

Requirements Engineering

CrowdMock: An Approach for Defining and Evolving Web Augmentation Requirements

--Manuscript Draft--

Manuscript Number:	REEN-D-15-00012R3
Full Title:	CrowdMock: An Approach for Defining and Evolving Web Augmentation Requirements
Article Type:	Original Research
Keywords:	requirements engineering; Web Engineering; Web Augmentation
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Abstract. Web Applications are accessed by millions of users with different needs, goals, concerns or preferences. Several well-known Web Applications provide personalized features, e.g. they recommend specific content to users by contemplating individual characteristics or requirements. However, since most Web Application cannot consider all users' requirements, many developers started to create their own mechanisms for adapting existing applications. One of the most popular techniques for third party applications adaptation is Web Augmentation, which is based on the alteration of its original user interface, generally by using scripts running at the client-side (e.g. the browser). In the context of Web Augmentation, two user roles have emerged: *scripters* who are those users able to create a new augmentation artefact, and *end-users* without programming skills, that just consume the artefacts that may satisfy totally or partially their needs. Scripters and end-users generally do not know each other and they have rarely a contact, beyond the fact that they use the same script repositories. When end-users cannot get their needs covered with existing artefacts, they claim for new ones by specifying their requirements (called Web Augmentation requirements) using textual descriptions, which are usually hard to interpret by scripters. Web Augmentation requirements are a very particular kind of Web requirements for which there partially exist a solution implemented by the Web site owner, but still users need to *change* or *augment* that implementation with very specific purposes that they desire to be available in such site. In this paper, we propose an approach for defining and evolving Web Augmentation requirements using rich visual prototypes and textual descriptions that can be automatically mapped onto running software artefacts. We present a tool implemented to support this approach and we show an evaluation of both the approach and the tool.

Keywords: Requirements Engineering, Web Engineering, Web Augmentation

1. Introduction

Personalizing a Web Application consists in delivering specialized (in general adapted) contents to different users, considering their needs, goals, or preferences [6]. Experience has shown that devising a personalization mechanism that satisfies the requirements of all potential users is really challenging, particularly when the crowd of users is constantly growing. To make matters worse, users have evolved while using the Web and they have, day by day, more sophisticated and, in general, personalized requirements.

One of the answers for this evolution has been the development of techniques allowing users to adapt third-party applications by themselves. Several communities working on these techniques have then emerged. One of the most popular approaches to achieve third-party applications adaptation is Web Augmentation [28, 10]. Basically, Web Augmentation consists in adding, altering or removing features of the application's user interface (that is, the resources that the application's server delivers to the client after a request), by using software artifacts (usually scripts) that run on the user device, normally in the Web browser. Web Augmentation artifacts are used to adapt content, functionality and presentation of existing Web sites. In the last years, the crowd of users has been able to satisfy many of their needs by themselves by creating and sharing Web Augmentation artifacts. There is much evidence showing the success of this technique as a way for adapting Web sites, from public scripts repositories (where artifacts for adapting very popular Web Applications, such as YouTube, Amazon, etc., are installed thousands of times), to very domain-specific uses such as chemistry and biology Web sites [42].

Let's consider a simple example. Imagine a Ph.D. student, called Peter, who is navigating DBLP¹ looking for some papers. When he finds a paper of his interest, he adds manually a new entry in his Mendeley Library². In order to do that, he has to move papers information from one application (DBLP) to another (Mendeley) by copying and pasting. He realizes that, by using a Web Augmentation artifact, he could improve his work by adding a link to each DBLP result that will open a new tab or window with the Mendeley page containing the form to add a new paper, automatically pre-filled with the DBLP paper information. However, it is not straightforward to develop such artifact to do that and he should acquire technical knowledge that is out of his interests. If he does not find an existing artifact for satisfying his requirement, he has two options. He can create a new one by using end-user tools (which are generally

¹ DBLP – <http://www.informatik.uni-trier.de/~ley/db>

² Mendeley – <http://www.mendeley.com/>

1 developed for very specific augmentations tasks and do not require programming skills) or he can ask for
2 some of the existing communities to build the needed artifact. However, it is hard to communicate such
3 requirement even for this single task. And it is harder in the context of Web requirements because users
4 and developers are widely distributed. In our example, Peter cannot express orally his requirements,
5 because he cannot meet the scripter, so he must write a specification of his requirements, and this is
6 usually a problem. It is not difficult to imagine a more complex augmentation requirement or an evolution
7 of this one such as to integrate Mendeley inside DBLP, to understand that soon Peter will realize that if he
8 wants this adaptation, it is likely that he has to build it himself.

9 If the requirement is simple enough, Peter could be able to use WYSIWYG (*What You See Is What You*
10 *Get*) tools such as Platypus [28] or WebMakeUp [12] for developing the artifact by its own without
11 learning new programming languages. However, as we show later, it is hard to satisfy Peter's
12 requirements with such tools since they do not even have the expressivity needed. In fact, several of the
13 augmentation artifacts extensively used cannot be developed with this kind of tools^{3,4}.

14 In this paper we focus on the problem of building complex Web Augmentation software that is beyond
15 end-user programming tools. When the desired augmentation is too complex and requires the use of low-
16 level languages such as JavaScript, end-users' skills could not be enough to build their own augmentation
17 artifacts. In cases like these, and Web Augmentation communities are an example, a communication
18 channel is established between end-users and scripters. In this way, end-users ask for augmentation
19 artifacts to scripters, which means that through this communication channel end-users requirements are
20 expressed. This kind of Web Augmentation requirements is becoming more relevant (as we explain in the
21 following section) because is actually a technique for personalizing and improving user-experience when
22 navigating the Web. Extracting requirements from Web Augmentation communities implies different
23 issues to be tackled. These requirements are contextualized to a particular Web Application. Since this is
24 an *augmentation requirement* the implemented artifact would run over the UI of an existing Web
25 Application, and consequently, most of the problem domain is already implemented. The augmentation
26 layer just adds something missing or desired, that beyond of implying some programming logic, always
27 adds, changes or removes UI components. To make matters worse, this problem has been ignored (or at
28 least under estimated), up to know, by the software engineering field, particularly by the requirements
29 engineering community. Though the problem of managing requirements from a large crowd of users is
30 not new, and it is a very challenging task [23], existing research focuses on more "institutional" software
31 projects. However, our target end users do not belong or work in any specific company or institution and
32 people solving their problems are, in general, volunteers. They tend to communicate informally and the
33 use of systematic approaches for the specification is absent. The possible kinds of augmentation
34 functionality are usually far from trivial (See Section 3.3.2) and communicating requirements informally
35 usually doesn't work.

36 The consequences are, as one might suppose, evident regarding satisfaction (many times requirements are
37 not fulfilled), and when the underlying Web Application evolves or when requirements get old, there is
38 no easy way to catch up again with the users' needs. Clearly, a systematic approach is needed. In a study
39 we have done in this context, we found that among more than 4.500 artefacts from greasefork.org, 56,7%
40 of the artefacts, have at least an upgrade (as a consequence of Web sites upgrades and also for improving
41 the functionality of the script). The 25% of the upgraded artefacts have been written in the last five
42 months. These statistics denote the dynamism of such as communities and the need for a systematic way
43 to allow end-users to communicate their requirements to scripters.

44 In this sense, we believe that the way in which an augmentation requirement should be defined has to
45 involve the definition of the *visual augmentation*. Additionally, we should note that different end-users
46 from the community could have similar requirements. We believe that a way to make easier requirement
47 refinement and prioritization should be contemplated, since these are very common in software
48 development, and current communities do not provide mechanisms for doing it. With all this in mind, it is
49 clear that communicating and managing *augmentation requirements* is an important but yet unexplored
50 topic.

51 We have been working in the field of Web Augmentation and Web Applications requirements for many
52 years [33, 25, 32] and have identified the set of problems that need to be solved in this field. We have
53 done this by carefully analyzing the requirements and products of hundreds of Web Augmentation
54 artifacts in real Web Augmentation communities, and have come up with a set of recurrent type of
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60 ³ https://openuserjs.org/scripts/YePpHa/YouTube_Center

61 ⁴ https://openuserjs.org/scripts/JoeSimmons/YouTube_Auto_Buffer_Auto_HD

1 augmentation requirements, achieving a taxonomy of Web Augmentation uses which is similar to the one
2 described by a relevant survey work in the field [11].

3 Though our research proposes several contributions to the process of Web Augmentation software
4 development, in this paper we focus specifically on the stage of requirements definition. We present a
5 process for dealing with this kind of requirements, called CrowdMock. We also describe a specific tool,
6 called MockPlug, for specifying augmentation requirements through augmentation-based mockups which
7 are inspired by well-known mockups tools [33]. We propose to complement the mockups with User
8 Stories [8] and to deal with them collaboratively. Our aim is mainly to empower script requestors with
9 mechanisms to communicate easily and accurately their needs.

10 The contribution to the specific field of requirements engineering is three fold: the first contribution is the
11 identification of a new kind of problem that, so far, has been under estimated: the problem of
12 requirements specification for augmentation software. The second is a process and a tool to support
13 requirement specification and, in many cases, automatic generation of specified augmentation artifacts.
14 Finally, and not less important, we present a way to synchronize and prioritize these requirements in an
15 augmentation community. We think that our work is not only relevant but also seminal to the
16 requirements engineering field.

17 The rest of the paper is organized as follows. In Section 2 we introduce the background of the area
18 focusing mainly on Web Augmentation techniques and its most relevant communities. In Section 3 we
19 present the core of our approach. In Section 4 we show the tools we have created for supporting our
20 approach. In Section 5 we present the evaluation of our work. In Section 6 we survey the related works,
21 focusing on similar processes, models and tools. Finally, in Section 7 we conclude and comment some
22 future work.
23

24 2. Background

25 As mentioned, a powerful mechanism for adapting third-party Web Applications is to use software
26 artifacts running in the client-side. This means that the adaptation is performed inside the Web browser by
27 executing code that manipulates the loaded content. The resources that are available to be manipulated are
28 mainly those composing the Web Application's UI (User Interface), such as HTML documents, CSS,
29 JavaScript scripts, images, etc. Thus, Web Augmentation artifacts deal in general with the DOM
30 (Document Object Model), which is an object representation of HTML documents and CSS (Cascading
31 Style Sheets) which are a way for defining presentation of such documents. The most important Web
32 Augmentation communities emerged around two kinds of augmentation artifacts:
33

- 34 ○ Userstyles: A *userstyle* defines a set of CSS rules that replace the original ones of the
35 website being augmented in order to change the presentation of its content. The most
36 popular tool used to accomplish this is Stylish⁵. Stylish allows users to install userstyles
37 (basically, CSS files). The most remarkable community around the idea of userstyles is
38 userstyles.org, where users who may specify their desired CSS rules upload userstyles
39 for their preferred Web sites and other users may download and install them in their
40 Web browsers. Nowadays, there are more than sixty thousand userstyles, most of them
41 aimed to be applied over very well-known Web Applications such as Facebook,
42 Youtube, Twitter, Wikipedia, etc. Some of the userstyles in the repository have more
43 than forty thousand installations. Those users who do not know how to create new a
44 userstyle may ask changes on the existing ones (in a dedicated forum within the
45 community) or also ask for a totally new userstyle in specific forums for style requests⁶.
46 As an example, in Figure 1 we present the result of applying a userstyle in Facebook.
47 There are three main adaptations performed in the example. First, the chat contact list is
48 moved to the left and also there is a semi-hidden panel that is totally shown when the
49 mouse is over. Besides that, the chat window is larger, allowing users to see more
50 messages in the conversation, which is very useful for group chats. Finally, as a
51 consequence of moving the chat contact list at the left, the right panel is totally
52 dedicated to show the last events. Others userstyles hide offline people in the contacts
53 list, etc.
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60 ⁵ Stylish, <https://addons.mozilla.org/es/firefox/addon/stylish/>

61 ⁶ <https://forum.userstyles.org/categories/style-requests>

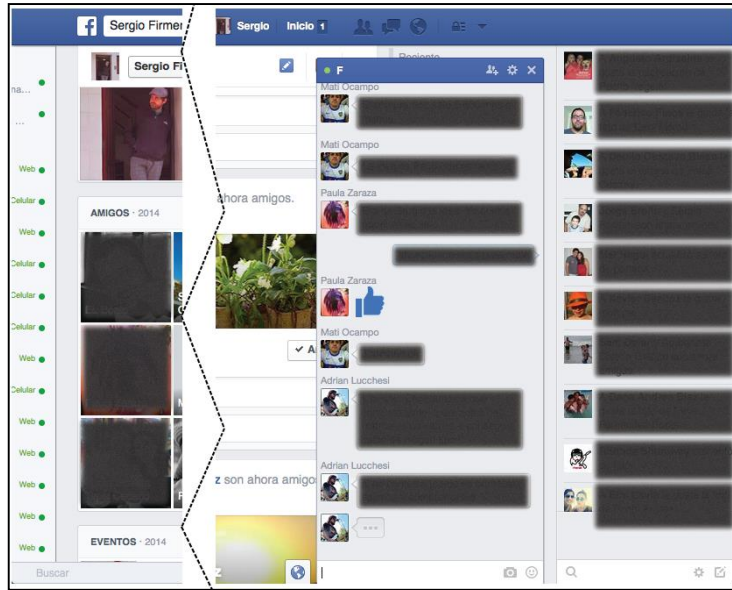


Fig. 1 UserStyle-based adaptation on Facebook

- Userscripts: Deal with defining scripts to manipulate the DOM using JavaScript. With this kind of artifacts, it is also possible to add new features and eventually modify the functionality of the underlying Web Application – not only its look and feel as with *userstyles*. The first popular tool of this kind was GreaseMonkey⁷, a Firefox extension working as a JavaScript engine; it allows users to execute external scripts (developed by any user) when a particular Web page is loaded. Nowadays, there are equivalent engines compatible with other popular Web browsers like GreaseKit for Safari, Tampermonkey for Chrome, etc. It is important to stress that most of these extensions run the same type of JavaScript scripts, called *userscripts*. A userscript is an artifact containing both the JavaScript logic for manipulating the Web content and a set of metadata that indicates, for instance, which Web pages will be manipulated with it. There are several userscripts repositories (GreasyFork⁸, UserScripts⁹, etc.) that offer, altogether, more than one hundred thousand userscripts. Some of these scripts were downloaded more than one million times. As well as with userstyles, users who do not know how to create new userscripts can review existing ones and also ask for a new userscript using forums like “Ideas and Script Requests”¹⁰. As an example of the power of userscripts let’s consider the script called *YouTube center*. This userscript, whose last version was installed more than 17 thousand times only from one of the available repositories, adds a lot of new functionality to YouTube video pages, such as further player configuration, audio options, layout options, UI enhancements, videos and audio downloading, etc. Each user may customize all these new extensions by a complete configuration menu shown in Figure 2.

