

# Displaced vertex signatures of a pseudo-Goldstone sterile neutrino

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**ABSTRACT:** Low-scale models of neutrino mass generation often feature sterile neutrinos with masses in the GeV-TeV range, which can be produced at colliders through their mixing with the Standard Model neutrinos. We consider an alternative scenario in which the sterile neutrino is produced in the decay of a heavier particle, such that its production cross section does not depend on the active-sterile neutrino mixing angles. The mixing angles can be accessed through the decays of the sterile neutrino, provided that they lead to observable displaced vertices. We present an explicit realization of this scenario in which the sterile neutrino is the supersymmetric partner of a pseudo-Nambu-Goldstone boson, and is produced in the decays of higgsino-like neutralinos and charginos. The model predicts the active-sterile neutrino mixing angles in terms of a small number of parameters. We show that a sterile neutrino with a mass between a few 10 GeV and 200 GeV can lead to observable displaced vertices at the LHC, and outline a strategy for reconstructing experimentally its mixing angles.

**KEYWORDS:** Beyond Standard Model, Neutrino Physics, Supersymmetric Effective Theories, Supersymmetric Standard Model

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## 1 Introduction

The existence of heavy sterile neutrinos is predicted by many models of neutrino mass generation, such as the seesaw mechanism [1–3] and its various incarnations. In the standard, GUT-inspired picture, these heavy neutrinos have a mass  $M \sim 10^{14}$  GeV and order one Yukawa couplings  $y$ , thus providing a natural explanation for the smallness of the Standard Model neutrino masses through the seesaw formula  $m_\nu \sim y^2 v^2/M$ . However, there is no model-independent prediction for the masses of the sterile neutrinos — they could range from the GUT scale to the eV scale, or even below. Of particular interest are sterile neutrinos with a mass between the GeV and the TeV scales, which can lead to observable signatures at colliders (for reviews, see e.g. refs. [4, 5]). Most phenomenological studies and experimental searches assume a single sterile neutrino  $N$ , which is produced through its mixing with the active (Standard Model) neutrinos, parametrized by mixing angles  $V_{N\alpha}$ , where  $\alpha = e, \mu, \tau$  is the active lepton flavour. Since the  $V_{N\alpha}$  enter the sterile neutrino production cross section, they can be measured directly, unless they are too small to give a detectable signal.

In this work, we consider an alternative production mechanism for the sterile neutrino that does not depend on the active-sterile neutrino mixing angles. Instead, the sterile neutrino is produced in the decay of a heavier particle, whose production cross section is of typical electroweak size. The mixing angles  $V_{N\alpha}$  can be determined from the subsequent decays of the sterile neutrino, provided that its total decay width is measured independently. This can be done if the sterile neutrino decays are not prompt (as expected if the active-sterile neutrino mixing is small), in which case the decay width can be extracted from the distribution of displaced vertices. We show that it is possible to probe values of the  $V_{N\alpha}$  that would be out of reach if the production cross section were suppressed by the active-sterile neutrino mixing, as usually assumed. We study an explicit realization of this scenario in which the sterile neutrino is the supersymmetric partner of the pseudo-Nambu Goldstone boson of a spontaneously broken global U(1) symmetry. This “pseudo-Goldstone” sterile neutrino is produced in the decays of higgsino-like neutralinos and charginos and decays subsequently via its mixing with the active neutrinos. We outline an experimental strategy for measuring the active-sterile neutrino mixing angles at the LHC, based on the reconstruction of final states involving displaced vertices.

The paper is organized as follows. In section 2, after a brief summary of current collider constraints, we introduce the sterile neutrino production mechanism studied in this work and contrast it with the standard one. In section 3, we present an explicit model in which the sterile neutrino is the supersymmetric partner of a pseudo-Nambu Goldstone boson, and give its predictions for the active-sterile neutrino mixing angles. In section 4, we study the experimental signatures of the model and discuss how the active-sterile neutrino mixing angles could be reconstructed experimentally. We give our conclusions in section 5. Finally, appendix A contains some technical details about the model of section 3, and appendix B discusses the phenomenological constraints that apply to the pseudo-Nambu-Goldstone boson whose supersymmetric partner is the sterile neutrino.

## 2 Sterile neutrino production in heavy particle decays

Collider searches for heavy sterile neutrinos usually rely on a production mechanism involving their mixing with the active neutrinos.<sup>1</sup> The active-sterile mixing angles can be measured directly, as they enter the production cross section. Following this approach, early direct searches for heavy sterile neutrinos were performed by the DELPHI experiment [7] at the LEP collider. These searches were based on the production process  $e^+e^- \rightarrow Z \rightarrow N\nu$ , followed by the decay  $N \rightarrow l^\pm W^{\mp*}$  or  $N \rightarrow \nu Z^*$ . Since the  $ZN\nu_\alpha$  coupling is proportional to the active-sterile mixing angle  $V_{N\alpha}$  (where  $\alpha = e, \mu$  or  $\tau$ ), the production cross section  $\sigma(e^+e^- \rightarrow N\nu)$  goes as  $\sum_\alpha |V_{N\alpha}|^2$ . This allowed DELPHI to exclude mixing angles  $|V_{N\alpha}|^2 \gtrsim (2-3) \times 10^{-5}$  for sterile neutrino masses in the range  $5 \text{ GeV} \lesssim m_N \lesssim 50 \text{ GeV}$ , independently of lepton flavour [7]. DELPHI was also able to set limits on the  $|V_{N\alpha}|^2$  for

<sup>1</sup>Except for searches performed in the framework of specific scenarios, like left-right symmetric extensions of the Standard Model, in which the right-handed neutrinos are produced in decays of  $W_R$  or  $Z'$  gauge bosons [6]. In this case, the production cross section is controlled by the  $SU(2)_R$  gauge coupling and does not depend on the active-sterile neutrino mixing.

lower sterile neutrino masses, using techniques involving displaced vertices. For  $|V_{Ne}|$ , a stronger, indirect constraint can be derived from the non-observation of neutrinoless double beta decay [8, 9].

At hadron colliders, the production process  $pp(p\bar{p}) \rightarrow W^{\pm(*)} \rightarrow Nl^{\pm}$ , followed by the decay  $N \rightarrow l^{\pm}W^{\mp(*)} \rightarrow l^{\pm} + 2 \text{ jets}$ , leads to the same-sign dilepton + 2 jets signature with no missing transverse energy characteristic of a heavy Majorana neutrino [6, 10], which is essentially free from Standard Model backgrounds. The  $W$  boson from  $N$  decay can also go into a charged lepton and a neutrino, resulting in a trilepton signature. Similarly to  $ZN\nu_{\alpha}$ , the  $W^{\pm}Nl_{\alpha}^{\mp}$  coupling is proportional to  $V_{N\alpha}$  and the production cross section  $\sigma(pp \rightarrow Nl_{\alpha}^{\pm})$  goes as  $|V_{N\alpha}|^2$ . More precisely, the cross section for the process  $pp \rightarrow W^{\pm*} \rightarrow Nl_{\alpha}^{\pm}$  mediated by  $s$ -channel  $W$  boson exchange is given by, at a center of mass energy  $\sqrt{s}$  [11],

$$\sigma(s) = \int dx \int dy \sum_{q, \bar{q}'} \left[ f_q^p(x, Q^2) f_{\bar{q}'}^p(y, Q^2) \right] \hat{\sigma}(\hat{s}), \quad (2.1)$$

where  $q = u, c$ ,  $\bar{q}' = \bar{d}, \bar{s}$ ,  $f_q^p$  is the parton distribution function for the quark  $q$  at  $Q^2 = \hat{s} = xys$ , and  $x$  and  $y$  are the fractions of the proton momentum carried by the interacting quark  $q$  and antiquark  $\bar{q}'$ . The parton subprocess cross section  $\hat{\sigma}(\hat{s})$  is given by

$$\hat{\sigma}(\hat{s}) = \frac{\pi\alpha_W^2}{72\hat{s}^2(\hat{s} - m_W^2)^2} (\hat{s} - m_N^2)^2 (2\hat{s} + m_N^2) |V_{N\alpha}|^2, \quad (2.2)$$

where  $\alpha_W \equiv g^2/(4\pi)$ , with  $g$  the  $SU(2)_L$  gauge coupling. The collaborations ATLAS and CMS performed searches for heavy sterile neutrinos at  $\sqrt{s} = 8 \text{ TeV}$ , using events with two jets and two leptons of the same charge, and set  $m_N$ -dependent upper bounds on  $|V_{Ne}|^2$  and  $|V_{N\mu}|^2$  in the mass range  $100 \text{ GeV} \leq m_N \leq 500 \text{ GeV}$  for ATLAS [12], and  $40 \text{ GeV} \leq m_N \leq 500 \text{ GeV}$  for CMS [13, 14]. The best limits were obtained by CMS, ranging from  $|V_{Ne}|^2 \leq 1.5 \times 10^{-4}$ ,  $|V_{N\mu}|^2 \leq 2 \times 10^{-5}$  for  $m_N = 40 \text{ GeV}$  to  $|V_{Ne}|^2 \leq 0.72$ ,  $|V_{N\mu}|^2 \leq 0.58$  for  $m_N = 500 \text{ GeV}$ , with  $|V_{Ne}|^2 \lesssim 10^{-2}$ ,  $|V_{N\mu}|^2 \lesssim 2 \times 10^{-3}$  around  $100 \text{ GeV}$ . Using trilepton events with  $35.9 \text{ fb}^{-1}$  of proton-proton collisions at  $\sqrt{s} = 13 \text{ TeV}$ , CMS extended these constraints to the mass range  $1 \text{ GeV} \leq m_N \leq 1.2 \text{ TeV}$ , providing upper bounds on  $|V_{Ne}|^2$  and  $|V_{N\mu}|^2$  ranging from  $1.2 \times 10^{-5}$  to the unphysical value 1.8, depending on  $m_N$  [15]. In particular, CMS slightly improved the DELPHI limits between  $m_N = 10 \text{ GeV}$  and  $50 \text{ GeV}$ . Using the same trilepton signature with  $36.1 \text{ fb}^{-1}$  of proton-proton collisions at  $\sqrt{s} = 13 \text{ TeV}$ , ATLAS obtained bounds similar to CMS in the mass range  $5 \text{ GeV} \leq m_N \leq 50 \text{ GeV}$ , excluding  $|V_{Ne}|^2, |V_{N\mu}|^2 \gtrsim 1.4 \times 10^{-5}$  between  $20 \text{ GeV}$  and  $30 \text{ GeV}$ . By searching for displaced vertex signatures with a displacement between 4 and 300 mm, ATLAS was also able to probe values of  $|V_{N\mu}|^2$  below  $10^{-5}$  in the mass range  $4.5 \text{ GeV} \leq m_N \leq 10 \text{ GeV}$ , excluding  $|V_{N\mu}|^2 \geq 1.5 \times 10^{-6}$  for  $m_N \approx 9 \text{ GeV}$ .

The possibility of probing smaller mixing angles via displaced vertex searches at the LHC (allowing for larger displacements than in the ATLAS study just mentioned) has been investigated in several phenomenological works [17–29] (see also ref. [30] for a more general discussion about signatures of long-lived particles at the LHC). The requirement that the sterile neutrino does not decay promptly, while being sufficiently produced through its mixing with the active neutrinos, restricts the sensitivity of these searches to the low mass

region,  $m_N \lesssim (20\text{--}35)$  GeV, depending on the available luminosity.<sup>2</sup> For instance, ref. [26] claims that displaced vertex searches at the LHC could exclude values of  $|V_{Ne}|^2$  and  $|V_{N\mu}|^2$  as small as  $5 \times 10^{-9}$  and masses up to 20 GeV with an integrated luminosity of  $300 \text{ fb}^{-1}$ , while the high luminosity LHC (HL-LHC) with an integrated luminosity of  $3 \text{ ab}^{-1}$  could probe  $|V_{Ne}|^2, |V_{N\mu}|^2 \approx 5 \times 10^{-10}$  and  $m_N \approx 35$  GeV. The sensitivity to  $|V_{N\tau}|^2$  is typically smaller by two orders of magnitude.

It is interesting to compare the above limits and sensitivities to the predictions of typical GeV/TeV-scale seesaw models. Using the naïve seesaw formula  $V_{N\alpha} \sim \sqrt{m_\nu/m_N}$ , one obtains  $|V_{N\alpha}|^2 \sim 5 \times 10^{-12}$  for  $m_N = 10$  GeV, and  $|V_{N\alpha}|^2 \sim 10^{-13}$  for  $m_N = 500$  GeV, about seven orders of magnitude below the best collider bounds. Even displaced vertex searches at the LHC or HL-LHC do not seem to have the potential to reach the vanilla seesaw model predictions and, moreover, are limited to sterile neutrino masses below 40 GeV. All these searches are handicapped by the fact that the sterile neutrino production cross section is suppressed by the square of the active-sterile mixing. This prevents them from probing smaller mixing angles and, in the case of displaced vertex searches, larger sterile neutrino masses.

In this paper, we consider the alternative possibility that the sterile neutrino production mechanism does not depend on its mixing with active neutrinos. In this case, the sensitivity of collider searches to small mixing angles is not limited by the production rate, as the mixing only enters the sterile neutrino decays. More specifically, we assume that the sterile neutrino is produced in the decays of a heavier particle  $\zeta$ , whose production cross sections is of typical electroweak size.<sup>3</sup> This requires that the sterile neutrino mixes with  $\zeta$ , in addition to its mixing with active neutrinos. We further assume that  $\zeta$  is produced in pairs, a property encountered in many extensions of the Standard Model, where a parity symmetry is often associated with the new particles. Sterile neutrinos are then produced as follows:

$$pp \rightarrow \zeta\bar{\zeta}, \quad \zeta \rightarrow N + \text{SM}, \quad (2.3)$$

where “SM” stands for Standard Model particles. If  $m_\zeta \gg m_N$ , these particles are boosted and can be used as triggers for the signal of interest. Consider for instance the production of two sterile neutrinos followed by their decay into a charged lepton and two jets,  $N \rightarrow l_\alpha^\pm W^\mp \rightarrow l_\alpha^\pm q\bar{q}'$ . The rate for this process is given by

$$\sigma(pp \rightarrow NN) \text{BR}(N \rightarrow l_\alpha jj) \text{BR}(N \rightarrow l_\beta jj) \propto \sigma(pp \rightarrow NN) \frac{|V_{N\alpha}|^2 |V_{N\beta}|^2}{\Gamma_N^2}, \quad (2.4)$$

where, assuming that the narrow width approximation is valid (i.e.  $\Gamma_\zeta \ll m_\zeta$ ),

$$\sigma(pp \rightarrow NN) = \sigma(pp \rightarrow \zeta\bar{\zeta}) [\text{BR}(\zeta \rightarrow N + \text{SM})]^2. \quad (2.5)$$

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<sup>2</sup>Roughly speaking, the sterile neutrino production cross section is proportional to  $\sum_\alpha |V_{N\alpha}|^2$ , while its decay rate goes as  $m_N^5 \sum_\alpha |V_{N\alpha}|^2$ . The requirement that a significant number of sterile neutrinos are produced implies a lower bound on  $\sum_\alpha |V_{N\alpha}|^2$ , while the requirement of displaced vertices provides an upper bound on the combination  $m_N^5 \sum_\alpha |V_{N\alpha}|^2$ .

