513$  clys/cm<sup>2</sup> and  $d_v = 0.502$  cm; and Run C2:  $L_v = 23.0$  cm with  $m = 0.513$  clys/cm<sup>2</sup> and  $d_v = 0.502$  cm

353 certainly captures the dependence of  $h_1$  with  $Q/W$ , even with the  
354 rough approximations that were made.

355 The results suggest that, at first order, the working hypothesis  
356  $\gamma = 1$  provides the appropriate velocity scale for the mean flow  
inside the space occupied by the barrier. Future research about

this point is needed, taking into account the several simplifications  
introduced in the model.

Beyond this, it is observed that Eq. (6) is sensitive to the differ-  
ent values of barrier control variables,  $d_v$ ,  $m$  and  $L_v$ , in the sense  
that changes of these variables were captured in predicted values.

**Table 2 Summary of the new set of values of  $d_v$ ,  $md_vL_v$ , together with those previously discussed**

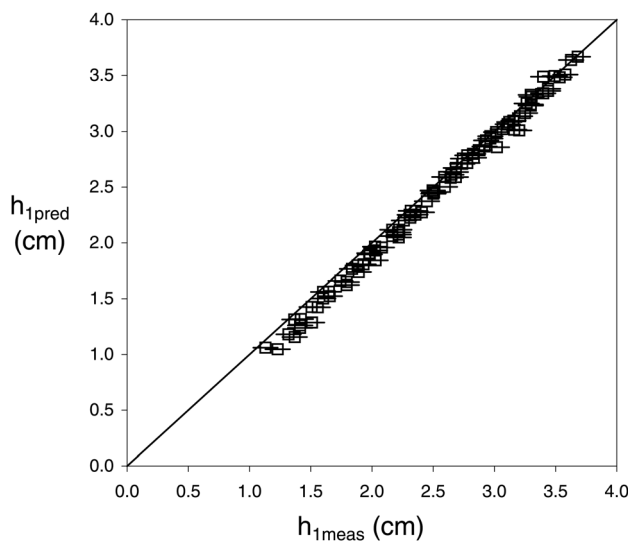
Run	$m$ (cyls/cm <sup>2</sup> )	$d_v$ (cm)	$L_v$ (cm)	$md_vL_v$
A1	0.513	0.308	16.5	2.6
A2	0.513	0.699	16.5	5.9
B1	0.057	0.502	15.0	0.4
B2	0.513	0.502	16.5	4.3
C1	0.513	0.502	3.5	0.9
C2	0.513	0.502	23.0	5.9
D1	0.513	0.502	10.2	2.6
D2	0.513	0.699	10.2	3.7
D3	0.513	0.699	3.5	1.3
D4	0.513	0.308	23.0	3.6
D5	0.513	0.308	10.2	1.6
D6	0.513	0.308	3.5	0.6
D7	0.128	0.502	15.0	1.0

362 Furthermore, Eq. (6) shows that the predicted value of  $h_1$  does not  
 363 depends only on  $Q/W$  and  $h_3$ , but also on the parameter  $md_vh_vL_v$ .  
 364 This parameter combines the internal structure of the resistive barrier  
 365 with its whole size. The results suggest that this parameter  
 366 could be useful in classifying different barriers.

367 In order to provide more robustness to the above analysis and  
 368 test the predictive ability of the model, a new set of measurements  
 369 of  $h_1$  was performed by combining the variables  $m$ ,  $d_v$  and  $L_v$ , for  
 370 the same range of  $Q/W$  previously considered. In Table 2 the new  
 371 set is designed by D1 to D7.

AQ1 372 The last column in Table 2 corresponds to the parameter  $md_vL_v$ .  
 373 Similar values for this parameter were obtained by combining differ-  
 374 ent values of  $m$ ,  $d_v$  and/or  $L_v$ . For example, run D1 with A1, D7  
 375 with C1, and D4 with D2. Furthermore, intermediate values were  
 376 tested between the previously analyzed; for example for run D2,  
 377 between runs A1 and B2, or run D6 between B1 and C1.

