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# Nature of the Active Sites on Ni/CeO<sub>2</sub> Catalysts for Methane Conversions

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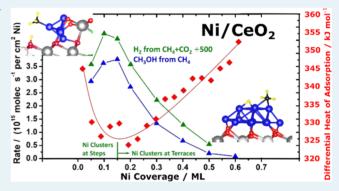
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**ABSTRACT:** Effective catalysts for the direct conversion of methane to methanol and for methane's dry reforming to syngas are Holy Grails of catalysis research toward clean energy technologies. It has recently been discovered that Ni at low loadings on  $CeO_2(111)$  is very active for both of these reactions. Revealing the nature of the active sites in such systems is paramount to a rational design of improved catalysts. Here, we correlate experimental measurements on the  $CeO_2(111)$  surface to show that the most active sites are cationic Ni atoms in clusters at step edges, with a small size wherein they have the highest Ni chemical potential. We clarify the reasons for this observation using density functional theory calculations. Focusing on the activation barrier for C–H bond cleavage during the dissociative



adsorption of CH<sub>4</sub> as an example, we show that the size and morphology of the supported Ni nanoparticles together with strong Nisupport bonding and charge transfer at the step edge are key to the high catalytic activity. We anticipate that this knowledge will inspire the development of more efficient catalysts for these reactions.

KEYWORDS: Ni nanoparticles, ceria support, dry reforming, methane to methanol, selective oxidation, metal-support interaction, metal/oxide interface, particle size effect

# ■ INTRODUCTION

The recent dramatic increase in methane availability worldwide has inspired a surge of interest in new catalytic processes for methane conversions that could lead to major environmental and economic benefits. Dry reforming of methane (DRM) is an attractive route that could potentially utilize vast quantities of CO<sub>2</sub> for its catalytic conversion to valuable syngas while simultaneously mitigating both greenhouse gases. 1-4 Perhaps, even more impactful would be the direct catalytic conversion of methane to methanol.<sup>5-9</sup> These two reactions are challenging owing to the high gas-phase stability of their reactants and the rapid deactivation through carbon deposition on high-loaded metal-based catalysts. 10-18 It has been recently shown experimentally<sup>3,4,9,19,20</sup> that small Ni nanoparticles on a ceria(111) model support promote the activation of both O-H and C-H bonds in H<sub>2</sub>O and CH<sub>4</sub>, respectively, at room temperature, with lower activation barriers than for extended metallic Ni surfaces, and promote the activation of CO<sub>2</sub> at moderate temperatures. Most importantly, this type of catalyst is very active in the DRM in a clean and efficient way<sup>3,4,20</sup> and in the direct conversion of methane to methanol using a mixture of oxygen and water, with a higher selectivity than ever reported for ceria-based catalysts. The activation of CH<sub>4</sub> is the first and only step shared by both reactions, whereas, for

example, their steps for C-O bond formation are quite different. In the partial oxidation of methane to methanol, the C-O bond is formed in the addition of chemisorbed CH<sub>3</sub> to O or OH species, 9,13,21,22 whereas in DRM, it is formed from chemisorbed CH and/or C species. 23-27 The high activity of the Ni/CeO<sub>2</sub> catalyst was mainly attributed to the highly cationic character of the interfacial Ni atoms, also reported to be the most active for water-gas shift. 19,27-31 However, a detailed understanding of the structure and nature of the active site remains a challenge and is of paramount importance for the rational development of new or better catalysts. Herein, we report a combination of experimental measurements and density functional theory (DFT) calculations which elucidate the active site, thus hopefully enabling future designs of improved catalysts. We further reveal how this nanomaterial escapes the so-called "tyranny of linear scaling", at least for this

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key step in these reactions investigated here, namely, methane's dissociative adsorption.

## RESULTS

Measurements of Ni Atom Stability and Charge on Ni/CeO<sub>2</sub>(111) Correlate with Catalyst Activity. Figure 1

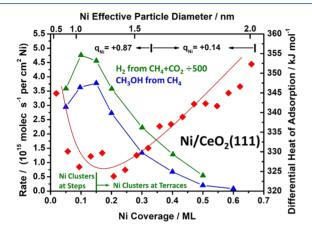


Figure 1. Correlation of the measured catalytic activities versus Ni coverage (and the corresponding average particle size) with Ni atom heat of adsorption for Ni on  $CeO_2(111)$ . Rates for both methane to methanol at 450 K<sup>9</sup> and methane dry reforming at 650 K<sup>3,20</sup> are shown. The differential heat of Ni adsorption at 300 K<sup>32</sup> shows a minimum when step edges stop being populated by Ni, and Ni bonding at terraces starts to dominate, at the same coverage where both the rates maximize. This coverage corresponds to a Ni particle diameter of  $\sim$ 1 nm. The average measured charge per Ni atom<sup>32</sup> (shown) also drops strongly near this coverage.

compares the catalytic rate measurements versus Ni loading from two of the abovementioned studies  $^{3,9,20}$  (blue = CH<sub>3</sub>OH from CH<sub>4</sub> and green = DRM) with our recent measurements of the differential heat of adsorption of Ni vapor versus coverage (red). In all cases, these are well-defined model catalysts prepared by vapor-depositing Ni onto the CeO<sub>2</sub>(111) support at 300 K, where the support is a nonreduced  $CeO_2(111)$  thin film  $(CeO_{2-x})$  with x up to 0.05). These were grown by very similar recipes, on Ru(0001) for the kinetic studies and on Pt(111) for our calorimetry studies. The Ni loading is in ML (defined as the total number of Ni atoms per surface O atom, i.e.,  $1 \text{ ML} = 7.89 \times 10^{14} \text{ atoms per cm}^2$ ). The rates are for CH<sub>3</sub>OH synthesis from CH<sub>4</sub> (with an 8:1 mix of  $H_2O + O_2$  as the oxidant) at 450 K<sup>9</sup> and DRM at 650 K,<sup>3,20</sup> both at very low conversions. These were originally reported as the rate per unit area of the CeO<sub>2</sub> support (before Ni deposition). We have used the results of our study of this system by low-energy He<sup>+</sup>-ion scattering spectroscopy (He<sup>+</sup> LEIS), which gave the ratio of the total Ni area to area of the CeO<sub>2</sub> support versus Ni coverage,<sup>32</sup> to convert these to rates per unit surface area of Ni. This is proportional to the true turnover frequency (TOF, or rate per surface Ni atom), assuming a constant number of Ni surface atoms per unit area of Ni (e.g.,  $1.6 \times 10^{15}$ /cm<sup>2</sup> for Ni(111) type packing). We also show on the top axis of Figure 1 the average Ni particle's diameter determined from those same LEIS data<sup>32</sup> assuming flat discs with a fixed height/diameter ratio of 0.25, as suggested by scanning tunneling microscopy (STM).<sup>35</sup> These STM measurements were for larger particle sizes than at the rate maximum here  $(\sim 1 \text{ nm})$  and possibly missed seeing many

