

# OPERATOR IDEALS AND ASSEMBLY MAPS IN $K$ -THEORY

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ABSTRACT. Let  $\mathcal{B}$  be the ring of bounded operators in a complex, separable Hilbert space. For  $p > 0$  consider the Schatten ideal  $\mathcal{L}^p$  consisting of those operators whose sequence of singular values is  $p$ -summable; put  $\mathcal{S} = \bigcup_p \mathcal{L}^p$ . Let  $G$  be a group and  $\mathcal{V}cyc$  the family of virtually cyclic subgroups. Guoliang Yu proved that the  $K$ -theory assembly map

$$H_*^G(\mathcal{E}(G, \mathcal{V}cyc), K(\mathcal{S})) \rightarrow K_*(\mathcal{S}[G])$$

is rationally injective. His proof involves the construction of a certain Chern character tailored to work with coefficients  $\mathcal{S}$  and the use of some results about algebraic  $K$ -theory of operator ideals and about controlled topology and coarse geometry. In this paper we give a different proof of Yu's result. Our proof uses the usual Chern character to cyclic homology. Like Yu's, it relies on results on algebraic  $K$ -theory of operator ideals, but no controlled topology or coarse geometry techniques are used. We formulate the result in terms of homotopy  $K$ -theory. We prove that the rational assembly map

$$H_*^G(\mathcal{E}(G, \mathcal{F}in), KH(\mathcal{L}^p)) \otimes \mathbb{Q} \rightarrow KH_*(\mathcal{L}^p[G]) \otimes \mathbb{Q}$$

is injective. We show that the latter map is equivalent to the assembly map considered by Yu, and thus obtain his result as a corollary.

## 1. INTRODUCTION

Let  $G$  be a group; a *family* of subgroups of  $G$  is a nonempty family  $\mathcal{F}$  closed under conjugation and under taking subgroups. If  $\mathcal{F}$  is a family of subgroups of  $G$ , then a  $G$ -simplicial set  $X$  is called a  $(G, \mathcal{F})$ -*complex* if the stabilizer of every simplex of  $X$  is in  $\mathcal{F}$ . The category of  $G$ -simplicial sets can be equipped with a closed model structure where an equivariant map  $X \rightarrow Y$  is a weak equivalence (resp. a fibration) if  $X^H \rightarrow Y^H$  is a weak equivalence (resp. a fibration) for every  $H \in \mathcal{F}$  (see [4, §1]); the  $(G, \mathcal{F})$ -complexes are the cofibrant objects in this model structure. By a general construction of Davis and Lück (see [8]) any functor  $E$  from the category  $\mathbb{Z}\text{-Cat}$  of small  $\mathbb{Z}$ -linear categories to the category  $\text{Spt}$  of spectra which sends category equivalences to equivalences of spectra gives rise to an equivariant homology theory of  $G$ -spaces  $X \mapsto H^G(X, E(R))$  for each unital ring  $R$ , such that if  $H \subset G$  is a subgroup, then

$$(1.1) \quad H_*^G(G/H, E(R)) = E_*(R[H])$$

is just  $E_*$  evaluated at the group algebra. The *isomorphism conjecture* for the quadruple  $(G, \mathcal{F}, E, R)$  asserts that if  $\mathcal{E}(G, \mathcal{F}) \xrightarrow{\sim} pt$  is a  $(G, \mathcal{F})$ -cofibrant replacement of the point, then the induced map

$$(1.2) \quad H_*^G(\mathcal{E}(G, \mathcal{F}), E(R)) \rightarrow E_*(R[G])$$

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–called *assembly map*– is an isomorphism. For the family  $\mathcal{F} = \mathit{All}$  of all subgroups, (1.2) is always an isomorphism. The appropriate choice of  $\mathcal{F}$  varies with  $E$ . For  $E = K$ , the nonconnective algebraic  $K$ -theory spectrum, one takes  $\mathcal{F} = \mathit{Vcyc}$ , the family of virtually cyclic subgroups. If  $E = KH$  is homotopy  $K$ -theory, one can equivalently take  $\mathcal{F}$  to be either  $\mathit{Vcyc}$  or the family  $\mathit{Fin}$  of finite subgroups ([2, Thm. 2.4]). If  $E$  satisfies certain hypothesis, including excision, one can make sense of the map (1.2) when  $R$  is replaced by any, not necessarily unital ring  $A$ . These hypothesis are satisfied, for example when  $E = KH$ . Under milder hypothesis, which are satisfied for example by  $E = K$ , (1.2) makes sense for those coefficient rings  $A$  which are *E-exciseive*, i.e. those for which  $E$  satisfies excision (see [4] and Subsection 2.1 below).