⁷ <https://addons.mozilla.org/en-us/firefox/addon/greasemonkey/>

⁸ <http://greasyfork.org/>

⁹ <http://userscripts-mirror.org/>

¹⁰ <http://userscripts-mirror.org/forums/2.html>

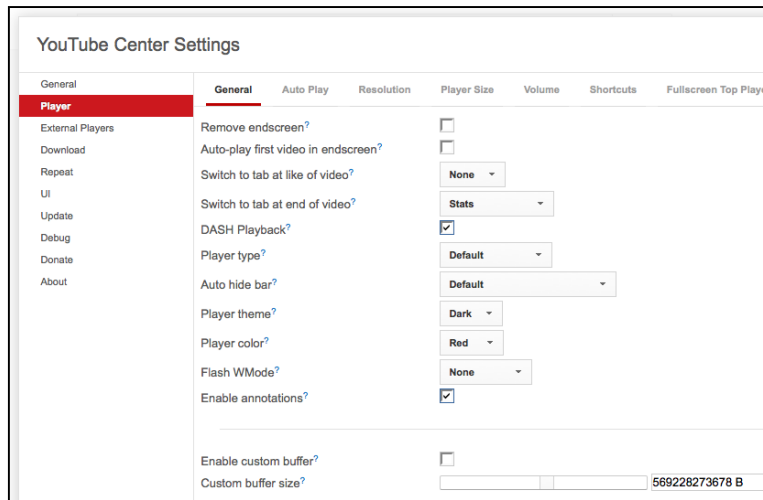


Fig. 2 UserScript-based adaptation on Youtube

These communities, where thousands Web Augmentation artifacts are shared with end-users, are strongly based on scripters' programming knowledge. In this sense, end-users are pushed into the background role of consuming existing artifacts. However, there are several research works published in the context of end-user programming, which has been defined as *"programming to achieve the result of a program primarily for personal, rather public use. The important distinction here is that program itself is not primarily intended for use by a large number of users with varying needs"* [21]. The Web is a very good platform for enabling end-user programming (tools) in order to adapt or integrate Web content. In fact, this has been happening for a long time, since several tools and approaches have emerged in order to allow users to specify changes in their preferred Web sites by means of visual tools. For instance, in the context of Web Augmentation, Platypus [28] was one of the first tools pursuing the claim *"what you see is what you get"* (WYSIWYG); it allows end-users to specify the expected changes over the Web pages with visual tools and then to export a userscript materializing them. Although we later review some of these approaches in the related work section, it is important to mention that the *augmentation effect* achieved by this kind of tools does not reach the expressiveness and complexity of the more popular scripts available in public repositories.

3. The CrowdMock approach

CrowdMock is a user-driven approach for defining and evolving Web Augmentation requirements. In the approach, every stakeholder (as scripters or end-users) may collaborate in the management of the requirements. However, we have oriented the process and the supporting artifacts and tools in order to take advantage of scripter's technical knowledge with the goal of providing fast and usable solution sketch before implementing the definitive version of the script. In this way, and considering Web Augmentation communities, where the contract between stakeholders (scripters and end-users) is really weak, it is important to quickly provide a solution sketch in order to have very fast acceptance tests even before of building the final artifact. With this in mind, the way in which that requirements are specified should be really close to the expected solution and facilitate a rapid artifact development. In this section we first present the general approach. Then, we review some important details about both products and activities. We also describe which type of augmentation requirements can be managed with CrowdMock, and finally, we show a case study illustrating the whole approach.

3.1. The CrowdMock process in a nutshell

In CrowdMock different stakeholders (users and scripters interchangeably) involved in the system (the Web Application to be augmented) collaborate actively in specifying the augmentation requirements. The approach is based on the use of two kinds of existing artefacts: User Stories and UI mockups. User Stories are usually the requirement artefact used mainly in widely-adopted agile development methodologies. At the same time, we took mockups (artifacts that are also extensively used in agile context) as a strategy to describe an augmentation requirement in the form of UI components that are woven into an existent Web Application; in this sense, our approach does not use *traditional* UI mockups but it lets users specify live, high-fidelity prototypes that are actually woven on the target Web page.

There are four activities defined in our approach that rely on the two basic requirements artefacts mentioned before: (i) requirements definition, (ii) requirements refinement, (iii) requirements prioritization, and (iv) requirements implementation [9]. Figure 3 shows the activities and elements involved and their relationships. The requirements definition activity is related to producing the first requirement specification. A stakeholder writes a User Story and defines a first mockup about a particular need; they both together specify a *CrowdMock requirement*. The requirements refinement activity deals with improving or enriching the requirements artifacts defined previously. This is the starting point of a collaborative process since one stakeholder can improve the requirements specified by another one; in this context, a traceability relationship between artifacts appears since a requirement is “refined by” another stakeholder producing a new enriched version. Requirements prioritization is mainly supported by a common social activity: voting. This activity allows the community to indicate, to those participants with programming skills, which are the requirement version most people desire to be implemented. However, other social activities, such as discussion forums about the requirement are also contemplated. The order between activities 2 and 3 may vary since a requirement may be evolved at the same time that users are voting it.

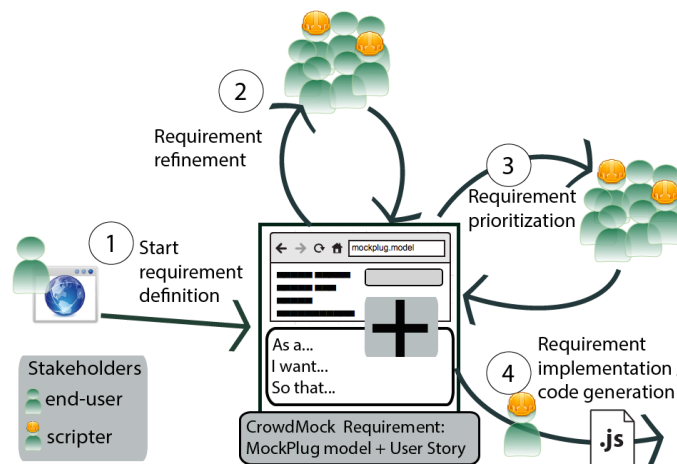


Fig. 3 Overview of the approach

Finally, activity (iv) – requirement implementation – is supported by the automatic generation of code based on the MockPlug metamodel; though we briefly comment it in Section 4.4 a complete description of this activity, which is outside the of scope of this paper, can be found in [15].

Note that, although in Figure 3 we just distinguished between scripters and end-users, in following sections we show how these two kinds of stakeholders have specific views and tools for the requirement specification.

We have developed a Web-based environment that supports all these activities. Our system is composed of a server-side and a client-side application. The server-side application, called UserRequirements, is a repository where the requirements are centralized and managed. The client-side application, called MockPlug, is a tool that empowers users with mechanisms for injecting mockups into existing Web Applications. All the tool support is available online¹¹, and we describe the whole system in Section 4.

3.2. CrowdMock: products and activities

This section describes in detail the products and activities of the proposed approach. As commented before, our approach is based on two artefacts: User Stories and mockups.

A User Story is a description in natural language that captures what the user wants to achieve. User Stories are used within agile software development methodologies and generally adjust to a template that considers three attributes: a *role*, a *goal/desire* and a *reason* [8]. The *goal/desire* represents the requirement that the application must fulfill. The *role* defines the kind of user who interacts with the application in order to use the feature described by the *goal/desire*. Both attributes refer to elements within the scope of the application. In contrast, the *reason* belongs to the context of the application and it states why the user requires the application to provide the functionality described in *goal/desire*.

Mockups are a way of prototyping UI on paper or using digital computer images in order to agree on presentation requirements (among others) with end-users. Commonly, a mid - or high - fidelity mockup of a UI will look like the real UI, but it will not perform any function. It has been shown that when mockups

¹¹ UserRequirements and MockPlug: <http://www.userrequirements.org>

1 are used in software development, the cost and effort of the development is reduced [31]. One of the main
2 advantages of mockups in the development process is that they allow defining interaction and
3 presentation aspects very early. This avoids future changes in the application being built because of
4 mistakes or errors in the requirements gathering stage. Also, mockups can be used as a jargon-free
5 language to communicate requirements between users and analysts or developers [27]. In our approach, a
6 mockup is represented by what we call a *MockPlug model*.

7 A MockPlug model contains the definition of alterations made over the original Web page's DOM in
8 order to define a prototype of the expected augmentation. At first glance, a MockPlug model can be seen
9 as a high-fidelity mockup [40]. From the point of view of end-users actually it represents a prototype of
10 the script they expect; however from the point of view of scripters, while they refine a model they are also
11 visually "programming" what the script is going to adapt or augment in the Web site's UI as well as
12 specifying low-level aspects for the code generation. Different from the idea of using mockups to
13 describe some visual and interactive aspects of the desired solution (using them only as a documentation
14 artefact), we take a MockPlug model as the first, partially functional version of the script, i.e. such as a
15 live script that is evolving. A refinement of the MockPlug model is, in this sense, a second version of the
16 script. Because of this special re-use, we refer to our mockups as Runnable Augmentation Mockups
17 (RAMs).

18 In our approach, an instance of the MockPlug metamodel, described in [15], is associated only with one
19 User Story, but one User Story can be referenced or *split* in multiple MockPlug models. In comparison to
20 other mockups tools, the novelty of MockPlug models (the form in which we formalize RAMs) is that
21 they are defined over existing Web Applications, showing a real augmentation. Additionally, they are not
22 "just" an image but the aggregation of a set of (interface) objects with precise semantics both in terms of
23 their own behavior and in terms of their effect in the target Web page. This feature makes the resulting
24 requirements artefacts to be very close to the definition of the expected implementation and, as is briefly
25 shown in Section 4.4, allows the incorporation of real functionality to fast acceptance test before the
26 automatic generation of Web Augmentation code.

27 In our approach, User Stories have another role related to the dynamic of the Web Augmentation
28 communities. The current repositories of scripts provide typically a few ways of search augmentations: to
29 browse the repository by relevance, browse the repository by target Web site or just by searching by text
30 on the script's descriptions. The decision of using User Stories for this purpose was motivated because
31 they are the most used documentation method in the context of Agile Methodologies [24] which in place
32 are the most adopted development approach actually according to recent surveys¹². In the context of
33 CrowdMock, they are used as a textual way of extracting the essence of the requirement being
34 implemented which, complemented with the target Web site and the text provided on the RAM's widgets,
35 give us a powerful way to retrieve relevant requirements. This includes the role of the user involved (for
36 instance, logged user, anonymous one, administrator, etc.), what is exactly this user wants to accomplish
37 through the augmentation and optionally the reason because he or she requires it, following the classic
38 User Story format *As a <type of user>, I want <some goal> so that <some reason>*.

39 Our approach consists of four activities; however, since this paper is mainly concerned with Web
40 Augmentation requirements specification and management, the following explanation contemplates only
41 three of them. The first one is requirements definition, which consists in specifying a requirement using
42 two artefacts: User Stories and mockups (RAMs). The stakeholder writes a User Story description and he
43 or she also defines a related RAM by using MockPlug. This activity implies writing for the first time a
44 requirement (User Story and mockup) that has never been specified before. In fact, the activity of
45 specifying requirements implies two more basic activities of the classic requirements engineering
46 approach: eliciting or defining the knowledge and specifying it. Since the same person is the one who
47 own the knowledge about the requirement and specify it, our requirements definition activity is closer to
48 the requirements specification activity in agile development than in a classical approach, since
49 stakeholders have an important and irreplaceable role in the elicitation. The following activity consists in
50 the refinement of one of the two artefacts composing a CrowdMock requirement, the User Story and/or
51 the RAM. In both cases, the new version of the artefact is derived from the previous one, and then we are
52 able to trace the requirement evolution.

53 Finally, the last activity is requirements prioritization. For this purpose, we propose to use voting, a
54 simple social activity. In particular, we decided to use a "like" tool instead of another common activity
55 such as ranks, because it showed to be more effective in filtering requirements [2]. Nevertheless, the
56 requirements refinement activity also means in a certain way their prioritization, since this refinement
57 implicitly means that stakeholders consider that this requirement is important enough to be refined.
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¹² 10th Annual State of Agile Survey – <http://stateofagile.versionone.com/>
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1 Prioritization is supported by Agile Methodologies when the backlog is organized in a stack with the most
2 important User Stories well described at the top and the least important are barely described at the
3 bottom.