<sup>3</sup>Another possibility, namely the production of a pair of sterile neutrinos in the decay of a  $Z'$  gauge boson, was considered in refs. [31–33].

Eqs. (2.4) and (2.5) clearly show that the active-sterile mixing angles  $V_{N\alpha}$  enter only the sterile neutrino decays, not its production. This makes small mixing angles more easily accessible to collider searches than in the standard scenario, in which the sterile neutrino is produced through its mixing with active neutrinos. As can be seen from eq. (2.4), the number of events corresponding to a given final state depends on the combinations  $|V_{N\alpha}|^2/\Gamma_N$ . An independent determination of  $\Gamma_N$  is therefore needed in order to extract the  $V_{N\alpha}$  from experimental data. This can be done by measuring the distribution of displaced vertices from  $N$  decays, as we explain below. Another virtue of displaced vertices is that they provide signals which are essentially background free. Indeed, Standard Model processes do not lead to displaced vertices (with the exception of bottom and charm quarks, which produce small displacements and can be tagged). This implies that a small number of events may be sufficient to measure the signal.

To conclude this section, let us explain how the sterile neutrino decay width can be determined from the distribution of its displaced vertices. The probability density for a particle travelling in a straight line to decay at a distance  $r$  to a particular final state  $i$  is given by

$$P_i(r) = \frac{\Gamma_i}{\beta\gamma} e^{-\frac{\Gamma r}{\beta\gamma}}, \quad (2.6)$$

where  $\Gamma_i$  is the corresponding decay rate,  $\Gamma = \sum_j \Gamma_j$  the particle decay width, and we recall that  $\beta\gamma = |\vec{p}|/m$ , with  $\vec{p}$  the 3-momentum of the particle and  $m$  its mass. For an ensemble of identical particles, one needs to integrate over the particle momentum distribution, on which  $\beta\gamma$  depends. However, to a good approximation, one can simply assume that all particles have the same effective  $(\beta\gamma)_{\text{eff}}$ , given by the peak value of their  $\beta\gamma$  distribution [34]. The number of particles decaying to the final state  $i$  between  $r_1$  and  $r_2$ , with  $r_2 > r_1$ , is then given by

$$N_i(r_1, r_2) = N_0 \frac{\Gamma_i}{\Gamma} \left( e^{-\frac{\Gamma r_1}{(\beta\gamma)_{\text{eff}}}} - e^{-\frac{\Gamma r_2}{(\beta\gamma)_{\text{eff}}}} \right), \quad (2.7)$$

where  $N_0$  is the initial number of particles. For two intervals  $[r_1, r_2]$ ,  $[r_3, r_4]$  such that  $r_1 \ll r_2$  and  $r_3 \ll r_4$ , one can write

$$\Gamma = \frac{(\beta\gamma)_{\text{eff}}}{r_3 - r_1} \ln \left( \frac{N_i(r_1, r_2)}{N_i(r_3, r_4)} \right). \quad (2.8)$$

Thus, by measuring  $(\beta\gamma)_{\text{eff}}$  and the number of decays in two distance intervals, one can obtain the decay width of the particle in the approximation described above. More generally, i.e. without relying on the approximate formula (2.7), the decay width can be extracted from the shape of the distribution of displaced vertices corresponding to a given final state, provided that the mass and the momentum distribution of the particle (hence its  $\beta\gamma$  distribution) can be reconstructed experimentally.

### 3 An explicit model: sterile neutrino as the supersymmetric partner of a pseudo-Nambu-Goldstone boson

We now present an explicit realization of the scenario discussed in the previous section. The sterile neutrino is identified with the supersymmetric partner of a pseudo-Nambu-

Goldstone boson<sup>4</sup> (PNGB) and mixes both with active neutrinos and higgsinos. Its mixing with higgsinos is relatively large, such that it is predominantly produced in neutralino and chargino decays.

### 3.1 The model

The model we consider is an extension of the Minimal Supersymmetric Standard Model (MSSM) with a global U(1) symmetry under which the lepton and Higgs fields (but not the quark fields) are charged. We assume that this symmetry is spontaneously broken at some high scale  $f$  by the vacuum expectation value (VEV) of a scalar field belonging to a chiral superfield  $\Phi$  with charge  $-1$  (we also assume a small source of explicit breaking to avoid a massless Goldstone boson). The charges of the superfields  $L_i$ ,  $\bar{e}_i$ ,  $H_u$  and  $H_d$  are denoted by  $l_i$ ,  $e_i$ ,  $h_u$  and  $h_d$ , respectively. We choose the symmetry to be generation-independent and vector-like, i.e.  $e_i = -l_i \equiv -l$ , in order to avoid dangerous flavor-changing processes [39] and astrophysical constraints on the pseudo-Nambu-Goldstone boson  $a$  [40] (see appendix B for details). We further assume  $h_u = 0$ , so that the top quark Yukawa coupling is invariant under the global symmetry and therefore unsuppressed by powers of the symmetry breaking parameter.

We are then left with two independent charges  $l$  and  $h_d$ , which we assume to be positive integers. With this choice, the down-type quark and charged lepton Yukawa couplings, as well as the  $\mu$ -term, are not allowed by the global symmetry and must arise from higher-dimensional superpotential operators involving the field  $\Phi$ :

$$\begin{aligned}
 W = & \kappa_0 H_u H_d \Phi \left(\frac{\Phi}{M}\right)^{h_d-1} + \kappa_i H_u L_i \Phi \left(\frac{\Phi}{M}\right)^{l-1} - y_{ij}^e L_i \bar{e}_j H_d \left(\frac{\Phi}{M}\right)^{h_d} - y_{ij}^d Q_i \bar{d}_j H_d \left(\frac{\Phi}{M}\right)^{h_d} \\
 & + \lambda_{ij}^u Q_i \bar{u}_j H_u + \frac{1}{2} y_{ijk} L_i L_j \bar{e}_k \left(\frac{\Phi}{M}\right)^l + y'_{ijk} L_i Q_j \bar{d}_k \left(\frac{\Phi}{M}\right)^l, \quad (3.1)
 \end{aligned}$$

where  $M \gg f \equiv \langle \Phi \rangle$  is the scale of the new physics that generates these operators. In the superpotential (3.1), we included terms that lead to  $R$ -parity violating interactions, with the exception of the baryon number violating couplings  $\bar{u}_i \bar{d}_j \bar{d}_k$ , which we assume to be forbidden by some symmetry, such as the  $\mathbb{Z}_3$  baryon parity of ref. [41]. In principle, eq. (3.1) should also contain a term  $\frac{1}{4} \kappa_{ij} L_i L_j H_u H_u \Phi^{2l}/M^{2l+1}$ , which after spontaneous symmetry breaking induces the Weinberg operator  $L_i L_j H_u H_u$ . We will discuss this contribution to neutrino masses later in this section.

The spontaneous breaking of the global symmetry generates the following superpotential:<sup>5</sup>

$$\begin{aligned}
 W = & \hat{\mu}_0 H_u H_d + \hat{\mu}_i H_u L_i + \hat{\lambda}_0 H_u H_d \hat{\Phi} + \hat{\lambda}_i H_u L_i \hat{\Phi} - \hat{\lambda}_{ij}^e L_i \bar{e}_j H_d - \hat{\lambda}_{ij}^d Q_i \bar{d}_j H_d \\
 & + \lambda_{ij}^u Q_i \bar{u}_j H_u + \frac{1}{2} \hat{\lambda}_{ijk} L_i L_j \bar{e}_k + \hat{\lambda}'_{ijk} L_i Q_j \bar{d}_k, \quad (3.2)
 \end{aligned}$$

<sup>4</sup>For earlier realizations of this idea, in which the sterile neutrino was assumed to be light, see refs. [35–38].

<sup>5</sup>In going from eq. (3.1) to eq. (3.2), we dropped the terms involving more than one power of  $\hat{\Phi}$ , since, in addition to being suppressed by powers of the large scale  $f$ , they do not contribute to the mixing between the pseudo-Goldstone fermion and the MSSM fermions. As we are going to see, it is this mixing that determines the collider phenomenology of the sterile neutrino.

where  $\hat{\Phi}$  stands for the shifted superfield  $\Phi - f$  and

$$\begin{aligned} \hat{\mu}_0 &= \kappa_0 f \epsilon^{h_d-1}, & \hat{\lambda}_0 &= h_d \kappa_0 \epsilon^{h_d-1} = h_d \hat{\mu}_0 / f, & \hat{\lambda}_{ij}^e &= y_{ij}^e \epsilon^{h_d}, & \hat{\lambda}_{ij}^d &= y_{ij}^d \epsilon^{h_d}, \\ \hat{\mu}_i &= \kappa_i f \epsilon^{l-1}, & \hat{\lambda}_i &= l \kappa_i \epsilon^{l-1} = l \hat{\mu}_i / f, & \hat{\lambda}_{ijk} &= y_{ijk} \epsilon^l, & \hat{\lambda}'_{ijk} &= y'_{ijk} \epsilon^l, \end{aligned} \quad (3.3)$$

in which  $\epsilon \equiv \langle \Phi \rangle / M = f / M \ll 1$ . We will assume  $l > h_d$ , such that the  $R$ -parity violating parameters  $\hat{\mu}_i$ ,  $\hat{\lambda}_i$ ,  $\hat{\lambda}_{ijk}$  and  $\hat{\lambda}'_{ijk}$  are suppressed by a factor  $\epsilon^{l-h_d}$  relative to the corresponding  $R$ -parity conserving parameters:

$$\hat{\mu}_i \sim \hat{\mu}_0 \epsilon^{l-h_d}, \quad \hat{\lambda}_i \sim \hat{\lambda}_0 \epsilon^{l-h_d}, \quad \hat{\lambda}_{ijk} \sim \hat{\lambda}_{jk}^e \epsilon^{l-h_d}, \quad \hat{\lambda}'_{ijk} \sim \hat{\lambda}_{jk}^d \epsilon^{l-h_d}, \quad (3.4)$$

where, for definiteness, we have assumed  $\kappa_i \sim \kappa_0$ ,  $y_{ijk} \sim y_{jk}^e$  and  $y'_{ijk} \sim y_{jk}^d$ .  $R$ -parity violation is therefore automatically suppressed by the choice of the  $U(1)$  charges; there is no need to invoke an ad hoc hierarchy between  $R$ -parity even and  $R$ -parity odd coefficients in the superpotential (3.1). Note that the coefficients  $y_{ij}^e$ ,  $y_{ij}^d$  and  $\lambda_{ij}^u$  must have a hierarchical flavour structure in order to account for the fermion mass spectrum (this cannot be explained by the  $U(1)$  symmetry itself, since it is generation independent).

The chiral superfield  $\hat{\Phi}$  contains the pseudo-Nambu-Goldstone boson and its supersymmetric partners. It can be written as:

$$\hat{\Phi} = \frac{s + ia}{\sqrt{2}} + \sqrt{2} \theta \chi + \theta^2 F, \quad (3.5)$$

where  $a$  is the PNGB,  $s$  its scalar partner, which is assumed to get a large mass from supersymmetry breaking, and  $\chi$  its fermionic partner (hereafter referred to as the pseudo-Goldstone fermion or sterile neutrino), whose mass  $m_\chi$  also predominantly arises from supersymmetry breaking. In particular,  $m_\chi$  receives an irreducible contribution proportional to the gravitino mass [42]. By contrast, the pseudo-Nambu-Goldstone boson  $a$  only obtains its mass from the sources of explicit global symmetry breaking, assumed to be small. The hierarchy of mass scales is therefore  $m_a \ll m_\chi \ll m_s$ , and we will consider values of  $m_\chi$  in the few 10 GeV to few 100 GeV range in the following. As discussed in appendix B,  $m_a$  is constrained to be larger than about 400 MeV by cosmological and astrophysical observations.

Before we can derive the interactions of the pseudo-Goldstone fermion, we must take into account the effect of supersymmetry breaking. Since  $R$ -parity has not been imposed, the scalar potential includes soft supersymmetry breaking terms that violate  $R$ -parity, which in turn induce vevs for the sneutrinos. After redefining the superfields  $H_d$  and  $L_i$  in such a way that (i) only the scalar component of  $H_d$  gets a vev and (ii) charged lepton Yukawa couplings are diagonal, we end up with the following superpotential (see appendix A for details):

$$W = \mu_0 H_u H_d + \mu_i H_u L_i + \lambda_0 H_u H_d \hat{\Phi} + \lambda_i H_u L_i \hat{\Phi} + \dots, \quad (3.6)$$

where  $H_d$  and  $L_i$  are now the physical down-type Higgs and lepton doublet superfields. We have dropped the Yukawa couplings and the trilinear  $R$ -parity violating couplings  $\lambda_{ijk}$  and  $\lambda'_{ijk}$ , as they do not contribute to the mixing of the pseudo-Goldstone fermion with other fermions. As shown in appendix A, the parameters  $\mu_0$ ,  $\mu_i$ ,  $\lambda_0$  and  $\lambda_i$  can be written as

$$|\mu_0| = \mu \sqrt{1 - \xi^2} \simeq \mu, \quad \mu_i = c_i \mu \xi, \quad \lambda_0 \simeq h_d \frac{\mu}{f}, \quad \lambda_i = d_i \frac{\mu}{f} \xi, \quad (3.7)$$



where  $\mu \equiv \sqrt{|\hat{\mu}_0|^2 + \sum_i |\hat{\mu}_i|^2}$ ,  $\xi \sim \epsilon^{l-h_d}$  is an overall measure of bilinear  $R$ -parity violation defined in appendix A, and  $c_i, d_i$  are order one coefficients (with  $\sum_i |c_i|^2 = 1$ ). We thus have the order-of-magnitude relations

$$\frac{\mu_i}{\mu_0} \sim \frac{\lambda_i}{\lambda_0} \sim \xi \sim \epsilon^{l-h_d}, \quad \lambda_0 \sim \frac{\mu}{f}. \quad (3.8)$$

Since  $\xi$  and  $\mu/f$  are small quantities, this implies  $\mu_i \ll \mu_0$  and  $\lambda_i \ll \lambda_0 \ll 1$ .

