2	Discoveries
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4	Accelerated evolution analysis uncovers <i>PKNOX2</i> as a key transcription factor in the
5	mammalian cochlea
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17 18	Abstract
19	The genetic bases underlying the evolution of morphological and functional innovations of the mammalian inner ear
20	are poorly understood. Gene regulatory regions are thought to play an important role in the evolution of form and
21	function. To uncover crucial hearing genes whose regulatory machinery evolved specifically in mammalian lineages,
22	we mapped accelerated noncoding elements (ANCEs) in inner ear transcription factor (TF) genes and found that
23	PKNOX2 harbors the largest number of ANCEs within its transcriptional unit. Using reporter gene expression assays
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in transgenic zebrafish we determined that four PKNOX2-ANCEs drive differential expression patterns when 1 compared with ortholog sequences from close outgroup species. Because the functional role of PKNOX2 in cochlear 2 hair cells has not been previously investigated, we decided to study Pknox2 null mice generated by CRISPR/Cas9 3 technology. We found that Pknox2<sup>-/-</sup> mice exhibit reduced distortion product otoacoustic emissions (DPOAEs) and 4 5 auditory brainstem response (ABR) thresholds at high frequencies together with an increase in peak 1 amplitude, consistent with a higher number of IHCs-auditory nerve synapsis observed at the cochlear basal region. A comparative 6 cochlear transcriptomic analysis of  $Pknox2^{-/-}$  and  $Pknox2^{+/+}$  mice revealed that key auditory genes are under Pknox27 control. Hence, we report that PKNOX2 plays a critical role in cochlear sensitivity at higher frequencies and that its 8 9 transcriptional regulation underwent lineage-specific evolution in mammals. Our results provide novel insights about the contribution of *PKNOX2* to normal auditory function and to the evolution of high-frequency hearing in mammals. 10

### 1 Introduction

2 Mammals are characterized by a highly developed auditory system that includes the transformation of jaw joints into middle ear 3 ossicles and the evolution of the cochlea (Manley 2000; Manley 2012; Fritzsch et al. 2013). The mammalian cochlea has two types 4 of mechanosensory hair cells that have different and critical functions in hearing. Inner hair cells (IHCs) display an elaborate 5 presynaptic apparatus, receive predominantly type I afferent innervation, signal to cochlear neurons communicating sound 6 information to the brain and are considered as the true phonoreceptors. Outer hair cells (OHCs) are biological motors innervated 7 predominantly by efferent fibers that amplify the sound through a mechanism known as somatic electromotility (Brownell 1990; J. 8 Zheng et al. 2000; Liberman et al. 2002; Dallos et al. 2008). OHCs and their associated type II spiral ganglion neurons are a mammalian innovation as they are absent in the amniote and avian basilar papilla (Manley 2010; Zhang and Coate 2017). 9 10 Although the organ of Corti, composed of IHCs and OHCs emerged before the split of monotremes and therian mammals (including marsupials and placentals), only the latter have fully developed high-frequency sensitivity. In fact, monotremes 11 including platypus and echidnas show high-frequency limits around 15 kHz (Gates et al. 1974; Mills and Shepherd 2001) that are 12 13 similar to those found in other amniotes lineages such as birds and lizards (Manley 2012). High-frequency hearing depends on the function of OHCs and its sound amplification mechanism named somatic electromotility mediated by the molecular motor prestin. 14 15 This mechanism of sound amplification developed to an extreme in several therian lineages such as echolocators, which are capable of perceiving ultrasonic signals (Madsen et al. 2004; Li et al. 2008; Churchill et al. 2016). 16

17 Although a recent study has found that coding sequences from genes involved in hearing underwent positive evolution in particular mammalian lineages (Wang et al. 2020), the genetic bases underlying the evolution of high-frequency hearing in mammals remain 18 largely unknown. In this work, we aimed to identify candidate genes differentially expressed in OHCs that could underlie the 19 20 emergence of high-frequency hearing in mammals using signatures of accelerated evolution in noncoding regions, since it has been proposed that molecular evolution of non-coding regulatory regions dictate lineage-specific functional novelties (King and Wilson 21 1975; Prud'homme et al. 2007; Carroll 2008). In particular, we have focused on genes encoding transcription factors (TF) because 22 23 lineage-specific evolution of TFs has contributed to the origin of morphological and functional innovations (Lynch and Wagner 24 2008; Kaessmann 2010; Nowick and Stubbs 2010; Wagner and Lynch 2010; Cheatle Jarvela and Hinman 2015). In this study, we 25 sought to identify mammalian genes carrying lineage-specific accelerated noncoding elements (ANCEs) within their 26 transcriptional units. ANCEs are conserved noncoding regions that accumulate nucleotide changes at a faster rate than neutral in a 27 lineage-specific manner and are, therefore, a useful tool to identify putative regulatory regions underlying lineage-specific 28 evolutionary changes. To this end, we used publicly available databases of bat accelerated regions (BARs) (Eckalbar et al. 2016), 29 human accelerated regions (HARs) (Capra et al. 2013) and therian-specific accelerated regions (TSARs) (Holloway et al. 2016), ) 30 and found that PKNOX2/Pknox2 (PBX/Knotted 1 Homeobox 2) accumulated the greatest number of ANCEs particularly within its

introns. To investigate the possibility that *PKNOX2*-ANCEs have contributed to mammalian inner ear evolution, here, we analyzed
 whether they drive reporter gene expression in transgenic zebrafish assays to novel territories in comparison to ortholog
 sequences from close outgroup species.

*PKNOX2* encodes a transcription factor that is highly expressed in the inner ear, as reported by several transcriptomic studies (Liu et al. 2014; Scheffer et al. 2015; Li et al. 2016; Liu et al. 2018; Yamashita et al. 2018; Ranum et al. 2019), although its functional role in the auditory system remains unknown. To gain insight into *Pknox2* function in the mouse inner ear, we generated *Pknox2* deficient mice by CRISPR/Cas9 technology and found that *PKNOX2* plays a critical role in the regulation of cochlear sensitivity at higher frequencies in mammals.

9

### 10 Results

# 11 Seeking for mammalian-specific accelerated evolution signatures in inner ear genes

To gain insight into the molecular evolution underlying the unique features of mammalian hearing we sought to identify non 12 coding accelerated elements present in the transcriptional units of TF genes expressed in IHCs and OHCs. To this end, we 13 14 performed an intersection between a recently generated database of 1,643 TF mouse genes expressed in IHCs and/or OHCs (Li et al. 2016) and three publicly available databases of mammalian accelerated elements obtained by comparing distinct mammalian 15 16 lineages carrying 2,148 Bat Accelerated Regions (BARs; Eckalbar et al., 2016); 4,797 Therian-Specific Accelerated Regions 17 (TSARs; Holloway et al., 2016); and 2,745 Human Accelerated Regions (HARs; Capra et al., 2013). By crossing these databases we found 340 TF hair cells-expressed genes harboring mammalian ANCEs in their transcriptional units (Supp. Table 1), 18 of 18 19 which are OHC-defining cluster genes as indicated by Ranum et al., 2019 (Supp. Table 2). Four of these 18 OHC TF genes (Six4, 20 Stat3, Rbfox2 and Pknox2) stood out from the rest by displaying the largest number of ANCEs accumulated in their transcriptional 21 units (Supp. Table 2). The functional roles of Six4 and Stat3 in the development of the inner ear have been already established 22 (Ozaki et al. 2001; Ozaki et al. 2004; Chen et al. 2017) whereas the RNA binding protein RBFOX2 has been primarily involved in 23 the regulation of cell-specific alternative splicing (Gehman et al. 2012; Zhou et al., 2021-NAR). In turn, the developmental and 24 physiological roles of *PKNOX2* in the inner ear remains unexplored to date. Interestingly, human *PKNOX2* accumulates 7 ANCEs 25 in its transcriptional unit (chr11:125,164,751-125,433,389 (hg38); 3 BARs, 1 HAR and 3 TSARs; Fig. 1A).

# 26 Analysis of PKNOX2-ANCEs as putative transcriptional enhancers in transgenic zebrafish assays

27 To investigate whether the uncovered mammalian PKNOX2-ANCEs act as transcriptional enhancers in the auditory system we
28 evaluated their ability to drive reporter gene expression in transgenic zebrafish, a validated strategy previously used to characterize
29 several mammalian enhancers, even in the absence of conserved fish orthologs (Fisher, Grice, Vinton, Bessling, and McCallion

1 2006; Bessa et al. 2009; Domené et al. 2013; Kamm, López-Leal, et al. 2013; Liu et al. 2017; Caporale et al. 2019; Trigila et al. 2 2021). We first examined whether endogenous *pknox2* is expressed in the zebrafish auditory system. To this end we performed *in* 3 situ hybridization studies along several stages of zebrafish development (Fig. 2 and Supp. Fig. 1). We found that pknox2 is 4 expressed in the developing otic capsules at 24 and 48 hpf (Supp. Fig.1). At 72 hpf pknox2 expression was apparent in the otic 5 capsule and in neuromasts of the lateral line, where it remained highly expressed up to 7 dpf, the last stage analyzed (Fig. 2 and 6 Supp. Fig. 1). The otic capsule gives rise to the fish inner ear whereas the lateral line is a sensory system that allows fishes to 7 detect weak water motions and pressure gradients (Whitfield 2002). The lateral line is composed of several hair cells-containing 8 sensory units called neuromasts accommodated alongside the body and head and interconnected among them. Because the 9 morphology and function of lateral line hair cells is very similar to those of the inner ear, many studies use the fish lateral line to 10 better understand hair cells' physiology (Whitfield 2002). Moreover, numerous genes required for hair-cell function in the 11 zebrafish have been recently associated with auditory defects in mice and humans, revealing their molecular and functional conservation (Nicolson 2017), and prompting the zebrafish as a valuable genetic model for the study of hearing and balance 12 13 (Sheets et al. 2021). In addition to the auditory system, *pknox2* expression has been found in the developing brain and eyes at 24, 48 and 72 hpf (Supp. Fig. 1), and in the branchial arches starting at 48 hpf and up to 7 dpf. 14

We then sought to evaluate whether the mammalian PKNOX2-ANCEs are capable of driving eGFP expression in transgenic 15 16 zebrafish (Fig. 1, B-I and K-S). In addition to the seven ANCEs found within PKNOX2 introns, we also decided to study a BAR 17 located in the proximal 5' flanking region of PKNOX2, making a total of eight PKNOX2-ANCEs (4 BARs, 1 HAR and 3 TSARs) that were tested in comparison with ortholog sequences taken from a near outgroup species (Fig. 1A). Each of these 16 sequences 18 19 was subcloned upstream of a mouse *c-Fos* minimal promoter fused to the green fluorescent protein (eGFP) reporter gene and, together, flanked by Tol2 elements to maximize genomic integration, as we previously reported (Kamm, Pisciottano, et al. 2013; 20 21 Caporale et al. 2019; Trigila et al. 2021). The 16 transgenes were individually microinjected into one-cell stage zebrafish embryos 22 along with the Tol2 transposase mRNA, and eGFP activity was monitored 24, 48 and 72 hours post-fertilization (hpf) (Fig. 1, B-I 23 and K-S).