4 **3.3. Expressivity: what augmentation requirements can be defined with CrowdMock**

5 First of all, it is necessary to understand which is the nature of Web Augmentation requirements, i.e.
6 which kinds of requirements are present in Web Augmentation communities. We have analyzed existing
7 Web Augmentation artifacts in two ways: first by typifying the alterations over Web pages, and later by
8 analyzing these alterations from a more traditional requirement engineering's point of view.
9

10 **3.3.1 Analysis of Web Augmentation artefacts**

11 If we take into account existing taxonomies that classify which kinds of alterations are usually carried on
12 by Web Augmentations artifacts, we can find a coarse-grained classification in a recent survey [11]. This
13 research work establishes that augmentation is currently used mainly to adapt Content, Layout,
14 Navigation and Functionality, through adding, removing or changing elements in the augmented website.
15

16 However, in order to ensure that our approach supports a more fine-grained taxonomy, we have analyzed
17 the top 50 userscripts from one of the most important repositories (greasyfork.org). We downloaded,
18 installed and used each of these scripts and then we classified the adaptation effects and strategies which
19 are not mutually exclusive (i.e., a script could include more than one), obtaining the following
20 classification:
21

- 22 • Content-related, most of the analyzed scripts augmented Web pages through content
23 manipulation, which can be sub-classified in:
 - 24 ○ Filter/Hide content: 2/50 scripts filtered or hid content in some way. For instance, one
25 of the scripts hides the results series episodes that have been visualized before.
 - 26 ○ Remove content: 11/50 scripts removed content, with the main objective of
27 advertisement suppression.
 - 28 ○ Add content: 42/50 scripts added content from the same application, loading it from
29 different pages. For instance, a script for Youtube added information to the search
30 results, loading it from the video page of every individual search result item.
 - 31 ○ Integrate content from other Web Applications: 8/50 scripts integrated content from
32 other Web sites by obtaining it dynamically. For instance, to integrate IMDB rating in
33 other Web Applications for the same domain such as <http://www.filmaffinity.com>.
- 34 • Behavior-related: several of the analyzed scripts added behavior that changed the original
35 functionality of the Web Application:
 - 36 ○ Add behavior: 38/50 scripts added some kind of behavior. For instance, one of the
37 scripts added a *minimap* to a game (called Agar).
 - 38 ○ Remove behavior: none of the scripts among the top 50 removed any behavior.
 - 39 ○ Alter behavior: 4/50 scripts altered some kind of behavior. For instance, one of the
40 script changed the behavior of the right-click.
- 41 • Layout-related:
 - 42 ○ Reorder layout: 14/50 scripts modified the layout in some way.
- 43 • Interaction-related:
 - 44 ○ Add navigation: 14/50 script added one or more navigation features in some way. For
45 instance, one of the script converted plain text into real links.
 - 46 ○ Add keyboard interaction: 7/50 scripts added new keyboard shortcuts. For instance,
47 some of them added further keyboard control for Youtube video pages.
 - 48 ○ Add mouse interaction: 5/50 scripts added new mouse event-based behavior. A
49 common feature was to add *onmouseover* event to images, in order to show them bigger
50 when the mouse cursor is over them.
- 51 • Regarding to the target items: some scripts augment (applying some of the listed changes) a
52 single information item per page while other augment several of them.
 - 53 ○ Augment a list of items in Web page: 11/50 scripts repeated the same augmentation in a
54 same Web page, one time for each similar target items in a list. For example, one of the
55

script augments all Google Images results with a direct link to the image, which save some interaction steps to the user.

- Augment a single item in a Web page: 39/50 scripts performed an augmentation for a single item in the page. For instance, one of the scripts augments YouTube video pages with a new layout and also adds information to the video description.

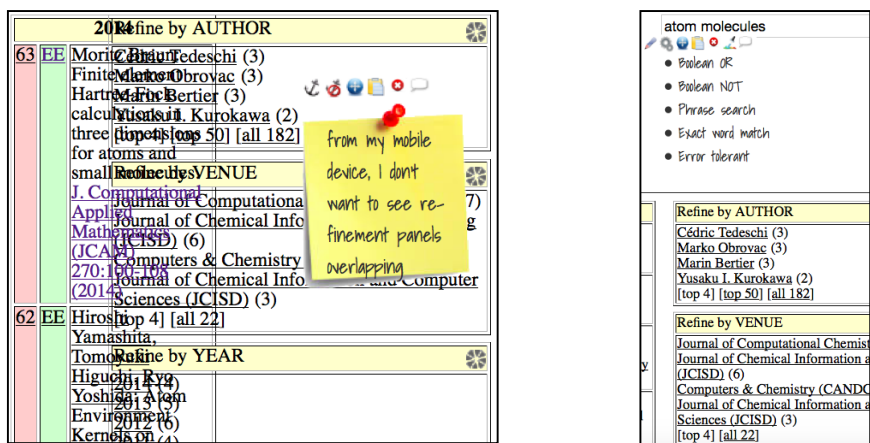
Besides of these 50 userscripts, we have browsed an additional 50 in different communities, reviewing descriptions, screenshots, user comments, etc., and noted that all of them address similar kind of augmentations to the listed above. Even more, we have studied userstyles too (from userstyles.org), a different kind of augmentation artefacts. While only 14 of the top 50 userscripts were focused on layout changes, most of userstyles are implemented for this kind of augmentation. The reason behind this, as we said in Section 2, is related to the different technologies upon userscripts and userstyles are implemented.

3.3.2 Classification of Web Augmentation requirements

According to [11], where authors have analyzed several Web Augmentation extensions, this technique is mainly used for three main purposes: *refactoring* (for restructuring Web pages to improve nonfunctional attributes), *customization* (for leveraging the existing Web page to perform the same functionality but in a more personalized way) and *modding* (for adding functionality to the Web page that originally was not conceived). We can establish a relationship between these three categories and the typical requirements classification: Non-Functional Requirements (NFRs, that includes *refactoring*) and Functional Requirements (FRs, that includes both *customization* and *modding*). Considering that a CrowdMock requirement is composed of a User Story and a RAM, our approach is clearly oriented to FRs, given that both RAMs and User Stories are used to specify functional requirements. Most of existing Web Augmentation approaches and repositories are oriented to FRs. This has sense because the technique is for *augmenting* a Web site, and then, some well-known non-functional requirements such as security are impossible to be improved only with Web Augmentation techniques because probably the application's code at server-side needs to be changed, while the augmentations are restricted to and applied on the client-side. Nevertheless, some kind of non-functional requirements can be tackled within our approach.

The survey introduced above has let us identify the most common application aspects adapted by augmentation artifacts. These common kinds of alterations allowed us to define the set of what we call augmentation meta-requirements, which are classified on Non-Functional and Functional requirements as follows:

- **Non-Functional Requirements:** as we said before, it is important to notice that non-functional requirements cover extremely different sort of things such as efficiency, reliability, portability, usability, security, etc [19]. Some of these NFR categories cannot be tackled with Web Augmentation because these are strongly dependent on the server-side implementation of the application. However, those categories closer to the UI, such as usability or accessibility, can be improved with this technique. When the Non-Functional requirement is somehow related to the UI, our approach allows end-users (by using MockPlug) to convert part of the existing UI into RAM widgets, which may be edited or moved. This makes it possible to specify, for instance, new layouts according to the user preferences or needs or even to the device used to access the Web sites in order to improve the responsiveness (an NFR) of the UI. For instance, in Figure 4 (a), a user has defined a MockPlug model in which he shows a UI overlapping problem in mobile devices – whose viewport is more limited than in conventional, desktop-based browsers. In this case, he would expect a more responsive Web site that adapts the UI when he is accessing from his mobile device.



(a) Responsive DBLP requirement

(b) Query modifier menu

Fig. 4 DBLP Usability enhancements

Some usability issues are not related to the existing layout but related to how the original functionality is used. For instance, the DBLP search engine¹³ allows users to specify several conditions for searching. As an example, if a user searches for “atom|molecules”, he is indicating the logic operator OR, if he or she adds a “\$” to one token, then he wants an exact word match, etc. There are several conditions to use in DBLP searches, but probably it would be easier to use them if the user has a query modifier menu that assists in the task of writing new queries. Such menu is shown in Figure 4 (b).

- **Functional Requirements:**

Regarding functional requirements, we have made a subcategorization considering most common augmentations in the repositories: navigation-based, behavior-based, content-based:

- **Navigation-based:** MockPlug models support the possibility of specifying requirements based on navigation, which involves the addition, alteration and removal of anchors or behavior implying the execution of navigation actions at some point in third party applications. As an example of such type of augmentation, we can show how to add a new panel to DBLP containing the previous searches that the user has made. MockPlug allows users to express easily this requirement by copying the UI of an existing panel (such as “Refine by AUTHOR”) and edit its content, such as Figure 5 shows. This addition implies a new set of anchors that trigger further navigations which were not present in the initial, non-augmented version of the page. In this example, a user has added the new panel “Previous Searches” as well by copying and editing the existing “Refine by AUTHOR” panel.

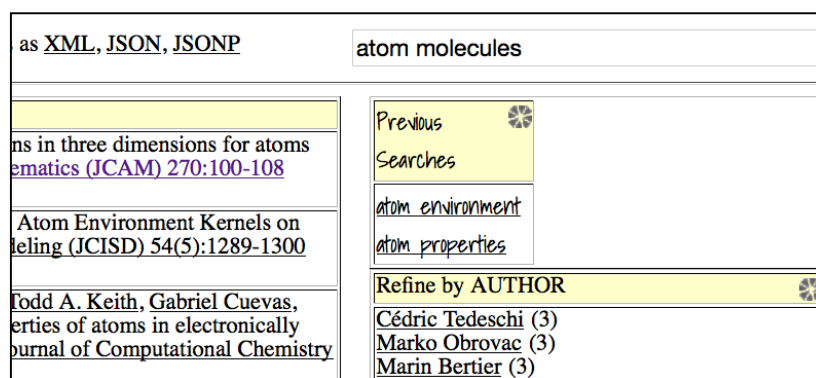


Fig. 5 New navigational panel based on previous searches

- **Behavior-based:** new functionality can be expressed with MockPlug with the addition of different kind of widgets related to information entry and business process support. In addition, the alteration of how an existing functionality is already supported is possible through the introduction of changes in the original UI structure and behavior. As an example, let’s consider the DBLP results Web page and its search refinement

¹³ CompleteSearch DBLP - <http://www.dblp.org/search/index.php>

panels, particularly “Refine by AUTHOR” one. When the user clicks over one of the authors, another page is loaded showing the papers for that author. This can be useful in particular cases; however, sometimes is annoying because the user needs to go to the previous page when he wants to see the original search. In this case, a user may want a new functionality for highlighting the author’s papers in the current Web page, without navigating to a new page. A possible MockPlug model for this new functionality is shown in Figure 6.

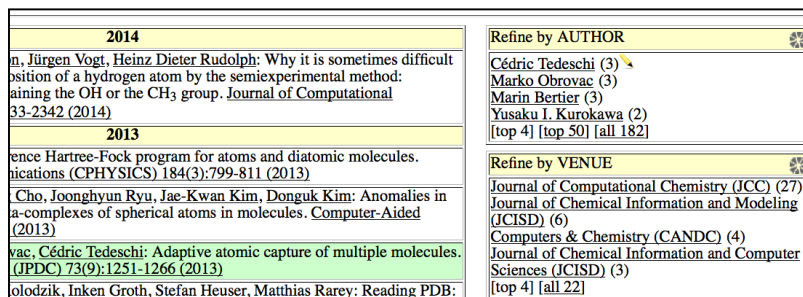


Fig. 6 Highlight author’s papers on current page

In this Figure, two widgets have been added. First, a “highlight icon” was added next to the first author from the “Refine by AUTHOR” panel. Second, one of the result papers was selected as a widget (“paper widget”) for being manipulated. Finally, the highlight icon widget is set for change the paper widget’s style when the user clicks on it. The new style is copied from another existing element in the Web page.

- **Content-based:** requirements about new content may be expressed by removing existing content or even by bringing new content from other Web sites to indicate some desired integration. This is a technique widely used in Web Augmentation. Imagine a user who wants to see how many references a paper has at Google Scholar. Then, he may search one of the DBLP papers at Google Scholar, obtain the “Cited by X” anchor and add it to the corresponding paper at DBLP. Since this anchor will preserve its properties (such as textual content, href attribute, etc.; which were brought from Google Scholar), any other user who sees this MockPlug model will be able to understand which its aim is.

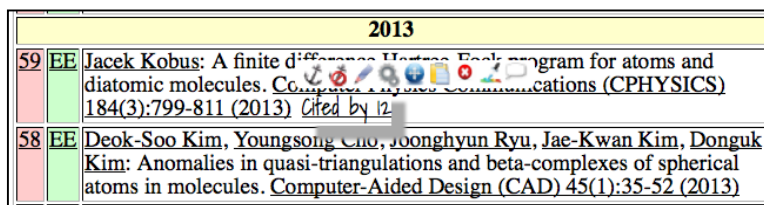


Fig. 7 Resulting paper augmented with “Cited by X” anchor brought from Google Scholar

Besides these specific kinds of requirements, any other feature that cannot be represented or prototyped by a concrete visual component in the UI may be contemplated and described by special annotation widgets, as usual when using common mockups within traditional development processes. Some examples of annotation widgets are the sticky note in Figure 4. This allows expressing augmentation requirements in textual ways but with a visual context. However, it should be noted that all the UI alteration aspects presented in the previous subsection are covered explicitly by our approach, which implies defining part of the behavior and UI alterations using the tool, in such a way that no textual annotations describing extra features to be implemented were required.

3.4. The CrowdMock process by example

This section is based on the example about the Peter’s requirement, mentioned in the introduction. The original Web page of CompleteSearch DBLP (see Figure 8) only shows some information (title, authors, etc.) for the resulting papers, but it does not include the abstracts, which is one of the changes that Peter wants. Besides that, he also wants to add the paper easily in Mendeley. For these two aspects, Peter has defined a first RAM, shown in Figure 9. This RAM includes two anchors, “See Abstract” and “Add to Mendeley”.

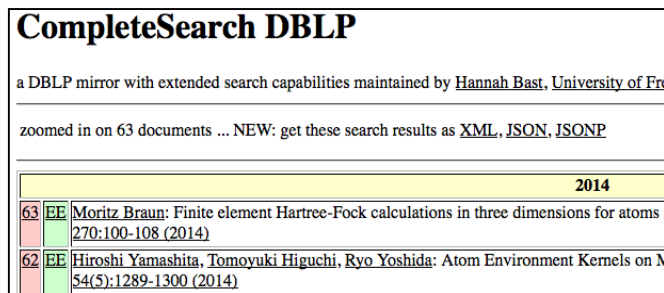


Fig. 8 Original DBLP UI

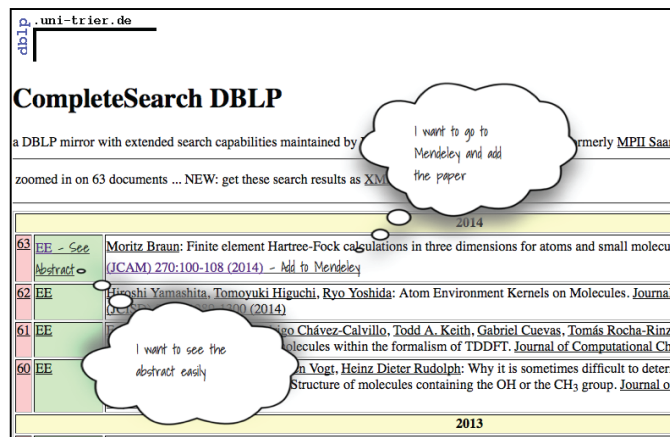


Fig. 9 First Mockplug model defined for Peter's User Story

Here we show one of the relevant parts of our approach; instead of defining these requirements textually, drawing them by hand or using existing mockups tools, CrowdMock allows specifying augmentation requirements graphically and interactively through its supporting tool MockPlug [15], creating a RAM instance. This tool is explained in Section 4.1.

