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Fast and inexpensive emission-time *in-situ* setup for upconversion nanoparticle characterization

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## 1 Rev\_MICROC-D-23-02833\_Highlighted

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4 characterization5  
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## 22 ABSTRACT

23 In this work, a straightforward analytical approach is presented for the evaluation  
24 of the entire time-resolved emission spectrum of  $\text{Er}^{3+}$ -doped upconversion nanoparticles  
25 (UCNP) by the acquisition of bidimensional emission wavelength-time signals.  
26 Employing diffractive optics and fast optomechanical sweeping, the entire emission  
27 wavelength-time matrix (EtM) of UCNPs is registered. An optic fibre probe allows the  
28 measurement of micrometric-size domains in surfaces, either in solid form or in  
29 suspension. Further chemometric analysis was also performed to gain further insight into  
30 the behaviour of the emission bands and their corresponding time curve profiles. To show  
31 the applicability of the developed procedure, changes either in the emission spectrum or  
32 the time behaviour by external perturbations were monitored and EtM at different  
33 temperatures was acquired as a proof of concept. The results demonstrated the  
34 effectiveness of the proposed procedure in obtaining fast and highly informative images  
35 and enlightening knowledge about the phosphorescence-based system.

36

37

38 *KEYWORDS:* phosphorescence sensors, emission wavelength-time imaging,  
39 chemometrics, instrumental setup

40

## 41 1. INTRODUCTION

42 The accelerated growth of the nanotechnology interest can be attributed to the  
43 convergent evolution of nanosized materials and emerging technologies, such as  
44 biotechnology, biomedical engineering, and artificial intelligence, among others. These  
45 materials are intentionally produced on a nanoscale, providing them with unique  
46 properties that are not available on the bulk scale. [1] Over the last decade, different types  
47 of nanomaterials, particularly nanoparticles (NPs), have been synthesized from metals,  
48 nonmetals and oxides, and varied structures, shapes, sizes, and compositions were  
49 manufactured. [2] For instance, Ag NPs are uniform particles constituted by only one type  
50 of material, whereas some NPs consist of a core/shell structure. [2] This variety of  
51 composition confers them a full breadth of compatibility with different media and  
52 systems, spanning its application from biological, cosmetic, energetic, environmental,  
53 and biomedical fields, and others.

54 Upconverting NPs (UCNPs) are a group of lanthanide-doped nanosized particles  
55 that realize non-linear photo upconversion of infrared (IR) excitation with an extensive  
56 anti-Stokes shift. [3] These particles have extraordinary chemical stability. Capitalizing  
57 on its intrinsic attributes, this type of NPs is presented as a promising candidate for  
58 biomedical application, especially, in theragnostic. In this regard, the use of near-IR  
59 excitation light has the advantage of deeper tissue penetration with minimum  
60 photodamage effects and shows the benefit of reducing light scattering, phototoxicity,  
61 and autofluorescence. [4] The most common design of UCNPs is the core/shell structure  
62 that has the advantage of leveraging photoluminescence efficiency. [5]

63 While research and development of different UCNPs are active topics worldwide  
64 with numerous publications in the relevant literature, there is still a dearth of  
65 comprehensive understanding regarding some of its properties.

66 The most reported applications of UCNPs are based on the variation of the intensity  
67 and frequency of the emission signals. Notwithstanding, a new topic of research is  
68 focused on the kinetics of upconversion luminescence (UCL). The time-domain  
69 evaluation of the UCL allows for exploiting a new dimension of the UC emission, which  
70 expands its use for manifold applications, including anti-counterfeiting technologies. [6–  
71 8]

72 The control of luminescence kinetic parameters in UCNPs is crucial for their  
73 effective use in microscopies. Although long intermediate state lifetimes facilitate the  
74 excitation of higher states using low-intensity light, they also limit the high emission  
75 fluence necessary for bright imaging. Furthermore, a saturation of intermediate states  
76 causes excitation to behave linearly, which prevents one of the most interesting features  
77 of multiphotonic excitation: the ability to perform z-sectioning. [9]

78 In this work, a straightforward inexpensive spectrometer setup is introduced for the  
79 evaluation of the photoluminescence time profile of UCNPs at the entire UC spectrum.  
80 The proposed setup allows the simultaneous registering of the UC spectrum and the  
81 temporal changes at each wavelength, obtaining a bi-dimensional data matrix. In addition,  
82 its applicability in luminescence thermometry experiments is demonstrated. The obtained  
83 data were further analyzed through chemometric techniques allowing extraction of the  
84 most relevant information of the system under study and the results were compared with  
85 those obtained from the classical analysis.

86

## 87 2. EXPERIMENTAL SECTION

## 88 2.1. Reagents and solutions.

89 Yttrium (III) acetate tetrahydrate ( $Y(CH_3COO)_3 \cdot 4H_2O$ , 99.9%), ytterbium (III)  
90 acetate tetrahydrate ( $Yb(CH_3COO)_3 \cdot 4H_2O$ , 99.9%) and erbium (III) acetate tetrahydrate  
91 ( $Er(CH_3COO)_3 \cdot 4H_2O$ , 99.9%) were purchased from Alfa-Aesar (Massachusetts, United  
92 State of America) and used as received. Ammonium fluoride ( $NH_4F \geq 99.99\%$ ), 1-  
93 octadecene (90%), and oleic acid (OA, 90%) were purchased from Sigma-Aldrich  
94 (Argentina). Sodium hydroxide (NaOH, 99%) and LC methanol (MeOH) were purchased  
95 from Merck (Argentina). Cyclohexane (cHex, technical grade) and absolute ethanol  
96 (EtOH, 99.5%) were acquired from Sintorgan (Argentina) and Ciccarelli (Argentina),  
97 respectively.