BAR1156 from *Myotis lucifugus* (little brown bat) and its *Mus musculus* (mouse) ortholog drove similar expression patterns at 24 hpf (Fig. 1, B,C; Supp. Fig 2) in the eye, forebrain, hindbrain, somites, spinal cord and otic capsules. At 48 hpf, transgenic expression of both constructs remained in the nervous system, while expression strength at the somites diminished and expression in the heart became apparent. At this stage, bat and mouse ortholog sequences continued to drive expression to the developing otic capsule in all transgenic lines tested (3 lines for each transgene) and in the neuromasts of the lateral line (2 out of 3 and 1 out of 3 1 transgenic lines generated with mouse and bat BAR1156, respectively). At 72 hpf, eGFP expression in the neuromasts disappeared

2 in most lines whereas it remained active in the eye, forebrain, midbrain, and the heart with only slight expression in somitic muscle

3 (Supp. Fig. 2).

4 The mouse BAR1160 sequence drove strong eGFP expression to the otic capsule and various regions of the developing zebrafish 5 nervous system including the eye, forebrain, midbrain, hindbrain and spinal cord at 24, 48 and 72 hpf (Fig. 1, H, I; Supp. Fig. 3), 6 and also in somitic muscle in all 5 transgenic lines analyzed. In contrast, its bat BAR1160 ortholog sequence failed to drive 7 reporter gene expression in all 3 transgenic lines analyzed, suggesting a lineage-specific loss of function.

8 The other two *PKNOX2*-BARs (BAR1157 and BAR1158), either from bat or mouse sequences, failed to drive reporter gene 9 expression in all transgenic zebrafish lines generated at all developmental stages analyzed (Fig. 1, D-G, Q, R; Supp. Figs. 4 and 5), 10 suggesting that these sequences do not act as transcriptional enhancers, at least in the zebrafish model.

The mouse 2xHAR.32 sequence elicited strong eGFP expression in the developing nervous system, eye, inner ear, pharyngeal arches and pronephric structures (Fig. 1, M; Supp. Fig. 6) in all transgenic lines generated. However, its human 2xHAR.32 ortholog failed to drive reporter gene expression at any of the stages analyzed in the 3 transgenic lines generated (Fig. 1, M, N; Supp. Fig. 6) suggesting lineage-specific loss of enhancer function.

Analyses of the three TSARs were performed comparing the expression patterns elicited by the *M. musculus* sequence, as a therian representative, and their *Gallus gallus* (chicken) orthologs, as a non-mammalian outgroup. Mouse TSAR.3236 did not drive reporter gene expression at any of the developmental stages analyzed in the 3 transgenic lines generated, while its ancestral chicken ortholog directed eGFP expression to the developing nervous system, eye, pharyngeal arches and otic vesicle at 24 hpf (Fig. 1, K, L; Supp. Fig. 7) and continuing at 48 and 72 hpf, with additional expression in the fin and heart at 72 hpf (Fig. 1, L; Supp. Fig. 7) in the 3 transgenic lines generated, suggesting mammalian-specific loss of enhancer function.

Mouse and chicken TSAR.0878 showed high reporter gene expression at 24 hpf in the developing nervous system and the otic capsule (Fig.1, O, P; Supp. Fig. 8). At 48 hpf, eGFP expression was also observed in the developing nervous system, the eyes and the heart of all transgenic lines generated with each transgene. At this latter stage, we observed strong expression in the developing otic capsule in the six transgenic lines carrying the chicken TSAR.0878 sequence that contrasted with a much less intense expression in the 3 transgenic lines carrying the mouse sequence (Supp. Fig. 8). At 72 hpf, eGFP expression was observed in the eyes, nervous system, heart and craniofacial structures in all chicken and mouse TSAR.0878 transgenic lines. Noticeably, in four out of six of the chicken TSAR.0878 transgenic zebrafish lines we observed eGFP expression in the neuromasts of the lateral line (Fig. 1, P; Supp. Fig. 8) in quite contrast with the lack of eGFP expression in neuromasts from any of the four mouse TSAR.0878
transgenic zebrafish lines, suggesting that this expression territory was lost in therian mammals (Supp. Fig. 8). We also found
strong eGFP expression in the auditory system of all transgenic lines carrying either mouse or chicken TSAR.0878 (Supp. Fig. 8).
Further analysis of a chicken TSAR.0878 transgenic line performed at 7 dpf showed strong eGFP expression in the hair cells of the
neuromasts of the head and the trunk (Fig. 2, B to M). This expression pattern coincides with that shown by the endogenous *pknox2* at this stage as evidenced in our *in situ* hybridization study (Fig. 2, N-P). Lastly, mouse and chicken TSAR.2216 drove no
eGFP expression in all transgenic zebrafish lines generated (Fig. 1, Q, R).

8 Taking together, we have identified five *PKNOX2*-ANCEs that act as active enhancers in transgenic zebrafish and likely regulate
9 *PKNOX2* expression during embryonic development in vertebrates. We have also found that four *PKNOX2*-ANCEs (TSAR.0878,
10 BAR1160, TSAR.3236 and 2xHAR.32) display changes in expression patterns (including gain or loss of function) possibly as a
11 consequence of the evolutionary process they underwent in the different mammalian lineages.

12

# 13 DNA methylation analysis of *PKNOX2*-ANCEs

To gain more insight into the regulation of PKNOX2 expression in the inner ear, we searched for epigenetic signals indicative of 14 15 regulatory activity in the developing mouse inner ear by using inner ear methylome data obtained at three developmental mouse 16 stages (E16.5, postnatal day (P) 0 and P22) with Whole Genome Bisulfite Sequencing (WGBS) (Yizhar-Barnea et al. 2018) (Supp. 17 Table 3). Tissue-specific differential methylation states of genomic regions at single-base resolution allow prediction of regulatory function (Stadler et al. 2011). In fact, active gene promoters have been associated with unmethylated regions (UMRs), defined as 18 19 regions with average methylation rates lower than 10%. In turn, intergenic or intronic low-methylated regions (LMRs) displaying 20 average methylation rates between 10% and 50% are commonly observed in transcriptional enhancers (Stadler et al. 2011). We 21 found that BAR1156 was included in a LMRs at E16.5, BAR1157 displayed UMRs at all three stages (E16.5, P0, P22), BAR1158 22 had UMRs and LMRs, TSAR3236 presented LMRs at E16.5 and P0, 2xHAR.32 was contained in LMRs at the three 23 developmental stages (E16.5, P0, P22), TSAR.2216 displayed LMRs at P0 (Supp. Table 3). This data complements our enhancer 24 assay findings about the regulatory function of PKNOX2-ACNE sequences.

# 25 Transcription factor binding sites embedded in putative enhancers of *Pknox2*

A group of TFs expressed in the inner ear including ATOH1, SOX2, GFI1, SIX1 and POU4F3 are known to bind to enhancers of genes that play important roles in the development of the sensory epithelium of the organ of Corti (Xiang et al. 1997; Bermingham et al. 1999; Wallis et al. 2003; Zheng et al. 2003; Kiernan et al. 2005; Matern et al. 2020). We searched for canonical binding sites

29 of these TFs, as defined by JASPAR2022 (mm10), within PKNOX2-ANCEs and other non-accelerated conserved noncoding

sequences present in the mouse *Pknox2* locus. We found that all *PKNOX2*-ANCEs contain canonical binding sites for SOX2, all *PKNOX2*-ANCEs except TSAR.3236 contain binding sites for ATOH1, and all *PKNOX2*-ANCEs but 2xHAR.32 contain GFI1 binding sites (Supp. Table 3). In addition, BAR1156, TSAR.0878 and TSAR.3236 contain binding sites for SIX1 (Supp.Table 3) and no *PKNOX2*-ANCEs contain binding sites for POU4F3 (Supp. Table. 3). We also found multiple binding sites for these TFs in predicted CRE regulatory sites (ENCODE) and conserved noncoding sequences (Supp. Table 3) that could participate in the regulation of *Pknox2* expression in the inner ear.

7 We then performed a comparative analysis of TF binding sites present in PKNOX2-ANCEs and their close outgroup orthologs to 8 detect possible gains or losses in the accelerated elements. We found that TSAR.0878 from mice, which showed no expression in 9 the zebrafish neuromasts, lacks one SIX1 and three GFI1 binding sites compared to the chicken (galGal5) sequence (Supp. Table 10 3). In addition, TSAR.3236 from mice, while showing no eGFP expression in transgenic assays, gained one SOX2, three GFI1 and 11 two SIX1 binding sites compared to the galGal5 chicken sequence. The human 2xHAR.32, which is inactive as an enhancer in 12 transgenic zebrafish at all developmental stages analyzed, lost three ATOH1 and one SOX2 sites compared to its mouse ortholog (Supp. Table 3). The bat BAR1160 lost one GFI1 site and gained two ATHO1 and one SOX2 sites. Although gains and losses of 13 14 TF binding sites in PKNOX2-ANCEs may underlie spatio-temporal and/or quantitative changes in gene expression, their 15 functional consequences will need to be experimentally tested in further studies.

