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Water Level Rise Upstream 

in Subcritical Flow: Experiment and Modeling

This work addresses the dependence of water depth upstream a permeable barrier, \( h_1 \), with discharge per unit channel width, \( Q/W \), in sub-critical flow regime. The barrier, that extends over the entire width of the channel, is composed by smooth cylinders of small aspect ratio vertically mounted on the bottom in a staggered pattern and fully submerged in the flow. The height of the cylinders above the bottom was kept constant for all runs. Several configurations were considered by varying systematically the cylinders diameter, \( d_c \), the number of cylinders per unit area of the bed, or density, \( m \), and the length of the barrier in the stream direction, \( L_c \). A one-dimensional model was developed to predict the observed values of \( h_1 \) and to obtain a sound basis taking into account the incidence of \( Q/W \), \( m \), \( d_c \), and \( L_c \). This model is based on fluid mechanics equations applied on a finite control volume for the flow in the test section, and it was deduced under simplifying assumptions physically-based. Finally, and based on the experimental results and the model predictions, the mechanical energy losses of the flow are analyzed. The main role played by a dimensionless number \( R \), that takes into account the barrier’s resistance to the flow, is highlighted. [DOI: 10.1115/1.4026356]

1 Introduction

The relationship between bed roughness patterns and drag resistance is a key area of research in dynamics of free surface flows. The presence of roughness elements along the bed protruding the bulk flow arise the question of the correlation between geometrical properties of the roughness elements and equivalent roughness height [1,2].

Porous or semipermeable barriers are bed-mounted obstacles, of finite size, conformed as clusters, or arrays, of large resistive elements. It is known that the presence of an object or obstacle of finite length in an open channel flow produces a significant change in the flow structure [3], which can be represented as an extra drag force exerted over the flow. Permeable barriers placed on a stream bed is an active field of research because of its practical relevance. The interest in using vegetative barrier strips, or constructed materials, as conservation measures to reduce fluxes of sediments and/or pollutants from overland flows led to numerous studies on determining the efficiency of such barriers together with the associated increment in flow resistance [4].

A first classification in the study of open channel flows through barrier strips or porous barriers is based on the Froude number of the incident flow \( F_1 = U_1/(gh_1)^{1/2} \), where \( U_1 = Q/(Wh_1) \) is mean flow velocity and \( Q, W, \) and \( h_1 \) are the flow rate, the channel width and the flow depth upstream the obstacle, respectively. If \( F_1 < 1 \) the flow regime is called subcritical, while if \( F_1 > 1 \) is called supercritical.

Most of the research works have been performed in flumes under supercritical flow conditions. In these works, permeable barriers are constructed from resistive elements (vertical cylinders, nails, etc.) that form obstacles networks. They are useful for modeling situations encountered in agricultural uses or soil management (vegetative filters, buffer strips, etc.). An example is the work of Rose et al. [5], where a fixed discharge was forced to pass through a buffer strip composed by long emergent nails. Several bed slopes, \( S \), and different buffer densities (number of nails per unit bed area), \( N_{nails} \), were considered. They reported that the extent of the hydraulic adjustment zone is approximately proportional to the ratio \( N_{nails}/S \) and proposed a model, based on momentum theory in finite segment, to predict the water depth throughout the resistive array.

However, there are circumstances where the flow is sub-critical [6] and the resistive elements of the barrier are completely submerged. Furthermore, frequently the flow rate, more than the bed slope, is the variable of interest. This is the case; for example, of some shallow overland flows developed on low bed slopes, where groups of large elements (vegetation, debris, rocks and/or boulders; for example) protrude from the bed [7,8].

In general, when a sub-critical flow impinges on an obstacle mounted on the bed, the water level upstream the obstacle increases to provide the extra force (or the extra energy) to overcome the drag force (or the mechanical energy losses). In turn, for a given discharge, an increase in water depth implies a lesser mean flow velocity. This fact is of great importance during transport of sediment, pollutants and/or nutrients. A zone has been reported to be upstream of a buffer strip of emergent nails densely packed, where a net deposition of solids takes place [9].

In hydraulics, the raising of the water level upstream an obstacle, compared to the unperturbed level, is called backwater effect. The study of this phenomenon, which is highly complex because of the large amount of involved variables, is of great interest for engineers, biologists and ecologists. Indeed, not only the overall shape of the barrier, its size and relative position to the main flow direction, are important, but also its internal structure, since they are composed by a number of resistive single elements grouped in a finite region of the bed. Theoretical interpretations of flow through grass strips are very few [10]. Based on previous works, dealing with a uniform cover of large-roughness elements along the bed [11], it can be expected that the shape and the size of every single constitutive element, as well as their number per unit bed area, or density, \( m \), will play a central role in flow resistance.

In the analysis, it should also be included both their relative positions and planimetric distribution along the bed. Those previous works choose smooth rigid circular cylinders, of diameter \( d_c \), and...
of height $h_1$, vertically mounted above the bed in a staggered configuration across the entire width of the channel. In a staggered distribution it is possible to set a desired value of $m$ by simply varying the center-to-center distance of the cylinders, $s_x$. In addition, the length of the barrier along the stream direction, $L_v$, should be included in problems of finite barriers.