98

## 99 2.2. Core-shell UCNP synthesis.

100 The Er-doped core-shell UCNPs were synthesized as reported elsewhere following  
101 the so-called oxygen-free protocol. [1,10–13] To put it succinctly,  $NaYF_4:Yb,Er$  cores  
102 were synthesized by mixing the appropriate amount of lanthanide acetates with 6 mL of  
103 OA and 15 mL of 1-octadecene. This mixture was stirred and heated at 120 °C in  $N_2$   
104 atmosphere and kept for 30 min under a vacuum. The clear mixture was then cooled to  
105 50 °C and an  $NH_4F/NaOH$  methanolic solution was rapidly added and kept for 30 min  
106 under  $N_2$  atmosphere. Immediately after, MeOH was removed by vacuum evaporation.  
107 The resultant pale-yellow mixture was heated under an  $N_2$  atmosphere using a ramp of  
108 15 °C/min until reached 315 °C and kept for 90 min. After cooling it to room temperature  
109 absolute EtOH was added in excess and the particles were centrifuged at 5000 g for  
110 20 min. The supernatant was discarded, the precipitate was redispersed in 3 mL of cHex,  
111 and an excess of EtOH was again added to precipitate the particles; the mixture was then  
112 centrifuged at 5000 g for 20 min. The 2-step purification cycle was repeated twice.  
113 Finally, the obtained oleic-modified cores were redispersed in 7.5 mL of cHex. To deposit  
114 the  $NaYF_4$  shell over the  $NaYF_4:Yb,Er$  cores a similar procedure as described for the  
115 UCNP core synthesis was employed. The resultant  $NaYF_4:Yb,Er@NaYF_4$  UCNPs were  
116 used as synthesized. (For more details, the reader is referred to the specific literature).  
117 [5,13]

118 The synthesized UCNPs were characterized through X-ray diffraction (XRD) and  
119 scanning electron microscope (SEM). The XRD signal and the SEM images are shown  
120 in Figures S-1 and S-2 (supplementary information), respectively.

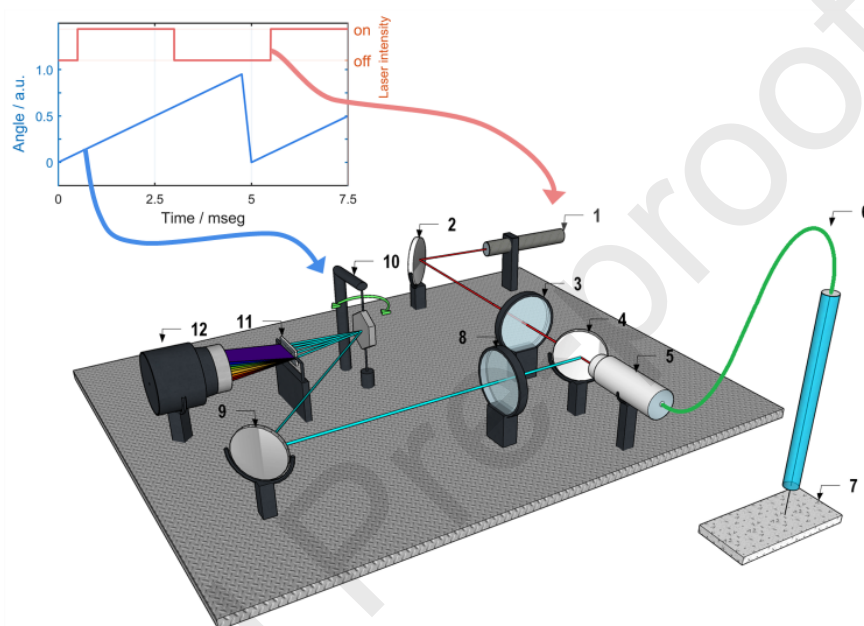
121

## 122 2.3. Experimental setup for emission wavelength-time matrix measurements.

123 The illustrative diagram of the developed spectrometer is depicted in Figure 1. A  
124 pulsed 10 mW (average power) 980 nm laser diode (1) is used to excite the upconversion  
125 emission of the UCNP in the sample (7). The NIR light is collimated and directed (2, 3,  
126 4) through a multimode optical fibre to the sample (5, 6). Its UC emission is collected

127 back to a dichroic mirror (4), which deflects it toward a single-axis galvo (10). A ramp  
 128 generator triggered with the onset of the laser pulses drives the single-axis galvo (Fig.1  
 129 inset) configuring a linear sweeping used for the time-to-position conversion in one of  
 130 the image dimensions. At about half of the total deflection, the laser is turned off to image  
 131 both the onset and the time-resolved signal. A transmission diffraction grating (11) at 90°  
 132 from the galvo movement separates the different colours of the UC emission. Finally, the  
 133 UC emission wavelength-time matrix (EtM) is collected by a CMOS camera (12) (Point  
 134 Grey, Flea 2, FL2G-13S2M-C), which was directly read by a computer via a Firewire  
 135 interface.

136



137

138 **Figure 1.** Illustrative diagram of the experimental setup for the emission-time  
 139 matrix acquisition of UCNPs. 1) 980 nm laser diode, collimated (10 mW); 2) XY  
 140 adjustable mirror; 3) lens (fd = 30 mm); 4) Dichroic mirror, long pass beam-splitter (cut-  
 141 off wavelength = 750 nm); 5) optical fibre connector. 6) 62.5 nm multimode optical fibre;  
 142 7) sample; 8) lens (fd = 30 mm) + short-pass filter (800 nm); 9) XY adjustable mirror;  
 143 10) single axis galvo; 11) diffraction grating; 12) CMOS camera (Point Grey, Flea 2,  
 144 FL2G-13S2M-C)

145

146 The setup was mounted on a breadboard over a Styrofoam cushion to minimize  
 147 vibrations. The optical fibre (~ 50 cm length) was directed to the sample, which can be  
 148 placed onto a computer-controlled thermostatic surface.

149 Wavelength calibration was done by analytical inspection of an image obtained for  
 150 a 2% Er<sup>3+</sup> doped UCNPs sample and by direct comparison with the known emission lines  
 151 of Er<sup>3+</sup> in fluoride-containing lattices; then, a linear fitting was carried out to obtain the  
 152 continuous wavelength range. Time calibration was performed utilizing an *ad-hoc* LED  
 153 driver oscillator, which was previously calibrated by using an oscilloscope (UNI-T,  
 154 UTD2102CEX, 100MHz, 1GS/s). To prevent changes in the instrument scale, both

155 wavelength and time axis calibration were performed immediately before the  
156 measurements.