### 16 *PKNOX2* emergence in vertebrates and functional diversification

*PKNOX2* and its paralog *PKNOX1* (PBX/Knotted 1 Homeobox 1; Fig. 3, A and B) are members of the TALE family of atypical
homeodomain-containing TFs that also include PBX and MEIS. TALE TFs can heterodimerize with typical HOX TF adding
DNA binding specificity and affinity to canonical binding sites within regulatory sequences of target genes (Merabet and Mann
2016).

To further understand *PKNOX2* evolutionary history in vertebrates and particularly in mammals, we performed a comparative analysis of gene paralogs. Using available vertebrate and chordate *PKNOX* sequences we built a phylogenetic tree depicting a duplication event that generated *PKNOX1* and 2 occurred at the origin of vertebrates, since just one ancestral *PKNOX* gene is found in chordates (Fig. 3, C). These data suggest that *PKNOX1* and 2 emerged at the time of the whole genome duplication event (WGD) at the origin of vertebrates (Fig. 3, C).

Based on their different spatial patterns of expression (Imoto et al. 2001) and distinct ability to form heterodimers with other TFs
of the TALE family (Fognani et al. 2002), it has been suggested that *Pknox1* and *Pknox2* have functionally diversified along
evolution. To test this hypothesis, we compared coding and noncoding evolutionary rates of the two paralogs and other members
of the TALE family (Suppl. Material 1). We found no evidence for positive selection in PKNOX1 and PKNOX2 coding

sequences, following a general trend in the TALE family to evolve under strong purifying selection (Supp. Material 1). In fact, 1 2 human PKNOX2 (ENSP00000298282) and PKNOX1 (ENSP00000291547) are 62% identical (Supp. Table 4), indicating that 3 changes in protein sequence may not have been a major driver of functional divergence between the two paralogs. We then 4 evaluated the distribution of conserved sequences (phastCons) in the genomic *loci* of all members of the TALE family (Fig. 3, D 5 and E) and found that PKNOX2 harbors one of the greatest number of phastCons in its noncoding sequence that actually doubles 6 the number of phastCons found in noncoding PKNOX1 (Fig. 3, E). In addition, other members of the TALE family such us MEIS1, 7 MEIS2, PBX1 and PBX3 accumulate multiple phastCons in their noncoding regions, suggesting that their expression patterns could 8 be also determined by multiple cis-regulatory elements (Fig. 3, D and E), as it has been found for many other developmental 9 genes. Altogether, these results suggest that numerous noncoding elements in PKNOX2 could have contributed to shape a 10 functional diversification process relative to PKNOX1.

Despite the fact that *PKNOX1* and 2 share a common evolutionary origin and that both proteins display a relatively high sequence identity, the number of CNEs in the transcriptional unit of *PKNOX2* is much higher than in *PKNOX1*. Thus, we hypothesize that after duplication, the most likely outcome in the functional divergence of both paralos is a neofunctionalization process of *PKNOX2* that might have occurred by gaining novel regulatory non-coding elements along several time points of the vertebrate tree (Fig. 3, F). Alternatively, the ancestral gene already had multiple regulatory regions but *PKNOX1* could have lost some of them after duplication, restricting its expression territories. Further molecular evolution of PKNOX2 enhancers in mammals could have contributed to fine tuning and other functional features in the inner ear of these phylogenetic groups.

18

### 19 *Pknox2* is highly expressed in hair cells of the mammalian inner ear

20 In order to analyze the expression patterns of *Pknox1* and 2 throughout mouse development, we explored anRNA-seq CAGE (Cap 21 Analysis of Gene Expression) database performed by the RIKEN FANTOM5 project after studying several mouse cell types. We 22 detected that *Pknox1* has a basal expression in all cell types and higher expression restricted to T-cells (Supp. Fig. 9, A), as 23 previously described (Chen et al. 1997; Ferretti et al. 1999; Ferretti et al. 2006), and further supported by deviation in haematologic parameters involving B- and T-cell number found in  $Pknox1^{-/-}$  mice (Dickinson et al. 2016). Moreover, Pknox1 has 24 25 been shown to play an essential role in hematopoiesis (Di Rosa et al. 2007) and Pknox1 hypomorphic mutants die of anemia and 26 angiogenic anomalies (Ferretti et al. 2006). Pknox2 expression, in turn, is mainly restricted to cardiac muscle cells, various 27 neuronal cell types and inner ear cells (Fig. 4, A-D; Supp. Fig. 9, A). According to the Gene Expression Database (GXD; 28 http://www.informatics.jax.org/expression.shtml) PKNOX2 is expressed in several tissues including skeletal muscle, 29 cardiovascular, nervous, digestive and reproductive systems during development. It is also reported to be expressed in branchial

1 arches, craniofacial structures and the auditory system (Supp. Fig.9, A, C). By reanalyzing data from previous transcriptomics 2 studies in the auditory system we found that *Pknox2* is mostly expressed in hair cells with higher expression levels in OHCs than 3 in IHCs, contrasting with the low expression level of *Pknox1* (Fig. 4, A, B; Supp. Fig. 9, B; (Liu et al. 2014; Liu et al. 2018)). 4 Pknox2 transcripts are mainly detected in cochlear (hair-cell enriched) Atoh1-GFP+ cells starting at postnatal day 4 (P4), while 5 Pknox1 levels remained low (Fig. 4, A-C; Supp. Fig 9, B; (Scheffer et al. 2015)). These later stages correspond to the maturation 6 of mechanosensitivity in inner ear hair cells. Another comparative study between the expression of TFs in mouse OHCs and IHCs 7 reported *Pknox2* as one of the top OHCs differentially expressed transcripts (Li et al. 2016). Similarly, a single-cell RNA-Seq 8 study performed with OHCs showed that *Pknox2* was one of the top three featured genes in this cell type, a result that was further 9 confirmed by bulk RNA-Seq and single cell qPCR (Yamashita et al. 2018). Single cell RNA-Seq from manually isolated OHCs, 10 IHCs and Deiters' cells also confirmed that Pknox2 is mainly expressed in OHCs at P15 (Ranum et al. 2019) and is a cluster defining gene at this stage. In addition, transcriptomic studies show that *Pknox2* is also expressed in neurons of the spiral ganglion 11 12 at P25-27 (Shrestha et al. 2018). To explore in more detail the role of *Pknox2* in the mouse inner ear, we characterized the protein localization at P8 by immunofluorescence in the organ of Corti (Fig. 4, D) and found that PKNOX2 is mainly restricted to the 13 nuclei of both OHCs and IHCs (Fig. 4, D). In contrast, we did not detect PKNOX1 in the nuclei of OHCs or IHCs at P8 (Supp. 14 15 Fig 9, B).

# 16 *Pknox2* ablation affects hearing in mutant mice

Given the high expression level of *Pknox2* in OHCs and IHCs, we sought to investigate its functional role in the mouse auditory 17 18 system. To this end we generated a novel null allele mutant mouse strain by using CRISPR/Cas9 technology. A single guide RNA 19 targeted to Pknox2 coding exon 1 (Fig. 4, E) led to a 20-bp deletion predicting a truncated protein (Fig. 4, F). Homozygous 20 Pknox2<sup>-/-</sup> mice showed undetectable levels of PKNOX2 in the brain, in quite contrast to their wild-type siblings that showed robust 21 expression in this tissue (Fig. 4, F, G; Supp. Fig. 9, D). We then compared auditory function in adult  $Pknox2^{+/+}$  and  $Pknox2^{+/-}$ 22 littermates. To assess the integrity of OHC function in vivo we performed a distortion product otoacoustic emissions (DPOAEs) 23 assay by using a microphone in the external auditory canal (Shera and Guinan 1999) and found a slight decrease in DPOAEs 24 thresholds in P60 Pknox2<sup>-/-</sup> mice compared to Pknox2<sup>+/+</sup> controls that was statistically significant only at 45.25 kHz (Mann-25 Whitney test: df = 1, p = 0.002898) (Fig. 5, B). Hearing sensitivity was evaluated by recording auditory brainstem responses 26 (ABR) which are sound evoked potentials generated by neuronal circuits in the ascending auditory pathway. We observed 27 significant reductions in ABR thresholds in *Pknox2*<sup>-/-</sup> mice at 22.65 kHz (Mann-Whitney test: df = 1, p = 0.02087), 32 kHz (Mann-28 Whitney test: df = 1, p = 0.032) and 45.25 kHz (Mann-Whitney test: df = 1, p = 0.01931) (Fig. 5, A). Interestingly, evoked