### 3.2 Sterile neutrino interactions

The superpotential (3.6) includes terms mixing the pseudo-Goldstone fermion  $\chi$  with leptons, promoting it to a sterile neutrino. Since it is a gauge singlet, its interactions arise from its mixing with the other neutral fermions, namely the active neutrinos  $\nu_i$ , the neutral higgsinos  $\tilde{h}_u^0, \tilde{h}_d^0$  and the gauginos  $\lambda_\gamma, \lambda_Z$ . This mixing is encoded in the  $(8 \times 8)$  neutralino mass matrix, which in the 2-component fermion basis  $\psi^0 = (\lambda_\gamma, \lambda_Z, \tilde{h}_u^0, \tilde{h}_d^0, \nu_i, \chi)$  is given by

$$M_N = \begin{pmatrix} c_W^2 M_1 + s_W^2 M_2 & c_W s_W (M_2 - M_1) & 0 & 0 & 0_{1 \times 3} & 0 \\ c_W s_W (M_2 - M_1) & s_W^2 M_1 + c_W^2 M_2 & -\frac{g v_u}{\sqrt{2} c_W} & \frac{g v_d}{\sqrt{2} c_W} & 0_{1 \times 3} & 0 \\ 0 & -\frac{g v_u}{\sqrt{2} c_W} & 0 & -\mu_0 & -\mu_j & -\lambda_0 v_d \\ 0 & \frac{g v_d}{\sqrt{2} c_W} & -\mu_0 & 0 & 0_{1 \times 3} & -\lambda_0 v_u \\ 0_{3 \times 1} & 0_{3 \times 1} & -\mu_i & 0 & \delta(M_\nu)_{ij} & -\lambda_i v_u \\ 0 & 0 & -\lambda_0 v_d & -\lambda_0 v_u & -\lambda_j v_u & m_\chi \end{pmatrix}, \quad (3.9)$$

where  $c_W \equiv \cos \theta_W$ ,  $s_W \equiv \sin \theta_W$ ,  $\tan \beta \equiv v_u/v_d$  and  $v_u, v_d$  are the VEVs of the two Higgs doublets of the supersymmetric Standard Model. The  $3 \times 3$  matrix  $\delta M_\nu$  contains small contributions to the  $\nu_i$  mass terms arising from loops induced by the trilinear  $R$ -parity violating couplings  $\lambda_{ijk}$  and  $\lambda'_{ijk}$ , as well as from high-scale physics parametrized by the superpotential operators  $L_i L_j H_u H_u \Phi^{2l}/M^{2l+1}$ . The chargino mass matrix is given by, in the bases  $\psi^- = (\lambda^-, \tilde{h}_d^-, l_i^-)$  and  $\psi^+ = (\lambda^+, \tilde{h}_u^+, \bar{e}_j)$ ,

$$M_C = \begin{pmatrix} M_2 & g v_u & 0_{1 \times 3} \\ g v_d & \mu_0 & 0_{1 \times 3} \\ 0_{3 \times 1} & \mu_i & m_{l_i} \delta_{ij} \end{pmatrix}, \quad (3.10)$$

where  $m_{l_i}$  are the charged lepton masses. The neutralino and chargino mass matrices are diagonalized by unitary matrices  $N, V$  and  $U$ , which relate the mass eigenstates  $\chi_i^0$  and  $\chi_i^\pm$  to the gauge eigenstates  $\psi_i^0$  and  $\psi_i^\pm$ :

$$\chi_i^0 = N_{ij} \psi_j^0 \quad (i, j = 1 \dots 8), \quad \chi_i^+ = V_{ij} \psi_j^+, \quad \chi_i^- = U_{ij} \psi_j^- \quad (i, j = 1 \dots 5). \quad (3.11)$$

The neutralino mass matrix (3.9) has a ‘‘seesaw’’ structure, with the upper left  $4 \times 4$  block (associated with the MSSM neutralinos) containing the largest entries, while the elements of the off-diagonal block are suppressed by  $\mu_i/\mu_0 \sim \xi$  or  $\lambda_0 \sim \mu/f$ , and the lower right  $4 \times 4$  block has  $m_\chi (\ll \mu_0, M_1, M_2)$  as its largest entry,  $\delta(M_\nu)_{ij}$  and  $\lambda_i v_u \sim \xi(\mu/f)v_u$  being much smaller. Similarly, the chargino mass matrix (3.10) has a dominant  $2 \times 2$

upper left block corresponding to the MSSM charginos. This results in the following mass spectrum:

$$m_{\tilde{\chi}_{1,2,3}^0} \ll m_{\tilde{\chi}_4^0} \ll m_{\tilde{\chi}_{5,6,7,8}^0}, \quad m_{\tilde{\chi}_{1,2,3}^\pm} \ll m_{\tilde{\chi}_{4,5}^\pm}, \quad (3.12)$$

where  $\tilde{\chi}_{1,2,3}^0$  and  $\tilde{\chi}_{1,2,3}^\pm$  can be identified with the active neutrinos and charged leptons, respectively,  $\tilde{\chi}_4^0$  is the sterile neutrino, and  $\tilde{\chi}_{5,6,7,8}^0$ ,  $\tilde{\chi}_{4,5}^\pm$  are mostly the MSSM neutralinos and charginos. We therefore rename the mass eigenstates (3.12) in the following way:

$$\nu_{1,2,3} \equiv \tilde{\chi}_{1,2,3}^0, \quad N \equiv \tilde{\chi}_4^0, \quad \tilde{\chi}_{1,2,3,4}^0 \equiv \tilde{\chi}_{5,6,7,8}^0, \quad l_{1,2,3}^\pm \equiv \tilde{\chi}_{1,2,3}^\pm, \quad \tilde{\chi}_{1,2}^\pm \equiv \tilde{\chi}_{4,5}^\pm. \quad (3.13)$$

Due to the specific hierarchical structure of the neutralino mass matrix, the sterile neutrino mass is approximately given by  $m_\chi$  ( $m_N \simeq m_\chi$ ). The hierarchy among the entries of  $M_N$  and  $M_C$  also implies that the mixing between states well separated in mass is small. As can be seen from eq. (3.10), the mixing between charginos and charged leptons is suppressed by  $\mu_i/\mu_0 \sim \xi$ , while the mixing between the sterile neutrino, neutrinos and neutralinos has a more complicated structure and depends on the small parameters  $\mu_i/\mu_0$ ,  $\lambda_0$  and  $\lambda_i$ . These mixings induce new interactions between gauge bosons and fermions that are absent in the Standard Model. We will be interested in the ones that are relevant for the production and decay of the sterile neutrino, namely (assuming  $\mu \simeq |\mu_0| \ll M_1, M_2$ , such that the lightest neutralinos  $\tilde{\chi}_{1,2}^0$  and chargino  $\tilde{\chi}_1^\mp$  are mainly higgsinos):

$$Z\tilde{\chi}_{1,2}^0 N, \quad ZN\nu_i, \quad W^\pm\tilde{\chi}_1^\mp N, \quad W^\pm N l_i^\mp. \quad (3.14)$$

All these couplings are suppressed by small mixing angles.

### 3.3 Constraints from neutrino data and active-sterile mixing angles

The model contains a large number of parameters (in addition to the supersymmetric parameters  $\mu$ ,  $M_1$ ,  $M_2$  and  $\tan\beta$ , the chargino and neutralino mass matrices depend on  $\lambda_0$ ,  $\lambda_i$  and  $\mu_i$  ( $i = 1, 2, 3$ ), or alternatively on  $\lambda_0$ ,  $c_{1,2}$ ,  $d_{1,2,3}$  and  $\xi$ ). However, the requirement that it should be consistent with neutrino oscillation data fixes a lot of them. An efficient way of taking this constraint into account is to express the model parameters in terms of the neutrino parameters  $\Delta m_{31}^2$ ,  $\Delta m_{21}^2$  and  $U_{\alpha i}$  (where  $U$  denotes the PMNS matrix, which controls flavour mixing in the lepton sector). In order to do this, we take advantage of the strongly hierarchical structure of the neutralino mass matrix (3.9) to derive the active neutrino mass matrix in the seesaw approximation:

$$(M_\nu)_{\alpha\beta} \simeq (\delta M_\nu)_{\alpha\beta} - A(c_\alpha - d_\alpha/h_d)(c_\beta - d_\beta/h_d) - Bc_\alpha c_\beta, \quad (3.15)$$

where  $A \equiv \lambda_0^2 v_u^2 \xi^2 / m_\chi$ ,  $B \equiv (c_W^2 M_1 + s_W^2 M_2) m_Z^2 \cos^2 \beta \xi^2 / (M_1 M_2)$ , and the lepton family indices have been renamed from  $i = 1, 2, 3$  to  $\alpha = e, \mu, \tau$  to stress that we are working in the charged lepton mass eigenstate basis. For simplicity, we assume  $\delta M_\nu = 0$  in the following, since its entries are small<sup>6</sup> compared with the values  $(M_\nu)_{\alpha\beta} \gtrsim (0.001 \div 0.05)$  eV suggested

<sup>6</sup>The superpotential terms  $\frac{1}{4} \kappa_{\alpha\beta} L_\alpha L_\beta H_u H_u \Phi^{2l} / M^{2l+1}$  give a contribution  $(\delta M_\nu)_{\alpha\beta} = \frac{1}{2} \kappa_{\alpha\beta} \epsilon^{2l+1} v_u^2 / f$  to the neutrino mass matrix. For the values of the model parameters considered in this paper:  $\epsilon = 0.1$ ,  $l = 6$  and  $f = 15.8 \text{ TeV} / \sqrt{m_N / 100 \text{ GeV}}$ , this gives  $(\delta M_\nu)_{\alpha\beta} \sim (10^{-4} \text{ eV}) \kappa_{\alpha\beta}$ , which is too small to affect significantly the neutrino oscillation parameters. As for the lepton-slepton and quark-squark loops induced by the trilinear  $R$ -parity violating couplings  $\lambda$  and  $\lambda'$ , they are suppressed by  $(\lambda)^2$  and  $(\lambda')^2$ , respectively, which are smaller than  $\mathcal{O}(\epsilon^{2l})$ , as shown in appendix A.

by neutrino oscillation data. With this choice, only two neutrinos become massive.<sup>7</sup> We further assume that the neutrino mass ordering is normal, i.e.  $m_1 = 0$ . Then the neutrino oscillation parameters are reproduced by the mass matrix (3.15) with

$$A = m_3, \quad B = m_2, \quad c_\alpha = U_{\alpha 2}^*, \quad d_\alpha = h_d(U_{\alpha 2}^* - U_{\alpha 3}^*), \quad (3.16)$$

where  $m_3 = \sqrt{\Delta m_{31}^2}$  and  $m_2 = \sqrt{\Delta m_{21}^2}$ . This fixes the parameters  $c_\alpha$  and  $d_\alpha$ , as well as  $\xi$  (as a function of  $M_1$ ,  $M_2$  and  $\tan \beta$ ) and  $\lambda_0$  (as a function of  $m_\chi \simeq m_N$ ,  $M_1$ ,  $M_2$  and  $\tan \beta$ ). With the additional input of  $\mu$  and the help of eq. (3.7), the parameters  $\mu_\alpha$  and  $\lambda_\alpha$  can be reconstructed. Thus, for a given set of neutrino parameters, the model has only five free parameters in the limit  $\delta M_\nu = 0$ :  $\mu$ ,  $M_1$ ,  $M_2$ ,  $\tan \beta$  and  $m_N$ . For the reference values  $\mu = 500$  GeV,  $M_1 = 1$  TeV,  $M_2 = 2$  TeV and  $\tan \beta = 10$  used in section 4, one obtains  $\xi = 1.29 \times 10^{-5}$  and  $\lambda_0 = 3.16 \times 10^{-2} \sqrt{m_N/100 \text{ GeV}}$ . Given the order-of-magnitude relation  $\xi \sim \epsilon^{l-h_d}$ , this value of  $\xi$  is consistent with the choice of “fundamental” parameters  $\epsilon = 0.1$ ,  $l = 6$  and  $h_d = 1$ , which we adopt from now on. The value of  $\lambda_0$  corresponds to a global symmetry breaking scale  $f = h_d \mu / \lambda_0 = 15.8 \text{ TeV} / \sqrt{m_N/100 \text{ GeV}}$ .

Having traded some of the model parameters for the neutrino oscillation parameters, we can now derive a simple expression for the active-sterile neutrino mixing angles (still in the seesaw approximation):

$$V_{N\alpha} \simeq \sqrt{\frac{m_3}{m_N}} U_{\alpha 3}^*. \quad (3.17)$$

For the current best fit values of the oscillation parameters [45–47], this gives

$$(|V_{Ne}|, |V_{N\mu}|, |V_{N\tau}|) \simeq (1.1, 5.3, 4.6) \times 10^{-7} \left( \frac{100 \text{ GeV}}{m_N} \right)^{1/2}. \quad (3.18)$$

In the approximation in which we are working, where all states heavier than the sterile neutrino are decoupled, these mixing angles enter the vertices  $W^\pm N l_\alpha^\mp$  and  $Z N \nu_\alpha$ , i.e. the corresponding Lagrangian terms are  $(g V_{N\alpha} / 2c_W) Z_\mu \bar{N} \gamma^\mu \nu_\alpha + (g V_{N\alpha} / \sqrt{2}) (W_\mu^+ \bar{N} \gamma^\mu \ell_\alpha^- + \text{h.c.})$ . We checked numerically that this gives a very good approximation to the exact  $W^\pm N l_\alpha^\mp$  couplings, obtained by expressing the  $W$  boson-fermion interactions in terms of the neutralino and chargino mass eigenstates. The approximation is less reliable for the individual  $Z N \nu_\alpha$  couplings, but becomes very good after summing over the neutrino flavours.