157

158 2.4. Temperature-dependence analysis.

159 EtM were sequentially collected in the temperature range of 297-367 K at  $\sim 2$  K  
160 intervals. In this way, a total of 340 matrices were acquired. For the measurement, the  
161 sample was dropped in a conductive plate until dryness; then, the sample was allowed to  
162 dwell for at least 10 min at the initial temperature (297 K).

163

164 2.5. Data generation and software.

165 The EtM imaging was acquired as a 16-bit image and saved in tiff format using  
166 FlyCapture 2 Viewer. All the images were analyzed with ImageJ software, which is freely  
167 available at <https://imagej.nih.gov/ij/download.html>. For further data evaluation and  
168 analysis, the tiff images were eventually converted to double-precision data by using the  
169 `im2double` MATLAB function. Data processing and analysis were performed in  
170 MATLAB 2015b. [14] MCR-ALS GUI 2.0[15] codes for MATLAB were freely  
171 downloaded from [www.mcr-als.info](http://www.mcr-als.info).

172

173 3. RESULTS

174 3.1. General considerations.

175 Lanthanide-doped NPs can convert long-wavelength light, mainly NIR ( $>900$  nm),  
176 to shorter wavelengths, such as visible red, green, and, in some cases, blue light. This UC  
177 phenomenon is the consequence of a two-photon (in some cases, three-photon) process  
178 that occurs via energy transfer from  $\text{Yb}^{3+}$  ions to  $\text{Er}^{3+}$  ions.  $\text{Yb}^{3+}$  ions act as sensitizers  
179 via the  $^2\text{F}_{7/2}$  (fundamental state)  $\rightarrow$   $^2\text{F}_{5/2}$  (excited state) transition. The latter is resonant in  
180 energy with the  $^4\text{I}_{11/2}$  state of  $\text{Er}^{3+}$ , which is an intermediate state in the two-photon  
181 process. Hence, the  $\text{Er}^{3+}$  is excited to the  $^4\text{F}_{7/2}$  state by a two-step energy transfer from the  
182 excited  $\text{Yb}^{3+}$  ion. Succeeding nonradiative processes within  $\text{Er}^{3+}$  ions populate the  
183 radiating states. The characteristic strong emission bands of  $\text{Er}^{3+}$  arise at *ca.* 660 nm,  
184 548 nm, 525 nm, and 408 nm, corresponding to the  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ ,  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$ ,  
185  $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$ , and  $^2\text{H}_{9/2} \rightarrow ^4\text{I}_{15/2}$ , respectively. [9,16] A level energy diagram of the most  
186 relevant energy transitions in the upconverting process is depicted in Figure S-3  
187 (supplementary information).

188 In particular, the green emission bands rising from the transition of  $^2\text{H}_{11/2}$  and  $^4\text{S}_{3/2}$   
189 excited states are near and, hence, they are in thermal equilibrium governed by a  
190 Boltzmann distribution, as it was stated by Savchuk *et al.* who used equation (1) to  
191 describe the model: [17]



$$\frac{I_{525}}{I_{550}} = C \times \exp\left(-\frac{\Delta E}{k_B T}\right) \quad (1)$$

192 where  $I_{525}$  and  $I_{550}$  are the intensity of the  ${}^2\text{H}_{11/2} \rightarrow {}^4\text{I}_{15/2}$  and  ${}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$  transitions,  
 193 respectively;  $C$  comprises degeneracy spontaneous emission rate and absorption rates;  $\Delta E$   
 194 is the energy gap between the two thermally coupled energy levels involved in the  
 195 radiative transitions;  $k_B$  is the Boltzmann constant, and  $T$  is the absolute temperature. [17–  
 196 19] Hence, it is possible to evaluate the capability of the UCNPs as temperature sensors  
 197 by analysing changes in the emission intensity ratio. [10,19]

198 On the other hand, the time-decay ( $\tau$ ) of the emitting levels implies thermometric  
 199 information. Methods based on time-decay measurements utilize a pulsed excitation  
 200 source; after each excitation pulse, the emitting levels show an emission decay behaviour  
 201 characterized by temperature dependence as follows:

$$\tau = \frac{1}{\tau_0^{-1} + k \exp\left(-\frac{\Delta E}{k_B T}\right)} \quad (2)$$

202 where  $\tau_0^{-1}$  is the radiative lifetime at absolute zero temperature and  $k$  is a pre-exponential  
 203 factor ( $k_B$ ,  $\Delta E$ , and  $T$  are the same as Eq. 1). Therefore, UCNPs can be used as a  
 204 temperature sensor by evaluating variations in the decay profile or the  $\tau$  value. It is  
 205 important to note that although, in principle, the population mechanism of the emissive  
 206 states allows the appearance of more complicated behaviours, in the analysed samples all  
 207 decays were monoexponentially fitted.

208

### 209 3.2. Optical characterization of the setup.

210 To make the EtM images usable for general purposes, calibration of both  
 211 wavelength and time axis was required. For wavelength calibration, several procedures  
 212 were tested. Considering that  $\text{Er}^{3+}$  transitions in fluoride lattices were profusely studied  
 213 and their wavelengths are well established in the literature, [20–23] the tabulated energy  
 214 of  $\text{Er}^{3+}$  transitions was used for calibration because of its simplicity and robustness. Using  
 215 this procedure, a good linear relationship between pixel number (pix#) and wavelength  
 216 ( $\lambda$ ) was obtained. Depending on the exact optical path and the used sensor, a relationship  
 217 of about 0.5 nm to 0.7 nm/pix# was chosen. In a typical measurement, the relationship  $\lambda$   
 218 (nm) = 677.100 – 0.586 × pix# was implemented. For comparison, alternative calibration  
 219 procedures based on the signal analysis of LEDs and laser diodes were also utilized,  
 220 obtaining comparable results ( $\pm 1.3$  nm). The typical resolution of the spectral bands,  
 221 measured at the  ${}^4\text{F}_{7/2}$  band emission (or an alternative laser diode source at 635 nm), was  
 222 3.8 nm FWHM. This resolution can be enhanced by diminishing the secondary image of the  
 223 optical fibre end but at the cost of obtaining a darker image.