or most of the particles smaller than this size due to particle mobility and the limitations of STM imaging on oxide surfaces at the temperature used. Note that dividing the total Ni coverage (in ML) by the fractional area covered by the Ni particles measured by LEIS<sup>32</sup> gives the average Ni particle thickness (in ML, or atoms per unit area, which we converted to nanometers by dividing by the number of Ni atoms per unit volume in bulk Ni(solid) and then converted to particle diameter by dividing by this height/diameter ratio (0.25)). Above 1 nm diameter in Figure 1, the Ni dispersion is approximately equal to 1 nm divided by the diameter.

As seen, the heat of adsorption initially decreases to a minimum and thereafter increases, eventually saturating at the heat of sublimation of bulk Ni(solid), 430 kJ/mol, at higher coverages than shown here. The high initial heat was attributed to the binding of Ni monomers to more stable step edges, and the initial decrease in heat was attributed to the saturation of these step edge sites so that more and more of the less favorable terrace sites are populated with increasing coverage. The heat reaches a minimum and thereafter increases due to the growth of Ni cluster size (reaching  $\sim$ 2.0 nm on average at the highest coverage shown here). This increase is due to the fact that more Ni–Ni bonds are made to the new Ni atom when it adds to a larger cluster.

Most importantly, in Figure 1, the TOF for both reactions is high and nearly constant with increasing coverage until the minimum heat of adsorption is reached, after which the TOF drops rapidly. This is an outstanding example of a strong correlation between the thermodynamic stability of the metal atoms in a catalyst and its catalytic activity, in this case for two very important reactions. It clearly shows that the active sites are small clusters of Ni at step edges. There is a small increase in TOF at low coverage until it reaches a maximum just at the point where the heat of adsorption reaches a minimum (i.e., where the chemical potential of the Ni atoms in the catalyst reaches a maximum), 32 that is, the most active sites are Ni atoms in clusters at step edges with a small size (~1.0 nm in diameter and 0.25 nm thick 32), wherein they have the highest Ni chemical potential.

Note that both these reactions' rates show essentially the same dramatic and complex variation with Ni particle size (coverage). Since the dissociative adsorption of methane is the common step in both reactions, this would suggest that it is the rate-determining step (RDS) in both these reactions under the conditions measured. Although C-O bond forming is also common in both reactions, as mentioned above, in the methanol production reaction, the crucial C-O bond-forming step is the coupling between adsorbed CH3 with O or OH, 9,13,21,22 whereas this step has not been considered in DFT-based mechanisms for DRM on Ni-based catalysts. 23-27 On Ni(211), a DFT-based microkinetic model of DRM showed that O-CO bond cleavage in CO<sub>2</sub> is the most ratecontrolling step (i.e., the one with the highest degree of rate control) under the reaction conditions that led to the highest DRM rates.<sup>33</sup> This step does not occur in the methanol production reaction. It is possible that some later C-O bondforming step that removes adsorbed carbon atoms (or CH or  $CH_2$ ) is crucial in both reactions. No one knows yet what is the RDS on the types of sites that are shown above to be the most active for both of these reactions.

Experimentally, it has been observed that this same low coverage of Ni on  $CeO_2(111)$  is reactive in dissociating not only  $CH_4$  but also  $H_2O$  and  $CO_2$ , that is, all the reactants

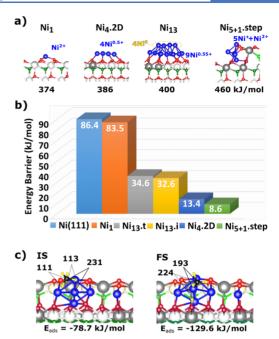
involved in both reactions. <sup>3,4,19,20</sup> Thus, an alternate explanation for this similarity in rates versus Ni coverage is that the active site (small Ni clusters at steps) is so much faster than larger Ni clusters in activating all reactants and for both reactions (irrespective of their rate-determining steps) that both rates just track the number of these special sites. Thus, higher Ni coverages just remove these active sites (small Ni clusters) by making them into larger clusters, so that the rates of both reactions go down with Ni coverage in almost exactly the same way. The larger (2 nm) Ni clusters must be >10-fold less active per unit area than the small (1 nm) clusters, if this explanation in true.

We also quantified the charge transfer from Ni to  $CeO_2(111)$  versus Ni coverage using X-ray photoelectron spectroscopy (XPS).<sup>32</sup> Figure 1 also shows the measured average charge per added Ni atom  $(q_{Ni})$  in the coverage ranges shown. Upon dosing 1/3 ML, each Ni atom donates nearly one electron to the  $CeO_2$  (making a  $Ce^{3+}$ ), but above 1/3, there is very little charge transfer and the added Ni is nearly neutral.<sup>32</sup> Our DFT calculations below are consistent with this, showing that small Ni clusters at steps have very cationic Ni, as well as other very special electronic structural properties, and a special ability to activate difficult catalytic reaction steps, using methane activation as an example. They also show that the surface atoms of the larger Ni clusters are nearly neutral in charge, which could explain the lower activity (see also below).