As we mentioned before, our approach proposes to complement the RAM with a User Story; Peter has also written the corresponding one:

As a: researcher

I want: to easily add papers in my Mendeley Library from DBLP resulting papers when their abstracts are relevant to my research

So that: I can survey the papers I want to read later

Now that we have introduced the original Peter's requirement specification, in the rest of the section we describe the process and activities involved to share, refine, prioritize and implement it.

For instance, let's imagine another user, *John*, who considered that it is easier to perform Peter's task by integrating Mendeley into DBLP instead of navigating to Mendeley and filling the form with the paper's information in that Web site, as Peter proposed initially. With this in mind, John refined the original Peter's User Story in this way:

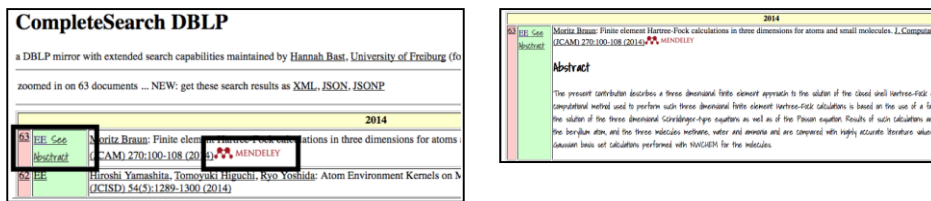
As a: researcher

I want: to integrate Mendeley into DBLP search for easily add papers to my library when the abstracts are relevant for my research

So that: I can survey the papers I want to read later

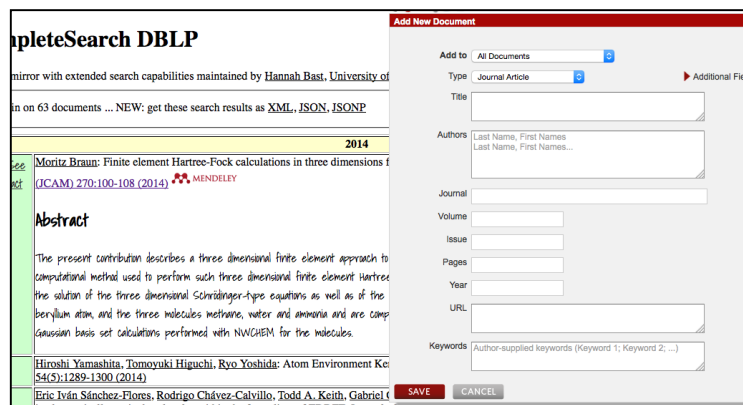
In this case, John has not defined a new MockPlug model; however, another user of the community, *George*, who read both versions of the User Story, decides to collaborate by defining a new MockPlug model for John's User Story. In this way, he defines a more complete prototype considering the integration of both the abstract and the Mendeley's Web form for adding a paper. First, he took the original MockPlug model defined by Peter, which has two anchors. His resulting model, shown in Figure 10.a, is pretty similar to Peter's one *a priori*. However, instead of navigating to external Web pages, these anchors were defined for toggling the corresponding UI component. The "See Abstract" anchor, for a given paper, shows/hides the corresponding abstract, as shown in Figure 10.b; additionally, the "Mendeley" anchor shows/hides the Mendeley Web form inside DBLP (see Figure 10.c). Note that this

kind of behavior specification may be established because the widgets that users manipulate, instead of being just styled boxes as in common mockup tools like Balsamiq¹⁴, are actually real DOM elements. Users may configure his behavior by using visual tools provided by MockPlug.



(a) DBLP result page augmented with “See Abstract” and “Mendeley” options

(b) When the user clicks on the “See Abstract” option, it shows the paper’s abstract obtained from the Editorial’s Web page



(c) When the user clicks “Mendeley” button, it shows a pop-up corresponding to the Mendley’s Web form for adding a paper in the user’s Library

Fig. 10 Requirement defined over DBLP for supporting the task of adding papers in Mendeley’s library

In Agile approaches, there is a refinement session (which was previously called grooming backlog session [8]) consisting in refining the backlog by adding, removing or changing tasks in it. The difference between our approach and this kind of sessions is that our refinement is collaborative, in the sense that one end-user (who may also be a user with programming skills) writes one requirement and another one can refine it. The same person can perform the whole definition, as in regular agile approach where the product owner is the one who concentrates this activity. Nevertheless, our approach encourages the collaborative refinement, because two or more different people are analyzing the same requirement in the same way they are also validating it at the same time. In Agile approaches, the validation occurs mainly in the review session after the requirement is implemented. In our approach, the validation occurs previous to implementation (in most of the cases) as recommended in classic requirement engineering approaches, with the technical assistance of the implemented tooling. Moreover, the use of RAMs in the requirement definition provides a benefit to validation since stakeholders can see and even interact with the final UI before it is implemented.

In Figure 11, we show the evolution of Peter’s requirement, which depicts the refinement activity. The presented tree in the figure shows the different versions of the requirements and its precedent version. Each node represents a version and the label is the user who created it, except for the root, whose label is the requirement name. As it can be seen, there is first a branch corresponding to the User Story refinement (performed by John), and then another version of the requirement is achieved when another user (George) created a MockPlug model responding to this last version of the User Story. This last version of the MockPlug model was presented in Figure 10.c.

¹⁴ Balsamiq Mockups – <http://balsamiq.com/products/mockups/>

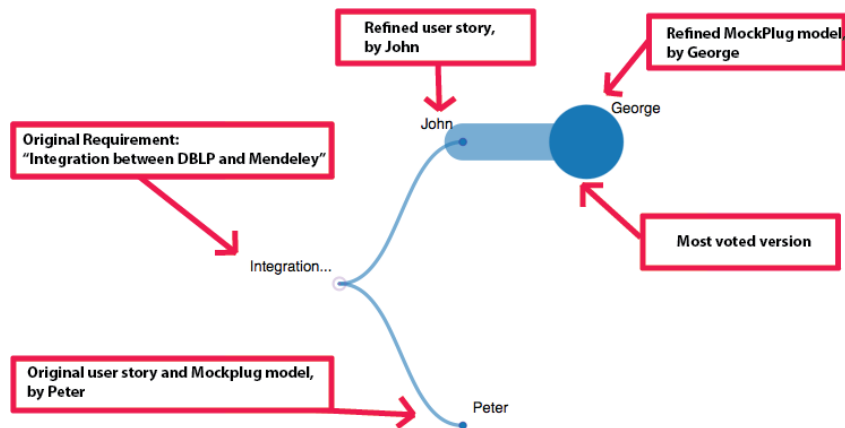


Fig. 11 Evolution of Peter's requirement

As the last comment, note that the requirement prioritization activity is contemplated also in Figure 11, in which the last MockPlug model defined by George is highlighted for being the most voted by the crowd of users. However, as it will be explained in Section 4.3, other aspects of the implementation effort could be taken into account in order to prioritize a RAM version.

4. CrowdMock Implementation

Our approach is supported by two tools, namely MockPlug and UserRequirements. In this section we describe the features of both of them and how they work together.

4.1. MockPlug for end-users

MockPlug is a client-side tool deployed as a Web browser extension designed for allowing end-users to *plug* mockups on existing Web pages – what we called RAMs. Using this tool, end-users can specify their augmentation requirements inside their natural context: the target Web site. Although an augmentation requirement expressed using MockPlug is related to a User Story, in this section we focus mainly on the MockPlug tool and the MockPlug model.

One of our priorities in the design of the MockPlug was to provide a tool that allows users without any technical knowledge to define their RAMs. A MockPlug model represents a set of intended modifications over an existing Web site. Users materialize these modifications by adding or manipulating different kinds of *widgets*. From the user's point of view, these widgets have a hand-drawn visual style, as usual in tools such as Pencil¹⁵ or Balsamiq¹⁶. However, MockPlug renders widgets as ordinary DOM elements, which enables the possibility of specifying further behavioral aspects very easily, achieving high-fidelity mockups [40].

A user may drag widgets from the MockPlug Panel and drop them into the desired position on the current Web page in order to define his or her requirements. Figure 12 shows the MockPlug Panel and, in particular, the widgets palette tab. The same Figure shows also how the user has added a web link “Add to Mendeley” on the result search page of DBLP. Since our tool works with real DOM elements, a Widget insertion has a real effect in the UI Web page code loaded in the Web Browser. To make clear this point, we show in Figure 13 the same fragment of the Web page before the insertion and after the insertion. Note that at the bottom of Figure 13, where code altered by MockPlug is shown, there is a new anchor that has several attributes specifically set for the use of MockPlug. This is one of the key features of the RAMs used to specify augmentation requirement in the approach.

¹⁵ <http://pencil.evolus.vn>

¹⁶ <https://balsamiq.com>

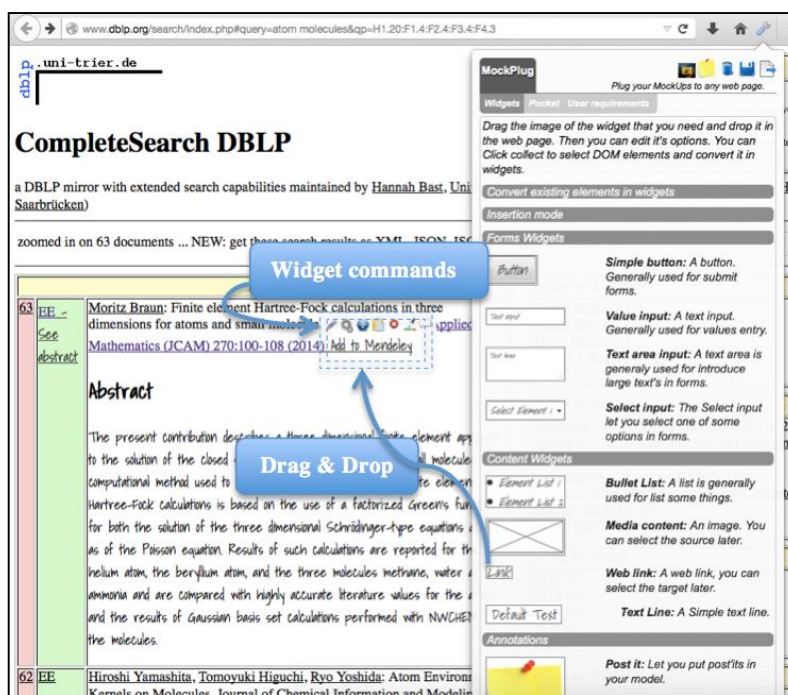
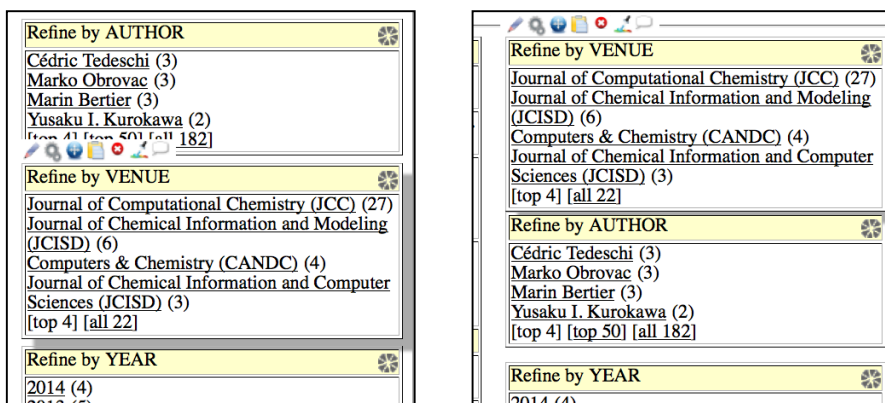


Fig. 12 Widget palette tab of mockup/RAM panel

Original Web Site Code	<pre> <td> Moritz Braun : Finite element Hartree-Fock calculations in three dimensions for atoms and small molecules. J. Computational Applied Mathematics (JCAM) 270:100-108 (2014) </td> </pre>
Web Site Code altered with MockPlug	<pre> <td> Moritz Braun : Finite element Hartree-Fock calculations in three dimensions for atoms and small molecules. J. Computational Applied Mathematics (JCAM) 270:100-108 (2014) Add to Mendeley </td> </pre>

Fig. 13 Widget insertion effect

Although the MockPlug palette has predefined components (including widgets such as lists, buttons, text fields, etc.), users may also convert any existing DOM element into a widget that can be further manipulated (we call them *Collected widgets*). For instance, let's imagine a user who desires a different refinement panel order, thus changing the page layout. Then, he probably wants to *cut* each panel and *paste* them in another place. For doing it, the user may *collect* the existing "Refine by VENUE" panel (Figure 14.a) and work with it as any other supported widget in the tool, moving it (for instance) to the top (Figure 14.b).



- (a) “Refine by VENUE” panel converted into a widget (b) “Refine by VENUE” relocated to the top of refinement panels

Fig. 14 Collected Widget and its manipulation

When widgets are *collected* in other Web sites we call them *Pocket widgets*. Pocket widgets can be used to express augmentations reusing functionalities already present in existing web sites.

In Figure 15 we show the Pocket tab in MockPlug. This tab allows users to use the “Collect” functionality, which is available on every Web site. When the user clicks this button, some highlighting is enabled and the user may select specific DOM elements that will be then available in the Pocket.

