

## High-efficiency novel extraction process of target polyphenols using enzymes in hydroalcoholic media

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1 **High-efficiency novel extraction process of target polyphenols using**  
2 **enzymes in hydroalcoholic media**

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27 **Author contribution**

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31 **Abstract**

32 Agro-industrial by-products are a sustainable source of natural additives that can replace the  
33 synthetic ones in the food industry. Grape pomace is an abundant by-product that contains about 70 % of  
34 the grape's polyphenols. Polyphenols are natural antioxidants with multiple health-promoting properties.  
35 They are secondary plant metabolites with a wide range of solubilities. Here, a novel extraction process of  
36 these compounds was developed using enzymes that specifically liberates target polyphenols in the  
37 appropriate hydroalcoholic mixture. Tannase, cellulase, and pectinase retained 22, 60, and 52 % of their  
38 activity, respectively, in ethanol 30 % v/v. Therefore, extractions were tested in ethanol concentrations  
39 between 0 and 30 % v/v. Some of these enzymes presented synergistic effects in the extraction of specific  
40 polyphenols. Maximum yield of gallic acid was obtained using tannase and pectinase enzymes in ethanol  
41 10 % v/v ( $49.56 \pm 0.01 \text{ mg L}^{-1} \text{ h}^{-1}$ ); in the case of *p*-coumaric acid, by cellulase and pectinase treatment in  
42 ethanol 30 % v/v ( $7.72 \pm 0.26 \text{ mg L}^{-1} \text{ h}^{-1}$ ), and in the case of *trans*-resveratrol, by pectinase treatment in  
43 ethanol 30 % v/v ( $0.98 \pm 0.04 \text{ mg L}^{-1} \text{ h}^{-1}$ ). Also, the effect of enzymes and solvent polarity was analyzed  
44 for the extraction of malvidin-3-*O*-glucoside, syringic acid, and quercetin. Previous studies were mainly  
45 focused on the maximization of total polyphenols extraction yields, being the polyphenolic profile the  
46 consequence but not the driving force of the optimization. In the present study, the basis of a platform for  
47 a precise extraction of the desire polyphenols is provided.

48 **Key points**

- 49 • *Enzymes can be used up to ethanol 30 % v/v.*
- 50 • *The specific enzymes' action determines the polyphenolic profile of the extracts.*
- 51 • *The yields obtained of target polyphenols are competitive.*

52 **Keywords:** Polyphenols; Grape pomace; Gallic acid; *p*-Coumaric acid; *trans*-Resveratrol; Enzymes

## 53 Introduction

54 Every year over 60 million tons of grapes are produced, being these one of the largest fruit crops  
55 in the world (Antoniolli et al. 2015). Around the 80 % of those grapes are used for winemaking,  
56 generating a great deal of grape pomace waste per year worldwide (Kammerer et al. 2005). Grape pomace  
57 (comprised mainly of skins, seeds, and residual pulp and stems) represents around the 30 % of the initial  
58 grape weight (Beres et al. 2017) and constitutes a significant disposal problem for wine industry due to its  
59 high pollution load (Devesa-Rey et al. 2011). In view of achieving a more sustainable winemaking  
60 process, grape pomace is generally reused for alternative purposes (Beres et al. 2017). However, a great  
61 proportion of bioactive compounds present in this residue are discarded. Approximately 70 % of  
62 polyphenols present in grapes remain within the pomace after winemaking process (Dwyer et al. 2014).  
63 Simple flavonoids (flavonols, anthocyanins and catechins), phenolic acids (benzoic and hydroxycinnamic  
64 acids) and tannins, and proanthocyanidins are among the main constituents of grapes and their derivatives  
65 (Fontana et al. 2013; Unterkofler et al. 2020).

66 Polyphenols' extraction from grape pomace has drawn great attention in recent years, mainly  
67 because this residue constitutes an economical source of these compounds. There is a growing demand  
68 from consumers for safer and functional foods, which implies the replacement of synthetic additives for  
69 natural additives (Ueda et al. 2022). Therefore, efforts are being directed towards the development of  
70 technologies that allow the usage of natural derived additives, such as plant extracts, to replace the  
71 synthetic ones (Ueda et al. 2022). Phenolic compounds are extensively used in the pharmaceutical,  
72 cosmetic, and food industries due to their multiple health-promoting properties (Fontana et al. 2013;  
73 Antoniolli et al. 2015), among which stand out its antioxidant, anti-microbial, anti-inflammatory,  
74 antiaging, anticancer, antidiabetic, and antihypertensive properties (Xu et al. 2005; Pandey and Rizvi  
75 2009; Sri Harsha et al. 2013; Milke et al. 2018; Fernández-Fernández et al. 2019). Therefore, the recovery  
76 of polyphenols from grape pomace allows to upcycle this residue by obtaining high-value bioactive  
77 compounds that can be used as natural additives.

78 The extraction procedure applied plays a key role in the isolation, identification and use of  
79 polyphenols and there is no unique and standard method (Ignat et al. 2011). Among the different methods  
80 available, solid-liquid extraction is the most used technique for the extraction of polyphenols from grape  
81 pomace. This method uses organic solvents and, thus, it has several disadvantages such as low quality of  
82 the extracts due to remaining solvent traces, environmental risks, and safety hazards (Puri et al. 2012;

83 Fontana et al. 2013; Moro et al. 2021). In recent years, this extraction technique has been gradually  
84 replaced by greener alternatives which are mainly focused on reducing the usage of organic solvents and  
85 increasing the sustainability of the process (Fontana et al. 2013). One of these alternatives is enzyme-  
86 assisted extraction in aqueous media. This approach is based on the ability of enzymes to degrade or alter  
87 the complex materials of the plant cell wall, which facilitates the recovery of the compounds present there  
88 (Puri et al. 2012; Sirohi et al. 2020; Xavier Machado et al. 2021).

89 The complex network of grape skins' cell walls is comprised of different macromolecules which  
90 form a barrier that prevents the release of polyphenols since, in grapes, these can be either in soluble  
91 form, inside vacuoles of plant cells, or in insoluble form (Pinelo et al. 2006). The later are so called since  
92 they are linked to cell-wall polysaccharides through hydrophobic interactions, hydrogen bonds or  
93 covalent bonds and, in general, they are not recovered with traditional extraction methods (Pinelo et al.  
94 2006; Xavier Machado et al. 2021). Therefore, the enzymatic treatment of cell-wall structures might be an  
95 efficient way to recover these polyphenols. Among the most used enzymes for this purpose, cellulase,  
96 pectinase, and tannase stand out (Xavier Machado et al. 2021). Cellulase enzymes hydrolyse the major  
97 component of plant cells, cellulose, into fermentable sugars, pectinase enzymes hydrolyse pectic  
98 polymers in plant cell walls, and tannase enzymes break down hydrolysable tannins or gallic acid esters,  
99 releasing gallic acid and glucose (Chamorro et al. 2012; Xavier Machado et al. 2021).

