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### Infection, Genetics and Evolution

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# Characterization of full-length HIV-1 CRF17\_BF genomes and comparison to the prototype CRF12\_BF strains <sup>☆</sup>

Paula C. Aulicino <sup>a,\*</sup>, Manuel Gómez-Carrillo <sup>b</sup>, Gonzalo Bello <sup>c</sup>, Carlos Rocco <sup>a</sup>, Andrea Mangano <sup>a</sup>, Jean Carr <sup>d</sup>, Luisa Sen <sup>a</sup>, Brian Foley <sup>e</sup>

- <sup>a</sup>Laboratorio de Biología Celular y Retrovirus-CONICET, Hospital de Pediatría J. P. Garrahan, Buenos Aires, Argentina
- <sup>b</sup> National Reference Center for AIDS, Department of Microbiology, School of Medicine, University of Buenos Aires, Buenos Aires, Argentina
- <sup>c</sup>Laboratório de AIDS & Imunologia Molecular, Instituto Oswaldo Cruz FIOCRUZ, Rio de Janeiro, Brazil
- <sup>d</sup>Department of Epidemiology and Prevention, Institute of Human Virology, University of Maryland School of Medicine. Baltimore, MD 21201, USA
- <sup>e</sup> Theoretical Biology Group, Los Alamos National Laboratory, Los Alamos, NM 87545, USA

#### ARTICLE INFO

Article history: Received 21 March 2011 Received in revised form 4 January 2012 Accepted 5 January 2012 Available online 12 January 2012

Keywords: HIV-1 subtypes Argentina BF recombinants HIV diversity Molecular epidemiology CRF17\_BF

#### ABSTRACT

The aim of this work is to characterize the full-length intersubtype recombinant structure of the HIV-1 Circulating Recombinant Form CRF17\_BF. A single genome of CRF17\_BF was originally described in 2001 as being largely similar to CRF12\_BF. Since then, more genomes of CRF17\_BF have been sequenced but not adequately described in publications. Here we describe CRF17\_BF as a genuine CRF, and analyze its recombination pattern based on bootscan analyses, subtype signature patterns, and phylogenetic reconstruction of subtype-delimited segments. We show that CRF17\_BF can be distinguished from CRF12\_BF in several regions of the genome, including *vpu*, *pol*, *env* and *nef*. A complete and accurate characterization and description of recombination breakpoints in CRFs is required for a proper surveillance of HIV-1 genotypes, and important for epidemiological purposes.

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#### 1. Introduction

Genetic recombination is one of the main sources of HIV-1 diversity. When recombination occurs between two or more subtypes, new inter-subtype recombinant forms emerge. When one of them is transmitted and reaches a detectable level in the population, gaining epidemiological importance, it is defined as a Circulating Recombinant Form (CRF). By definition, CRFs are inter-subtype recombinant HIV-1 strains or lineages that have been identified in three or more people with no direct epidemiologic linkage. Usually, full-length sequencing is required from at least two of them, and partial sequences spanning recombination sites can complete the characterization. HIV-1 intersubtype recombinants which have been detected and characterized in only one or two patients are described as unique recombinant forms (URFs). CRF17\_BF was described in 2001 based on the recombinant

E-mail addresses: pauauli@gmail.com, paulicino@garrahan.gov.ar (P.C. Aulicino).

structure of a single sequence: ARMA038 (GenBank Accession number AY037281) (Carr et al., 2001). More recently, new related full-length HIV-1 genomes with similar genomic structures have been submitted to the GenBank. The aims of this study are to determine the genuine CRF nature of ARMA038 and related sequences, and compare the recombination patterns between CRF17\_BF and CRF12\_BF, the prototypic BF recombinant HIV-1 strain in South America.

#### 2. Materials and methods

Seven full-length HIV-1 nucleotide sequences from Argentina (AR.1999.ARMA038 [AY037281], AR.2002.AR02-ARG1139 [EU58 1825], AR.2002.AR02-ARG2233 [EU581826]); Bolivia (BO.2002. BO02-BOL119 [EU581827]); Paraguay (PY.2002.PY02-PSP0096 [EU581823], PY.2002.PY02-PSP0073 [EU581824]); and Peru (PE.2002.PE02-PCR0155 [EU581828]) denoted as CRF17\_BF were retrieved from the Los Alamos HIV Sequence Database and aligned with CRF12\_BF, B, C, D and F1 subtype reference sequences using the GeneCutter Tool (http://www.hiv.lanl.gov/content/sequence/GENE\_CUTTER/cutter.html). The monophyletic nature of the full-length and concatenated B or F1 segments was evaluated in maximum likelihood (ML) phylogenetic trees constructed with MEGA 5.05 (Tamura et al., 2011) under a General Time Reversible (GTR)

<sup>\*</sup> This work was the result of a collaborative effort fueled by the International Bioinformatics Workshop on Virus Evolution and Molecular Epidemiology (http://www.rega.kuleuven.be/cev/workshop/).