378 Figure 11 shows the correlation plot between measured and pre-  
 379 dicted values of  $h_1$ . Again, and taking into account the approxima-  
 380 tions in the model, it is observed that the agreement between them  
 381 is quite good. A slight deviation in the lower part of the perfect  
 382 agreement curve is observed, indicating that theoretical values  
 383 slightly underestimate the experimental ones in the range of low  
 384 flow rates.



**Fig. 11 Direct comparison between measurements,  $h_{1meas}$ , and the corresponding predicted value from Eq. (6),  $h_{1pred}$ , for the new set of measurements D1 to D7 (see Table 2). Continuous line shows perfect agreement.**

By setting the reference length scale equal to the downstream  
 water depth,  $h_3$ , a nondimensional equation can be obtained by  
 dividing both sides of Eq. (6) by  $h_3^4$  and considering  $f_b$  negligible:

$$\eta^4 - (1 + 2F_3^2)\eta^2 + 2F_3^2\eta - 2F_3^2R = 0 \quad (10)$$

where  $\eta = h_1/h_3$  is the water depth at section (1) relative to the  
 water level at section (3). In obtaining Eq. (10) the Froude number  
 at section (3) arises naturally from its definition,  $F_3 = U_3/$   
 $(gh_3)^{1/2}$ , and the following relationship:

$$\frac{q}{h_3^3} = \frac{2Q^2}{gW^2h_3^3} = \frac{2}{gh_3} \left( \frac{Q}{Wh_3} \right)^2 = 2F_3^2 \quad (11)$$

Finally,  $R$  is a nondimensional parameter related to the barrier re-  
 sistance to the impinging flow, defined as:

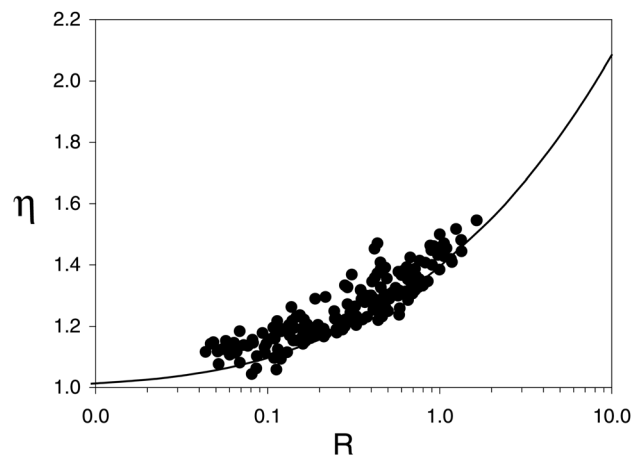
$$R = \frac{\gamma C_d' md_v L_v h_v}{2 h_3} \quad (12)$$

Equation (10) implicitly gives the value of  $\eta$  as a function of  $F_3$   
 and  $R$ . For a given  $F_3$ , the roots of this equation will provide the  
 values of  $\eta$  as a function of  $R$ . From the measurements can be cal-  
 culated  $F_3 = 0.77$  as the average value, with a standard deviation  
 $\Delta F_3 = 0.09$ . Therefore, because of the narrow range explored by  
 $F_3$ , it can be expected a grouping effect of the data in the plane  
 $\eta - R$ . Figure 12 shows that this is the case for all the experimen-  
 tal points, together with a continuous curve that corresponds to the  
 roots of Eq. (10), obtained numerically by setting  $F_3 = 0.77$ .