100 In recent years, the use of enzymes for the recovery of polyphenols from grape pomace has been  
101 seen as a promising alternative for replacing solvents partially or totally (Chamorro et al. 2012; Meini et  
102 al. 2019). However, comparison of several extraction techniques showed that water mixtures with organic  
103 solvents (mainly ethanol and methanol) are still the most efficient for polyphenols' extraction due to their  
104 low solubility in water (Fontana et al. 2013; Brazinha et al. 2014). To date, only a few studies have been  
105 reported to use enzymes and solvents sequentially for extracting polyphenols from grape pomace. In those  
106 cases, grape pomace is first subjected to a pre-treatment with enzymes, and then a solid-liquid extraction  
107 is carried out using organic solvents (Martins et al. 2016; Ferri et al. 2017). However, there are no studies  
108 in which polyphenols are extracted using enzymes and solvents simultaneously. Enzymes are commonly  
109 used in aqueous media since, in these, they generally have optimum activity. Nonetheless, reactions'  
110 productivities might be negatively affected by the poor solubility of substrates and/or products in such  
111 media (van Schie et al. 2021). Some studies have tested the activity of the enzymes presented here in non-  
112 aqueous reaction systems, showing that they can tolerate considerable percentages of organic solvents

113 (Marco et al. 2009; Nguyen and Quyen 2012; Pham et al. 2012; Reynolds et al. 2018). In the present  
114 study, the aim was to test whether the recovery of polyphenols from grape pomace could be improved in  
115 yield and composition through an enzymatic treatment in hydroalcoholic media. Moreover, previous  
116 studies have dealt with the optimization of extraction conditions using total phenolic yields as the  
117 response (Zhang et al. 2013; Brazinha et al. 2014). Yet, information regarding how individual  
118 polyphenols' composition is affected by the extraction procedure is lacking. The present work seeks to  
119 evaluate how the variables of the extraction process under study, i.e. solvent composition and enzymes  
120 used, influence the polyphenolic profile. In this way, the most accurate extraction conditions can be found  
121 for the extraction of target polyphenols.

## 122 **Materials and methods**

### 123 **Materials**

124 Grape pomace of red wine grapes (*Vitis vinifera* L.) of Cabernet Sauvignon variety, harvested in  
125 the year 2021, was supplied by the Argentinian winery BordenRío (Victoria, Entre Ríos Province).

126 Pectinase (EC 3.2.1.15) from *Aspergillus niger* (17389, > 1 U/mg) and cellulase (EC 3.2.1.4)  
127 from *A. niger* (C1184, ≥ 0.3 U/mg) were purchased from Sigma-Aldrich (St. Louis, Missouri, USA).  
128 Tannase KT-FH (EC 3.1.1.20) from *Aspergillus oryzae* (≥ 500 U/g) was provided by Kikkoman (Minato-  
129 ku, Tokyo, Japan). Folin-Ciocalteu's phenols reagent, ABTS (2,2'-azino-bis (3-ethyl-benzothiazoline-6-  
130 sulfonic acid) diammonium salt), Trolox (6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid),  
131 methyl gallate, Rhodanine (2-thioxo-4-thiazolidinone), 3,5-dinitrosalicylic acid (DNS),  
132 carboxymethylcellulose sodium salt (CMC), pectin from citrus peel, 4-nitrophenyl β-D-glucopyranoside  
133 (pNPG), and 4-nitrophenol (pNP), were purchased from Sigma-Aldrich (St. Louis, Missouri, USA).  
134 High-performance liquid chromatography (HPLC) grade standards of gallic acid, *p*-coumaric acid,  
135 syringic acid, malvidin-3-*O*-glucoside, quercetin, and *trans*-resveratrol were from Sigma-Aldrich (St.  
136 Louis, Missouri, USA). Absolute ethanol 99.5 % was obtained from Cicarelli (San Lorenzo, Santa Fe  
137 Province, Argentina).

### 138 **Preparation of grape pomace**

139 After red winemaking process, grape pomace (GP), composed of skins, seeds and residual pulp  
140 and stems, was collected, and stored frozen at - 20 °C. Subsequently, grape pomace was dried in a drying  
141 oven with forced air flow (San-Jor, SL60SDB, San Andrés, Buenos Aires, Argentina) at 60 °C for 24 h,

142 and milled in a blade grinder at an approximate speed of 15,000 rpm (Tecnodalvo TMDC, Santa Fe,  
143 Argentina) to a particle size smaller than 0.841 mm (using a mesh No. 20) before the extraction process.  
144 The expression “g of GP” refers to the dried and milled grape pomace.

#### 145 ***Determination of enzymatic activities***

146 For the determination of enzymatic activities in media containing different ethanol  
147 concentrations, the protocols were modified as describe in each case.

#### 148 ***Tannase***

149 Tannase activity was determined by the spectrophotometric methanolic rhodanine method, which  
150 uses methyl gallate as substrate (Sharma et al. 2000). The reaction was performed following the  
151 procedure previously reported (Meini et al. 2021), with slight modifications. In this case the protocol was  
152 carried out in a media containing 50 mM sodium acetate buffer at pH 5.00 and ethanol concentrations  
153 ranging between 0 % and 40 % v/v. The absorbance was measured at 520 nm using an UV-visible  
154 spectrophotometer (UV-3100 spectrophotometer, Paralwall, Santa Fe, Argentina). One enzyme unit (U) is  
155 defined as the  $\mu$ mol of gallic acid formed per min at 30 °C and pH 5.00.

#### 156 ***Cellulase and pectinase***

157 Endoglucanase and polygalacturonase activities were determined by the DNS method (Miller  
158 1959) which measures the production of reducing sugars. The substrates used were pectin from citrus peel  
159 and CMC for pectinase and cellulase, respectively. In the case of cellulase, one U is defined as the  
160 amount of enzyme which liberates 1  $\mu$ mol of glucose from CMC in 10 min at pH 5.30 and 50 °C; and for  
161 pectinase, one U corresponds to the amount of enzyme which liberates 1  $\mu$ mol galacturonic acid per min  
162 at pH 4.20 and 40 °C. Both activities were assayed following the procedure previously reported (Meini et  
163 al. 2021), with slight modifications. In these cases, enzymatic activity assays were performed in media  
164 with ethanol concentrations varying from 0 % to 40 % v/v. The absorbance was measured at 560 nm  
165 using an UV-visible spectrophotometer (UV-3100 spectrophotometer, Paralwall, Santa Fe, Argentina).  
166 The initial concentrations of reducing sugars in the enzyme solutions were considered by carrying out  
167 controls without the addition of the substrates.

