

1 **miR-137 conferred robustness to the territorial restriction of the**
2 **neural plate border**

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12 **Keywords:** microRNA, neural plate border, Tfap2a, DNA methylation, miR-137

13 **Summary statement:** Discover how miR-137 shapes neural plate border
14 development, shedding light on critical epigenetic mechanisms in vertebrate embryos.

15 **ABSTRACT**

16 The neural plate border (NPB) of vertebrate embryos segregated from the neural plate
17 (NP) and epidermal regions, and comprised an intermingled group of progenitors with
18 multiple fate potential. Recent studies have shown that during the gastrula stage,
19 TFAP2A acts as a pioneer factor in remodeling the epigenetic landscape required to
20 activate components of the NPB induction program. Here we show that *Tfap2a* has
21 two highly conserved binding sites for miR-137 and both display a reciprocal
22 expression pattern at the NPB and NP respectively. In addition, ectopic miR-137
23 expression reduced TFAP2A, whereas its functional inhibition expanded their
24 territorial distribution overlapping with PAX7. Furthermore, we demonstrated that loss
25 of the *de novo* DNA methyltransferase DNMT3A expanded miR-137 expression to the
26 NPB. Bisulfite sequencing showed a significantly higher level of non-canonical CpH
27 methylation at the promoter of miR-137 when we compared NPB and NP samples.
28 Our finding shows that miR-137 contributes to the robustness of NPB territorial
29 restriction in vertebrate development.

30

31 INTRODUCTION

32 In all chordates, the ectoderm layer becomes segregated into the neural plate (NP)
33 and the surrounding non-neural ectoderm. The NP subsequently folds or cavitates to
34 form the neural tube, the future central nervous system (CNS), whereas the non-neural
35 ectoderm mostly forms the epidermis of the skin. In vertebrates, at the interface of
36 those two territories resides the neural plate border (NPB). Cells that intermingle at
37 the NPB give rise to four distinct cell lineages: (1) neural progenitors that form the
38 anterior CNS, (2) neural crest cells that form the peripheral nervous system, pigment
39 cells, and much of the bone and cartilage of the face, (3) the cranial placodes that form
40 complex sensory organs such as the inner ear and the olfactory epithelium, and (4)
41 the cranial epidermis (Grocott et al., 2012; Groves and LaBonne, 2014). Particularly, the
42 neural crest and cranial placodes are intimately linked with the evolution of defining
43 characteristics of vertebrates. Whereas basal chordate embryos possess a sharp
44 demarcation between presumptive neural and epidermal fates at this border, much
45 less is known about how cell fates as disparate as neural crest, placode, and neural
46 cells become segregated at the NPB of vertebrate embryos. This segregation may
47 require a tight epigenetic and transcriptional control of both protein-coding genes and
48 non-coding RNAs that contribute to specific genetic programs (Alata Jimenez and Strobl-
49 Mazzulla, 2022; Roellig et al., 2017; Williams et al., 2022a). The biological significance of
50 this region has not only evolutionary relevance but also its implication in several
51 human diseases where genetic or environmental perturbations of these progenitors
52 collectively contribute to an enormous range of birth defects affecting the brain, skull,
53 face, heart, and sensory organs (Butler Tjaden and Trainor, 2013; Gandhi et al., 2020; Pauli
54 et al., 2017; Siismets and Hatch, 2020; Vega-Lopez et al., 2018).

55 TFAP2A belongs to the TFAP2 family of transcription factors, which includes five
56 paralogous proteins that bind to DNA as dimers (Eckert et al., 2005). This pioneer factor
57 also regulated chromatin accessibility and has been demonstrated to participate in
58 distinct network modules during NPB induction and the later neural crest specification
59 (Rothstein and Simoes-Costa, 2020). Several works using chick embryos demonstrated
60 that TFAP2A is not uniformly expressed in the NPB cells. Doble-immunostaining
61 demarcated TFAP2A expression on the lateral aspect of the NPB, whereas PAX7,
62 another early marker of the NPB (Basch et al., 2006; De Croz e et al., 2011), was mostly
63 detected in the medial border region (Rothstein and Simoes-Costa, 2020). RNA velocity
64 measurements imply that segregation of the NPB begins at the beginning of
65 neurulation (HH6) when the NPB is defined by a discrete subcluster of the ectoderm.
66 However, the NPB also harbors different subclusters heterogeneously expressing
67 several NPB markers including TFAP2A and/or PAX7 (Williams et al., 2022a). This
68 observation reflected the observed segregation of the neural plate border into medial
69 (PAX7+) and lateral (TFAP2A+) regions, as well as highlighting the overlap and
70 combination of genes co-expressed across the NPB. However, the mechanism
71 involved in regulating this complex heterogeneity in the developing NPB is largely
72 unknown.

73 MicroRNAs (miRNAs) form a group of non-coding RNAs that act in post-transcriptional
74 gene repression and are involved in a myriad of cellular events, including the balance
75 between proliferation and differentiation as well as the spatiotemporal modulation of
76 gene expression during development (Ivey and Srivastava, 2010). Interestingly, miRNAs
77 dramatically expanded in numbers together with the evolution of vertebrate features
78 (Heimberg et al., 2010) and may therefore have contributed to these vertebrate
79 innovations like the formation of the neural crest and placode progenitors residing in

80 the NPB. In this context, miRNAs are well-positioned to function as key regulators of
81 the cross-antagonism to refine the transcriptional outcome and modulate lineage
82 specification at the NPB. Furthermore, the regulatory elements of those miRNAs may
83 function as integrated transcription factor binding platforms, where environmental
84 signaling cues are interpreted in a context-dependent manner (Buecker and Wysocka,
85 2012).

86 Here, we explore the hypothesis that miRNAs could have a role in the spatial control
87 of TFAP2A expression and robustness to the process of NPB cell specification. We
88 identified miR-137 as a direct regulator, characterized their tissue distribution, and
89 performed gain- and loss-of-function experiments demonstrating their role in NPB
90 definition. Next, demonstrated the territorial restriction of miR-137 expression exerted
91 by the DNA methyltransferase 3A and identified differential non-canonical CpH
92 methylation at their promoter region in NPB progenitors. Finally, we demonstrated a
93 feedback loop regulation between miR-137 and TFAP2Aa.