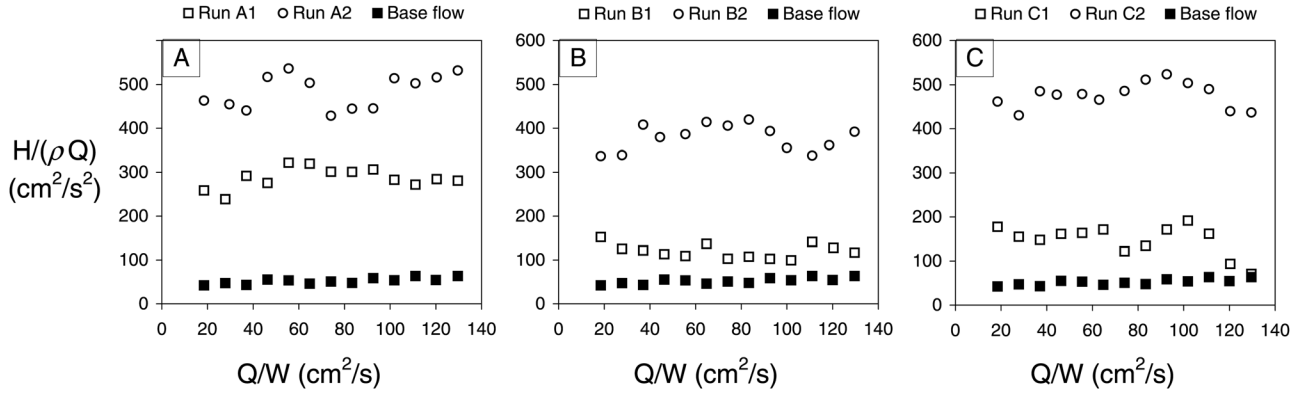
As can be seen, most of the points are grouped around this con-  
 tinuous curve. The graph shows that  $\eta$  monotonically increases  
 with increasing  $R$ . The grouping effect is explained by the fact  
 that an increase in  $Q/W$  also implies an increase of  $h_3$ , resulting  
 $F_3$  approximately constant through the present runs.

### 5 Mechanical Energy Dissipation Due to a Permeable Barrier

This section discusses the mechanical energy losses that occur  
 in subcritical flows through permeable barriers, focusing on the  
 function of the resistance number  $R$  previously defined. Under the  
 same assumptions as above, the First Law of Thermodynamics  
 (energy equation) applied to the control volume defined in Fig. 1  
 is write as:



**Fig. 12 The dimensionless flow depth  $\eta = h_1/h_3$  as a function of the resistance parameter  $R = (\gamma C_d'/2) md_v L_v h_v/h_3$ , for all the downstream Froude numbers,  $F_3$ , Runs A1 to D7. Continuous line corresponds to the average value  $F_3 = 0.77$ , with standard deviation  $\Delta F_3 = 0.09$ .**



**Fig. 13** Specific mechanical energy losses,  $H/(\rho Q)$ , against discharge per unit channel width,  $Q/W$ : (a) influence of cylinder diameter,  $d_v$ , for  $m = 0.513$  cyls/cm<sup>2</sup> and  $L_v = 16.5$  cm,  $\square$   $d_v = 0.308$  cm and  $\circ$   $d_v = 0.699$  cm; (b) influence of barrier density,  $m$ , for  $d_v = 0.502$  cm and  $L_v = 15.0$  cm,  $\square$   $m = 0.057$  cyls/cm<sup>2</sup> and  $\circ$   $m = 0.513$  cyls/cm<sup>2</sup>; (c) influence of barrier length,  $L_v$ , for  $m = 0.513$  cyls/cm<sup>2</sup> and  $d_v = 0.502$  cm,  $\square$   $L_v = 3.5$  cm and  $\circ$   $L_v = 23.0$  cm.  $\blacksquare$  base flow,  $md_v L_v = 0$  cm. Uncertainties bars for  $H/(\rho Q)$  are of the order of data fluctuations and are not plotted for clarity.

$$\left(\frac{U_1^2}{2} + gh_1\right) = \left(\frac{U_3^2}{2} + gh_3\right) + \left((u_3 - u_1) - \frac{\dot{\phi}}{\rho Q}\right) \quad (13)$$

411 where  $g$  is the acceleration of gravity,  $\rho$  is the fluid density,  
 412  $U_1 = Q/(Wh_1)$  and  $U_3 = Q/(Wh_3)$  are the uniform flow velocities  
 413 at sections (1) and (3),  $(u_3 - u_1)$  is the increment in internal  
 414 energy per unit mass of fluid and  $\dot{\phi}/(\rho Q)$  is the heat transferred to  
 the surroundings per unit mass of fluid.