224 On the other hand, despite its simplicity, calibration of the time axis using the  
225 characteristic times-decay of UC emission bands was not possible. Different  $\text{Er}^{3+}$   
226 concentrations, matrices, environments, and even different batches of UCNPs synthesized  
227 under identical conditions show an important dispersion of time-decay, probably due to  
228 different decay paths and quenching mechanisms. Therefore, the calibration was done  
229 utilizing an astable multivibrator that switches an LED on/off with a period of 234  $\mu\text{s}$ .  
230 This multivibrator was previously calibrated with an oscilloscope, detected by the optical  
231 fibre end (Figure S-4). As expected from the mechanism of the time-image generator, the  
232 relationship between pix# and time was linear in the 100s of microsecond range. On the  
233 assumption that this linearity extends to the pixel size, a factor of 22.776  $\mu\text{s}/\text{pix\#}$  was  
234 determined. The scaling can be chosen by varying the galvo mirror oscillation frequency,  
235 accommodating wide scales of time ranging from 200  $\mu\text{s}$  and 10 ms. This characteristic  
236 allows the use of the device for different timescales of population and decay of emitting  
237 materials. Although the accuracy of the analogue signal can be improved, the time  
238 digitization, determined by the finite number of pixels, led to a time resolution of 1/300-  
239 1/600 of the total period. This resolution range (0.33 – 33  $\mu\text{s}$ ) allows the proper  
240 measurement of most lanthanide-based upconversion materials and other analytes with  
241 emission in the range of  $\mu\text{s}$  to ms.

242 In the context of a spatial imaging device, the pseudo-Point Spread Function (p-  
243 PSF) describes the response of the system to a point source of light. In thi particular  
244 device, one of the spatial axes corresponds to wavelength, while the other represents the  
245 time. To assess the data precision, a measurement of the p-PSF was performed utilizing  
246 a monochromatic laser emitting at 454 nm, pulsed to capture a “time-point” of 5  $\mu\text{s}$ , a  
247 duration smaller than a single pixel on the chosen scale. The results are shown in Figure  
248 S-5, Supplementary Information. The p-PSF features like an assimetric distorted  
249 Gaussian spot, comprising approx. 4 pixels (2.1 nm, FWHM) and 4.8 pixels (33  $\mu\text{s}$ ) in  
250 the wavelength and time scales, respectively. The spread of the p-PSF also serves as  
251 indicator of the minimal crosstalk between modes that would be expected in a given  
252 image. As the time axis can be swept up to 5 times faster than this particular measurement,  
253 a 7  $\mu\text{s}$  time precision can be achieved, at the cost of a shorter time span for the whole  
254 image. Different relationships between the span of time and wavelength could be obtained  
255 also by changing the optical path between collecting lens (8 in Figure 1) and galvo (10 in  
256 Figure 1), or the groves/mm of the grating (11 in Fugure 1), respectively.

257 Figure S-6 shows a 32-bit EtM image of a sample containing 2%  $\text{Er}^{3+}$  doped UCNPs  
258 deposited onto a cover glass and excited at 980 nm (Some spectral regions of the image  
259 were digitally magnified to facilitate its visual inspection). The emission spectrum  
260 acquired at a specific time pulse is also depicted, indicating the known  $\text{Er}^{3+}$  transitions.  
261 All the transitions are from the labelled to the fundamental level ( $^4\text{I}_{15/2}$ ), except the line  
262 near 470 nm, which corresponds to the  $^2\text{P}_{3/2} \rightarrow ^1\text{I}_{11/2}$  transition. Most of the transitions are  
263 split and/or appear broad because  $\text{Er}^{3+}$  can occupy several different sites in the crystal  
264 lattice. [20,21,23]Most of the publications of UCNP studies evaluate only the red and  
265 green emission bands, which correspond to the emission from  $^4\text{F}_{9/2}$ ,  $^2\text{H}_{11/2}$ , and  $^4\text{S}_{3/2}$  levels.  
266 [24–26] Some of them may include the blue band of the emission from  $^2\text{H}_{9/2}$ . [22]  
267 However, emissions from  $^4\text{F}_{7/2}$ ,  $^4\text{F}_{3/2}$ ,  $^4\text{F}_{5/2}$ ,  $^2\text{P}_{3/2}$ , and  $^4\text{G}_{11/2}$  are seldom shown because of  
268 their weakness, which is usually beyond the capability of the measurement systems,  
269 although they have been studied. Tallant et al. have described and analyzed the transitions  
270 from  $^4\text{F}_{7/2}$ ,  $^4\text{F}_{5/2}$  and  $^4\text{G}_{11/2}$  to the ground state, [20] while Dammak has described the  
271 weaker  $^4\text{F}_{3/2}$ . [27] On the other hand, Gaebler et al. have reported the transition from  $^2\text{P}_{3/2}$

272 to the intermediate level  ${}^4I_{11/2}$ , around 470 nm. [28] Notably, in the present case, using a  
273 standard 16-bit imaging mode, emission lines with intensities ranging within 3 orders of  
274 magnitude were easily resolved (Figure S-5). This outcome represents an outstanding step  
275 forward in the field of UCNP characterization. On the other hand, faster measurements  
276 can be performed with 8-bit imaging mode with excellent results in case precise  
277 measurements on the individual weak transitions are not required.

278 To obtain these images, a CMOS camera (Point Grey FL2G-13S2M-C) was used,  
279 albeit several cameras were tested with similar results. Big sensors are advantageous since  
280 the spectral and temporal span can increase. Noise and Quantum Yield (QY) are the main  
281 features to consider in improving some analytical figures of merit, such as limit of  
282 detection (LOD) and analytical sensitivity.