#### 168 ***$\beta$ -Glucosidase***

169 The residual  $\beta$ -glucosidase activity of the commercial enzymes' preparations was assayed using  
170 *p*NPG as substrate, following the method previously reported (Pino-García et al. 2020) with slight



171 modifications. The reactions were performed by adding 150  $\mu\text{L}$  of the enzyme solution to a mixture  
172 containing 50  $\mu\text{L}$  of 10 mM *p*NPG prepared in 50 mM sodium phosphate buffer, pH 7.00, in a final  
173 volume of 500  $\mu\text{L}$ . After incubating at 40 °C for 5 min, the reaction was stopped by adding 500  $\mu\text{L}$  of 1  
174 M  $\text{Na}_2\text{CO}_3$ . The absorbance was measured at 400 nm using a microplate spectrophotometer (Multiskan  
175 GO, Thermo Fisher Scientific, Waltham, MA, USA). One unit (U) is defined as the amount of enzyme  
176 that catalyses the formation of 1  $\mu\text{mol}$  *p*NP per min under the conditions of the assay. Only in the case of  
177 pectinase preparation, in which a considerable amount of  $\beta$ -glucosidase activity was detected, the activity  
178 was measured in media containing ethanol concentrations varying from 0 % to 40 % v/v.

### 179 ***Enzymatic extraction of polyphenols in hydroalcoholic media***

180 Polyphenols' extractions from grape pomace were carried out using tannase, cellulase and  
181 pectinase individually or combined, at a concentration of 200 U/g GP based on previous results (Meini et  
182 al. 2019). They were added after being manually weighted and solubilized in 50 mM acetate buffer at pH  
183 5.00, taking into consideration the U/g of preparation specified by the manufacturers. According to  $\beta$ -  
184 glucosidase activity determinations, this also led to the addition of approximately 400 U/g GP of  $\beta$ -  
185 glucosidase in the case of pectinase preparation, and approximately 150 U/g GP in the case of cellulase  
186 preparation. For each extraction, grape pomace was mixed with buffer, enzymes, and ethanol in different  
187 proportions, at a solid:solvent ratio of 1:10 w/v. Control extractions were carried out without enzymes,  
188 replacing them with buffer solution in the adequate proportion. Samples were incubated in continuous  
189 agitation (36 rpm) in a rotary shaker (Bioelec, Santa Fe, Argentina), at 40 °C (San-Jor SL60C precision  
190 oven, San Andrés, Buenos Aires, Argentina) for 2 h. Afterwards, they were centrifuged at  $10397 \times g$  for  
191 10 min (Sigma 3-18KH laboratory centrifuge, Rotor No. 19776-H, St. Louis, Missouri, USA). Finally, the  
192 supernatant from each tube was transferred to Eppendorf tubes that were stored at - 20 °C until analysed.

### 193 ***Determination of total phenolic content***

194 The Folin-Ciocalteu method was used to estimate the total concentration of phenolic compounds  
195 in the extracts (Ainsworth and Gillespie 2007). The results were obtained by comparing the absorbance at  
196 765 nm (UV-3100 spectrophotometer, Paralwall, Santa Fe, Argentina) of the samples (or a convenient  
197 dilution of them) with a standard curve of gallic acid. Each determination was made in triplicates and  
198 results were expressed in g of gallic acid equivalents (GAE)/100 g of GP.

**199 Determination of antioxidant activity**

200 The antioxidant activity of the extracts was evaluated using the improved ABTS<sup>•+</sup> radical cation  
201 decolorization assay (Re et al. 1999). The samples were diluted to fall in the linear range of a standard  
202 curve performed with known Trolox solutions. The absorbance was measured at 734 nm using an UV-  
203 visible spectrophotometer (UV-3100 spectrophotometer, Paralwall, Santa Fe, Argentina). Determinations  
204 were made in triplicates and results were expressed in mmoles of Trolox equivalents (TE) per 100 g of  
205 GP (mmol TE/100 g GP).

**206 Analysis of the phenolic content of the extracts by HPLC-DAD**

207 Phenolic compounds were resolved, identified, and quantified using a Dionex Ultimate 3000 SD  
208 HPLC system with a diode array detector (DAD) (Thermo Fisher Scientific, Waltham, MA, USA). After  
209 filtering the samples or a convenient dilution, they were injected in an Hypersil Gold C18 3  $\mu$ m; 2.1 mm  
210  $\times$  100 mm column (Thermo Fisher Scientific, Waltham, MA, USA). Elution was carried out at a flow rate  
211 of 0.25 mL/min with a mobile phase consisting of a mixture of deionized water acidified by acetic acid  
212 0.5 % v/v, and acetonitrile. The separation method used has been previously reported (Meini et al. 2019).  
213 Chromatograms were recorded at 280, 320, 370 and 520 nm. The identity of the peaks was confirmed by  
214 their retention time and absorbance spectrum, in comparison with available standards. Polyphenols were  
215 quantified using calibration curves of the following standard compounds at each maximum absorbance  
216 wavelength: gallic acid (280 nm), *p*-coumaric acid (320 nm), syringic acid (280 nm), malvidin-3-*O*-  
217 glucoside (520 nm), *trans*-resveratrol (320 nm), and quercetin (370 nm). Results were expressed as  
218 mg/100 g GP.

**219 Statistical data analysis**

220 Each experiment was performed in triplicate and the results were presented as means  $\pm$  standard  
221 deviation. Statistical analysis was performed using GraphPad Prism software for Windows (Version 8.0,  
222 GraphPad Software, San Diego, CA, USA). Data were subjected to a two-way analysis of variance  
223 (ANOVA) followed by Dunnett's multiple comparison tests. Significant differences were established in  
224 respect to the control experiments of each study: \* $p$  < 0.05; \*\* $p$  < 0.01; \*\*\* $p$  < 0.001; \*\*\*\* $p$  < 0.0001.

## 225 Results

### 226 *Enzymatic activity assessment in hydroalcoholic media*

227 With the aim of developing a novel enzymatic extraction process of polyphenols in  
228 hydroalcoholic media, the activity of the enzymes (tannase, cellulase and pectinase) was first evaluated in  
229 different concentrations of ethanol (between 0 % and 40 % v/v). It has been previously suggested that the  
230 presence of secondary activities in the commercial enzyme's preparations, such as  $\beta$ -glucosidase, can also  
231 affect polyphenols' extraction (Kammerer et al. 2005). Therefore,  $\beta$ -glucosidase activity was measured in  
232 the three enzymes' preparations. Since a considerable activity was detected in pectinase preparation (2.00  
233  $\pm$  0.03 U/mg), this activity was also assayed in different concentrations of ethanol. The results obtained  
234 for each enzyme are presented in Fig. 1. In this way, the maximum concentration of ethanol that can be  
235 used during the extraction process was established, seeking to maintain a considerable percentage of the  
236 enzymes' activities.