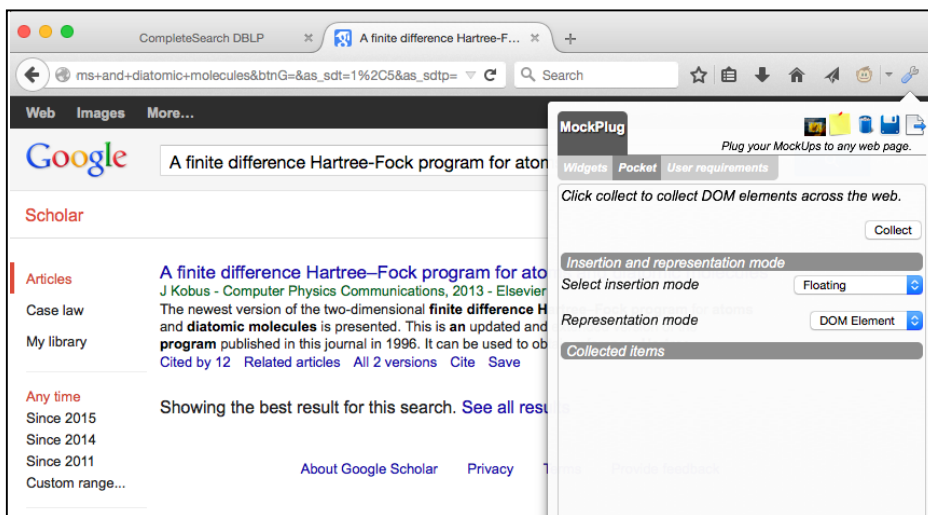
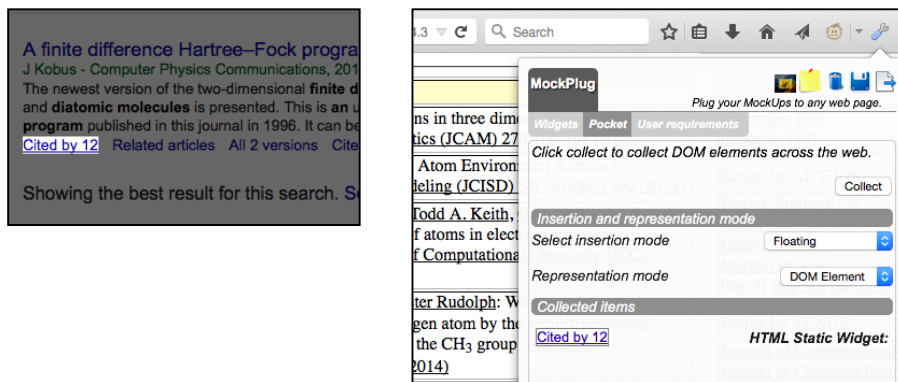


Fig. 15 MockPlug’s Pocket tab

As an example consider the example shown in Figure 7, which is about integrating the “Cited by X” anchor from Google Scholar in DBLP search results. For specifying this requirement, the user collects that anchor from Google Scholar. By using the pocket on that Web site, he or she selects the necessary DOM element, shown in Figure 16.a.



(a) Highlighting target DOM element

(a) Collecting a DOM element

Fig. 16 MockPlug’s Pocket tab

Finally, when the user comes back to DBLP in order to continue defining his requirement, he opens the Pocket tab in MockPlug, where the “Cited By 12” widget is available. At this point, a Pocket Widget may be inserted in two ways. On the one hand, it can be inserted as a DOM Element, copying all the children nodes if there any. In this case, the added widget may inherit the visual style from the Web page in where is inserted, thus losing in this way relevant visual style. On the other hand, a Pocket Widget can be inserted as an image, in order to let users preserve its original style and appearance.

4.2 MockPlug for scripters

Despite scripters can make use of the same MockPlug functionality already available for end-users (see Section 4.1), they may specify further aspects about the requirement that will help to (1) make closer the definition to the final solution, (2) to improve how the requirements are weighted and estimated (for identify the most convenient version to implement) and (3) determine how the final script is generated.

We have envisioned two ways to empower scripters in the refinement of the requirement, both of them related to the widget edition, which can be appreciated in Figure 17. When editing a widget, there is a tab named “Advanced options” that allow scripters to specify low-level aspects of the widget behavior. There are two ways: selecting “annotations” and “code snippets”.

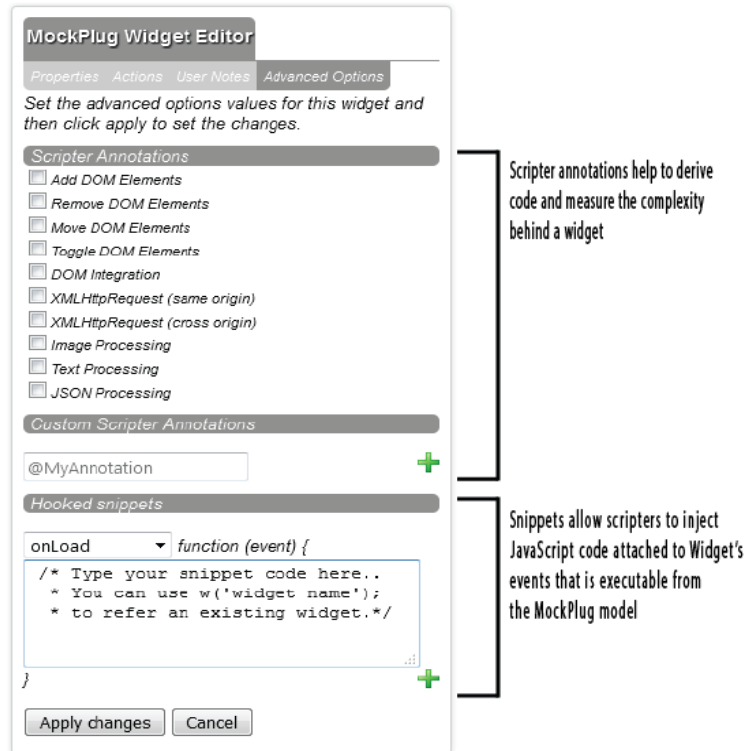


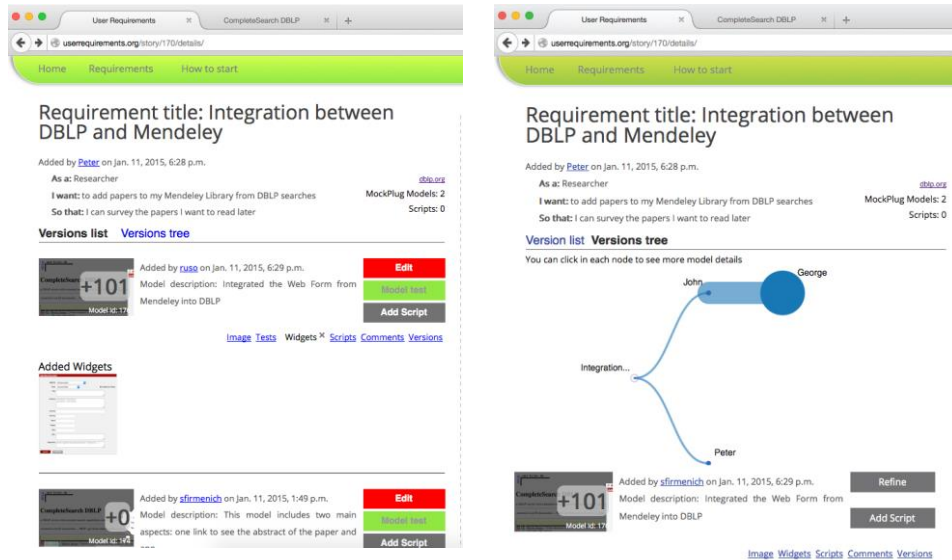
Fig. 17: Widget edition for advanced users or scripters

Annotations make possible to define some aspects related to the complexity behind a widget. For instance, if the requirement implies to obtain images from Google Images to be integrated into another Web site when the user click a button, then a scripter can establish that the widget representing that button has the annotation “XMLHttpRequest (cross-origin)”. In this way, the scripter is explicitly specifying that a request to another Web page has to be done for implementing the requirement. Additionally, if a scripter wants to refine the requirement by actually obtaining the images from Google Images, he may add a JavaScript snippet and attach this code to a particular event. Then, the next time that the interested user opens the MockPlug model, he can interact with the RAM with some functionality really implemented.

4.3. UserRequirements

UserRequirements is a requirements repository with social features that manages CrowdMock requirements, described through a RAM and a User Story. *UserRequirements* supports requirements definition, refinement and voting. Figure 18 shows different views of Peter's requirement listing its different versions in the repository. Each version is composed by a User Story description, the widgets used in its underlying MockPlug model and the number of votes. The user can open and see the MockPlug corresponding to a concrete augmentation requirement version, among several other options. A requirement version can be refined using the *edit* button. The requirement list is ordered by votes, but the user can see the evolution of the requirement through a version tree as shown in Figure 18.b. In this view, the user can click on a particular tree node to visualize the requirement and he or she can also create new branches. The versions tree view is a valuable tool for understanding the evolution of a requirement; the community can quickly see which is the most voted version, the most discussed one and also if the user who defined the original requirement accepts or rejects some of the versions. Just as an example, in Figure 19 we show a more complex tree, in which other users have been participating.

There are several aspects to be considered in order to understand the version tree visualization. First, it is important to mention that each user has an associated color in the tree. This allows to easily visualize the contribution of each participant. Second, the size of tree nodes are defined according to their votes; then, bigger nodes are those that users consider better. However, other information about the requirement specification (such as scripters' RAM definitions) may be visualized in the platform. Third, nodes may have also a border stroke, whose width represents the discussion on the corresponding version of the requirement. If this stroke is wide, it means that it has several users comments. Finally, the border stroke color has also a particular meaning. If this color is green, it means that the user who has defined the requirement originally (in the presented example, Peter) agrees with that version. If this color is red, then he or she is rejecting it. When this stroke color is the same that the color filling the node, it implies that the user which originally created it, i.e. Peter, has not given any feedback about the requirement version so far.



(a) Requirement view: version list

(b) Requirement view: version tree

Fig. 18 UserRequirements: requirement details

In the example form Figure 19, we may easily see that the Angie's version of the requirement is the most voted (because it is the biggest node in it) and, at the same time, it was also commented by several users (a wide border stroke). In addition, Peter approved this version (green border stroke). In the same example, we may appreciate that Peter has rejected Teresa's version that has been derived from Angie's one (red border stroke).

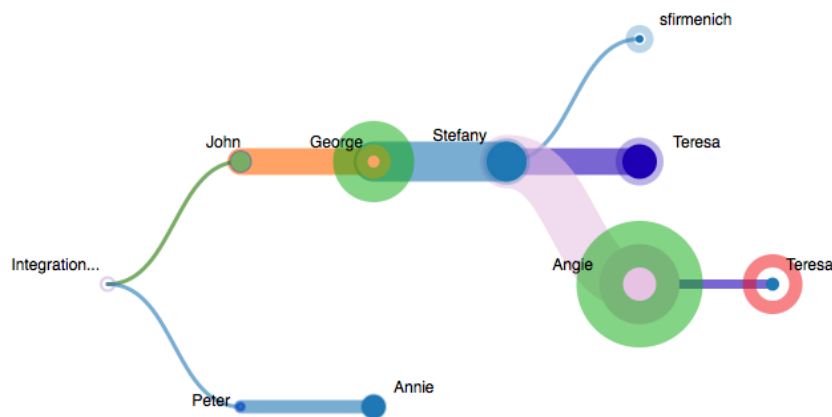


Fig. 19 A User Story evolution tree in UserRequirements

As a final comment about the underlying implementation of UserRequirements, it is important to say that a requirement could be first created on the platform without an associated RAM. In cases like this, the user may choose among creating a single User Story, or a more complex requirement involving more than a single User Story, which is called *theme*. In this way the same requirement could be composed by several user stories, each one with a RAM.

4.3. MockPlug: integration with UserRequirements

The CrowdMock approach is fully supported through the integration between *UserRequirements* and *MockPlug*. In order to create a new requirement in *UserRequirements*, a user may use *MockPlug* for defining the RAM expressing the augmentation required in the target Web site. After that, from the same *MockPlug* panel, he or she can save it in the *UserRequirements* platform. In order to complete this task, the requirement's title, a User Story and a model description must be provided. Figure 20 shows how Peter can perform this task.

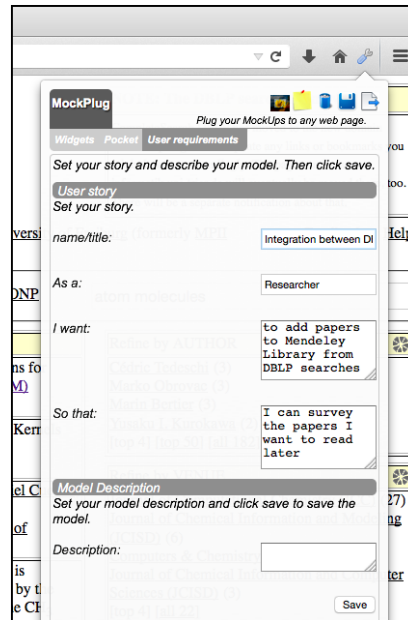


Fig. 20 Interaction with MockPlug: creating a new requirement from MockPlug

4.4. MockPlug metamodel and code generation

MockPlug let users specify runnable, augmentation-based mockups (RAMs). At an implementation level, if we want to augment a DOM with new widgets, we have to specify how those widgets are woven into the existing DOM. With this in mind, we defined and implemented the MockPlug metamodel. This metamodel defines the expressivity of MockPlug models and, consequently, how augmentation requirements are defined and what kind of requirements (i.e. which concrete augmentation functionality) can be specified. As seen in Figure 21, both the name and the URL of the augmented Web site are part of the MockPlug model. It is worth noting the importance of the property *url* in a MockPlug model, since this is the property that defines which Web site (o set of Web sites when using a regular expression) the model is augmenting.

The specification of new widgets relevant for the requirement (*Widget* class) may be defined in the model. Widgets can be simple (*SimpleWidget*, atomic and self-represented) or composite (*CompositeWidget*, acting as a container for another set of *Widgets*). All *Widget* subtypes have several characteristics in common:

- They belong to a MockPlug model has both a type and a set of properties.
- They are prepared to respond to several events, such as *mouseover* or *click*.
- They can react to an event with predefined operations defined according to the underlying DOM element type
 - Scripters may define customized operations and attach these to *Widget*'s events in order to express the real, executable behavior expected by users

Each *Widget* has associated an insertion strategy (*InsertionStrategy*), which describes how and where it is inserted into the DOM tree (*float*, *leftElement*, *rightElement*, *afterElement*, *beforeElement*, etc.). These strategies, depending on the referenced DOM element (for instance the parent), must use the *domRef* property or not.