415 The term  $(u_3 - u_1) - \dot{\phi}/(\rho Q) = H/(\rho Q)$  represents an irre-  
 416 reversible loss of mechanical energy per unit mass of the fluid due  
 417 to viscous dissipation, resulting in a conversion of mechanical  
 418 energy into internal energy (not recoverable) and in heat trans-  
 419 ferred to the surroundings. The Second Law of Thermodynamics  
 420 (entropy equation) imposes that  $H > 0$ . In terms of  $H$ , Eq. (13)  
 421 can be rewritten as follows:

$$\left(\frac{U_1^2}{2} + gh_1\right) - \left(\frac{U_3^2}{2} + gh_3\right) = \frac{H}{\rho Q} \quad (14)$$

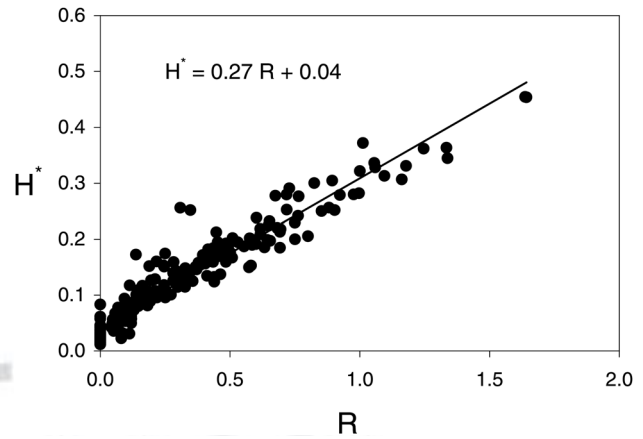
422 The left hand side of Eq. (14) can be evaluated from experi-  
 423 mental values of mean velocity and water depth in sections (1)  
 424 and (3) to obtain  $H/(\rho Q)$  as a function of the flow rate  $Q/W$  for  
 425 different barrier parameters combinations.

426 With the same notation as in the previous analysis (Fig. 13)  
 427 shows the evolution of  $H/(\rho Q)$  with  $Q/W$  for runs A, B and C  
 428 (see Table 1 for input parameters). Open and filled markers cor-  
 429 respond to  $H/(\rho Q)$  in the presence of the barrier and for the base  
 430 flow configuration, respectively. Data points have large uncer-  
 431 tainties (not shown for clarity), as a result of the way in which  
 $H/(\rho Q)$  is calculated from Eq. (14). Beyond this fact, the overall  
 432 picture that emerges is that an increase in barrier resistance (via  
 433 an increment in  $d_v$ ,  $m$  or  $L_v$ ) is followed by an increase in  
 $H/(\rho Q)$ , regardless the values of  $Q/W$ .

434 The above paragraph suggests that  $H/\rho Q$  mainly depends on  
 435 the resistance offered by the barrier. From the preceding section  
 436 the dimensionless number  $R$  is representative of this resistance.  
 437 On the other hand, a dimensionless number  $H^* = H/(\rho Q g h_3)$  nat-  
 438 urally arises by dividing Eq. (14) by  $g h_3$ .

439 Figure 14 shows the trend of  $H^*$  when plotted against  $R$  for all  
 440 the experimental data. A remarkable grouping effect in the whole  
 441 explored range, is observed. Moreover, a linear relationship  
 442 between  $H^*$  and  $R$  is compatible, where the ordinate intercept rep-  
 443 resents  $H^*$  for the flow in absence of a barrier (i.e.,  $R = 0$ ).

