

1 **Distinct mechanisms of dissemination of NDM-1 metallo- β -lactamase in**

2 ***Acinetobacter* spp. in Argentina**

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39 **Abstract**

40 A four-year surveillance of carbapenem-resistant *Acinetobacter* spp. in Argentina
41 identified 40 strains carrying *bla*_{NDM-1}. Genome sequencing revealed that most were *A.*
42 *baumannii*, while seven represented other *Acinetobacter* spp. The *A. baumannii*
43 genomes were closely related, suggesting recent spread. *bla*_{NDM-1} was located in the
44 chromosome of *A. baumannii* strains and on a plasmid in non-*baumannii* strains. A
45 resistance gene island carrying *bla*_{PER-7} and other resistance determinants was found on
46 a plasmid in some *A. baumannii* strains.

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50 The predominant species expressing the NDM-1 carbapenemase are *Klebsiella*
51 *pneumoniae* and *Escherichia coli*. However, *Acinetobacter* spp. are recognized as an
52 intermediate reservoir for the *bla*_{NDM-1} resistance determinant (1, 2). *bla*_{NDM} is a metallo-
53 beta-lactamase (MBL) generally found on a plasmid or other mobile element that carries
54 resistance determinants for other antibiotic classes, rendering many NDM-positive
55 isolates extensively drug resistant (XDR). Infections caused by carbapenem-resistant
56 (CR) *A. baumannii* are associated with mortality rates as high as 60% (3, 4).

57 The Argentina National Reference Laboratory (NRL) identified an increase in the
58 prevalence of NDM-containing *Acinetobacter* spp. beginning in 2015. Of the 20,028
59 clinical isolates screened since 2010, 15,621 were carbapenem resistant and 144 had a
60 MBL phenotype (20-22). PCR for common MBLs confirmed that 68/144 (47%) were
61 producers with 40 *bla*_{NDM} and 28 *bla*_{IMP}, while in the remaining strains the MBL-like

62 phenotype observed in the institution of origin was due to the presence of *bla*_{OXA-23} or
63 *bla*_{OXA-58}.

64 NDM-producing strains were recovered from 19 hospitals in nine cities and seven
65 provinces of Argentina (Figure S1). *A. baumannii* isolates had more extensive
66 antimicrobial resistance profiles than the non-*baumannii* isolates (Supplemental Table
67 S1). Genome sequences were obtained on an Illumina NextSeq 500 and assembled
68 using velvet (8). BLASTN search at NCBI classified seven genomes as representing
69 five different non-*baumannii* species. (Supplemental Table S1). MLST analysis (9)
70 showed that all 33 *A. baumannii* isolates belonged to ST25. The ST25 genomes were
71 most closely related to isolates found throughout the world including HEU3 (Honduras),
72 HWBA8 (Korea), NM3 (United Arab Emirates), and two genomes with no geographic
73 origin provided: AR_0088 and AB5256. The AR_0088 genome has been completely
74 sequenced (CP027530.1) and was used as the reference genome for SNP and IS
75 element annotation.

76 The *A. baumannii* genomes differed by only 14-36 sequence variants, suggesting
77 recent divergence. Patterns of shared SNPs (10), insertion sequence locations (11),
78 and epidemiological data were highly concordant (Figure 1). Genomes were
79 predominantly clustered by isolation location, with a few exceptions. For example,
80 AMA19 from Hospital General de Agudos Vélez Sarsfield (VELE) is essentially identical
81 to AMA9 from Hospital Dr. Cosme Argerich (COS), and on the same branch as other
82 COS isolates. These hospitals belong to the public care sector in the Buenos Aires
83 capital district, where patient exchange is frequent suggesting a possible transmission
84 event.

85 The AR_0088 reference genome contained two plasmids: pAR_0088_1
86 (CP027531.1) and pAR_0088_2 (CP027532.1). pAR_0088_2 carries the *bla*_{NDM-1} gene
87 in Tn 125 and this plasmid is the likely location of the *bla*_{NDM-1} gene in the non-*baumannii*
88 *Acinetobacter* genomes (1, 12, 13). pAR_0088_2 sequences were not present in the *A.*
89 *baumannii* ST25 genomes. To ascertain the location of Tn 125 in the *A. baumannii*
90 genomes, we identified the locations of IS*Aba*125 insertions, which flank the
91 transposon, in the draft genome assembly of a representative strain (AMA16). Six
92 IS*Aba*125 insertion sites were inferred, all in the chromosome based on alignment of
93 flanking sequences to the AR_0088 reference sequence. PCR and Sanger sequencing
94 was used to demonstrate that Tn 125 is inserted at base 3,921,386 of the AR_0088
95 genome in AMA16 and all other *A. baumannii* isolates, interrupting a gene encoding a
96 hypothetical protein, AM467_RS18915 (Supplemental Table S2).