In fact, eq. (3.16) is not the most general solution to eq. (3.15). Another solution is

$$A = m_2, \quad B = m_3, \quad c_\alpha = U_{\alpha 3}^*, \quad d_\alpha = h_d(U_{\alpha 3}^* - U_{\alpha 2}^*), \quad (3.19)$$

giving

$$V_{N\alpha} \simeq \sqrt{\frac{m_2}{m_N}} U_{\alpha 2}^*. \quad (3.20)$$

It is not difficult to show that the general solution is of the form

$$V_{N\alpha} \simeq R_{11} \sqrt{\frac{m_3}{m_N}} U_{\alpha 3}^* + R_{12} \sqrt{\frac{m_2}{m_N}} U_{\alpha 2}^*, \quad (3.21)$$

---

<sup>7</sup>This can already be seen at the level of eq. (3.9), whose determinant vanishes for  $\delta M_\nu = 0$ .

where  $R$  is a  $2 \times 2$  complex orthogonal matrix.<sup>8</sup> We will refer to eq. (3.16) and eq. (3.19) as the “maximal mixing” and “minimal mixing” solutions, respectively, even though larger values of  $\sum_\alpha |V_{N\alpha}|^2$  can be obtained for complex  $R_{11}$  and  $R_{12}$ .

Approximate analytic expressions for the other relevant mixing angles can be obtained in the same way, diagonalizing the  $8 \times 8$  neutralino mass matrix by blocks like in the seesaw approximation, and further assuming  $\mu \ll M_1, M_2$  (so that  $\chi_1^0 \simeq (\tilde{h}_u^0 - \tilde{h}_d^0)/\sqrt{2}$  and  $\chi_2^0 \simeq i(\tilde{h}_u^0 + \tilde{h}_d^0)/\sqrt{2}$ ). With a very good numerical accuracy, the mixing between the active neutrinos and the mostly-higgsino neutralinos  $\tilde{\chi}_{1,2}^0$  is given by, in the maximal mixing case

$$V_{\tilde{\chi}_1^0 \alpha} \simeq -\frac{1}{\sqrt{2}} U_{\alpha 2}^* \xi \left( 1 + \frac{m_3}{\mu \xi^2} \frac{U_{\alpha 3}^*}{U_{\alpha 2}^*} (1 + \cot \beta) \right), \quad (3.22)$$

$$V_{\tilde{\chi}_2^0 \alpha} \simeq \frac{i}{\sqrt{2}} U_{\alpha 2}^* \xi \left( 1 - \frac{m_3}{\mu \xi^2} \frac{U_{\alpha 3}^*}{U_{\alpha 2}^*} (1 - \cot \beta) \right). \quad (3.23)$$

In practice, the second term in the parenthesis can be neglected as long as  $\xi \gtrsim 10^{-6}$ . In the general case, eqs. (3.22)–(3.23) are replaced by (dropping the second term)

$$V_{\tilde{\chi}_1^0 \alpha} \simeq -\left( R_{21} \sqrt{\frac{m_3}{m_{\text{eff}}}} U_{\alpha 3}^* + R_{22} \sqrt{\frac{m_2}{m_{\text{eff}}}} U_{\alpha 2}^* \right), \quad V_{\tilde{\chi}_2^0 \alpha} \simeq -i V_{\tilde{\chi}_1^0 \alpha}, \quad (3.24)$$

where  $m_{\text{eff}} \equiv 2(c_W^2 M_1 + s_W^2 M_2)m_Z^2 \cos^2 \beta / (M_1 M_2)$ . Finally, the mixing between the sterile neutrino and the mostly-higgsino neutralinos  $\tilde{\chi}_{1,2}^0$  is given by

$$V_{\tilde{\chi}_1^0 N} \simeq \frac{\lambda_0}{\sqrt{2}} \frac{v \sin \beta}{\mu} \left( 1 + \cot \beta - \frac{(c_W^2 M_1 + s_W^2 M_2)m_Z^2}{\mu M_1 M_2} \right) \approx \frac{\lambda_0}{\sqrt{2}} \frac{v \sin \beta}{\mu}, \quad (3.25)$$

$$V_{\tilde{\chi}_2^0 N} \simeq i \frac{\lambda_0}{\sqrt{2}} \frac{v \sin \beta}{\mu} \left( 1 - \cot \beta + \frac{(c_W^2 M_1 + s_W^2 M_2)m_Z^2}{\mu M_1 M_2} \right) \approx i \frac{\lambda_0}{\sqrt{2}} \frac{v \sin \beta}{\mu}. \quad (3.26)$$

We checked numerically that eqs. (3.22)–(3.23) and (3.25)–(3.26) provide good approximations for the mixing angles appearing at the  $Z\tilde{\chi}_{1,2}^0\nu_\alpha$  and  $Z\tilde{\chi}_{1,2}^0 N/W^\pm\tilde{\chi}_1^\mp N$  vertices, respectively.

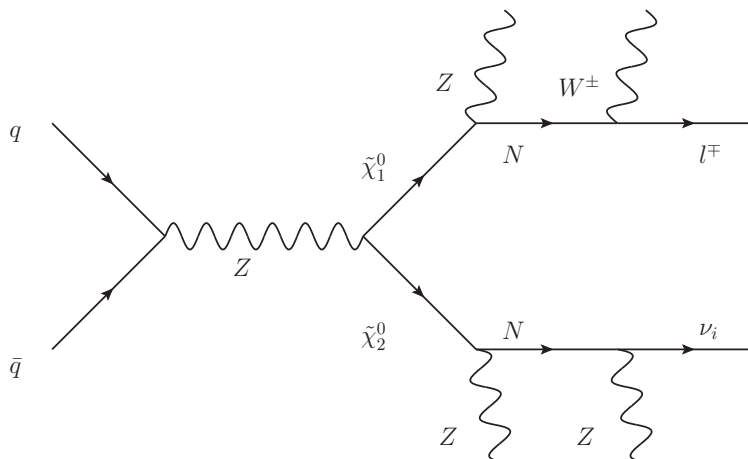
## 4 Collider signatures of the pseudo-Goldstone sterile neutrino

We are now ready to study the collider signatures of the pseudo-Goldstone sterile neutrino described in section 3, focusing on the LHC. We will show in particular that if the mass of the sterile neutrino is around 100 GeV, most of its decays occur within the ATLAS and CMS detectors and lead to displaced vertices. Assuming that the events can be reconstructed efficiently, we discuss how the mass of the sterile neutrino and its mixing angles can be determined from the experimental data.

### 4.1 Model parameters and mixing angles

For definiteness, we choose the following parameters in the higgsino/electroweak gaugino sector:  $\mu = 500$  GeV,  $M_1 = 1$  TeV,  $M_2 = 2$  TeV and  $\tan \beta = 10$ . With this choice, the

<sup>8</sup>Thus, in full generality, the model has seven free parameters in the limit  $\delta M_\nu = 0$ :  $\mu$ ,  $M_1$ ,  $M_2$ ,  $\tan \beta$ ,  $m_N$  and a complex parameter parametrizing the matrix  $R$  (strictly speaking, the parametrization of  $R$  also involves a sign distinguishing between  $\det R = +1$  and  $\det R = -1$ ).



**Figure 1.** Production and decay of the pseudo-Goldstone sterile neutrino in the “on-shell” case ( $m_N > m_Z$ ). In this example, two sterile neutrinos are produced in the decay of a  $\tilde{\chi}_1^0 \tilde{\chi}_2^0$  pair ( $pp \rightarrow \tilde{\chi}_1^0 \tilde{\chi}_2^0 \rightarrow ZZNN$ ), before decaying to  $W^\pm l^\mp$  and  $Z\nu_i$ , respectively.

lightest neutralinos  $\tilde{\chi}_{1,2}^0$  and chargino  $\tilde{\chi}_1^\pm$  are mostly higgsinos, as assumed in section 3, while  $\tilde{\chi}_{3,4}^0$  and  $\tilde{\chi}_2^\pm$  are gaugino-like and significantly heavier. The mass differences between  $\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0$  and  $\tilde{\chi}_1^\pm$  are controlled by the bino mass  $M_1$  and are of the order of a few GeV. Due to their higgsino-like nature, they interact predominantly via the  $W$  and  $Z$  gauge bosons and are produced with electroweak-size cross sections. By contrast,  $\tilde{\chi}_3^0$ ,  $\tilde{\chi}_4^0$  and  $\tilde{\chi}_2^\pm$  are too heavy to be sizably produced at the LHC, and the rest of the superpartner spectrum is assumed to be heavy enough to be decoupled from the higgsino sector. The pseudo-Goldstone sterile neutrino, whose mass is assumed to lie in the few 10 GeV to few 100 GeV range, is produced in higgsino decays (namely, via  $pp \rightarrow \tilde{\chi}_1^0 \tilde{\chi}_2^0 \rightarrow ZZNN$ ,  $pp \rightarrow \tilde{\chi}_1^\pm \tilde{\chi}_{1,2}^0 \rightarrow W^\pm ZNN$  and  $pp \rightarrow \tilde{\chi}_1^\pm \tilde{\chi}_1^\mp \rightarrow W^+W^-NN$ ), before decaying itself through its mixing with active neutrinos. This is illustrated in figure 1. Notice that the pseudo-Goldstone sterile neutrinos are produced in pairs, at variance with the standard scenario in which the sterile neutrino is produced through its mixing with active neutrinos. This is due to the fact that all other higgsino decay modes are negligible, as we will see later, and is reminiscent of  $R$ -parity (which is only weakly violated in our model,  $R$ -parity odd couplings being suppressed by a factor of order  $\epsilon^{L-h_d}$ ).

While the sterile neutrino production rate and its decays are only mildly sensitive<sup>9</sup> to the actual values of  $M_1$ ,  $M_2$  and  $\tan\beta$ , they strongly depend on the  $\mu$  parameter, which controls the higgsino production cross section. The choice  $\mu = 500$  GeV is motivated by the negative results of the searches for neutralinos and charginos performed at the LHC. In ref. [52], the ATLAS collaboration searched for electroweak production of supersymmetric particles in scenarios with compressed spectra at  $\sqrt{s} = 13$  TeV, with an integrated luminos-

<sup>9</sup>The active-sterile neutrino mixing angles (3.21), which together with  $m_N$  control the decay rate of the sterile neutrino, are independent of these parameters. The higgsino-sterile neutrino mixing angles (3.25) and (3.26), which induce the decays  $\tilde{\chi}_{1,2}^0 \rightarrow ZN$  and  $\tilde{\chi}_1^\pm \rightarrow W^\pm N$ , depend (via  $\lambda_0$ ) on  $M_1$ ,  $M_2$  and  $\tan\beta$ , but the branching ratios remain close to 1 in a broad region of the parameter space around the reference values  $M_1 = 1$  TeV,  $M_2 = 2$  TeV and  $\tan\beta = 10$ .

$m_N$ (GeV)	$ V_{Ne} $	$ V_{N\mu} $	$ V_{N\tau} $	$ V_{\tilde{\chi}_1^0 N} $	$ V_{\tilde{\chi}_2^0 N} $	$ V_{\tilde{\chi}_{1,2}^0 \alpha} $	$f$ (TeV)
70	$1.3 \times 10^{-7}$	$6.3 \times 10^{-7}$	$5.5 \times 10^{-7}$	0.0062	0.0069	$(4.5\text{--}6.2) \times 10^{-6}$	18.9
110	$1.0 \times 10^{-7}$	$5.0 \times 10^{-7}$	$4.4 \times 10^{-7}$	0.0072	0.0095	$(4.5\text{--}6.2) \times 10^{-6}$	15.1

**Table 1.** Values of the mixing angles between the active and sterile neutrinos ( $V_{N\alpha}, \alpha = e, \mu, \tau$ ), between the lightest neutralinos and the sterile neutrino ( $V_{\tilde{\chi}_{1,2}^0 N}$ ), and between the lightest neutralinos and the active neutrinos ( $V_{\tilde{\chi}_{1,2}^0 \alpha}$ ), computed in the maximal mixing case (formulae (3.17), (3.22)–(3.23) and (3.25)–(3.26)) for  $m_N = 70$  GeV and 110 GeV, respectively. Also indicated is the value of the global symmetry breaking scale  $f$ . The supersymmetric parameters are chosen to be  $\mu = 500$  GeV,  $M_1 = 1$  TeV,  $M_2 = 2$  TeV and  $\tan\beta = 10$ . For the neutrino parameters, we take the best fit values of refs. [45, 46], assuming normal ordering with  $m_1 = 0$ .

ity  $\mathcal{L} = 36.1 \text{ fb}^{-1}$ . The constraint  $\mu \gtrsim 150$  GeV was set from searches for mostly-higgsino neutralino/chargino pair production. In ref. [53], the CMS collaboration searched for electroweak production of charginos and neutralinos in multilepton final states at  $\sqrt{s} = 13$  TeV, with an integrated luminosity  $\mathcal{L} = 35.9 \text{ fb}^{-1}$ . Recasting the analysis done by CMS for the channel  $\tilde{\chi}_1^\pm \tilde{\chi}_2^0 \rightarrow W^\pm Z \tilde{\chi}_1^0 \tilde{\chi}_1^0$  (where  $\tilde{\chi}_1^\pm$  and  $\tilde{\chi}_2^0$  are wino-like, and  $\tilde{\chi}_1^0$  is bino-like) for the process  $\tilde{\chi}_1^\pm \tilde{\chi}_2^0 \rightarrow W^\pm Z N N$  (where  $\tilde{\chi}_1^\pm$  and  $\tilde{\chi}_2^0$  are higgsino-like, and it is assumed that the displaced vertices from sterile neutrino decays are not detected), we obtain the lower bound  $\mu \gtrsim 375$  GeV. Taking into account the expected improvement of this limit with the data from LHC’s second run, we choose  $\mu = 500$  GeV as a reference value.