237 In the case of tannase (Fig. 1a), its activity showed a sharp decrease with the increasing ethanol  
238 concentrations. Nonetheless, a conservation of about 81 % of activity in 10 %, 57 % in 20 %, and 22 % in  
239 30 % v/v of ethanol was observed, which might be enough to get an effect in the enzyme-assisted  
240 extractions.

241 Fig. 1 here

242 Cellulase enzyme (Fig. 1b) was more resistant than tannase to the increasing concentrations of  
243 ethanol, showing a plateaued behaviour in the range of 10 - 20 % v/v of ethanol, in which around 80 % of  
244 the activity is preserved. Moreover, cellulase preserves more than 50 % of its activity at 30 % v/v of  
245 ethanol, while tannase retains only 22 % of the activity at the same solvent concentration.

246 Regarding pectinase activity (Fig. 1c), the enzyme preserved more than 70 % of its activity at a  
247 solvent concentration of 10 % v/v. Between 20 and 40 % v/v of ethanol, the activity was reduced  
248 gradually, retaining about 41 % of its activity at 40 % v/v of ethanol. Pectinase enzyme was the most  
249 tolerant to the highest ethanol concentration tested.  $\beta$ -Glucosidase activity of the pectinase enzyme  
250 preparation (Fig. 1d) showed a sharp decrease with the ethanol concentrations tested. However, a  
251 considerable amount of activity was preserved in 10, 20 and 30 % v/v of ethanol.

### 252 *Analysis of different polyphenols' extraction processes using enzymes and ethanol simultaneously*

253 Extractions of polyphenols from red grape pomace were carried out using enzymes in ethanol  
254 solutions with concentrations ranging between 0 % and 30 % v/v. The pomace was firstly processed, and  
255 then the extractions were carried out as previously described in materials and methods section. After  
256 obtaining the extracts, these were first analysed in terms of total phenolic content and antioxidant activity  
257 (Fig. 2). The best results in both assays were obtained using enzymes in media containing 20 % and 30 %  
258 v/v of ethanol. The total phenolic content of these extracts ranged from 1.3 to 2.3 g gallic acid  
259 equivalents/100 g GP, and their antioxidant activity ranged between 8.3 and 14.5 mmol of Trolox  
260 equivalents/100 g GP.

261 When comparing the extracts obtained using the enzymes individually to their respective  
262 controls, significant increases in the total phenolic content (Fig. 2a) and in antioxidant activity (Fig. 2b)  
263 were observed for cellulase in ethanol 10 % and 20 % v/v, and for pectinase in ethanol 20 % v/v. In the  
264 case of the extracts obtained with tannase, no increase in the total concentration of polyphenols was  
265 noted, while this enzyme significantly improved the extracts' antioxidant activity at all ethanol  
266 concentrations.

267 As regards the extracts obtained with different combinations of enzymes, a significant increase  
268 in the total phenolic content was observed at every ethanol concentration assayed in comparison to their  
269 respective controls (Fig. 2a). The sole exception to this was the extraction carried out with cellulase and  
270 pectinase in aqueous media (ethanol 0 % v/v). Regarding the antioxidant activity of these extracts (Fig.  
271 2b), only in the case of ethanol 20 % v/v, all the enzymes' combinations showed significant increments  
272 when contrasting with their controls. In addition, a negative effect on the antioxidant activity of the  
273 extracts was noted when using pectinase in the extraction process, since the values obtained with the three  
274 enzymes were lower than the combination "cellulase + tannase".

275 Fig. 2 here

### 276 *Identification and quantification of polyphenols present in the extracts by HPLC-DAD*

277 In general, studies on this topic are focused on maximizing the extraction yields of total  
278 phenolics and antioxidant activity of the extracts. However, the analysis and quantification of individual  
279 phenolic compounds is important to understand the effect of the extraction process on the composition of  
280 the extracts, as well as the relationship between the presence of certain polyphenols and the bioactivities

281 of the extracts. Therefore, the extracts obtained using enzymes in hydroalcoholic media were analysed by  
282 HPLC-DAD to identify and quantify some of the phenolic compounds present in them.

283 One of the phenolic compounds found in every extract was gallic acid (Fig. 3a). The increasing  
284 concentrations of ethanol in the control extractions did not significantly modify its extraction yield.  
285 However, when tannase was added, individually or simultaneously with other enzymes, it had a positive  
286 effect on gallic acid's concentration.

287 The concentration of syringic acid in the extracts was positively affected when enzymes were  
288 used (Fig. 3b). In general, the content of this polyphenol was higher than the controls when using  
289 enzymes individually or combined, in media with 0 %, 10 % and 20 % v/v of ethanol. However, when 30  
290 % v/v of ethanol was used, only the combination of tannase, cellulase, and pectinase had a significant  
291 effect on the content of syringic acid. Also, a positive effect on the extraction yield of this compound was  
292 observed with the increasing concentrations of the solvent in the control extractions. The highest yield of  
293 syringic acid was obtained when using the three enzymes combined in ethanol 20 % v/v.

294 Fig. 3 here

295 As regards to *p*-coumaric acid (Fig. 4a), no positive effect on its extraction yield was achieved  
296 when using tannase enzyme; while the other enzymes, cellulase and pectinase, benefited its recovery in  
297 media containing ethanol. Besides, when contrasting the enzymatic extractions with their controls, in  
298 general, a greater concentration of *p*-coumaric acid was obtained when using the enzymes simultaneously.  
299 Lastly, it is worth mentioning that *p*-coumaric acid's extraction yield considerably increases in the  
300 extractions carried out with cellulase and/or pectinase, with the growing concentrations of ethanol, while  
301 this effect is only slightly appreciated in the controls without enzymes.

302 In the case of the anthocyanin malvidin-3-*O*-glucoside (Fig. 4b), its extraction is benefited by the  
303 increasing ethanol concentrations. In addition, not only tannase, but also cellulase had a positive effect on  
304 this compound's extraction yield. However, when pectinase was used, either alone or combined with the  
305 other enzymes, malvidin-3-*O*-glucoside was not detected in the extracts.