97 A large resistance gene island (RI) was identified in fourteen of the ST25 strains
98 (RI-PER-7). This ~23.8 kb sequence is bounded by a pair of IS26 elements in direct
99 repeat orientation (Figure 2). The island carries genes encoding resistance to
100 aminoglycosides (*armA*), rifampicin (*arr*), cephalosporins (*bla*_{PER-7}), and fosfomycin
101 (GST) (Figure 2). This RI also carries two copies of IS*CR1* (IS91-like) and three other IS
102 elements that are found predominantly in *Enterobacteriaceae*, but are rare in
103 *Acinetobacter*: IS10A, ISEc28, and ISEc29. The 7.5 kb at the 3' end of the island is
104 found in several non-*Acinetobacter* genomes. The complete structure was identified in
105 seven accessions in GenBank, most of which are plasmid sequences. Five of the ST25
106 genomes harbored a shorter version of the RI, lacking the *bla*_{PER-7} gene. Genetic
107 structures similar to RI-PER-7 were identified in other species, including *K.*

108 *pneumoniae*, *Proteus mirabilis*, and *E. coli*. In these structures *bla*_{PER-7} was not present,
109 but other β -lactamases were found (Figure 2).

110 RI-PER-7 is not present in AR_0088, but was found on one of the plasmids in
111 HWBA8 (14). pHWBA8_1 (CP020596.1) is 195,838 bases and a large portion of this
112 sequence is present in the ST25 *A. baumannii* genomes, including the complete RI-
113 PER-7. Interestingly, pHWBA8_1 is also similar to pAR_0088_1, but the latter plasmid
114 lacks the RI, another ~17.5kb segment, and has extensive rearrangements covering
115 another ~20kb of sequence. The location of RI-PER-7 in the plasmid was confirmed by
116 PCR amplification and Sanger sequencing of the junction regions (Supplemental Table
117 S2). This large plasmid also carries five additional AR genes located outside RI-PER-7.

118 The *comM* gene, a common location for insertion of RIs in *A. baumannii*, is intact
119 in the ST25 strains. IS elements were not found upstream of the chromosomal *bla*_{ADC} or
120 *bla*_{OXA} genes. The ST25 strains carry S84L and S81L substitutions in the *parC* and *gyrA*
121 genes, consistent with their non-susceptibility to ciprofloxacin.

122 The NRL also confirmed the presence of *bla*_{NDM}-producing Enterobacteriaceae in
123 12 of 19 hospitals (data not shown). In 8 of the 12 hospitals, this emergence occurred 1-
124 4 months after the first detection of NDM-producing *Acinetobacter*. Additional work is
125 needed to determine whether *Acinetobacter* could have played a role in the inter-
126 species dissemination of NDM in these institutions.

127 Although reports of *bla*_{NDM} in Latin America are limited, sentinel investigations
128 have described the presence of Tn 125 in *Acinetobacter* spp. (6, 15-17). An ST25 *A.*
129 *baumannii* strain from a patient with an abdominal infection in Honduras was
130 determined to have Tn 125 on a plasmid (18), but this plasmid is not present in the ST25

131 strains analyzed here. Tn 125 has been reported in the chromosome of *Acinetobacter*
132 spp as well (19, 20).

133 ST25 strains in Argentina typically carry the *bla*_{OXA-23} β -lactamase (17). According
134 to the available data (12, 21-23), the co-occurrence of *bla*_{NDM-1} and *bla*_{OXA-23/58} seems to
135 be an uncommon event, a scenario that could change due to the increasing emergence
136 of NDM-1 in *A. baumannii* isolates.

137 In summary, the genetic context of the *bla*_{NDM-1} differs between *A. baumannii* and
138 non-*baumannii* isolates in Argentina, with non-*baumannii* strains mostly retaining
139 susceptibility to some antibiotics. We also describe an RI in a subset of *A. baumannii*
140 genomes that likely contributes substantially to the MDR phenotype of these strains.
141 The escalating number of reports of NDM-1 among *A. baumannii* isolates is suggestive
142 of a switch regarding the genetic basis of carbapenem resistance in this species and
143 intensive tracking of patient contacts is warranted for ST25.

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145 **Data availability.**

146 This Whole Genome Shotgun project has been deposited at
147 DDBJ/ENA/GenBank under the BioProject accession PRJNA562922. Contig sequences
148 for each genome are available as GenBank accession numbers VYSH00000000-
149 VYTU00000000.

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152

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267 **Figure legends**

268 **Figure 1. Phylogenetic tree of *A. baumannii* genomes.** A neighbor-joining tree was
269 constructed using shared SNP and IS insertion sites. The inner circle is colored by the
270 year of isolation. The outer circle is colored by the hospital in which the isolate was
271 recovered. Refer to Table S1 for further information on the location of each hospital.
272 Isolates that are positive for components of RI-PER-7 are denoted by colored circles at
273 the outside of the tree. The scale bar represents the combined number of SNPs and IS
274 insertion events. The AR_0088 genome was used as the outgroup, but the branch
275 length is not shown so as to highlight the relationships of the AMA strains. The figure
276 was created using iTOL (24).

277 **Figure 2. Comparison of genetic structure of RI-PER-7 genomic island.** Grey bars
278 denote regions that are shared between isolates. Red arrows denote insertion
279 sequence elements, green arrows denote antibiotic resistances genes. The figure was
280 created using EasyFig version 2.2.2.

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