<sup>\*</sup> Corresponding author. Address: Hospital de Pediatría J. P. Garrahan, Laboratorio de Biología Celular y Retrovirus, Combate de los Pozos 1881 (1245), Ciudad Autónoma de Buenos Aires, Argentina. Tel.: +54 11 4308 1998; fax: +54 11 4308 5325.

model of evolution, Gamma distribution of site-specific rates of evolution with eight rate categories. Tree figures were rendered from the MEGA tree outfile using FigTree version 1.3.1 (http://tree.bio.ed.ac.uk/software/figtree/).

Recombination breakpoints were characterized after unifying and confirming the results obtained by different methods:

- (i) Similarity and bootscanning plots were performed with Simplot software version 3.5.1 (Lole et al., 1999). In bootscan analyses, bootstrap values were determined in Neighbor-Joining (NJ) trees constructed using the Kimura twoparameter model, based on 100 re-samplings, supporting branching with the consensus sequences within a 200 bp window moving in steps of 20 bases. Subtypes F1 and B were used as references, and subtype C ZA.2004.SK164B1 (AY77 2699) was used as an outgroup.
- (ii) RIP 3.0 program (http://www.hiv.lanl.gov/content/seque nce/RIP/RIP.html) was used to identify BF recombination segments in the CRF17\_BF genomes, by comparing it to a set of subtype reference sequences using a 200 bp window, and a 90% confidence level. In RIP, the window is moved in increments of one nucleotide residue from left to right in the alignment, and a Hamming distance (p-distance) is calculated for each window. The best match within each window is qualified by a measure of confidence -which is approximate- obtained by comparing the distance to the first and second best-matching reference sequences by using a z-test as statistical support.

- (iii) Nucleotide alignments were visually inspected to identify subtype B and F1 signature patterns. Signature nucleotides that discriminate between subtypes B and F1 were defined as those found in at least 90% of the reference sequences of one subtype and in less than 10% of those of the other. Recombination breakpoints were established at the middle of a genomic region flanked by signature nucleotides of the two subtypes.
- (iv) NJ phylogenetic trees were built for individual subtype B segments delimited by inter-subtype recombination breakpoints in CRF17\_BFs, using the Tamura-Nei evolutionary model incorporated in the MEGA 5.05 program.

Subtype reference sequences used for identification of recombination breakpoints and phylogenetic reconstructions were the following: CRF12\_BF: UY.1999.URTR23 (AF385934), AR.1997.A32879 (AF408629), AR.1997.A32989 (AF408630), UY.1999.URTR35 (AF385935), AR.1999.ARMA159 (AF385936); subtype F1: BR.1990.BZ163 (AY173958), BR.2002.02BR082 (FJ771006), BR.2002.02BR170 (FJ771007), BR.2006.06BR564 (FJ771008), BR.2006.06BR579 (FJ771009), BR.2007.07BR844 (FJ771010), FI.1993.FIN9363 (AF075703), BR. 1993.93BR020-1 (AF005494), BE.1993.VI850 (AF077336), AR. 2002.ARE933FL (DQ189088.1); subtype B: TH.1990.BK132 (AY173951), US.1990.WEAU160\_GHOSH (U21135), NL.2000.671-00T36 (AY423387), US.1998.1058-11 (AY331295), FR.1983.HXB2-LAI-IIIB-BRU (K03455), US.1986.JRFL\_JR-FL (U63632), AR.ARMA132 (AY037282), AR.ARMA173 (AY037274), AR.ARCH054 (AY037268.1), BO.BOL122 (AY037270.1).