94

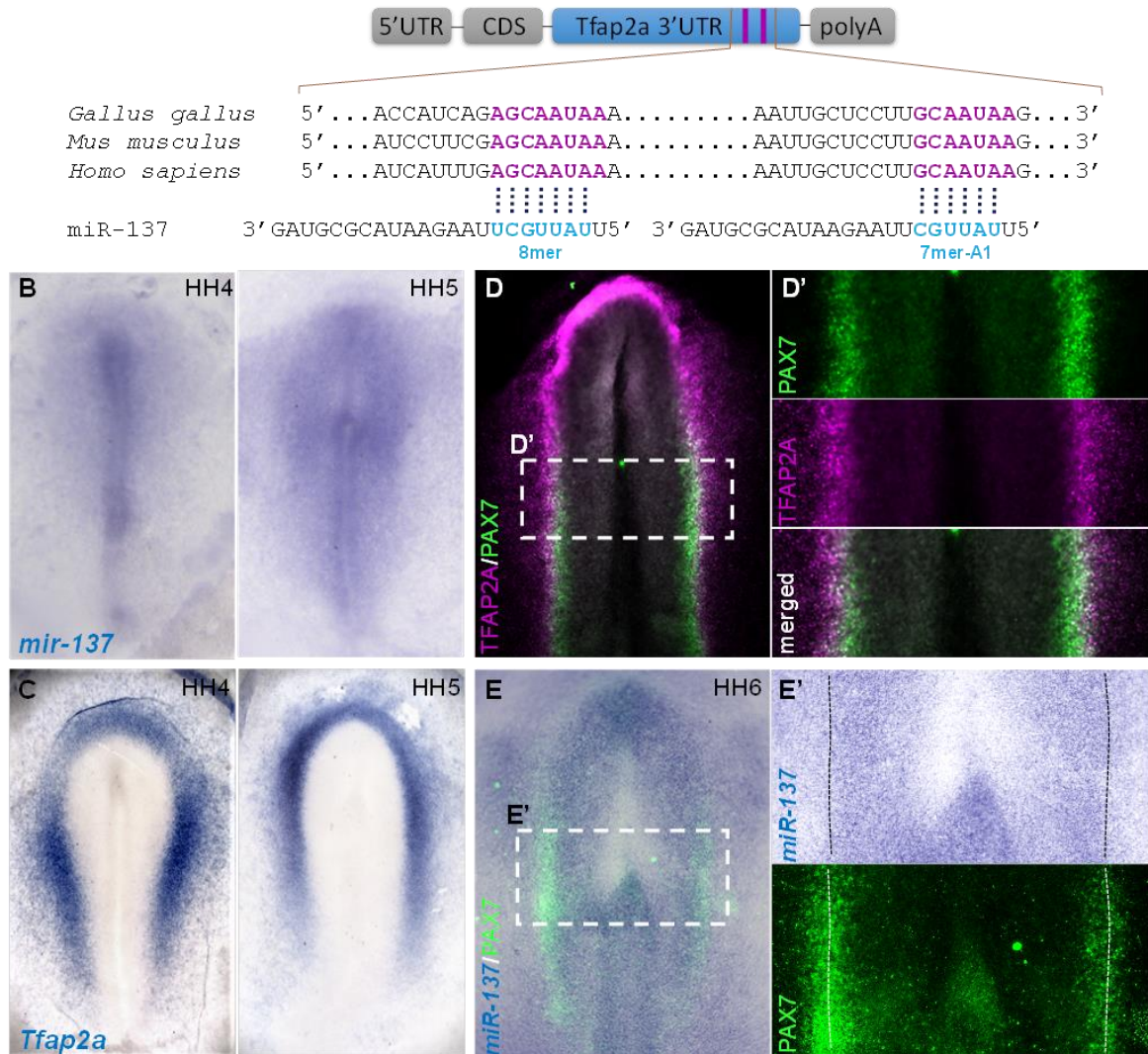
95 **RESULTS**

96 **MiR-137 as a possible post-transcriptional regulator of TFAP2A**

97 Given that *Tfap2a* is a pioneer factor regulating NPB specification, we performed an
98 *in silico* analysis to identify candidate miRNAs that might regulate their territorial
99 restriction. Through this, we found that miR-137 is the only one having two binding
100 sites with a higher probability of preferential conservation across vertebrates in the
101 3'UTR of *Tfap2a* mRNAs (**Fig. 1A-S1**). One of the sites is the only one having the
102 highest base complementarity (8mer) between the mRNA and the seed region.
103 Moreover, from all the miRNAs targeting *Tfap2a*, miR-137 has the highest probability
104 of preferentially conserved targeting (aggregate $P_{CT=98}$) (**Table S1**). MiR-137 is a

105 brain-enriched miRNA that plays an important role in regulating embryonic neural stem
106 cell fate determination, neuronal proliferation and differentiation, and synaptic
107 maturation. Its dysregulation results in alterations in the gene expression regulation
108 network of the nervous system, which in turn, induces mental disorders in humans,
109 including schizophrenia susceptibility (Yin et al., 2014).

110 Once the candidate was identified, we wanted to examine the expression pattern of
111 miR-137 at different stages in early chick embryos and compare it with the pattern of
112 *Tfap2a* mRNA. MiRNAs and their targets have been reported to be often mutually
113 exclusive expression in tissues during embryonic development, especially in
114 neighboring tissues derived from common progenitors (Ebert and Sharp, 2012). In this
115 sense, miRNAs may act to reinforce the transcriptional gene expression program by
116 suppressing random fluctuations in transcript copy number at territorial boundaries,
117 thus allowing the generation of sharper limits in the expression of their targets. As a
118 first step, we examined the expression pattern of the mature miR-137 transcripts using
119 *in situ* hybridization in early chick embryos. By utilizing a locked nucleic acid (LNA)
120 digoxigenin-labeled probe we found that miR-137 was first detected at gastrula stages
121 (HH4-5. Hamburger & Hamilton stages) on the neural plate (**Fig. 1B-S2**). Interestingly,
122 *Tfap2a* transcript, which is highly detected in the NPB and non-neural ectoderm,
123 seems to have an anti-correlated expression with respect to miR-137 (**Fig. 1C**). This
124 becomes very evident when miR-137 transcript is co-immunostained with the NPB
125 marker PAX7 (**Fig. 1D-E**). This evidence is consistent with the intriguing possibility
126 that miR-137 may have some functional role in the regulation of *Tfap2a* spatial
127 distribution.



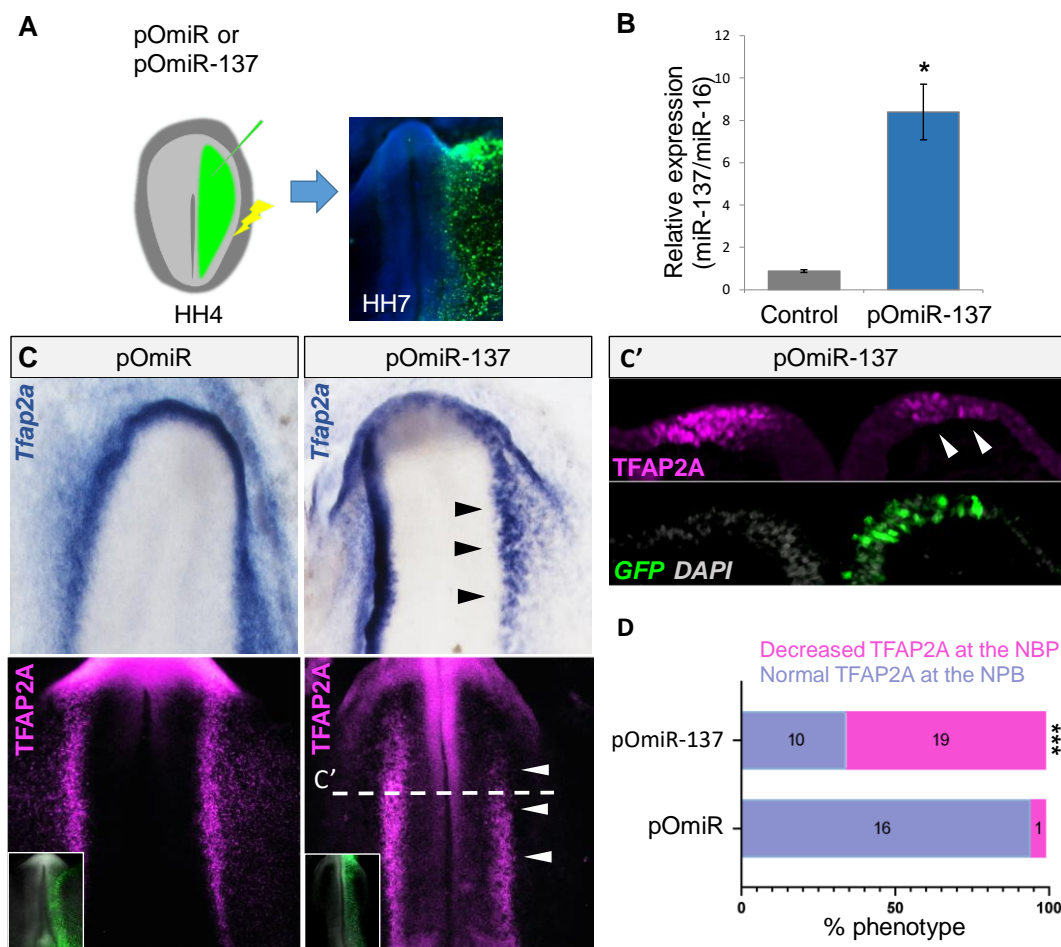
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129 **Figure 1: miR-137 expression is opposite to the putative target gene Tfap2a.** (A) Mature miR-
 130 137 has two binding sites on the 3'UTR of Tfap2a mRNA which are highly conserved in
 131 vertebrates. (B) Whole-mount *in situ* hybridization using LNA-DIG-labeled probes reveals
 132 specific expression of mature miR-137 in the neural plate at HH4-5, which is opposite to
 133 Tfap2a (C) expression detected in the neural plate border and non-neural ectoderm. (D)
 134 Immunostaining evidencing that the neural plate border expressed TFAP2A (magenta) on the
 135 medio-lateral side, and PAX7 (green) on the medio-central side. (D') Magnification at the level
 136 shown in D. (E) MiR-137 *in situ* hybridization followed by PAX7 (green) immunostaining
 137 demonstrating the limits of miR-137 in the middle of PAX7 expression (dotted line E').
 138