At the Ni coverage where the TOFs in Figure 1 are near their maximum (0.1–0.15 ML), our XPS studies<sup>32</sup> show that a combination of the initial slight extent of reduction of the ceria and the Ni-induced reduction, leads to a surface that is 5–10% Ce<sup>3+</sup>. This is similar to the fraction of Ce<sup>3+</sup> measured using *in situ* XPS under DRM reaction conditions at temperatures (600 and 700 K) closest to that used for measuring the TOFs in Figure 1 (650 K).<sup>3,20</sup>

**DFT Studies of the Stability and Electronic Character** of the Ni/CeO<sub>2</sub>(111) Model Catalysts and the Effect of the Support Structure. Our DFT calculations have recently shown that Ni monomers at stoichiometric (110) step edges (Ni<sub>1</sub>.step), with a calculated heat of adsorption of 469 kJ/mol, are more strongly bound by 95 kJ/mol<sup>32</sup> than on the flat CeO<sub>2</sub>(111) terraces (374 kJ/mol, Figure 2a). In both sites, Ni monomers bind as Ni<sub>1</sub><sup>2+</sup>. These calculations thus predicted that decoration of the stoichiometric step with Ni species will occur before adsorption on the terraces, as found by our experiments.<sup>32</sup> The maximum possible coverage of monodispersed Ni<sub>1</sub> species at this (110) step edge is three atoms for the unit cell size used there  $[(5 \times 3)$ , corresponding to one Ni for every one step-edge O atoms], with two as Ni<sub>1</sub><sup>2+</sup> and one as Ni<sub>1</sub><sup>+</sup> (Figure S1). We calculated the average heat of adsorption of these three coadsorbed Ni<sub>1</sub>.step species (460 kJ/mol per atom) and found it to be almost the same as a single stepbound Ni<sub>1</sub>, still 86 kJ/mol per Ni atom more strongly bound to the step edge than isolated Ni<sub>1</sub> species on the flat terrace. In our previous work,<sup>32</sup> we have shown that the heat of adsorption of Ni decreases when the step becomes more and more reduced; therefore, oxygen vacancies in the steps were not considered. The size of the unit cell has been chosen to make possible the computationally demanding calculation of the minimum energy path for the dissociative adsorption of methane.

To understand how the ceria step edge affects the electronic structure, charge state, and chemical reactivity of the Ni clusters and their surface atoms, we studied several different



**Figure 2.** (a) Models of  $Ni_n$  (n = 1, 4, and 13) on  $CeO_2(111)$  terraces and Ni<sub>5</sub> + Ni<sub>1</sub> (Ni<sub>5+1</sub>.step) at a (110)-type step. Surface/subsurface oxygen atoms in the outermost O-Ce-O trilayer are depicted in red/ green, Ce4+ is depicted in white, and Ce3+ is depicted in gray. Values of the calculated integral heat of adsorption of Ni, species are listed below each structure in kJ/mol per Ni atom (relative to Ni gas). (Our previous results showed that DFT overpredicts these heats by ~88 kJ/ mol.<sup>32</sup>) (b) Activation energy barriers (non-ZPE-corrected) for the  $CH_4 \rightarrow CH_3 + H$  reaction on these  $Ni_n$ -CeO<sub>2</sub> systems and for the extended Ni(111) surface.  $Ni_{13}$ .t and  $Ni_{13}$ .i denote dissociation on a terrace and at an interface site of the Ni<sub>13</sub>-CeO<sub>2</sub> system, respectively (cf. Figure 1a). (c) View of the initial state (IS) and final state (FS) for the  $CH_4 \rightarrow CH_3 + H$  reaction on the  $Ni_{5+1}$ - $CeO_2$  model catalysts. Selected interatomic distances (in pm) and the adsorption energy of molecularly and dissociatively adsorbed methane (in kI/mol) are indicated.

representative Ni/CeO<sub>2</sub> structures with DFT. Due to the high computational demands of these studies, we were forced to use smaller clusters than the 1 nm size shown experimentally to be the most active. We chose cluster structures which nevertheless illustrate accurately many of the essential atomic-scale features that control Ni atom stability, electronic structure, and surface reactivity, as described below. These included a small pyramidal Ni<sub>5</sub> cluster (with a rhombohedral base) at the (110) step edge, an isolated Ni<sub>1</sub> adatom, and a flat rhombohedral Ni<sub>4</sub>.2D cluster on the ceria terrace, for which all Ni atoms are interfacial, as well as a Ni13 cluster which has a two-layered 9-4 stacking structure. A structural Ni<sub>5</sub> isomer was found to be less stable than the pyramidal Ni<sub>5</sub> cluster by 32 kJ/mol, and consequently, it was not considered further (Figure S1). The Ni<sub>13</sub> cluster has been selected as a representative model that features a compact structure that maximizes the atomic coordination, which makes it particularly likely to be energetically stable. Such a Ni<sub>13</sub> cluster supported on TiC(001) has recently been used to study the effect of Nicarbide interactions on the activation of methane.<sup>3</sup>

We first consider the formation of a somewhat larger Ni nanoparticle than we previously studied at the  $\langle 110 \rangle$  step edge, namely, a pyramid with a rhombohedral base (Ni<sub>5</sub>, Figures 2a,c and S1), by adding three more Ni atoms to the fully decorated  $\langle 110 \rangle$  step with three Ni<sub>1</sub> species. As shown (Figure 2c), two