The main result of this paper concerns the  $KH$ -assembly map for  $R = \mathcal{L}^p$ , the Schatten ideal. Recall that  $\mathcal{L}^p$  is an ideal of the ring  $\mathcal{B}$  of bounded operators in a complex separable Hilbert space; it consists of those operators whose sequence of singular values is  $p$ -summable. Let  $\mathcal{S} = \bigcup_{p>0} \mathcal{L}^p$ ; by [5, Thm. 8.2.1] (see also [15, Thm. 4]),  $\mathcal{S}$  is  $K$ -excisive. Thus the assembly map (1.2) with coefficients  $K(\mathcal{S})$  makes sense; Guoliang Yu proved in [16] that it is rationally injective. His proof involves the construction of a certain Chern character tailored to work with coefficients  $\mathcal{S}$  and the use of some results about algebraic  $K$ -theory of operator ideals ([5], [15]), and about controlled topology and coarse geometry from [1] and [11].

In this paper we give a different proof of Yu’s result. Our proof uses the usual Chern character to cyclic homology. Like Yu’s, it relies on results about algebraic  $K$ -theory of operator ideals from [5] and [15], but no controlled topology or coarse geometry techniques are used. We formulate the result in terms of  $KH$ ; we prove:

**Theorem 1.3.** *Let  $p > 0$  and  $G$  a group. Then the rational assembly map*

$$H_*^G(\mathcal{E}(G, \mathit{Fin}), KH(\mathcal{L}^p)) \otimes \mathbb{Q} \rightarrow KH_*(\mathcal{L}^p[G]) \otimes \mathbb{Q}$$

*is injective.*

Yu’s result follows as a corollary.

**Corollary 1.4.** ([16, Thm. 1.1]). *Let  $G$  be any group and let  $\mathcal{S} = \bigcup_{p>0} \mathcal{L}^p$  be the ring of all Schatten operators. Then the rational assembly map*

$$H_*^G(\mathcal{E}(G, \mathit{Vcyc}), K(\mathcal{S})) \otimes \mathbb{Q} \rightarrow K_*(\mathcal{S}[G]) \otimes \mathbb{Q}$$

*is injective.*

The proof of the corollary makes it clear that the two assembly maps are isomorphic, so it really is the same result.

The rest of this paper is organized as follows. In Section 2, after recalling some general facts about equivariant homology spectra, we focus on the case of periodic cyclic homology. For example, we show in Proposition 2.2.1 that if  $X$  is a  $(G, \mathit{Fin})$ -complex and  $k \supset \mathbb{Q}$  a field, then

$$H_n^G(X, HP(k/k)) = \bigoplus_{p \in \mathbb{Z}} H_{n+2p}^G(X, k)$$

We use this to show in Proposition 2.2.5 that the assembly map

$$(1.5) \quad H_n^G(\mathcal{E}(G, \mathit{Fin}), HP(k/k)) \rightarrow HP_n(k[G]/k)$$

is injective for every group  $G$ . In Section 3 we consider the Connes-Karoubi Chern character

$$ch : H^G(X, KH(A)) \rightarrow H^G(X, HP(A/k))$$

defined in [4, §8]. We show in Proposition 3.3 that the composite of  $ch$  with the operator trace gives an equivalence

$$c : H^G(X, KH(\mathcal{L}^1)) \otimes \mathbb{C} \xrightarrow{\sim} H^G(X, HP(\mathbb{C}/\mathbb{C}))$$

for every  $(G, Fin)$ -complex  $X$ . From this and the fact that  $KH_*(\mathcal{L}^1) \cong KH_*(\mathcal{L}^p)$  we deduce –in Corollary 3.5– that a similar equivalence which we also call  $c$  holds for every  $p > 0$  :

$$(1.6) \quad c : H^G(X, KH(\mathcal{L}^p)) \otimes \mathbb{C} \xrightarrow{\sim} H^G(X, HP(\mathbb{C}/\mathbb{C}))$$

Section 4 is concerned with Theorem 1.3, which we prove in Theorem 4.1. The proof uses (1.6) and the injectivity of (1.5). Yu’s result 1.4 is proved in Corollary 4.2.

*Notation 1.7.* By a spectrum we understand a sequence  $E = \{nE : n \geq 1\}$  of simplicial sets and bonding maps  $\Sigma(nE) \rightarrow {}_{n+1}E$ ; thus our notation differs from that of other authors (e.g. [12]) who use the term prespectrum for such an object. If  $E, F : C \rightarrow \text{Spt}$  are functorial spectra, then by a (natural) map  $f : E \xrightarrow{\sim} F$  we mean a zig-zag of natural maps

$$E = Z_0 \xrightarrow{f_1} Z_1 \xleftarrow{f_2} Z_2 \xrightarrow{f_3} \dots Z_n = F$$

such that each right to left arrow  $f_i$  is an object-wise weak equivalence. If also the left to right arrows are object-wise weak equivalences, then we say that  $f$  is a *weak equivalence* or simply an *equivalence*. If  $E$  and  $F$  are spectra, we write  $E \oplus F$  for their wedge or coproduct. The Dold-Kan correspondence associates a spectrum to every chain complex of abelian groups. Although our notation does not distinguish a chain complex from the spectrum associated to it, it will be clear from the context which of the two we are referring to.