139 **Ectopic miR-137 causes TFAP2A reduction at the NPB**

140 In order to study the *in vivo* effect of ectopic miR-137 expression on Tfap2a transcript
 141 at the NPB we designed an overexpressing vector containing the pri-miR-137 under
 142 the control of CAG promoter (pOmir-137). This vector, or the empty version (pOmir),

143 was unilaterally co-injected with a GFP reporter plasmid into HH4 embryos, followed
 144 by electroporation and allowed them to develop until HH7 (**Fig. 2A**). Prior to functional
 145 analysis, we demonstrated by stem-loop-RT-qPCR that this vector is indeed able to
 146 overexpress miR-137, comparing the injected side (IS) with the uninjected side (UIS)
 147 of the same group of electroporated embryos (**Fig. 2B**). To visualize the effect of
 148 ectopic miR-137, we evaluated by ISH and IHC the *Tfap2a* expression. Our results
 149 demonstrated that both *Tfap2a* mRNA and protein were reduced at the pOmir-137
 150 injected side compared with the contralateral side, or embryos injected with the control
 151 vector pOmir (**Fig. 2C-D**). Taken together, our results highlight the possible regulatory
 152 role of miR-137 in the *Tfap2a* territorial restriction.



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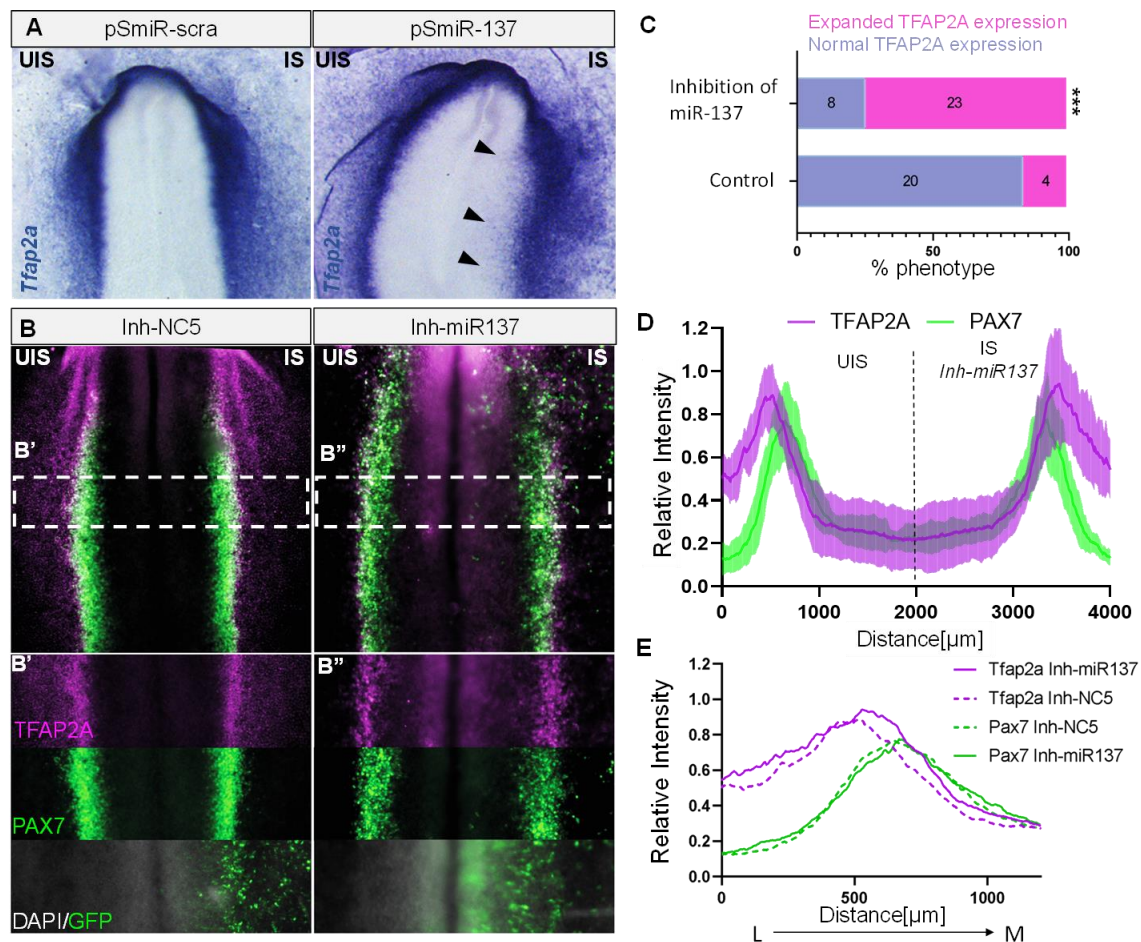
154 **Figure 2: miR-137 overexpression reduced TFAP2A expression. (A)** Schematic diagram of the
 155 overexpressing (pOmiR-137) or empty control (pOmiR) vectors injected and electroporated
 156 in the right side of the embryos at gastrula stage (HH4) and cultured until mid-neurula stage