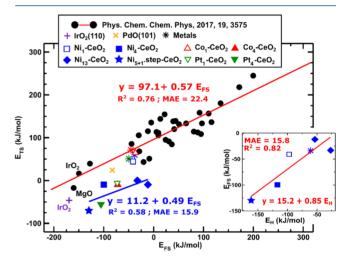
of the original Ni atoms are incorporated into the resulting Ni<sub>s</sub> cluster and one remains isolated. This resulting structure has seven Ce3+ ions and consists of a pyramidal Ni<sub>5</sub>5+ nanoparticle and one Ni<sub>1</sub> (Ni<sub>1</sub><sup>2+</sup>), hereinafter: Ni<sub>5+1</sub>.step (Figure S1, Table S6). The top atom in this pyramid is the leftmost atom shown in Figure 2a, which, importantly, enables H attachment to the support during H-CH<sub>3</sub> dissociation, as shown below. This step-bound structure has a calculated integral heat of Ni(gas) adsorption that is 66-68 kJ/mol per Ni atom larger than flat Ni<sub>6</sub>.2D (392 kJ/mol) or Ni<sub>6</sub>.3D (394 kJ/mol) clusters on the CeO<sub>2</sub>(111) terrace.<sup>32</sup> This step cluster is also more stable than the rhombohedral Ni<sub>4</sub>.2D cluster (which makes 2 Ce<sup>3+</sup> ions, Figure 2a) and the Ni<sub>13</sub> aggregate (which makes 5 Ce<sup>3+</sup> ions), wherein only the four and nine Ni atoms, respectively, in direct contact with the oxide support are partially oxidized (4 ×  $Ni^{0.50+}$  and 9 ×  $Ni^{0.56+}$ , respectively), whereas the four secondlayer Ni atoms in Ni<sub>13</sub> retain their metallic character (Ni<sup>0</sup>). As previously observed, <sup>20,28,32</sup> inspection of the calculated electronic structure for the Ni-CeO<sub>2</sub> systems shown in Figure 1a reveals that the electronic perturbations (e.g., charge transfer) induced by the support are much stronger for Ni atoms which are directly at the Ni-ceria interface, whereas there is almost no charge transfer from the Ni atoms in the second and thicker layers of 3D nanoparticles.<sup>29,35</sup> In contrast, this charge transferred by the Ni atoms is much larger for Ni aggregates at steps and extends to the second Ni layer, that is, the Ni<sub>5+1</sub>.step structure has a Ni<sub>5</sub><sup>5+</sup> pyramid with substantial charge even on the top Ni atom and more on the four Ni atoms in the base (totaling +1 charge per Ni, on average) and one Ni<sup>2+</sup>.

DFT Studies of Methane Activation by Ni-CeO2 and Linear Scaling Relationships. There is an indisputable correlation between the highest catalytic activity for both methane dry reforming and methane conversion to methanol and the existence of small clusters of nickel dispersed at ceria steps (Figure 1). As noted above, the active low-loaded Ni-CeO<sub>2</sub> systems are much faster not only for both net catalytic reactions than larger Ni clusters but also in dissociating all the reactant gases (CH<sub>4</sub>, H<sub>2</sub>O, and CO<sub>2</sub>). Hence, the positive effects of having small Ni clusters at ceria steps should be reflected in all steps in these reactions. In the following, we test if such sites are indeed particularly active for CH<sub>4</sub> dissociation and consider the activation of the first C-H bond upon CH<sub>4</sub> adsorption on various Ni/CeO<sub>2</sub>(111) model catalyst structures, employing the spin-polarized DFT + U approach. Thus, we have calculated the energy profile for methane dissociation at the Ni<sub>5+1</sub>.step structure shown above and compared that with those on the extended Ni(111) surface,<sup>36</sup> on Ni<sub>1</sub>,<sup>36</sup> Ni<sub>4</sub>.2D,<sup>36</sup> and Ni<sub>13</sub> clusters supported on CeO<sub>2</sub>(111) terraces. On the Ni<sub>13</sub> cluster, two Ni sites were considered: one interfacial (i.e., at the perimeter of the Ni cluster) and one on the Ni terrace.

Figure 2b shows that the activation barrier for  $CH_4$  dissociation is the *lowest* among all the clusters and sites modeled for the Ni atom at the apex of the  $Ni_5$  pyramid at a  $\langle 110 \rangle$  step (8.6 kJ/mol). For the small  $Ni_4$ .2D cluster on the  $CeO_2$  terrace with all the Ni atoms being interfacial, the activation barrier for the  $CH_4 \rightarrow CH_3 + H$  reaction is larger by 4.8 kJ/mol, whereas for interfacial and terrace sites on the  $Ni_{13}$  cluster, it is larger by up to 26 kJ/mol.

To shed light on the origin of the activity of ceria-supported Ni clusters, we recall that when dealing with the activation of methane, several descriptors and scaling relations have been

examined for the cleavage of the first C-H bond in the hydrocarbon.<sup>37–43</sup> In general, these descriptors and scaling relations provide guidelines to compare and predict the performance of potential new catalysts with that of the existing materials used for C-H bond activation. 37,38,41 Computational volcanos have become important tools in the design of catalysts, and scaling relations are often used in constructing such volcanos and generally considered to have good accuracy. 38,42,44 In the case of methane activation, volcano plots have been presented for metal and/or oxide systems. 38,42,44 For surface-stabilized methane activation pathways, Latimer et al.<sup>38</sup> have proposed a linear Brønsted relation between the energy of the transition state (TS) structure for methane activation, E<sub>TS</sub> (referenced to gas-phase CH<sub>4</sub> and the clean surface), and that of the FS,  $E_{\rm FS} = E_{\rm CH_3+H}$ , according to which stronger CH<sub>3</sub> + H binding energies correspond to lower  $E_{\rm TS}$  energies, as shown in Figure 3. This model (the red line)