306 Fig. 4 here

307 Another two phenolic compounds were identified and quantified in the extracts: quercetin (Fig.  
308 5a) and *trans*-resveratrol (Fig. 5b). These polyphenols were only extracted in media containing ethanol 20

309 % v/v and 30 % v/v. Quercetin was only recovered when the extractions were carried out with enzymes.  
310 In ethanol 20 % v/v low concentrations of this polyphenol were obtained, while higher concentrations  
311 were achieved in media with 30 % v/v of ethanol, being “cellulase + tannase” the highest among them. As  
312 regards *trans*-resveratrol, it was not recovered in the controls, while its extraction was notably favoured  
313 by pectinase. With 20 % v/v of ethanol this compound was present only in the extracts obtained with  
314 pectinase individually and combined with cellulase; and in ethanol 30 % v/v, higher concentrations of  
315 *trans*-resveratrol were recovered but only in the conditions where pectinase was used.

316 Fig. 5 here

### 317 **Discussion**

318 With the aim of developing a polyphenols’ one-step extraction process using enzymes and  
319 ethanol simultaneously, in the first place, the activities of three commercial enzymes (tannase, cellulase  
320 and pectinase) were determined in media with varying concentrations of the solvent. Currently, the  
321 information available regarding the effect of organic solvents on these enzymes’ activity is narrow since,  
322 in general, the assays evaluate the residual activity after a preincubation in organic solvent mixtures but  
323 not the activity within the organic solvent mixture itself. As regards to tannase enzyme, the effects of  
324 various organic solvents were reported for a tannase enzyme from for *A. niger* GH1, giving clues that  
325 these enzymes could at least resist 20 % v/v of multiple organic solvents (Marco et al. 2009). In the case  
326 of cellulase, endoglucanase enzymes from *A. niger* VTCC-F021 and *A. oryzae* VTCC-F045 preserved  
327 over 80 % of their activity after being preincubated in ethanol 10 % and 20 % v/v for 2 h, and ethanol 20  
328 % v/v for 4 h, respectively (Nguyen and Quyen 2012; Pham et al. 2012). The tolerance to solvent seen in  
329 the case of pectinase enzyme was not reported before, since a progressive reduction on the  
330 polygalacturonase activity of the commercial pectinase enzyme preparation Scottzyme® Color X (Scott  
331 Laboratories, Pickering, ON, Canada) was observed, but it was only evaluated up to an ethanol  
332 concentration of 10 % v/v (Reynolds et al. 2018). Based on the results discussed above, ethanol  
333 concentrations ranging from 0 % to 30 % v/v were chosen for testing the enzymes’ effect on the  
334 extraction of polyphenols from grape pomace. The highest ethanol concentration (40 % v/v) was not  
335 considered since most of the enzymes showed very low activity in that condition.

336 Polyphenols’ extractions were carried out using the enzymes’ preparations individually or in  
337 combinations of two or three in ethanol concentrations ranging from 0 to 30 % v/v of ethanol. The

338 extracts were analysed in terms of total polyphenols' concentration and antioxidant activity. In addition,  
339 the individual polyphenols present in them were quantified by HPLC-DAD. As regards to the extracts  
340 obtained with the enzymes individually, although tannase did not have a significant effect in the total  
341 phenolic content, an increase in the antioxidant activity was observed when using this enzyme. Tannase  
342 enzyme hydrolyses tannins present in the plant cell wall, releasing gallic acid, which is a phenolic  
343 compound with a high antioxidant capacity (Badhani et al. 2015). Results obtained by HPLC showed a  
344 significant increase in gallic acid's concentration in the presence of tannase (Fig. 3a). Therefore, the  
345 positive correlation found between the enhancement in the extracts' antioxidant activity and the increase  
346 in gallic acid concentration, indicates that gallic acid plays a key role in the antioxidant activity of the  
347 extracts.

348 In addition, it was noted that by using the enzymes simultaneously, higher recovery yields of  
349 polyphenols and greater antioxidant activities were obtained (Fig. 2). This might occur because the  
350 hydrolytic enzymes act synergistically favouring the release of polyphenols that are bound to the cell wall  
351 (Xavier Machado et al. 2021). On the other hand, a negative effect in the antioxidant activity of the  
352 extracts was noted when comparing the ones obtained with three enzymes with the ones obtained using  
353 cellulase and tannase combined. This effect seen in presence of pectinase enzyme correlates with the  
354 disappearance of malvidin-3-*O*-glucoside as determined by HPLC (Fig. 4b) when using this enzyme  
355 preparation. As stated before, the pectinase preparation used have a considerable amount of  $\beta$ -glucosidase  
356 activity. Anthocyanins are more prone to degradation when the hydrolysis of the glycosylic bond occur  
357 (Kammerer et al. 2005; Monteiro et al. 2019). Therefore, the loss of malvidin-3-*O*-glucoside and the  
358 concomitant decrease in antioxidant activity, can be attributed to the  $\beta$ -glucosidase activity of the  
359 pectinase enzyme preparation.

360 When using pectinase and cellulase individually and/or combined, higher concentrations of *p*-  
361 coumaric acid were obtained in the extracts (Fig. 4a). This polyphenol is a *p*-hydroxycinnamic acid  
362 mostly found in plants covalently linked to lignocellulose (insoluble form) or on its soluble form. The  
363 latter is stored in vacuoles, where it can be found forming ester bonds with small molecules or in its free  
364 form (Robbins 2003; Xu et al. 2005). Therefore, the hydrolysis of cell wall components by cellulase and  
365 pectinase enzymes might be enhancing the recovery of both fractions of *p*-coumaric acid. These results  
366 are consistent with what was previously reported when using cellulase in aqueous extractions (Meini et al.

367 2019). But the point that stands out in the present study is that a synergistic effect is observed when using  
368 these enzymes and hydroalcoholic media, up to ethanol 30 % v/v.

369 Also, when using pectinase and ethanol a significant increase in the concentration of *trans*-  
370 resveratrol was noted (Fig. 5b). In general, this polyphenol is largely found in grape skins in its glycoside  
371 form called polydatin or piceid (resveratrol-3-*O*- $\beta$ -D-glucoside) (Averilla et al. 2019). However,  
372 polydatin presents a lower bioavailability than *trans*-resveratrol (Kuo et al. 2016), and therefore, the latter  
373 is the desired form that is mostly sought to be recovered (Wang et al. 2019). It has been previously  
374 reported that the action of  $\beta$ -glucosidase enzymes can efficiently convert polydatin to *trans*-resveratrol,  
375 and the advantage of performing the reaction with an enzyme tolerant to organic solvents has been  
376 highlighted (Zhou et al. 2022). Pectinase might be enhancing the recovery of this compound not only  
377 because it helps to reduce the cell wall integrity, but also the  $\beta$ -glucosidase activity present in the  
378 pectinase preparation is probably hydrolysing the glycosidic bond of piceid favouring the release of *trans*-  
379 resveratrol. As stated in the case of *p*-coumaric acid, there is a synergist effect between the application of  
380 pectinase preparation and the % of ethanol used in the extraction.