Having fixed  $\mu$ ,  $M_1$ ,  $M_2$  and  $\tan\beta$ , and following the assumptions made in section 3 about the neutrino sector — namely, we neglect the subleading contributions to the neutrino mass matrix ( $\delta M_\nu = 0$ ) and consider the normal mass ordering ( $m_1 = 0$ ) — we are left with only three real parameters: the sterile neutrino mass  $m_N$  and a complex number parametrizing the  $2 \times 2$  complex orthogonal matrix  $R$ . Regarding the freedom associated with  $R$ , we focus on the maximal mixing case (corresponding to  $R = \mathbf{1}$ ), for which the active-sterile mixing angles are given by eq. (3.17). For comparison, we will also refer to the minimal mixing case (corresponding to  $R_{11} = R_{22} = 0$ ,  $R_{12} = R_{21} = 1$ ), for which the active-sterile mixing angles are given by eq. (3.20). In both cases, the CP-violating phases of the PMNS matrix do not play a significant role and we set them to zero. For the sterile neutrino mass, we consider two values:  $m_N = 70$  GeV and  $m_N = 110$  GeV, corresponding to  $N$  decays via off-shell and on-shell  $W$  and  $Z$  gauge bosons, respectively. The values of the mixing angles relevant for the production and decay of the sterile neutrino, computed in the maximal mixing case, are displayed in table 1 for both choices of  $m_N$ . The value of the global symmetry breaking scale  $f = h_d \mu / \lambda_0 = 15.8 \text{ TeV} / \sqrt{m_N / 100 \text{ GeV}}$  is also indicated. We note in passing that the two example points in table 1 evade the neutrinoless double beta decay constraint<sup>10</sup>  $|V_{Ne}| \lesssim 5 \times 10^{-5} \sqrt{m_N / 1 \text{ GeV}}$ , valid for  $m_N \gtrsim 1$  GeV [9].

<sup>10</sup>This constraint follows from the non-observation of neutrinoless double beta decay by the KamLAND-Zen experiment, and assumes that the exchange of  $N$  is the dominant contribution. We have updated the upper limit on  $V_{Ne}$  from figure 3 of ref. [9], using the lower bound  $T_{1/2}^{0\nu}(^{136}\text{Xe}) \geq 1.07 \times 10^{26}$  yr from KamLAND-Zen [54].

## 4.2 Production and decay of the sterile neutrino

Since the pseudo-Goldstone sterile neutrino is produced in decays of higgsino-like states, its production rate is determined by the higgsino pair production cross sections  $\sigma_{\tilde{\chi}_1^0 \tilde{\chi}_2^0}$ ,  $\sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_1^0}$ ,  $\sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp}$  and  $\sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp}$ , and by the branching ratios for  $\tilde{\chi}_1^0 \rightarrow Z + N$ ,  $\tilde{\chi}_2^0 \rightarrow Z + N$  and  $\tilde{\chi}_1^\pm \rightarrow W^\pm + N$ . To compute the latter, we must consider all possible decays of  $\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0$  and  $\tilde{\chi}_1^\pm$ . For the higgsino-like neutralinos  $\tilde{\chi}_1^0$  and  $\tilde{\chi}_2^0$ , the following decay modes are available:  $\tilde{\chi}_{1,2}^0 \rightarrow Z + N$ ,  $\tilde{\chi}_{1,2}^0 \rightarrow Z + \nu$ ,  $\tilde{\chi}_{1,2}^0 \rightarrow W^\pm + l^\mp$ ,  $\tilde{\chi}_2^0 \rightarrow Z^* + \tilde{\chi}_1^0 \rightarrow f \bar{f} \tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0 \rightarrow W^{\pm*} + \tilde{\chi}_1^\mp \rightarrow f \bar{f}' \tilde{\chi}_1^\mp$ ,  $\tilde{\chi}_{1,2}^0 \rightarrow N + a$ ,  $\tilde{\chi}_{1,2}^0 \rightarrow \nu + a$  and  $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 + a$ , where  $f$  and  $f'$  are light fermions and  $a$  is the pseudo-Nambu-Goldstone boson associated with the spontaneous breaking of the global U(1) symmetry. For the higgsino-like chargino  $\tilde{\chi}_1^\pm$ , the possible decay modes are  $\tilde{\chi}_1^\pm \rightarrow W^\pm + N$ ,  $\tilde{\chi}_1^\pm \rightarrow W^\pm + \nu$ ,  $\tilde{\chi}_1^\pm \rightarrow Z + l^\pm$ ,  $\tilde{\chi}_1^\pm \rightarrow W^{\pm*} + \tilde{\chi}_1^0 \rightarrow f \bar{f}' \tilde{\chi}_1^0$  and  $\tilde{\chi}_1^\pm \rightarrow a + l^\pm$ . To compute the corresponding decay rates, we generalize the standard formulae for the couplings  $Z \tilde{\chi}_i^0 \tilde{\chi}_j^0$ ,  $Z \tilde{\chi}_i^\pm \tilde{\chi}_j^\mp$  and  $W^\mp \tilde{\chi}_i^\pm \tilde{\chi}_j^0$  [48] to include the mixing of the active and sterile neutrinos with the neutral higgsinos and gauginos, as well as the mixing of the charged leptons with the charged wino and higgsinos. Then, using formulae from ref. [49] suitably extended to our model, we find that the decays of the higgsino-like states  $\tilde{\chi}_{1,2}^0$  and  $\tilde{\chi}_1^\pm$  are strongly dominated by

$$\tilde{\chi}_{1,2}^0 \rightarrow Z + N, \quad \tilde{\chi}_1^\pm \rightarrow W^\pm + N, \quad (4.1)$$

with decay rates of order  $10^{-3}$  GeV for all three processes, and branching ratios very close to 1:  $1 - \text{BR}(\tilde{\chi}_2^0 \rightarrow Z + N) = \mathcal{O}(10^{-5})$ ,  $1 - \text{BR}(\tilde{\chi}_1^0 \rightarrow Z + N) = \mathcal{O}(10^{-6})$  and  $1 - \text{BR}(\tilde{\chi}_1^\pm \rightarrow W^\pm + N) = \mathcal{O}(10^{-6})$ . The reason for this is the relatively large value of the mixing between the sterile neutrino and the neutral higgsinos (represented by<sup>11</sup>  $V_{\tilde{\chi}_{1,2}^0 N}$  in table 1), while other decays are suppressed by smaller mixing angles. For instance, the decays  $\tilde{\chi}_{1,2}^0 \rightarrow Z + \nu$  are highly suppressed by the small mixing between neutral higgsinos and active neutrinos (represented by  $V_{\tilde{\chi}_{1,2}^0 \alpha}$  in table 1), with branching ratios of order  $10^{-13}$ . Similarly, the decays  $\tilde{\chi}_1^\pm \rightarrow W^\pm + \nu$ ,  $\tilde{\chi}_{1,2}^0 \rightarrow W^\pm + l^\mp$  and  $\tilde{\chi}_1^\pm \rightarrow Z + l^\pm$  are suppressed by the small charged lepton-charged higgsino and active neutrino-neutral higgsino mixings. As for the 3-body decays  $\tilde{\chi}_2^0 \rightarrow Z^* + \tilde{\chi}_1^0 \rightarrow f \bar{f} \tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0 \rightarrow W^{\pm*} + \tilde{\chi}_1^\mp \rightarrow f \bar{f}' \tilde{\chi}_1^\mp$  and  $\tilde{\chi}_1^\pm \rightarrow W^{\pm*} + \tilde{\chi}_1^0 \rightarrow f \bar{f}' \tilde{\chi}_1^0$ , they are suppressed by phase-space kinematics, with branching ratios of order  $10^{-8}$ . Finally, the decays involving the PNGB  $a$ , induced by its coupling to the down-type higgsino (see eq. (B.2) in appendix B), are suppressed by the global symmetry breaking scale  $f$ . If kinematically allowed and not phase-space suppressed,  $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 + a$  has a branching ratio of order  $10^{-6}$ , larger than other decays but still well below the dominant  $\tilde{\chi}_2^0$  decay mode,  $\tilde{\chi}_2^0 \rightarrow Z + N$ . The decay modes  $\tilde{\chi}_{1,2}^0 \rightarrow N + a$ ,  $\tilde{\chi}_{1,2}^0 \rightarrow \nu + a$  and  $\tilde{\chi}_1^\pm \rightarrow a + l^\pm$  are always kinematically allowed, but are suppressed by small mixing angles, in addition to the  $1/f$  suppression.

We can therefore neglect all decays of the higgsino-like states but the ones with a sterile neutrino in the final state,  $\tilde{\chi}_{1,2}^0 \rightarrow Z + N$  and  $\tilde{\chi}_1^\pm \rightarrow W^\pm + N$ . We checked that

<sup>11</sup>As mentioned in section 3,  $V_{\tilde{\chi}_{1,2}^0 N}$  and  $V_{\tilde{\chi}_{1,2}^0 \alpha}$ , computed in the seesaw approximation, provide good approximations for the mixing angles appearing at the  $Z \tilde{\chi}_{1,2}^0 N / W^\pm \tilde{\chi}_1^\mp N$  and  $Z \tilde{\chi}_{1,2}^0 \nu_\alpha$  vertices, respectively.

these decays are prompt and do not lead to displaced vertices. The sterile neutrinos are therefore produced in pairs, with a cross section of electroweak size (namely, the higgsino pair production cross section). They subsequently decay via on-shell or off-shell  $W$  and  $Z$  bosons, as shown in figure 1. Since these decays involve the mixing angles of the sterile neutrino with the active ones, which are of order  $10^{-7}$ – $10^{-6}$  (see table 1), they may lead to observable displaced vertices, depending on the sterile neutrino mass  $m_N$ .

To identify the range of  $m_N$  values for which this is the case, we calculated the sterile neutrino decay length in the (numerically very good) approximation where the  $WN\ell_\alpha$  and  $ZN\nu_\alpha$  couplings are expressed in terms of the mixing angles  $V_{N\alpha}$ . For the “off-shell case”  $m_N < m_W$ , where  $N$  decays via off-shell  $W$  and  $Z$  gauge bosons, we used the formulae provided in ref. [50] for the sterile neutrino partial decay widths. In the “on-shell case”  $m_N > m_Z$ , one can derive a simple formula for the sterile neutrino decay length  $L$  by neglecting the masses of the final state leptons:

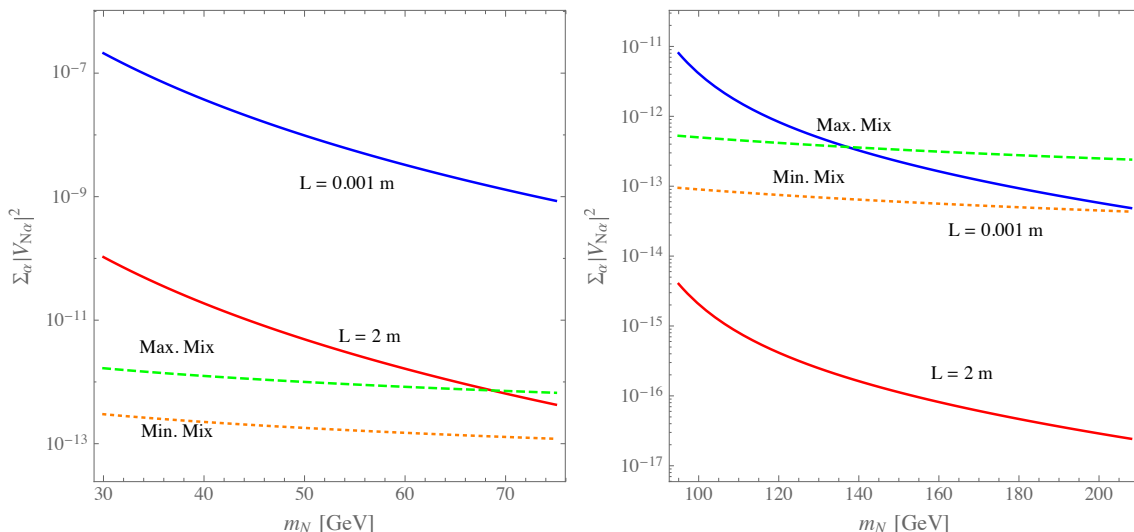
$$L \simeq \beta\gamma \frac{5.99 \times 10^{-16} \text{ m}}{\left(\frac{m_N}{100 \text{ GeV}}\right)^3 \left[ \left(1 - \frac{m_Z^2}{m_N^2}\right)^2 \left(1 + 2\frac{m_Z^2}{m_N^2}\right) + 2 \left(1 - \frac{m_W^2}{m_N^2}\right)^2 \left(1 + 2\frac{m_W^2}{m_N^2}\right) \right] \sum_\alpha |V_{N\alpha}|^2}. \quad (4.2)$$

Figure 2 shows the region of the  $(m_N, \sum_\alpha |V_{N\alpha}|^2)$  parameter space where  $1 \text{ mm} \leq L \leq 2 \text{ m}$ , in the off-shell (left panel) and on-shell (right panel) cases. Also shown is the prediction of the model for  $\sum_\alpha |V_{N\alpha}|^2$  as a function of  $m_N$ , in the minimal mixing and maximal mixing cases. In these plots, the  $\beta\gamma$  of the sterile neutrino is approximated by the  $(\beta\gamma)_{\text{eff}}$  introduced in section 2. The value of  $(\beta\gamma)_{\text{eff}}$  was estimated by simulating the pair production of 500 GeV higgsino-like neutralinos at the 14 TeV LHC with `MadGraph_aMC@NLO 2.6` [51], taking the peak value of their  $\beta\gamma$  distribution and using it to compute the  $\beta\gamma$  of the sterile neutrino, assuming it is emitted in the same direction as the parent neutralino. We checked that the value of  $(\beta\gamma)_{\text{eff}}$  does not change much when one considers slightly larger values of  $\mu$ .