**Figure 3.** Brønsted relation between the calculated TS and FS energies for the surface-stabilized pathway in methane dissociation on a wide variety of materials. The black dots are for the many systems presented in ref 38, and the red line is the best linear fit reported for these data:  $E_{\rm TS}=0.57E_{\rm FS}+97.1$ . The purple (+) and yellow (×) symbols are for  ${\rm IrO_2(110)}$  and  ${\rm Pd(101)}$  presented in refs 45, 46, and 47, respectively. The green, red, and blue symbols correspond to the  $E_{\rm TS}$  and  $E_{\rm FS}$  values for  ${\rm M_1}$  atoms and  ${\rm M_4}$  clusters (M = Pt, Co, and Ni) on the  ${\rm CeO_2(111)}$ , respectively. Values for the extended Pt(111),  ${\rm Co(0001)}$ , and Ni(111) surfaces, as in ref 36, and for the Ni<sub>13</sub> clusters on terraces and Ni<sub>5+1</sub> at steps are shown. The blue line is the best linear fit for the data corresponding to the Ni<sub>4</sub>.2D, Pt<sub>4</sub>.2D, Co<sub>4</sub>.2D, and Ni<sub>13</sub> clusters on terraces and Ni<sub>5+1</sub> at steps. The inset shows the linear relation between the FS binding energy ( $E_{\rm FS}$ ) and the hydrogen binding energy ( $E_{\rm H}$ ) for the nickel-containing catalysts only.

can describe a wide range of materials such as CaO, MgO, PdO, doped MoS<sub>2</sub>, and rutile oxides in addition to clean and O- and OH-promoted metals (black dots in Figure 3) with reasonable accuracy. Recently, we have discussed the corresponding results for  $M_1$  atoms and  $M_4$ .2D clusters (M = Pt, Co, and Ni) on the CeO<sub>2</sub>(111) and on the extended Pt(111), Co(0001), and Ni(111) surfaces. We now include in Figure 3 these results, as well as those for the Ni<sub>13</sub> cluster on the CeO<sub>2</sub>(111) terrace and for the Ni<sub>5+1</sub>.step at the  $\langle 110 \rangle$ -type step.

Inspection of Figure 3 reveals that the TS energies for the extended Pt, Ni, and Co surfaces and the Ni and Co monomers on CeO<sub>2</sub>(111) agree well with the Brønsted

relation of Latimer et al. However, the TS energies for the Ni clusters on  $\text{CeO}_2(111)$  are all much lower than its prediction (by 28 to 102 kJ/mol, blue filled square and rhombuses in Figure 3, for values, see Table S2). Importantly, the Ni–CeO<sub>2</sub> system for which the final CH<sub>3</sub> + H state is most strongly bound, namely, the Ni<sub>5+1</sub>-step at  $\langle 110 \rangle$  steps (blue filled star), with a FS adsorption energy value of -129.6 kJ/mol (Table S2), has the TS structure of the lowest energy. It is  $\sim 50$  kJ/mol below the lowest black point from the original data set of Latimer et al. <sup>38</sup> and  $\sim 57$  kJ/mol below its prediction. We note that large deviations below the predicted  $E_{\text{TS}}$  values also exist for other ceria-supported metal clusters such as Pt<sub>4</sub>-2D clusters on the  $\text{CeO}_2(111)$  terrace ( $\Delta E_{\text{TS}} = 84.5$  kJ/mol, green filled triangle in Figure 3). <sup>36</sup>

These deviations of the  $CeO_2$ -supported Pt nanoparticles from the previous Brønsted relation have recently been explained as a combined effect of the size and morphology of the nanoparticles and strong metal—support interactions, which lead to the stabilization of both the  $CH_4$  molecule (-70 kJ/mol) and the  $CH_3$  + H dissociation product (-105.6 kJ/mol), producing active and stable catalysts for methane activation under very mild conditions. The Pt<sub>4</sub>.2D cluster on the  $CeO_2(111)$  terrace provides a path for methane activation with a low activation energy barrier of 14.4 kJ/mol that does not involve cooperative interactions between Pt and an O center of the support.

This raises the questions: Can the high reactivity of Niceria systems for methane activation be explained in the same way? Is the  $Ni_{5+1}$ -step system unique in some way? When compared not only with all the other Ni-ceria systems investigated (cf. Table S2) but also with  $Pt_4$ -2D-ceria,  $^{36}$  it has the lowest energy barrier for the activation of  $CH_4$  (8.6 kJ/mol, Figure 2b), and its final  $CH_3$  + H state (FS) is the most strongly bound (-129.6 kJ/mol, Figure 3). Its initial adsorbed  $CH_4$  state (IS) is also the most strongly bound (-78.7 kJ/mol). Elucidating the origin of the strong binding of molecularly and dissociatively chemisorbed  $CH_4$  at the  $Ni_{5+1}$ -step may provide crucial knowledge on the nature of the active site that enables further improvements on the activity of metal-based catalysts for methane activation.

The dissociation product for the Ni<sub>5+1</sub>.step, as shown in Figure 2c with CH<sub>3</sub> and H bound to the Ni<sub>5</sub> cluster and to the ceria surface, respectively, reflects that Ni and surface lattice O atoms work in a cooperative way to dissociate CH4 molecules. Such adsorption sites with adjacent Ni and lattice O atoms exist in the low-loaded (~0.15 ML) active Ni-CeO<sub>2</sub> catalysts for methane conversion<sup>3,4,9</sup> since, as discussed above (Figure 1), for such loadings, Ni binds at step-edge sites. An alternative FS in which both the CH<sub>3</sub> and H species are bound to the Ni<sub>5</sub> cluster is less stable by 123 kJ/mol (Figure S2), and the activation barrier to reach that dissociation product is higher by 75 kJ/mol than that for the path along which lattice O facilitates the dissociation of CH<sub>4</sub> (8.6 kJ/mol). This Ni<sub>5+1</sub>.step-CeO<sub>2</sub> system is special in this respect. The lowest-barrier path for CH4 dissociation for the Ni4.2D and Ni<sub>13</sub> and Pt<sub>4</sub>.2D and Co<sub>4</sub>.2D clusters on CeO<sub>2</sub>(111) terraces, which also occur with relatively small barriers of 3 to 35 kJ/ mol (Table S2 and ref 36), occurs exclusively on the metal atoms and not with the cooperativity found here for this Ni<sub>5+1</sub>.step-CeO<sub>2</sub> system whereby the H product is bound instead to a lattice O.