Rings in this paper are not assumed unital, unless explicitly stated. We use the letters  $A, B$  for rings, and  $R, S$  for unital rings. If  $X$  is a set, then  $M_X$  is the ring of all matrices  $(z_{x,y})_{x,y \in X \times X}$  with integer coefficients, only finitely many of which are nonzero. If  $A$  is a ring, then  $M_X A = M_X \otimes A$ ; in particular  $M_X \mathbb{Z} = M_X$ . If  $A$  and  $B$  are rings, then  $A \oplus B$  is their direct sum as abelian groups, equipped with coordinate-wise multiplication.

## 2. EQUIVARIANT CYCLIC HOMOLOGY

**2.1. Equivariant homology of simplicial sets.** Let  $k$  be a field. A  *$k$ -linear category* is a small category enriched over the category of  $k$ -vector spaces. We write  $k\text{-Cat}$  for the category of  $k$ -linear categories and  $k$ -linear functors. Observe that, by regarding a unital  $k$ -algebra as  $k$ -linear category with one object, we obtain a fully faithful embedding of  $k$ -algebras into  $k\text{-Cat}$ . Let  $\mathcal{C} \in k\text{-Cat}$ , consider the  $k$ -module

$$\mathcal{A}(\mathcal{C}) = \bigoplus_{x,y \in \mathcal{C}} \text{hom}_{\mathcal{C}}(x, y)$$

If  $f \in \mathcal{A}(\mathcal{C})$  write  $f_{a,b}$  for the component in  $\text{hom}_{\mathcal{C}}(b, a)$ . The following multiplication law

$$(2.1.1) \quad (fg)_{a,b} = \sum_{c \in \text{ob}\mathcal{C}} f_{a,c} g_{c,b}$$

makes  $\mathcal{A}(\mathcal{C})$  into an associative  $k$ -algebra, which is unital if and only if  $\text{ob}\mathcal{C}$  is finite. Whatever the cardinal of  $\text{ob}\mathcal{C}$  is,  $\mathcal{A}(\mathcal{C})$  is always a ring with *local units*, i.e. a filtering colimit of unital rings. We call  $\mathcal{A}(\mathcal{C})$  the *arrow ring* of  $\mathcal{C}$ . If  $F : \mathcal{C} \mapsto \mathcal{D}$  is a  $k$ -linear functor which is injective on objects, then it defines a homomorphism  $\mathcal{A}(F) : \mathcal{A}(\mathcal{C}) \rightarrow \mathcal{A}(\mathcal{D})$  by the rule  $\alpha \mapsto F(\alpha)$ . Hence we may regard  $\mathcal{A}$  as a functor

$$(2.1.2) \quad \mathcal{A} : \text{inj} - k - \text{Cat} \rightarrow \text{Rings}$$

from the category of  $k$ -linear categories and functors which are injective on objects, to the category of  $k$ -algebras. However  $\mathcal{A}(F)$  is not defined for general  $k$ -linear  $F$ . Let  $E : k\text{-Cat} \rightarrow \text{Spt}$  be a functor. If  $R$  is a unital  $k$ -algebra and  $I \triangleleft R$  is a  $k$ -ideal, we put

$$E(R : I) = \text{hofiber}(E(R) \rightarrow E(R/I))$$

Thus if we assume  $E(0) \xrightarrow{\sim} *$ , we have  $E(R : R) \xrightarrow{\sim} E(R)$ . We say that  $E$  is *finitely additive* if the canonical map

$$E(\mathcal{C}) \oplus E(\mathcal{D}) \rightarrow E(\mathcal{C} \oplus \mathcal{D})$$

is an equivalence. We assume from now on that  $E$  is finitely additive. If  $A$  is a not necessarily unital  $k$ -algebra, write

$$\tilde{A}_k = A \oplus k$$

for the unitization of  $A$  as a  $k$ -algebra. Put

$$E(A) = E(\tilde{A}_k : A)$$

If  $A$  happens to be unital, we have two definitions for  $E(A)$ ; they are equivalent by [3, Lemma 1.1]. A not necessarily unital ring  $A$  is called  *$E$ -excisive* if for any embedding  $A \triangleleft R$  as an ideal of a unital  $k$ -algebra, the canonical map

$$E(A) \rightarrow E(R : A)$$

is an equivalence.

*Standing Assumptions 2.1.3.* We shall henceforth assume that  $E : k - \text{Cat} \rightarrow \text{Spt}$  satisfies each of the following.