The area delineated by the blue and red solid lines in figure 2 gives an idea of the range of sterile neutrino masses and mixing angles that can lead to observable displaced vertices at the 14 TeV LHC (for definiteness, and without entering the characteristics of the ATLAS and CMS detectors, we take 1 mm as the minimal displacement detectable by the tracking system, and 2 m as the distance above which the sensitivity to displaced vertices drops). Comparing these curves with the predictions of the model in two benchmark cases, minimal mixing and maximal mixing, one can see that a pseudo-Goldstone sterile neutrino with a mass of order 100 GeV (from 60 GeV or less in the maximal mixing case to about 200 GeV for minimal mixing) is accessible to displaced vertex searches at the LHC. For illustration, we give in table 2, for two representative values of the sterile neutrino mass and in the maximal mixing case, the fraction of decays occurring between 1 mm and 2 m from the collision point, as well as the percentages of final states  $l + 2 \text{ jets}$  ( $l = e, \mu, \tau$ ) and  $\nu + 2 \text{ jets}$ .

It is interesting to note that the values of the mixing angles that can be probed in our model are much smaller than in the standard scenario, where the heavy sterile neutrino only mixes with the active neutrinos (see the discussion at the beginning of section 2). This is due to the fact that, in the pseudo-Goldstone sterile neutrino scenario, the production cross section is of electroweak size, while it is suppressed by the  $|V_{N\alpha}|^2$  in the standard





**Figure 2.** Sterile neutrino decay length  $L$  as a function of its mass ( $m_N$ ) and of the active-sterile neutrino mixing ( $\sum_{\alpha} |V_{N\alpha}|^2$ ) at the LHC with  $\sqrt{s} = 14$  TeV, assuming the same model parameters as in table 1. The blue and red solid lines correspond to  $L = 1$  mm and  $L = 2$  m, respectively. The green long-dashed line (resp. the orange short-dashed line) is the prediction of the model for  $\sum_{\alpha} |V_{N\alpha}|^2$  in the maximal mixing case (resp. in the minimal mixing case). The predicted decay length falls in the range [1 mm, 2 m] when the relevant dashed line lies between the blue and red solid lines.

case, thus limiting the sensitivity to these parameters. As a result, the region of the parameter space that can be probed via displaced vertex searches at the LHC shifts from roughly  $m_N \leq 40$  GeV,  $\sum_{\alpha} |V_{N\alpha}|^2 \geq \text{few } 10^{-9}$  in the standard scenario [26] to  $m_N \approx (\text{few } 10 \text{ GeV} - 200 \text{ GeV})$ ,  $\sum_{\alpha} |V_{N\alpha}|^2 \approx (\text{few } 10^{-14} - 10^{-12})$  in our model. This rather narrow range of values for the  $V_{N\alpha}$  is a peculiarity of the model, which predicts some correlation between the mixing angles and the sterile neutrino mass. Other models belonging to the same class (i.e., in which the active-sterile mixing does not enter the production of the sterile neutrino, but is responsible for its decays) may cover a larger part of the parameter space consistent with observable displaced vertices at the LHC (area between the blue and red solid lines in figure 2).

### 4.3 Reconstruction of the active-sterile neutrino mixing angles

Let us now study more quantitatively the signals arising from the production and decay of the pseudo-Goldstone sterile neutrino, and outline a strategy for measuring the active-sterile mixing angles  $V_{N\alpha}$ . As discussed before, the sterile neutrino is produced in the decays of higgsino-like states, together with a  $W$  or  $Z$  boson, with a branching ratio close to 100%. Given the choice  $\mu = 500$  GeV and the values of  $m_N$  considered, the decay products of these gauge bosons are boosted, providing triggers in the form of high  $p_T$  leptons for the signal we want to analyse, namely the displaced vertices from the decays of the mostly sterile states  $N$ . Provided that the decay products of  $N$  can be reconstructed, one can determine its total decay width as well as its partial decay widths to different final states, from which the active-sterile mixing angles  $V_{N\alpha}$  can be extracted.

	$m_N = 70 \text{ GeV}$	$m_N = 110 \text{ GeV}$
$(\beta\gamma)_{\text{eff}}$	6.4	4
Decay length	1.78 m	3.49 mm
Fraction of N decays in [1 mm, 2 m]	67.4 %	75.1 %
$e + 2 \text{ jets}$	0.71 %	0.90 %
$\mu + 2 \text{ jets}$	17.5 %	22.0 %
$\tau + 2 \text{ jets}$	13.5 %	17.0 %
$\nu + 2 \text{ jets}$	13.0 %	10.8 %

**Table 2.** Percentage of sterile neutrinos decaying to the final states  $l + 2 \text{ jets}$  ( $l = e, \mu, \tau$ ) and  $\nu + 2 \text{ jets}$  between 1 mm and 2 m from the production point, for  $m_N = 70 \text{ GeV}$  and  $110 \text{ GeV}$  and in the maximal mixing case. The other model parameters are chosen as in table 1. Also shown are the value of the  $(\beta\gamma)_{\text{eff}}$  parameter, estimated as explained in the text, the sterile neutrino decay length and the total fraction of decays occurring between 1 mm and 2 m.

The sterile neutrino pair production rate is determined by the production cross sections for the pairs of higgsino-like states  $\tilde{\chi}_1^0 \tilde{\chi}_2^0$ ,  $\tilde{\chi}_1^\pm \tilde{\chi}_1^0$ ,  $\tilde{\chi}_1^\pm \tilde{\chi}_2^0$  and  $\tilde{\chi}_1^+ \tilde{\chi}_1^-$ . These cross sections have been computed for the 13 TeV LHC at NLO-NLL with MSTW2008nlo90cl PDFs [55, 56], and the channel  $pp \rightarrow \tilde{\chi}_1^\pm \tilde{\chi}_2^0$  has also been calculated at  $\sqrt{s} = 14 \text{ TeV}$ . Using the ratio of the  $\tilde{\chi}_1^\pm \tilde{\chi}_2^0$  production cross sections at  $\sqrt{s} = 13 \text{ TeV}$  and  $\sqrt{s} = 14 \text{ TeV}$  to rescale the other channels from  $\sqrt{s} = 13 \text{ TeV}$  to  $\sqrt{s} = 14 \text{ TeV}$ , we obtain  $\sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_1^0} \simeq \sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_2^0} \simeq (13.1 \pm 0.8) \text{ fb}$ ,  $\sigma_{\tilde{\chi}_1^+ \tilde{\chi}_1^-} \simeq (7.6 \pm 0.4) \text{ fb}$ , and  $\sigma_{\tilde{\chi}_1^0 \tilde{\chi}_2^0} \simeq (7.0 \pm 0.3) \text{ fb}$  for  $m_{\tilde{\chi}_1^0} \simeq m_{\tilde{\chi}_2^0} \simeq m_{\tilde{\chi}_1^\pm} \simeq 500 \text{ GeV}$ , where the errors take into account the scale and parton distribution function uncertainties. Since  $\text{BR}(\tilde{\chi}_1^\pm \rightarrow W^\pm + N) = \text{BR}(\tilde{\chi}_{1,2}^0 \rightarrow Z + N) = 1$  to an excellent approximation,  $\sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_{1,2}^0} \equiv \sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_1^0} + \sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_2^0}$ ,  $\sigma_{\tilde{\chi}_1^+ \tilde{\chi}_1^-}$  and  $\sigma_{\tilde{\chi}_1^0 \tilde{\chi}_2^0}$  can be identified with the production cross sections for  $W^\pm Z N N$ ,  $W^+ W^- N N$  and  $Z Z N N$ , respectively. Even though it is possible to distinguish experimentally between the different production channels,<sup>12</sup> we shall only consider the total sterile neutrino production cross section  $\sigma_0 \equiv \sigma_{\tilde{\chi}_1^\pm \tilde{\chi}_{1,2}^0} + \sigma_{\tilde{\chi}_1^+ \tilde{\chi}_1^-} + \sigma_{\tilde{\chi}_1^0 \tilde{\chi}_2^0}$  in the following, using  $\sigma_0 = 40.8 \text{ fb}$  at  $\sqrt{s} = 14 \text{ TeV}$ .

Once produced, the sterile neutrinos decay via on-shell or off-shell W and Z bosons. Decays that proceed via a W boson produce a charged lepton, whose flavour  $\alpha$  can in principle be identified. The corresponding branching ratio is therefore proportional to  $|V_{N\alpha}|^2$ . Instead, in decays mediated by a Z boson, the three neutrino flavours are indistinguishable and the branching ratios are proportional to  $\sum_\alpha |V_{N\alpha}|^2$ . To sketch the procedure for determining the active-sterile mixing angles from experimental data, let us have a look at eq. (2.7), which provides a simplified expression (in the approximation where all sterile neutrinos have the same  $\beta\gamma$ ) for the number of events  $N_i$  corresponding to a given

<sup>12</sup>One can distinguish between the different production channels by focusing on the leptonic decays of the W and Z bosons:  $ZZ \rightarrow l^+ l^- l'^+ l'^-$ ,  $ZW^\pm \rightarrow l^+ l^- l'^\pm \nu$  and  $W^+ W^- \rightarrow l^+ \nu l'^- \bar{\nu}$ .

final state  $i$ . In this formula, the sterile neutrino decay width  $\Gamma$  (which, as explained in section 2, can be extracted from the shape of the distribution of displaced vertices) and  $(\beta\gamma)_{\text{eff}}$  (or, in a more proper treatment, the  $\beta\gamma$  distribution of the sterile neutrinos, which can be reconstructed experimentally) are assumed to be known. The measurement of  $N_i$  thus provides us with  $N_0\Gamma_i$ , where  $N_0$  is the number of sterile neutrino produced and  $\Gamma_i$  their partial decay width into the final state  $i$ . Using the theoretical expression for  $\Gamma_i$ , we straightforwardly convert  $N_0\Gamma_i$  into  $N_0|V_{N\alpha}|^2$  (where  $\alpha$  is the relevant lepton flavour) if the decay proceeds via a  $W$  boson, or into  $N_0\sum_{\alpha}|V_{N\alpha}|^2$  if it is mediated by a  $Z$  boson. By considering different final states, we can in principle determine all active-sterile mixing angles  $V_{N\alpha}$  and break the degeneracy with  $N_0$ .

In practice, and taking into account the fact that the sterile neutrinos are produced in pairs, we will focus on final states with two displaced vertices involving either two charged leptons, jets and no missing transverse energy (MET), or one charged lepton, jets and MET. The first category of events ( $l + l' + \text{jets}$ ) can be unambiguously assigned to both  $N$ 's decaying via a  $W$  boson into a charged lepton and two jets, whereas the second category ( $l + \nu + \text{jets}$ ) can be unambiguously assigned to one  $N$  decaying as  $N \rightarrow l^\pm W^\mp(*) \rightarrow l^\pm + 2\text{jets}$  and the other one as  $N \rightarrow \nu Z^{(*)} \rightarrow \nu + 2\text{jets}$ . We assume that the charged leptons and jets can be properly assigned to one of the two displaced vertices. This can be accomplished for example by demanding that the two charged leptons in the first category of events have different flavours.

Restricting to the case where the charged leptons are electrons or muons (which are easily identified at the LHC), we are left with five different final states, with corresponding number of events  $N_{ll'}$  and  $N_{l\nu}$  ( $l, l' = e, \mu$ ):

$$N_{ee} \propto N_0|V_{Ne}|^4, \tag{4.3}$$

$$N_{\mu\mu} \propto N_0|V_{N\mu}|^4, \tag{4.4}$$

$$N_{e\mu} \propto N_0|V_{Ne}|^2|V_{N\mu}|^2, \tag{4.5}$$

$$N_{e\nu} \propto N_0|V_{Ne}|^2(|V_{Ne}|^2 + |V_{N\mu}|^2 + |V_{N\tau}|^2), \tag{4.6}$$

$$N_{\mu\nu} \propto N_0|V_{N\mu}|^2(|V_{Ne}|^2 + |V_{N\mu}|^2 + |V_{N\tau}|^2), \tag{4.7}$$

where  $N_0 = \mathcal{L}\sigma_0$ , with  $\sigma_0$  the sterile neutrino pair production cross section and  $\mathcal{L}$  the integrated luminosity of interest. Since the proportionality factors in eqs. (4.3)–(4.7) are known (they depend on  $\Gamma$ ,  $m_N$ ,  $\beta\gamma$ , all of which can be determined from the experimental data, and on the masses of the final state particles), we can solve these equations for  $N_0^{1/2}|V_{Ne}|^2$ ,  $N_0^{1/2}|V_{N\mu}|^2$  and  $N_0^{1/2}|V_{N\tau}|^2$  in terms of three suitably chosen numbers of events, for instance  $N_{\mu\mu}$ ,  $N_{e\mu}$  and  $N_{\mu\nu}$ . Using this experimental input alongside the theoretical expressions for the partial decay widths given in ref. [50] for the off-shell case, and in ref. [49] for the on-shell case (with the modifications of the couplings needed to adapt the formulae to our model), we obtain  $N_0^{1/2}\Gamma$ . Since  $\Gamma$  can be reconstructed from the distribution of displaced vertices, we are finally able to break the degeneracy between  $N_0$  and the active-sterile neutrino mixing angles  $|V_{N\alpha}|$ , and solve for the production cross section  $\sigma_0 = N_0/\mathcal{L}$ .

We present in table 3 the predictions of our model for the cross sections corresponding to the final states considered above, assuming the same parameters as before. These cross

Process	$m_N = 70$ GeV	$m_N = 110$ GeV
$\sigma_{ee}$	0.002 fb	0.003 fb
$\sigma_{e\mu}$	0.10 fb	0.16 fb
$\sigma_{\mu\mu}$	1.25 fb	1.98 fb
$\sigma_{e\nu}$	0.076 fb	0.079 fb
$\sigma_{\mu\nu}$	1.86 fb	1.94 fb

**Table 3.** Cross sections corresponding to the final states  $l+l'+\text{jets}$  and  $l+\nu+\text{jets}$  ( $l, l' = e, \mu$ ) at the 14 TeV LHC (using  $\sigma_0 = 40.8$  fb and omitting uncertainties), for  $m_N = 70$  GeV and  $m_N = 110$  GeV and in the maximal mixing case. The other model parameters are the same as in table 1.

sections are obtained by multiplying the sterile neutrino pair production cross section by the branching ratios for the relevant decay channels, weighted by the fraction of decays occurring between 1 mm and 2 m (see table 2). The expected number of events  $N_{ee}$ ,  $N_{e\mu}$ ,  $N_{\mu\mu}$ ,  $N_{e\nu}$  and  $N_{\mu\nu}$  (before cuts and efficiencies) can be obtained by multiplying these cross sections by the relevant integrated luminosity. Apart from  $\sigma_{ee}$ , these cross sections are large enough to be able to be probed during the run 3 of the LHC. With an expected integrated luminosity of  $3 \text{ ab}^{-1}$ , the HL-LHC would be able to probe a larger portion of the model parameter space, corresponding to a broader range of sterile neutrino masses.