We note that a cooperative pathway has also been discussed for dissociation on a Ni<sub>1</sub> adatom on a CeO<sub>2</sub>(111) terrace

(Figure S2),  $^{3,20}$  but in this case, the binding of the dissociation product is weaker by 88.3 kJ/mol compared to Ni<sub>5+1</sub>.step (Table S2). Comparison of the CH<sub>3</sub> + H binding structures for the Ni<sub>1</sub>–CeO<sub>2</sub>(111) and Ni<sub>5+1</sub>.step—CeO<sub>2</sub> systems (Figure S3) reveals that the Ni<sub>1</sub> species that adsorbs on a hollow site coordinated to three surface oxygen atoms (Figure 2a) is lifted upon adsorption of the CH<sub>3</sub> species, becoming twofold coordinate instead, which destabilizes the structure. In addition, the distance between the C of the CH<sub>3</sub> group on a Ni site and the H of the formed OH on the ceria support (Figure S3) is by about a factor of 2 smaller (224 pm) for the Ni<sub>5+1</sub>.step—CeO<sub>2</sub> system as compared to Ni<sub>1</sub> on the ceria terrace (443 pm).

To further stress the argument that it is the cooperativity between the Ni and the ceria support that makes the Ni<sub>5+1</sub>.step—CeO<sub>2</sub> system special in terms of the ability to stabilize the CH<sub>3</sub> + H products of the first hydrogen abstraction from CH<sub>4</sub>, we considered separately the binding of the CH<sub>3</sub> group and that of H on all Ni—ceria systems investigated (Figures S4 and S5). We observed that CH<sub>3</sub> alone on the Ni<sub>4</sub>.2D—CeO<sub>2</sub> system is more strongly bound by 14.7 kJ/mol than on Ni<sub>5+1</sub>.step—CeO<sub>2</sub>. However, when the full FS (coadsorbed CH<sub>3</sub> and H) is considered, which for the Ni<sub>5+1</sub>.step—CeO<sub>2</sub> system implies the formation of OH, the relative stabilities are reversed, with a FS for Ni<sub>5+1</sub> on ceria steps that is by 29.8 kJ/mol more stable than that for Ni<sub>4</sub>.2D clusters on CeO<sub>2</sub>(111) terraces (Table S2).

The energy of adsorbed atomic H (wrt 1/2 H<sub>2</sub>), calculated by eliminating the CH<sub>3</sub> species from the CH<sub>3</sub> + H FS of all Ni-ceria systems investigated (Figure S4), is the strongest (-162.4 kJ/mol) on an O atom at a terrace site neighboring the step of the Ni<sub>S+1</sub>.step-CeO<sub>2</sub> system. The inset in Figure 3 shows the existence of a strong linear correlation between the energy of the FS and the binding energy of atomic H,  $E_{\rm H}$ . Hence, the affinity for H can be used as a probe of the local reactivity toward hydrogen abstraction from CH<sub>4</sub>. Here, it is important to note that FS structures where the H is on the CeO<sub>2</sub> in the form of an OH species are generally more stable than those where the H species remain on the Ni cluster. However, in most clusters, all such ceria sites are too far from the Ni-bound CH<sub>4</sub> to stabilize the TS for C-H cleavage. The  $Ni_{5+1}$ .step-CeO<sub>2</sub> has a special geometry in that respect, which favors the direct "landing" of the abstracted H on the ceria support. In its TS structure, the distance between the H and the lattice O where the O-H bond forms is 252 pm (Figure S3), whereas it is 393 pm for the Ni<sub>4</sub> cluster (cooperative pathway, see Figure S3). Consequently, the activation barrier for this process is 115 kJ/mol higher than that of the path that ends with H on the Ni<sub>4</sub> cluster [128.6 vs 13.4 kJ/mol, see Figure S2].

Note that the strongest  $CH_3$  + H binding energy for  $Ni_{5+1}$ .step $-CeO_2$  among all Ni-ceria systems investigated corresponds to the lowest  $E_{TS}$  energy. This is consistent with a linear Brønsted relation for this subset of systems in Figure 3 (the blue line), which is steeper in slope and lies well below the original Brønsted relation there. To shed light into the origin of the large deviation of  $Ni_{5+1}$ .step $-CeO_2$  from the  $E_{TS}$  values predicted by that original Brønsted relation ( $\Delta E_{TS} = 56.7 \text{ kJ/mol}$ ), we inspected the interaction between  $CH_4$  and the  $Ni_{5+1}$ .step $-CeO_2$  system, that is, the IS in the  $CH_4$  to  $CH_3$  + H reaction (Figure 2c). We found that the adsorbed  $CH_4$  molecule is much closer to the surface as compared, for example, to  $CH_4$  on a  $Ni_1$  adatom on a  $CeO_2(111)$  terrace

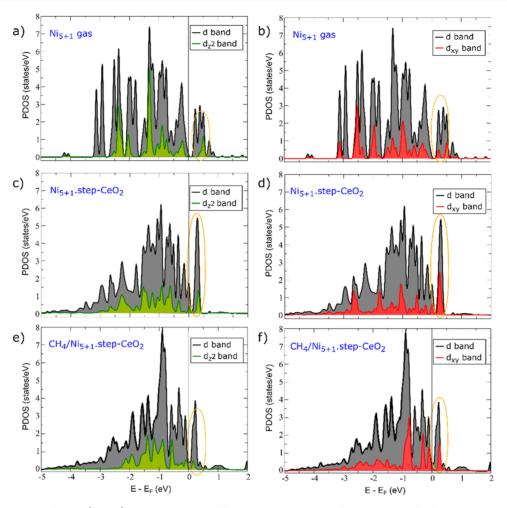


Figure 4. Projected density of states (PDOS) onto the d-states of the Ni atom at the apex of the Ni<sub>5</sub> pyramid of the Ni<sub>5+1</sub>.step system. The energy zero is the Fermi level ( $E_F$ ). The green and red filled curves are the corresponding  $dz^2$  and dxy projected density of states. (a,b) Results for a free-standing Ni<sub>5+1</sub> aggregate resulting from the removal of the CeO<sub>2</sub> support from Ni<sub>5+1</sub>.step—CeO<sub>2</sub>, without further geometry optimization, (c,d) results for the Ni<sub>5+1</sub>.step—CeO<sub>2</sub> system, and (e,f) those for the CH<sub>4</sub> adsorption on Ni<sub>5+1</sub>.step—CeO<sub>2</sub>. The empty states close to  $E_F$  are highlighted.