- i) Every algebra with local units is  $E$ -excisive.
- ii) If  $H$  is a group and  $A$  an  $E$ -excisive algebra, then  $A[H]$  is  $E$ -excisive.
- iii) If  $A$  is  $E$ -excisive,  $X$  a set and  $x \in X$ , then  $M_X A$  is  $E$ -excisive, and  $E$  sends the map  $A \rightarrow M_X A$ ,  $a \mapsto e_{x,x} a$  to a weak equivalence.
- iv) There is a natural weak equivalence  $E(\mathcal{A}(\mathcal{C})) \xrightarrow{\sim} E(\mathcal{C})$  of functors  $\text{inj} - k - \text{Cat} \rightarrow \text{Spt}$ .
- v) Let  $A$  and  $B$  be algebras, and let  $C = A \oplus B$  be their direct sum, with coordinate-wise multiplication. Then  $C$  is  $E$ -excisive if and only if both  $A$  and  $B$  are. Moreover if these equivalent conditions are satisfied, then the map  $E(A) \oplus E(B) \rightarrow E(C)$  is an equivalence.

*Examples 2.1.4.* The assumptions above can be formulated for linear categories over any commutative, unital ground ring  $k$ . The (nonconnective)  $K$ -theory spectrum  $K$  satisfies the standing assumptions for  $k = \mathbb{Z}$  as well as for any field  $k$  of characteristic zero ([4, Prop. 4.3.1, Prop. 6.4]). The homotopy  $K$ -theory spectrum  $KH$  is *excisive*, i.e. every ring is  $KH$ -excisive [14]. Furthermore it satisfies the assumptions over any ground ring  $k$  ([4, Prop. 5.5]). A  $k$ -linear category  $\mathcal{C}$  has associated a canonical cyclic  $k$ -module  $C(\mathcal{C}/k)$  ([10]) with

$$C(\mathcal{C}/k)_n = \bigoplus_{(c_0, \dots, c_n) \in \text{ob}\mathcal{C}^{n+1}} \text{hom}_{\mathcal{C}}(c_1, c_0) \otimes_k \cdots \otimes_k \text{hom}_{\mathcal{C}}(c_n, c_0)$$

The Hochschild, cyclic, negative cyclic and periodic cyclic homology of  $\mathcal{C}$  over  $k$  are the respective homologies of  $C(\mathcal{C}/k)$ ; they are denoted  $HH(/k)$ ,  $HC(/k)$ ,  $HN(/k)$  and  $HP(/k)$ . Both  $HH(/k)$  and  $HC(/k)$  satisfy the assumptions above when  $k$  is any field [4, Prop. 6.4]. If  $k$  is a field of characteristic zero, then  $HP(/k)$  is excisive [7]; furthermore, it satisfies the standing assumptions because  $HC(/k)$  does. It follows that also  $HN(/k)$  satisfies the assumptions.

Let  $G$  be a group, and  $S$  a  $G$ -set. Write  $\mathcal{G}^G(S)$  for its *transport groupoid*. By definition  $\text{ob}\mathcal{G}^G(S) = S$ , and  $\text{hom}_{\mathcal{G}^G(S)}(s, t) = \{g \in G : g \cdot s = t\}$ . If  $R$  is a unital  $k$ -algebra we consider a small category  $R[\mathcal{G}^G(S)]$ . The objects of  $R[\mathcal{G}^G(S)]$  are those of  $\mathcal{G}^G(S)$  and

$$\text{hom}_{R[\mathcal{G}^G(S)]}(s, t) = R \otimes \mathbb{Z}[\text{hom}_{\mathcal{G}^G(S)}(s, t)]$$

with the obvious composition rule. Note that  $R[\mathcal{G}^G(S)]$  is a  $k$ -linear category. We write  $\text{Or}G$  for the orbit category of  $G$ ; its objects are the  $G$ -sets  $G/H$ ,  $H \subset G$  a subgroup; its homomorphisms are the  $G$ -equivariant maps. The rule  $G/H \mapsto R[\mathcal{G}^G(G/H)]$  defines a functor  $\text{Or}G \rightarrow k\text{-Cat}$ . If  $I \triangleleft R$  is an ideal, put

$$E(R[\mathcal{G}^G(G/H)] : I[\mathcal{G}^G(G/H)]) = \text{hofiber}(E(R[\mathcal{G}^G(G/H)]) \rightarrow E((R/I)[\mathcal{G}^G(G/H)]))$$

If  $X$  a  $G$ -simplicial set, we consider the coend of spectra

$$(2.1.5) \quad H^G(X, E(R : I)) = \int^{\text{Or}G} X_+^H \wedge E(R[\mathcal{G}^G(G/H)] : I[\mathcal{G}^G(G/H)])$$

To abbreviate notation, we write  $H^G(X, E(R))$  for  $H^G(X, E(R : R))$ . The spectrum  $H^G(X, E(R))$  is a simplicial set version of the Davis-Lück equivariant homology spectrum associated with  $E$  ([8],[4]). We have a fibration sequence

$$H^G(X, E(R : I)) \rightarrow H^G(X, E(R)) \rightarrow H^G(X, E(R/I))$$

If  $A$  is  $E$ -excisive, put

$$(2.1.6) \quad H^G(X, E(A)) := H^G(X, E(\tilde{A}_k : A))$$

By [4, Prop. 3.3.9], if

$$0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$$

is an extension of  $E$ -excisive algebras, then

$$H^G(X, E(A')) \rightarrow H^G(X, E(A)) \rightarrow H^G(X, E(A''))$$

is a fibration sequence.