444 This result implies that, for a given barrier, the mechanical  
 445 energy of the flow that is being dissipated per unit time,  $H$ , is pro-  
 446 portional to the mass flow rate,  $\rho Q$ , and the flow depth down-  
 447 stream of the adjustment zone,  $h_3$ , through the barrier structure



**Fig. 14** Dependence of  $H^*$  with  $R$  (for  $\gamma = 1$  and  $C_d = 0.68$ )

parameter,  $R$ . Indeed, it should be emphasized the key role played  
 448 by this parameter, it encompasses the main information needed to  
 449 characterize a submerged barrier from the point of view of flow  
 450 resistance. In practice, the value of  $R$  gives a suitable criterion for  
 451 the classification of different permeable barriers and for that reason  
 452 constitute a useful alternative tool to estimate the backwater  
 453 effect. Interesting to note is that  $R$  can be expressed as the product  
 454 of a drag coefficient of a single element,  $C_d'$ , by a dimensionless  
 455 factor,  $md_v h_v L_v / h_3$ , that plays an analog role to the blockage ratio  
 456 defined by Azinfar and Kells [17] in his study of the backwater  
 457 effect due to the presence of spur dikes.  
 458

## 6 Conclusions

459 This contribution experimentally explores the dependence of  
 460 the water depth upstream a permeable barrier,  $h_1$ , with the dis-  
 461 charge per unit channel width,  $Q/W$ . Experiments are carried out  
 462 in a small horizontal channel with smooth walls. The permeable  
 463 barrier extends over the entire width of the channel and it is com-  
 464 posed of smooth cylinders of small aspect ratio, vertically  
 465 mounted in staggered pattern over a smooth glass plate (false bot-  
 466 tom). Cylinders height above the bottom is kept constant for all  
 467 runs, and they are fully submerged in the bulk flow in all cases.  
 468 Flow is steady and sub-critical, and discharges free at the outlet of  
 469 the channel.  
 470

471 Several configurations were considered by systematically vary-  
 472 ing the diameter of the cylinders,  $d_v$ , the density of elements per  
 473 unit area of the bottom,  $m$ , and the length of the barrier in the

474 stream direction,  $L_v$ . Measurements without the barrier (base  
475 flow) were also performed as reference case.

476 In first place, in all cases it was observed that  $h_1$  grows monotonically  
477 with  $Q/W$ , both for the base flow case (no barrier) as well as in presence of the resistive barrier. In second place, when  
478 water flows in presence of a barrier, the measured values for  $h_1$   
479 are larger than those measured in the base flow configuration.  
480 Finally, for a constant  $Q/W$  it is observed that  $h_1$  increases with  
481 increased values of  $d_v$ ,  $m$  and/or  $L_v$ .

482 A one-dimensional model is presented, based on fluid mechanics equations applied to a finite control volume in the test section,  
483 under simplifying assumptions physically-based. The objectives are to predict  $h_1$  and to obtain a sound basis taking into account  
484 the quantitative incidence of  $Q/W$ ,  $m$ ,  $d_v$  and  $L_v$ . The contribution to the flow resistance, due to the barrier, was modeled by a net  
485 drag force which is equal to the sum of each term of drag over an isolated smooth cylinder of finite aspect ratio. The mean velocity  
486 of the impinging flow is postulated to be equal to the mean velocity of the bulk flow immediately upstream the barrier,  $U_1$  (parameter  $\gamma = 1$ ). It is assumed that the contribution to flow resistance  
487 from the smooth glass plate (false bottom), where cylinders are mounted, is negligible in first approximation. This assumption is explicitly made in the model, after considering the relative  
488 weights of the two contributions to the flow resistance.