(with C-Ni distances of 231 and 312 pm, respectively). For the Ni<sub>4</sub>.2D and Ni<sub>13</sub> systems, which have activation barriers lower than about 35 kJ/mol (Figure 2b), the CH<sub>4</sub> molecule also binds very close to the surface (with C-Ni distances of 212 (Ni<sub>4</sub>.2D), 218 (Ni<sub>13</sub>.i), and 228 (Ni<sub>13</sub>.t) pm). For Ni<sub>5+1</sub>.step-CeO<sub>2</sub> and Ni<sub>4</sub>.2D-CeO<sub>2</sub> and Ni<sub>13</sub>-CeO<sub>2</sub>, the direction of electron transfer is to the adsorbed CH<sub>4</sub>, as reflected by the increase in the Bader charge for the C atom upon CH<sub>4</sub> adsorption (between 0.11 and 0.16 lel), with respect to the gas-phase molecule (Table S4); this is not the case for CH<sub>4</sub> adsorption on Ni<sub>1</sub>-CeO<sub>2</sub>. The important consequence of such a close approach is that the C-H bond that will ultimately be cleaved is already partially activated, with a substantially elongated bond distance, whereas the variation in the other three C-H bonds is almost negligible (Figure S3). This is crucial for the facile dissociation of the first C-H bond on the low-loaded Ni-CeO<sub>2</sub> systems. The case of the Ni<sub>5+1</sub>.step is shown in Figure 2b where the elongation of one C-H bond upon CH<sub>4</sub> adsorption can clearly be seen. A similarly strong CH<sub>4</sub> adsorption has been recently reported on  $Pt_1/TiO_2(110)$ , 41  $Pt_4/CeO_2(111)$ , 36 and a two-layer-thick PdO(101) film on Pd(100) as compared to a one-layer film. 48 The elongation of one C-H bond upon CH4 adsorption is reported for all these systems and is accompanied

by a significant reduction of the activation barrier for CH<sub>4</sub> dissociation. We further note that for many of the systems in the original set in ref 22, CH<sub>4</sub> is barely or not adsorbed. However, as already mentioned, this is not true for some of the metal/CeO<sub>2</sub> systems (nor for IrO<sub>2</sub>(110) and Pd(101)), for which the binding of the IS is substantial with one C-H bond partially activated. The best linear fit for the  $E_{TS}$  versus  $E_{FS}$  data corresponding to the Ni<sub>4</sub>.2D, Pt<sub>4</sub>.2D, Co<sub>4</sub>.2D, and Ni<sub>13</sub> clusters on terraces and Ni<sub>S+1</sub> at steps is  $E_{TS} = 0.49E_{FS} + 11.2$  (the blue line in Figure 3). We also calculated the best linear fit for the  $E_{\text{Barrier}}$  versus  $E_{\text{Reaction}}$  data corresponding to the Ni<sub>4</sub>.2D, Pt<sub>4</sub>.2D, Co<sub>4</sub>.2D, and Ni<sub>13</sub> clusters on terraces and Ni<sub>5+1</sub> at steps, E<sub>Barrier</sub> =  $0.28E_{\text{Reaction}}$  + 26.6. The comparison of these two linear fits  $(E_{\rm TS} \ {\rm vs} \ E_{\rm FS} \ {\rm and} \ E_{\rm Barrier} \ {\rm vs} \ E_{\rm Reaction})$  indicates that about 60% of the slope of the  $E_{TS}$  versus  $E_{FS}$  regression line is due to a "true" Brønsted relation and about 40% is due to the fact that the FS energy tracks to some extent the IS energy. This 40% is due to the simple fact that metal sites that strongly bind one small C/ H containing adsorbate also tend to bind other C/Hcontaining adsorbates strongly.

To elucidate the reason why CH<sub>4</sub> can get so close to the active Ni<sub>5+1</sub>.step-CeO<sub>2</sub> system, we inspect first the consequences of the existence of strong metal-support interactions on the d-states of the Ni atom over which CH<sub>4</sub>

dissociates. Figure 4 shows the projected density of states (PDOS) onto the d-states of the Ni atom at the apex of the Ni pyramid for three different cases, namely, the free-standing Ni<sub>5+1</sub> aggregate resulting from the removal of the CeO<sub>2</sub> support from Ni<sub>5+1</sub>.step-CeO<sub>2</sub>, without further geometry optimization, and the Ni<sub>5+1</sub>.step-CeO<sub>2</sub> and the CH<sub>4</sub>/ Ni<sub>5+1</sub>.step-CeO<sub>2</sub> systems. The detailed analysis of the PDOS (Table S5) reveals that two states, namely,  $dz^2$  and dxy, become less occupied upon adsorption of the Ni<sub>5+1</sub> aggregate onto the (110) ceria step. The consequence of such a ligand effect is that the Pauli repulsion to the methane's frontier orbital is reduced and the molecule is able to move closer to the surface. These states are then occupied upon CH<sub>4</sub> adsorption as measured by the decrease in the number of empty  $dz^2$  and dxy states in the  $CH_4/Ni_{5+1}$ .step- $CeO_2$  system (Figure 4, Table S5). The electronic perturbation (especially this electron transfer) induced by the binding of Ni to oxygen atoms of the ceria support is important for reactivity toward the first hydrogen abstraction from CH<sub>4</sub> in the Ni<sub>5+1</sub>.step-CeO<sub>2</sub> system.