## 2.2. Equivariant periodic cyclic homology of $(G, \mathcal{F}in)$ -complexes.

**Proposition 2.2.1.** *Let  $X$  be a  $(G, \mathcal{F}in)$ -complex, and let  $k \supset \mathbb{Q}$  be a field. There is a natural quasi-isomorphism*

$$\bigoplus_{p \in \mathbb{Z}} H^G(X, k)[2p] \xrightarrow{\sim} H^G(X, HP(k/k))$$

*Proof.* It suffices to show that there is a quasi-isomorphism

$$\bigoplus_{p \in \mathbb{Z}} H^G(G/H, k)[2p] \xrightarrow{\sim} H^G(G/H, HP(k/k))$$

for  $H \in \mathcal{F}in$ , natural with respect to  $G$ -equivariant maps. In particular we may restrict to proving the proposition for  $X$  a discrete,  $G$ -finite  $(G, \mathcal{F}in)$ -complex. The cyclic module  $C(k[\mathcal{G}^G(X)]/k)$  decomposes into a direct sum of cyclic modules [4, 7.1]

$$C(k[\mathcal{G}^G(X)]/k) = \bigoplus_{[g] \in \text{con}G} C^{[g]}(k[\mathcal{G}^G(X)]/k)$$

Here the direct sum runs over the set  $\text{con}G$  of conjugacy classes of elements of  $G$ . Because  $X$  is a  $(G, \mathcal{F}in)$ -complex,  $C^{[g]}(k[\mathcal{G}^G(X)]/k) = 0$  for  $g$  of infinite order. So assume  $g$  is of finite order. Let  $Z_g \subset G$  be the centralizer subgroup. By [4, Lemma 7.2] (see also [9, Cor. 9.12]), there is a natural quasi-isomorphism of cyclic  $k$ -modules

$$H(Z_g, k[X^g]) \rightarrow C^{[g]}(k[\mathcal{G}^G(X)]/k)$$

Here the domain has the cyclic structure given by

$$\begin{aligned} t_n : H(Z_g, k[X^g])_n &= k[Z_g]^{\otimes n} \otimes_k k[X^g] \rightarrow H(Z_g, k[X^g])_n \\ t_n(z_1 \otimes \cdots \otimes z_n \otimes x) &= (z_1 \cdots z_n)^{-1} g \otimes z_1 \otimes \cdots \otimes z_{n-1} \otimes z_n(x) \end{aligned}$$

Let  $\langle g \rangle \subset Z_g$  be the cyclic subgroup. A Serre spectral sequence argument (see the proof of [13, Lemma 9.7.6]) shows that the projection

$$H(Z_g, k[X^g]) \rightarrow H(Z_g/\langle g \rangle, k[X^g])$$

is a quasi-isomorphism of cyclic  $k$ -modules. Summing up we have a natural zig-zag of quasi-isomorphisms of cyclic modules

$$(2.2.2) \quad \bigoplus_{[g] \in \text{con}_f G} H(Z_g/\langle g \rangle, k[X^g]) \xleftarrow{\sim} \bigoplus_{[g] \in \text{con}_f G} H(Z_g, k[X^g]) \xrightarrow{\sim} C(k[\mathcal{G}^G(X)]/k)$$

Here  $\text{con}_f G$  is the set of conjugacy classes of elements of finite order. We remark that, because  $X$  is a finite  $(G, \mathcal{F}in)$ -complex by assumption, the direct sums above have only finitely many nonzero summands. By [13, Corollary 9.7.2], we have a natural equivalence

$$HP(H(Z_g/\langle g \rangle, k[X^g])) \xrightarrow{\sim} \prod_{p \in \mathbb{Z}} H(Z_g/\langle g \rangle, k[X^g])[2p]$$

Summing up we have a natural quasi-isomorphism

$$(2.2.3) \quad \prod_{p \in \mathbb{Z}} \left( \bigoplus_{[g] \in \text{con}_f G} H(Z_g, k[X^g]) \right) [2p] \xrightarrow{\sim} HP(k[\mathcal{G}^G(X)]/k) \xrightarrow{\sim} H^G(X, HP(k/k))$$