157 (HH7). **(B)** Electroporation of pOmiR-137 vector successfully overexpress a mature *miR-137*
158 evidenced by stem-loop-RTqPCR compared with the control uninjected side of the same
159 group of embryos (n=3). Asterisk (*) indicates significant differences ($P<0.05$) by Student's *t*-
160 test. Values are means \pm SEM. **(C)** *In situ* hybridization and immunostaining for TFAP2A show
161 their reduction in the pOmiR-137 injected side compared with the uninjected side of the same
162 embryos and with embryos injected with the control pOmiR vector. **(C')** Transverse section at
163 the levels shown in C. **(D)** The percentages of embryos showing a phenotype (decreased
164 *Tfap2a* expression at the neural plate border) on the side injected with pOmiR-137 and
165 pOmiR. Numbers in the graphs represent the numbers of analyzed embryos. Asterisk (***)
166 indicates significant differences ($P<0.001$) by contingency table followed by χ^2 test.
167

168 **Loss of miR-137 function leads to TFAP2A invasion of the NP territory**

169 Given that miR-137 is expressed in the NP territory and its ectopic expression in the
170 NPB causes repression in TFAP2A expression, we next asked whether their loss of
171 miR-137 function in the NP would expand the TFAP2A territory to this region. To test
172 this possibility, we utilized two approaches. First, generating a “sponge” vector
173 containing 10 repeated miR-137 antisense sequences (pSmiR-137) to sequester
174 endogenous miR-137(Kluiver et al., 2012). We have also designed a control sponge
175 vector containing a scramble miR-137 sequence (pSmiR-scra). Second, we used an
176 IDT inhibitor (Ihn-miR137) designed to be perfectly complementary to the mature
177 miRNA-137 sequence, producing a very stable duplex that prevents the miRNA from
178 binding to its intended targets and is capable of even displacing the natural passenger
179 strand in double-stranded miRNA (Lennox et al., 2013). As a control, we used a non-
180 targeting control (Ihn-NC5). In both cases, the sponge plasmids or the miRNA
181 inhibitors were transfected into the right side of the embryos as we mentioned before.
182 The results utilizing the sponge vector demonstrated the expansion of *Tfap2a*
183 transcripts toward the NP territory, as well as we observed a more diffuse border
184 compared to the uninjected side or control embryos injected with pSmiR-scra **(Fig.**
185 **3A)**. It has been previously reported that TFAP2A demarcates de lateral aspect of the
186 NPB extending toward the non-neural ectoderm, and on the other side PAX7, another

187 well-known NPB marker, is mostly enriched in the medial border region of the NPB
188 (Williams et al., 2022a). This transcriptional heterogeneity of the progenitors located in
189 the NPB seems to be very important for the neural crest and placode specification.
190 Based on our hypothesis, miRNA-137 may act to suppress random fluctuations in
191 TFAP2A expression generating a sharper delimitation. To evaluate this, we
192 manipulated miR-137 expression *in vivo* by transfecting half embryos with ihn-miR137
193 and determined the effect on TFAP2A and PAX7 protein distribution by
194 immunofluorescence. The results show an expansion of TFAP2A expression over the
195 PAX7 territory in the ihn-miR137 injected side compared to the control side (**Fig. 3B-**
196 **E**). These analyses demonstrated that TFAP2A expression is expanded to more
197 medial territories, overlapping with PAX7 distribution, in ihn-miR137 transfected side
198 (the negative control inh-NC5 displayed no effect) (**Fig. 3D**). To further evaluate these
199 results, we performed transversal sections in the anterior zone of both miR-137
200 inhibitor-treated and control embryos.