This experimental contribution addresses the problem of the backwater effect measuring the water depth, $h_1$, upstream a barrier composed by smooth cylinders, as a function of the flow rate per unit channel width, $Q/W$. The cylinders, of constant height, were vertically mounted in a staggered pattern. Several configurations were tested by systematically varying the cylinders diameter, $d_1$, the number of cylinders per unit area of the bed, $m$, and the barrier’s length, $L_v$. In all cases, the cylinders remained completely submerged in the bulk flow.

Based on fluid mechanics equations under simplifying physically-based assumptions, a one dimensional model is developed to predict the incidence of $Q/W$, $m$, $d_1$, and $L_v$ on the observed values of $h_1$. The dimensionless numbers that appear to play a central role in the mechanics of the phenomenon were identified from this model. An analysis of the implications of these findings on the mechanical energy losses of the flow is finally offered.

2 Experimental Set Up

The experiments were performed in a small 1.65m long and $W = 15$ cm width horizontal open channel with transparent Plexiglas walls (see Fig. 1). The flow was imposed with a centrifugal pump that was controlled with a frequency variator. At the channel inlet, the flow was driven through a honeycomb to ensure a uniform entrance velocity profile. At the channel outlet, the water was allowed to freely discharge in a tank. This outlet flow configuration was preferred to weirs in order to prevent possible interactions between the barrier and the recirculation zone at the upstream side of the weir. Thus, the experiments were designed to avoid additional sources of resistance to flow, so that the increase in the water level is due only to the barrier.

The fluid temperature was registered in all runs, and its values ranged between 24.9°C and 26.3°C. Using water as working fluid, the kinematic viscosity of the fluid was $v = 0.01$ cm²/s.

A glass plate 1.50 m long and 15 cm width was placed on the channel floor as a false bottom where the different barriers configurations were mounted. The smoothness of the glass helps to minimize the skin friction, making it insignificant when compared with the barrier’s resistance itself (see discussion in Sec. 4). This procedure allows to emphasize the role played by the barrier itself, turning it into the main source of resistance to flow. Of course, in more realistic situations, a friction coefficient should be considered to take into account the presence of grained sediments at the bottom.

The barriers consisted of a network of staggered cylinders of equal height and radius. They were glued with silicon seal on the glass plate to cover a length $L_v$ beginning at 60 cm from the flow inlet, where the flow is assumed to be fully developed, see Fig. 1. Each barrier configuration was constructed following a preprinted pattern in a paper sheet that was located below the glass plate during the preparation process. The staggered configuration was chosen because it has a well defined cylinder’s center-to-center distance, and, simultaneously, the longitudinal flow channeling is lower compared with square configurations.

The flow rate, $Q$, was varied between 1000 l/h to 7000 l/h. Previous measurements for the flow in the test section and in the absence of any barrier (base flow) revealed that the highest water depth (for the maximum tested flow rate) is about 3.0 cm, while the lowest water depth (for the minimum tested flow rate) is about 1.0 cm. Therefore, the flow Reynolds number, based on the mean flow velocity and the channel hydraulic diameter at the test section, varied between 6500 and 37000. The choice of this range of $Q$ will be discussed later, when the drag coefficient for an isolated cylinder and the friction coefficient for a smooth plate are determined. From the above values the flow aspect ratio, $W/h_1$, varied from 5 to 15. On the other hand, and taking into account the lowest water depth, the height of the cylinders was set at $h_1 = 0.85 \pm 0.03$ cm in order to achieve the condition of fully submerged cylinders in all runs.

Two values of $s_x$ were tested, 1.5 cm and 4.5 cm, respectively, see Fig. 2. They ensure that in both cases the barrier width is the same, $W_v = 13.5$ cm, and contain an entire number of cylinders on each row. In turn, in a staggered distribution $s_x$ sets the value

Fig. 1. Scheme of the small horizontal channel and the equipment used for driving and controlling the flow, together with the main dimensions and geometrical variables (not drawn to scale)

Fig. 2. Plan view of the main geometrical variables for to define the staggered distribution of cylinders (not drawn to scale)
of the density, $m$, defined as $m = (2/\sqrt{3})x_{m,2}$, which implies $m$ equal to 0.513 cm$^2$/cm$^2$ and 0.057 cm$^2$/cm$^2$, respectively. The resulting densities are thus separated by almost an order of magnitude.

On the other hand the choice of the cylinders diameter, was guided regarding the barrier solidity $\phi$ [11], which is defined as $\phi = \pi d_c^2 / (4x_{m,2}^2)$. This parameter measures the portion of the total bed area that is occupied by cylinders. In order to cover a reasonable wide range of values, two diameters $d_c$ were tested: 0.308 cm and 0.699 cm, respectively, giving $0.03 < \phi < 0.17$.

