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PII: S0045-6535(23)03149-1

DOI: <https://doi.org/10.1016/j.chemosphere.2023.140879>

Reference: CHEM 140879

To appear in: *ECSN*

Received Date: 5 September 2023

Revised Date: 19 November 2023

Accepted Date: 1 December 2023

Please cite this article as: Gomes, Glaucio.José., Zalazar, Mari.Fernanda., Padilha, J.C., Costa, M.B., Bazzi, C.L., Arroyo, P.A., Unveiling the mechanisms of carboxylic acid esterification on acid zeolites for biomass-to-energy: A review of the catalytic process through experimental and computational studies, *Chemosphere* (2024), doi: <https://doi.org/10.1016/j.chemosphere.2023.140879>.

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1 **Unveiling the mechanisms of carboxylic acid esterification on acid zeolites for**
2 **biomass-to-energy: A review of the catalytic process through experimental and computational studies**

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33 **Abstract**

34 In recent years, there has been significant interest from industrial and academic areas in the esterification
35 of carboxylic acids catalyzed by acidic zeolites, as it represents a sustainable and economically viable
36 approach to producing a wide range of high-value-added products. However, there is a lack of
37 comprehensive reviews that address the intricate reaction mechanisms occurring at the catalyst interface
38 at both the experimental and atomistic levels. Therefore, in this review, we provide an overview of the
39 esterification reaction on acidic zeolites based on experimental and theoretical studies. The combination
40 of infrared spectroscopy with atomistic calculations and experimental strategies using modulation
41 excitation spectroscopy techniques combined with phase-sensitive detection is presented as an approach to
42 detecting short-lived intermediates at the interface of zeolitic frameworks under realistic reaction
43 conditions. To achieve this goal, this review has been divided into four sections: The first is a brief
44 introduction highlighting the distinctive features of this review. The second addresses questions about the
45 topology and activity of different zeolitic systems, since these properties are closely correlated in the
46 esterification process. The third section deals with the mechanisms proposed in the literature. The fourth
47 section presents advances in IR techniques and theoretical calculations that can be applied to gain new
48 insights into reaction mechanisms. Finally, this review concludes with a subtle approach, highlighting the
49 main aspects and perspectives of combining experimental and theoretical techniques to elucidate different
50 reaction mechanisms in zeolitic systems.

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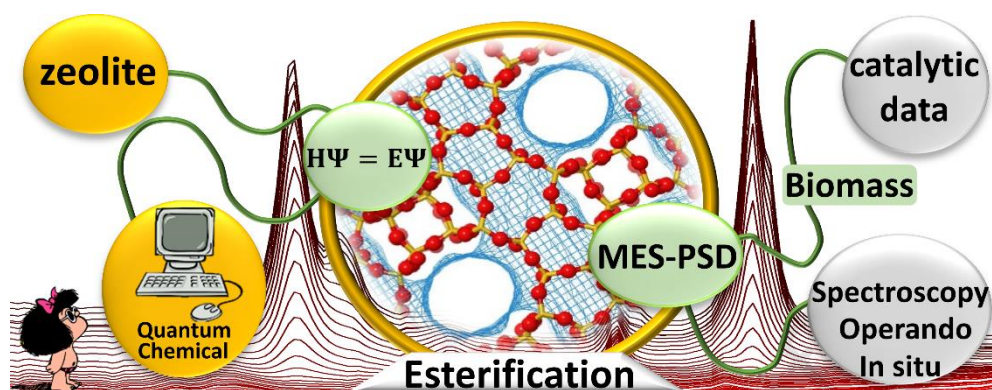
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60 Graphical Abstract

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78 **Keywords:** Esterification; Solid acid catalyst; Confinement effects; In situ and Operando IR;
79 Computational Catalysis; Theoretical Calculations

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100 1. Introduction

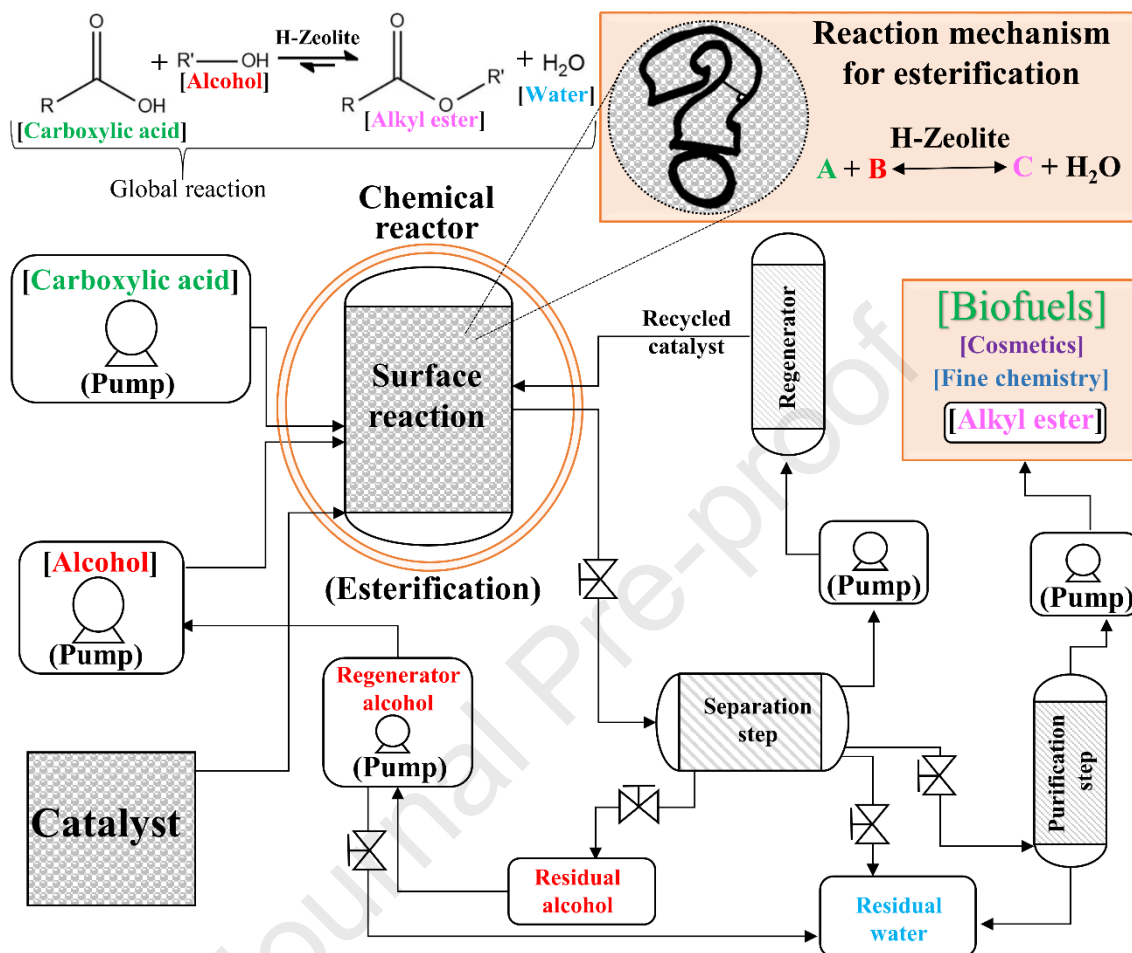
101 The increasing demand for renewable energy across various sectors, including industry, transportation,
102 and agriculture, coupled with government policies, has a significant positive impact on climate change
103 mitigation. (Hashemizadeh et al., 2021; Khan et al., 2021a; Smirnova et al., 2021). In this context, biomass
104 serves as a renewable resource for the production of biofuels and biomaterials, driving the development of
105 innovative technologies. The conversion of biomass into products with high commercial value, in particular
106 biofuels, involves feedstocks such as lipids containing triglycerides from animal fats, vegetable oils,
107 microalgae, and oleaginous residues. Therefore, catalysis plays a crucial role in green chemistry processes
108 by facilitating the conversion of platform molecules into diverse applications within the chemical industry,
109 thereby reducing operational costs and minimizing environmental impacts.

110 Oleaginous biomass originates from various free fatty acids (FFA), organic compounds characterized
111 by the presence of a carboxylic functional group (-COOH), along with an aliphatic chain of variable
112 dimensions. Many oleaginous biomass conversion methods have been reported in the literature (Lilja et al.,
113 2002; Gupta and Paul, 2014; Alaba et al., 2016; Dabros et al., 2018; Li et al., 2019; Tian et al., 2021;
114 Satriadi et al., 2022); among which esterification stands out as a simple and low-cost process (Khan et al.,
115 2021b) that is easily catalyzed by acid solids, such as modified mesoporous silica (Mutlu and Yilmaz, 2016;
116 Cabrera-Munguia et al., 2017; Canhaci et al., 2023), sulfated zirconia (Rattanaphra et al., 2012; Patel et al.,
117 2013; Raia et al., 2017), protonated zeolites (Chung and Park, 2009; Bedard et al., 2012; Vieira et al., 2013;
118 Doyle et al., 2017; Vieira et al., 2017; Prinsen et al., 2018; Dal Pozzo et al., 2019; Gomes et al., 2019a),
119 ion-changing resins (Martínez–Castelló et al., 2022; Sánchez-Correa et al., 2023), lamellar materials and
120 inorganic oxides (Peters et al., 2006; Aranda et al., 2009; Lee et al., 2014). Esterification is also of
121 paramount importance in organic synthesis, used in the solvent, drugs, lubricants, biofuels and derivatives
122 of fine chemistry industries (Khan et al., 2021b; Ahmed and Huddersman, 2022). A Simplified diagram of
123 the esterification process of carboxylic acids catalyzed by acidic zeolites to highlight the impact of the
124 catalyst on the industrial process is shown in Fig. 1, illustrating the most important steps in the production
125 of alkyl esters for different applications.

126 Among all the catalysts mentioned, acidic zeolites are highly advantageous, because of their robustness
127 in different reactions (Ennaert et al., 2016; Zhang et al., 2022). Zeolites are crystalline aluminosilicates,
128 consisting of well-ordered microporous with high activity and catalytic selectivity. These materials present

129 the possibility of modulating the electronic properties of the active sites, thus providing a wide variety of
 130 applications in catalysis and adsorption (Gong et al., 2022; Pérez-Botella et al., 2022).

131



132

133 **Fig. 1.** Simplified flow diagram of an esterification process of carboxylic acids and alcohol catalyzed by
 134 acidic zeolites.

135

136 Many investigations on the esterification reaction catalyzed by acid zeolites (Kirumakki et al., 2004;
 137 Kirumakki et al., 2006; Chung et al., 2008; Aranda et al., 2009; Chung and Park, 2009; Lam et al., 2010;
 138 Chouhan and Sarma, 2011; Bedard et al., 2012; Fernandes et al., 2012; Atadashi et al., 2013; Vieira et al.,
 139 2013; Narkhede and Patel, 2014; Ciddor et al., 2015; Purova et al., 2015; Doyle et al., 2016; Ennaert et al.,
 140 2016; Doyle et al., 2017; Vieira et al., 2017; Prinsen et al., 2018; Dal Pozzo et al., 2019; Fattahi et al., 2019;
 141 Gomes et al., 2019a; Ketzer et al., 2020; Resende et al., 2020) make it possible to formulate new questions
 142 about the reactivity and selectivity in the formation of active intermediates during the reaction, since a
 143 catalytic behavior similar to that of a homogeneous catalysis could be expected.

144 Some studies suggest that zeolitic structures (pore diameter below 2 nm) are unsuitable for the
145 esterification of carboxylic acids derived from large molecules with long alkyl chains (C_8-C_{18}) (Fernandes
146 et al., 2012; Purova et al., 2015). The formation of intermediates and transition states (TS) is ultimately
147 limited by steric effects caused by pores or cavities. On the other hand, other authors (Chung et al., 2008;
148 Chung and Park, 2009; Vieira et al., 2013; Doyle et al., 2016; Vieira et al., 2017), suggest that the diffusion
149 step is not the main limiting factor in the reaction, as evidenced by the good reaction yields for FFA under
150 H-ZSM-5, H-MOR, and HY. Other factors influencing catalytic efficiency can be attributed to the steric
151 effect, acid strength, hydrophobicity of the catalyst, and the relative adsorption of reactants and products
152 on the active sites (Satyarthi et al., 2011; Bedard et al., 2012; Prinsen et al., 2018; Gomes et al., 2019a;
153 Mowla et al., 2019; Fawaz et al., 2020).

154 Despite the large number of publications, controversy arises due to the complexity of the catalytic
155 system, specifically the adsorbed species in confined void environments, as demonstrated by Gomes and
156 co-workers for small model molecules adsorbed on H-Beta (Gomes et al., 2017) and H-ZSM-5 (Gomes et
157 al., 2019b). Additionally, catalytic processes involving zeolites are highly complex, since many reactions
158 in solid-gas, solid-liquid, or solid-liquid-gas phases occur in undefined places on the surface of solid
159 catalysts. Consequently, it becomes difficult to determine the active sites, which are often generated only
160 under real operando conditions (Corma, 2016). On the other hand, TS selectivity is governed by solvation
161 inside confined voids, promoting the stability of active intermediates through numerous attractive
162 dispersion forces. This effect has recently been observed in carbonylation on H-MOR, in which the
163 proposed mechanism operates through three pore architectures that synergistically act in differently
164 confined environments to promote the mobility of the active intermediate (Chen et al., 2022).

165 A comprehensive understanding of the interactions at the catalyst interface is essential for the
166 development of a robust design that can be efficiently explored through a combination of experimental
167 techniques and electronic structure calculations. Computational chemistry has proven to be a valuable tool
168 in projects dedicated to the use and synthesis of zeolitic catalysts in various chemical industry
169 processes (Chen et al., 2021; Ma and Liu, 2022). However, there remains a scarcity of atomistic-level
170 knowledge regarding the specific use of such catalysts in biomass conversion. Further investigations are
171 required to deepen our comprehension of the underlying mechanisms and to optimize the performance of
172 zeolites in biomass-related reactions.