381 In recent years, the production of certain polyphenols has gained great importance due to its  
382 increasing demand on the market (Xavier Machado et al. 2021). Typically, the best vegetable source of  
383 each polyphenol is used, and a conventional solvent extraction is performed. But these processes require  
384 great quantities of biomass, and they present inherent drawbacks regarding environmental impact. Within  
385 this context, recent advances have been reported in obtaining genetically modified microorganisms that  
386 produce specific polyphenols with high yield (Borja et al. 2019; Kanpiengjai et al. 2020; Yuan et al.  
387 2020). Alternatively, valuable polyphenols can be recovered from agro-industrial wastes through greener  
388 methods as stated in the present study. The volumetric productivity obtained for *p*-coumaric acid using  
389 cellulase and pectinase in ethanol 30 % v/v was almost two times the productivity reported in one of these  
390 studies (Borja et al. 2019), where they used a genetically modified *Saccharomyces cerevisiae* strain, and  
391 xylose as carbon source (Table 1). In the case of *trans*-resveratrol, the volumetric productivity obtained  
392 using pectinase in ethanol 30 % v/v was very similar to the one reported in a study where they used an  
393 *Escherichia coli* – *S. cerevisiae* consortium, and glucose as carbon source (Table 1) (Yuan et al. 2020).  
394 On the other hand, for gallic acid's production, tannic acid is generally used as the carbon source in  
395 fermentation processes (Kanpiengjai et al. 2020). This compound is a greater source of gallic acid per unit  
396 of weight than grape pomace so, as expected, higher yields were obtained by Kanpiengjai et al. (2020) in



397 contrast with the present study. Even so, a considerable volumetric productivity of gallic acid was  
 398 obtained (Table 1), being this polyphenol one of the compounds found in higher concentrations in the  
 399 extracts.

400 **Table 1. Comparison of the volumetric productivity of gallic acid, *p*-coumaric acid, and *trans*-**  
 401 **resveratrol obtained in this study and other published studies.**

Study	Volumetric productivity (mg L <sup>-1</sup> h <sup>-1</sup> )		
	<i>p</i> -Coumaric acid	<i>Trans</i> -resveratrol	Gallic acid
Present study <sup>a</sup>	7.72 ± 0.26	0.98 ± 0.04	49.56 ± 0.01
(Borja et al. 2019) <sup>b</sup>	4.03 ± 1.00	-	233.33 ± 0.01
(Yuan et al. 2020) <sup>c</sup>	-	0.96 ± 0.14	-
(Kanpiengjai et al. 2020) <sup>d</sup>	-	-	-

402 <sup>a</sup> The conditions selected were: *p*-coumaric acid (“cellulase + pectinase” ethanol 30 % v/v); *trans*-  
 403 resveratrol (“pectinase” ethanol 30 % v/v); gallic acid (“tannase + pectinase” ethanol 10 % v/v).

404 <sup>b</sup> Production of *p*-coumaric acid from xylose after 60 h of culture, using a genetically modified *S.*  
 405 *cerevisiae*.

406 <sup>c</sup> De novo resveratrol production from glucose through a synthetic *E. coli* – *S. cerevisiae* consortium.

407 <sup>d</sup> Gallic acid production from tannic acid and glucose after 48 h of culture, using the yeast *Sporidiobolus*  
 408 *ruineniae* A45.2.

409 In conclusion, a novel polyphenol’s extraction process was developed. This method allows the  
 410 extracts’ enrichment on specific polyphenols by making slight adjustments of the extraction’s conditions.  
 411 This selectivity is achieved through the combination of the specificity of hydrolytic enzymes, their ability  
 412 to act in a range of concentrations of organic solvent, and the solubility of the desired polyphenols. This  
 413 method represents a further improvement of the classical optimized extraction methods, which generally  
 414 seek to maximize the extraction yields of total polyphenols without targeting specific polyphenols.  
 415 Hydroxycinnamic acids, *trans*-resveratrol, and gallic acid’s market are growing, boosted by the  
 416 preference for safer alternatives in the food and cosmetic industries. Therefore, the process presented here  
 417 represents a greener alternative for extracting these high-value polyphenols. Also, according to the results  
 418 obtained, the addition of an organic solvent in the reaction system might represent a key factor when  
 419 seeking to achieve competitive yields. Although the scalability of the process should be evaluated for

420 industrial application, it has been demonstrated that the usage of enzymes and ethanol in a one-step  
421 process has a considerable perspective on the production of valuable phenolic compounds. Moreover, this  
422 method showed volumetric productivities in the range of recently developed methods for producing  
423 individual polyphenols by microorganisms' fermentations, thus being a competitive process.  
424 Additionally, the principles of the extraction method under study could provide the basis for recovering  
425 other bioactive molecules trapped in biomasses that have moderate solubility in water.

#### 426 **Data availability**

427 The datasets generated during and/or analysed during the current study are available from the  
428 corresponding author on reasonable request.

#### 429 **Statements and Declarations**

430 *Ethical approval.* This article does not contain any studies with human or animals performed by  
431 any of the authors.

432 *Conflict of interest.* The authors declare that they have no conflict of interest.