Recent works on flow over vegetated channels [12] proposed the roughness density $\lambda = d_c/h_x$ to quantify the structure of the large roughness cover. If this parameter is much smaller than 0.1, the cover can be considered sparse, whereas if it is much larger than 0.1, the cover is called dense. The case when it is equal to 0.1 is called transitional. From the above, it follows that the tested barriers fall within the range $0.013 < \lambda < 0.263$.

Finally, three values of $L_x$, were chosen to study the influence of the barrier extension, 3.5 cm, 16.5 cm and 23.0 cm, giving $L_x/W$ ratios less, similar and larger than 1, respectively. In all cases the flow conditions at the channel outlet are not altered with respect to the base flow, for the same discharge.

A Pulnix Dual Tap AccuPixel CCD monochrome camera was placed perpendicular to the lateral side of the channel and centered at the test section (30 cm long, starting at the beginning of the barrier). The free surface was illuminated from below of the bed channel through a light box source with a diffuser plate. By setting the focal plane of the camera on the lateral transparent wall of the channel, it was observed a well-defined bright line corresponding to the water-air-plexiglass contact line, see Fig. 3. It is clear that the water depth corresponds to the lower limit of this bright line. The physical calibration (pixels to centimeters) was obtained by taking a snapshot of a millimetric ruler after each run. Figure 3(a) shows a snapshot of the base flow for $Q = 5000$ l/h, in absence of the cylinders. Water flows from the left to the right. The free surface is almost flat and the observed slight head loss is due to the friction between the fluid and the smooth walls of the channel.

Figure 3(b), shows a snapshot of the flow for the same flow rate $Q$, but in presence of a barrier. The parameters characterizing the barrier are $m = 0.513$ cm$^2$/cm$^2$, $d_c = 0.502$ cm and $L_x = 16.5$ cm. Here, the bright line representing the free surface is markedly affected by the presence of cylinders. The water height at the inlet of the test section, $h_1$, is larger than the corresponding to the base flow showing the backwater effect upstream the barrier. Accordingly, the free surface slope over the barrier is larger compared to that of the base flow. Immediately downstream the barrier an adjustment zone, with a weak expansion after a vena contracta flow region, is observed. Finally, downstream this zone, the flow recovers, and at $x = 30$ cm the flow height, $h_1$, becomes almost the same as in the base flow.

The free surface profile, $h = h(x)$, was extracted by using an ImageJ macro developed to detect the lower bound of the bright line for each snapshot. In Fig. 4 the superposition of the two profiles for the above cases is shown. The impact on the free surface slope due to the barrier’s resistance to the water flow is clearly visible. In particular, flow heights at the outlet of the test section (30 cm downstream the inlet) are practically indistinguishable from one case to another.

A summary of the main experimental parameters is given in Table 1.
3 Experimental Results

In this section, raw measurements of \( h_1 \) as a function of \( Q/W \), for the experimental conditions given in Table 1, are presented. The tests were grouped into three categories: A, B and C, considering the effects of the cylinder diameter, \( d_s \), the density, \( m \) and the length barrier, \( L_v \), respectively.

Figure 5 shows the effects of increasing the cylinder diameter. Open squares and open circles correspond to test A1 and A2, respectively. For comparison, the measurements for the base flow (for the same flow rates but with no barrier), are also plotted in black squares. The experimental uncertainties, \( \Delta(Q/W) / (Q/W) \), \( 10\% \) and \( \Delta h_1 = 1 \text{mm} \), are shown with horizontal and vertical bars in the figure.

It is seen that \( h_1 \) grows monotonically and non-linearly with \( Q/W \) for both cylinder diameters and also for the case of no barrier. For a given \( Q/W \), \( h_1 \) in presence of the barrier is larger than when there is no barrier, as expected. On the other hand, the larger the diameter of the cylinders, \( d_s \), the larger is the value of \( h_1 \).

The results of Test B showing the dependence of \( h_1 \) with \( Q/W \) for two different densities, \( m \), is shown in Fig. 6. Measurements of the series B1 and B2 are shown in open squares and open circles, respectively, the case of no barrier is shown in filled squares, as above.

The slight difference in \( L_v \) values between these configurations is due to the fact that the length of the barrier depends on \( s_x \) through \( L_v = (\sqrt{3}/2) s_x \), with \( n \) the number of rows. Indeed, \( L_v = 16.5 \text{ cm} \) for \( s_x = 1.5 \text{ cm} \) is achieved with \( n = 13 \), but for \( s_x = 4.5 \text{ cm} \) the closest value is achieved with \( n = 4 \) giving \( L_v = 15.0 \text{ cm} \). Beyond this small difference, the same trends can be observed as in the previous case. In particular, for a given \( Q/W \), an increase in the density involves an increase in \( h_1 \). Therefore, it can be concluded that not only \( d_s \), but also \( m \), contributes to increase the flow resistance.

4 Finite Volume Control Model

This section is devoted to develop a model to predict the increase in water level, \( h_1 \), due to the presence of a permeable barrier, for a given flow rate, \( Q \), and water level behind the adjustment zone (downstream the barrier, where flow recovers), \( h_1 \) (see Fig. 3). This model is derived from fluid mechanics basic equations (continuity and momentum) using physically based hypothesis.