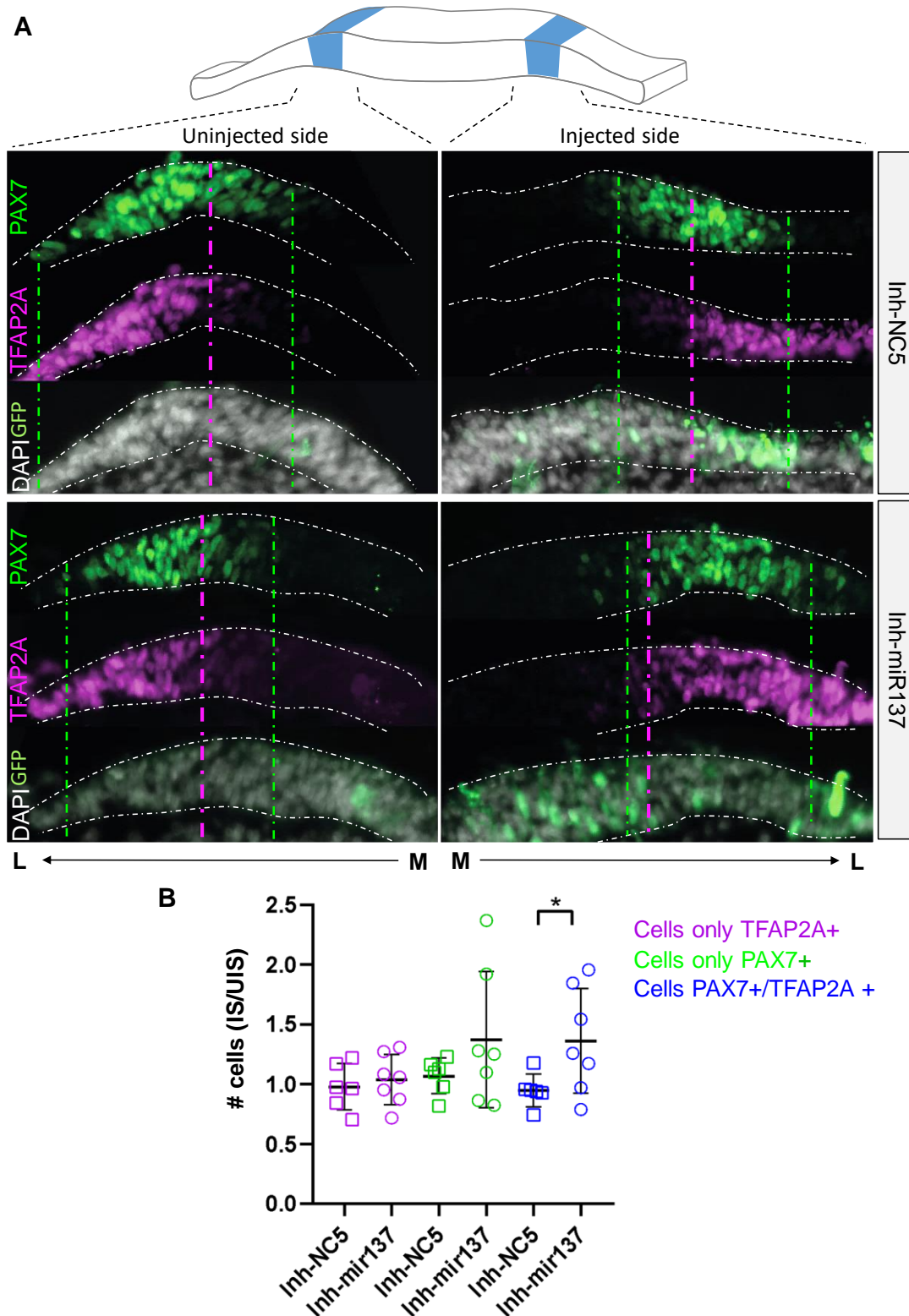


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202 **Figure 3: Loss of miR-137 causes *Tfap2a* territorial expansion.** (A) Embryos electroporated
 203 with *miR-137* sponge vector (pSmiR-137) presented *Tfap2a* expansion to the neural plate
 204 territory (black arrowhead), as shown by *in situ* hybridization compared with the uninjected
 205 side of the same embryos (Left side) or injected with a scramble sponge vector (pSmiR-scrna).
 206 (B) Co-immunostaining for PAX7 (green) and TFAP2A (magenta) after injection with miR-137
 207 inhibitor (Inh-miR137) demonstrated an expansion of TFAP2A+ territory over PAX7+ cells
 208 compared with the uninjected side of the same embryos or injected with a non-targeting
 209 control inhibitor (Inh-NC5). (B', B'') Independent channels at the levels shown in B. (C) The
 210 percentages of embryos showing a phenotype (expanded *Tfap2a* expression) on the side
 211 injected with Inh-miR137 and Control. Numbers in the graphs represent the numbers of
 212 analyzed embryos. Asterisk (***) indicates significant differences ($P < 0.001$) by contingency
 213 table followed by χ^2 test. (D) Relative intensity profiles of PAX7 (green) and TFAP2A (magenta)
 214 protein expression across the embryos ($n = 7$) demonstrating their overlapping distribution on
 215 the injected side with Inh-miR137 (right side). Dark lines indicate average across all embryos,
 216 with standard deviation indicated by shaded regions. (E) Line traces of average relative
 217 intensities for TFAP2A and PAX7 expression comparing injected sides with inh-miR137 (solid
 218 lines) and control inh-NC5 (dotted lines) across the neural plate border from lateral to medial.
 219 UIS, uninjected side; IS, injected side, L, lateral, M, medial.

220

221 Interestingly, we found that the TFAP2A expression is expanded toward the medial
222 NPB, overlapping with PAX7 expression on the Inh-miR137 injected side compared to
223 the uninjected side of the same embryos and the Inh-NC5 injected side (**Fig. 4A**).
224 These results indicated that miR-137 is required for proper *Tfap2a* territorial restriction
225 during NPB definition. The induction and specification of different cell lineages of the
226 NPB (neural crest cells in the medial zone and placode cells in the lateral zone) is a
227 consequence of the heterogeneous distribution of TFs along the territory that
228 generates a precise transcriptional context for each precursor. Changes in the
229 signaling gradients could affect their progenitors, thus leading to anomalous induction
230 and differentiation. With this in mind, we evaluated whether the number of cells along
231 the NPB expressed TFAP2A and PAX7 differentially upon miR-137 inhibition. Using
232 the FIJI tool and the images obtained from the transversal sections, we counted cells
233 that only express TFAP2A (cells only TFAP2A+), PAX7 (cells only PAX7+), and those
234 co-expressing both proteins (cells PAX7+/TFAP2A+). Indeed, we found that in the
235 embryos treated with the miR137 inhibitor, there are significantly more cells co-
236 expressing both markers compared to the control (**Fig. 4B**). Therefore, our result
237 suggests miR-137 could be regulating the expression of TFAP2A in the most medial
238 NPB cell population, at the edge with the NP, for the correct induction of the
239 progenitors of this region and the specification of their derivatives, particularly the
240 neural crest cells.
241



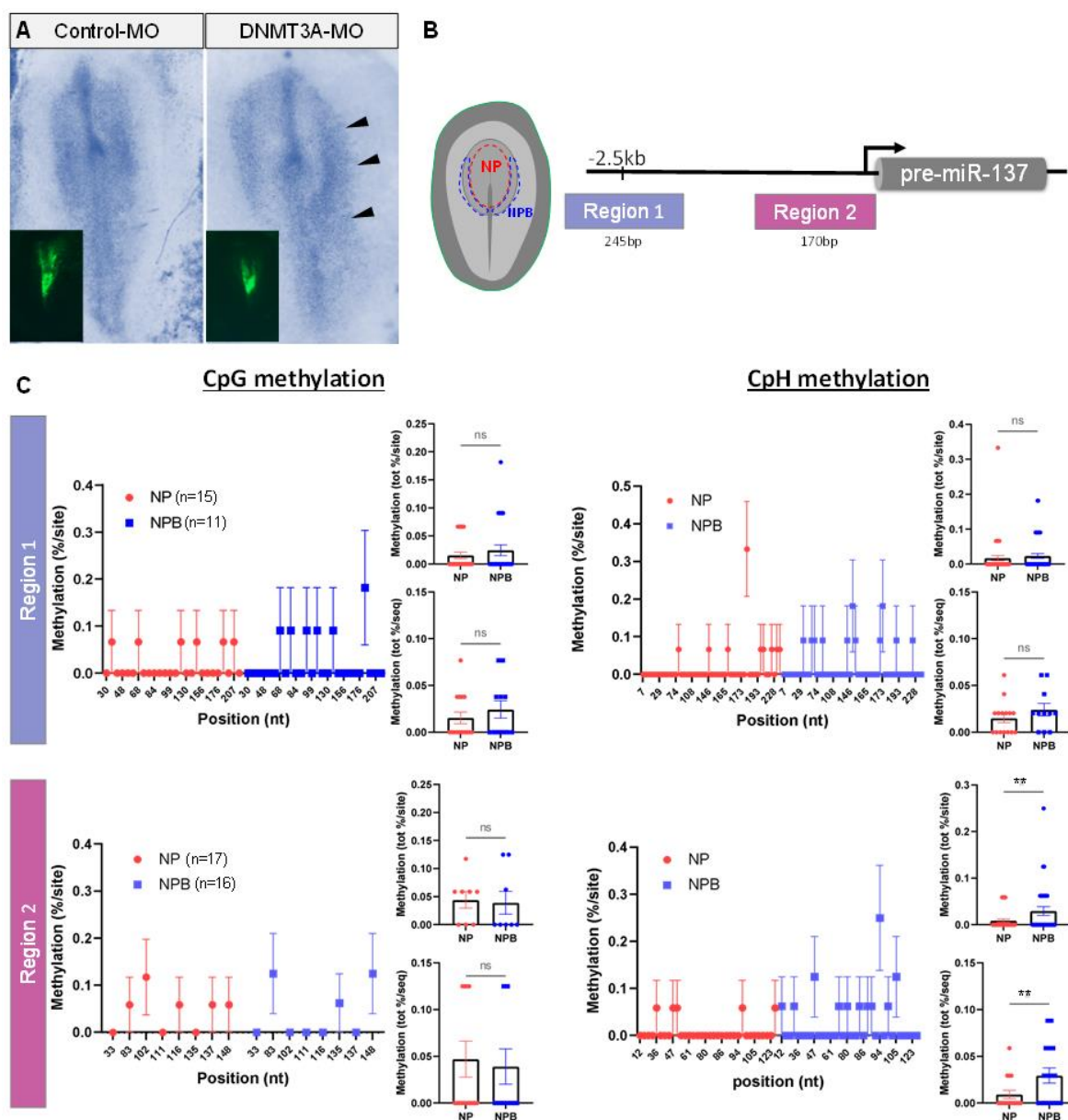
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243 **Figure 4: Loss of miR-137 increased TFAP2A+/PAX7+ co-expression in single cells. (A)**
 244 Transverse sections on HH7 embryos immunostained for PAX7 (green) and TFAP2A (magenta)
 245 showing the uninjected (UIS) and injected side (IS) with Inh-miR137 or Inh-NC5. M, medial; L,
 246 lateral. Dotted lines delimited the PAX7 and TFAP2A territories **(B)** Scatterplot quantitation of
 247 cells ratios (total # of counted cells at IS/UIS) for only PAX7+ (green), only TFAP2A+ (magenta),
 248 or both PAX7+/TFAP2A+ (blue) on Inh-NC5 (n=6) and Inh-miR137 (n=7) treated embryos.