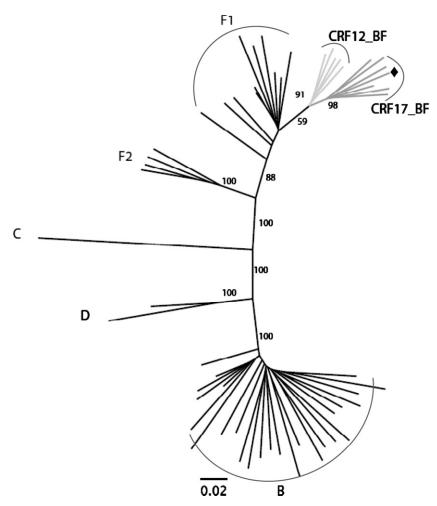


Fig. 1. Monophyletic clustering of full-length CRF17\_BF HIV-1 strains. A Maximum likelihood tree was constructed with CRF17\_BF-like and subtype reference sequences to show the monophyletic origin of six recently described strains with ARMA038 (♠). Bootstrap values based on 1000 replicates are shown on the nodes.

The multiple sequence alignments used for analyses are available from the authors on request.

#### 3. Results

#### 3.1. Identification of CRF17\_BF as a genuine CRF

A Maximum likelihood phylogenetic tree was constructed for full-length HIV-1 reference sequences of the following clades: B, C, D, F1, CRF12\_BF and the putative CRF17\_BF. All seven genomes previously classified as CRF17\_BF clustered together, independently of the CRF12\_BF clade, confirming both the monophyletic nature of the CRF17\_BF strains and the close but distinct relationship of CRF17\_BF with CRF12\_BF strains (Fig. 1). Epidemiological linkage was only suspected for sequences PSP0073 and PSP0096 from Paraguay, due to the high similarity between them (data not shown).

## 3.2. Characterization of the BF recombinant structure in CRF17\_BF genomes

In order to identify subtype B and F1 genomic segments on CRF17\_BF, we characterized the subtype structure of the seven HIV-1 genomes contained in the CRF17\_BF cluster by similarity plots and bootscan analyses with Simplot software version 3.5.1 (Lole et al., 1999). All HIV-1 genomes had the same recombinant structure as the ARMA038 strain on bootscanning plots with a window size of 200 bases, sharing nine subtype B insertions on a subtype F1 back-

ground structure (Figs. 2a and b). Each subtype B segment was identified with a letter from A to I, and distributed along the HIV-1 genome as follows: segment A in the 5' portion of p17gag; segment B across the protease-reverse transcriptase (RT) border; segment C in the polymerase (p51) domain of RT; segment D in the overlap of the first coding exons of tat, rev, vpu and the 5' segment of env; segment E across the gp120-gp41 border of env; segment F in the gp41env; segment G in the overlap of the second coding exon of rev and the 3' end of gp41; segment H in the 5' end of nef; and segment I in 3' the overlap of nef and the 3' leader sequence.

Almost the same recombination pattern was obtained after submitting the alignment to RIP 3.0 program, again with a window size of 200 bases. RIP indicated that the similarity to subtype B was not statistically significant at the 95% setting for fragments H and I. The only difference in subtype assignment between bootscanning and RIP analysis was found for fragment F, which was subtype B by bootscanning and subtype F1 by RIP. Further confirmation of the proposed recombination pattern was obtained after visual inspection of subtype signature patterns on the nucleotide sequence alignment, and phylogenetic reconstruction of independent subtype B delimited segments as detailed below. The same analysis was done for subtype F1 delimited segments (data not shown). Segment H was defined mainly based on subtype signature nucleotides. ML phylogenetic trees of concatenated subtype F1 (Fig. 2c) and subtype B (Fig. 2d) segments defined by bootscanning confirm the monophyletic nature of CRF17\_BF sequences, supporting the notion that all of these recombinants genomes are derived from a common BF recombinant ancestor.