Let consider a rectangular finite volume control of vertical sides, between locations (1) and (3), as seen in Fig. 3, with the \( x \)-axis along the channel bed and the \( y \)-axis vertical. Under the

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Table 1 Summary of the variables for the parametric experimental study on the dependence of \( h_1 \) with \( Q \)

<table>
<thead>
<tr>
<th>Run</th>
<th>( m ) (cyls/cm²)</th>
<th>( d_s ) (cm)</th>
<th>( L_v ) (cm)</th>
<th>( w_c ) (cm)</th>
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<tbody>
<tr>
<td>A1</td>
<td>0.513</td>
<td>0.308</td>
<td>16.5</td>
<td>0.6</td>
</tr>
<tr>
<td>A2</td>
<td>0.513</td>
<td>0.699</td>
<td>16.5</td>
<td>0.4</td>
</tr>
<tr>
<td>B1</td>
<td>0.057</td>
<td>0.502</td>
<td>15.0</td>
<td>0.5</td>
</tr>
<tr>
<td>B2</td>
<td>0.513</td>
<td>0.502</td>
<td>16.5</td>
<td>0.5</td>
</tr>
<tr>
<td>C1</td>
<td>0.513</td>
<td>0.502</td>
<td>3.5</td>
<td>0.5</td>
</tr>
<tr>
<td>C2</td>
<td>0.513</td>
<td>0.502</td>
<td>23.0</td>
<td>0.5</td>
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Fig. 5 Effect of cylinders diameter, \( d_s \), on flow water depth \( h_1 \) against discharge per unit channel width, \( Q/W \), for: Run A1: \( d_s = 0.308 \text{ cm} \) with \( m = 0.513 \text{ cyls/cm²} \) and \( L_v = 16.5 \text{ cm} \), Run A2: \( d_s = 0.699 \text{ cm} \) with \( m = 0.513 \text{ cyls/cm²} \) and \( L_v = 16.5 \text{ cm} \), and base flow (no barrier).

Fig. 6 Effect of barrier density, \( m \), on flow water depth \( h_1 \) against discharge per unit channel width, \( Q/W \), for: Run B1: \( m = 0.057 \text{ cyls/cm²} \) with \( d_s = 0.502 \text{ cm} \) and \( L_v = 15.0 \text{ cm} \), Run B2: \( m = 0.513 \text{ cyls/cm²} \) with \( d_s = 0.502 \text{ cm} \) and \( L_v = 16.5 \text{ cm} \), and base flow (no barrier).

Fig. 7 Effect of barrier length, \( L_v \), on flow water depth \( h_1 \) against discharge per unit channel width, \( Q/W \), for: Run C1: \( L_v = 3.5 \text{ cm} \) with \( m = 0.513 \text{ cyls/cm²} \) and \( d_s = 0.502 \text{ cm} \), Run C2: \( L_v = 23.0 \text{ cm} \) with \( m = 0.513 \text{ cyls/cm²} \) and \( d_s = 0.502 \text{ cm} \), and base flow (no barrier).

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hypothesis of incompressible, stationary and uniform flow at the
locations (1) and (3), the integral form of the mass conservation
equation can be written as follows:
\[ Q = U_1 A_1 = U_3 A_3 \]  
(1)

where \( U_1, U_3 \) and \( A_1, A_3 \) are the mean flow velocities and areas of the control surface at sections (1) and (3), respectively.

Under the assumption of hydrostatic pressure distribution at sections (1) and (3), the Newton’s second law of motion (momentum
equation) along \( x \), is given by:
\[ -D - F_b = \left( \rho g W h_3^2 - \rho g W h_1^2 \right) = \rho U_3^2 A_3 - \rho U_1^2 A_1 \]  
(2)

where \( g \) is gravity acceleration, \( \rho \) is fluid density, \( D \) is the total
drag force exerted by the cylinders of the barrier and \( F_b \) is the
force term associated with glass plate skin friction. The next step
is to propose suitable formulations for \( D \) and \( F_b \).

First, it is assumed that \( D \) can be expressed as the sum of the
two drag forces associated with each cylinder. Therefore, \( D \) can
be written as:
\[ D = \sum_{i=1}^{N} C_d^i \frac{1}{2} \rho U_i^2 h_i d_i \]  
(3)

where \( N = m W L_w \) is the number of cylinders forming the barrier
and \( C_d^i \) is the drag coefficient of a single cylinder. Coefficient \( \gamma \)
reflects the fact that the velocity impinging each cylinder should
not necessarily be equal to the mean velocity, \( U_i \) [13]. A discussion
on the values of \( C_d \) and \( \gamma \) will be given later in this section.

Second, \( F_b \) is calculated through the Darcy-Weisbach coefficient,
\( f_b \), as follows:
\[ F_b = f_b \left( \frac{1}{8} \rho U_i^2 \left( W L_w - N \frac{md_i}{4} \right) \right) \]  
(4)

where the term in parenthesis represents the effective area of the
glass plate contributing to flow resistance. As can be noted, the
friction force associated with the portion of the glass plate
between the end of the barrier (\( x = L_w \)) and location (3)
(\( x = 30 \text{cm} \)) has not been included. In fact, as it will be discussed
below, the skin friction of the whole (smooth) glass plate is insigni-
ficant with respect to the resistance due to the cylinders.