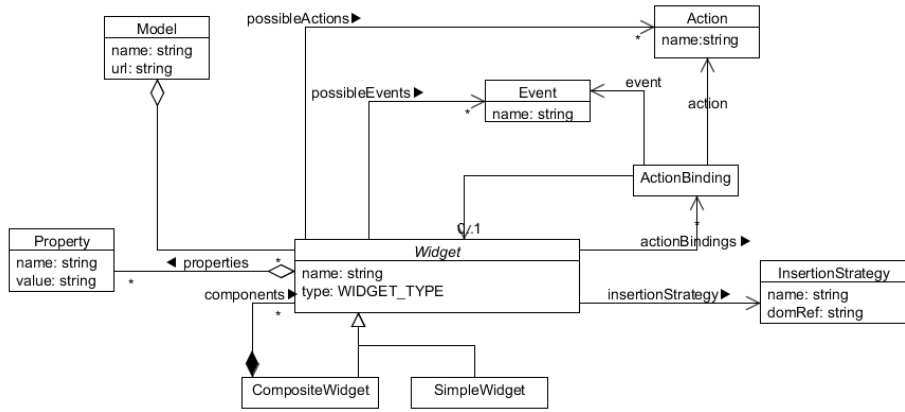


Fig. 21 MockPlug metamodel

As a first advantage, the MockPlug metamodel allows users to share and edit their requirements since the MockPlug tool can interpret them as runnable models and they are not just images or static visual elements added to the UI. A second advantage is that MockPlug models (defined through its metamodel) can be processed, in order to generate code, as it occurs in well-known Model-Driven approaches [20]. Although we are aware that the MockPlug metamodel is not expressive enough to generate all the necessary logic for all kind of requirement, we have we have successfully generated several code stubs that helped to develop the full, final userscript. In the context of Web Augmentation, it is complex to guarantee that the code generated automatically is going to be adopted by artifacts developers - which is a problem related to Model Driven Engineering field in general [41]. For instance, there are hundreds of JavaScript libraries to be used that can help to write Web Augmentation implementation code, as well as several ways for structuring it. To cope with this, we have defined an extension point for code generation. This means that developers may create their own code generator just creating a JavaScript object that responds to a specific message, receives as an argument the XML file that describes the MockPlug model and returns an arbitrary complex stub of code. For this paper, we have developed one of the big set of potential code generators, which was used for the evaluation presented in the following section. For the sake of conciseness and coherence, we omit further descriptions of the code generation process that can be read in [15].

5. Evaluation

This section describes a preliminary evaluation of the CrowdMock approach and its supporting tool MockPlug. In particular, we focused on the capability of the approach to express requirements because this is its most important contribution and we defined a novel supporting process and tooling for that. We performed a controlled experiment focused on assessing the satisfaction achieved by experienced Web users (who played the role of end-users) when specifying augmentation requirements on existing Web Applications. We also evaluated the satisfaction level of the scripts produced (by a group of developers that formed part of the experiment team) to implement such requirements.

The experiment compared the results of specifying requirements using CrowdMock against using common requirements definition approaches in the industry. In order to accomplish such comparison, participants had to produce the augmentation requirements specifications, then a group of developers implemented them and finally participants had to evaluate how the implementation satisfied their original requirements. The goal of the experiment is described according to the Goal/Question/Metric (GQM) method formulated by Basili et al. [3].

Analyze CrowdMock and traditional Web Augmentation development approaches for the purpose of evaluation of the approach with respect to their effectiveness from the point of view of the potential users With respect to the satisfaction achieved by the users who specify requirements and inspect products constructed from the requirements.

The description is organized mainly according to the template proposed by Shull et al. [35]. It has five main subsections. The first section ("Experiment Planning") describes the plan and protocol that was used to perform the experiment and analyze the results. Then, the "Deviation from the plan" subsection comments the execution details. After that, the "Analysis" subsection summarizes the data collected and

its treatment. Then, Threats to Validity are analyzed, and finally the results of the experiment and their implications are discussed.

5.1. Experiment Planning

This subsection describes the protocol used to perform the experiment and analyze the results.

5.1.1. Goal

The goal of the experiment can be refined into the following one:

Goal: Analyze *CrowdMock* and traditional Web Augmentation requirements specification techniques for the purpose of *understanding their effectiveness* with respect to the *satisfaction achieved by the users who specify requirements and inspect products constructed from the requirements*.

5.1.2. Participants

A group of 20 participants were involved in this evaluation, 9 female and 11 male, aged between 22 and 60. All of them were professionals on Computer Science degrees from post-graduate courses on Web Engineering which were held in three different Universities: Universidad Abierta Interamericana (8 participants), Universidad Nacional de La Plata (8 participants) and Universidad Nacional de la Patagonia San Juan Bosco (4 participants). Participants did not have experience in *CrowdMock* while reported experience in other requirements engineering techniques. Thus they were able to assess whether traditional techniques they are accustomed to use were more effective or not that *CrowdMock* according to the time and effort invested in requirements specifications. Moreover, since software engineering professionals have more experience in specifying and validating requirements, thus we preferred to involve these groups of people in order to avoid the bias that non-technical end user could incorporate to the experiment. Participants had experience ranging from 7 to 18 years in Web Engineering, some of them as developers, others as analysts and some others as team leaders. Some participants were also teachers at the University. The majority of the participants were Argentinian, but there were also people from Ecuador and Sweden. The group of participants had diversity in experience (years, roles, country and context -academia versus industry-), we think that this variety is beneficial for the experiment.

5.1.3. Experimental material

The techniques used to specify augmentation requirements were two. One of them was the tool we propose and is explained in previous sections. On the other hand, participants had to specify requirements using other technique already known by them, so they used a common word processor to build textual document with the specification, which included screenshots in some cases.

Other products used in the experiments were questionnaires¹⁷. There were two kinds of questionnaires, one to provide information about the task of specifying requirements and other to validate the functionality of the script developed to fulfill such requirements. The first questionnaire asked information related to the augmentation feature selected to be implemented, the difficulty perceived during the task and the time they needed to perform it. The second questionnaire asked information about the script installation, execution, the script satisfaction (perceived by the participant) and eventually and optionally the reason.

5.1.4. Tasks

Participants had to perform two tasks: specifying augmentation requirements they desire and testing the resulting script that implemented it. After every activity, they had to fill in a questionnaire. They had to specify four requirements, two of them using an already known technique and the other two using RAMs. During the requirements specification tasks, they had to fill in a questionnaire (an *activity diary*) to measure the complexity of specifying requirements using the corresponding strategy. The activity diary consisted in the following information: beginning and ending time of the working session, activities performed and problems aroused. After the initial questionnaires have been filled and when the scripts fulfilling the desired requirements have been implemented, participants had to install and test the scripts produced by scripters according to the requirements specified. Then, they had to fill in a questionnaire about the level of satisfaction of the scripts.

¹⁷ <http://www.lifia.info.unlp.edu.ar/crowdmock/public/crowdmockexperiment.zip>

1 All the tasks were performed at home. Thus, the interchange of artifacts (questionnaires, scripts, etc.) was
2 accomplished in the following way: (1) Requirements specified using an already known technique and the
3 corresponding activity diary were recorded in a PDF document sent by email. Scripts were sent to
4 participants via email. (2) Requirements specified using RAMs were referred through UserRequirement
5 URLs in a PDF document within the activity diary also sent by email. Scripts were uploaded in
6 UserRequirements and participants downloaded them in their browser and filled in satisfaction
7 questionnaires in Google Forms. The augmentation requirements had to be based on some general
8 features that we provided over well-known existing Web Applications: IMDB¹⁸ and YouTube¹⁹. Possible
9 features for IMDB were:

- 10 ○ F1.1. Filter the list of 250 best movies under certain criteria.
- 11 ○ F1.2. Add some information to the 250 best movies list.
- 12 ○ F1.3. Change the layout and/or content of a movie page based on the following allowed
13 operations: (i) move elements of the page, (ii) remove elements from the page, (iii) add
14 new widgets into the page (button, input box, menu, etc.) or (iv) add contents related to
15 the movie from another IMDB page.

16 Allowed features for YouTube were:

- 17 ○ F2.1. Add information about the videos in the search results.
- 18 ○ F2.2. Change the layout and/or content of a video's Web page based on the following
19 allowed operations: (i) move elements of the page, (ii) remove elements from the page,
20 (iii) add new widgets into the page (button, input box, menu, etc.) or (iv) add contents
21 related to videos from another YouTube page.

22
23 We chose these specific set of general features since they contemplate the meta-requirements representing
24 the most common kind of changes that existing augmentation artefacts perform over Web sites according
25 to the survey presented in Section 3.3. Note that in all the cases, these general features are only partially
26 specified, and participants had to decide how to define more fine-grained aspects. As we explained in
27 section 3.3, we made a survey that, beyond of being coincident to other studies [11], has shown that Web
28 Augmentation is used mainly in three dimensions, which are content, functionality and layout. Regarding
29 to content, we showed that the majority of the augmentation requirements desired by users are related to
30 adding content to Web pages, both to a single information item (for instance a movie page in IMDB) or to
31 a list of information items (such as a video search results in Youtube). Both ways to add content are
32 different, because while the former implies applying the augmentation only to specific DOM elements,
33 the later needs to apply the same process to a set of DOM elements iteratively. We wanted to be sure that
34 our tool was appropriated for specifying both kind of content-based requirements. Task F2.2 (iv) and
35 F1.3(iv) were aimed to define single content augmentation requirements, while F1.2 and F2.1 are meant
36 to define an augmentation that must be applied over a set of DOM elements. Besides adding content,
37 removing content is considered in F1.3(ii) and F2.2(ii).

38
39 As a result of the survey shown in Section 3.3, we discovered that adding functionality was the second
40 most pursued kind of augmentation, at least in the context of the participant sample chosen for our
41 experiment. Mostly, the functionality to be added is implemented by using forms, menus, buttons, etc.
42 (i.e. interaction widgets that allow users to have further behaviour). We have covered this kind of
43 augmentation with F1.1, F1.3(iii) and F2.2(iii). Finally, layout reordering is another popular
44 augmentation requirement. In this case we have defined F1.3(i) and F2.2(i) in order to allow participants
45 to define the specific requirements related to layout aspect.

46
47 Beyond the result of the experiment (i.e. the comparison between techniques for specifying the
48 requirements, which is shown in the remaining of section 5), at this point it is important to say that the
49 definition of these five coarse-grained requirements was enough for obtaining concrete requirements
50 specifications based on the mentioned popular augmentation requirements dimensions (content,
51 functionality and layout) that were assessed in our survey. For example, a participant noted that filters
52 must be accessed in IMBD after clicking the option *Subscription administration*, but he wanted a direct
53 button to filters. This issue was related to Feature 2.2 and the participant specified a requirement to add a
54 button in the main window to access the filters (which merge aspects about content and behavior).
55 Another participant wanted more information about videos related to music in the search results. Thus, he
56 asked to include a link to the lyrics of the song. This requirement was related to Feature 2.1. Other
57 participant refined R1.3 for adding YouTube videos to IMDB movies (trailers) in a modal window that is
58 opened when the user clicks a new anchor. There were really different examples among the requirements

60 ¹⁸ Internet Movie Data Base - <http://imdb.com>; last accessed 4-Feb-2014

61 ¹⁹ YouTube - <http://youtube.com>; last accessed 4-Feb-2014

1 defined by participants, most of them use at least one of the meta-requirements introduced in Section
2 3.3.1, while other are based on the combination of two or more of them.

3 The task of testing the scripts was very simple. Participants had to install them as an extension in the web
4 browser and after that, they had to visit the web site they had referred in the requirements specification
5 document in order to assess whether the functionality provided satisfied their needs. Since augmentation
6 requirements are very specific, it was easy for participants to state whether the script satisfied or not their
7 requirements and it was not necessary to specify a list of acceptance criteria. Nevertheless, sometimes
8 could happen that users were not fully satisfied and we provided the option “partially satisfy” for this
9 situation. For example, in the requirement of adding a button to access the filters, the implementation may
10 not satisfy the requirements because the position of the button is not the one that the user expected. In this
11 case, this implementation can be assessed as “partially satisfy”.

12 **5.1.5. Hypothesis and variables**

13 The experiment had only one goal: comparing the satisfaction perceived by participants when they tests
14 scripts which functionality was described using CrowdMock against other well-known techniques.
15 Therefore, our hypotheses are:

16 H_0 : There is no difference in the satisfaction
17 by using CrowdMock and traditional approaches

18 H_A : There is a difference in the satisfaction
19 by using CrowdMock and traditional approaches

20 Three types of variables were defined for the experiment: independent, dependent and control. The
21 technique (i.e. CrowdMock, Narrative description, User Stories, etc...) is an independent variable because
22 it is what we vary in order to test the results. The level of satisfaction (i.e. “does not satisfy”, “partially
23 satisfy” and “completely satisfy”) is a dependent variable because we want to measure how they vary
24 according to the technique. Finally, features subset is a control variable because the set of features is
25 constant and unchanged and participants can specify similar kind of requirements from the features to
26 make the comparison of strategies possible.

27 Although the questionnaires provide much information, we focused the objective of the experiment on the
28 level of satisfaction because the main goal of requirements engineering is to capture the correct
29 requirements to build the right product. Requirements usually refer to necessities, wishes and
30 expectations, and some of them are tacit, so stakeholders are the only one who can determine the
31 satisfaction.

32 **5.1.6. Experiment design**

33 The experiment had a simple design within subjects, that means that: each participant (subject) of the
34 experiment had to use two techniques (treatments): the technique under evaluation (CrowdMock) and
35 other well-known technique well-known by the participant. In this situation, the Maturation Threat of
36 internal validity (the order in which participants apply the technique) is very important to cope with. We
37 had used levels of independent variable (treatment), thus we had made two groups of participants, one
38 group used CrowdMock first while the other used CrowdMock in second place in order to counterbalance
39 the effect of the order in applying the approaches.

40 **5.1.7. Procedure**

41 The experiment was organized in two phases. In each phase, the participants specified some requirements,
42 then a group of scripters implemented the functionality specified, and finally the participants validated
43 whether the implementation satisfied their original request specified as requirements.

44 At the beginning of the experiment (before both phases), some of the authors of this article introduced the
45 general procedure and trained the participants on the common tasks of both phases. This training was
46 performed as a part of a postgraduate course. Some participants have used in the first phase a technique
47 they already known, and others have used MockPlug. Participants have also received training in
48 MockPlug previous to the use of the technique.