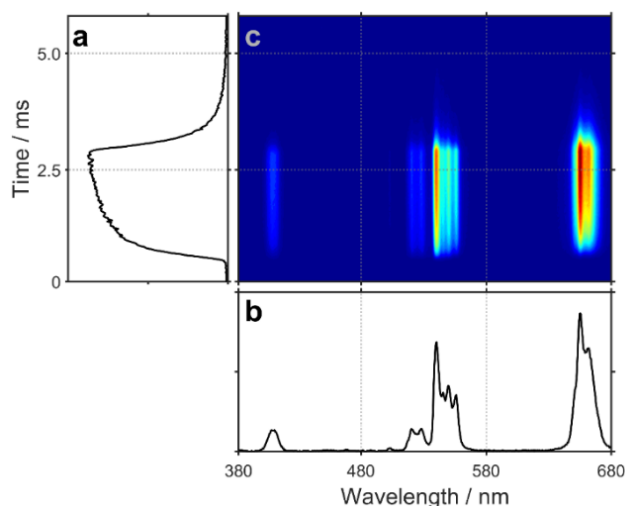
283 The speed of imaging acquisition is another crucial aspect to consider when  
284 monitoring a dynamic process. Although UC EtM can be obtained using commercial  
285 setups in sequential mode, the procedure can be tedious and fast results are difficult to  
286 combine with high throughput data. The measurement of kinetic parameters can be done  
287 in different ways. For instance, direct analysis of single-wavelength kinetics is  
288 straightforward, although time-consuming when a complete matrix of emission  
289 wavelength-time is required. [9] On the other hand, a streak camera can be utilized to  
290 obtain the EtM. Even though this approach can be used as multilinear input for  
291 chemometric analysis, the equipment costs increase in orders of magnitude. [29] In 2016,  
292 an inexpensive and clever alternative was proposed by Zhu, [30] in which a smartphone  
293 camera takes an image in a rotating platform. This strategy has the particularity of  
294 gathering only three points in the spectral mode, which, albeit interesting, restrains the  
295 analytical performance in terms of selectivity when complex systems are under study.  
296 High acquisition rate is essential to avoid crosstalk between modes and ensure mode  
297 independence when high-order data undergo chemometric resolution.

298 Last, the procedure proposed in this work significantly reduces costs. The total cost  
299 for the setup depicted in Figure 1 was less than USD 1500, an order of magnitude lower  
300 than any available equipment in the market. Depending on the specific needs, different  
301 parameters, such as wavelength, time, speed, noise, quantum yield, and price, can be  
302 prioritized and customized accordingly.

303

304 3.3. Evaluation of the emission time profile and the UC emission.

305 A double-precision EtM obtained from an 8-bit resolution image is shown in Figure  
306 2, indicating the emission time profile and the UC emission spectrum subtracted from the  
307 original data matrix.



308

309 **Figure 2.** EtM acquired with the experimental setup at room temperature (297 K).  
 310 a) Photoluminescence time profile at 540 nm. b) UC emission spectrum acquired at the  
 311 end of the excitation pulse. c) bidimensional EtM.

312

313 The acquired matrices are  $256 \times 512$  bidimensional data arrays comprising the time  
 314 profile of luminescence, with the rise and the decay process, and the emission spectra  
 315 under excitation at 980 nm, respectively. The time profile corresponds to an excitation  
 316 pulse of 2500  $\mu\text{s}$  length. The time profile shows the characteristic feature of the lanthanide  
 317 phosphorescence rise-decay curve. [7,9]

318 To comprehend the decay behaviour of the UC emission at room temperature  
 319 (297 K),  $\tau$  at the most significant wavelength was calculated by fitting the decay curves  
 320 with mono-exponential models, according to

$$y = y_0 + A \exp\left(-\frac{t}{\tau}\right) \quad (3)$$

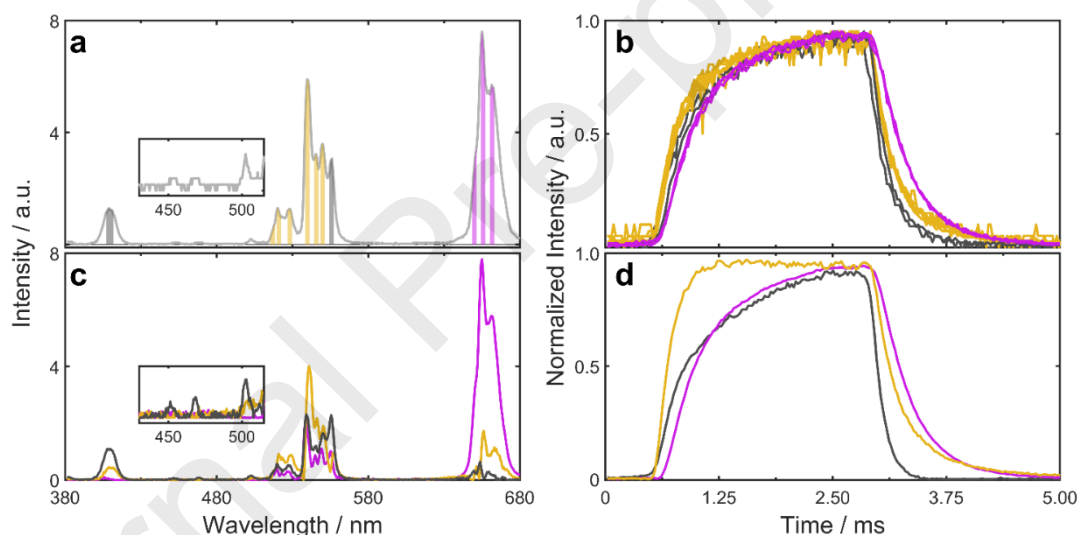
321 where  $y_0$  and  $A$  are empirical constants and  $t$  is the measured time in ms. All the fitted  
 322 models were assessed by Chi-squared goodness-of-fit tests, which satisfied the tolerance  
 323 criterion in all cases. From this analysis, three different  $\tau$ -values can be distinguished: 1)  
 324  $0.37 \pm 0.01$  ms, characteristic of the red emission; 2)  $0.29 \pm 0.01$  ms, mostly for green  
 325 emission bands; and 3)  $0.20 \pm 0.01$  ms, for the shorter  $\tau$  associated with the blue emission.  
 326 (For more details, the reader must refer to Table S-1, Supplementary information). These  
 327 results are aligned with those reported in the literature for the same type of UCNPs. [22]  
 328 It should be highlighted that, even though they are detected,  $\tau$ -values of  ${}^4\text{F}_{7/2}$ ,  ${}^4\text{F}_{5/2}$ , and  
 329  ${}^4\text{G}_{11/2}$  emission bands could not be estimated because of the low signal-to-noise ratio due  
 330 to the 8-bit depth of the original images.