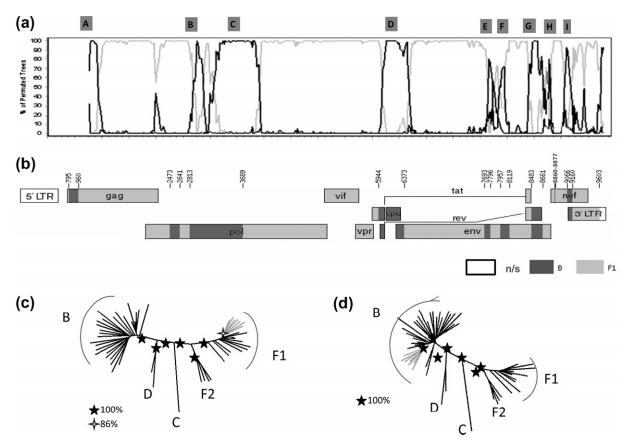


Fig. 2. Characterization of the recombinant structure of CRF17\_BF. (a) Bootscanning plot of CRF17\_BF ARMA038 strain, showing subtype B (black) and F1 (light gray) similarity along the full-length HIV-1 genome. In bootscan analyses performed with Simplot, bootstrap values were determined in N-J trees constructed using the Kimura two-parameter model, based on 100 re-samplings, supporting branching with the consensus sequences within a 200 bp window moving in steps of 20 bases. (b) Proposed recombination pattern of CRF17\_BF based on the bootscanning plot, subtype signature patterns, and phylogenetic reconstruction of subtype-delimited segments. n/s: not sequenced. (c) Maximum likelihood phylogenetic tree of concatenated subtype F segments defined for CRF17\_BF. (d) Maximum likelihood phylogenetic tree of concatenated subtype B segments defined for CRF17\_BF. Star symbols represent percentage bootstrap values supporting the clades. Gray branches represent CRF17\_BF viruses.

## 3.3. Comparison between CRF17\_BF and CRF12\_BF recombination profiles

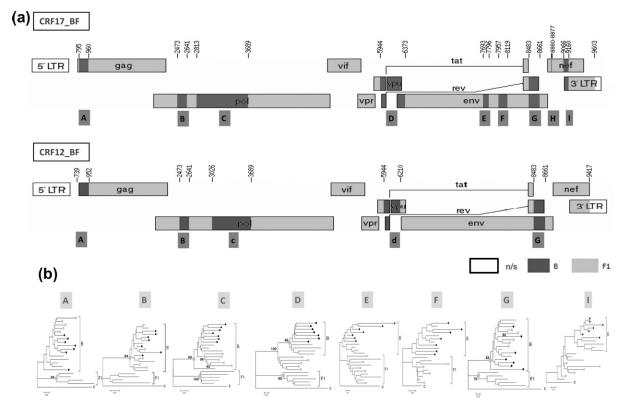
A comparison between the recombination patterns of CRF12\_BF-the prototype BF recombinant strain- and the newly characterized CRF17\_BF strains, shows that CRF12\_BF shares eight of the eighteen breakpoints defined for CRF17\_BF (Fig. 3a), indicating coincident but independent BF recombination events on hot-spot regions of the HIV-1 genome. While subtype B segments identified with letters A, B, and G share the exact same recombination breakpoints in both CRF17\_BF and CRF12\_BF, segments C/c and D/d share only the 3' or the 5' recombination breakpoints, respectively among both CRFs. Segments E, F, H and I, were exclusively found in CRF17\_BF, suggesting independent evolution of the parental strains or additional recombination events on a CRF12\_BF background.

The phylogenetic relationship of each putative subtype B segment (A–I) delimited for CRF17\_BF strains was analyzed and compared to CRF12\_BF and subtype B and F1 reference strains through NJ phylogenetic trees (Fig. 3b). CRF17\_BF strains clustered with subtype B references with high bootstrap support for segments A, B, C, D, and G, confirming the subtype B assignment by bootscans, signature nucleotide analysis, and RIP. The CRF12\_BF strains clustered away from CRF17\_BF for segments C and D, and were intermingled with CRF17\_BF strains for segments A, B and G. Phylogenetic analysis of regions defined as segments E, F, and I, showed that CRF17\_BF clustered within the subtype B group of reference strains, while CRF12\_BF clustered with subtype F1 strains despite the bootstrap support was not high enough to define well separated subtype B and F1 clades.

#### 4. Discussion

The Los Alamos HIV-1 Sequence Database (HIV Sequence Database, http://www.hiv.lanl.gov) provides an up-to-date collection of HIV-1 CRFs based on individual reports by the authors, usually from a set of at least two full-length and one partial sequence. CRF17\_BF was reported as a single sequence (URF) in 2001, and only in 2008 six new sequences with a similar recombination pattern became available. For the first time, we confirm CRF17\_BF as a genuine CRF based on the analysis of seven full-length sequences from four different countries (Argentina, Bolivia, Paraguay and Peru). Our analyses indicate that the recombination pattern of CRF17\_BF is more complex than initially described (Carr et al., 2001), but continues to share several recombination breakpoints with the CRF12\_BF, suggesting that both CRFs have evolved from a common ancestral BF recombinant.