49 After that stage, participants had 3 days to write two requirements inspired and limited by the list of
50 features we provided; requirements had to be written at home without any kind of assistance. In one
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1 phase, participants have to use a technique they already known to specify requirements and in the other
 2 one, they have to use MockPlug. After these 3 days, they had to send their specifications to the
 3 development team. Developers had 2 days to implement the corresponding augmentations through
 4 GreaseMonkey scripts. It is important to note the distinction between participants of the experiment who
 5 only have to specify requirements and a specific team composed by experienced developers in Web
 6 Augmentation who implemented them. This distinction is important because the experiment had the goal
 7 to test the communication between both groups through the specification produced by different
 8 techniques. After finished, scripts were sent to the participant who originally specified it and he or she
 9 had 2 additional days for installing, using and evaluating it. During this process, every participant had to
 10 fill in the aforementioned questionnaires.

11 5.1.8. Analysis procedure

12 Given that we have no guarantee about a normal distributions of the data, we have used the Wilcoxon
 13 Sign Test, a non-parametric statistical hypothesis test, to assess whether one of the two samples of paired
 14 observations tends to show differences [43]. We apply this test to the level of satisfaction declared by
 15 participants.
 16

17 5.2. Deviations from the plan

18 Since execution was carried out according to the procedure defined, in this section we describe some
 19 characteristics of the experiment development.
 20

21 The first task to be performed by participants was the selection of the general features to write the
 22 requirements. All the features were selected randomly. Table 1 shows that the distribution of selection of
 23 the features was quite balanced near the average of 20%.
 24

25 **Table 1.** Distribution of the features selected

26 Feature	27 % selected
28 F1.1	29 12
30 F1.2	31 22
32 F1.3	33 17
34 F2.1	35 25
36 F2.2	37 24

38 Participants performed the tasks in the schedule previously described. The techniques used, apart from
 39 our proposed technique, were traditional mockups, traditional user stories, narrative description, and a
 40 combination of mockups with narrative descriptions. Table 2 shows de distribution of the techniques
 41 used.

42 **Table 2.** Distribution of techniques used

43 Technique	44 % used
45 Narrative description	46 26
47 Narrative description + mockup	48 24
49 Mockup	50 39
51 User Story	52 11

53 Regarding the usability questionnaires, the difficulty was measured in a scale ranging from “Very Easy”
 54 to “Very difficult”, having “Easy”, “Normal” and “Difficult” as intermediate options. When specifying
 55 requirement with traditional techniques the difficulty was mostly perceived as “Normal”. ”Very Difficult”
 56 rank was not used at all. The difficulty perceived using CrowdMock approach had more situations ranked
 57 as “Very Easy” and ”Easy”, but it also had more situation ranked as “Difficult” and ”Very Difficult”. It
 58 is important to mention that situations where CrowdMock was ranked more difficult than other approaches
 59 were related to technological issues. For example, some participants reported problems when installing
 60 the plug-in, and other participants had problems about internationalization (for instance, people from
 61 Sweden and Ecuador). Table 3 shows the complete distribution of difficulty perceived. It is important to
 62 mention that the average time of applying traditional techniques was 198 minutes, while the average time
 63 of applying CrowdMock was 104 minutes.
 64

Table 3. Distribution of difficulty perceived when specifying requirements

Level	% for traditional approach	% for CrowdMock approach
Very easy	5	11
Easy	21	26
Normal	63	42
Difficult	11	16
Very difficult	0	5

The development team implemented all the requirements and sent the scripts to every participant. Nevertheless, participants were able to download and install the script in 95% of the cases.

The level of satisfaction reported by participants who were able to install and test the scripts is summary in Table 4. It describes all the possible combination about level of satisfaction according to traditional and CrowdMock approaches and the quantity of times the situation was reported by participants. In some cases, the application of both approaches was related to a similar level of satisfaction. In other cases traditional approaches were reported to have higher level of satisfaction than CrowdMock. And in other, CrowdMock was reported with higher level of satisfaction. Results show that the majority of times, both approaches reported the same level of satisfaction: “Does not satisfy”/”Does not satisfy”, “Partially satisfy”/ “Partially satisfy” and “Satisfy”/” Satisfy” with 20 times. Nevertheless, CrowdMock reported a higher level of satisfaction than traditional approaches: “Does not satisfy”/” Partially Satisfy”, “Does not satisfy”/” Satisfy”, “Partially Satisfy”/”Satisfy” with 13 times.

Table 4. Distribution of satisfaction perceived when specifying requirements

Traditional approach	CrowdMock approach	Quantity
Does not satisfy	Does not satisfy	1
Does not satisfy	Partially satisfy	1
Does not satisfy	Satisfy	1
Partially satisfy	Does not satisfy	1
Partially satisfy	Partially satisfy	7
Partially satisfy	Satisfy	11
Satisfy	Does not satisfy	0
Satisfy	Partially satisfy	4
Satisfy	Satisfy	12

5.3. Analysis

In order to apply Wilcoxon Sign Test, W-value and Z-value must be calculated. We used 38 pairs of data corresponding to the situations where participants were able to specify, install the script and verify the functionality provided. Then, these 38 pairs of data, has 20 pairs, where the satisfaction reported was the same for both techniques, thus, the sample was reduced to a size of 18 (N=18). In this situation, we use the W-value to evaluate our hypothesis. W-value is 45. The critical value of W for N = 18 at $p \leq 0.01$ is 32 [26]. Therefore, H_0 cannot be rejected at $p \leq 0.01$. But, the critical value of W for N = 18 at $p \leq 0.05$ is 47 [26]. Therefore, H_0 can be rejected at $p \leq 0.05$ [43]. We also calculated the effect size. Since our experiment compares groups of independent values, we calculated the Cliff’s delta correlation coefficient instead the generally used Cohen coefficient [7]. We obtained a value of 0.209. This value determines an effect size between small and medium.

Summing up, there is a substantial difference ($p < 0.05$) between both approaches in terms of the satisfaction of the script produced using different approaches to specify them and H_0 can be rejected.

We focused the experiment on the capability of our proposed approach to express requirements. In particular, the experiment was focused on assessing the satisfaction achieved by experienced Web users. Thus, the participants had to use two different techniques: CrowdMock and other technique well-known

1 by them, and compare the results. This situation was adverse to CrowdMock, because people were
2 comparing a well-known technique against a new one. And it is expected that the well-known technique
3 would have a better performance than the new one. Nevertheless, CrowdMock obtained good results. The
4 average time of applying CrowdMock was almost half of the average time of applying well-known
5 techniques. Then, CrowdMock was ranked better than the other techniques in difficulty perceived (if
6 technical issues are not considered). And in this scenario, the level of satisfaction perceived was better in
7 CrowdMock than in other well-known techniques. Even more, we do not choose a specific technique to
8 compare with CrowdMock. We let participants to choose a well-known technique by each of them.
9 Thus, although we believe that we have to continue with the experimentation for measure other aspects
10 about our approach, such as how well our propose traceability is supported, we think that results are very
11 promising and have shown that this is good way to express Web augmentation requirements. We think
12 that the fact that CrowdMock relies on prototypes (mockups) applied over the real web application is the
13 key of the success of the experiment.

14 **5.4. Threats to validity**

15 Wohlin et al. [43] group validity threats into four categories: conclusion, internal, construct and external
16 validity. The following paragraphs analyze different threats from each category.

17 Concerning the conclusion category, one possible threat is to violate the assumptions of statistical tests. In
18 order to avoid this threat, we have used Wilcoxon Sign Test which is a non-parametric alternative to
19 paired t-test. Another threat from the conclusion category is reliability of measures. Our experiment
20 consists in ranking the satisfaction perceived by participants in a scale of three possible values: “no
21 satisfy”, “partially satisfy” and “completely satisfy”. This rank can be easily and unbiased identified by
22 participants, because if the satisfaction is not null or complete, it is partial. Thus, this measure that it is the
23 core to ensure that our experiment is not biased. The last threat from this category to discuss is random
24 heterogeneity of subjects. There is always heterogeneity in a study group. If the group is very
25 heterogeneous, there is a risk that the variation due to individual differences is larger than due to the
26 treatment. In our experiment, all participants are homogenous since all of them have a degree diploma
27 and have experience in industry. Nevertheless, participants are heterogeneous in relation to years of
28 experience, roles, country and context (Academia versus industry).

29 The second category of threats to analyze is internal validity. Selection is the main threat to internal
30 validity. In order to mitigate the effect of this threat, subjects were able to choose by themselves features
31 to specify requirements and a technique to contrast to CrowdMock. Instrumentation is another threat to
32 internal validity that we were concerned to tackle. For that purpose, we paid a lot of attention to the
33 preparation of artifacts for the experiment. We used real applications and we also determine real features.
34 Moreover, since nowadays homeworking is a common practice, the context in which the main tasks were
35 performed should not derive in any threat. The maturation threat does not impose a problem because the
36 experiment lasted little time and experimental task in particular were very short, participants had only to
37 specify two requirements, test the implementation and complete short questionnaires. In this way, the
38 subjects wouldn't have to worry about being bored or tired from the experiment.

39 According to the construct validity category, we observed that the experiment did not suffer from such
40 threats referred to as hypothesis guessing, evaluation apprehension or experimenter expectancies, because
41 the people only had to produce the requirements specification and then, they had to contrast their
42 expectations to the scripts produced. Moreover, the team in charge of implemented the functionality did
43 not know the experimental situation, thus, they could not be biased trying to provide implementation with
44 defect on purpose. Although subjects were students and they could have been biased by their position
45 they did not know which variable described in the questionnaires were going to be analyzed.

46 Sjøberg et al [36] state that many threats to external validity are caused by an artificial setting of the
47 experiment. They mention the importance of realistic tasks and realistic subjects. Realistic tasks are
48 concerned with the size, complexity and duration of the tasks involved. Taking this into account, we set
49 up an experiment which had the complexity of a small but real situation. Realistic subjects are concerned
50 with the selection of subjects to perform the experimental tasks. In order to tackle this threat, we selected
51 practitioners with real experience in Web Engineering. They had a wide range of experience, as well as
52 different skills.

53 In order to avoid biases, we have also paid special attention on designing the questionnaires by following
54 well-known practices. First, all the vocabulary used in questionnaires was opportunely introduced and
55 explained during the presentation of the experiment, in the courses' face-to-face meetings. Besides the
56 specific vocabulary, all participants were Spanish speakers, and since all questionnaires were written in
57 Spanish, there were not mistakes introduced by any translation. Regarding to questions design, we have
58 used a combination of both closed-ended and open-ended questions. The first ones were used because

1 they allowed us to define a priori the numeric values for the response choices (which were selective). The
2 second ones, these were used in order to allow participants to express, without any limitation, why or why
3 not the scripts satisfied their requirements. These answers, were analyzed a-posteriori, and fortunately,
4 these answers were in concordance with the scaled answers, but providing us some additional details.
5 Regarding the administration strategy, we chose the self-administered questionnaires. This was important
6 because we reduce any biases that could appear when there exists the intervention of interviewers.

7 **6. Related works**

8
9 Web Augmentation is an activity carried out by end-users. At the end, they are who know their needs and,
10 as we have pointed out in this paper, only those users with the necessary programming skills are able to
11 create artifacts with particular adaptation goals and share them within Web Augmentation communities.
12 However, since not all people have these skills, many augmentation requirements are relegated until they
13 are completely understood. As far as we know, and beyond informal forums for asking new augmentation
14 artifacts provided by the communities, there are not scientific works tackling the problem of how to
15 specify and manage this kind of requirements. Nevertheless, there exist are several important works that
16 aims to raise the abstraction level for programming Web Augmentation artifacts in order to make broader
17 the spectrum of augmentation developers. Most of these approaches about end-user Web Augmentation
18 are defined in terms of a subset of possible adaptations or domains. For instance, in previous works we
19 aimed to support inter-application tasks by integrating mechanisms based on Web Augmentation tools,
20 which allow users to specify (for instance) the integration and the augmentation desired, using a
21 framework and a Domain Specific Language [17]. Others authors have proposed end-user programming
22 languages for Web Augmentation, although requiring some high technical skills [13]. The same authors
23 have defined the WebMakeUp [12] approach, comprising an end-user tool allowing users to perform
24 several kinds of augmentations. Regardless these very important contributions, we strongly believe that
25 most of the popular Web Augmentation artifacts are not possible to be specified only using this kind of
26 tools. Several of the scripts available in existing repositories have more than 1 thousand lines of code,
27 containing complex logic.

28
29 Several of the examples presented on this paper cannot be specified without the intervention of someone
30 with programming skills, especially in imperative programming. In this way, and even when the
31 mentioned approaches are enough to satisfy several augmentation requirements, those cases such as
32 Peter's one are out of scope of most end-user augmentation tools. Our approach aims to fill this gap
33 considering that currently there is evidence of cooperation between users with and without programming
34 skills in the existing communities. In this way we are confident that our work is a novel approach for
35 supporting Web Augmentation activities, because we provide end-users with mechanisms to specify their
36 requirements and, based on these specifications end-user developers may get a first script code generated
37 automatically.

38
39 Note that although our work is focused on Web Augmentation requirements, several aspects of our
40 approach are related to both collaboration in requirements management and Web requirement
41 specification. Azadegan et. al. [1] and Dheepa et. al. [9] propose approaches similar to ours. They involve
42 many stakeholders to identify requirements, and then, they discuss and prioritize requirements.
43 Nevertheless, these approaches are different from CrowdMock because they propose more rigid steps
44 while in a collaborative context, a more flexible approach is more suitable. Moreover, they divide their
45 approaches in two main steps: first they build a stakeholder structure and after that, they work on
46 requirements. This imposes even more rigidity, because it prevents that a new stakeholder can be included
47 in the process after this stakeholder structure was built, while our approach is more flexible because
48 stakeholders can be incorporated in any moment during the definition of the requirement. In particular,
49 stakeholders involved in our approach can play two roles: they can be requester of functionality and they
50 can also be providers. These two roles agree with the roles proposed by Vuković et al. [39].

51
52 An important aspect of our approach is prioritization. We provide a simple mechanism to prioritize using
53 the "like" voting technique. Lim et. al. [22] rank using a scale from 0 to 5; nevertheless, "like" has proved
54 to be more effective in order to select more important requirements [2]. Shimakage et. al. [34] rank using
55 a more complex mechanisms as Analytical Hierarchy Process (AHP). Although it provides better results,
56 it is complex to apply and demands much effort.