Taking into account (2.2.2) and using the fact that  $HH(k/k) \xrightarrow{\sim} k$  we obtain a quasi-isomorphism of chain complexes

$$(2.2.4) \quad \bigoplus_{[g] \in \text{con}_f G} H(Z_g, k[X^g]) \xrightarrow{\sim} H^G(X, k)$$

Moreover, in (2.2.3) we can replace  $\prod_{p \in \mathbb{Z}}$  by  $\bigoplus_{p \in \mathbb{Z}}$  because  $H_n^G(X, k) = 0$  for  $n \neq 0$ . Indeed,  $X$  is a finite disjoint union of homogeneous spaces  $G/K$  with  $K \in \mathcal{F}in$ , and

$$\begin{aligned} H_n^G(G/K, k) &= H_n^G(G/K, HH(k/k)) = \\ &= H_n^K(K/K, HH(k/k)) = HH_n(k[K]/k) \end{aligned}$$

which is zero in positive dimensions since  $k[K]$  is separable for finite  $K$ . This concludes the proof.  $\square$

**Proposition 2.2.5.** (cf. [9, Rmk. 1.9]) *If  $k \supset \mathbb{Q}$  is a field, then the assembly map*

$$H_*^G(\mathcal{E}(G, \mathcal{F}in), HP(k/k)) \rightarrow HP_*(k[G]/k)$$

*is injective.*

*Proof.* The inclusion

$$C(k[G]/k) = \bigoplus_{[g] \in \text{con} G} C^{[g]}(k[G]/k) \subset \prod_{[g] \in \text{con} G} C^{[g]}(k[G]/k)$$

induces a chain map  $HP(k[G]/k) \rightarrow \prod_{[g] \in \text{con} G} HP^{[g]}(k[G]/k)$ . Projecting onto the conjugacy classes of elements of finite order and taking homology we obtain a homomorphism

$$(2.2.6) \quad HP_n(k[G]/k) \rightarrow \prod_{[g] \in \text{con}_f G} HP_n^{[g]}(k[G]/k)$$

Now for  $g$  of finite order  $C^{[g]}(k[G]/k) = H(Z_g, k) \xrightarrow{\sim} H(Z_g/\langle g \rangle, k)$ , hence by [13, Cor. 9.7.2]

$$HP_n^{[g]}(k[G]/k) = \prod_m H_{n+2m}(Z_g, k[pt]) = \prod_m H_{n+2m}(Z_g, k[\mathcal{E}(G, \mathcal{F}in)^g])$$

One checks that the composite of the assembly map with the map (2.2.6) is the inclusion

$$\begin{aligned} H_n^G(\mathcal{E}(G, \mathcal{F}in), HP(k/k)) &= \bigoplus_m H_{n+2m}^G(\mathcal{E}(G, \mathcal{F}in), k) \text{ (by Proposition 2.2.1)} \\ &\subset \prod_m H_{n+2m}^G(\mathcal{E}(G, \mathcal{F}in), k) = \prod_m \bigoplus_{[g] \in \text{con}_f G} H_{n+2m}(Z_g, k[\mathcal{E}(G, \mathcal{F}in)^g]) \text{ (by (2.2.4))} \\ &\subset \prod_m \prod_{[g] \in \text{con}_f G} H_{n+2m}(Z_g, k[\mathcal{E}(G, \mathcal{F}in)^g]) \end{aligned}$$

$\square$

## 3. EQUIVARIANT CONNES-KAROUBI CHERN CHARACTER

In this section we consider algebras over a field  $k$  of characteristic zero. Recall from [4, §8.2] that the homotopy  $K$ -theory and periodic cyclic homology of a  $k$ -linear category are related by a Connes-Karoubi Chern character

$$(3.1) \quad KH(\mathcal{C}) \xrightarrow{ch} HP(\mathcal{C}/k)$$

In particular if  $G$  is a group,  $H \subset G$  a subgroup and  $R$  a unital  $k$ -algebra we have a map of Or $G$ -spectra

$$ch : KH(R[\mathcal{G}^G(G/H)]) \rightarrow HP(R[\mathcal{G}^G(G/H)]/k)$$

By [4, Lemma 3.2.6], this map is equivalent to the Chern character

$$ch : KH(R[H]) \rightarrow HP(R[H]/k)$$

for each fixed  $H$ . Using excision, all this extends to an arbitrary nonunital algebra  $A$  in place of  $R$ . We are interested in the particular case when  $k$  is either  $\mathbb{C}$  or  $\mathbb{Q}$  and  $A \triangleleft \mathcal{B}$  is an ideal in the algebra  $\mathcal{B}$  of bounded operators in a separable complex Hilbert space. Let  $p > 0$ ; write  $\mathcal{L}^p \triangleleft \mathcal{B}$  for the Schatten ideal of those compact operators whose sequence of singular values is  $p$ -summable. Let  $H \subset G$  be a subgroup and  $Tr : \mathcal{L}^1 \rightarrow \mathbb{C}$  the operator trace. The map of cyclic modules