To date, 49 different HIV-1 CRFs and one HIV-2 CRF have been described worldwide. Of them, the most frequent combination of subtypes results in 11 different BF recombinants representing 22% of all the CRFs (HIV Sequence Database, http://www.hiv.lanl.gov). Despite their over-abundance in comparison to other combinations of subtypes, BF recombinants are almost exclusively found in South-American countries (HIV Sequence Database, http://www.hiv.lanl.gov) or in European countries with a high social and cultural exchange with Latin-America like Spain and Italy (Fernández-García et al., 2010). CRF12\_BF is considered the prototype of the BF recombinants, not only because it was the first to be described in 2001, but also because of its high abundance (Carr et al., 2001). CRF17\_BF, on the contrary, seems to circulate at very low prevalence in Latin America. Up to the present, CRF17\_BF was



**Fig. 3.** Comparison of BF mosaic structures of CRF17\_BF and CRF12\_BF. (a) Comparison between the mosaic structure of CRF17\_BF and CRF12\_BF. Uppercase and lowercase letters indicate similar but not identical subtype B segments. n/s: not sequenced. (b) Neighbor-joining phylogenetic trees of individual CRF17\_BF subtype B fragments A, B, C, D, E, F, G, and I (phylogenetic reconstruction of segment H is not depicted due to unresolved topology for subtype B and F1 references). Clades with bootstrap support above 70% are shown on the nodes. CRF17\_BF sequences are represented with a full circle, and CRF12\_BF sequences with an open circle. Subtype B segments are identified with a letter from A to I.

found in less than 2% of HIV-1 BF recombinants in Argentina (Aulicino et al., 2011), 0.4% of the HIV-1 strains circulating in South-America (Laguna-Torres et al., 2005), and 2% of the HIV-1 strains in Paraguay (Aguayo et al., 2008).

The recombination pattern of the CRF17\_BF was originally described to be very similar to that of CRF12\_BF. Only two differences in the length of the subtype B segment in *vpu* and one additional subtype B insertion in 5' *env* gene of CRF17\_BF were noted to distinguish between both CRFs (Carr et al., 2001). However, here we show that CRF12\_BF and CRF17\_BF have a similar mosaic structure but can be differentiated in their recombination pattern in several genomic regions including *vpu*, *pol*, *env* and *nef*. In fact, the differences in *pol* recombinant structures were recently used to characterize the BF recombinant epidemic in a group of children from Argentina, allowing for the first time to determine the frequency of CRF17\_BF-like *pol* genotypes in this country (Aulicino et al., 2011).

It has been shown that the choice of window size affects the sensitivity of the detection of recombinants. On the one hand, using a small window size may introduce artifacts (small regions that appear to be of another subtype, but are not). On the other hand, using an overly-large window size may mask the presence of legitimate regions of recombination. Different sliding window and step sizes, as well as inappropriate subtype references often result in discordant recombination maps and affect the tracking of the epidemic of HIV-1 recombinants. Here we used a sliding window of 200 bp, and a step size of 20, and corroborated the results obtained by bootscanning with other methods to build the proposed CRF17\_BF recombinant structure. In bootscans, we set window and step sizes in between the ones used for CRF44\_BF (window: 160 bp/step: 10) (Delgado et al., 2010) and CRF38\_BF (window: 300 bp/step: 30) (Ruchansky et al., 2009), two CRFs with a reported ancestral relationship to CRF12\_BF and CRF17\_BF (Sierra et al., 2005). Therefore, the use of a different set of parameters may account for differences between the previous CRF17\_BF structure and the new one here presented. In fact, the sensitivity of detection of small subtype B segments E and H in CRF17\_BF is lost for windows above 250 bp (data not shown).

Previous studies have shown that recombination patterns are often subject to re-analysis and change, demonstrating that our ability to pinpoint exactly the recombination breakpoints in any virus or group of viruses is not complete (Magiorkinis et al., 2005; Zhang et al., 2008, 2010). In particular, the detection of small recombinant segments represents a problem unsolved by the methods that require a minimum window size to build reliable trees and only allow one window size at a time, since it has been shown that the strength of the phylogenetic signal varies over the length of the HIV-1 genome (Hraber et al., 2008). In our study, we consider that a high density of informative sites and subtype signature nucleotides strong enough to cause a "blip" in bootscan analyses is more probably a result of recombination than a consequence of convergent evolution. In spite of the limitations imposed by the methods and sequences available, a complete and accurate characterization of recombination breakpoints in CRFs is required for a proper surveillance of HIV-1 genotypes in regions like South-America where the diversity of BF recombinants is increasing every day.

#### Acknowledgments

The authors wish to thank the 16th International Bioinformatics Workshop on Virus Evolution and Molecular Epidemiology for fostering this collaboration.

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