331 To gain further insight into the behaviour of the emission bands and their  
 332 corresponding time curve profiles, the EtM was subjected to multivariate curve  
 333 resolution-alternating least square (MCR-ALS) decomposition. MCR-ALS is a soft-  
 334 modelling algorithm that enables unravelling the individual profiles of overlapped signals

335 in a bilinear data matrix, providing comprehensive and meaningful models with  
 336 chemically interpretable outputs.[31,32] This particularity, in combination with its  
 337 flexibility, makes MCR-ALS a compelling tool for the analysis of complex systems, and  
 338 as a matter of fact, it has been widely implemented in uncountable applications with  
 339 quantitative and qualitative aims. [33–36] Capitalizing on the ability to decompose bi-  
 340 dimensional data matrices without requiring any known information about the system, or  
 341 minimal information if available, MCR-ALS seems to be the best candidate to unravel  
 342 the spectral and luminescence time behaviour in the present system.

343 For the resolution, a SIMPLISMA-like methodology [37,38] was utilized to extract  
 344 the initial estimates in the time dimension and the number of components was estimated  
 345 through singular value decomposition (SVD) and principal component analysis (PCA).  
 346 Three components were necessary to explain the system. In the iterative step,  
 347 nonnegativity in both modes was imposed as a constraint.

348 Figure 3 shows the overall emission spectrum (a) and the emission time profiles  
 349 extracted from the different emission bands (b) at which  $\tau$ -values were estimated, as well  
 350 as the profiles retrieved from MCR-ALS (c-d).



351

352 **Figure 3.** a) UC emission spectrum indicating the bands at which the  $\tau$ -values were  
 353 estimated and b) the corresponding time curves (magenta:  $0.37 \pm 0.01$  ms, orange:  
 354  $0.27 \pm 0.01$  ms, black:  $0.20 \pm 0.01$  ms). c) Spectral and d) time profiles retrieved from  
 355 MCR-ALS (magenta: 0.38 ms, orange: 0.30 ms, black: 0.14 ms). Inset: amplified section  
 356 of the raw emission spectrum (a) and the retrieved spectral profiles (c) in the region  
 357 between 420-515 nm. The measurement was performed at 297 K. (Colour should be used  
 358 in the print version)

359

360 These results show that the photoluminescence of the UC emission bands can decay  
 361 from different pathways. The most relevant outcome is the fact that emission bands are a  
 362 collection of several bands that behave differently. This evidence led to the conclusion  
 363 that the  $\tau$ -values calculated following the classical procedure (at one specific emission  
 364 band) would be a combination of time-decays (for more information, the reader referred

365 to Table A-1, Supplementary Information). For quantitative comparison,  $\tau$ -values were  
366 estimated using the time curve profiles retrieved from MCR-ALS by following the same  
367 procedure as described before. These values were: 0.38 ms, 0.30 ms, and 0.14 ms. As can  
368 be appreciated, slight differences were obtained for the longer lifetimes ( $0.38 \pm 0.01$  ms  
369 and  $0.29 \pm 0.01$  ms, respectively), whereas the shorter ( $0.14 \pm 0.01$  ms) was significantly  
370 lower than those obtained before ( $0.20 \pm 0.01$  ms). This outcome is of great importance  
371 since it made it possible to unveil the lifetime of  ${}^4F_{7/2}$  and  ${}^4F_{5/2}$  to the fundamental state  
372 and  ${}^2P_{3/2} \rightarrow {}^1I_{11/2}$  emission bands that are overwhelmed by background noise. In an  
373 independent measurement taken at 16-bit resolution, the characteristic time-decays for  
374 those weak lines were estimated, obtaining values ranging from 0.08 ms to 0.12 ms,  
375 suggesting that these transitions are overwhelmed by the noise in low-resolution images.

376 The onset of the time profiles can provide information about the multiphoton  
377 pathway by which the high-energy states are populated into the UCNP lattice. The  
378 sigmoid appearance of the initial rise is generated due to the time that is needed to  
379 populate first the intermediate energy states, which finally leads to the emissive ones  
380 Although a complete analysis of this information is somewhat beyond the scope of this  
381 work, the shape of these onsets could be used to differentiate pathways. However, a  
382 shorter timescale could be the best option to achieve this goal, since the population path  
383 seems to be faster than the emission mechanisms. Further work is focused on this aspect,  
384 with a slightly modified instrument capable of measuring at two timescales at a time.