To conclude this section, let us recall that the above results were obtained assuming that the orthogonal matrix  $R$  in eq. (3.21) is real. Relaxing this assumption would allow for larger values of the active-sterile neutrino mixing angles  $V_{N\alpha}$  and would therefore enlarge the region of the  $(m_N, V_{N\alpha})$  parameter space that can be probed by displaced vertex searches. It is interesting to note, however, that the  $V_{N\alpha}$  predicted in the real case correspond to typical, “natural” values for these parameters. To see this, let us rewrite the active neutrino mass matrix as

$$(M_\nu)_{\alpha\beta} \simeq -m_N V_{N\alpha} V_{N\beta} - m_{\text{eff}} V_{\tilde{\chi}_1^0 \alpha} V_{\tilde{\chi}_1^0 \beta}, \quad (4.8)$$

where  $V_{\tilde{\chi}_1^0 \alpha}$  is the mixing between the lightest neutralino and the active neutrino of flavour  $\alpha$ , and  $m_{\text{eff}} \equiv 2(c_W^2 M_1 + s_W^2 M_2) m_Z^2 \cos^2 \beta / (M_1 M_2) \simeq 0.1 \text{ GeV} (2 \text{ TeV} / M_2) (10 / \tan \beta)^2$  (for  $M_2 = 2M_1$ ). In the absence of cancellations between the two terms in eq. (4.8), neutrino data requires

$$|V_{N\alpha}| \lesssim (1 - 5) \times 10^{-7} \sqrt{\frac{100 \text{ GeV}}{m_N}}, \quad |V_{\tilde{\chi}_1^0 \alpha}| \lesssim (3 - 20) \times 10^{-6} \sqrt{\frac{100 \text{ GeV}}{m_N}}, \quad (4.9)$$

where at least one of the two inequalities should be saturated. These numbers are in agreement with the ones displayed in table 1 (which corresponds to the maximal mixing case, i.e.  $R = \mathbf{1}$ ). Much larger values of the active-sterile neutrino mixing angles, which can be obtained in the case of a complex  $R$  matrix, would imply that the observed neutrino mass scale arises from a cancellation between two unrelated contributions.

## 5 Conclusions

Low-scale models of neutrino mass generation often feature sterile neutrinos with masses in the GeV-TeV range, which can be produced at colliders through their mixing with the Standard Model neutrinos. In this paper, we have considered an alternative scenario in which the sterile neutrino is produced in the decay of a heavier particle, such that its production rate can be sizable even if the active-sterile neutrino mixing angles are small. As we have shown, these mixing angles can be determined from the decays of the sterile neutrino, provided that they lead to observable displaced vertices and that different categories of final states can be reconstructed experimentally. Since the sterile neutrino production cross section is not suppressed by the active-sterile mixing, displaced vertex searches can probe very small values of the  $V_{N\alpha}$  — as small as the ones predicted by the naïve seesaw formula  $V_{N\alpha} \sim \sqrt{m_\nu/m_N}$ , or even smaller.

We presented an explicit realization of this scenario in which the sterile neutrino is the supersymmetric partner of the pseudo-Nambu-Goldstone boson of a spontaneously broken global U(1) symmetry. The pseudo-Goldstone sterile neutrino gets its mass from supersymmetry breaking and mixes with the active neutrinos and the neutralinos as a consequence of the global symmetry. Assuming relatively heavy gauginos, the sterile neutrino is produced in the decays of higgsino-like states and decays subsequently via its mixing with active neutrinos. Once the Standard Model neutrino parameters are fixed to their measured values, the active-sterile neutrino mixing angles are predicted in terms of the sterile neutrino mass and of a complex orthogonal matrix  $R$ . Assuming that this matrix is real, we have shown that a sterile neutrino with a mass between a few 10 GeV and 200 GeV can be observed at the LHC, and outlined a strategy for reconstructing experimentally the active-sterile neutrino mixing angles (which in this mass interval range from  $10^{-7}$  to  $10^{-6}$ ). Relaxing the assumption that  $R$  is real would have the effect of allowing for larger mixing angles, and would therefore enlarge the region of the  $(m_N, V_{N\alpha})$  parameter space that can be probed by displaced vertex searches.

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## A Supersymmetry breaking and $R$ -parity violation

In this appendix, we derive the expressions (3.7) for the superpotential parameters  $\mu_0$ ,  $\mu_i$ ,  $\lambda_0$  and  $\lambda_i$ , and show that the ones that violate  $R$ -parity are suppressed by  $\xi \sim \epsilon^{l-h_d}$ , taking into account the interplay between  $R$ -parity violation and supersymmetry breaking. In the absence of  $R$ -parity conservation, the scalar potential contains  $R$ -parity violating soft terms which induce small vevs for the sneutrinos,  $v_i \equiv \langle \tilde{\nu}_i \rangle \ll v_0 \equiv \langle h_d^0 \rangle$  (as we will see later, the hierarchy  $v_i \ll v_0$  follows from the  $U(1)$  symmetry). It is convenient to redefine the superfields  $H_d$  and  $L_i$  in such a way that the vev is carried solely by the scalar component of  $H_d$ , and the  $L_i$ 's can be identified with the physical lepton doublet superfields. This can be done by the following  $SU(4)$  rotation on the 4-vector  $(H_d, L_i)$ :

$$H_d \rightarrow \frac{v_0}{v_d} H_d - \sum_i \frac{v_i^*}{v_d} L_i + \dots, \quad L_i \rightarrow \frac{v_0^*}{v_d} L_i + \frac{v_i}{v_d} H_d + \dots, \quad (\text{A.1})$$

where  $v_d \equiv \sqrt{|v_0|^2 + \sum_i |v_i|^2}$ , and the dots stand for corrections of order  $(v_i/v_d)^2$ . Finally, we diagonalize the charged lepton Yukawa couplings by means of the unitary transformations  $L_i \rightarrow \sum_j R_{ji}^e L_j$  and  $\bar{e}_i \rightarrow \sum_j R_{ji}^{\bar{e}} \bar{e}_j$ , and similarly for the down-type quarks:  $Q_i \rightarrow \sum_j R_{ji}^d Q_j$ ,  $\bar{d}_i \rightarrow \sum_j R_{ji}^{\bar{d}} \bar{d}_j$ . After these field redefinitions, the superpotential keeps the same form as in eq. (3.2), but with diagonal Yukawa couplings and modified parameters (at leading order in  $v_i/v_d$ ):

$$\mu_0 = \hat{\vec{\mu}} \cdot \frac{\vec{v}}{v_d}, \quad \mu_i = \sum_j R_{ij}^e \left( \frac{v_0^*}{v_d} \hat{\mu}_j - \frac{v_j^*}{v_d} \hat{\mu}_0 \right), \quad (\text{A.2})$$

$$\lambda_0 = h_d \frac{\mu_0}{f} + (l - h_d) \sum_i \frac{v_i}{v_d} \frac{\hat{\mu}_i}{f} \simeq h_d \frac{\mu_0}{f}, \quad \lambda_i = l \frac{\mu_i}{f} + (l - h_d) \sum_j R_{ij}^e \frac{v_j^*}{v_d} \frac{\hat{\mu}_0}{f}, \quad (\text{A.3})$$

where  $\mu \equiv \sqrt{|\hat{\mu}_0|^2 + \sum_i |\hat{\mu}_i|^2} = \sqrt{|\mu_0|^2 + \sum_i |\mu_i|^2}$ . Similarly, the Yukawa couplings and the trilinear  $R$ -parity violating couplings  $\lambda_{ijk}$ ,  $\lambda'_{ijk}$  can be written in terms of the original superpotential parameters of eq. (3.2), but the expressions are not illuminating and we do not write them. Due to the hierarchy among the original parameters (namely,  $\hat{\lambda}_{ijk} \ll \hat{\lambda}_{jk}^e$  and  $\hat{\lambda}'_{ijk} \ll \hat{\lambda}_{jk}^d$ ) and between the vevs ( $v_i \ll v_0$ ),  $\lambda_{ijk}$  and  $\lambda'_{ijk}$  are suppressed relative to the charged lepton and down quark Yukawa couplings, and the experimental constraints on  $R$ -parity violation are easily satisfied.

Following refs. [43, 44], we introduce the angle  $\theta$  measuring the misalignment<sup>13</sup> between the 4-vectors  $\hat{\vec{\mu}} = (\hat{\mu}_0, \hat{\mu}_1, \hat{\mu}_2, \hat{\mu}_3)$  and  $\vec{v} = (v_0, v_1, v_2, v_3)$ :

$$\cos \theta \equiv \left| \frac{\hat{\vec{\mu}} \cdot \vec{v}}{\mu v_d} \right|. \quad (\text{A.4})$$

<sup>13</sup>With the definition (A.4),  $\theta$  vanishes when  $\vec{v} = z \hat{\vec{\mu}}^*$  ( $z \in \mathbb{C}^*$ ), which is referred to as alignment condition. The more  $\hat{\vec{\mu}}$  and  $\vec{v}$  depart from this condition, the larger the misalignment angle  $\theta$  (or the parameter  $\xi$  defined below). Conversely,  $\cos \theta \simeq 1$  (or equivalently  $\xi \ll 1$ ) when  $\hat{\vec{\mu}}$  and  $\vec{v}$  are approximately aligned.

Due to  $v_i \ll v_0$  and, from eq. (3.4),  $\hat{\mu}_i \ll \hat{\mu}_0$ , we have  $\cos \theta \simeq 1$  and

$$1 - \cos^2 \theta \simeq \sum_i |\xi_i|^2, \quad \xi_i \equiv \frac{\hat{\mu}_i v_0^* - \hat{\mu}_0 v_i^*}{\mu v_d} \ll 1. \quad (\text{A.5})$$

Comparing eq. (A.2) with eq. (A.5), one can see that  $|\mu_0| = \mu \cos \theta \simeq \mu$  and  $\mu_i = \mu \sum_j R_{ij}^e \xi_j$ : the size of the bilinear  $R$ -parity violating parameters  $\mu_i$  is controlled by the small misalignment variables  $\xi_i$ . To make the relative size of the superpotential parameters (A.2) and (A.3) more transparent, we quantify the overall amount of bilinear  $R$ -parity violation by  $\xi \equiv \sqrt{\sum_i |\xi_i|^2}$  (defined such that  $\sum_i |\mu_i|^2 = \mu^2 \xi^2$ ) and write

$$|\mu_0| = \mu \sqrt{1 - \xi^2} \simeq \mu, \quad \mu_i = c_i \mu \xi, \quad \lambda_0 \simeq h_d \frac{\mu}{f}, \quad \lambda_i = d_i \frac{\mu}{f} \xi, \quad (\text{A.6})$$

where the coefficients  $c_i \equiv \sum_j R_{ij}^e \xi_j / \xi$  and  $d_i \equiv l c_i + (l - h_d) \sum_j R_{ij}^e \frac{v_j^*}{v_d} \frac{\hat{\mu}_0}{\mu \xi}$  satisfy  $\sum_i |c_i|^2 = 1$  and  $|d_i| \sim 1$ , respectively. Eq. (A.6) implies the order-of-magnitude relations  $\lambda_i / \lambda_0 \sim \mu_i / \mu_0$  and, since  $\xi \ll 1$  and  $\mu \ll f$ , the hierarchies  $\mu_i \ll \mu_0$  and  $\lambda_i \ll \lambda_0 \ll 1$ .

Let us now determine the VEVs ( $v_0, v_i$ ) and the misalignment parameters  $\xi_i$ . To do this, we must minimize the full Higgs and slepton scalar potential, including the soft terms that violate  $R$ -parity. The most general bilinear soft terms for the Higgs and slepton doublets  $h_u, h_d$  and  $\tilde{L}_i$  are given by (prior to the field redefinition (A.1)):

$$V_{\text{soft}} \ni m_{h_u}^2 h_u^\dagger h_u + \hat{m}_{00}^2 h_d^\dagger h_d + \hat{m}_{ij}^2 \tilde{L}_i^\dagger \tilde{L}_j + \left( \hat{m}_{0i}^2 h_d^\dagger \tilde{L}_i + \hat{B}_0 h_u h_d + \hat{B}_i h_u \tilde{L}_i + \text{h.c.} \right). \quad (\text{A.7})$$

In order to comply with the strong experimental limits on lepton flavour violating processes like  $\mu \rightarrow e \gamma$  or  $\tau \rightarrow 3 \mu$ , we require supersymmetry breaking to generate close to flavour-blind slepton soft masses, i.e.  $\hat{m}_{ij}^2 = \tilde{m}_L^2 \delta_{ij} + \delta \hat{m}_{ij}^2$ , with  $\delta \hat{m}_{ij}^2 \ll \tilde{m}_L^2$ . This can be achieved e.g. by gauge-mediated supersymmetry breaking, with the small non-universal terms  $\delta \hat{m}_{ij}^2$  arising from other sources of supersymmetry breaking and from renormalization group running (which may split significantly the diagonal entries of  $\hat{m}_{ij}^2$ ). The last three terms in eq. (A.7) are not invariant under the  $U(1)$  symmetry and must arise from the decoupling of the heavy fields of mass  $M$ , which in addition to the non-renormalizable superpotential operators (3.1) induce terms of the form  $h_d^\dagger \tilde{L}_i (\Phi/M)^{l-h_d}$ ,  $h_u h_d (\Phi/M)^{h_d}$  and  $h_u \tilde{L}_i (\Phi/M)^l$  in  $V_{\text{soft}}$ . This results in a suppression of the soft parameters  $\hat{m}_{0i}^2$ ,  $\hat{B}_0$  and  $\hat{B}_i$  by  $\epsilon^{l-h_d}$ ,  $\epsilon^{h_d}$  and  $\epsilon^l$ , respectively. We thus have

$$\hat{m}_{ij}^2 = \tilde{m}_L^2 \delta_{ij} + \delta \hat{m}_{ij}^2, \quad \hat{m}_{0i}^2 \sim \tilde{m}_L^2 \epsilon^{l-h_d}, \quad \hat{B}_i \sim \hat{B}_0 \epsilon^{l-h_d}. \quad (\text{A.8})$$