173 While, previous reviews discuss different topics such as heterogeneous catalysis for sustainable
174 biodiesel production via esterification and transesterification (Endalew et al., 2011; Lee et al., 2014),
175 zeolites in the catalytic conversion of biomass (Ennaert et al., 2016), heterogeneous mesoporous acid
176 catalysts for esterification of free fatty acid raw materials (Soltani et al., 2017), zeolite-based catalysts for
177 obtaining esters via esterification and transesterification (Fattahi et al., 2019), zeolite-catalyzed biomass
178 conversion to levulinic acid and 5-hydroxymethylfurfural (Yan et al., 2023) and the synthesis of levulinate
179 esters from carbohydrates (Shan et al., 2023). However, these works do not address crucial aspects such as
180 the elementary steps and confinement effects of zeolites on the reaction mechanism, alternative techniques
181 for identifying reaction intermediates, and computational calculations to help understand the reaction
182 mechanism.

183 In this review, we investigate the different mechanisms proposed for esterification reactions in acidic
184 zeolites. Furthermore, we show how the integration of infrared (IR) spectroscopy experiments and atomistic
185 calculations can provide valuable information about the formation of active intermediates within confined
186 environments. By combining experimental and computational approaches, we gain a deeper understanding
187 of the intricacies of esterification reactions in acidic zeolites, shedding light on their catalytic behavior and
188 potential applications in the field of organic synthesis. To achieve this goal, this review has been divided
189 into four sections: The first is a brief introduction highlighting the distinctive features of this review. The
190 second addresses questions about the topology and activity of different zeolitic systems, since these
191 properties are closely correlated in the esterification process. The third section deals with the mechanisms
192 proposed in the literature. The fourth section presents advances in IR techniques and theoretical calculations
193 that can be applied to gain new insights into reaction mechanisms. Finally, this review concludes with a
194 subtle approach, highlighting the main aspects and perspectives of combining experimental and theoretical
195 techniques to elucidate different reaction mechanisms in zeolitic systems.

196

197 **2. Zeolitic Catalysts**

198 2.1. Topology vs active site

199 Zeolites are inorganic crystalline microporous materials that can be either found in nature or
200 synthesized; they are usually composed of Si and Al with a tetrahedral arrangement linked by oxygen atoms,
201 forming three-dimensional networks containing channels and cavities of different molecular dimensions
202 (Corma, 1997; Li et al., 2015; Liang et al., 2021). Currently, the structure commission of the International

203 Zeolite Association (IZA) recognizes over 255 types of zeolites (Zhang et al., 2023). However, theoretical
204 studies indicate a multitude of possibilities for synthesizing zeolitic frameworks, suggesting a promising
205 pathway for the design of new materials (Schwalbe-Koda et al., 2021).

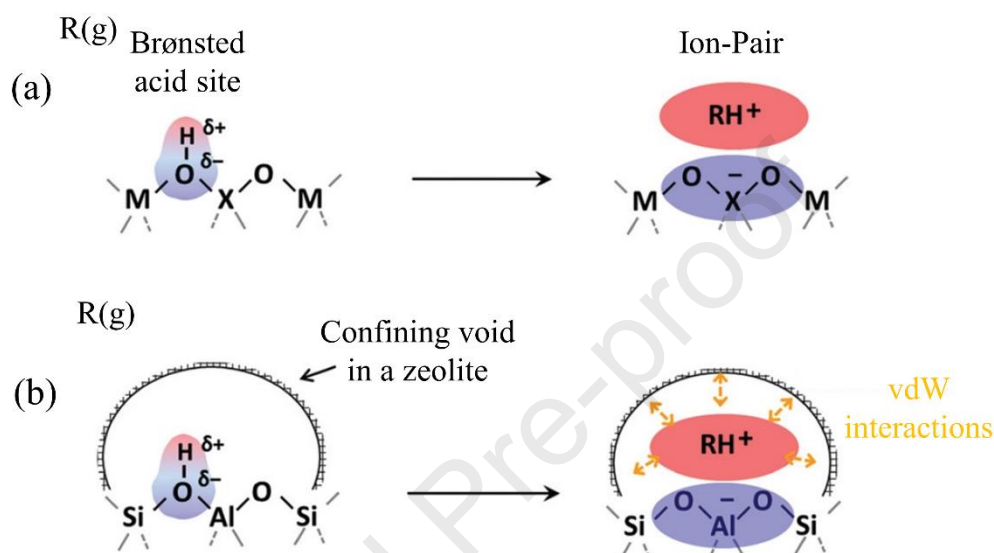
206 These structures exhibit high specific surface area, thermal stability, and the possibility of ionic
207 exchange. As a result, the microporous inside different zeolitic systems function as microreactors,
208 benefiting from improved selectivity and activity derived from the structural topology of these inorganic
209 solids (Corma, 2016; Ennaert et al., 2016). This characteristic has generated significant interest in utilizing
210 zeolites as acidic catalysts (Zhang et al., 2020; del Campo et al., 2021; Liang et al., 2021; Boronat et al.,
211 2022).

212 Such protonated materials behave as solid superacids with acidity rates compared to those of H_2SO_4
213 (Umansky et al., 1991; Ben Mya et al., 2018). However, differently from other acid catalysts, such as metal
214 oxides containing Brønsted acid sites (BAS), the strongest acid sites in zeolitic systems are generated in
215 confined void environments (Fig. 2), through the replacement of Si atoms by trivalent metal atoms, such as
216 Al^{3+} resulting in a negative charge on the structural lattice, that is counterbalance by a proton (Corma, 1995;
217 Gorte and White, 1997; Corma, 2003).

218 The strength adjustment of acid sites can also be modulated by the isomorphic replacement of Al^{3+} by
219 Ga^{3+} , Fe^{3+} , B^{3+} , Be^{2+} , Zn^{2+} , Ge^{4+} , Ti^{4+} , and Sn^{4+} (Li et al., 2015; Shamzhy et al., 2019; Opanasenko et al.,
220 2020), once the composition of tri- and tetravalent heteroatoms has influence on the acid strength, allowing
221 to adjust the acidity of the zeotype and thus adapt the catalyst properties as a function of the desired reaction
222 (Jones et al., 2014; Creci et al., 2021). In addition to the acid strength, the topology of pores, channels, and
223 cavities contributes to the stabilization of TS and the formation of intermediates inside these structures. The
224 confinement of the pores can significantly influence the electronic structures of the species adsorbed by
225 van der Waals (vdW) and electrostatic interactions, being able to compensate the intrinsic acidic strength
226 of the zeolites (Xiao et al., 2021). Therefore, by combining the strength of acidic sites and the confinement
227 effects, two effects can be observed: (i) electrostatic and covalent effects responsible for the strength of
228 active sites in the catalytic process, and (ii) vdW interactions resulting from the confined void environment
229 (Deshlahra and Iglesia, 2020).

230 The catalytic activity of BAS in confined environments (see Fig. 2) is generally described by the
231 deprotonation strength, which is often used in the interpretation of reaction mechanisms; however,
232 experimental techniques for measuring acidity in zeolites using probe molecules are challenged by several

233 factors, including confinement effects and interactions between probe molecules and Brønsted acid sites.
 234 In addition, acidity heterogeneity within the same zeolite structure can also affect acidity measurement. It
 235 is therefore important to consider these factors when performing acidity measurements on zeolites using
 236 probe molecules (Boronat and Corma, 2015, 2019).
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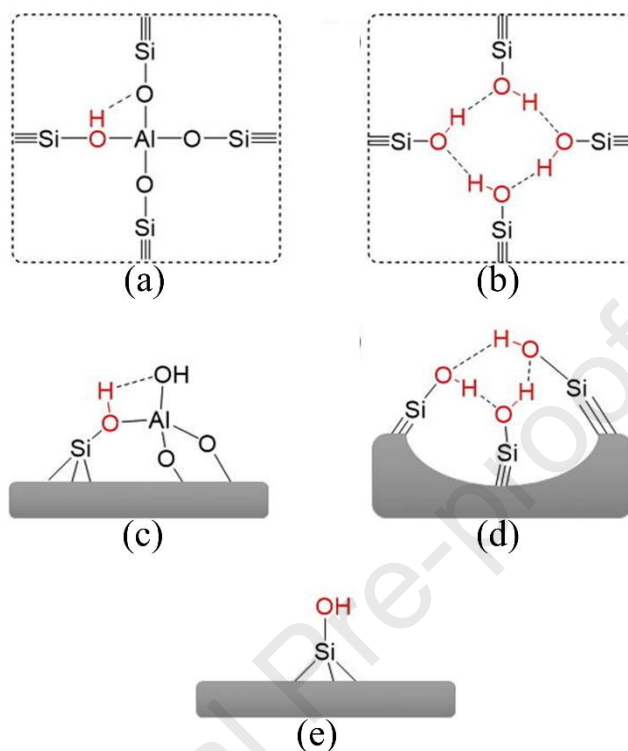
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 239 **Fig. 2.** Formation of cation–anion pairs in reactions of gaseous molecules (R(g)) at solid Brønsted acid sites
 240 attendant to (a) unconfined heteroatom embedded in a metal oxide and (b) aluminosilicate with confining
 241 void environments. Identities of the heteroatom (X) and the metal atom (M) in (a) influence acid strength
 242 and ion-pair interactions while void structures influence van der Waals stabilization of molecular species,
 243 from Deshlahra and Iglesia (2020).

244

245 Different types of O-H groups can be observed in the structure of aluminosilicates, implying a complex
 246 catalytic system, as shown in Fig. 3. Some hydroxyl groups behave as BAS in zeolites, with different origins
 247 and structures: bridging hydroxyl, nest hydroxyl, and terminal silanol groups (Zhai et al., 2017). The intense
 248 Brønsted acidity can be located either inside pores and cavities, or on the external surface (Fig. 3. a, c).
 249 Theoretical studies have shown that the deprotonation energy increases by 15-55 kcal mol⁻¹ after the
 250 relaxation of the geometry around the bridged O-H groups (Si-O-Al), which is an important parameter to
 251 influence acidity (Boronat and Corma, 2015). It has recently been shown that the high acidity of the
 252 hydroxyls in the zeolitic system may be related to the flexibility of the zeolite framework, which efficiently

253 accommodates the negative charge of the deprotonated center via structural relaxation, electron density
 254 redistribution, or hydrogen bond formation (Vayssilov et al., 2022).

255



256

257 **Fig. 3.** Illustrations of (a) intra-crystalline bridging hydroxyl, (b) intra-crystalline hydroxyl nest, (c)
 258 bridging hydroxyl on an external surface, (d) hydroxyl nest on an external surface and (e) external surface
 259 terminal silanol, from Zhai et al. (2017).

260

261 Additionally, the use of mesoporosity to generate less mass transfer effects, greater thermal stability,
 262 and to adjust the acid strength of active sites has been widely explored in the literature through bottom-up
 263 methods and heat treatments (Verboekend and Pérez-Ramírez, 2011; Opanasenko, 2018; Jia et al., 2019);
 264 these strategies result in numerous structural defects forming hydroxyl nests inside the pores and cavities
 265 as well as on the external surface (Fig. 3. b, c) due to the removal of Si^{4+} or Al^{3+} ions. However, extra-
 266 lattice aluminum species (Fig. 3 c) are also generated on the surface of these inorganic solids, and these
 267 species favor higher catalytic activity in specific reactions (Arca and Mota, 2018; Han et al., 2020).

268

269 Understanding of acid catalysis heavily relies on the accurate identification of different types of acidic
 270 sites (as shown in Fig. 3) and their relationship to the structure of microporous aluminosilicates. In this
 271 context, the use of solid-state nuclear magnetic resonance spectroscopy (Yi et al., 2021; Peng and Tsang,
 2022), the combination of gravimetric and IR analysis (Zholobenko et al., 2020), and the calculation of

272 deprotonation energy by computational modeling (Zhai et al., 2017; Trachta et al., 2022), provide highly
273 accurate qualitative and quantitative data on the local environment of acid sites in zeolitic systems.

274 Several studies on the reactivity of acidic zeolites have demonstrated that the structural topology,
275 location, and distribution of active sites within the pores and cavities of these materials play a crucial role
276 in understanding catalytic activity and selectivity (Corma, 1995; Vermeiren and Gilson, 2009; Kubička and
277 Kikhtyanin, 2015; Ennaert et al., 2016; Resasco et al., 2016; Li et al., 2017; Sultana and Fujitani, 2017;
278 Fawaz et al., 2020). It was shown that, although the apparent activation energies and enthalpies for propene
279 conversion over single and proximate protons were similar, the apparent entropy was lower for closer active
280 sites, suggesting a lower energetic barrier due to steric constraints for the carbenium ion intermediates
281 bound to nearby sites (Tabor et al., 2019; Hoffman et al., 2020). This effect can control the dissociative and
282 associative reaction pathways, as demonstrated by Golabeck for the dehydration of alcohols (Gołabek et
283 al., 2020). In addition, aluminosilicates with a higher Si/Al ratio exhibit greater efficiency in liquid-phase
284 reactions than other materials with a high Si/Al ratio due to hydrophobicity and greater thermal stability
285 (Li et al., 2015; Jamil et al., 2020).

286 Despite the challenge of understanding zeolites acidity, theoretical information at the atomic level about
287 the formation of the different acid sites suggests that the strength of a particular acid site can be increased
288 by hydrogen bonds or long-range electrostatic interactions, associated with the geometry related to the
289 location of the active site and the accessibility to O-H groups dependent on the orientation inside the pores
290 (Zhai et al., 2017). However, the deprotonation energy related to BAS is the result of a refined interaction
291 of structural, electrostatic, and electronic effects, observed only through quantum chemical calculations
292 (Boronat and Corma, 2019; Rybicki and Sauer, 2019; Vayssilov et al., 2022). While the simulated
293 deprotonation enthalpies for silanols range from 1186 to 1376 kJ mol⁻¹, those for BAS range from 1113 to
294 1187 kJ mol⁻¹ (Vayssilov et al., 2022). The strong acidity of zeolite systems can be explained by the
295 flexibility of the zeolite framework, which enables it to efficiently accommodate the negative charge of the
296 deprotonated center through structural relaxation.