385

#### 386 3.4. Temperature dependence analysis.

387 The intensity of UCL is sensitive to several factors, such as the nature of the surface  
388 ligand, the surrounding medium, and the temperature. In this regard, the thermal  
389 dependence of the UCL has risen as attractive non-invasive thermometers for determining  
390 the temperature at nanoscales where conventional methods are neither suitable nor  
391 effective. [19,39]  $\text{Er}^{3+}$  ion is the most used lanthanide for temperature sensing-based  
392 techniques since the emission bands assigned to  ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$  and  ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$  radiative  
393 transitions, positioned at 520 nm and 540 nm, respectively, are thermally coupled and its  
394 relative emission intensity exhibit strong dependency with temperature, i.e., UCL  
395 quenching with raising the temperature. [10,17,18] However, it is known that not only  
396 the intensity but also the time-decay of UCL changes with this factor. This effect  
397 promotes the difficulty of manipulating the UCL time-decay in complex systems. Hence,  
398 to address this problem, at least two solutions can be proposed: 1) the synthesis of a new  
399 generation of independent time-decay UCNP, and 2) the development of new  
400 methodologies that enable the integral evaluation of the system. In this regard, it is a  
401 noteworthy fact that the instruments utilized for multiphoton spectroscopy are equipped  
402 with Ti-Sapphire lasers which are delicate, big, and expensive, which may be a reason for  
403 the low spread of this technology.

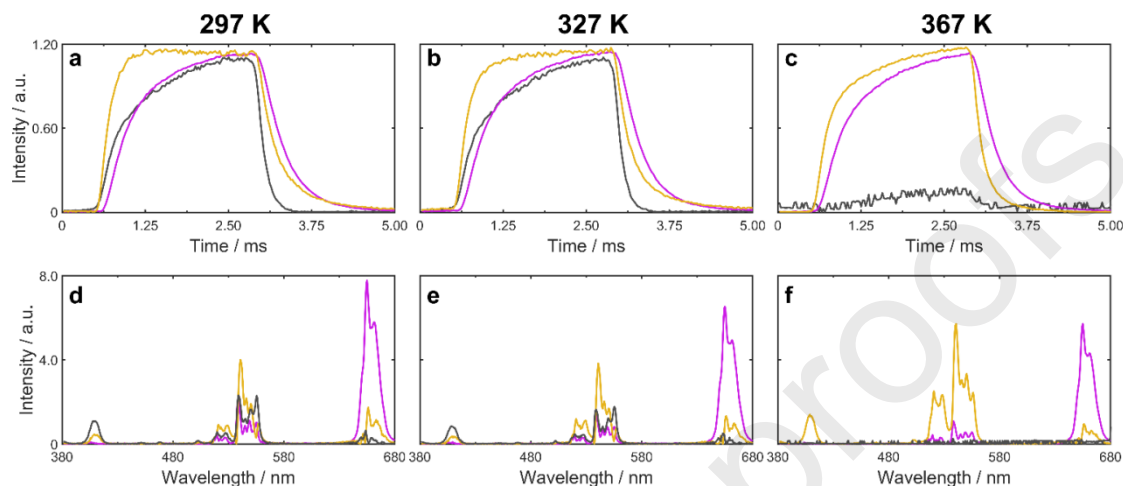
404 To assess the capability of the setup to monitor changes either in the emission  
405 spectrum or the time behaviour by external perturbations, EtMs at different temperatures  
406 were acquired as a proof of concept.

407 In a first attempt to evaluate the system, EtM at 3 different temperatures (297 K;  
408 327 K, and 367 K) were individually analyzed through MCR-ALS, because both the  
409 spectral and the time curve would vary with the temperature. For the MCR-ALS



410 resolution, a SIMPLISMA-like methodology [37,38] was utilized to extract the initial  
 411 estimates in the time mode and the number of components was estimated through SVD  
 412 and PCA. During the iterative step, nonnegativity in both modes was imposed as a  
 413 constraint. The retrieved profiles are depicted in Figure 4.

414



415

416 **Figure 4.** Time curve (top) and spectral (bottom) profiles achieved after MCR-ALS  
 417 decomposition of 8-bit resolution EtM acquired at 297 K (a and d), 327 K (b and e), and  
 418 367 K (c and f). (Colour should be used in the print version)

419

420 Even though three components were necessary to explain the system in all cases,  
 421 two main profiles represent the UC total system at 367 K. However, the time profile of  
 422 the third component presents a slight feature, for which the signal-to-noise ratio does not  
 423 allow the proper analysis of the results. As expected, the results demonstrated that both  
 424 time and spectral features change with raising the temperature. On one hand, for the same  
 425 component, both the rise and the decay region of the time curve featured differently with  
 426 temperature variations. On the other, the spectral feature varies in dependence on the  
 427 temperature Table 1 summarizes the  $\tau$ -values calculated from the decayed part of the  
 428 MCR-ALS time profiles obtained at different temperatures.

429

**Table 1.**  $\tau$ -values obtained from the retrieved MCR-ALS profiles at different temperatures.

Component <sup>1</sup>	$\tau$ -values		
	297 K	327 K	367 K

---

1: magenta	0.38 ms	0.36 ms	0.33 ms
2: orange	0.30 ms	0.27 ms	0.18 ms
3: black	0.14 ms	0.14 ms	NC <sup>2</sup>

430 <sup>1</sup> Color is according to Figure 4.