57
58 We agree with Wu [44] in the importance of collaboration in order to obtain the diversity that it is needed
59 to support the creativity process and obtain a good and representative set of requirements. In this process,
60 we consider that iteration and refinement is very important, in contrast to Ponzanelli et. al. [29] which
61 ignores refinements at all. In this collaboration context it is very important to use models that are well
62 understood by all participants. Thus, we use User Stories (structured colloquial descriptions) and high
63 fidelity mockups (RAMs) which complement each other. This is possible since our metamodel allows to
64
65

1 specify behavioral features like responses actions to events in added widgets. Shimakage et. al. [34]
2 propose a similar model to ours but they use GUI descriptions while we use mockups. Using UI mockups
3 as a requirement elicitation technique is a growing trend that can be appreciated just observing the
4 amount of different Web and desktop-based prototyping tools that appeared during the last years like
5 Balsamiq and Mockingbird²⁰. Statistical studies have proven that mockups use can improve the whole
6 development process in comparison to using other requirements artefacts [31]. MockPlug, our mockup
7 tool, is specifically designed for the Web Augmentation domain, achieving high fidelity prototypes really
8 close to the final implementation, since the involved widgets are already DOM elements. In comparison
9 with the mentioned tools, we think that taking as a base the existing Web site facilitates the specification
10 process, since users never start from scratch and also start from the real, original web site to specify their
11 augmentation requirements. The use of mockups has been introduced in different and heterogeneous
12 approaches and methodologies. They have been included in traditional, code-based Agile settings as an
13 essential requirement artifact [38, 14]. They have been used as formal specifications in different Model-
14 Driven Development approaches like MockupDD [33], ranging from interaction requirements to data-
15 intensive Web Applications modelling. In this work we propose to specify augmentation changes using
16 (among other techniques) mockup-style widgets. Also, MockPlug combines mockup-style augmentation
17 techniques with well-known annotations capabilities of common mockup tooling, that can be also used as
18 formal specifications in the future as in [27].

19 Sutcliffe et. al. [37] propose a similar approach to ours. Their approach considers iterative cycles where
20 different stakeholders produce and refine abstract models and concrete representation (scenarios,
21 sketches, storyboards). The difference is that they need a role of moderator. Other approaches not only
22 add new roles, but they also include more complex analysis of stakeholders. Lim et. al. [22] prioritize
23 stakeholders using a variety of social network measures in order to consider the vote of different
24 stakeholders in different ways. Reenadevi et. al. [30] includes a rating of malicious stakeholders in order
25 to detect how they can affect the product quality. In fact, they propose an algorithm for identifying Non-
26 Stakeholders. Since we rely on collaboration, our approach is based on the premise that all stakeholders
27 have the same influence and they are working with no malice.

28 6. Conclusions and future work

31 Web Augmentation has emerged as a mechanism to improve the user experience while surfing the Web.
32 It has been widely adopted by a crowd of users as it can be seen in the multitude of script repositories.
33 Augmentation goals are broad since users requirements are broad as well. Some of the current tools are
34 used widely, for instance, AdBlock Plus!²¹ has been installed more than 300 million times, allowing users
35 to alter Web pages with a very particular goal, which is basically to remove intrusive advertisement.
36 Other more powerful Web Augmentation engines (Scriptish, Stylish or GreaseMonkey) have also
37 millions of installations, and altogether propose more than one hundred thousand augmentation artifacts
38 used by more than several thousand users each one. As we have mentioned before, these topics are also
39 being tackled in different ways in the research world. Different scientific works are based on Web
40 Augmentation for improving Web Applications accessibility [4, 18], support user tasks and concerns [17],
41 Web forms filling improvements [16], etc. These works have shown the power of augmentation
42 techniques when applied to specific domains, designing specific tools and making easier the development
43 of augmentation artifacts in the context of a particular domain. Also others works have shown that end-
44 users without programming skills are able to create their own augmentations [12] although reducing the
45 expressivity and consequently supporting only a subset of potential adaptations, or DSL for Web
46 Augmentation [13].

47 Altogether, the mentioned works place Web Augmentation as a powerful mechanism for Web
48 personalization and customization. Existing Web Augmentation communities have a similar pattern in
49 their users composition. There are artifacts creators, artifacts users and artifacts requestors. However, the
50 current communication channel between creators and requestors makes harder to understand what users
51 (requestors) need.

52 In this work we presented the CrowdMock, an approach whose main goal is to improve how Web
53 Augmentation communities work. One of our main aims was to improve the communication between
54 requestors and creators. The CrowdMock approach is fully supported by MockPlug, a tool for defining
55 augmentation requirements via high fidelity augmentation-based mockups, and UserRequirements, a
56 platform for managing these requirements. The approach lets users collaborate in the refinement process
57

58
59
60 ²⁰ <http://gomockingbird.com>

61 ²¹ <https://adblockplus.org>

1 and choose the better version to be implemented, administrating in this way the effort made by creators
2 who (at least in these communities) usually enjoy collaborating with each other and with requestors. Our
3 approach not only helps them in understanding what a requestor expects, but also it provides a way to
4 automatically generate initial code for implementing the augmentation that satisfies its requirements.

5 We strongly believe that Web Augmentation artifacts are going to play even a more important role in the
6 future. We also think that if we want to go further in the adoption of Web Augmentation as a
7 personalization mechanism, we need to provide better support to those users who are not able to create
8 their own artifacts, but still have adaptations requirement. CrowdMock, and its supporting tools, is a
9 novel approach in this sense, which shows that it is possible to define and manage Web Augmentations
10 requirements in a systematic way.

11 At the moment, we are currently working on the improvement of several CrowdMock aspects. First, we
12 are improving automatic code generation. This is really a challenge since creators have different views
13 when programming augmentation artifacts due for example, to the big offer of JavaScript libraries they
14 may prefer to use. This gives us the premise that it would be necessary to improve the customization of
15 how the code artifacts are generated if we pretend that the approach has a high adoption rate. Experiments
16 about how creators may adopt the generated code are also foreseen. Even more, we are working on the
17 inclusion of acceptance tests when an artifact is published in response to a requirement; in this way, the
18 requestor may provide feedback about the implementation.

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1
2
3
4 Dear Editor-in-Chief,
5

6 Thank you for giving a new opportunity to improve our work and propose a revised version
7 addressing the new reviewers' comments.
8

9 One more time, thank you also to the reviewers for their feedback, we hope to fulfill their requests.
10

11
12
13 Reviewer #1: In my personal opinion, the paper is ready for publication.
14

15 Notice that I am not evaluating the authors' responses to reviewer 2. Authors need to argue with
16 that reviewer independently.
17

18
19 There are a few issues in your response that look strange; Let me point them out, although I do not
20 expect an answer:
21

22 **COMMENT 1.1:**

23 - Page 25, critical value of W at 0.05 --> you say it is 47. But your W is 45. Then the results are
24 NOT significant at 0.05, but ALMOST significant.
25

26
27 **Response**

28 We agree with the reviewer that the conclusion of the test lack of references. We obtained the
29 critical value 47 at 0.05 from Robert L. McCormack, "Extended Tables of the Wilcoxon Matched-
30 Pair Signed Rank Statistic," Journal of the American Statistical Association, September 1965, pp.
31 866–67.
32

33 Then, you are right that the term significant is not correct or at least ambiguous, so we replaced it to
34 state clearer the results of the experiment. The revised version includes this text (at the beginning of
35 section 5.3)
36

37
38 *"In order to apply Wilcoxon Sign Test, W -value and Z -value must be calculated. We
39 used 38 pairs of data corresponding to the situations where participants were able to
40 specify, install the script and verify the functionality provided. Then, these 38 pairs of
41 data, has 20 pairs, where the satisfaction reported was the same for both techniques,
42 thus, the sample was reduced to a size of 18 ($N=18$). In this situation, we use the W -
43 value to evaluate our hypothesis. W -value is 45. The critical value of W for $N = 18$ at
44 $p \leq 0.01$ is 32 [26]. Therefore, H_0 cannot be rejected at $p \leq 0.01$. But, the critical value
45 of W for $N = 18$ at $p \leq 0.05$ is 47 [26]. Therefore, H_0 can be rejected at $p \leq 0.05$ [43]."*
46
47

48 **COMMENT 1.2:**

49 - Page 25, effect size calculation --> Typically d is used to report the effect size when groups are
50 involved. r is typically used to report the effect size of an independent on a dependent variable.
51 Both are related (a calculator is available here: <http://www.uccs.edu/~lbecker/>). If you do not want to
52 report d on normality grounds, use cliff's delta (see e.g.:
53 [https://www.researchgate.net/post/What_is_the_appropriate_effect_size_calculation_for_Wilcoxon_](https://www.researchgate.net/post/What_is_the_appropriate_effect_size_calculation_for_Wilcoxon_signed_rank_test_related_samples)
54 [signed_rank_test_related_samples](https://www.researchgate.net/post/What_is_the_appropriate_effect_size_calculation_for_Wilcoxon_signed_rank_test_related_samples))
55
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58 **Response**
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4 Thank you for the explanation. We studied the reference provided and we also review the literature
5 about effect size and we agree with the reviewer in using cliff's delta. Thus, in the paper we
6 provided the calculation of the cliff's delta effect size.
7

8
9 We modified the text as follows in the paper:

10
11 *"We also calculated the effect size. Since our experiment compares groups of*
12 *independent values, we calculated the Cliff's delta correlation coefficient instead the*
13 *generally used Cohen coefficient [7]. We obtained a value of 0.209. This value*
14 *determines an effect size between small and medium."*
15
16
17

18 **COMMENT 1.3:**

19 - Dropbox does not look the best option to store information in a stable manner. Ask the published
20 about options to store the additional materials.
21

22
23 **Response**

24 We have moved all these resources to another place. Now this can be accessed in a more reliable
25 server in our offices. This is the new URL (also upgraded in the revised version):
26 <http://www.lifia.info.unlp.edu.ar/crowdmock/public/crowdmockexperiment.zip>
27
28
29

30
31
32 **Reviewer #2:**

33 The authors have taken my comments into serious consideration and I am satisfied with their
34 thorough revision of the manuscript. I also thank them for the elaborate explanation of their work; I
35 think this latest revision has the very important role of uncovering a lot of good work that was
36 hidden in the submission.
37

38
39 There are just a few minor points that I would like them to fix, most of which were introduced due to
40 the newly added text:
41

42
43 **Section 3.3.1:**

44
45 **COMMENT 2.1:**

46 - replace "top 50th userscripts" with "top 50 userscripts"
47

48 **Response**

49 Fixed
50

51
52 **COMMENT 2.2:**

53 - The same sentence ends with "repository" while it should finish with "repositories"
54
55

56 **Response**

57 Fixed
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59 **COMMENT 2.3:**
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4 - In Filter/Hide content "one of the scripts hide" --> "one of the scripts hides"
5

6
7 **Response**

8 Fixed
9

10 **COMMENT 2.4:**

11 - In the last sub-bullet of content-related, "IMDB rate" should be "IMDB rating"
12
13

14 **Response**

15 Fixed
16

17 **COMMENT 2.5:**

18 Similar errors are present in the remainder of 3.3.1. Please ask a (quasi-)native English speaker
19 colleague to double check the section and the paper as well.
20
21

22 **Response**

23 We have done a new proof-reading for avoiding typos and also carefully reviewed the manuscript
24 for improving grammar.
25
26

27 **COMMENT 2.6:**

28 Section 5:

29 - The sentence "Since the experiment was focused on the capability of expressing augmentation
30 requirements, experienced web users were selected because they can provide good products."
31 should be revised. What are "good products"? I would just say "We chose experience web users as
32 subjects because of their familiarity with augmenting applications." -- if I get your motivation right!
33
34

35 **Response**

36 We understand that "good products" is not accurate and we took into account your suggestion. In
37 fact, given that there is a specific subsection describing the participants (5.1.2), we have removed
38 completely that sentence from the part you are pointing to.
39
40

41 **COMMENT 2.7:**

42 - There are now two different GQM formulations. I suggest to keep only the refined one, because
43 that is the one that you actually test.
44
45

46 **Response**

47 Thank you for your comment. In the revised version we have changed the first formulation
48 considering your suggestion.
49
50

51 **COMMENT 2.8:**

52 - In 5.1.3, add an explicit link to the questionnaire files
53
54

55 **Response**

56 We have added the questionnaire files together with the other resources:
57 <http://www.lifia.info.unlp.edu.ar/crowdmock/public/crowdmockexperiment.zip>
58

59 **COMMENT 2.9:**
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4 - In 5.1.5, why do you need the subscript "satis"? Also, remove the word "respectively" from the
5 sentence.
6

7
8 **Response**

9 We have removed the subscript "satis" from these definitions. Also the word "respectively" was
10 removed.
11

12 **COMMENT 2.10:**

13 - 5.3 focuses too much on the statistical metrics and does not discuss the results. These should not
14 be omitted.
15

16
17 **Response**

18 We also saw that only presenting statistical metrics could not be easily significant for the reader.
19 Now we have brought sections 5.3 and 5.5 together into Section 5.3. In the new revised version at
20 the end of Section 5 we discuss both metrics and results (Threats to validity subsection).
21

22
23 The section 5.3 has the following text at the final now:
24

25 *"We focused the experiment on the capability of our proposed approach to express*
26 *requirements. In particular, the experiment was focused on assessing the satisfaction*
27 *achieved by experienced Web users. Thus, the participants had to use two different*
28 *techniques: CrowdMock and other technique well-known by them, and compare the*
29 *results. This situation was adverse to CrowdMock, because people were comparing a*
30 *well-known technique against a new one. And it is expected that the well-known*
31 *technique would have a better performance than the new one. Nevertheless,*
32 *CrowdMock obtained good results. The average time of applying CrowdMock was*
33 *almost half of the average time of applying well-known techniques. Then, CrowdMock*
34 *was ranked better than the other techniques in difficulty perceived (if technical issues*
35 *are not considered). And in this scenario, the level of satisfaction perceived was better*
36 *in CrowdMock than in other well-known techniques. Even more, we do not choose a*
37 *specific technique to compare with CrowdMock. We let participants to choose a well-*
38 *known technique by each of them. Thus, although we believe that we have to continue*
39 *with the experimentation for measure other aspects about our approach, such as how*
40 *well our propose traceability is supported, we think that results are very promising and*
41 *have shown that this is good way to express Web augmentation requirements. We*
42 *think that the fact that CrowdMock relies on prototypes (mockups) applied over the real*
43 *web application is the key of the success of the experiment."*
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