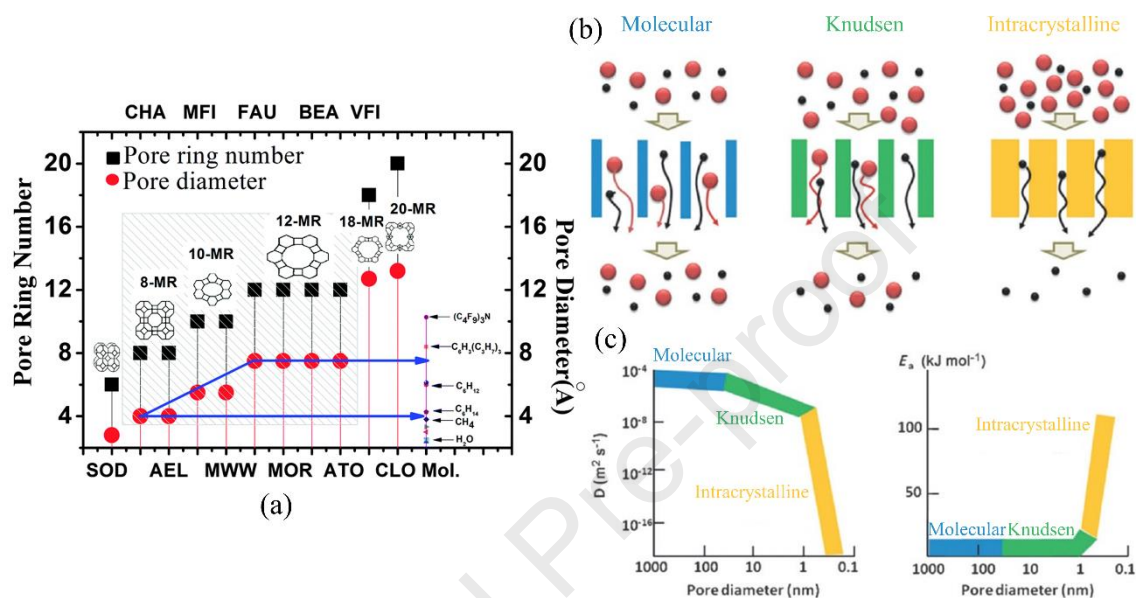
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298 2.2. Diffusion in zeolites

299 As shown in Fig. 4 a, zeolites with pores diameters close to 4-7.5 Å formed by 8, 10, and 12 member
300 rings (MR) are well explored in the transformation of hydrocarbons because their pores have diameters that
301 are compatible with the size of refined hydrocarbons in the petrochemistry industry (Shi et al., 2015b). In

302 the specific case of sodalite zeolites (see Fig. 4 a. **SOD**), pores that are only 0.28 nm in diameter will inhibit
 303 the catalytic process, eliminating the possibility of bulky reagents diffusing through the pore and reacting
 304 with the active sites within the catalyst. Thus, the limitations on mass transfer involving zeolitic systems
 305 play an important role in the overall reaction rate.

306



307

308 **Fig. 4.** a) Relationship of molecular diameter, pore diameter and pore ring number of different zeolites from
 309 Shi et al. (Shi et al., 2015b). Representation of the effect of pore size on the diffusion of large (red) and
 310 small (black) molecules. b) Effects of pore diameter on molecular diffusivity and of the energy of activation
 311 on diffusion from Li et al. (2014).

312

313 Bulky molecules are prevented from having free access to active sites inside the inorganic solid
 314 microporous due to the molecular sieve effect. In turn, molecules with access to the pores and cavities of
 315 the zeolites undergo diffusion processes by migrating towards the active centers within the pores (Fig. 4b);
 316 three classical types of diffusion can be observed: molecular, knudson, and intracrystalline diffusion.

317 The diffusion of perfectly fitted molecules into the microporous of zeolites is described as
 318 intracrystalline diffusion, or configurational diffusion, and is often observed to control molecular traffic
 319 within microporous solids (0.1-1 nm). It is highly dependent on reactants, type of zeolitic framework
 320 (tortuosity of the confined spaces), and temperature.

321 As the size of the molecules becomes similar to that of pores, the diffusivity decreases sharply to become
 322 smaller than molecular or knudsen diffusion (See Fig. 4 c) (Li et al., 2014). In the specific case of

323 intracrystalline diffusion, diffusivity is inversely proportional to the activation energy, which indicates that
324 temperature is an essential factor in the diffusion process in zeolitic systems. At low temperatures, the
325 reaction rate is unrestricted by diffusion resistances, and the observed activation energy represents the true
326 value. At high temperatures, however, the reaction rate is hindered by diffusion resistances, resulting in an
327 activation energy that is half of the true value (Hartmann et al., 2016).

328 In addition to the diffusion modes presented in this perspective, the ultrafast transport (hyperloop-like
329 diffusion) of adsorbates in confined spaces has recently been observed for long-chain alkanes and one-
330 dimensional zeolites (Yuan et al., 2023). In this situation, rapid diffusion was achieved when the long-chain
331 molecules maintained their linear structure and operated in the center of the channel. However, this effect
332 is not expected to be relevant in esterification reactions involving molecules derived from FFA's. Unlike
333 alkanes, carboxylic acids have more reactive functional groups, and bulky FFA have unsaturation that
334 results in collisions inside the pores. It is important to emphasize that the applicability of this model so far
335 is limited to zeolites with monodirectional pores: the same transport process has not been observed in three-
336 dimensional structures.

337 The diffusion process can be explored using a relationship between particle size and catalytic activity
338 through the Thiele modulus (Φ) (Thiele, 1939). Low Φ values ($\Phi < 0.4$) are characteristic of reactions
339 limited by kinetics, with the chemical reaction rate at the surface of the catalyst being lower than that of the
340 diffusion. On the other hand, reactions with high Φ values indicate that the diffusion process is the limiting
341 step. In this context, the morphological properties of different zeolitic systems have a far-reaching effect
342 on their industrial applications, providing high shape selectivity for these microporous materials.

343 Many investigations have presented new strategies based on post-synthesis treatment (top-down
344 strategies) or during zeolite synthesis (bottom-up strategies) to modify the structure of different zeolitic
345 systems in order to optimize selectivity and decrease the diffusive effects in different reactions (Verboekend
346 and Pérez-Ramírez, 2011; Hartmann et al., 2016; Bai et al., 2019; Shamzhy et al., 2019; Kerstens et al.,
347 2020; Weissenberger et al., 2021; Silva et al., 2022; Yang et al., 2022; Zapelini et al., 2023). These studies
348 show that zeolitic frameworks with additional porosity favor the reduction of mass transfer problems
349 associated with conventional microporous systems, resulting in lower steric hindrance for bulky molecules;
350 moreover, the pore blocking effect caused by coke species deposited on the surface can be minimized,
351 providing higher efficiency and a longer lifetime in zeolitic catalysts. Recently, these effects were
352 demonstrated for the production of methyl esters from the esterification of oleic acid and methanol on

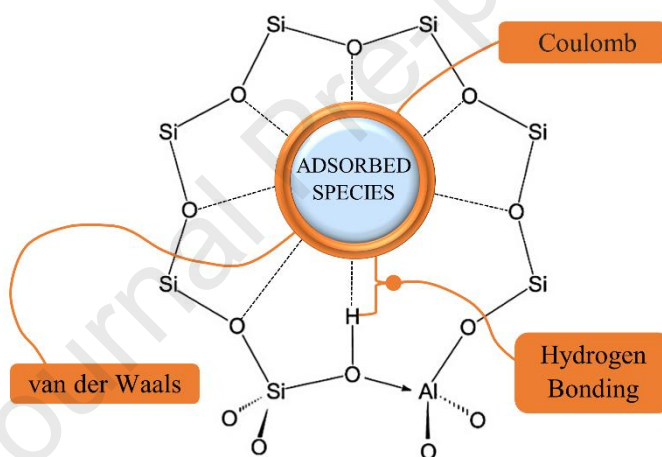
353 desilicate H-MOR zeolite (Gomes et al., 2021). The authors reported that the hierarchical zeolite (H-MOR-
 354 D) showed higher conversion to oleic acid esterification than H-MOR (70% vs. 44%). Additionally, it
 355 demonstrated increased stability during reuse cycles, attributed to the higher accessibility of reagents to
 356 active sites located inside the pores.

357

358 2.3 Confinement effect on zeolitic frameworks

359 The confinement environment results in conformational distortions of both the inorganic solid structure
 360 and that of the adsorbed species inside the pores, affecting the reaction kinetics (Derouane, 1986; Derouane
 361 et al., 1988; Derouane, 1998). The structure of these microporous solids schematized in Fig. 5 provides a
 362 unique confinement effect by maximizing vdW and Coulomb interactions.

363



364

365 **Fig. 5.** Illustration to show the confinement environment in acidic zeolites. The species adsorbed inside the
 366 pores can interact with the zeolite surface via hydrogen bonding with the acid site, van der Waals
 367 interactions.

368

369 The spatial restriction inside the microporous increases the equilibrium constant of sorption and the
 370 concentration of chemical species (reagents, intermediates, and TS) on the active sites involved in the
 371 catalytic process (Derouane, 1986). This effect favors species that better interact with oxygen atoms, which
 372 constitute the zeolite framework, and may act separately or together with catalytic sites (Sastre and Corma,
 373 2009; Sastre, 2016; Sultana and Fujitani, 2017). Therefore, when the zeolite pore diameter size is much
 374 larger than that of the adsorbed reagent size, the confinement effect becomes weak and may be significant

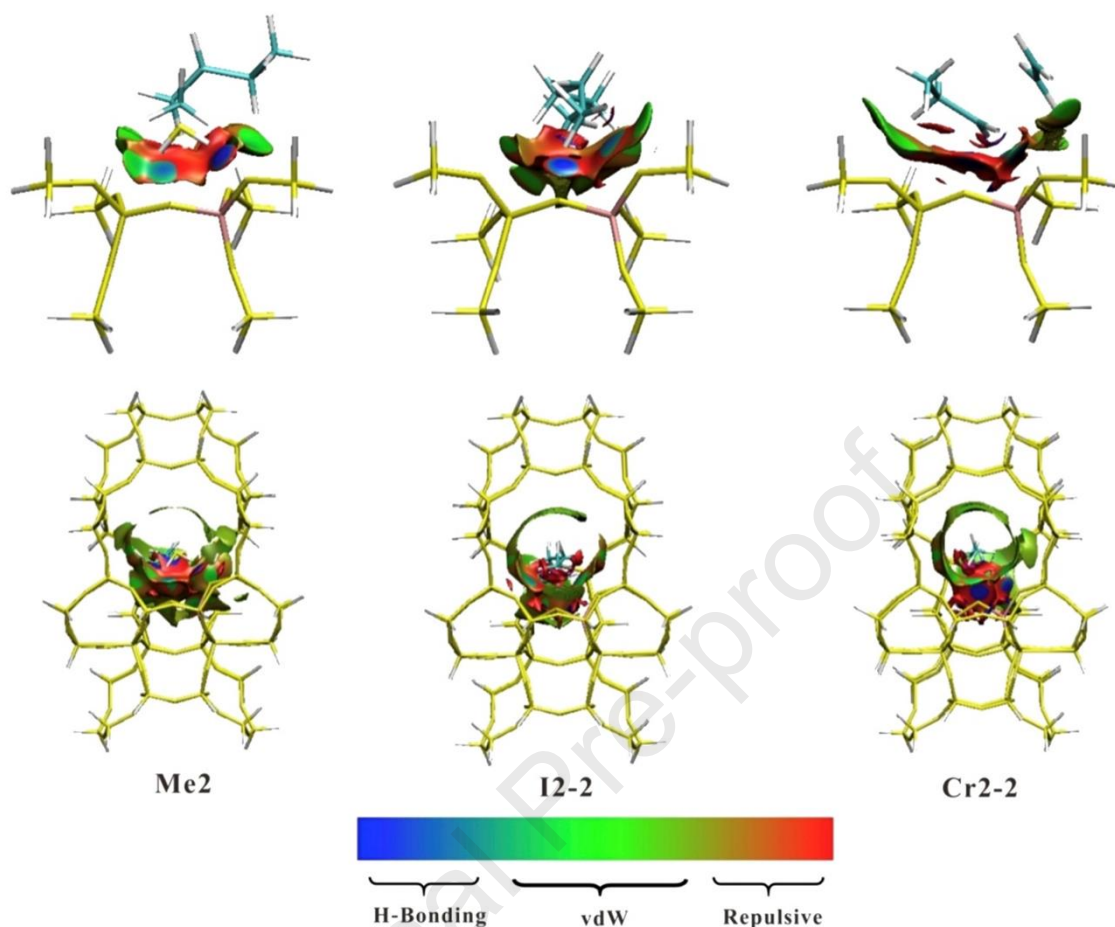
375 for bulkier adsorbates, forming a low electron density region between the cavity surfaces and the adsorbate
376 (Fu et al., 2017).

377 The structure topology of pores and cavities in microporous systems makes zeolites act as solid solvents;
378 as a result, the system is organized in a way that provides maximum stabilization to TS (Arca et al., 2014;
379 Arca and Mota, 2018; Ozorio et al., 2021). Calculations based on the Density Functional Theory (DFT)
380 showed that the confined environment around molecules adsorbed within the pores distorts to maximize
381 vdW interactions through the interaction between the oxygens of the crystal lattice and the atoms of the
382 adsorbed species (Sarazen and Iglesia, 2018). This effect is observed in the thermodynamic properties since
383 the structural distortions of the inorganic solid recover enthalpy penalties while balancing the entropy losses
384 associated with the rigid confinement. Other authors have compared the energy barriers between small and
385 larger zeolite models calculated at the same level, where significant differences were found when
386 confinement was considered (Sacchetto et al., 2015; Wen et al., 2016; Shen, 2017).

387 The confinement effect was demonstrated through DFT calculations by using two **MFI**-type zeolite
388 cluster models of different sizes [small 8 T model (T = Si or Al), which represented the local properties of
389 the active center, and a full pore structure 72 T model, which considers confinement], for the reaction of
390 methanol to olefins (Zhang et al., 2016). The visualization of isosurfaces of reduced density gradient in real
391 space can describe the noncovalent interactions between the reactants and the zeolite frameworks; thus, the
392 effect of the vdW was observed in the stabilization of the TS, as shown in Fig. 6. Here it is suggested that
393 the structure of the confined void environment favors more effective vdW interactions (green region in Fig.
394 6), which act in the stabilization of intermediates and TS, decreasing energy barriers. Clearly, the increased
395 cluster size is beneficial for enhancing stability mainly through electrostatic interactions and vdW
396 interactions (Tang et al., 2021).