1 potential amplitudes in the ABR wave I were higher in Pknox2-/- mice than in their wild-type siblings at 80 dB SPL (Mann-2 Whitney, p = 0.044, df=1 at 22.65 kHz, p = 0.001224, df=1 at 32 kHz, and p= 0.002111, df=1. at 45.25 kHz) (Fig. 5, C). This 3 first peak refers to the first synapse between the IHC and type I afferent terminals of the auditory pathway. To establish if the 4 hearing phenotype in these mice is based on sensory-neural hearing gain from synaptic communication or altered function of the 5 spiral ganglion neurons, we performed a histological analysis. Whole-mount organs of Corti were immunostained with antibodies 6 against CtBP2-Ribeye, a critical protein present at the presynaptic ribbon (Khimich et al. 2005), and GluA2 AMPA-type glutamate receptors, which are expressed at the postsynaptic afferent terminal (Matsubara et al. 1996; Liberman et al. 2002; 7 8 Maison et al. 2013) (Fig. 5, D). IHC-afferent synapses were identified by colocalization of CtBP2 and GluA2 puncta at the base of 9 the IHC (Liberman et al. 2011). We counted puncta at three different cochlear locations: apical, medial, and basal. The number of 10 prelocalized, postlocalized, or colocalized synaptic markers per IHC was counted in each imaged cochlear section (3-5 animals/genotype) to calculate the synaptic density per IHC in  $Pknox2^{-/-}$  and  $Pknox2^{+/+}$  mice (Fig. 5, D). The number of 11 12 presynaptic ribbon, postsynaptic afferent terminal and synaptic counts were similar in the apical and medial cochlear regions of 13 both genotypes. However, a significant increase of ~ 28% was detected in the basal (high-frequency) cochlear region of  $Pknox2^{-/-}$ mice (Mann-Whitney, p<0.05, for the three measurements) (Fig. 5, E-G). In addition, we found no differences in the number of 14 CtBP2 puncta (Supp. Fig. 9, E) or the overall morphology of the organ of Corti and the cochlea assessed by prestin 15 immunolabelling in OHCs from *Pknox2*<sup>-/-</sup> and *Pknox2*<sup>+/+</sup> mice (Supp. Fig. 9, E). Further immunofluorescence analysis using 16 17 Myosin VIIa and neurofilament heavy chain (NFH) antibodies (Boero et al. 2020; Hickman et al. 2021) confirmed normal cochlear morphology in mice of both genotypes (Supp. Fig. 10). Taken together, Pknox2<sup>-/-</sup> OHCs are functionally normal in vivo while 18 19 displaying a gain of sensitivity at high frequencies. The lower threshold for sound intensity and greater electrical responses of primary auditory neurons to a sound stimulus (ABR peak 1 amplitude) at high frequencies found in Pknox2-/- mice suggest that 20 21 *Pknox2* participates in the amplification process normally occurring in OHCs in the high-frequency zone, the most relevant to 22 mammalian hearing (Heffner and Heffner 2018). Our data shows that IHC/auditory nerve synapses at high frequencies are also 23 affected, in line with the observation that Pknox2 is found in both OHCs and IHCs during development (Fig. 4, D). Considering 24 the normal hearing capacity of *Pknox1*<sup>-/-</sup> mice (Dickinson et al. 2016), it is tempting to speculate that *Pknox2* plays a non-25 redundant functional role during hair-cell cochlear development and hearing capacity in mammals.

# 26 *Pknox2* deficiency alters gene expression in the mouse cochlea

To investigate the genetic bases underlying the peculiar hearing phenotype observed in mice lacking Pknox2 we performed a comparative RNA-seq study in cochleas obtained from eight-day-old (P8)  $Pknox2^{-/-}$  mice and their *wild-type* littermates. We found

1 690 downregulated and 334 upregulated genes in cochleas taken from  $Pknox2^{-/-}$  mice (fold change > 1.5, p-value < 0.01 with FDR 2 correction) (Supp. Table 5). The 20 genes showing highest increases or decreases in cochleas from *Pknox2*<sup>-/-</sup> mice are depicted in 3 Fig. 6 (A). To identify molecular pathways and multiple gene functional associations we performed a Gene Ontology (GO) term 4 analysis using cut-off FDR-corrected P < 0.05 values to assess the enrichment of differentially expressed genes. As illustrated in 5 Supp. Table 5, there was a frequent association with cell-cell signalling, sensory perception of mechanical stimulus, cell projection 6 organization, vesicle-mediated transport in synapse, cell development, cellular localization and neurotransmitter transport terms, 7 related to the biological processes these differentially expressed genes are involved (Supp. Table. 5). In line with this finding, the 8 cellular components terms were related to cell junction, extracellular matrix, myelin sheath, membrane protein complex and apical 9 dendrite (Supp. Table 5) while terms related to glutamatergic and GABA-ergic synapses were also involved. Finally, the terms 10 related to molecular function revealed an enrichment in structural constituent of ribosome, structural molecule activity, transporter activity, binding, calcium ion binding, inorganic solute uptake transmembrane transporter activity, laminin-1 binding, protein 11 12 binding, antiporter activity and enzyme binding (Supp. Table 5).

One of the top downregulated genes in Pknox2<sup>-/-</sup> mice was Ceacam16, which encodes for a mammal-specific secreted glycoprotein 13 14 highly expressed in the inner ear (Kammerer et al. 2012). Ceacam16 is present in the tip of the tallest stereocilia in cochlear OHCs 15 and in the tectorial membrane, where its function seems to be critical for successful hearing over an extended frequency range 16 (Zheng et al. 2011). Ceacam16 is also strongly expressed in Deiter's cells and interdental cells of the cochlear limbus, and to a 17 lesser level in phalangeal, border, pillar, and inner hair cells (Kammerer et al. 2012). As the majority of GO terms in downregulated genes were related to synapsis (Fig. 6, B), we explored the expression of gene families relevant to 18 19 neurotransmission and neurite guidance (Fig. 6, C). Differential downregulation was observed in genes encoding for ion channels 20 such as sodium (Scn4b), chloride (Clic5, Clcn5, Clcn6, Lrrc8b), calcium (Cacng5, Cacng2, Cacnb4, Ryr2) and potassium (Kcnj16, 21 Kcnq5, Kcnc2, Kcnq4, Kcna2, Kcnc1, Kcnk9, Kcnc3, Kcnj12, Kcna1, Kcna3). There was also downregulation of genes encoding 22 for cholinergic nicotinic (Chrnb3, Chrna6) and metabotropic receptors (Chrm5, Chrm3), and glutamate (Grid2ip) and GABA 23 ionotropic receptors (Gabbr2). Other genes included thrombospondin 4 (Thbs4), an extracellular-matrix glycoprotein that controls 24 synaptogenesis and neurite growth that has been involved in the particular synaptic organization of the human brain (Cáceres et al. 25 2007).

26 Interestingly, the most upregulated transcript we found in the cochleas of  $Pknox2^{-/-}$  mice encodes for beta-tectorin (*Tectb*), a 27 glycoprotein necessary to upkeep the structure of the tectorial membrane, an extracellular matrix that covers the neuroepithelium 28 of the cochlea and controls the bundles of stereocilia present in sensory hair cells. Mice lacking *Tectb* showed disrupted tectorial

membrane and exhibited low-frequency hearing loss (Russell et al. 2007). Based on these findings, *Pknox2* could regulate the
expression levels of structural genes in the organ of Corti such as *Ceacam16* and *Tectb* which, when greatly modified, may explain
the hearing differential phenotypes found in *Pknox2*-<sup>-/-</sup> mice.

4 To analyze whether *Pknox2* might regulate *Ceacam16* and/or *Tectb* expression we searched for potential PKNOX2 binding sites in 5 the Ceacam16 and Tectb mouse loci. We found 12 PKNOX2 binding sites in noncoding regions of the Ceacam16 locus 6 (chr7:19,822,844-19,875,216 Mouse Dec. 2011 (GRCm38/mm10)) (Supp. Table 6; Supp. Fig. 11, A). Three of these sites are 7 predicted by ENCODE as Conserved Regulatory Elements and display enhancer signatures: EM10E0843135, EM10E0843115 and 8 EM10E0843142, located in chr7:19864187-19864521, chr7:19831862-19832077 and chr7:19872242-19872553, respectively. In 9 the Tectb locus (chr19:55,127,810-55,197,115) we found 25 PKNOX2 binding sites (Supp. Table 6; Supp. Fig. 11, B), one of 10 which is predicted by ENCODE as a CRE present in a distal enhancer (EM10E0682480 chr19:55,190,076-55,190,419) and 11 another one is located in a moderately conserved sequence next to the beginning of a coding exon (chr19:55,183,920-55,183,931).

Finally, we were interested in identifying TF genes that were up- or down-regulated in the cochlea of *Pknox2*<sup>-/-</sup> mice and that carry PKNOX2 binding sites in their non-coding sequences (Supp. Table 7). *Hes1*, *Bcl6* and *Id1* stand out in this group because they have been involved in the development of the inner ear (J.L. Zheng et al. 2000; Morrill and He 2020). In addition, we used manually curated databases of human and mouse transcriptional regulatory networks (TRRUST; (Han et al. 2018)) to identify TFs that are likely to participate in regulatory interactions together with PKNOX2 (Supp. Table 8). Although these analyses shed light on PKNOX2 targets, further molecular and functional studies will be necessary to better understand the role of PKNOX2 in the healthy and diseased mammalian hearing system.

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### 1 Discussion

2 In this work we performed an accelerated evolution analysis of non-coding elements to uncover TF genes underlying the 3 emergence of morphological and/or functional features of the mammalian inner ear. We found several TF genes that accumulated 4 accelerated noncoding sequences along different mammalian lineages and decided to focus on PKNOX2, because this gene 5 accumulated the greatest number of accelerated noncoding elements (ANCEs) within its transcriptional unit and its functional role 6 in the inner ear remained completely unknown. To investigate whether these accelerated changes might have contributed to 7 anatomical and/or functional novelties, we performed molecular and expression analysis of the eight PKNOX2-ACNEs detected. 8 Methylation signatures found in genomic DNA taken from mouse inner ear sensory epithelium (Yizhar-Barnea 2018) showed that 9 five out of eight PKNOX2-ANCEs are located within low methylation regions (LMRs; BAR1156, BAR1158, TSAR.3236, 10 2xHAR.32, TSAR.2216) and two of them in unmethylation regions (UMRs; BAR1157, BAR1158) suggesting that PKNOX2-11 ANCEs are likely to act as transcriptional enhancers (LMRs) or promoters (UMRs).