These relations, together with  $\hat{\mu}_i \sim \hat{\mu}_0 \epsilon^{l-h_d}$  (see eq. (3.4)), are enough to ensure  $v_i \ll v_0$ . Minimizing the scalar potential, one obtains, at leading order in the small parameters  $\hat{\mu}_i$ ,  $\hat{B}_i$ ,  $\hat{m}_{0i}^2$  and  $\delta \hat{m}_{ij}^2$  ( $i \neq j$ ):

$$\frac{v_i}{v_0} = \frac{\hat{m}_0^2}{\hat{m}_i^2} \left( \frac{\hat{B}_i}{\hat{B}_0} - \frac{\hat{m}_{0i}^2 + \hat{\mu}_i \hat{\mu}_0^*}{\hat{m}_0^2} \right)^*, \quad (\text{A.9})$$

$$\xi^2 = \sum_i \left| \frac{\hat{m}_0^2}{\hat{m}_i^2} \left( \frac{\hat{B}_i}{\hat{B}_0} - \frac{\hat{m}_{0i}^2 + \hat{\mu}_i \hat{\mu}_0^*}{\hat{m}_0^2} \right) - \frac{\hat{\mu}_i}{\hat{\mu}_0} \right|^2, \quad (\text{A.10})$$

where  $\hat{m}_0^2 \equiv \hat{m}_{00}^2 + |\hat{\mu}_0|^2 + \frac{g^2+g'^2}{4}(v_d^2 - v_u^2)$  and  $\hat{m}_i^2 \equiv \hat{m}_{ii}^2 + \frac{g^2+g'^2}{4}(v_d^2 - v_u^2)$ . Using eq. (A.8) and  $\hat{\mu}_i \sim \hat{\mu}_0 \epsilon^{l-h_d}$ , one finally arrives at the order-of-magnitude estimates

$$\frac{v_i}{v_0} \sim \epsilon^{l-h_d}, \quad \xi \sim \epsilon^{l-h_d}. \tag{A.11}$$

The hierarchy of vevs  $v_i \ll v_0$  and the alignment condition  $\xi \ll 1$  are therefore a direct consequence of the U(1) symmetry. Finally, eqs. (A.6) and (A.11) imply

$$\mu_i \sim \mu_0 \epsilon^{l-h_d}, \quad \lambda_i \sim \lambda_0 \epsilon^{l-h_d}, \tag{A.12}$$

while the upper bounds  $\lambda_{ijk} \lesssim \epsilon^l$ ,  $\lambda'_{ijk} \lesssim \epsilon^l$  can be inferred from eqs. (3.3) and (A.1).

## B Constraints on the pseudo-Nambu-Goldstone boson

In this appendix, we discuss the experimental constraints on the mass and couplings of the pseudo-Nambu-Goldstone boson (PNGB)  $a$  associated with the spontaneous breaking of the global U(1) symmetry. While its supersymmetric partners, the CP-even scalar  $s$  and the pseudo-Goldstone fermion  $\chi$ , get their masses mainly from supersymmetry breaking, the mass of the PNGB  $a$  is solely due to the sources of explicit breaking of the global U(1) symmetry, assumed to be small. Hence,  $a$  is the only light scalar in the model and various constraints from particle physics experiments and astrophysical observations apply to it.

The couplings of the PNGB to photons and to electrons are the most severely constrained. The first one is induced by the anomaly of the global U(1) symmetry and is given by<sup>14</sup>

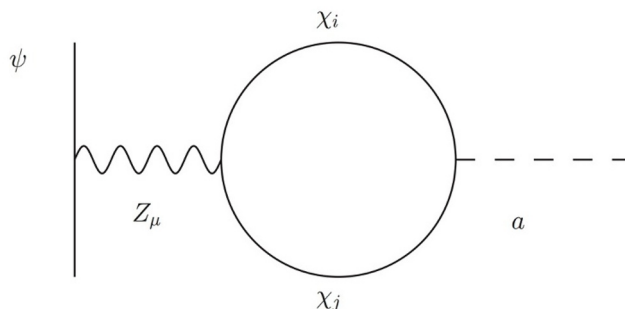
$$\mathcal{L}_{a\gamma\gamma} = -\frac{G_{a\gamma\gamma}}{4} a F^{\mu\nu} \tilde{F}_{\mu\nu}, \quad G_{a\gamma\gamma} = -\frac{e^2 h_d}{8\pi^2 f}, \tag{B.1}$$

where  $F_{\mu\nu}$  is the electromagnetic field strength, and only the down-type higgsino contributes to the anomaly, since the charged leptons have vector-like U(1) charges. Using  $h_d = 1$  and  $f = 21.3 \text{ TeV}$ , we obtain  $|G_{a\gamma\gamma}| = 5.45 \times 10^{-8} \text{ GeV}^{-1}$  and  $\tau_{a\gamma\gamma} \equiv 1/\Gamma(a \rightarrow \gamma\gamma) = 64\pi/(|G_{a\gamma\gamma}|^2 m_a^3) = 4.45 \times 10^{-8} \text{ s} (1 \text{ GeV}/m_a)^3$ . For  $m_a > \text{few } 10 \text{ MeV}$ , we end up in the region of the  $(m_a, \tau_{a\gamma\gamma})$  parameter space where the PNGB decays before neutrino decoupling [57, 58], so that it does not affect cosmological observables (mainly the cosmic microwave background and the primordial light element abundances from Big Bang nucleosynthesis). Requiring  $m_a \geq 400 \text{ MeV}$ , we also evade astrophysical bounds (the most stringent one being from Supernovae 1987A) and constraints from beam dump experiments, as can be seen from figure 4 of ref. [59] (in which  $|C_{\gamma\gamma}/\Lambda| = |G_{a\gamma\gamma}|/(16\pi\alpha) \simeq 2.7|G_{a\gamma\gamma}|$ ). The model also predicts couplings of the form  $a\gamma Z$ ,  $aZZ$  and  $aWW$ , with coefficients of similar magnitude to  $G_{a\gamma\gamma}$ , but they are much less constrained [59].

Due to its Goldstone nature, the interactions of the PNGB  $a$  with matter fields are of the form  $(\partial_\mu a/f)J^\mu$ , where  $J^\mu$  is the U(1) current. Its tree-level couplings to the fermions

<sup>14</sup>The scale  $f$  that appears in eqs. (B.1) to (B.5) differs by a factor  $\sqrt{2}$  from the one introduced in section 3. Namely, we use in this appendix the normalization  $\langle \Phi \rangle \equiv f/\sqrt{2}$ , such that the PNGB transforms as  $a \rightarrow a + \alpha f$  under the global U(1) symmetry. As a consequence, the value of  $f$  corresponding to the example point with  $m_\chi = 110 \text{ GeV}$  in table 1 is therefore  $f = \sqrt{2}(15.1 \text{ TeV}) = 21.3 \text{ TeV}$ .





**Figure 3.** 1-loop coupling of the PNGB  $a$  to a pair of fermions (Z-mediated diagram).

are thus (using 2-component spinor notations for the chiral fermions  $\nu_\alpha, \tilde{h}_d^0, \tilde{h}_d^-, \chi$ )

$$\mathcal{L}_{aff}^{\text{tree}} = \frac{\partial_\mu a}{f} \left\{ l (\bar{e}_\alpha \gamma^\mu e_\alpha + \bar{\nu}_\alpha \bar{\sigma}^\mu \nu_\alpha) + h_d (\tilde{h}_d^0 \bar{\sigma}^\mu \tilde{h}_d^0 + \tilde{h}_d^- \bar{\sigma}^\mu \tilde{h}_d^-) - \bar{\chi} \bar{\sigma}^\mu \chi \right\}. \quad (\text{B.2})$$

The last term in eq. (B.2) comes from the kinetic term  $\int d^4\theta \Phi^\dagger \Phi$  of the superfield  $\Phi$  whose VEV breaks the global U(1) symmetry [60] (working in the parametrization  $\Phi = (f/\sqrt{2}) e^{-\sqrt{2}G/f}$ ,  $G = (s + ia)/\sqrt{2} + \sqrt{2}\theta\chi + \theta^2 F$ , in which  $a$  has the shift symmetry  $a \rightarrow a + \alpha f$ ). Since the charged leptons have vector-like and generation-independent U(1) charges, their couplings to the PNGB vanish on shell (as can be shown by integrating by parts the terms  $l(\partial_\mu a/f) \bar{e}_\alpha \gamma^\mu e_\alpha$  in eq. (B.2)), thus evading the strong bounds from red giant cooling [40]. Generation-dependent charges would induce off-diagonal couplings of the form  $g_{\alpha\beta}(a/f) \bar{e}_\alpha e_\beta$ , which would mediate flavour-changing processes such as  $\mu \rightarrow e a$  [39]. Finally, since the model is supersymmetric, the PNGB also couples to the charged sleptons, sneutrinos and to the scalar components of the down-type Higgs doublet, but these couplings vanish on shell.

At the one-loop level, a coupling of the PNGB to two electrons is induced by diagrams mediated by the W and the Z bosons [61]. The Z-mediated diagram depicted in figure 3 induces the following terms in the Lagrangian [62]

$$\mathcal{L}_{a\psi\psi} = -i g_{a\psi\psi} a \bar{\psi} \gamma^5 \psi, \quad g_{a\psi\psi} = \frac{g^2}{\cos^2 \theta_W} \frac{I(m_a^2)}{m_Z^2 - m_a^2} T_\psi^3 \frac{m_\psi}{f}, \quad (\text{B.3})$$

where  $\psi$  is any fermion coupling to the Z boson,  $m_\psi$  and  $T_\psi^3$  its mass and third component of weak isospin. The loop function  $I(p^2)$  is given by

$$I(p^2) = \frac{1}{8\pi^2} \sum_{i,j} \int_0^1 dx \left( \alpha_{ij} \Delta_{ij}(p^2 = 0) \ln \frac{\Delta_{ij}}{\Lambda^2} - \beta_{ij} M_i M_j \ln \frac{\Delta_{ij}}{\Lambda^2} \right), \quad (\text{B.4})$$

where the sum runs over all pairs of charginos  $\{(\tilde{\chi}_i^\pm, \tilde{\chi}_j^\pm); i, j = 1 \dots 5\}$  and neutralinos  $\{(\tilde{\chi}_i^0, \tilde{\chi}_j^0); i, j = 1 \dots 8\}$  with masses  $M_i$  and  $M_j$ ,  $\Delta_{ij}(p^2) = (1-x)M_i^2 + xM_j^2 - x(1-x)p^2$  and  $\Lambda$  is the cutoff of the effective field theory, which we identify with  $f$ . The coefficients  $\alpha_{ij}$  and  $\beta_{ij}$  are given by  $\alpha_{ij} = g_{ij}^{L*} q_{ij}^L + g_{ij}^{R*} q_{ij}^R$  and  $\beta_{ij} = g_{ij}^{L*} q_{ij}^R + g_{ij}^{R*} q_{ij}^L$ , where  $g_{ij}^{L,R}$  and  $q_{ij}^{L,R}$

are the couplings of the charginos and neutralinos to the Z boson and PNGB, respectively:

$$\mathcal{L}_{Z\chi_i\chi_j} = \frac{g}{\cos\theta_W} Z_\mu \bar{\chi}_i \gamma^\mu (g_{ij}^L P_L + g_{ij}^R P_R) \chi_j, \quad \mathcal{L}_{a\chi_i\chi_j} = \frac{\partial_\mu a}{f} \bar{\chi}_i \gamma^\mu (q_{ij}^L P_L + q_{ij}^R P_R) \chi_j. \quad (\text{B.5})$$

The coefficients  $g_{ij}^{L,R}$  (resp.  $q_{ij}^{L,R}$ ) are obtained by diagonalizing the chargino and neutralino mass matrices and writing the Z boson couplings to leptons, higgsinos and charged wino (resp. the PNGB couplings to fermions (B.2)) in terms of the mass eigenstates  $\chi_{i,j}$  ( $= \tilde{\chi}_{i,j}^+$  or  $\tilde{\chi}_{i,j}^0$ ).

Let us now focus on the coupling of the PNGB to electrons. Assuming  $f = 21.3$  TeV and  $m_a \lesssim 1$  GeV, we obtain  $g_{aee} = 6.8 \times 10^{-11}$  from eq. (B.3); the contribution of the W diagram is expected to be of the same order of magnitude. Using the dictionary  $c_{ee}/\Lambda = g_{aee}/m_e$ , we can see from figure 4 of ref. [59] that an axion-like particle with  $g_{aee} = \mathcal{O}(10^{-10})$  is subject to constraints from red giant cooling and from Edelweiss. The most stringent bound is the red giant one,  $|g_{aee}| \leq 4.3 \times 10^{-13}$  (95% C.L.) [40] (a stronger preliminary limit,  $|g_{aee}| \leq 2.57 \times 10^{-13}$  (95% C.L.), has been given in ref. [63]). However, these constraints do not apply if  $m_a > \text{few } 10 \text{ keV}$ . The Borexino limit shown on the same figure, which is valid for  $m_a \leq 5$  MeV, assumes a specific axion model. One should consider instead the model-independent Borexino constraint  $|g_{aee} g_{3aNN}| \leq 5.5 \times 10^{-13}$  (90% C.L.) [64], where  $g_{3aNN} = (g_{ann} - g_{app})/2$  is the so-called isovector axion-nucleon coupling. Since quarks are not charged under the U(1) symmetry of section 3,  $g_{ann}$  and  $g_{app}$  arise at the one-loop level, thus significantly weakening the constraint on  $g_{aee}$ .<sup>15</sup> Furthermore, this bound does not apply for  $m_a > 5$  MeV.

In summary, the mass of the PNGB associated with the U(1) symmetry of section 3 is constrained to be larger than about 400 MeV by cosmology, astrophysics and beam dump experiments.

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<sup>15</sup>One can estimate  $g_{3aNN} \sim (m_N/m_e) g_{aee} \approx 2000 g_{aee}$  (where  $m_N$  is the nucleon mass), from which the Borexino limit on  $|g_{aee} g_{3aNN}|$  can be converted into the approximate upper bound  $|g_{aee}| \lesssim 10^{-8}$ .

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