By replacing Eqs. (3) and (4) in Eq. (2), together with
\( U_1 = \frac{Q}{A_1} \) and \( U_2 = \frac{Q}{A_2} \), and after rearrange terms, it is
obtained the following:
\[ \frac{C_d^i}{2} A_1 \frac{Q^2}{W L_w} \left( md_i h_i + f_b \frac{4 d_i}{C_d^i} \left( 1 - m \frac{\pi d_i^2}{4} \right) \right) = \left( \frac{Q^2}{A_1} + g W \frac{h_1^2}{2} \right) - \left( \frac{Q^2}{A_3} + g W \frac{h_3^2}{2} \right) \]  
(5)

Therefore, for a given barrier, defined by \( m, d_i \) and \( L_w \), and by
considering suitable values for \( \gamma, C_d^i \) and \( f_b \) (all of them having a
well definite physical meaning), Eq. (5) implicitly defines \( h_i \) as a
function of discharge, \( Q \), and the corresponding water depth
downstream, \( h_3 \). In principle, the solution for \( h_i \) can be obtained
by solving the implicit equation. As an alternative procedure, the
both sides of Eq. (5) can be multiplied by \( h_i^2 \), and, after rearrange
terms, a fourth-order polynomial is obtained:
\[ h_i^4 - \left( h_i^2 + \frac{Q}{h_i} \right) h_i^2 + q h_i - \frac{C_d^i}{2} q L_w (md_i h_i + \varepsilon) = 0 \]  
(6)

Some authors argue that the parameter \( \gamma \) depends on the relative
submergence of the cylinder, being less than 1 for fully sub-
merged cylinders within barriers of “infinite” length under uniform
flow conditions [11]. These studies do not include the possible
dependence of the parameter with the longitudinal position
of the cylinders in the channel, \( x \), measured from the point
where the barrier begins. Instead, they focus on the asymptotic
value of \( \gamma \), corresponding to values for \( x \gg s_i \). Near the beginning
of the barrier is reasonable that \( \gamma \) approaches unity as the mean ve-
locity which impinges on the first cylinder is \( U_1 \). While more
research is needed to examine this issue in depth, as a first approxi-
mation to the calculation of the effective drag we consider \( \gamma = 1 \),
which means that all the cylinders are impinged by the same mean
flow velocity \( U_1 \). The validity of this simplification can be judged
by the consistency of the results.

The drag coefficient for a single smooth circular cylinder with
infinite aspect ratio (i.e., length \( \gg \text{diameter} \)) depends on the cy-
inder’s Reynolds number, \( Re_0 \), based on the mean velocity of
the impinging flow and the diameter of the cylinder. Therefore,
strictly speaking, \( C_d^1 \) depends on the actual impinging flow whose
depth \( h_1 \) is unknown. If, in first approximation, the base flow
is taken as reference, with the extreme values of \( d_i \) (see Table 1)
is \( 585 \leq Re_0 \leq 2900 \). The available bibliography [14] presents
values for \( C_d^1 \) against \( Re_0 \) for smooth cylinders of aspect ratio
\( h_1/d_i = 5 \), being this values roughly constant for \( 10^3 \leq Re_0 \leq 10^4 \)
(and lower than those for cylinders with infinite
aspect ratio). In the present work \( 1.2 \leq h_1/d_i \leq 2.8 \), that corre-
sponds to \( 0.64 \leq C_d^1 \leq 0.72 \) obtained from the above cited refer-
ence. Therefore, \( C_d^1 = 0.68 \pm 0.04 \) can be considered a
representative value for the working conditions. Possible interfer-
eence effects, that could arise from the proximity of the neighbor-
ing cylinders, are not considered.

Regarding friction coefficient associated with the glass plate, \( f_b \),
it is assumed the Blasius correlation for turbulent flow in a smooth
pipe ([15]; pg. 335) is valid:
\[ f_b = \frac{0.3164}{Re_0^{0.25}} \]  
(9)

where the Reynolds number, \( Re_0 \), in the above equation, was taken
as equal to those corresponding to the base flow previously dis-
cussed (see Sec. 2), which ranges between 6500 and 37000, then
\( f_b = 0.027 \pm 0.004 \) is the average value in this range. This last
result made the term \( \varepsilon \) in Eq. (6) of about one order of magnitude
less than \( md_i h_i \) (see Table 1) except for run B1, where it is still
\( \varepsilon \ll md_i h_i \) but of the same order of magnitude. Therefore, it can
be assumed that, in most of the configurations, \( \varepsilon \) can be neglected
in a first approximation.