249 Asterisks indicate significance ($P < 0.05$) calculated using a Student's *t*-test. Values are means
250 \pm SD.
251

252 **DNMT3A is required for miR-137 territorial restriction**

253 MiRNAs are often embedded in CpG islands and are susceptible to DNA methylation
254 as a major mechanism to repress their expression (Shukla et al., 2020; Wiklund et al.,
255 2010), and miR-137 is not an exception (Mahmoudi and Cairns, 2017). Earlier studies
256 conducted by our group have shown that the *de novo* DNA methyltransferase
257 DNMT3A plays a crucial role in neural versus neural crest specification. Particularly,
258 DNMT3A was found to be highly expressed in the NPB during gastrulation (Hu et al.,
259 2012), thereby making it an ideal candidate for repressing miR-137 expression in this
260 region. To examine this possibility, we transfected half embryos with a previously
261 characterized morpholino known to block the translation of DNMT3A (DNMT3A-MO).
262 Subsequently, we performed ISH to visualize the expression of miR-137. The results
263 show that depletion of DNMT3A resulted in an expanded miR-137 expression to the
264 NPB territory compared with the uninjected side or embryos treated with Control-MO
265 **(Fig. 5A)**. This result suggests that DNA methylation may be involved in the territorial
266 restriction of miR-137 expression.



267

268 **Figure 5: Loss of DNMT2A expands miR137 expression to the neural plate border where its**
 269 **locus is normally highly methylated. (A)** Morpholino-mediated loss of DNMT3A (DNMTA-
 270 MO) results in an expanded expression of *miR-137* into the neural plate border (black
 271 arrowheads) evidenced by whole-mount *in situ* hybridization on the injected side compared
 272 with the uninjected side of the same embryos and injected with Control-MO. **(B)** A tissue
 273 dissection scheme involving the neural plate (NP, red) and neural plate border (NPB, blue)
 274 was employed to investigate the methylation patterns of two regions (Region 1 and Region
 275 2) near the *miR-137* locus using bisulfite sequencing. **(C)** Percentage of methylation on CpG
 276 and CpH (A, T or G) sites located in Region 1 and Region 2 from neural plate (NP, red dots)
 277 and neural plate border (NPB, blue squares) samples. The percentages of individual
 278 methylated sites, total methylation per site, and total methylation per sequence are shown
 279 for CpG and CpH in each region. We found a significantly higher percentage of methylated
 280 CpHs in Region 2 of the NPB compared with NP samples. The numbers in brackets indicate
 281 the number of analyzed sequences. Asterisks indicate significance ($P < 0.05$) as calculated using
 282 a Student's *t*-test. Values are means \pm SEM. ns, non-significant differences.

283

284 **The miR-137 locus is differentially methylated among NP and NPB territories.**

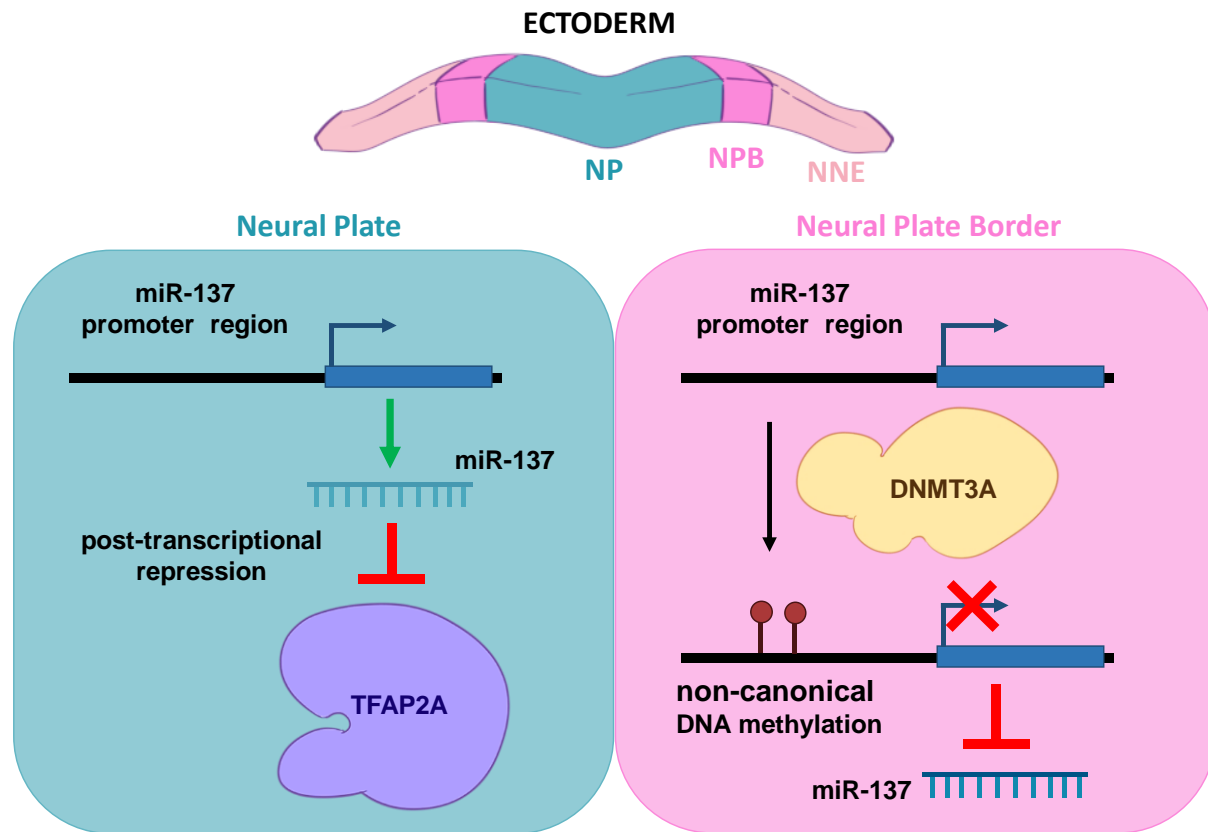
285 Considering the above results, we asked whether there was in fact a differential
286 methylation between NPB and NP territories in two regulatory regions of miR-137
287 locus (**Fig. 5B**). These regions were selected, one near the pre-miR-137 (Region 2),
288 and the other at the putative proximal promoter (Region 1) previously described to be
289 regulated by DNA methylation (Szulwach et al., 2011). We dissected the NP and NPB
290 from HH5+ embryos and analyzed them by using bisulfite conversion to visualize the
291 canonical (CpG) and non-canonical (CpH) methylations on those specific regions. Our
292 analysis of Region 1 revealed no significant differences in the percentage of CpG or
293 CpH methylations when examining the region as a whole (% of methylation/sequence)
294 or at individual sites (% of methylation/site) (**Fig. 5C**). Interestingly, when we analyzed
295 the Region 2 we observed a significant increase in the percentage of methylation per
296 sequence and sites in CpH, while there was no corresponding increase in CpG. Based
297 on our findings, it appears that the higher level of non-canonical methylation in the
298 NPB, as compared to the NP, could be responsible for the territorial restriction of miR-
299 137.