12 In addition, our reporter gene expression assay in transgenic zebrafish showed that five out of the eight tested PKNOX2-ACNEs 13 act as transcriptional enhancers during development of the fish auditory system (BAR1156, BAR1160, 2xHAR.32, TSAR.0878, 14 TSAR.3236). Our results show that each active cis-regulatory element determines a complex expression pattern including the 15 developing nervous system, pharyngeal arches, somites, inner ear and the lateral line system. Besides, we identified several 16 regulatory elements showing partially redundant expression territories, as it has been reported for many other regulatory regions 17 controlling the expression of developmental genes (Cannavò et al. 2016; Osterwalder et al. 2018). It is interesting to note that predictive epigenetic methylation marks do not completely coincide with the results obtained in the reporter transgenic zebrafish 18 19 assay for most of the analyzed sequences suggesting that assessment of putative regulatory sequences is more informative when 20 tested in *in vivo* animal models. Most importantly, we found that four out of five PKNOX2-ACNEs acting as transcriptional enhancers in the auditory system of transgenic zebrafish drove differential reporter gene expression patterns when the accelerated 21 22 sequence was compared with ancestral ortholog sequences (BAR1160, 2xHAR.32, TSAR.0878, TSAR.3236) suggesting that 23 lineage-specific molecular evolution of *PKNOX2*-ANCEs could have shaped its expression in the mammalian hearing system. 24 However, other reasons may explain differential reporter gene expression patterns driven by ortholog conserved elements such as: 25 (a) a critical TF from the model species does not bind to the accelerated or ancestral ortholog sequence; (b) the enhancer sequence 26 is active at a developmental stage that was not analyzed in this study; (c) positional effects due to persistent integration of one of 27 the orthologs in silent heterochromatin, although by using the Tol2 system as we did in our study to generate transgenic zebrafish, 28 all transgenes are inserted in hundreds of different genomic regions within in the original founder line (Kawakami et al. 2004; 29 Kawakami 2007) addition, several lines for the same transgene are produced to overcome the possibility of insertion in silent 30 chromatin.; (d) functional incompatibility between a putative enhancer sequences and the heterologous minimal promoter used in

1 the transgene. All these caveats call for a careful interpretation of the results obtained with different animal models. Nevertheless, 2 we and others have successfully used this methodology to identify changes in enhancer activity in ortholog sequences (Kamm, 3 Pisciottano, et al. 2013; Oksenberg et al. 2013; Erwin et al. 2014; Caporale et al. 2019) and also to identify mutations in noncoding 4 elements involved in human genetic diseases (Mann and Bhatia 2019). In a broader picture, the accelerated evolution process that 5 *Pknox2* underwent in different mammalian lineages could have led to the acquisition of a differential expression pattern along its 6 history. Our phylogenetic analysis indicated that the duplication event that generated PKNOX1 and 2 occurred at the origin of 7 vertebrates since just one PKNOX ancestral gene is found in urochordates, coinciding with the main source of gene duplication 8 for vertebrates: i.e. the whole genome duplication event (WGD) at the stem of this lineage. How do some members of a 9 transcription factor family acquire a new function or a different expression pattern? Briefly, this could be due to changes in coding 10 or noncoding regions of their transcriptional units (Hoekstra and Coyne 2007). We established that the genomic sequences encoding for amino acids of most members of the TALE family including PKNOX1 and 2, were under high selective constraint 11 12 throughout the history of vertebrates and particularly in mammals. Our analysis of gene expression data in mice indicates that Pknox1 and Pknox2 have different expression domains and that Pknox2 shows a more restricted expression pattern. Although 13 there is some overlap in the expression patterns of these two paralogs, the inner ear seems to be a tissue where these two 14 15 transcription factors clearly differ in the pattern and level of expression in vertebrates. In fact, our results and data from other 16 sources indicated that *Pknox2* is strongly expressed in hair cells and spiral ganglion neurons in the mouse inner ear in clear 17 contrast to the lack of expression of *Pknox1*. This differential pattern of expression is also observed in other vertebrates, such as 18 chicken, where *Pknox1* is expressed in the posterior neural tube, in the eye and in the branchial arches, whereas *Pknox2* is observed in the anterior areas of the neural tube, including the brain, eye and otic vesicle (Coy and Borycki 2010). Thus, the 19 20 putative diversification in the expression profile of PKNOX1 and 2 could be due to the acquisition of a heterogeneous regulatory 21 landscape produced by the modification of noncoding regulatory sequences. We detected that, despite the fact that *PKNOX1* and 2 22 share a common origin and their level of protein similarity is quite high, the proportion of CNEs in their intronic sequences is very 23 different. In *Pknox1*, vertebrate conserved sequences mainly concentrate in the coding portions of the gene, comprising 24 approximately half of the total conserved sequences. In contrast, Pknox2 has more than 90% of its total vertebrate conserved 25 sequences in noncoding regions. Our results could likely indicate that the increased presence of retained noncoding conserved 26 elements helped to shape the PKNOX2 expression pattern in the nervous system and its associated sensory organs. We hypothesize 27 that, after duplication, PKNOX2 retained its ancestral expression domains but gained regulatory non-coding regions such as 28 enhancers along several time points of the vertebrate tree acquiring new expression domains. Then, in mammals, accelerated 29 evolutionary changes in these enhancers could underlie fine tuning of PKNOX2 inner ear expression in different lineages to serve 30 particular functional features displayed in these phylogenetic groups.

1 Given earlier literature indicating expression of *Pknox2* in the developing mammalian cochlea (Li et al. 2016; Yamashita et al. 2 2018; Ranum et al. 2019) and the fact that this gene was reported as one of the top differentially expressed genes in OHCs, we 3 characterized its function in the inner ear though the generation of mutant mice lacking *Pknox2*. We observed that, in contrast to 4 the previously indicated by transcriptomic data, the Pknox2 protein is highly expressed at the P8 mouse cochlea, in both IHCs and 5 OHCs. Besides, we found that mice lacking Pknox2 expression show a significant reduction in auditory thresholds together with an 6 increase in ABR peak 1 amplitude at high frequencies. ABR peak 1 amplitude represents the summed sound-evoked spike activity 7 at the synapse between IHCs and afferent nerve fibers. Notably, the increase in suprathreshold ABR peak 1 amplitude correlates 8 with a higher number of colocalized synaptic puncta (CtBP2/GluA2) at the high-frequency (basal-end) region of the cochlea.

9 Thus, our electrophysiological observations pinpoint to a role of Pknox2 in regulating the expression of genes that influence synaptic function and/or auditory nerve subtype identities. The correct specification of neuronal subtypes and the presence of a 10 11 functionally diverse pool of afferent type I neurons contacting IHCs is thought to be critical for sound encoding in the auditory 12 nerve, contributing particularly to the wide dynamic range of the auditory periphery and hearing in background noise (Shrestha et 13 al. 2018). We can speculate that Pknox2 is an important regulator of afferent type I identity (via signals from hair cells or 14 expression in the SGN) and its lack of expression can lead to an expansion of the low-threshold, high-spontaneous rate (high-SR) auditory nerve fiber subpopulation, potentially explaining why there is an increased ABR peak I amplitude response in Pknox2<sup>-/-</sup> 15 16 mice with an increase in colocalized synaptic puncta. However, since the observed phenotype seems to be exclusive of the basal 17 regions, we could expect that there are *Pknox2* expression differences along the tonotopic axis in afferent type I neurons or hair 18 cells, but unfortunately the evidence does not support this hypothesis so far. There are a few studies that evaluate transcriptomic 19 differences of inner ear cells along the tonotopic axis, however, in a comparison of IHCs between the basal and apical regions at 20 P30-P40, there was not a significant difference in *Pknox2* expression between this regions (Tang et al. 2019).. While *Pknox2* is natively expressed in SG neurons, there was no difference in *Pknox2* expression levels across all neuronal type I subtypes and 21 22 between apical, basal and medial regions evaluated in mice at P25-P27 (Shrestha et al. 2018). Therefore, we speculate that Pknox2 23 is exerting its transcriptional function in IHCs or in neurons during development and that its role could be related to the correct 24 establishment of cochlear synapses. In the case that *Pknox2* is influencing signals released by the hair cell, there are two described 25 mechanisms by which hair cells can affect the specification of neuronal subtypes: (i) by disruption of mechanotransduction or (ii) 26 by the blockage of glutamatergic signaling (Sun et al. 2018). Integrating our evolutionary data with the mechanotransduction 27 mechanism described earlier, we can hypothesize that *Pknox2* could be finely regulating genes involved in very typical 28 mammalian hearing processes, such as frequency selectivity and sensitivity particularly at higher frequencies.

1 An additional hypothesis is that *Pknox2* is regulating some components of the basal OHCs which, in turn, generate an exacerbated 2 stimulation to the IHCs. OHCs hair bundles are embedded in the tectorial membrane and do also provide feedback influencing the 3 mechanical interactions. This cross-communication between the OHCs and the tectorial membrane is essential to provide the 4 characteristics of sensitivity and selectivity of the mammalian cochlea. Indeed, some structural components of the hair cells and tectorial membrane seem to be altered in its expression patterns in *Pknox2<sup>-/-</sup>*mice, as exemplified by *Ceacam16* and *Tectb*. 5 6 Particularly interesting is the top down regulated gene Ceacam16 which is a mammalian-specific secreted glycoprotein expressed 7 in the tip of the tallest stereocilia in OHCs and in the tectorial membrane, where its function seems to be critical for successful 8 hearing over an extended frequency range (Zheng et al. 2011; Kammerer et al. 2012). For instance, in lizards which lack a tectorial 9 membrane, their auditory nerve afferents properties are poorer (Manley 2017). In addition, the gene Tectb shows the highest 10 upregulation in *Pknox2<sup>-/-</sup>*mice. Tectb<sup>-/-</sup> mice show a tectorial membrane with altered wave properties, unable to properly coordinate 11 OHCs to determine sensitivity and frequency selectivity (Ghaffari et al. 2010). The study of tectorial membrane waves showed that it functions as a complementary system in which increased gain sharpens tuning (Ghaffari et al. 2010). This mechanism could 12 possibly explain how, in a context where *Tectb* is upregulated, a high sensitivity without an increase in frequency selectivity is 13 14 achieved, reconciling the mammalian hallmarks of sensitivity, frequency selectivity and temporal resolution (Ghaffari et al. 2010). If properties in the TM somehow affect mechanotransduction (by disrupting the normal function of an ion channel complex), the 15 16 altered properties in the IHC could lead to an enhanced formation of synapses. In this sense, there is evidence that increased IHC synapse density increases ABR amplitudes as it has been recently observed in a mouse model overexpressing Ntf3 (Ji et al. 2022). 17 Although these hypotheses about the role of *Pknox2* regulating high frequency hearing are interesting, further characterization on 18 19 the molecular mechanism behind the auditory phenotype is needed to confirm these speculations. It is very likely that this 20 transcription factor is having a pleiotropic role in both hair cells, sensory neurons and cochlear nuclei, since our TF regulatory 21 network analyses indicate that Pknox2 could be controlling several downstream genes that are key to the development and physiology of the auditory system. In any case, the *Pknox2<sup>-/-</sup>* mouse is a unique genetic model to study the functional consequences 22

23 of decreased ABR thresholds and increased ABR P1 amplitude.