The latter result depends on the initial assumption to model the
drag force \( F_b \) via Eq. 4. If the false bottom is described as a smooth flat
plate, the corresponding drag resistance can be approximated as
\( C_{d0} 1/2 \rho U_i^2 W L_w \), where \( C_{d0} \) is the drag coefficient for a flat plate at
zero incidence [16]. The Reynolds number based on the mean ve-
locity of the base flow and the test section length (30 cm) varies between
60,000 and 130,000, therefore, from the cited reference, \( C_{d0} \) lies in
the range \( 0.01 \leq C_{d0} \leq 0.013 \). After substituting in Eq. (2) is
obtained that the ratio of \( C_{d0} / C_d^1 \) is negligible when compared to the
term \( md_i h_i \). This reinforces the conclusion of the previous paragraph.

For each run, roots of the polynomial were numerically computed
and were only considered those with physical meaning
(real, positive and in the subcritical flow regime). Direct compar-
sion between measurements and model predictions are shown in
Figs. 8 and 9 and 10. The good agreement indicates that the model
certainly captures the dependence of $h_1$ with $Q/W$, even with the rough approximations that were made.

The results suggest that, at first order, the working hypothesis $c = 1$ provides the appropriate velocity scale for the mean flow inside the space occupied by the barrier. Future research about this point is needed, taking into account the several simplifications introduced in the model.

Beyond this, it is observed that Eq. (6) is sensitive to the different values of barrier control variables, $d_v$, $m$ and $L_v$, in the sense that changes of these variables were captured in predicted values.
Furthermore, Eq. (6) shows that the predicted value of \( h_1 \) does not depend only on \( Q/W \) and \( h_1 \), but also on the parameter \( md/h_L \).

This parameter combines the internal structure of the resistive barrier with its whole size. The results suggest that this parameter could be useful in classifying different barriers.

In order to provide more robustness to the above analysis and test the predictive ability of the model, a new set of measurements of \( h_1 \) was performed by combining the variables \( m, d, \) and \( L_c \), for the same range of \( Q/W \) previously considered. In Table 2 the new set is designed by D1 to D7.

The last column in Table 2 corresponds to the parameter \( md/L_c \).

Similar values for this parameter were obtained by combining different values of \( m, d, \) and \( L_c \). For example, run D1 with A1, D7 with C1 and D4 with D2. Furthermore, intermediate values were tested between the previously analyzed; for example for run D2, between runs A1 and B2, or run D6 between B1 and C1.

Figure 11 shows the correlation plot between measured and predicted values of \( h_1 \). Again, and taking into account the approximations in the model, it is observed that the agreement between them is quite good. A slight deviation in the lower part of the perfect agreement curve is observed, indicating that theoretical values slightly underestimate the experimental ones in the range of low flow rates.

By setting the reference length scale equal to the downstream water depth, \( h_1 \), a nondimensional equation can be obtained by dividing both sides of Eq. (6) by \( h_1^3 \) and considering \( f_0 \) negligible:

\[
\eta - (1 + 2F_3^2)\eta^2 + 2F_3^2\eta - 2F_3^2R = 0 \quad (10)
\]

where \( \eta = h_1/h_3 \) is the water depth at section (1) relative to the water level at section (3). In obtaining Eq. (10), the Froude number at section (3) arises naturally from its definition, \( F_3 = U_3/(gh_3)^{1/2} \), and the following relationship:

\[
\frac{q}{h_3} = \frac{2Q^2}{gW^2h_3} = \frac{2}{gh_3} \left( \frac{Q}{Wh_3} \right)^2 = 2F_3^2 \quad (11)
\]

Finally, \( R \) is a nondimensional parameter related to the barrier resistance to the impinging flow, defined as:

\[
R = \frac{\gamma C_2}{mdL_c h_3} \frac{h_3}{(10)
\]

Equation (10) implicitly gives the value of \( \eta \) as a function of \( F_3 \) and \( R \). For a given \( F_3 \), the roots of this equation will provide the values of \( \eta \) as a function of \( R \). From the measurements can be calculated \( F_3 = 0.77 \) as the average value, with a standard deviation \( \Delta F_3 = 0.09 \). Therefore, because of the narrow range explored by \( F_3 \), it can be expected a grouping effect of the data in the plane \( \eta - R \). Figure 12 shows that this is the case for all the experimental points, together with a continuous curve that corresponds to the roots of Eq. (10), obtained numerically by setting \( F_3 = 0.77 \).

As can be seen, most of the points are grouped around this continuous curve. The graph shows that \( \eta \) monotonically increases with increasing \( R \). The grouping effect is explained by the fact that an increase in \( Q/W \) also implies an increase of \( h_3 \), resulting \( F_3 \) approximately constant through the present runs.