$$\begin{aligned} Tr : C(\widetilde{\mathcal{L}}^1_{\mathbb{C}}[\mathcal{G}^G(G/H)] : \mathcal{L}^1[\mathcal{G}^G(G/H)]/\mathbb{C}) &\rightarrow C(\mathbb{C}[\mathcal{G}^G(G/H)]/\mathbb{C}) \\ Tr(a_0 \otimes g_0 \otimes \cdots \otimes a_n \otimes g_n) &= Tr(a_0 \cdots a_n)g_0 \otimes \cdots \otimes g_n \end{aligned}$$

induces a natural transformation of Or $G$ -chain complexes

$$(3.2) \quad Tr : HP(\mathcal{L}^1[\mathcal{G}^G(G/H)]/\mathbb{C}) \rightarrow HP(\mathbb{C}[\mathcal{G}^G(G/H)]/\mathbb{C})$$

**Proposition 3.3.** *Let  $X$  be a  $(G, \mathcal{F}in)$ -complex and  $\mathcal{L}^1 \triangleleft \mathcal{B}$  the ideal of trace class operators. Then the composite*

$$c : H^G(X, KH(\mathcal{L}^1)) \otimes \mathbb{C} \xrightarrow{ch} H^G(X, HP(\mathcal{L}^1/\mathbb{C})) \xrightarrow{Tr} H^G(X, HP(\mathbb{C}/\mathbb{C}))$$

is an equivalence.

*Proof.* It suffices to consider the case  $X = G/H$  with  $H \in \mathcal{F}in$ . By [4, Lemma 3.2.6], we have a homotopy commutative diagram with vertical equivalences

$$\begin{array}{ccccc} KH(\mathcal{L}^1[H]) \otimes \mathbb{C} & \longrightarrow & HP(\mathcal{L}^1[H]/\mathbb{C}) & \longrightarrow & HP(\mathbb{C}[H]/\mathbb{C}) \\ \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\ KH(\mathcal{L}^1[\mathcal{G}^G(G/H)]) \otimes \mathbb{C} & \longrightarrow & HP(\mathcal{L}^1[\mathcal{G}^G(G/H)]/\mathbb{C}) & \longrightarrow & HP(\mathbb{C}[\mathcal{G}^G(G/H)]/\mathbb{C}) \end{array}$$

Because  $H \in \mathcal{F}in$ ,  $\mathbb{C}[H]$  is Morita equivalent to its center, which is a sum of copies of  $\mathbb{C}$  indexed by the conjugacy classes of  $H$ :

$$(3.4) \quad \mathbb{C}[H] \sim Z(\mathbb{C}[H]) = \bigoplus_{\text{con}(H)} \mathbb{C}$$

Since the (periodic) cyclic homology of  $\mathbb{C}$  as a  $\mathbb{C}$ -algebra and as a locally convex topological algebra agree, it follows that the map  $HP(\mathbb{C}[H]/\mathbb{C}) \rightarrow HP^{\text{top}}(\mathbb{C}[H])$  is the identity. On the other hand the map  $KH(\mathcal{L}^1[H]) \rightarrow K^{\text{top}}(\mathcal{L}^1[H])$  is an



equivalence by [5]. Hence we have a homotopy commutative diagram with vertical equivalences

$$\begin{array}{ccc} KH(\mathcal{L}^1[H]) \otimes \mathbb{C} & \xrightarrow{c} & HP(\mathbb{C}[H]/\mathbb{C}) \\ \downarrow \wr & & \downarrow \wr \\ K^{\text{top}}(\mathcal{L}^1[H]) \otimes \mathbb{C} & \xrightarrow{ch^{\text{top}}} & HP^{\text{top}}(\mathcal{L}^1[H]) \xrightarrow{Tr} HP^{\text{top}}(\mathbb{C}[H]) \end{array}$$

Here  $ch^{\text{top}}$  is the topological Connes-Karoubi Chern character. Using (3.4) we have that  $Tr$  is an equivalence by [6, Prop. 17.2] and  $ch^{\text{top}}$  is an equivalence because of (3.4) and of the commutativity of the following diagram

$$\begin{array}{ccc} K^{\text{top}}(\mathcal{L}^1) \otimes \mathbb{C} & \xrightarrow{ch^{\text{top}}} & HP^{\text{top}}(\mathcal{L}^1/\mathbb{C}) \\ \wr \uparrow & & \wr \uparrow \\ K^{\text{top}}(\mathbb{C}) \otimes \mathbb{C} & \xrightarrow[\sim]{ch^{\text{top}}} & HP^{\text{top}}(\mathbb{C}/\mathbb{C}) \end{array}$$