397 Another work using DFT calculations and electron density analysis showed that for different types of
398 zeolites (**MFI**, **BEA**), the large number of host-guest interactions found between the zeolite framework and
399 the confined species are related to the energies involved in the reaction, differentiating the interactions
400 related to the confinement effect from those related to the reaction on the active site (Zalazar et al., 2018b).
401 Also, confinement effects play a crucial role in zeolites with large pore voids, such as Y zeolites where the
402 stabilization energies of all species formed during the protonation reaction of styrene on H-FAU are
403 significantly influenced by confinement effects, which are attributed to the weak host-guest interactions,
404 besides the acid strength, as demonstrated by Zalazar et al., (2018a).

405



406

407 **Fig. 6.** Isosurface plots of reduced density gradient for the transition states species (confined in H-ZSM-5
 408 zeolite) of C_5^+ formation from butene methylation (Me2), C_5^+ isomerization reaction (I2-2) and
 409 C_5^+ cracking to ethene (Cr2-2). The isosurfaces of reduced density gradient are colored according to the
 410 values of the quantity $\text{sign}(\lambda_2)\rho$, and the RGB scale is indicated. vdW represents the van der Waals
 411 interaction, from Zhang et al. (2016).

412

413 Different experimental and theoretical investigations show that the reactivity involving zeolites as
 414 catalysts is the result of small changes in the size and shape of the confined spaces, which result in the
 415 solvation of the TS and active intermediates; this behavior has been previously observed in classical
 416 concepts of enzymes (Ferri et al., 2023). In the frame of this review, significant examples attesting the
 417 importance of confinement effects in zeolite-involved reactions have been highlighted. This effect could be
 418 of greater relevance in esterification reactions involving bulky molecules such as FFA, where the intricate
 419 interplay between confinement, acid strength, and catalytic efficiency within the multifaceted realm could

420 maximize selectivity and catalytic activity. This concept can play a crucial role in specific chemical
421 processes, ensuring greener catalysts for the conversion of industry-oriented platform.

422

423 **3. Esterification mechanisms using micro-mesoporous acid catalysts**

424 3.1. Mechanisms of esterification on the basis of kinetic studies

425 Catalysis involving zeolites follows the concept of heterogeneous reactions, which generally take place
426 at the interface of a solid. The theoretical models of Pseudo-Homogeneous (P-H), Eley-Rideal (E-R),
427 Langmuir-Hinshelwood (L-H), and Hattori are explored in the literature to understand heterogeneous
428 catalysis (Weinberg, 1996; Heynderickx et al., 2020). These kinetic models, based on a fundamental
429 reaction mechanism, are shown in Table 1 using mathematical equations to describe the process of
430 esterification.

431 The P-H model (P-H, Eq. 1) assumes that reactions occur throughout the reactor volume, and that the
432 concentration of the species on the catalyst remains constant, with no adsorption terms being considered
433 for the species involved in the reaction. The E-R model (E-R, Eq. 2) suggests that the adsorption step
434 controls the reaction rate (r_{ER}). In this case, the adsorption of the carboxylic acid on the active site generates
435 a reactive intermediate to facilitate the reaction with the alcohol. On the other hand, in the L-H mechanism
436 (L-H, Eq. 3), two reagents (carboxylic acid and alcohol) are adsorbed on the active sites, allowing the
437 reaction to proceed with the adsorbed species. The Hattori mechanism (H, Eq. 4) combines elements of
438 both the E-R and L-H models. In this mechanism, both reactants initially bind to adjacent active sites and
439 then combine to form an intermediate surface complex bonded to only one active site.

440 These four kinetic models (P-H, E-R, L-H, and Hattori) were recently compared to describe the
441 catalyzed oleic acid esterification reaction on USY zeolite and UiO-66 MOF (Chaemchuen et al., 2020;
442 Ketzer and de Castilhos, 2021). Among the mentioned mechanisms, the E-R mechanism was accepted as
443 an adequate model for the esterification of oleic acid with UiO-66 in this study based on the application of
444 intrinsic initial reaction rate data. This model variant suggests that the surface reaction between the adsorbed
445 oleic acid and methanol from the liquid phase is the rate-limiting step, and an additional active site is
446 consumed to produce the ester and water as surface reaction products, so that a total of two active sites are
447 used.

448 On the other hand, the analysis of the parameterization of the kinetic models for esterification, it was
449 showed that the excessive number of parameters present in the E-R and L-H models (see Table 1 Eq. 2 and

3) can be related to a slight increase in the coefficient of determination (R^2) and a decrease in the objective function values. The P-H model fit the experimental data better than the L-H or E-R models. However, it is not possible to rationalize the discrimination of a reaction mechanism through this kinetic model because it does not take into account the adsorption terms that influence the reaction kinetics (Tesser et al., 2010; Zhou et al., 2020).

455

Table 1. Rate expressions based on elementary steps for the esterification reaction of oleic acid and methanol over heterogeneous catalysts.

457

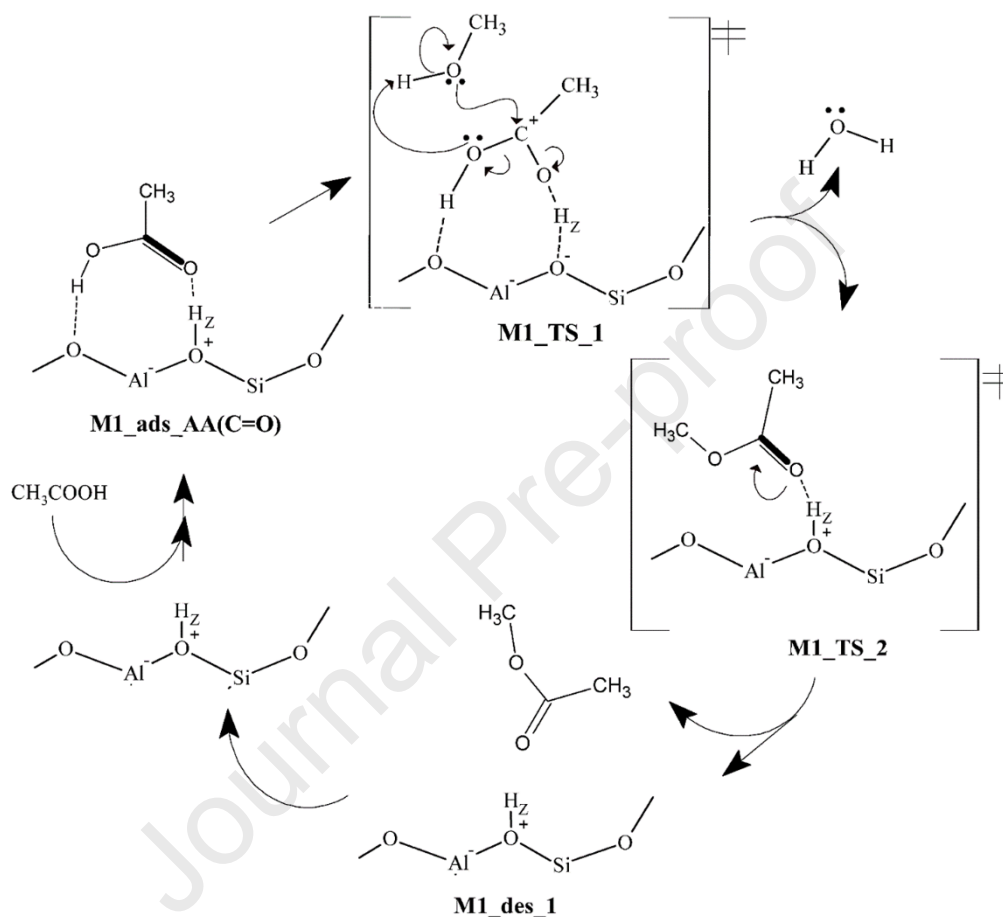
Kinetic models \pm	Reaction rate expression	Eq.
Pseudo - Homogeneous	$r_{PH} = k \left(C_{OA} - \frac{C_{MO}}{K} \right)$	Eq.1
Eley - Rideal	$r_{ER} = \frac{k \left(K_{OA} C_{OA} C_{MA} - \frac{K_{MO} C_{MO} C_{AA}}{k} \right)}{1 + K_{OA} C_{OA} + K_{MO} C_{MO}}$	Eq.2
Langmuir - Hinshelwood	$r_{LH} = \frac{k \left(K_{OA} K_{MA} C_{OA} C_{MA} - \frac{K_{MO} K_{AA} C_{MO} C_{AA}}{k} \right)}{\left(1 + K_{OA} C_{OA} + K_{MA} C_{MA} + K_{MO} C_{MO} + K_{AA} C_{AA} \right)^2}$	Eq.3
Hattori	$r_H = \frac{k K_{OA} K_{MO} C_{tot} \left(C_{OA} C_M - \frac{C_{MO} C_w}{K_{eq}} \right)}{\left(1 + K_{OA} C_{OA} + K_M C_M + \frac{C_{MO^*}}{C_{(MO)} C^*} \cdot C_{MO} C_w + \frac{C_{MO^*}}{C_{(MO)} C^*} C_{AA} + K_W C_w \right)^2}$	Eq.4

Where C_{OA} , C_{MA} , C_M , C_{MO} , C_{AA} , and C_w are oleic acid, methyl acetate, methanol, methyl oleate, acetic acid, and water concentrations (mol dm^{-3}). The site balance is given by C_{tot} . The adsorption equilibrium constants of oleic acid, methyl oleate, methyl acetate, water, and acetic acid (L mol^{-1}) are denoted by K_{OA} , K_{MO} , K_{MA} , K_W , and K_{AA} . K is the equilibrium constant. k is the forward reaction rate constant ($\text{g}_{\text{cat}}^{-1} \text{min}^{-1}$). Surface intermediate (OA-M)*. \pm (Chaemchuen et al., 2020; Ketzer and de Castilhos, 2021).

458

Corma et al., (1989) demonstrated that the mechanism of esterification of carboxylic acids with MeOH catalyzed by H-Y involves, as a first preferential reaction step, the protonation of the carboxylic acid on sites with strong acidity, followed by a nucleophilic attack by the alcohol. Similar results were observed for the esterification kinetics of acetic acid (AA) and ethanol on MCM-41, demonstrating that AA needs to be adsorbed on the surface and subsequently protonated for the formation of esters following the L-H-type mechanism (Koster et al., 2001). However, these results were observed for mesoporous materials (MCM-41), wherein the pore size permits the conformation of the double-site adsorption.

466 Investigations on liquid-phase esterification of AA with benzyl alcohol on different acidic zeolites (H-
 467 Beta, H-Y, and H-ZSM-5) have proposed a plausible reaction mechanism for esterification in zeolitic
 468 systems (Kirumakki et al., 2004). Scheme 1 represents the esterification mechanism adapted based on
 469 Kirumakki's investigation (Kirumakki et al., 2004).
 470



471
 472 **Scheme 1.** Mechanism M_1 adapted for the esterification reaction of acetic acid and methanol on the
 473 Brønsted acid site in zeolitic systems from Kirumakki et al. (2004).
 474

475 Esterification on BAS (Scheme 1, M_1) occurs through the protonation of the carboxylic acid (acetic
 476 acid molecule) as a chemisorbed acyl intermediate on the surface of the catalyst, which becomes
 477 electrophilic. In that mechanism, the adsorption of the carboxylic acid is stabilized by other oxygen atoms
 478 that constitute the crystal lattice of the aluminosilicate, thus acting as Lewis acids on the hydroxyl group of
 479 the organic molecule (M1_ads_AAC=O). Possible TS occurs through the nucleophilic attack of the alcohol,
 480 resulting in a water molecule and the stabilization of the acyl group on the proton (H_Z). In the subsequent

481 step, the ester formed on the BAS gives rise to the new TS (M1_TS_2), resulting in the desorption of the
482 final product from the surface of the catalyst.

483 The mechanism proposed by Kirumakki is based on an E-R type mechanism, in which the reaction rate
484 increases due to the concentration of a single species (acetic acid), regardless of the size of the alcohol
485 molecule used in the experiment. This suggests a nucleophilic attack between the adsorbed carboxylic acid
486 and the non-adsorbed alcohol as the rate-limiting step. In medium pore zeolites (ZSM-5 channels, 0.56 nm
487 \times 0.53 nm), the accessibility of bulky molecules to the active sites within the zeolite is unlikely, and this
488 effect results in the inhibition of the formation of active intermediates and subsequent products. In this
489 situation, the reaction is favored by zeolites with larger pores and cavities, such as H-Y and H- β (Jermy and
490 Pandurangan, 2005; Kirumakki et al., 2006).