## 5 Mechanical Energy Dissipation Due to a Permeable Barrier

This section discusses the mechanical energy losses that occur in subcritical flows through permeable barriers, focusing on the function of the resistance number \( R \) previously defined. Under the same assumptions as above, the First Law of Thermodynamics (energy equation) applied to the control volume defined in Fig. 1 is write as:

![Fig. 11 Direct comparison between measurements, \( h_{\text{mean}} \), and the corresponding predicted value from Eq. (6), \( h_{\text{pred}} \), for the new set of measurements D1 to D7 (see Table 2). Continuous line shows perfect agreement.](image1)

![Fig. 12 The dimensionless flow depth \( \eta = h_1/h_3 \) as a function of the resistance parameter \( R = \gamma C_2/2mdL_c h_3 \). For all the downstream Froude numbers, \( F_3 \), runs A1 to D7. Continuous line corresponds to the average value \( F_3 = 0.77 \), with standard deviation \( \Delta F_3 = 0.09 \).](image2)
This result implies that, for a given barrier, the mechanical resistance explored range, is observed. Moreover, a linear relationship in the whole experimental data. A remarkable grouping effect in the picture that emerges is that an increase in barrier resistance (via ties (not shown for clarity), as a result of the way in which flow configuration, respectively. Data points have large uncertainties bars for \(H/\rho Q\)) are of the order of data fluctuations and are not plotted for clarity.

\[
\frac{U_1^2}{2} + gh_1 = \left(\frac{U_3^2}{2} + gh_3\right) + (u_3 - u_1) - \frac{\phi}{\rho Q} \tag{13}
\]

where \(g\) is the acceleration of gravity, \(\rho\) is the fluid density, \(U_1 = Q/(WH_1)\) and \(U_3 = Q/(WH_3)\) are the uniform flow velocities at sections (1) and (3), \((u_3 - u_1)\) is the increment in internal energy per unit mass of fluid and \(\phi/\rho Q\) is the heat transferred to the surroundings per unit mass of fluid.

The term \((u_3 - u_1) - \phi/\rho Q\) represents an irreversible loss of mechanical energy per unit mass of the fluid due to viscous dissipation, resulting in a conversion of mechanical energy into internal energy (not recoverable) and in heat transferred to the surroundings. The Second Law of Thermodynamics (entropy equation) imposes that \(H > 0\). In terms of \(H\), Eq. (13) can be rewritten as follows:

\[
\frac{U_1^2}{2} + gh_1 = \frac{U_3^2}{2} + gh_3 + (u_3 - u_1) - \frac{\phi}{\rho Q} \tag{14}
\]

The left hand side of Eq. (14) can be evaluated from experimental values of mean velocity and water depth in sections (1) and (3) to obtain \(H/\rho Q\) as a function of the flow rate \(Q/W\) for different barrier parameters combinations.

With the same notation as in the previous analysis (Fig. 13) shows the evolution of \(H/\rho Q\) with \(Q/W\) for runs A, B, and C (see Table 1 for input parameters). Open and filled markers correspond to \(H/\rho Q\) in the presence of the barrier and for the base flow configuration, respectively. Data points have large uncertainties (not shown for clarity), as a result of the way in which \(H/\rho Q\) is calculated from Eq. (14). Beyond this fact, the overall picture that emerges is that an increase in barrier resistance (via an increase in \(d_s\), \(m\) or \(L_s\)) is followed by an increase in \(H/\rho Q\), regardless the values of \(Q/W\).

The above paragraph suggests that \(H/\rho Q\) mainly depends on the resistance offered by the barrier. From the preceding section the dimensionless number \(R\) is representative of this resistance. On the other hand, a dimensionless number \(H^* = H/(\rho Qh_s)\) naturally arises by dividing Eq. (14) by \(gh_1\).

Figure 14 shows the trend of \(H^*\) when plotted against \(R\) for all the experimental data. A remarkable grouping effect in the whole explored range, is observed. Moreover, a linear relationship between \(H^*\) and \(R\) is compatible, where the ordinate intercept represents \(H^*\) for the flow in absence of a barrier (i.e., \(R = 0\)). This result implies that, for a given barrier, the mechanical energy of the flow that is being dissipated per unit time, \(H\), is proportional to the mass flow rate, \(\rho Q\), and the flow depth downstream of the adjustment zone, \(h_s\), through the barrier structure parameter, \(R\). Indeed, it should be emphasized the key role played by this parameter, it encompasses the main information needed to characterize a submerged barrier from the point of view of flow resistance. In practice, the value of \(R\) gives a suitable criterion for the classification of different permeable barriers and for that reason constitute a useful alternative tool to estimate the backwater effect. Interesting to note is that \(R\) can be expressed as the product of a drag coefficient of a single element, \(C_d\), by a dimensionless factor, \(md, h, L, h_s\), that plays an analog role to the blockage ratio defined by Azinfar and Kells [17] in his study of the backwater effect due to the presence of spur dikes.

6 Conclusions

This contribution experimentally explores the dependence of the water depth upstream a permeable barrier, \(h_1\), with the discharge per unit channel width, \(Q/W\). Experiments are carried out in a small horizontal channel with smooth walls. The permeable barrier extends over the entire width of the channel and it is composed of smooth cylinders of small aspect ratio, vertically mounted in staggered pattern over a smooth glass plate (false bottom). Cylinders height above the bottom is kept constant for all runs, and they are fully submerged in the bulk flow in all cases. Flow is steady and sub-critical, and discharges free at the outlet of the channel.

Several configurations were considered by systematically varying the diameter of the cylinders, \(d_s\), the density of elements per unit area of the bottom, \(m\), and the length of the barrier in the
stream direction, $L_v$. Measurements without the barrier (base flow) were also performed as reference case.