We note that a  $\mathrm{CH_4}$  molecule that approaches a  $\mathrm{Ni_1}^{2+}$  adatom on a  $\mathrm{CeO_2(111)}$  terrace finds the  $\mathrm{dz^2}$  state occupied (Figure S8, Table S5), and thus, the repulsion to the frontier methane orbital is larger as compared to the  $\mathrm{Ni_{5+1}}$ .step— $\mathrm{CeO_2}$  system; consequently, the  $\mathrm{CH_4}$  binding is weaker, the C—H bond that will ultimately be cleaved is less elongated, and the deviation between the calculated activation energy barrier and that predicted by the original Brønsted relation is less pronounced.

## CONCLUSIONS

Single-crystal adsorption calorimetry and surface analysis measurements (LEIS, XPS, and LEED) combined with DFT calculations have allowed the nature of the active sites in Ni/ CeO<sub>2</sub> catalysts for important methane conversions to be identified. The heat of Ni adsorption onto CeO<sub>2</sub>(111) at 300 K starts from 345 kJ/mol, decreases within the first 0.15 ML to 323 kJ/mol, and increases afterward. This behavior has been correspondingly attributed to the binding of Ni monomers and small clusters to more stable step edges and the saturation of these step edge sites so that less favorable terrace sites are populated with increasing coverage (and Ni cluster size). A very strong correlation of the heat of adsorption with the catalytic rate measurements versus Ni loading for both the direct conversion of CH4 to CH3OH and the CH4 dry reforming with CO<sub>2</sub> over the Ni-CeO<sub>2</sub>(111) catalyst reveals that the activity for both reactions is high and nearly constant with increasing coverage until the minimum heat of adsorption is reached, after which the TOF drops rapidly. This clearly shows that the active sites are small, highly cationic clusters of Ni at CeO<sub>2</sub> step edges, with the highest Ni chemical potential. Moreover, the same coverage of Ni on CeO<sub>2</sub>(111) which we show here produces these active sites and was also experimentally shown to be reactive toward dissociative adsorption of all reactants in both reactions. This conclusion is supported by DFT calculations on small Ni clusters on  $CeO_2(111)$  terraces and at the  $\langle 110 \rangle$  step edge that show the lowest energy barrier for the example of CH<sub>4</sub> activation for 2D Ni clusters at this CeO<sub>2</sub> step edge. The calculated H-CH<sub>3</sub> dissociation barrier at this active site drops ~60 kJ/mol below a previously reported linear scaling relation. Ni-CeO<sub>2</sub> interactions at the step edge lead to stabilization of both the adsorbed CH<sub>4</sub> molecule and its CH<sub>3</sub> + H dissociation product,

producing active catalysts. By comparing with other metal— CeO<sub>2</sub> systems, we show that by choosing the "right" metaloxide combination and manipulating metal-oxide interactions, as well as controlling the structure of the ceria support and the effects of metal loading, an improved activity for methane dissociation can be obtained. Such stabilization by small, nonnoble metal clusters at steps of reducible oxide surfaces suggests a promising approach to design efficient catalysts for methane conversion. Moreover, we show that cationic Ni atoms in clusters, with a small size, circumvent the existing linear energy scaling relationship for the cleavage of the first C-H bond in CH<sub>4</sub>, which corresponds to the discovery of catalysts following another scaling relationship. This study paves the way for a new way of thinking for the rational design of improved and stable catalysts for methane conversions, a major goal in heterogeneous catalysis.

## METHODS

Computational Methods. All electronic structure calculations were carried out using the spin-polarized DFT approach as implemented in the Vienna Ab initio Simulation Package (VASP) [vasp site, http://www.vasp.at; version vasp.5.3.5 and vasp5.4.1]. Ce (4f, 5s, 5p, 5d, and 6s), O (2s and 2p), and Ni (3p, 3d, and 4s) electrons were explicitly treated as valence states within the projector augmented wave method<sup>51</sup> with a plane-wave cutoff energy of 415 eV, whereas the remaining electrons were considered as part of the atomic core. Total energies and forces were calculated with precisions of  $10^{-6}$  eV and  $10^{-2}$  eV/Å for electronic and force convergence, respectively, within the DFT + U approach by Dudarev et al. <sup>52</sup> ( $U_{\text{eff}} = U - J = 4.5 \text{ eV}$  for the Ce 4f electrons) with the generalized gradient approximation (GGA) proposed by Perdew, Burke, and Ernzerhof.<sup>53</sup> We note that questions regarding the best value for the U parameter are still under debate. 54-56 Nonetheless, most DFT + U studies of reduced ceria-based systems agree that U values in the range of 4.5-6.0eV with GGA are suitable for the description of the localization of charge driving the  $Ce^{4+} \rightarrow Ce^{3+}$  reduction. However, one should bear in mind that there is, in general, no unique U that gives a reasonable account of all systems' properties. 57-59 Long-range dispersion corrections were also considered, employing the so-called DFT-D3 approach. For details on the models and additional computational details, see Supporting Information.

#### ASSOCIATED CONTENT

# Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.1c02154.

Models and computational details; initial, transition, and final states for the  $CH_4 \rightarrow CH_3 + H$  reaction on ceriasupported Ni clusters; binding of  $CH_3$  and H; Bader charges; projected DOS; and Brønsted relation between the calculated activation barrier and reaction energy (PDF)

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## **Author Contributions**

P.G.L. and Z.M. contributed equally to this work. C.T.C. and M.V.G.-P. designed the study. Z.M. performed the measurements on an apparatus designed by C.T.C., and C.T.C. together with Z.M. wrote the corresponding text in the paper. P.G.L. performed the DFT calculations together with A.S., and M.V.G.-P. together with P.G.L., A.S., and B.I. wrote the corresponding text in the paper. All the authors contributed to the interpretation of the results and commented on the manuscript.

#### Notes

The authors declare no competing financial interest. The DFT data that support the findings of this study are available in Materials Cloud {https://www.materialscloud.org/home} with the identifier DOI: 10.24435/materialscloud:ks-qb. The repository contains the calculations described in Figures 2–4 and the related content in Supporting Information. The data are also available from the authors upon reasonable request.

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