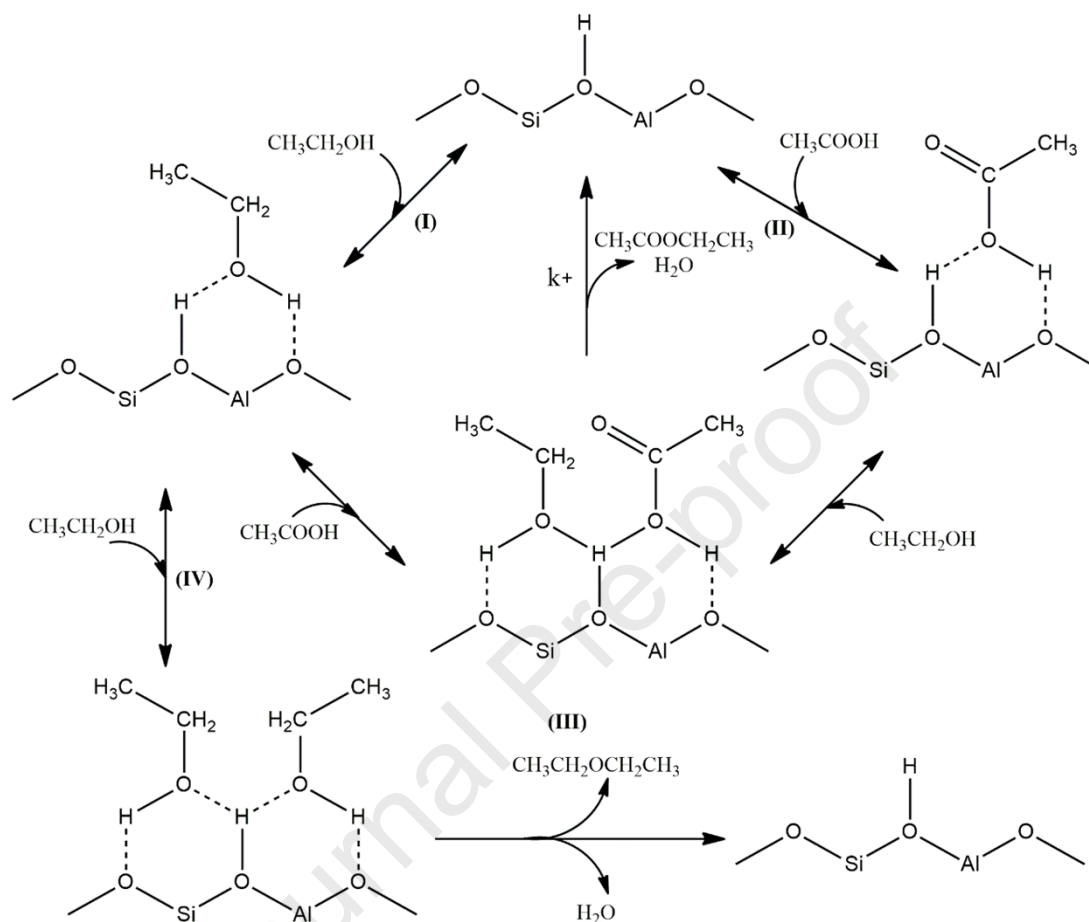
491 In later studies of the esterification kinetics between AA and methanol (MeOH) in SBA-15
492 functionalized with propylsulfonic acid, Miao and Shanks (2011) observed that the adsorption of MeOH
493 leads to a significant decrease in the reaction rate, whereas the adsorption of AA or AA-MeOH leads to a
494 significant increase in the reaction rate, suggesting the predominance of the L-H mechanism. This study
495 clearly demonstrates the prevalence of AA adsorption, similar to what is observed in zeolite systems, where
496 the E-R mechanism prevails. However, these intriguing observations raise pertinent questions about the
497 specific intermediate steps that exert influence over the overall reaction.

498 Subsequently, Bedard et al. (2012) analyzed the esterification reaction of AA in the gas phase with
499 ethanol on different types of acidic zeolites (H-BEA, H-FER, H-MFI, and H-MOR) and, through kinetic
500 studies, proposed a new mechanism (M_2) that proceeds through a surface acetic acid/ethanol co-adsorbed
501 complex involved in the rate-determining reaction step. In their study the author describe in detail the
502 reaction pathways for the formation of stable intermediates in the adsorption step (Scheme 2). Briefly, (I)
503 the first route initially involves the interaction of an alcohol molecule with the protonated surface of the
504 catalyst, forms a stable intermediate (ethoxide) to react with an AA molecule in the gas phase, and giving
505 rise to a coadsorption complex that will later result in the ester and water formation.

506 A second route (II) would be that, instead of the ethanol molecule being adsorbed on the surface of the
507 protonated zeolite, first there would be the adsorption of AA on the surface of the catalyst. The adsorption
508 of the acid molecule occurs via the hydroxyl group, forming one interaction with the BAS and another one
509 with the adjacent oxygen belonging to the zeolite framework. The ethanol addition on the adsorption

510 complex (adsorbed acid) leads to an intermediate (III) that is dehydrated as the mechanism proceeds,
 511 resulting in the formation of ethyl acetate.

512



513

514 **Scheme 2.** Mechanism M₂ for the esterification reaction of acetic acid and ethanol on protonated zeolites
 515 from Bedard et al. (2012).

516

517 Both adsorption routes (I and II) lead to a stable intermediate (III), suggesting the H_Z sharing in a
 518 coadsorption process in which the two reactants (alcohol and acid) are both adsorbed on the surface of the
 519 catalyst, similarly to the L-H mechanism. This effect demonstrates that alcohol adsorption (route I) can be
 520 disregarded, since experimentally there is a negative dependence on the reaction rate of ethyl acetate in the
 521 presence of ethanol. However, under the same conditions for AA, the reaction rate of ethyl acetate becomes
 522 positive, indicating the existence of a co-adsorbed complex, in which both the alcohol and the acid must be
 523 present in the adsorption step of the esterification of carboxylic acids over BAS (Bedard et al., 2012). The
 524 authors also propose a route IV for the formation of ethanol dimers, which does not result in the formation
 525 of the desired product. In that study, the adsorption of reagents on the active sites of different zeolite

526 frameworks can be questioned, since it would be the limiting step of the esterification reaction on
527 microporous acidic solids.

528 Subsequently, Isernia (Isernia, 2014) proposed an adsorption mechanism for fatty acids on zeolitic
529 systems treated at high temperatures (800 - 1000 K). In this case, the sorption is induced through the London
530 dispersion forces of methyl groups (CH_3) of the carboxylic acid on the external zeolite surface. However,
531 this adsorption results in lower esterification reaction rates when compared to materials with high BAS
532 density. This catalytic process occurs in external sites with lower acid strength (Lakiss et al., 2020), which
533 implies low reactivity.

534 In this context, it is observed that different studies propose different mechanisms for the esterification
535 reaction in acidic microporous and mesoporous based on kinetic studies in gas and liquid phases. According
536 to a review by Ciddor et al. (Ciddor et al., 2015) the accepted mechanism is the one proposed by Bedard
537 et al. (Bedard et al., 2012) (see Scheme 2). However, a careful review of various mechanism proposals in
538 the literature revealed that the adsorption step of AA could occur through the interaction of either the
539 carbonyl or hydroxyl group of AA with the BAS. Each possibility leads to different elementary steps in the
540 overall process. Consequently, obtaining a comprehensive understanding of the adsorption mechanism of
541 carboxylic acids and alcohols within the zeolite structure from catalytic data becomes an arduous task
542 because the kinetics of reactions involving numerous intermediates and elementary steps do not provide a
543 complete understanding. In addition, kinetic models are not sensitive enough to detect short-lived
544 intermediates. Thus, the ability to discern the effects of zeolite structure on esterification kinetics depends
545 on the decomposition of elementary steps along with the simultaneous description of the effects of spatial
546 constraints on the stability of adsorbed intermediates.

547

548 3.2. Complementary studies of the mechanism of esterification based on theoretical calculations

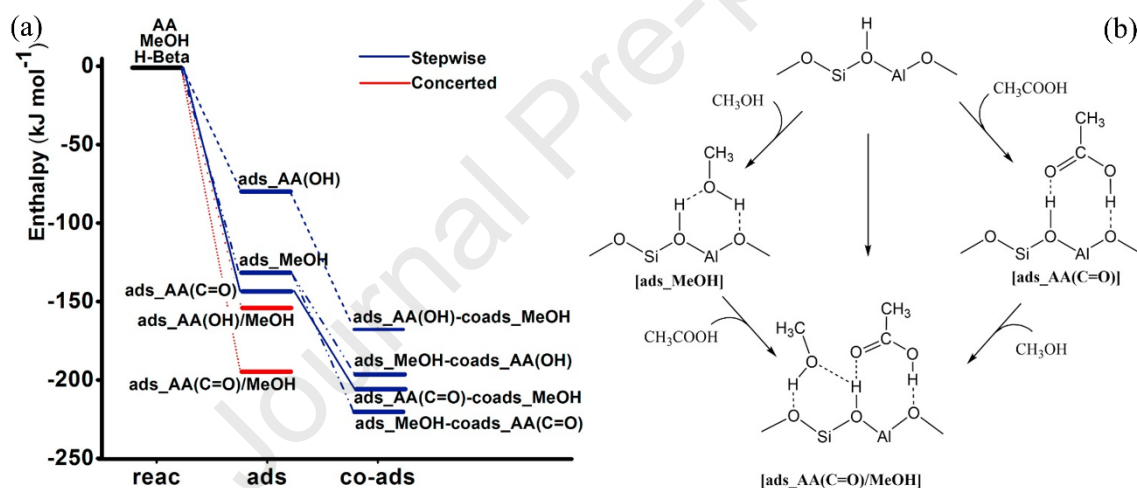
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550 From an atomistic point of view, the study of the adsorption mechanism becomes much more complex,
551 as it involves the energetic analysis of different molecular conformations (adsorption modes) that come
552 into play during the adsorption of reagents. In order to fully understand the different elementary steps of
553 adsorption (since in general all the discussions proposed that the adsorption step be the rate-determining
554 reaction step) according to the different mechanisms postulated in the literature (Kirumakki et al., 2006;
555 Bedard et al., 2012), a detailed study of these factors was carried out by Gomes et al (Gomes et al., 2017).

556 Their work resulted in an improved proposal for the adsorption mechanism by using IR spectroscopy,
 557 thermogravimetric analysis (TG), and theoretical calculations based on DFT (Scheme 3) (Gomes et al.,
 558 2017). The adsorption step for the esterification reaction on acid zeolites can either occur in two different
 559 steps or in a single step, giving rise to the AA/MeOH co-adsorbed complex involved in the rate-determining
 560 reaction.

561 The proposed mechanism implies that the adsorption complex of AA/MeOH on BAS can be formed in
 562 two ways, giving rise to two pathways for the formation of different adsorption complexes: (I) by the
 563 stepwise adsorption of an AA or MeOH molecule on the active site, followed by the coadsorption of a
 564 second molecule, resulting in an adsorbed complex formed in two elementary steps; or (II) by the concerted
 565 simultaneous adsorption of AA and MeOH on the catalytic site. In turn, AA could be adsorbed by a carbonyl
 566 or hydroxyl group, and depending on the adsorption mode, the mechanism may be different.

567



569 **Scheme 3.** (a) Enthalpy profile for the adsorption and co-adsorption of methanol and acetic acid on H-Beta
 570 zeolite calculated at M06-2X/6-31G(d) level. (b) Revised mechanism for the formation of the acetic
 571 acid/methanol adsorption complex from Gomes et al. (2017).

572

573 Considering the energetically more stable structures in the three ways to form the adsorption complexes
 574 (see Scheme 3 a), no significant differences were observed when comparing the energy magnitudes between
 575 the complexes that involve the AA adsorption [ads_AA(C=O) , $\Delta H^\circ = -143.7 \text{ kJ mol}^{-1}$] and the MeOH
 576 coadsorption [$\text{ads_AA(C=O)-coads_MeOH}$, $\Delta H^\circ = -194.6 \text{ kJ mol}^{-1}$], and proton sharing as a one-step
 577 adsorption [ads_AA(C=O)/MeOH , $\Delta H^\circ = -194.6 \text{ kJ mol}^{-1}$] for BEA structures (Gomes et al., 2017); similar
 578 results were also observed for **MFI** structures (Gomes et al., 2019b). These results suggest that the

579 determining reaction step must involve these adsorbed complexes, in which AA interacts with the zeolite
580 surface through the C=O group (as opposed to the mechanism proposed by Bedard et. al (Bedard et al.,
581 2012), in which AA adsorption is through the hydroxyl group).

582 Analyzing the route II proposed by Bedard et. al (Bedard et al., 2012) (see Scheme 2), a new mechanistic
583 scheme (see Scheme 4) becomes plausible, in which the adsorption of an alcohol (MeOH) could be the
584 initial step.

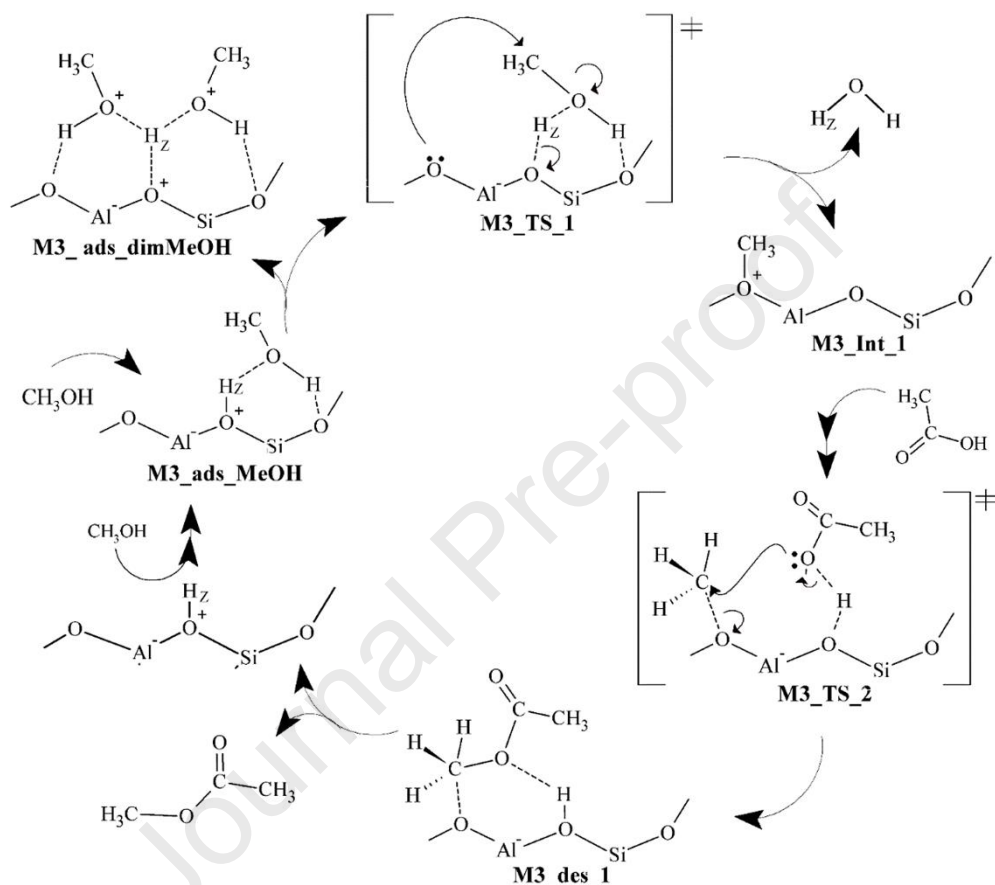
585 The initial interaction occurs through the adsorption of MeOH on the BAS, forming two hydrogen
586 bonds. One between the $H_Z \cdots O$ of alcohol and the other referring to the hydroxyl group with the zeolite
587 crystal lattice, forming the M3_ads_MeOH complex, a widely explored step for other reactions (Van der
588 Mynsbrugge et al., 2012; Van Speybroeck et al., 2014; Van Speybroeck et al., 2015; Piccini et al., 2018;
589 Costa et al., 2019). The adsorbed complex can follow two distinct paths, which give rise to the formation
590 of MeOH dimers (M3_ads_dimMeOH) or to a possible TS (M3_TS_1). In the case of the
591 M3_ads_dimMeOH complex, H_Z can be solvated by alcohol molecules that interact with BAS, inhibiting
592 the catalytic process in subsequent steps. It was reported that the adsorption of alcohol dimers onto H-ZSM-
593 5 removes H_Z from the structural framework of the catalyst, resulting in the fluctuation of H_Z between the
594 two alcohol molecules in dynamic equilibrium, thereby inhibiting the esterification process (Gomes et al.,
595 2019b).