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571 **Figure captions**

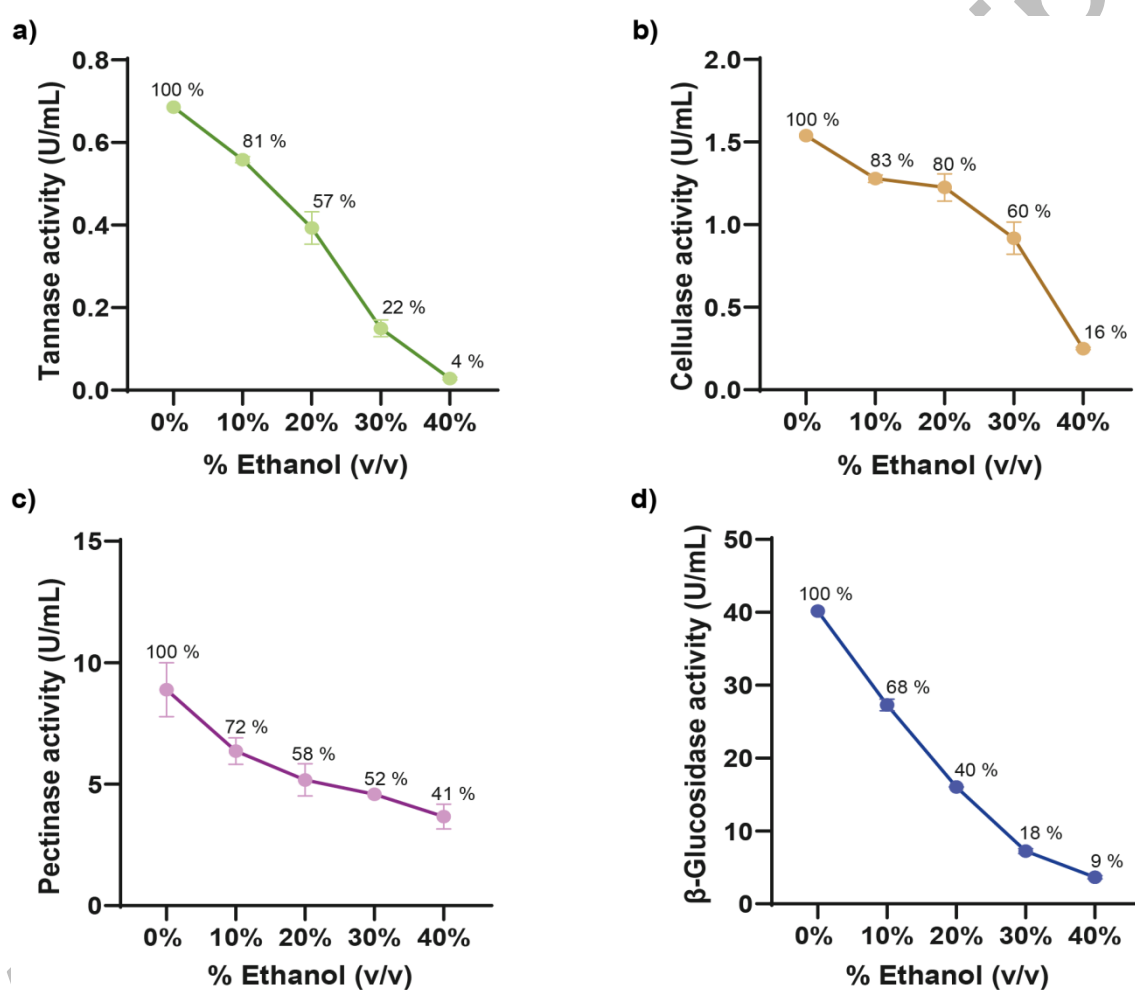
572 **Fig. 1. Evaluation of (a) tannase, (b) cellulase, (c) pectinase and (d)  $\beta$ -glucosidase activities at**  
573 **different ethanol concentrations.** Enzyme activity assays were carried out in media with 0 %, 10 %, 20  
574 %, 30 % and 40 % v/v of ethanol. Assay conditions such as temperature, pH, and substrate were the ones  
575 established in the standard protocols for each enzyme. The percentages shown on top of each activity  
576 value correspond to the remaining activity of the enzymes. The activity obtained in the absence of ethanol  
577 was considered as 100 % of activity.

578 **Fig. 2. Characterization of grape pomace extracts in terms of (a) total phenolic content and (b)**  
579 **antioxidant activity.** The extractions were carried out using 200 U/g GP of tannase, cellulase, and  
580 pectinase enzymes (individually or combined). They were performed at 40 °C for 2 h, under continuous  
581 agitation, in media containing 50 mM acetate buffer at pH 5.00, and ethanol concentrations ranging from  
582 0 % to 30 % v/v. Significant differences are indicated in respect to the control extractions performed  
583 without enzymes: \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ ; \*\*\*\*  $p < 0.0001$ . GP: grape pomace; GAE:  
584 gallic acid equivalents; TE: Trolox equivalents.