In first place, in all cases it was observed that $h_1$ grows monotonically with $Q/W$, both for the base flow case (no barrier) as well as in presence of the resistive barrier. In second place, when water flows in presence of a barrier, the measured values for $h_1$ are larger than those measured in the base flow configuration. Finally, for a constant $Q/W$ it is observed that $h_1$ increases with increased values of $d_c$, $m$ and/or $L_v$.

A one-dimensional model is presented, based on fluid mechanics equations applied to a finite control volume in the test section, under simplifying assumptions physically-based. The objectives are to predict $h_1$ and to obtain a sound basis taking into account the quantitative incidence of $Q/W$, $m$, $d_c$ and $L_v$. The contribution to the flow resistance, due to the barrier, was modeled by a net drag force which is equal to the sum of each term of drag over an isolated smooth cylinder of finite aspect ratio. The mean velocity of the impinging flow is postulated to be equal to the mean velocity of the bulk flow immediately upstream the barrier, $U_1$ (parameter $\gamma = 1$). It is assumed that the contribution to flow resistance from the smooth glass plate (false bottom), where cylinders are mounted, is negligible in first approximation. This assumption is explicitly made in the model, after considering the relative weights of the two contributions to the flow resistance.

Beyond this rough approximations, the model captures the observed trend of $h_1$ along the explored range of $Q/W$. Additionally, the model is sensitive to the changes of the control variables of the barrier, $d_c$, $m$ and $L_v$, being those changes captured in the predicted values for $h_1$. It is interesting to note that the fairly good agreement between predictions and measurements is obtained by assuming that all the cylinders are impinged by the same mean flow velocity, $U_1$.

The dimensionless momentum equation, via the length scale $h_1$, implicitly gives $\eta = h_1/h_3$ as a function of two dimensionless numbers: the Froude number downstream de adjustment zone, $F_r$, where the flow recovers, and the dimensionless resistance parameter, $R$. From the measured data it is obtained the averaged value $F_3 = 0.77$, with standard deviation $\Delta F_3 = 0.09$, therefore it is assumed that $\eta$ mainly depends on $R$ for these flow configurations under study. By plotting the measured data in terms of $\eta$ and $R$, it is observed that the values are grouped and follow the predicted trend in the plane $\eta - R$, by setting $F_3 = 0.77$ in Eq. (10).

Finally, an analysis about the implications of these findings on the mechanical energy dissipation of the flow are offered. From the measurements it is obtained that the mechanical energy losses per unit mass of the fluid, $H/(\rho Q)$, does not follow any definite trend with $Q/W$, but increases with $d_c$, $m$ and/or $L_v$. By assuming that $h_3$ is the suitable length scale, it is showed that $H' = H/(\rho Q h_3)$ grows fairly linearly with $R$. This result reinforces the main role played by $R$ in flow resistance, in the sense that the mechanical energy dissipation per unit time depends, besides the flow rate and the downstream flow depth, as seen on both local and global scales of the permeable barrier.

**Nomenclature**

$A_1 = \text{Wh}_1$

$A_3 = \text{Wh}_3$

$c_d = \text{drag coefficient for an smooth isolated cylinder of small aspect ratio}$

$d_c = \text{cylinder’s diameter}$

$D = \text{drag force exerted by the cylinders of the barrier}$

$F_1 = U_1/(gh_1)^{0.5}$

$F_2 = U_2/(gh_2)^{0.5}$

$F_3 = U_3/(gh_3)^{0.5}$

$F_b = \text{friction force associated with the smooth false bottom}$

$g = \text{gravity acceleration}$

$H = \text{mechanical energy losses per unit time}$

$H' = H/(\rho Q h_3)$

$h_1 = \text{flow depth at the inlet of the test section (immediately upstream the permeable barrier)}$

$h_3 = \text{flow depth at the outlet of the test section (behind the adjustment zone downstream the barrier)}$

$L_v = \text{length of the permeable barrier in the longitudinal direction}$

$m = \text{cylinders density (number of cylinders per unit area of the bottom)}$

$N = \text{mWL}_v$

$Q = \text{flow rate}$

$q = 2/(Q/W)^2$

$Re_c = U_1 d_c /\nu$

$R = (\gamma C_d)/(2 m d_c h_1 / h_3)$

$s_v = \text{cylinder’s center-to-center distance}$

$U_1 = Q/A_1$

$U_3 = Q/A_3$

$W_v = \text{barrier width (≈ 13.5 cm)}$

$w_v = \text{distance between channel walls and neighboring cylinders}$

$\gamma = \text{coefficient that reflects the fact that the velocity impinging each cylinder should not be equal to the mean velocity} U_1$, in a first approximation is assumed to be $\gamma = 1$

$\varepsilon = (f_s/(4 C_d'))(1 - m 2 d_c^2 /4)$

$\eta = h_1 / h_3$

$\lambda = d_c h_1 / h_3^2$

$\nu = \text{fluid kinematic viscosity}$

$\rho = \text{fluid density}$

$\phi = 2d_c^2/(4\varepsilon^2)$

References