596 In M3_TS_1, alcohol protonation occurs for the subsequent formation of a water molecule and a
597 methoxide intermediate, which undergoes a nucleophilic attack by the oxygen adjacent to the BAS, which
598 in turn acts as a Lewis acid site, forming a methyl intermediate; in a second TS (M3_TS_2), such an
599 intermediate could react with a carboxylic acid molecule adsorbed on the surface of the zeolite through the
600 hydroxyl group, resulting in the sequence of mechanisms in the formation of an ester adsorbed on the zeolite
601 and the regeneration of the acid site (see M3_des_1) for a new catalytic cycle.

602 The carbonyl protonation energy for carboxylic acids is a spontaneous process in a homogeneous acid
603 medium, showing a lower energy barrier than that of protonation by the hydroxyl group, although the
604 protonation rate also depends on spatial factors. The protonation of the carboxylic acid through the hydroxyl
605 group favors the formation of the acyl ion, which is a highly active agent in the esterification reaction in a
606 homogeneous medium (Shi et al., 2015a). However, the presence of the acyl ion in the confined
607 environments of a zeolitic framework could imply a greater energy barrier in the initial steps of the
608 mechanism and is not expected to be formed because the formation of the acyl cation lacks the assistance

609 of the framework's oxygen atoms for its stabilization, given that it would be positioned far from the surface
 610 of the zeolite (Fernandes et al., 2012). In this situation, the adsorption in steps or in a concerted mechanism
 611 is energetically favored by the carbonyl group, that is, the opposite of what occurs in a homogeneous
 612 medium.

613



614

615 **Scheme 4.** Mechanism M₃ for esterification of acetic acid and methanol on Brønsted acid sites via
 616 methanol adsorption.

617

618 As mentioned at the beginning of this section, despite the enormous efforts made for the compression
 619 of the esterification mechanisms of carboxylic acids on micro-mesoporous solids, further discussion is
 620 required on the effect of different topologies of zeolites and the real role of active sites in FFA conversion.

621

622 3.3. FFA's model molecules used in esterification catalyzed by acidic zeolites

623 The conversion of fatty acids into esters for various applications through zeolite-catalyzed esterification
 624 must be understood in order to optimize the process and achieve high conversions. In this sense, the use of
 625 model molecules in esterification makes it easier to carry out experimental and theoretical studies, since

626 they are smaller and more volatile, do not have the chemical complexity of residual oils and fats, and contain
627 the main functional groups. In addition, molecules such as acetic acid, levulinic acid, palmitic acid, and
628 oleic acid allow exploring their combinations with other molecules to form bulky intermediates, which can
629 present different types of interactions and conformations within confined environments.

630 In order to understand the catalytic performance of acidic zeolites in the conversion of palmitic acid,
631 Prinsen et al. (2018) compared the catalytic activity of the zeolites H-ZSM-5 and H-Y with different Si/Al
632 ratios in an esterification reaction in liquid phase under mild conditions of operation. The authors suggest
633 that the esterification must be mainly catalyzed by the BAS, through a proton transfer from the acidic sites
634 to the carbonyl oxygen of the AA and, consequently, the formation of a carbenium ion, followed by
635 nucleophilic attack of an alcohol molecule and subsequent deprotonation, dehydration, and formation of
636 the desired product. Similar results were observed in an in situ experiment on H-ZSM-5, which showed
637 that the formation of the ester of interest occurs via the electrophilic reaction of protonated acid (adsorbed
638 on zeolite) with alcohol (Mowla et al., 2018).

639 Also (Prinsen et al. (2018), demonstrated that pore size and hydrophobicity hold greater significance
640 than the density of strong acid sites (BAS) for facilitating the reaction process. This phenomenon can be
641 attributed to the fact that H-Y zeolites possess large supercage voids (1.3 nm) connected by 12-MR
642 windows (0.74 nm), while H-ZSM-5 zeolites have medium-sized microporous (ranging from 0.56 x 0.53
643 nm). Moreover, the density and accessibility of BAS were higher in H-Y compared to H-ZSM-5, which is
644 attributed to the majority of these active sites being located within the large supercage voids. The water
645 produced during esterification remains adsorbed on the surface of zeolites with a low Si/Al ratio. In a recent
646 study, using IR spectroscopy with FAU-type zeolites in sodium and acid forms it was found that the effect
647 of hydrophobicity is extremely important in liquid phase reactions, to avoid the deactivation of the catalytic
648 centers and favor the rapid desorption of surface water molecules, improving catalytic efficiency (Gomes
649 et al., 2019a).

650 In the case of H-ZSM-5, the average pore size (0.56 - 0.53 nm) does not promote the esterification
651 reaction of long-chain fatty acids (palmitic acid) inside the pores, as reported by Prinsen et al. (Prinsen et
652 al., 2018), the reaction occurs on the outer surface resulting in low conversions (less than 27% in esters).
653 Contrary to what has been found by some authors for H-ZSM-5 and H-MOR under similar experimental
654 conditions (reaction temperature = 60-100 °C, amount of catalyst = 1.0 g, reaction time = 1-3 h), with yields
655 above 60% for the esterification of oleic acid (Chung et al., 2008; Chung and Park, 2009; Mowla et al.,

2019; Gomes et al., 2021; Gomes et al., 2022). Such discrepancies shows that, experimentally, many questions related to the topology of different zeolitic systems need to be explored at the atomistic level to fill the gaps and provide accurate information on the application of these materials in esterification. In summary, based on the previously cited investigations involving protonated zeolites, only selected zeolite frameworks (**BEA**, **MFI**, **FAU**) present themselves as feasible candidates for catalytic implementation, which can be attributed primarily to diffusion constraints, hydrophobic influences, distribution and location of active sites. Consequently, the quantification of acidic sites and the determination of the catalyst's external surface area take on fundamental importance when assessing the catalytic efficiency within the context of the esterification process (Ribeiro et al., 2021).

Mowla et al. (2019) observed that the activation energy in esterification (24.8 kJ/mol for oleic acid and 46.7 kJ/mol for acetic acid esterification on H- β) is influenced by the reactant molecules size on the zeolitic system (**BEA** and **MOR**), resulting in reactions at the pore mouth in a case of large molecules. These results suggest that the hydrophilic nature of the catalyst causes a diffusional barrier for large molecules. The diffusive processes in zeolitic systems can be minimized by the effect of temperature and the miscibility of the acid-alcohol faces, which favor higher reaction rates due to the disappearance of mass transfer resistance (Vieira et al., 2013; Fereidooni et al., 2021). However, for some zeolitic frameworks, the step of controlling the esterification reaction rate occurs through kinetic processes (Mowla et al., 2019; Ketzer and de Castilhos, 2021).

On the other hand, unlike conventional zeolites that are predominantly microporous, hierarchical zeolites contain an additional mesoporous structure, which reduces diffusion limitations (Chen et al., 2020). The mesopores enhance the reagent diffusion and influence the reaction mechanism by allowing better accessibility for bulky molecules inside the pore, thus reducing steric hindrance. Consequently, these structures exhibit excellent catalytic performance in the liquid phase reaction due to their low resistance to diffusion.

Mitran et al. (2021) demonstrated that different acid sites within β zeolites fulfill distinct functions in esterification, specifically with propionic acid. While the external silanol groups (Si-OH) exhibit weak acidity, the Si-OH-Al groups exhibit strong Brønsted acidity, and the silanol nests associated with the defect sites exhibit Lewis acidity. It was observed that the zeolite exhibiting the highest specific surface area, the highest volume of mesoporous, and the highest Brønsted acidity positively influenced the lowest energy barrier. Similarly, Fawaz et al. (2019, 2020) analyzed different crystal morphologies of H-ZSM-5 zeolite

686 crystals in the linoleic acid esterification process and discovered a correlation between diffusive properties
687 and catalytic performance. As a result, approximately 80% conversion rates can be attributed to reactions
688 taking place at the pore entrance and outer surface. These findings suggest a synergy between hierarchical
689 porosity and acidity.

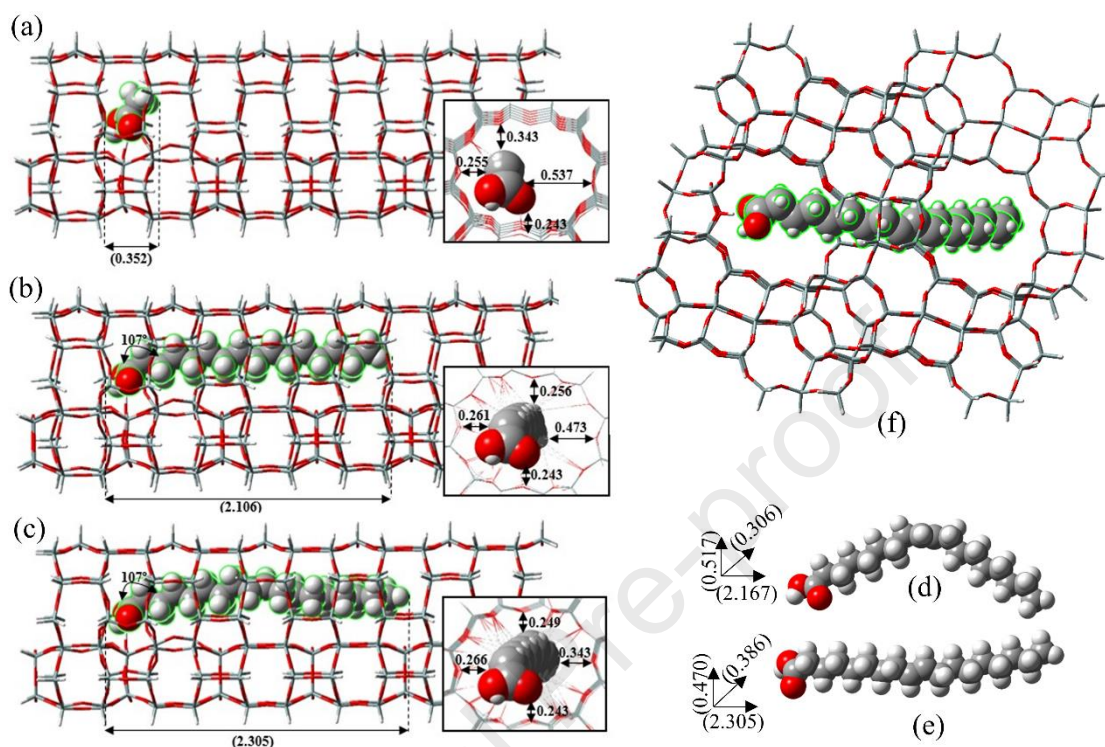
690 A recent study (Fawaz et al., 2021) investigated the use of different hierarchical structures of H-ZSM-
691 5 zeolite for the conversion of waste frying oils, resulting in conversions below ~50%. This highlights the
692 importance of precise localization of active sites and the impact of mesoporosity on diffusion effects.
693 Consideration of contaminants in waste oils, which can affect crucial steps such as competitive adsorption
694 among reagents, is particularly important.

695 More recently, a combination of experimental studies and theoretical calculations has revealed that
696 mobility restriction in **MOR**-type zeolitic frameworks can significantly affect the esterification reaction of
697 bulky carboxylic acids (Gomes et al., 2021). It has been shown, at the molecular level in Fig. 7, that this
698 effect does not occur in **FAU**-type structures. For AA, the space within the 12-MR pore allows the free
699 mobility of small molecules (MeOH and AA). However, for bulky carboxylic acids, adsorption implies an
700 additional rearrangement to favor the accommodation of the aliphatic chain extension and, consequently,
701 provide a better spatial arrangement; such enhancement optimizes interactions with the crystalline structure
702 of the catalyst causing distortions in the molecule, which provides a better fit over the active site. On the
703 other hand, the extended length of the aliphatic chain of bulky FFA is repelled within the confined space,
704 which limits rotational and translational movements within the catalyst pore, generating thermodynamic
705 penalties. Thus, it is observed that the high conversions in zeolitic systems are related to molecular
706 transport.

707 Table 2 shows the catalytic performance of different zeolite structures used for esterification of long-
708 chain FFA's. It can be seen that most zeolites show high catalytic activity for different types of FFA's. **FAU**-
709 type structures show conversions above 90%, although they have a lower density of active sites and are
710 more accessible inside the pores and cavities than **MFI**, **BEA**, and **MOR**-type structures. In the case of the
711 H-USY zeolite, the presence of strong BAS drastically affects the higher selectivity for oleic acid
712 esterification with methyl acetate, requiring changes to acid sites of moderate strength to maximize
713 conversion. On the other hand, the performance of **MFI** and **MOR**-type structures depends on the high
714 density of acid sites. In these materials, the catalytic process can take place at the mouth of the pores, but

715 in **MOR**-type zeolites, the strong adsorption of reagents, intermediates, and products favors pore blockage,
 716 reducing the useful life of the catalyst and resulting in a slow reaction rate for many reaction cycles.