In sum, our data provide evidence suggesting that *PKNOX1* and 2 genes underwent functional diversification acquiring novel expression patterns and functions after the duplication event that originated them. The hearing phenotype that we found in the *Pknox2* mutant is in clear contrast to what has been found analyzing the *Pknox1* mutant mice, which shows no abnormal auditory function (Dickinson et al. 2016). The *Pknox2* mutant mouse strain may serve as a new model for further studying the effect of impaired gene function and, to our knowledge, this is the first report of mutant mice that increases hearing sensitivity as a consequence of a gene silencing. This could open the door to new gene therapies involving the regulation of transcription factors, 1 as has been demonstrated with Atoh1, which regenerates hair cells and improves hearing in deaf mice (Izumikawa et al. 2005). 2 Furthermore, we found that *PKNOX2* underwent lineage-specific evolution along different mammalian lineages that probably 3 shaped its expression pattern and made it a key player in the mammalian-specific morphological and functional evolution of the 4 inner ear. Thus, we add a new member to the growing list of genes (Franchini and Belén Elgoyhen 2006; Li et al. 2008; Li et al. 5 2010; Liu et al. 2010; Elgoyhen and Franchini 2011; Cortese et al. 2017; Pisciottano et al. 2019; Trigila et al. 2021) that underwent 6 lineage-specific evolution and that possibly played a role in the evolution of the inner ear in mammals. Finally, our approach 7 suggests that evolutionary analysis could lead to uncovering previously overlooked genes that are key for the physiology or 8 development of a particular organ or system.

9

#### 10 Methods

# 11 Identification of Inner Ear Transcription Factors Displaying Noncoding Accelerated Sequences

12 To identify clusters of genomic-accelerated elements in the mammalian genome, we used three publicly available databases containing a total of 9690 accelerated elements including 2148 bat accelerated regions (BARs; (Eckalbar et al. 2016)), 4797 13 14 therian-specific accelerated regions (TSARs; (Holloway et al. 2016)), and 2745 human accelerated elements (HARs; (Capra et al. 15 2013)). The resulting hg19 intervals from the four selected databases were concatenated into one data set where overlapping elements in two or more original data sets were converted into a single one element. Inner ear transcription factors (n = 1643) were 16 retrieved from the dataset of Li et a. 2016. This set was intersected with cluster-specific gene sets from a single-cell study on 17 OHCs (n = 705), IHCs (n = 285) and Deiter cells (n = 558) from Ramun et al. 2019, which led to three subsets of transcription 18 19 factors specifically expressed in each cell type. We then intersected and arranged the number of accelerated elements (either 20 TSARs, BARs, HARs or all) for each of these cell-type specific transcription factors. We also searched for transcription factor binding sites for Atoh1, Sox2, Gfi1, Six1, and Pou4f3 in the genomic locus of Pknox2, using the JASPAR 2022 database (score: 21 22 >300).

#### 23 In Vivo Enhancer Assays in Zebrafish

The function of noncoding accelerated elements located in the *PKNOX2* genomic region were explored using in vivo enhancer assays in transgenic zebrafish. The original multiple alignment files (MAFs) used in the generation of each corresponding accelerated element database (e.g. human accelerated sequences) contain the conserved block including sequences corresponding to the ortholog outgroup species (e.g. other mammals, such as mouse). A visualization of these multiple alignments can be found in Supp. Figures 3-5, section A. Each lineage-specific PKNOX2 accelerated element was studied in comparison with an ortholog sequence taken from a representative species of the corresponding nearest ancestral group. For example, the ability of HARs 1 (Homo sapiens) and BARs (represented by M. lucifugus) to drive reporter gene expression in transgenic zebrafish was compared 2 with ortholog mouse (M. musculus) sequences, whereas TSARs (represented by M. musculus) were compared with their chicken 3 (Gallus gallus) orthologs. The genomic location of a corresponding ortholog sequence for each accelerated sequence was obtained 4 via liftingOver (https://genome.ucsc.edu/cgi-bin/hgLiftOver) the accelerated sequence (e.g. mouse; M. musculus, mm10) to the 5 target outgroup genome (e.g. chicken; G. gallus, galGal6; bat; M. lucifugus). Genomic regions containing each conserved and 6 accelerated ortholog element were amplified by proofreading PCR using the primers described (Supp. Table 9) from human, 7 mouse, chicken or bat genomic samples and cloned individually in the vector pXIG\_cFos containing the minimal promoter cFos 8 fused to the reporter gene eGFP (Fisher, Grice, Vinton, Bessling, Urasaki, et al. 2006) that was kindly donated by Andy 9 McCallion.

10 Transgenic zebrafish were produced as originally described by (Fisher, Grice, Vinton, Bessling, Urasaki, et al. 2006). Briefly, each accelerated elements-cFos construct was co-injected with transposase mRNA in one- to two-cell zebrafish embryos. For the 11 12 generation of stable transgenic lines, injected larvae were raised to adulthood and screened for stable germ-line insertion. 13 Information about transgenic lines analyzed for each construct are included in Supp. Table 9. Transgenic lines not expressing the reporter gene GFP were confirmed positive for the transgene through PCR. When necessary, 0.1 mM of 1-phenyl-2-thiourea was 14 15 added to the E3 medium to prevent pigment formation. Microscopy was carried out on tricaine-anaesthetized embryos mounted in 16 3% methyl cellulose. Whole-mount images were taken on an Olympus BX41 fluorescence microscope with an Olympus DP71 17 digital camera. All experiments including zebrafish were performed in wild-type AB strain from the Zebrafish International 18 Resource Center from the University of Oregon, according to approved protocols by the institutional Animal Studies Committee. 19 Adult zebrafish were maintained at 28 °C in a 14/10 h light/dark cycle in a completely automatic Aquatic Habitats aquarium.

# 20 Regulatory elements in the *Pknox2* genomic locus

21 We used data from previous studies identifying cis-regulatory elements, such as promoters and enhancers, identified in whole 22 genome bisulfite sequencing (WGBS) data through the detection of unmethylated and low-methylated regions (UMR/LMRs) in 23 the mouse auditory sensory epithelium (Yizhar-Barnea et al. 2018). The authors defined UMRs as regions with an average 24 methylation lower than 10%, and LMRs as regions with an average methylation between 10% and 50% (Yizhar-Barnea et al. 25 2018). In addition, we used a recent whole-genome study identifying H3K27ac marks in the mouse inner ear (Li et al. 2020). We 26 intersected accelerated elements in the *Pknox2* locus with these regions, searching for evidence of regulatory function of these 27 non-coding regions. Hi-C heat maps were generated in the virtual Hi-C browser (http://promoter.bx.psu. edu/hi-c/view.php) using 28 the human fetal cortical plate data from (Won et al. 2016). Additional TADs were obtained from (Schmitt et al. 2016).

29

#### **1** Coding Sequence Evolutionary Analysis and Gene Expression Comparison

2 To analyze the evolution of the TALE family coding region, we obtained the coding sequences of a selected group of available 3 species (see accompanying Supplementary Material) with 1-to-1 orthology in Ensembl.v95 (GRCh38.p12). We aligned the 4 sequences using the OMM\_MACSE framework (Scornavacca et al. 2019) and the evolutionary analysis was carried out using 5 HyPhy abSREL (Smith et al. 2015). Phylogenetic trees of the PKNOX transcription factor family were constructed using an 6 alignment of 214 sequences obtained from two hierarchical orthologous groups (HOGs) (HOG:0449946, HOG:0460462) derived 7 from OMA Browser (https://omabrowser.org/) (Altenhoff et al. 2020). A JTT model was used to calculate neighbors joining 8 optimal phylogenies, using standard methods in MEGA7 (Kumar et al. 2018). Heatmaps depicting RNA-Seq cell expression were 9 calculated using data from CAGE-Seq RIKEN FANTOM5 retrieved from the Expression Atlas release 36 10 (https://www.ebi.ac.uk/gxa/home) (Papatheodorou et al. 2020). Inner ear RNA-Seq gene expression plots of Pknox1 and Pknox2 were computed with public data from (Liu et al. 2014; Scheffer et al. 2015; Liu et al. 2018). 11

#### 12 Zebrafish In Situ Hybridization Assay and Neuromast Labelling

For whole-mount in situ hybridization, zebrafish larvae were fixed overnight in 4% paraformaldehyde (PFA) in phosphate-13 buffered saline (PBS), dehydrated in methanol 100% and stored at -20°C until use. Then zebrafish larvae were rehydrated in 14 15 graded methanol concentrations (75%, 50% and 25%) in PBT (1X PBS, 0.1% Tween 20) and treated for 1 h (5/7 dpf), 45 min (72 16 hpf), 25 min (48 hpf) and 15 min (24 hpf) with 10 mg/ml proteinase K in PBT, postfixed for 20 min in 4% PFA in PBS and 17 washed in PBT. Prehybridizations were performed for 4 h at 70°C in a hybridization solution (50% formamide, 5X SSC, 500 18 mg/ml torula tRNA, 50 mg/ml heparin, 0.1% Tween 20, 5 mM citric acid). Hybridizations were performed overnight at 70°C in a 19 fresh hybridization solution containing 1 mg/ml antisense digoxigenin-labeled riboprobes. Probes for in situ hybridization were 20 amplified by PCR from genomic DNA, using primers for the probe eu244 (ZFIN): Forward 5'-TTGATGAAACCCTGCTGTAG-3' and Reverse 5'-GGATCCATTAACCCTCACTAAAGGGAATTGCATGGTGATGAGTAAGAG-3'. The PCR product was 21 22 cloned in a pBluescript KS+ plasmid, that was digested with SalI or NotI to synthesize using T3 or T7 RNA polymerases the sense 23 and antisense probes, respectively. Larvae were then washed with decreasing concentrations of formamide in 2X SSC (50% and 24 25%), 2X SSC and twice with 0.2X SSC at 70°C. Extra washes were performed at room temperature with decreasing 25 concentrations of 0.2X SSC in PBT (75%, 50% and 25%). To perform anti-DIG antibody incubation, samples were first incubated 26 in a blocking solution (10% normal goat serum) for 4 h at room temperature. Anti-DIG antibody (Roche) was incubated at 1/5,000 27 overnight at 4°C, washed in PBT and alkaline Tris buffer (100 mM NaCl, 50 mM MgCl<sub>2</sub>, 0.1% Tween 20, and 100 mM Tris-HCl 28 pH 9.5). Staining was performed with NBT/BCIP (Roche) in alkaline Tris buffer at room temperature and, when the desired 29 staining intensity was reached, the reaction was stopped in 1X PBT pH 5.5, 1mM EDTA and mounted in glycerol 70%. For 30 labeling of neuromast hair cells, 7 dpf larvae were immersed in a 140 µM solution of N-(3-trimethylammonium propyl)-4-(6-(4(diethylamino)phenyl) hexatrienyl)pyridinium dibromide (FM4-64; Thermo Fisher) for 2 min at room temperature in the dark.
 Then, they were anaesthetized and mounted in 3% methyl cellulose. Microscopy was carried out on whole-mount embryos/larvae
 on an Olympus BX41 fluorescence microscope with an Olympus DP71 digital camera.