489 Beyond this rough approximations, the model captures the observed trend of  $h_1$  along the explored range of  $Q/W$ . Additionally,  
490 the model is sensitive to the changes of the control variables of the barrier,  $d_v$ ,  $m$  and  $L_v$ , being those changes captured in the predicted values for  $h_1$ . It is interesting to note that the fairly good  
491 agreement between predictions and measurements is obtained by assuming that all the cylinders are impinged by the same mean flow velocity,  $U_1$ .

492 The dimensionless momentum equation, via the length scale  $h_3$ , implicitly gives  $\eta = h_1/h_3$  as a function of two dimensionless numbers: the Froude number downstream de adjustment zone,  $F_3$ ,  
493 where the flow recovers, and the dimensionless resistance parameter,  $R$ . From the measured data it is obtained the averaged value  
494  $F_3 = 0.77$ , with standard deviation  $\Delta F_3 = 0.09$ , therefore it is assumed that  $\eta$  mainly depends on  $R$  for these flow configuration  
495 under study. By plotting the measured data in terms of  $\eta$  and  $R$ , it is observed that the values are grouped and follow the predicted  
496 trend in the plane  $\eta - R$ , by setting  $F_3 = 0.77$  in Eq. (10).

497 Finally, an analysis about the implications of these findings on the mechanical energy dissipation of the flow are offered. From the measurements it is obtained that the mechanical energy losses  
498 per unit mass of the fluid,  $H/(\rho Q)$ , does not follow any definite trend with  $Q/W$ , but increases with  $d_v$ ,  $m$  and/or  $L_v$ . By assuming that  
499  $h_3$  is the suitable length scale, it is showed that  $H^* = H/(\rho Q g h_3)$  grows fairly linearly with  $R$ . This result reinforces the main role played by  $R$  in flow resistance, in the sense that  
500 the mechanical energy dissipation per unit time depends, besides the flow rate and the downstream flow depth, as seen on both local and global scales of the permeable barrier.

525 **Nomenclature**

- $A_1 = Wh_1$
- $A_3 = Wh_3$
- $C'_d$  = drag coefficient for an smooth isolated cylinder of small aspect ratio
- $d_v$  = cylinder's diameter
- $D$  = total drag force exerted by the cylinders of the barrier
- $F_1 = U_1/(gh_1)^{1/2}$
- $F_3 = U_3/(gh_3)^{1/2}$
- $F_b$  = friction force associated with the smooth false bottom (glass plate)
- $f_b$  = Darcy-Weisbach friction coefficient
- $g$  = gravity acceleration

- $H$  = mechanical energy losses per unit time
- $H^* = H/(\rho Q g h_3)$
- $h_1$  = flow depth at the inlet of the test section (immediately upstream the permeable barrier) 528
- $h_3$  = flow depth at the outlet of the test section (behind the adjustment zone downstream the barrier) 529
- $L_v$  = length of the permeable barrier in the longitudinal direction 530
- $m$  = cylinders density (number of cylinders per unit area of the bottom) 531
- $N = mWL_v$
- $Q$  = flow rate
- $q = 2/g(Q/W)^2$
- $Re_v = \gamma U_1 d_v / \nu$
- $R = (\gamma C'_d / 2)(m d_v L_v h_v / h_3)$
- $s_v$  = cylinder's center-to-center distance
- $U_1 = Q/A_1$
- $U_3 = Q/A_3$
- $W$  = channel width (= 15 cm)
- $W_v$  = barrier width (= 13.5 cm)
- $w_v$  = distance between channel walls and neighboring cylinders
- $\gamma$  = coefficient that reflects the fact that the velocity impinging each cylinder should not necessarily be equal to the mean velocity  $U_1$ , in a first approximation is assumed to be 532
- $\gamma = 1$  533
- $\varepsilon = (f_b / (4C'_d))(1 - m\pi d_v^2 / 4)$
- $\eta = h_1 / h_3$
- $\lambda = d_v h_v / s_v^2$
- $\nu$  = fluid kinematic viscosity
- $\rho$  = fluid density
- $\phi = \pi d_v^2 / (4s_v^2)$

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