717



718

719 **Fig. 7.** Side view of the minimal energy complexes for different carboxylic acids in H-MOR calculated at
 720 ONIOM M06-2X/6-31G(d):PM6 level. a) adsorbed acetic acid; b) adsorbed palmitic acid; c) adsorbed oleic
 721 acid. Distances in nm. Minimum energy structure of oleic acid. d) Conformation for isolated oleic acid. e)
 722 Conformation of oleic acid in the 12-MR pore of the H-MOR zeolite. Structures calculated at M06-2X/6-
 723 31G(d) level, from Gomes et al. (Gomes et al., 2021). f) Adsorbed oleic acid on H-FAU zeolite cluster from
 724 et al. (Gomes et al., 2019a).

725

726 By observing the solvation effect reported in other studies (Gounder and Iglesia, 2013; Arca and Mota,
 727 2018; Sarazen and Iglesia, 2018; Zalazar et al., 2018b; Mowla et al., 2019) the energy barrier should be
 728 lower for larger molecules, since they allow greater interactions with the surface of the inorganic solid.
 729 Thus, several factors are hence fundamental for the catalytic process involving zeolites, such as the steric
 730 effect, strength of acid sites, specific area, availability, and stability of active sites in confined voids, reagent
 731 polarity, hydrophobicity, and the structural shape of the catalyst, which can direct the breakdown of
 732 molecules of interest towards undesirable side reactions.

733

734 **Table 2.** Comparison of conversion of carboxylic acids over acid zeolite

Catalyst (Framework)	Alcohol : Feedstock	Temperature (°C)	Feedstock	Reaction time (h)	Conversion (%)	Ref.
H-ZSM-5 (MFI)	2:1	60	Soybean oil	1	~80	(Chung and Park, 2009)
	2:1	70	Palmitic acid	3	20-27	(Prinsen et al., 2018)
	6:1	180	Linoleic acid	4	86.4	(Fawaz et al., 2019)
	45:1	100	Oleic acid	4	55	(Resende et al., 2020)
	12:1	180	Waste frying oils	4	~50	(Fawaz et al., 2021)
	3:1	100	Oleic acid	5	70	(Gomes et al., 2022)
H-Y (FAU)	2:1	70	Palmitic acid	3	100	(Prinsen et al., 2018)
	6:1	68	Oleic acid	6	95	(Dal Pozzo et al., 2019)
	3:1	100	Oleic acid	4	98	(Gomes et al., 2022)
H-USY (FAU)	10:1	240	Oleic acid	1	66	(Ketzer et al., 2020)
H-Beta (BEA)	3:1	66	Oleic acid		75	(Mowla et al., 2019)
	3:1	100	Oleic acid	5	85	(Gomes et al., 2022)
H-MOR (MOR)	2:1	60	Soybean oil	1	~80	(Chung and Park, 2009)
	-	130	Palm oil	50	91	(Isernia, 2014)
	3:1	100	Oleic acid	2	~44	(Gomes et al., 2021)

735

736

737 The design and development of efficient catalysts depend on a deep theoretical and experimental view
738 of the reaction mechanism and the relationship between catalytic activity and pore and cavity structures
739 (Parangi and Mishra, 2020). With advances in computing, sophisticated theoretical calculations can help to
740 elucidate unanswered questions about the mechanism of esterification catalyzed by acidic zeolites. In this
741 sense, this experimental information can support robust analyzes on the nature of active sites during
742 catalysis through spectroscopic characterizations operando and in situ.

743 4. IR spectroscopy and electronic structure calculations

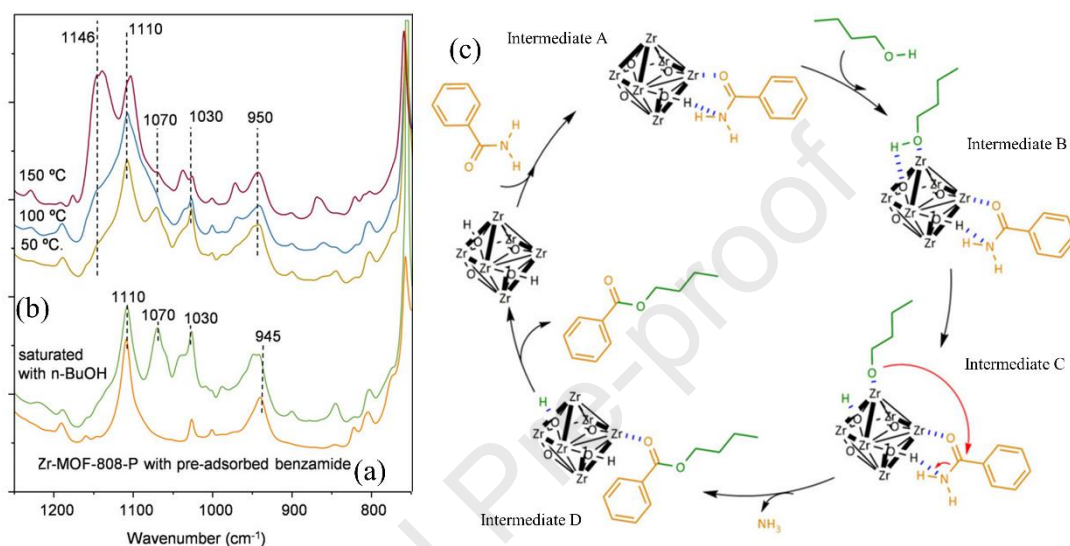
744 Studies involving reaction mechanisms and the synthesis of new catalytic materials require precise
745 methods for analyzing bulk and surface properties (Yi et al., 2021; Chen et al., 2022; Peng and Tsang, 2022;
746 van Vreeswijk and Weckhuysen, 2022). In this sense, infrared radiation methods (ATR, transmission FTIR,

747 VTIR, DRIFT, among others) are essential tools for gathering information related to the interactions
748 between organic and inorganic materials. These techniques allow the observation of phenomena occurring
749 at frequencies in the order of 10^{13} and 10^{15} Hertz (Paul et al., 2018). Since the 1950s, IR spectroscopy has
750 been a valuable tool in the study of numerous aluminosilicates, leading to significant advances in the
751 understanding of both Brønsted and Lewis acid sites (Bordiga et al., 2015). In situ operando mode IR
752 spectroscopy has been applied to various catalytic systems (Bordiga et al., 2015; Atzori et al., 2020; Bertella
753 et al., 2020; Khalili et al., 2021; Nepel et al., 2021; Yuan et al., 2021), to identify active sites and
754 intermediate species formed under real catalytic conditions. However, conducting IR spectroscopy
755 experiments at the interface of a given catalyst proves to be challenging due to the difference in sensitivity
756 of the species absorbing infrared radiation and the complexity of parallel reactions that lead to the formation
757 of intermediates, spectator species, and products. Therefore, computational studies are required to
758 distinguish the species of interest.

759 It is widely accepted that the active sites are not fixed in the crystalline structure of the different zeolite
760 systems. Instead, they operate dynamically and can be mobilized within the pores or cavities of the
761 respective zeolites under operando conditions (Bocus et al., 2021). In this sense, Tabor et al. (2019) used
762 in situ IR and MAS NMR spectroscopy to study the effect of proton site distances on propene
763 oligomerization and aromatization on H-ZSM-5. The results revealed the progressive formation of saturated
764 alkaline and aromatic carbocations generated by the protonation of olefins and intermolecular hydride ion
765 transfers. However, the polarization of the reactants and steric restrictions for the carbenium ion
766 intermediates at nearby sites reduces TS mobility, leading to a higher rate of carbenium ion deprotonation
767 and the donation of protons to the zeolite with a greater density of active sites.

768 Based on an understanding of reaction intermediates, process parameters and the topology of the
769 heterogeneous catalyst, numerous challenges related to the location and distribution of active sites can be
770 explored to improve selectivity and catalytic activity. For instance, Murphy and collaborators (Murphy et
771 al., 2015; Murphy et al., 2019; Wu et al., 2019), used in situ FTIR to extensively investigate the formation
772 of extrinsic BAS on Na-Y. The researchers showed that the catalytic properties of BAS in Na-Y not only
773 differ from the intrinsic BAS in proton-exchanged FAU, but also depend on the precursor molecule.
774 Stronger acids can be able to dissociatively displace weaker acids adsorbed on Na-Y, favoring the
775 replacement of weaker acid sites with stronger acid sites in the structure.

776 Other acidic catalysts, such as metal-organic frameworks (MOFs), are considered ideal platforms for
 777 the synthesis of organic compounds due to the infinite design possibilities (Bavykina et al., 2020; Wei et
 778 al., 2020). Recently Villoria-del-Alamo et al. (2020) studied the amide esterification catalyzed by zirconia-
 779 based MOFs (Zr-MOF-808-P), and proposed a plausible mechanism using in situ FTIR (Fig. 8) (Villoria-
 780 del-Álamo et al., 2020).
 781



782
 783 **Fig. 8.** FTIR spectra in 1250–750 cm^{-1} range of a) Zr-MOF-808-P with pre-adsorbed benzamide, b) Zr-
 784 MOF-808-P with pre-adsorbed benzamide saturated with *n*-BuOH at room temperature. The temperature
 785 was increased for the latter sample at 50 °C, 100 °C and 150 °C and FTIR spectra recorded and shown at
 786 the top of the graphic. (c) Proposal mechanism for the benzamide esterification with *n*-BuOH catalyzed by
 787 Zr-MOF-808-P, from Villoria-del-Álamo et al. (2020).
 788

789 The IR spectra (Fig. 8a) indicated the formation of butoxide species at the terminal positions of the
 790 catalyst. This is evidenced by a decrease in the centered signal at 1070 cm^{-1} and the appearance of a new
 791 band at 1146 cm^{-1} . Subsequently, a mechanism is proposed (Fig. 8 c) based on the spectroscopic results.
 792 The authors suggested that both reagents are adsorbed on the surface of the catalyst. However, the
 793 intermediate (butoxide) is formed at 150°C and then reacts with the benzamide to form the desired product,
 794 releasing NH_3 .

795 Alegre et al. (2019; 2021), using IR spectroscopy with DFT calculations and a theoretical cluster
 796 structure model, studied the mechanism for the transesterification of ethyl acetate and methanol at the pore
 797 mouth of the $[\text{CTA}^+]\text{-Si-MCM-41}$ catalyst. They reported that the experimental FTIR spectrum was

798 supported by the theoretical model for the coadsorption of both reagents following a dual-site mechanism,
799 even though the electronic properties of the catalytic system were explored through electrostatic potential
800 maps.

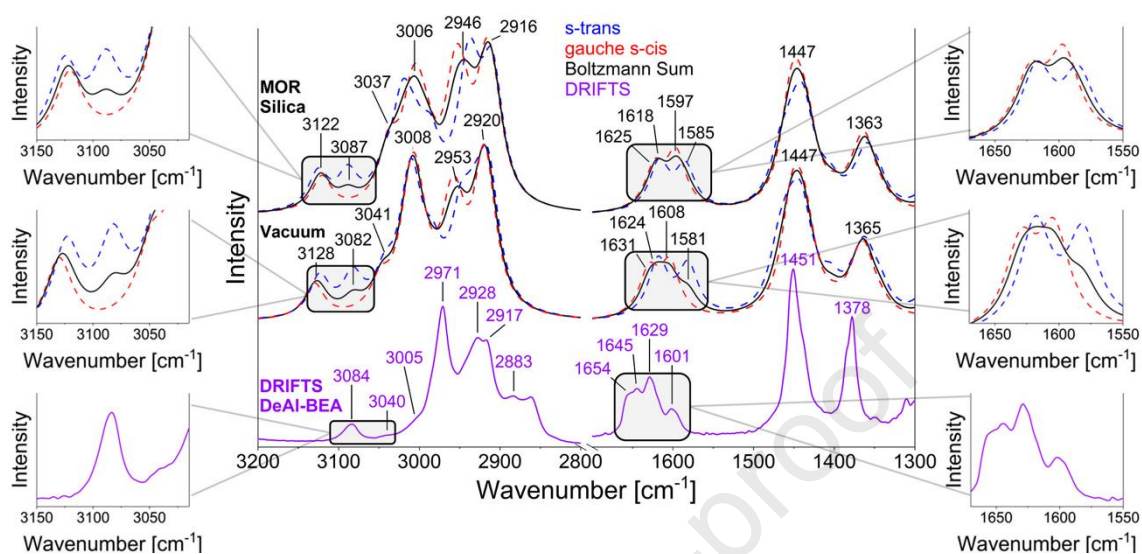
801 Many reactions involving the conversion biomass occur in the liquid phase, requiring careful
802 consideration of the structure-activity relationship due to the multiple interactions at the solid-liquid
803 interface (Negahdar et al., 2020). Therefore, the effect of water molecules on the heterogeneous catalytic
804 conversion of olefins and MeOH to aromatics on H-ZSM-5 was studied using in situ FTIR spectroscopy,
805 TG, GC-SM, and computational calculations (Wang et al., 2020). The combination of experimental and
806 theoretical techniques showed that the presence of water reduces the conversion of ethylene into aromatics
807 during reactions at temperatures $\leq 300^\circ\text{C}$. This hindrance is due to the preferential adsorption of water on
808 the BAS, which favors the formation of hydronium ions. The latter decreases the concentration of reactive
809 intermediates adsorbed on the active sites. Similar results for the dehydration of cyclohexanol in the liquid
810 phase on H-BEA through molecular dynamics simulations showed that clusters of water molecules inside
811 zeolite pores affect the reaction mechanism, resulting in decreased the catalytic activity (Mei and Lercher,
812 2019).