300

301 **DISCUSSION**

302 There is increasing evidence about the role of microRNAs during embryonic
303 development in reinforcing the transcriptional gene expression program at territorial
304 boundaries, acting as fine-tuning regulators, and generating more pronounced
305 boundaries in the expression of key transcription factors for proper territorial
306 delimitation (Hornstein and Shomron, 2006). The NPB cannot be defined solely by a
307 specific set of genes uniformly and/or exclusively expressed in all their cells. Instead,

308 its distinct multipotency arises from a combination of genes differentially expressed on
309 them. Therefore, we propose adding miRNAs as new participants in Roellig's binary
310 competition model (Roellig et al., 2017), wherein territory-specific transcription factors
311 exhibit mutual exclusivity. Thus, miRNAs may act as fine regulators, limiting
312 fluctuations in the number of transcripts, resulting in more precise territorial transitions
313 and conferring robustness to the NPB cell specification process. Particularly, our study
314 highlights the key role of a single microRNA, miR-137, in the territorial restriction of
315 the NPB master transcription factor, *Tfap2a*. Our results show that ectopic expression
316 of miR-137 in the NPB territory reduces both *Tfap2a* mRNA and protein; whereas loss
317 of miR-137 function in the neural territory generates its expansion over the medial
318 NPB, overlapping with PAX7 (see hypothetical model in **Fig. 6**). The transition from a
319 pluripotent to a specialized cell state involves successive stages with characteristic
320 transcriptional states. One of these states, known as “*primed*” state, is characterized
321 by the co-expression of transcription factors from different cell lineages. This is the
322 case described in cells comprising the NPB, where a subset of cells co-expressing
323 different TFs gives plasticity to these progenitors, able to respond to several signals
324 and contribute to different cell lineages that arise from this territory (Hu et al., 1997; Laslo
325 et al., 2006; Olsson et al., 2016). However, what segregated those *primed* progenitors to
326 cell lineage commitment and specification toward specific lineages? In this regard, our
327 results show that repression of miR-137 increases the number of precursors co-
328 expressing TFAP2A and PAX7, which could influence the proportion of progenitors in
329 *primed* states and, consequently, influence the cell populations that derive from them.
330 Although our analysis is limited by the use of only two markers, it reinforces the idea
331 that miR-137 limits TFAP2A expression in the medial NPB by decreasing its
332 transcriptional random fluctuations at the boundaries.



333

334 **Figure 6: Hypothetical model.** Our results show that, during NPB specification, miR-137 is
335 expressed in the NP and limits the expression of TFAP2A in this territory. On the other hand,
336 miR-137 expression is restricted by the action of DNMT3A in the NPB via non-canonical
337 methylation of its promoter region.

338

339 Epigenetic regulation by DNA methylation has been described for several miRNAs,
340 including miR-137 (Balaguer et al., 2010; Kozaki et al., 2008; Langevin et al., 2010; Sańchez-
341 Vańquez et al., 2019; Szulwach et al., 2011). Specifically, hypermethylation of miRNA
342 promoters, mostly embedded in CpG islands, leads to their silencing in different cell
343 types (Balaguer et al., 2010; Chen et al., 2011; Kozaki et al., 2008; Langevin et al., 2010).
344 Particularly, methylation in the regulatory regions of miR-137 has been reported to
345 repress its expression playing a key role in modulating adult mouse neurogenesis
346 (Szulwach et al., 2010). Here, we found that the miR-137 promoter region has a higher
347 level of non-canonical methylation (CpH) in the NPB territory compared with the NP
348 territory, and the lack of DNMT3A expanded the miR137 expression to the NPB

349 territory. Given that DNMT3A exhibits a specific preference for methylating
350 asymmetric CpH sites and is primarily expressed in the NPB during embryonic
351 development (Hu et al., 2012; Jang et al., 2017), we propose its involvement in the
352 transcriptional repression of miR-137 in this region through a non-canonical
353 methylation mechanism acting on its promoter. Nevertheless, despite the universal
354 occurrence of non-CpG methylation, its function, and underlying mechanisms remain
355 elusive and subject to controversy (Patil et al., 2014; Smith and Meissner, 2013). There
356 are different perspectives among researchers regarding non-CpG methylation. Some
357 believe it is a by-product resulting from the hyperactivity of non-specific *de novo*
358 methylation of CpG sites (Smith and Meissner, 2013; Ziller et al., 2011). Conversely,
359 others argue that non-CpG methylation is associated with gene expression and tissue
360 specificity (Barrès et al., 2009; Lister et al., 2011; Ma et al., 2014). Based on this, recent
361 studies have revealed that non-CpG methylation has been found to be enriched in
362 various cell types, including ES cells (Laurent et al., 2010; Lister et al., 2009), induced
363 pluripotent stem cells (iPS cells) (Lister et al., 2011; Ma et al., 2014), oocytes (Guo et al.,
364 2014; Tomizawa et al., 2011), neurons and glial cells (Lister et al., 2013), although it is rare
365 in most differentiated cell types. Considering this, in our study it appears that the NPB
366 exhibits higher levels of non-CpG methylation compared to the NP. This observation
367 may indicate that the NPB is maintained in a more *naïve* stage of differentiation, as
368 suggested by various authors in previous studies (Roellig et al., 2017; Williams et al.,
369 2022b). However, further studies are needed to unambiguously demonstrate this.

370 At the mechanistic level, miRNA function is intricately linked with various gene
371 regulatory processes, notably mRNA transcription, splicing, and stability, which
372 collectively enhance the system's robustness. A persistent inquiry within the field,
373 applicable not only to neural plate border development, revolves around the extent to

374 which miRNAs function as 'switches' compared to being 'fine-tuners' of gene
375 expression. Importantly, the modus operandi of miRNAs is dictated by spatiotemporal
376 context while exhibiting a preference for targeting genes with low expression levels,
377 leading to the most significant reduction in noise (Ebert and Sharp, 2012; Paulsson, 2004).
378 Moreover, the phenomenon of combinatorial miRNA regulation (Shukla et al., 2020)
379 further enhances overall noise reduction by providing strong repression to
380 endogenous genes while incurring minimal additional noise from miRNA pools.
381 Consequently, we postulate that miRNA regulation emerges as a potent mechanism
382 to reinforce cellular identity by mitigating undesirable gene expression fluctuations
383 within the neural plate border progenitors.

384

385 **MATERIALS AND METHODS**

386 **Embryos**

387 Fertilized White Leghorn chick eggs were purchased from “Escuela de Educación Secundaria
388 Agraria de Chascomús” and incubated at 38°C until embryos reached the desired stage.
389 Chicken embryos were collected and staged according to the criteria of Hamburger and
390 Hamilton (Hamburger and Hamilton, 1992). For in situ hybridization, embryos were fixed
391 overnight at 4°C in PBS-0.1% tween (PBS-Tw) (pH 7.4) containing 4% paraformaldehyde
392 (PFA), dehydrated, and stored in methanol. For immunocytochemistry, embryos were fixed for 15
393 min at room temperature in PBS-0.5% Triton (PBS-T) containing 4% PFA, and processed
394 immediately.