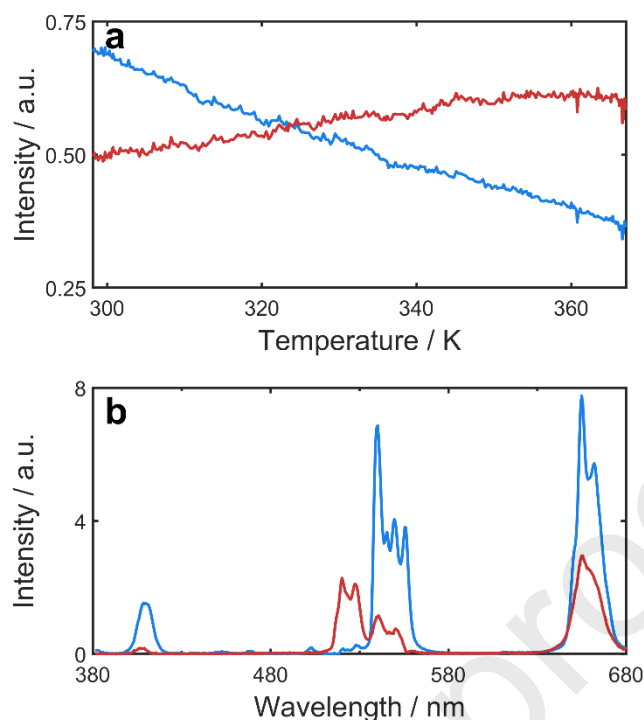
431 <sup>2</sup> Not calculated

432

433 Shorter time-decays are obtained at higher temperatures. Nevertheless, the bands  
434 with shorter time-decays do not show variations in their  $\tau$ -value while increasing the  
435 temperature, but their relative intensities are dramatically quenched, leading to a non-  
436 detected band at the highest temperature. In this regard, it can be appreciated that the  
437 intensity of some emission bands decreases with rising temperature, whereas others  
438 increase. Hence, to reinforce this observation, an emission-temperature matrix was built  
439 by stacking the emission spectra (at the end of the excitation pulse) acquired at different  
440 temperatures. At this point, it becomes mandatory to highlight the fact that, from the  
441 chemometric standpoint, a three-dimensional data array (emission-time-temperature)  
442 does not fulfil the concept of trilinearity due to the strong dependence between emission  
443 and time modes with temperature. In this way, a bilinear matrix of dimension  $320 \times 512$   
444 was obtained for temperature and emission wavelength, respectively, and was subjected  
445 to MCR-ALS decomposition. Results are shown in Figure 5.

446





447

448 **Figure 5.** a) Temperature-dependence and b) spectral profiles achieved after  
 449 MCR-ALS decomposition of an emission-temperature data matrix. (Colour should be  
 450 used in the print version)

451

452 The two components that were necessary to explain the system present opposite  
 453 trends against temperature. In comparison with Figure 4, the bands with a  $\tau$ -value of about  
 454 0.30 ms (mainly present in the green band) rise while heating. On the contrary, the bands  
 455 with longer and shorter time-decays (magenta and black in Figure 3) exhibit a thermal  
 456 quenching yielding lower intensities at higher temperatures. This observation is in total  
 457 agreement with Rabuffetti et al.,[24,25] where the authors demonstrated the temperature-  
 458 dependence of  ${}^2\text{H}_{11/2}$  and  ${}^4\text{S}_{3/2}$  and the potential of the  $\text{Er}^{3+}$ -doped UCNPs as  
 459 nanothermometers by measuring emission intensity and the emission time-decays.

460

#### 461 4. CONCLUSIONS

462 A straightforward approach was developed for the characterization of UCNPs  
 463 regarding their upconversion photoluminescence time behaviour at the entire emission  
 464 spectrum by the acquisition of bidimensional emission wavelength-time matrices.

465 The effectiveness of the method was assessed by analysing the acquired signals  
 466 through chemometric techniques. In all cases, the  $\text{Er}^{3+}$  emission bands were identified and  
 467 could be associated with the corresponding energetic radiative transitions. In addition, not  
 468 only the intensity but also their associated time curve was possible to determine. In this  
 469 regard, one significant outcome of this work was the capability of the setup to detect weak  
 470 emission bands with their associated time curves, such as those corresponding to

471  ${}^4F_{7/2} \rightarrow {}^4I_{15/2}$ ,  ${}^4F_{5/2} \rightarrow {}^4I_{15/2}$  and  ${}^2P_{3/2} \rightarrow {}^1I_{11/2}$  transitions, which are commonly not  
472 reported.

473 Moreover, the applicability of the developed method to monitor changes in the UC  
474 signals by external perturbations was stressed. Here, changes in the UC emission profiles  
475 and the time behaviour by increment in the surrounding temperature were monitored.  
476 Chemometric decomposition was implemented to gain comprehensive and meaningful  
477 information about the system. The results show that both emission and time profiles  
478 behave differently with temperature. The results align with the findings in the existing  
479 literature. An important aspect to highlight is the possibility of monitoring real-time  
480 signal variations due to the high scan rate of the detector.

481 Based on the obtained results, the presented approach becomes a valuable and  
482 powerful alternative to straightforwardly obtain comprehensive information about the  
483 temperature-dependency of time-decays and UC emission. The fibre-optics sensing  
484 element implies a very high versatility and portability allowing the simple and easy  
485 measurement of the entire spectrum and emission time dependence of UCNPs micro  
486 samples in difficult-to-access, chemically challenging, or hot environments, such as  
487 during the synthesis or derivatization procedures.

488 Moreover, it is important to note that with a simple change of the filters and dichroic  
489 mirror characteristics, the same procedure can be used for obtaining high-quality high-  
490 throughput data of other types of samples in which the time kinetics lies in the  
491 microsecond-millisecond range. This includes, among others, lanthanide emissions where  
492 upconversion processes are not involved.

493 Last, the combination of high-quality data acquisition with chemometrics aided in  
494 understanding better the phenomena occurring in the emission process.

495

#### 496 AUTHOR CONTRIBUTIONS

497 Mirta Raquel Alcaraz: Data curation, Formal analysis, Investigation, Methodology,  
498 Software, Validation, Visualization, Writing - Original Draft, Writing – review & editing.  
499 Cecilia Sorbello: Investigation, Methodology, Validation, Writing – review & editing.  
500 Loreiny Parra Florez: Investigation, Methodology, Validation, Writing – review &  
501 editing. Roberto Etchenique: Conceptualization, Data curation, Formal analysis, Funding  
502 acquisition, Methodology, Supervision, Validation, Visualization, Writing - Original  
503 Draft, Writing – review & editing.

504

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511

512 NOTES

513 All figures must be in colour in the print version.

514

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## 655 HIGHLIGHTS

- 656 • Inexpensive setup for emission wavelength-time imaging
- 657 • UC luminescence behaviour at the entire emission spectrum
- 658 • Temperature dependence analysis of UC emission coupled with MCR-ALS
- 659 • MCR-ALS to solve overlapped spectral features

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661 **Declaration of interests**

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663  The authors declare that they have no known competing financial interests or  
664 personal relationships that could have appeared to influence the work reported in this  
665 paper.

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667  The authors declare the following financial interests/personal relationships which  
668 may be considered as potential competing interests:

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