# 4 Mutant mice generation

Mouse strains carrying deleted (knockout) alleles were generated using a modified CRISPR/Cas9 protocol (Wang et al. 2013). 5 6 Briefly, sgRNA recognition sequence targeting the PKNOX2 coding region (5'-GTGGCCATCATTGTCAGAGC TGG-3', where TGG is the PAM) was designed using CRISPR Design Tool (http://crispr.mit.edu/) aiming towards the initial translated 7 methionines. The T7 promoter was added to the recognition sequence, and the whole sgRNA was generated by a PCR with a 8 9 reverse primer (5'-aaaagcaccgactcggtgcc-3') from the pX330 plasmid. The T7-sgRNA product was used as a template for in vitro 10 transcription using the MEGAshortscript T7 kit (ThermoFisher Scientific). The Cas9 mRNA was in vitro transcribed from pMLM3613 plasmid using the mMESSAGE mMACHINE T7 kit (Thermo Fisher Scientific) and polyadenylated using Poly(A) 11 Tailing Kit (Thermo Fisher Scientific #AM1350). Transgenic knockout mice were generated by injecting a mix of Cas9 mRNA 12 (final concentration of 100 ng/ul) and sgRNA (50 ng/ul) into the cytoplasm of FVB blastomeres in accordance with standard 13 14 procedures approved by the INGEBI-CONICET Laboratory Animal Welfare and Research Committee. Pseudopregnant female 15 mice of FvB strain were used as foster mothers. The animals were bred to homozygosity. Mice were genotyped using the PCR 16 primers: CAGCAGGGATCTCCCAAATA and TCCAGGTGTTCCAGGTTAGG followed by sequencing. In order to evaluate several behavioral parameters in Pknox2 mutant mice we performed an open field test. We found that locomotor activity, 17 exploration, spatial memory, and anxiety-like behavior of the  $Pknox2^{+/+}$  and  $Pknox2^{-/-}$  mice were not significantly different. This 18 19 suggests that the absence of a Pknox2 functional protein did not have a significant impact on overall behavioral performance in 20 mutant mice (Supp. Fig. 12).

# 21 Cochlear function tests

Inner ear physiology was performed in mice of either sex anesthetized with xylazine (20 mg/kg , i.p.) and ketamine (100 mg/kg , i.p.) and placed in soundproof chamber maintained at 30 °C, where auditory brainstem responses (ABRs) and distortion-product otoacoustic emissions (DPOAEs) were recorded. The responses were performed on postnatal day 60 (P60) mice (*Pknox2*<sup>+/+</sup> n=7, *Pknox2*<sup>-/-</sup> n=9). In order to measure sound pressure near the eardrum, sound stimuli were transmitted by a custom acoustic setup with two dynamic earphones used as sound sources (CDMG15008-03A; CUI) and an electret condenser microphone (FG- 23329-PO7; Knowles) connected to a probe tube. Digital stimulus generation and response processing was done by digital I-O boards (National Instruments) powered by LabVIEW-written custom software. For ABRs, needle electrodes were inserted into the skin at the dorsal midline near the neural crest and pinna with a ground electrode near the tail. Stimuli were 5 ms tone pips (0.5 ms risefall, with a cos2 envelope, at 40 / s) delivered to the eardrum at log-spaced frequencies from 5.6 to 45.25 kHz. The response was amplified to 10,000X with a 0.3-3 kHz passband. The sound level was increased from 20 to 80 dB sound pressure level (SPL) in 5 dB stages. At each stage, 1024 responses were averaged, alternating with stimulus polarity. The DPOAEs in response to two primary tones of frequency f1 and f2 were recorded at 2f1-f2, with f2/f1=1.2, and the f2 level 10 dB lower than the f1 level. At intervals of 4  $\mu$ s, ear-canal sound intensity was amplified and digitally sampled. The DPOAE threshold was defined as the lowest f2 level in which the signal to noise floor ratio is >1.

# 8 Cochlear processing and immunostaining

9 Cochleae from two months old mice (P60) and eight postnatal days (P8) were extracted, perfused intralabyrinthly with 4% PFA in 10 PBS, post-fixed with 4% PFA overnight and decalcified in 0,12M EDTA for five days. Cochlear tissues were then microdissected 11 and permeabilized by freeze/thawing in 30% sucrose (for CtBP2/GluA2 immunostaining) or directly blocked (for prestin, Pknox1, 12 Pknox2, neurofilament heavy chain (NFH) and Myosin VIIa immunostaining). The microdissected pieces were blocked in 5% normal goat serum (for CtBP2/GluA2, NFH and Myosin VIIa immunostaining) or 5% normal donkey serum (for prestin, Pknox1 13 and Pknox2 immunostaining) with 1% Triton X-100 in PBS for 1 h, followed by incubation in primary antibodies (diluted in 14 15 blocking buffer) at 37°C for 16 h (for CtBP2/GluA2 immunostaining) or 4°C for 16 h (for prestin, Pknox1, Pknox2, NFH and Myosin VIIa immunostaining). The primary antibodies used in this study were:1) goat anti-prestin antibody (Santa Cruz 16 17 biotechnology inc. sc22692;1:700); 2) anti-C-terminal binding protein2 (mouse anti-CtBP2 IgG1; catalog #612044, BD Biosciences; RRID:AB 399431; 1:200) to label the presynaptic ribbon; 3) anti-glutamate receptor 2 (mouse anti-GluA2 18 IgG2a;1:2000; MAB397, Millipore; RRID:AB 11212990) to label the postsynaptic receptor plaques; 4) rabbit anti-PKNOX1 19 20 (#PA5-66065, ThermoFisher; 1:25); 5) rabbit anti-PKNOX2 (#PA5-65946, ThermoFisher; 1:50) 5) mouse anti-Myosin VIIa 21 (#E3018, Santa Cruz; 1:50) and 6) chicken anti neurofilament heavy chain (#AB5539, Millipore, 1:1000). Tissues were then 22 incubated with the appropriate Alexa Fluor-conjugated fluorescent secondary antibody (1:1000 in blocking buffer; Invitrogen) for 23 2 h at room temperature. Finally, tissues were mounted on microscope slides in FluorSave mounting media (Millipore) for P60 or 24 VectaShield media with DAPI (Vector Laboratories) for P8. For IHC synaptic counts, NFH and IHC area confocal z-stacks (0.3 25 um step size) of the apical, medial, and basal regions from each cochlea were taken using a Leica TCS SPE Microscope equipped 26 with 63 (1.5 digital zoom) oil-immersion lens. Image stacks were imported to Fiji software (RRID:SCR\_002285; Schindelin et al., 27 2012). For synaptic quantification IHCs were identified based on their CtBP2-stained nuclei. Each image usually contained 10-20 28 IHCs. For each stack, a custom Fiji plugin was developed to automate the quantifications of synaptic ribbons, glutamate receptor 29 patches, and colocalized synaptic puncta. Additionally, maximum projections were generated to draw the different ROIs that 30 correspond to each IHC taking the CtBP2-stained nuclei as a reference. Automatic counting of the number of particles on each

- 1 ROI was performed. A similar approach was used to analyze the area of each IHC and NFH, different ROIs were drawn in each
- 2 cell then the threshold was adjusted and an automatic counting of surfaces was performed in each channel.

### 3 Western blot analyses

4 To analyze *Pknox2* expression in mutated and wild type mice, we extracted protein from brain tissue with a protein extraction 5 buffer [50 mM Tris-HCl pH 7.5, 2 mM EDTA; 1% Triton X100; 150 mM NaCl; 0.05% SDS; Halt™ Protease and Phosphatase 6 Inhibitor Cocktail (100X) (Thermo Scientific 78440)]. Then, samples were homogenized and added with 150 mM NaCL, 0,2 % 7 glycerol, 2% bromophenol blue and  $\beta$ -Mercaptoethanol with heating to 100°C during 5min. We separated the samples by size 8 through SDS-PAGE using 12% SDS-Polyacrylamide gels and to make the proteins accessible to detection by antibodies, we 9 transferred them to a nitrocellulose membrane through an electric field (BIO-RAD). We blocked free binding sites with 5% (w/v) 10 nonfat dry milk, 0.05% v/v Tween 20 in TBS (milk/1xTBS-T) for 1 hour. After blocking, membranes were incubated overnight at 4°C with a polyclonal anti-human PKNOX2 antibody produced in rabbit (PA5-65946, Thermo Fisher) at a dilution of 1:500. After 11 12 washing 3 times in TBS containing 0.05% v/v Tween 20, blots were incubated with the secondary antibody donkey anti-rabbit HRP conjugate (1:2000, Fisher Scientific) for 3 hours at room temperature. The loading control was a mouse monoclonal anti-13 human beta Actin antibody (dilution 1:10000, BSA 0.5%) (MA5-15739-HRP, Thermo Fisher). Proteins were visualized using 14 ECL detection (Cell Signaling Technology SignalFire™ ECL Reagent #6883) on the GeneGnomeXRQ (Syngene). 15