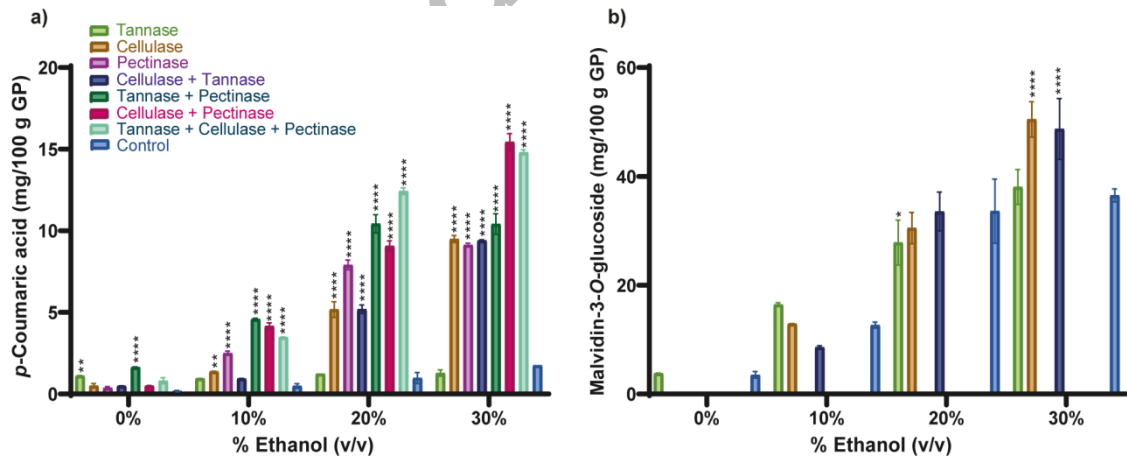
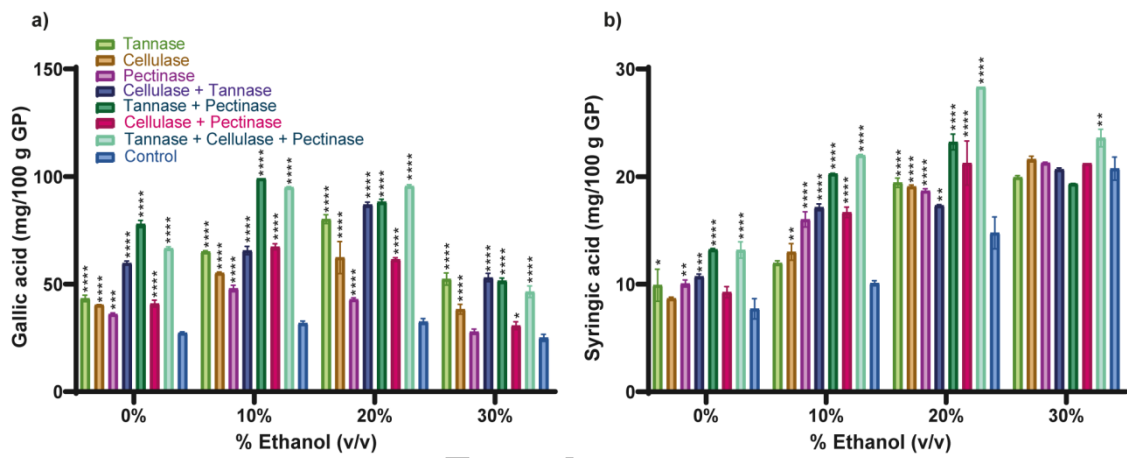
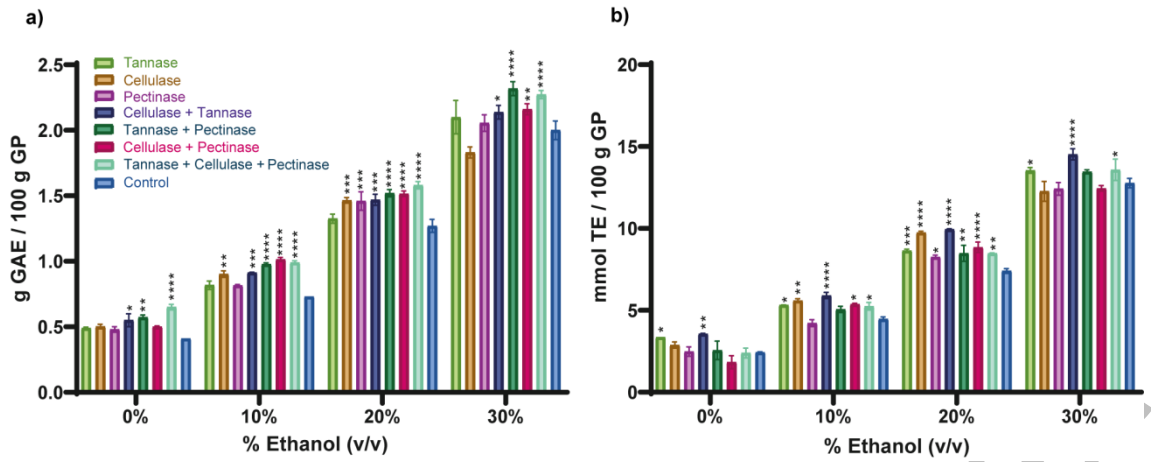
585 **Fig. 3. Identification and quantification of individual phenolic compounds present in the extracts by**  
586 **HPLC-DAD: (a) gallic acid and (b) syringic acid.** The extractions were carried out using 200 U/g GP  
587 of tannase, cellulase, and pectinase enzymes (individually or combined). They were performed at 40 °C  
588 for 2 h, under continuous agitation, in media containing 50 mM acetate buffer at pH 5.00, and ethanol  
589 concentrations ranging from 0 % to 30 % v/v. Significant differences are indicated in respect to the  
590 control extraction performed without enzymes: \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ ; \*\*\*\*  $p < 0.0001$ .  
591 GP: grape pomace.

592 **Fig. 4. Identification and quantification of individual phenolic compounds present in the extracts by**  
593 **HPLC-DAD: (a) *p*-coumaric acid and (b) malvidin-3-*O*-glucoside.** The extractions were carried out  
594 using 200 U/g GP of tannase, cellulase, and pectinase enzymes (individually or combined). They were  
595 performed at 40 °C for 2 h, under continuous agitation, in media containing 50 mM acetate buffer at pH  
596 5.00, and ethanol concentrations ranging from 0 % to 30 % v/v. Significant differences are indicated in  
597 respect to the control extraction performed without enzymes: \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ ; \*\*\*\*  
598  $p < 0.0001$ . GP: grape pomace.

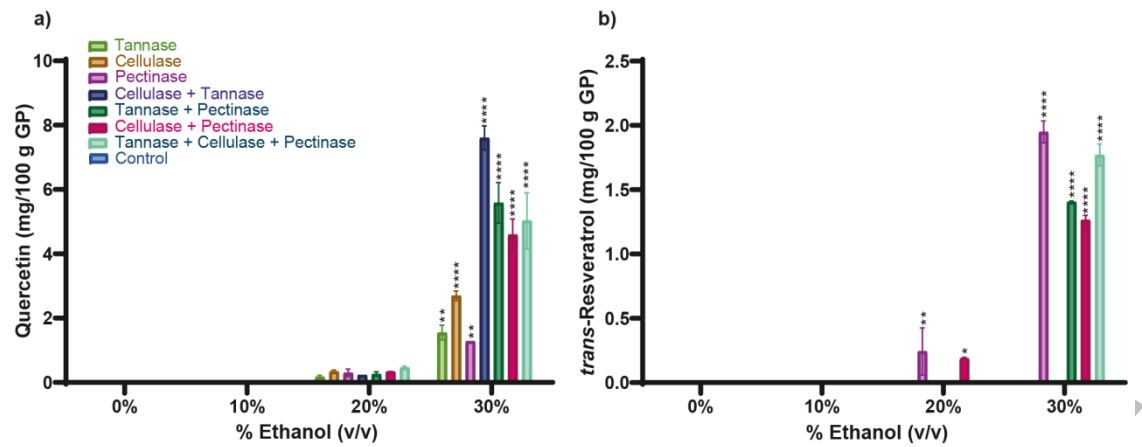
599 **Fig. 5. Identification and quantification of individual phenolic compounds present in the extracts by**  
 600 **HPLC-DAD: (a) quercetin and (b) *trans*-resveratrol.** The extractions were carried out using 200 U/g  
 601 GP of tannase, cellulase, and pectinase enzymes (individually or combined). They were performed at 40  
 602 °C for 2 h, under continuous agitation, in media containing 50 mM acetate buffer at pH 5.00, and ethanol  
 603 concentrations ranging from 0 % to 30 % v/v. Significant differences are indicated in respect to the  
 604 control extraction performed without enzymes: \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ ; \*\*\*\*  $p < 0.0001$ .  
 605 GP: grape pomace.



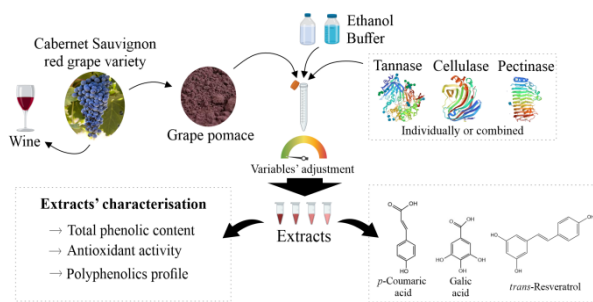
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