813 It has been shown that DFT level electronic structure calculations are commonly used to complement
814 IR spectroscopy data, allowing the identification of bands that are difficult to analyze experimentally with
815 high precision. Manookian et al. (2020) demonstrated the combination of DRIFT spectroscopy and DFT
816 calculations on olefins adsorbed on protonated zeolites and on porous silicas, investigating different
817 rotational conformations of olefin (2,4-dimethyl-1,3-pentadiene) (Fig. 9). They discovered that, the s-cis
818 gauche conformation is more favorable than the s-trans conformation in dealuminated zeolite β . On the
819 other hand, in protonated zeolites (H-MOR), the spectrum changes as result of protonated species (singlet,
820 $1500\text{-}1600\text{ cm}^{-1}$), although the analysis of structures suggests that the electrostatic interactions of ion pairs
821 have a negligible effect on these cations in zeolites. These results highlight that experimental and theoretical
822 studies with high precision can be used to elucidate complex intermediates in zeolite systems.

823 More recently, the combination of FTIR spectroscopy, solid-state NMR, and DFT calculations has been
824 used to explore the different types of hydroxyl groups present on the outer surface of ZSM-5 (Treps et al.,
825 2021). Notably, it was possible to correlate the data attributed to the observed experimental resonance
826 through theoretical calculations. The complementarity of the experimental techniques enabled the
827 separation of overlapping signals and the identification of other O-H groups on the catalyst surface. Many

828 studies have demonstrated the successful combination of NMR and IR applied in the characterization and
 829 application of various catalytic systems have been successfully demonstrated (Paul et al., 2018).

830



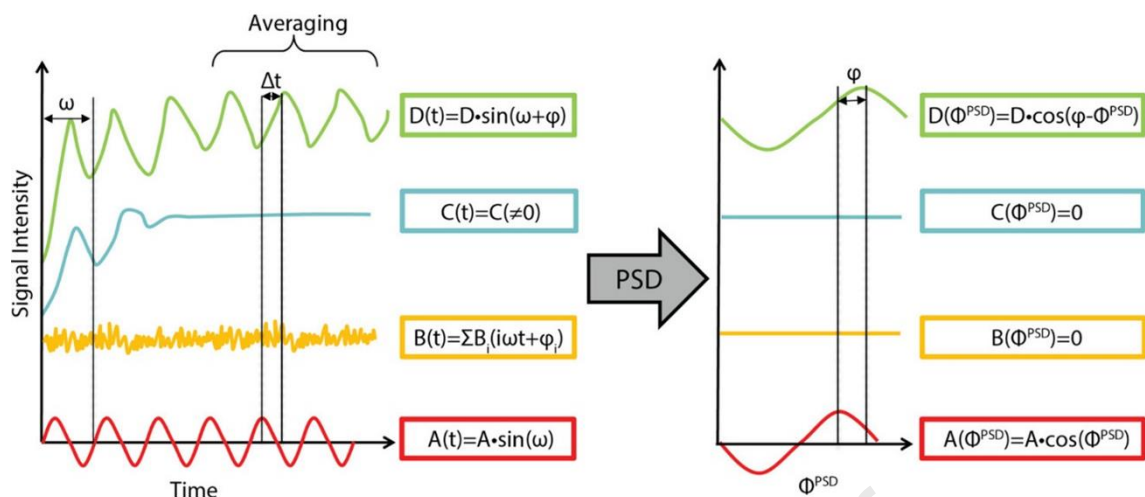
831

832 **Fig. 9.** Comparison of computed and experimental spectra of molecule I. DRIFT spectrum (purple) was
 833 taken at 20 °C over Al-BEA. Computed spectra for molecules I in all-silica MOR (top) and in gas phase
 834 (middle). In each environment, the spectrum associated with s-trans (blue), gauche s-cis (red), and the
 835 Boltzmann sum (black) are shown. Wavenumber values apply to Boltzmann sum. Side panels focus on
 836 (left) high-frequency (C–H stretch) and (right) low-frequency (C=C stretch) regions, from Manookian et al
 837 (2020).

838

839 4. 1. IR spectrum strategies dedicated to the search for intermediates

840 In order to optimize strategies for the synthesis of new heterogeneous catalysts, it is crucial to
 841 understand the relationship between the reaction cycle and the catalyst topology. However, the diversity of
 842 species observed in the phenomena of adsorption, product formation, and desorption phenomena suggests
 843 spectral overlap as these are processes that occur simultaneously, making it impossible to identify the actual
 844 species present in the reaction medium, which constitute elementary steps of a particular reaction
 845 mechanism. Spectroscopic techniques combined with sophisticated treatments can extract significant
 846 information from the reactions of the solid surface. Thus, the combination of modulation excitation
 847 spectroscopy (MES) and phase sensitive detection (PSD) yields significantly enhanced sensitivity for
 848 identifying active and spectator species involved in the studied process, and effectively eliminates noise
 849 interference (Marchionni et al., 2017)



880

881 **Fig. 10.** Illustration of the working principle of PSD. $A(t)$ is the stimulation function, $B(t)$ is noise, $C(t)$ is a
 882 response of a spectator species, and $D(t)$ is the response of an active species. The stimulation function is,
 883 in this case, a sine wave with frequency ω . Demodulation using PSD transforms time-domain spectra to the
 884 phase domain. Instead of being time-dependent, the spectra are now a function of the phase angle Φ^{PSD} .
 885 Instead of appearing at a certain time delay Δt , in the phase domain, the absolute phase delay ϕ contains
 886 information about the dynamics and kinetics of the studied system, from Müller and Hermans (2017).

887

888 To exemplify the practicality of IR spectroscopy in the analysis of diverse catalytic systems using MES-
 889 PSD, numerous investigations have utilized this technique to explore both metal oxides (Alcaraz et al.,
 890 2019; Aguirre and Collins, 2020; Aguirre et al., 2022; Vecchiotti et al., 2023) and zeolites (Abdul Nasir et
 891 al., 2023; Sun et al., 2023) and recently in biocatalysis with the Novozym® 435 (Toledo et al., 2021). In
 892 this sense, liquid phase investigations utilizing ATR spectroscopy with MES-PSD indicate that this
 893 approach, when coupled with proper instrumentation, produces excellent results with high precision (Bürgi
 894 and Baiker, 2006; Andanson and Baiker, 2010; Aguirre et al., 2011; Müller and Hermans, 2017; Toledo et
 895 al., 2017; Chen et al., 2018). Furthermore, The integration of chemometric methods, such as multivariate
 896 curve resolution-alternating least squares (MCR-ALS), into the MES-PSD enhances its analytical
 897 capabilities by facilitating the extraction of significant spectral and kinetic data from active species (Alcaraz
 898 et al., 2019). Therefore, these methodology has the potential to elucidate the various mechanistic proposals
 899 for esterification and provide a new perspective on the effect of confined voids when combined with
 900 electronic structure calculations.

901

902 However, due to the lack of available instrumentation and the numerical method's complexity required
 to implement the PSD methodology (Srinivasan et al., 2019), to the best of our knowledge, this technique

903 has not been applied to esterification catalyzed by acid zeolites. The main reason is the difficult control of
904 variables (temperature, pressure, reagent concentration, catalyst properties, type of solvent used during the
905 reaction, among others) that affect the kinetic processes in the condensed phase. This results in the absence
906 or overlapping of bands that inhibit the identification of possible intermediates.

907 Therefore, due to the potential of these technique, there is a clear need to expand and improve this
908 methodology for research aimed at the valorization of renewable raw materials such as FFA, as well as to
909 study the role of bystander species in the aqueous phase in the deactivation of zeolitic catalysts. These
910 observations, when combined with quantum chemical calculations, will improve the molecular
911 understanding of the conversion of oleaginous residues and provide greater added value to the catalytic
912 processes existing in the chemical industry. Furthermore, the effect of water on reaction mechanisms and
913 rates should be increasingly incorporated into modeling techniques to explore key steps under real reaction
914 conditions (Van Speybroeck et al., 2023).

915 Finally, previous research has mainly used kinetic data to explore the esterification mechanism in
916 various zeolite systems. This review shows that quantum computational calculations can enhance the
917 understanding and characterization of both the zeolite catalysts and the adsorbed species. The cases
918 presented in this review serve to underline the significance of using in situ and operando IR spectroscopy
919 in combination with quantum calculations for the study of various dynamic processes. It has been shown
920 that the use of advanced IR spectroscopy techniques, such as MES-PSD, allows the identification of species
921 involved in reaction mechanisms under operating conditions. The application of these techniques, in
922 combination with theoretical calculations, leads to highly accurate results. Hence, the synergy between
923 experimental and theoretical studies is essential to improve the understanding of the esterification reaction
924 in zeolite systems.

925 From a reaction point of view, the esterification of carboxylic acids catalyzed by acidic zeolites presents
926 some of the challenges identified in this review. First, zeolite catalysts are highly sensitive to water (even
927 in small amounts). This requires effective methods to remove or prevent water from the reaction mixture.
928 Second, these materials undergo structural changes and lose their acidity over time due to exposure to high
929 temperatures and adsorbed reactive species. Third, achieving high reaction and conversion rates while
930 maintaining selectivity is a topic that has yet to be explored in the literature. However, the mechanistic
931 information obtained by experimental methods in conjunction with computer modeling and simulations can
932 guide the synthesis of new materials, predict the affinity between organic structure and topology directing

933 agents (Schwalbe-Koda et al., 2021; Schwalbe-Koda and Gómez-Bombarelli, 2023), guide catalyst
934 optimization, and open new perspectives for more sustainable and efficient esterification processes.

935

936 **5. Conclusions, knowledge gaps, and perspectives**

937 Acidic zeolites are highly active in the esterification reaction and can act as excellent remediation
938 materials in the valorization of FFA's. In this review, we discuss different proposals for esterification
939 mechanisms on acidic zeolites emphasizing the role of IR spectroscopy experiments and theoretical
940 calculations in providing novel insights into the nature of the interactions of intermediates in confined voids.

941 The catalytic performance of different zeolite types for liquid phase esterification is influenced by their
942 physicochemical properties, including hydrophobicity, extra framework Al, specific area, and porosity. The
943 presence of water contributes to the low conversions observed in some esterification reactions due to
944 adsorption on the active sites. In this case, zeolites with a high Si/Al ratio can overcome these effects due
945 to the greater distribution and accessibility of active sites, contributing to the greater stability and durability
946 of these materials during the esterification process. Furthermore, different kinetic models for esterification
947 proved to have an excellent fit with experimental data, however only the L-H and E-R models have
948 excellent parameterizations to describe the adsorption mechanism. Although there are several discussion
949 on the elementary steps of the esterification mechanism in confined environments, it is recognized that the
950 adsorption step is most likely due to the H₂ sharing between an alcohol molecule and a carboxylic acid,
951 resulting in the formation of an energetically stable adsorption complex. This has been demonstrated by
952 studies using DFT calculations.

953 To understand the esterification of carboxylic acids over zeolites, the use of spatial and temporal
954 resolution infrared spectroscopy strategies, both in situ and operando, proves to be highly effective for
955 reaction mechanism studies through MES-PSD in heterogeneous catalysis. This approach yields excellent
956 results in the detection of short-lived intermediates, often overlooked due to the overlap of bands from
957 spectator species. However, despite its great potential, this technique has not yet been reported for the
958 esterification of carboxylic acids using acid zeolites as catalysts. The reason for this is the need for tight
959 control over various variables (temperature, pressure, reactant concentration, catalyst properties, type of
960 solvent used during the reaction, among others) that influence the kinetic processes in the condensed phase.

961 The processes involving acidic zeolites in the petrochemical industry are similar to the conversion
962 processes of oleaginous biomass, which leads us to believe that the fundamental concepts about the

963 topology of the different zeolitic structures can be applied to the esterification reaction. Although there is a
964 discussion about the elementary steps of the esterification mechanism in confined environments, it is
965 recognized that there are gaps to be investigated:

966 (I) The esterification reaction takes place preferably in a liquid medium, therefore, exploring
967 characterizations in reaction conditions will allow a better understanding of the activity of acidic sites
968 (BAS/LAS) and the confinement effect caused within the pores and cavities of different systems zeolites.
969 Furthermore, the use of MES-PSD methods applied in IR spectroscopy will allow enable a more detailed
970 analysis of the esterification mechanism, contributing to the optimization of the efficiency of zeolitic
971 catalysts in this context.

972 (II) Most of the studies on the esterification reaction catalyzed by zeolites have been carried out under
973 batch conditions. However, to enable the applicability of these catalysts in industrial processes for the
974 conversion of FFA's, continuous flow systems need to be explored in order to favor an economically viable
975 process.

976 (III) Computational calculations should gain space in future studies, because they can contribute to the
977 determination of reaction mechanisms in heterogeneous catalysis, to the prediction of reaction parameters,
978 and provide researchers with data for the rationalization of experimental observations, up to the point of
979 optimizing the more sustainable synthesis of new zeolitic materials. An approach that integrates
980 experimentation and computational modeling, can significantly accelerate the development of the
981 understanding of the esterification reaction on acidic zeolites with improved catalytic properties, thus
982 contributing to innovative advances in the valorization of FFA's.

983 An in-depth understanding of acid zeolite catalysis at the molecular level is crucial for boosting the
984 process on an industrial scale and, ultimately, aiding the advancement of future applications. This review
985 provides an invaluable and widely relevant resource to inform and highlight new research dedicated to
986 exploring the application of acidic zeolites in the transformation of different oilseed biomass. Our work on
987 the combination of experimental and theoretical techniques in esterification catalyzed by acidic zeolites is
988 still in progress, and will certainly shed light on the reaction mechanism at both the molecular and the
989 experimental levels.

990

991 **Acknowledgements:** This research was made possible by Consejo Nacional de Investigaciones Científicas
992 y Técnicas (CONICET) and Secretaría General de Ciencia y Técnica of the Universidad Nacional del

993 Nordeste (SGCyT-UNNE) of Argentine. The Fundação Araucária, the Coordenação de Aperfeiçoamento
994 de Pessoal de Nível Superior (CAPES), the Conselho Nacional de Desenvolvimento Científico e
995 Tecnológico (CNPq), and the Fundação Parque Tecnológico Itaipu (FPTI), for the support received.

996

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Highlights

- Zeolites can be used as remediation tools for the recovery of oily wastes.
- Unraveling Esterification on H-Zeolites: Experimental and theoretical Insights.
- Esterification yields for FFAs can be improved by knowing the reaction mechanism.
- Advanced MES-PSD spectroscopy techniques are presented in catalytic processes.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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