#### 16 RNA sequencing and analysis

17 Entire cochleae were extracted from  $Pknox2^{+/+}$  and  $Pknox2^{-/-}$  mice at eight days of age (P8) in 5 independent biological samples for 18 each genotype. Total RNA was obtained using the Direct-zol RNA miniprep kit (Zymo Research, Irvine, CA), following the CRC 19 protocol (Vikhe Patil et al. 2015). Each sample consisted of pooled RNA from two cochleae derived from one single mouse. 20 Messenger RNA (mRNA) sequencing was performed at Novogene (https://www.novogene.com/amea-en/) using Illumina 21 NovaSeq platforms (paired-end 150 bp sequencing strategy). Sequenced reads were aligned to the mouse genome (mm10) using 22 HISAT2 (Kim et al. 2019). Gene expression levels were calculated using featureCounts (Liao et al. 2014). Differential expression 23 analysis was done using limma with the voom method (Law et al. 2014). Library sizes were normalized with the TMM methods. 24 Weights were applied to samples. eBayes was used with robust settings (robust=TRUE). Lowly expressed genes were filtered on 25 minimum CPM = 0.5 and at least 3 samples, resulting in 10531 genes filtered out for low expression. The minimum fold change 26 was set at 1.5 and p-value threshold at 0.01. P-values were corrected by the Benjamini and Hochberg 1995 method (Benjamini and 27 Hochberg 1995). RNA-seq data was deposited in the Gene Expression Omnibus database (accession ID GSE171921). 28 Differentially expressed genes were submitted to gProfiler for gene ontology (GO) analysis of the biological pathways and 29 processes that these genes are involved in (Raudvere et al. 2019). Network interactions were calculated with STRING (v.11) with 1 default settings (Szklarczyk et al. 2019). Top 500 up- and down- regulated genes were submitted to TRRUST V2

2 (<u>https://www.grnpedia.org/trrust</u>) to find target key regulators.

# 3 Ethics Approval

4 All the experiments involving animals were carried out following the Guide for the Care and Use of Laboratory Animals and

5 were approved by the local institutional animal care and use committee.

#### 6 Availability of Data and Materials

- 7 All data generated or analyzed during this study are included in this article and its Supplementary Material. RNA-seq data was
- 8 submitted to the Gene Expression Omnibus database (accession ID GSE171921).

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### 14 Author Contributions

15 LFF designed and supervised the project. APT, VCC, LB, DM, MECG and LFF conducted the experiments and analyzed data.
16 APT performed most bioinformatics analyses. APT and LFF wrote the manuscript. LFF, MR and MEGC provided reagents,
17 analytical tools and discussed experiments. All authors edited and approved the final version of this report.

18

# 19 Figure Legends

# 20 Figure 1. Comparative functional characterization of *PKNOX2* accelerated elements using transgenic zebrafish.

(A) PKNOX2 locus in chromosome 11 of the human genome showing the location of accelerated noncoding elements (ANCEs). 21 22 (B-I and K-S) Fluorescent microphotographs showing the eGFP expression pattern driven by the accelerated or conserved ortholog 23 sequence of each PKNOX2-ANCEs. Fluorescent microphotographs of BAR1156 mouse (B) and bat (C); BAR1157 mouse (D) 24 and bat (E); BAR1158 mouse (F) and bat (G); BAR1160 mouse (H) and bat (I); TSAR.3236 mouse (K) and chicken (L); 25 2xHAR.32 mouse (M) and human (N); TSAR.0878 mouse (O) and chicken (P); TSAR.2216 mouse (Q) and chicken (S) transgenic 26 zebrafish at 24, 48 and 72 hours post-fertilization (hpf). Only one representative transgenic line for each sequence is shown. All 27 transgenic lines for each sequence are shown in Supp. Figs. 2 to 7. Bright-field (J) and fluorescent images (S) of wild-type 28 zebrafish are also shown. Note that the yolk sac (yolk) is autofluorescent. Scale bar: 0.5 mm. Abbreviations: nm, neuromasts of 29 the lateral line; oc, otic capsule.

# 1 Figure 2. TSAR.0878-chicken expression analysis in the hearing and lateral line systems in transgenic zebrafish.

2 (A) At the top: schematic of the transgene containing the TSAR.0878-chicken sequence cloned upstream of the cFos murine 3 minimal promoter and the reporter gene eGFP. Below: detail of the middle part of the TSAR.0878 sequence alignment including 4 the tested sequences (mouse and chicken) and other mammals representative sequences. (B to M) Fluorescent microphotographs of 5 one representative transgenic zebrafish line at 7 days post fertilization (dpf) carrying the TSAR.0878-chicken transgene showing 6 eGFP expression (B, E, H and K), the hair cell-specific marker FM4-64 (C, F, I and L) and the overlay (D, G, J and M). The 7 expression of eGFP coincides with the hair cell marker in neuromasts of the lateral line and in the otic capsule (E, G and F) of the 8 zebrafish. (F) shows a magnification of the otic capsule region. (N, O and P) show PKNOX2 expression by in situ hybridization at 9 7 dpf. Arrows indicate the location of neuromasts of the hearing and balance systems expressing *PKNOX2*. Ba, branchial arches.

10

# 11 Figure 3. Functional Diversification of *PKNOX* genes in vertebrates

(A) PKNOX1 locus in chromosome 21 and (B) PKNOX2 locus in chromosome 11 of the human genome (GRCh37/hg19). (C) 12 Phylogenetic gene tree reconstruction of PKNOX proteins across vertebrate evolution, using hierarchical orthologous groups 13 (HOGs) from the OMA Browser (https://omabrowser.org/). The evolutionary history was inferred using the Neighbor-Joining 14 15 method. The tree is drawn to scale, with branch lengths in the same units as those of the evolutionary distances used to infer the 16 phylogenetic tree. The evolutionary distances were computed using the JTT matrix-based method and are in the units of the 17 number of amino acid substitutions per site. The analysis involved 218 amino acid sequences. (D) Proportion and (E) total number 18 of coding and non-coding conserved elements (PhastCons) in TALE proteins. (F). Functional diversification hypothesis that could 19 explain the regulatory domain gain and loss that resulted into the vertebrate PKNOX genes expression pattern.

20

### 21 Figure 4. *Pknox2* expression in the inner ear and gene editing strategy.

22 (A) Graph built using microarray data from manually collected OHCs and IHCs show Pknox2 and Pknox1 expression in adult 23 mice (Liu et al. 2014). (B) Graph built using RNA-Seq data for four types of cochlear cells showing Pknox2 and Pknox1 24 expression (Liu et al. 2018). (C) Pknox2 and Pknox1 expression is depicted from RNA-Seq data for GFP+ (hair-cell enriched) 25 cochlear samples at four stages in developing mice (Scheffer et al. 2015). (D) Photomicrographs of immunofluorescence assays 26 showing Pknox2 (green) and Myosin 7 a (red) expression in the inner ear of P8 wild type mice. (E) Schematic of the PKNOX2 27 gene structure and the strategy developed to generate the mutant mice pedigree lacking *Pknox2*. The site of priming of the RNA 28 guide on exon 4 and the STOP codon generated in exon 5 are indicated. Black boxes indicate 5'UTR exons. (F) Chromatograms of Pknox2<sup>+/+</sup> and Pknox2<sup>-/-</sup> loci sequencing showing the deletion induced by Cas9 in the site of priming of the sgRNA guide. (G) 29

- 1 Western blot quantification showing strong Pknox2 expression in wild type mouse brain samples, a tissue where Pknox2 is strongly expressed. We observed absence of expression in  $Pknox2^{-/-}$  mice. 2
- 3

#### 4 Figure 5. *Pknox2* mutants display hearing impairment.

Hearing assessment of *Pknox2* mutant mice ABRs (A) and DPOAEs (B) threshold measurements in 2-month-old *Pknox2<sup>+/+</sup>* and 5 6 Pknox2<sup>-/-</sup> mice at different frequencies (from 5.6 to 45.25 kHz). (C) ABR peak I amplitude at 80 dB. Statistical analysis: non 7 parametric Mann-Whitney test \*P<0.05;\*\*P<0.01; and \*\*\*P<0.001. (D) Representative confocal images of IHCs synapses from 8 the basal turn of the cochleae immunolabeled for pre-synaptic ribbons (CtBP2-red) and postsynaptic receptor patches (GluA2green) in Pknox2<sup>-/-</sup> and Pknox2<sup>+/+</sup> mice. AntiCtBP2 antibody also weakly stains IHC nuclei. Scale bar, 7 µm. (E-G) Puncta per 9 IHC. Quantitative data obtained from Pknox<sup>+/+</sup> and Pknox2<sup>-/-</sup>mice. For each IHC, we analyzed the number of CtBP2 puncta (E), 10 postsynaptic GluA2 receptor patches (F), and putative ribbon synapses(G). In *Pknox2<sup>-/-</sup>* mice, an increase in the number of CtBP2 11 puncta, GluA2 receptor patches and synapses on basal region is observed ( $Pknox2^{+/+}$  n = 105 IHCs at the apical, 126 IHCs at the 12 medial, and 139 IHCs at the basal from 3 animals;  $Pknox2^{-/-} n = 130$  IHCs at the apical, 153 IHCs at the medial, and 137 IHCs at 13 14 the basal region from 5 animals).

15

#### Figure 6. *Pknox2* controls multiple key genes in the inner ear. 16

17 (A) Heatmap of top upregulated and downregulated genes by p-value and log2FC, grouped based on their pattern of gene expression according to the *Pknox2*<sup>+/+</sup> and *Pknox2*<sup>-/-</sup> samples. Each row represents a gene and each column represents a sample. 18 (B) Scheme depicting -log<sub>10</sub>(padj) values of GO Terms in g:Profiler for differentially expressed genes in *Pknox2*<sup>+/+</sup> and *Pknox2*<sup>-/-</sup>. 19 20 Top terms are numbered and displayed in the table below. (C) Functional association of 57 proteins comprising the Biological Process "transmission of nerve impulse" in STRING (V11). Circle colors indicate whether the protein is up-regulated (red) or 21 down-regulated (blue) in *Pknox2<sup>-/-</sup>* mice. 22

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