395

396 **Electroporation of morpholino, vectors, and inhibitors**

397 Embryos were electroporated at stage 3-4 as previously described (Sauka-Spengler and
398 Barembaum, 2008). We used a previously tested antisense morpholino DNMT3A-MO and
399 Control-MO both at 0.75mM (Hu et al., 2012). For the miR137 over-expression experiments,

400 2 µg/µl of pOmiR-137 or pOmiR vector were injected. For the loss of the miR-137 function, we
401 generated specific and scrambled “sponge” vectors (pSmiR-137 and pSmiR-scra) as
402 previously described (Kluiver et al., 2012). Alternatively, we used the commercial IDT inhibitors
403 (lhn-miR137 and lhn-NC5 as non-targeting control) diluted at 10µM in 10 mM Tris pH 8.0
404 (Lennox et al., 2013). Vectors, morpholinos, and IDT inhibitors were all co-injected with 1µg/µl
405 of carrier DNA. The injections were performed by air pressure using a glass micropipette to
406 target half-side embryos, leaving the opposite side as control. Electroporation was made with
407 five 50 ms pulses of 5.2 V, with intervals of 100 ms between each pulse. Embryos were
408 cultured in 0.75 mL of albumen in tissue culture dishes and incubated at 38°C until the desired
409 stages. All embryos were screened prior to further analysis; embryos with weak and/or patchy
410 electroporation or with strong morphological abnormalities were discarded. All the utilized
411 primers, oligos, morpholinos, and inhibitors are listed in **Table S2**.

412

413 **RNA preparation and Stem-loop-RT-qPCR**

414 RNA was prepared from individual chick embryos using the isolation kit RNAqueous-Micro
415 (Ambion) following the manufacturer’s instructions. The RNA was treated with amplification
416 grade DNaseI (Invitrogen) and then reverse transcribed to cDNA using a reverse transcription
417 kit (SuperScript II; Invitrogen) with Stem-Loop-miRNA primers (see Table S2) as previously
418 described (Chen et al., 2005). As normalization control we used miR-16, which was previously
419 validated by our group (Saánchez-Vázquez et al., 2019). QPCRs were performed using a 96-
420 well plate qPCR machine (Stratagen) with SYBR green with ROX (Roche).

421

422 ***In situ* hybridization (ISH)**

423 Whole-mount chick ISH for mRNAs and for microRNA was performed as described previously
424 (Acloque et al., 2008; Darnell et al., 2006). Antisense LNA probes for miR-137 (see Table S2)
425 used in the assay were obtained from Exiqon. Digoxigenin-labeled probes were synthesized
426 from linearized vectors containing full-length cDNAs of *Tfap2a*. Hybridized probes were

427 detected using an alkaline phosphatase-conjugated anti-digoxigenin antibody (Roche, 1:200)
428 in the presence of NBT/BCIP substrate (Roche). Embryos were photographed as a whole-
429 mount using a ZEISS SteREO Discovery V20 Stereomicroscope (Axiocam 512 color) and Carl
430 ZEISS ZEN2 (blue edition) software.

431

432 **Cryosectioning**

433 For histological analysis, embryos were incubated in 5% sucrose (in PBS) for 2h at room
434 temperature and subsequently transferred to 15% sucrose and incubated overnight at 4°C.
435 After that, embryos were transferred and incubated in 7.5% gelatin in 15% sucrose for 4 h at
436 37°C, then, they were frozen with liquid nitrogen and immediately stored at -80°C for
437 cryosectioning. Transverse sections of 10-15µm were generated at the Histotechnical Service
438 (INTECH. Lic. Gabriela Carina Lopez) and used for immunostaining.

439

440 **Immunohistochemistry**

441 Embryos or sections were washed in PBS with 0.5% Triton (PBS-T) and subsequently blocked
442 with 5% FBS in PBS-T for 3h at RT. Embryos or sections were incubated in primary antibody
443 solution at 4°C overnight. Primary antibody used: mouse monoclonal anti-TFAP2A IgG2b
444 (Developmental Studies Hybridoma Bank, 1:50) and anti-PAX7 IgG1 (Developmental Studies
445 Hybridoma Bank, 1:10). The secondary antibodies used were goat anti-mouse IgG2b 594 and
446 goat anti-mouse IgG1 647 (all from Molecular Probes, 1:500). After several washes in PBS-
447 T, embryos and sections were mounted and imaged by using Carl ZEISS Axio observer 7
448 inverted microscope (Axio observer Colibri 7, Axiocam 305 color, Axiocam 503 mono) and
449 Carl ZEISS ZEN2 (blue edition) software.

450

451 **Quantification**

452 Fiji software (Schindelin et al., 2012) was used to measure the intensity of protein expression
453 on Zeiss .czi files. As described by Roellig *et al* (Roellig et al., 2017). Using the segmented
454 line tool, a line of about 215 microns in width and 4 mm long was drawn across whole embryos

455 from the uninjected side (UIS) to the injected side (IS). The intensity was measured as grey
456 values. Background and a reference area were measured by placing a fixed-sized oval (1087
457 square pixels) (reference areas: for PAX7 highest intensity area in neural plate border/neural
458 fold, and TFAP2A epidermis on lateral side). The background was subtracted from marker
459 expression intensity and the intensity of the reference area. Negative numbers were changed
460 to zero. The PAX7 intensity curve was used as a reference to align the curves obtained from
461 each embryo.

462 For cell number quantitation, Fiji software was used to analyze the cross-section images.
463 Using the segmented line tool, a line of about 215 microns width was drawn, and the ectoderm
464 was used to define the area of interest (from the medial to the lateral side of the ectoderm).
465 For each channel (DAPI, PAX7 y TFAP2A): the tissue background was removed by placing a
466 fixed-sized oval (421 square pixels). Images were linearized using the Straighten tool. Rolling
467 ball tool (Radius=50 pixel) was used to subtract possible residual, and Median (Radius=2.0
468 pixel) and Gaussean Blur (Sigma radius=2.0 pixel) filters were used to improve the tonality of
469 the image. Through Find Max (Prominence=10) a segmented particle mask was obtained with
470 each peak representing a cell. A second mask, the Threshold tool (Huang), was used to obtain
471 the total area where each marker is located (small particles were eliminated by Analyze
472 Particles). The total number of cells expressing one marker was obtained by multiplying the
473 DAPI segmentation particles mask and the area mask of each marker, as well as marker
474 overlap in the case of cells co-expressing PAX7+ and TFAP2A+. Data were transferred to
475 Microsoft Excel, values of each embryo (4 sections/embryo, n = 7) were averaged, then the
476 ratio between injected side/uninjected side was obtained for each set of cells (PAX7, TFAP2A,
477 and overlapping), and the significance was calculated using Student's *t*-test using Prism 9
478 GraphPad.

479

480 **Bisulfite sequencing**

481 Samples were obtained by micro-dissecting embryos at HH5+ to isolate the neural plate (NP)
482 and the neural plate border (NPB). All the tissues were lysed and bisulfite converted with the

483 EpiTect Plus Bisulfite Conversion Kit (Qiagen) following the manufacturer's instructions. The
484 regulatory regions of miR-137 were amplified by using sets of primers (see Table S2) from the
485 bisulfite-converted DNA. The obtained products were gel purified and cloned into the pGEM-
486 T Easy Vector (Promega). Individual clones were sequenced and analyzed.

487

488 **STATEMENTS AND DECLARATIONS**

489 **Acknowledgments:** We thank all the members of LBD for their contributions and helpful discussions
490 during the course of our study.

491 **Funding:** This work was supported by the Agencia Nacional de Promoción Científica y Tecnológica
492 (PICT 2018-1879 to P.H.S.-M.).

493 **Authors' Contribution:** LAS, NV, and PHS-M designed and performed the experiments, analyzed the
494 results, and wrote the paper.

495 **Competing Interests:** The authors declare no competing interests

496

497

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