It follows that  $c$  is an equivalence. This concludes the proof.  $\square$

**Corollary 3.5.** *Let  $X$  be a  $(G, \mathcal{F}in)$  complex. Then, for every  $p > 0$  there is an equivalence*

$$c : H^G(X, KH(\mathcal{L}^p)) \otimes \mathbb{C} \rightarrow H^G(X, HP(\mathbb{C}/\mathbb{C}))$$

*Proof.* Because  $\mathcal{L}^1/\mathcal{L}^p$  for  $p < 1$  and  $\mathcal{L}^p/\mathcal{L}^1$  for  $p > 1$  are nilpotent rings, the maps

$$KH(\mathcal{L}^p[\mathcal{G}^G(-)]) \rightarrow KH(\mathcal{L}^1[\mathcal{G}^G(-)]) \quad (p < 1)$$

and

$$KH(\mathcal{L}^1[\mathcal{G}^G(-)]) \rightarrow KH(\mathcal{L}^p[\mathcal{G}^G(-)]) \quad (p > 1)$$

are equivalences of  $\text{Or}G$ -spectra. The proof is now immediate from Proposition 3.3.  $\square$

#### 4. THE $KH$ -ASSEMBLY MAP WITH $\mathcal{L}^p$ -COEFFICIENTS

**Theorem 4.1.** *Let  $p > 0$  and  $G$  a group. Then the rational assembly map*

$$H_*^G(\mathcal{E}(G, \mathcal{F}in), KH(\mathcal{L}^p)) \otimes \mathbb{Q} \rightarrow KH_*(\mathcal{L}^p[G]) \otimes \mathbb{Q}$$

*is injective.*

*Proof.* It suffices to show that the map tensored with  $\mathbb{C}$  is injective. We have a commutative diagram

$$\begin{array}{ccc} H_*^G(\mathcal{E}(G, \mathcal{F}in), KH(\mathcal{L}^p)) \otimes \mathbb{C} & \longrightarrow & KH_*(\mathcal{L}^p[G]) \otimes \mathbb{C} \\ \downarrow c \wr & & \downarrow c \\ H_*^G(\mathcal{E}(G, \mathcal{F}in), HP(\mathbb{C}/\mathbb{C})) & \longrightarrow & HP_*(\mathbb{C}[G]/\mathbb{C}) \end{array}$$

The vertical map on the left is an isomorphism by Corollary 3.5; the bottom horizontal map is injective by Proposition 2.2.5. It follows that the top horizontal map is injective. This concludes the proof.  $\square$

Let  $\mathcal{S} = \bigcup_{p>0} \mathcal{L}^p$  be the ring of all Schatten operators. Recall that, by [5, Thm. 8.2.1] (see also [15, Thm. 4])  $\mathcal{S}$  is  $K$ -excisive. We can now deduce the following result of Guoliang Yu.

**Corollary 4.2.** ([16, Thm. 1.1]). *The rational assembly map*

$$H_*^G(\mathcal{E}(G, \mathcal{V}cyc), K(\mathcal{S})) \otimes \mathbb{Q} \rightarrow K_*(\mathcal{S}[G]) \otimes \mathbb{Q}$$

*is injective.*

*Proof.* By [5, Thm. 8.2.5, Rmk. 8.2.6], the map  $K(A \otimes_{\mathbb{C}} \mathcal{S}) \rightarrow KH(A \otimes_{\mathbb{C}} \mathcal{S})$  is an equivalence for every  $H$ -unital  $\mathbb{C}$ -algebra  $A$ . Applying this when  $A = \mathbb{C}[H]$  and using the fact that both  $K$  and  $KH$  satisfy 2.1.3, we obtain an equivalence of  $OrG$ -spectra  $K(\mathcal{S}[\mathcal{G}^G(G/H)]) \rightarrow KH(\mathcal{S}[\mathcal{G}^G(G/H)])$ . Hence for every  $G$ -simplicial set  $X$  we have a homotopy commutative diagram with vertical equivalences

$$\begin{array}{ccc} H^G(X, K(\mathcal{S})) & \xrightarrow{\text{assem}} & K(\mathcal{S}[G]) \\ \downarrow \wr & & \downarrow \wr \\ H^G(X, KH(\mathcal{S})) & \xrightarrow{\text{assem}} & KH(\mathcal{S}[G]) \end{array}$$

On the other hand by [2, Thm.2.4], the map  $\mathcal{E}(G, \mathcal{F}in) \rightarrow \mathcal{E}(G, \mathcal{V}cyc)$  induces a weak equivalence

$$H^G(\mathcal{E}(G, \mathcal{F}in), KH(\mathcal{S})) \xrightarrow{\sim} H^G(\mathcal{E}(G, \mathcal{V}cyc), KH(\mathcal{S}))$$

Putting all this together, we obtain the corollary.  $\square$

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