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Fruit and vegetable smoothies preservation with natural antimicrobials for the assurance of safety and quality

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**Credit author statement:**

Ing. Nieva, contributed to the manuscript by acquisition, analysis and interpretation of data

Dr. Jagus contributed on the study design, data interpretation and revising the article critically for important intellectual content

Dr. Agüero contributed on the study design, data interpretation and revising the article critically for important intellectual content

Dr. Fernandez contributed on study design, data analysis and interpretation, and drafting the article.

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1 ***Fruit and vegetable smoothies preservation with natural antimicrobials for the***  
2 ***assurance of safety and integral quality***

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**31 Abstract**

32 Current consumption trends indicate a clear increased interest in more natural, nutritious  
33 and healthier foods. Accordingly, natural fruits and vegetables (F&V) based beverages  
34 (juices, smoothies) companies showed great growth, since perceived as a practical way  
35 of ingesting the F&V nutrients and bioactives. However, when untreated, these products  
36 have a short shelf-life, mainly due to microbial spoilage. The combination of natural  
37 antimicrobials for their preservation constitutes an option in line with consumers'  
38 requirements. This study aims to evaluate different combinations of natural  
39 antimicrobials, nisin, natamycin, green tea extract (GTE) and citric acid, to preserve the  
40 ~~integral~~ quality of a mixed F&V smoothie, extending their shelf-life and ensuring their  
41 safety. The results obtained suggest that a treatment with nisin 12.5 mg/kg ~~500 U/mL~~,  
42 natamycin 200 mg/kg and citric acid (until pH 3.5) could achieve a shelf-life extension of  
43 14 d, a product ~~sensory acceptable and of~~ with great nutritional and microbiological  
44 quality until 28 d of storage at 5 °C. Moreover, this treatment would allow controlling a 6  
45 log CFU/mL *Listeria monocytogenes* contamination. Furthermore, if GTE (0.2%) is  
46 added to that combination, a product with fortified antioxidant properties (more than 10  
47 times higher than control) is achieved, fulfilling the requirements of the most demanding  
48 natural products consumers.

49 **Keywords: Beverages, antioxidants, shelf-life, green tea**

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## 58 1. Introduction

59 In recent years, the consumption of mixed beverages (juices, smoothies) based on fruits  
60 and vegetables (F&V) has increased significantly, making this sector of the food industry  
61 one of the highest growth worldwide (Grand View Research, 2018; Morales-de la Peña  
62 Welti-chanes, & Martín-belloso, 2016). Indeed, awareness of consumers for healthier  
63 foods and the fact that mixed beverages combine nutrients and bioactive compounds  
64 from different F&V with significant health-related benefits (Nunes et al., 2016; Formica-  
65 Oliveira et al., 2017) along with their attractive sensory properties and the fact that they  
66 are ready to drink are the main reasons for their success (Bevilacqua et al., 2018).

67 However, “natural” (untreated) beverages have a short shelf-life, mainly due to spoilage  
68 associated with microbial growth (Bevilacqua et al., 2018). Although they are usually  
69 highly acidic products (pH <4.6), some acid-tolerant microorganisms can survive and  
70 grow (Gram et al., 2002). Moreover, there has been an increased incidence of foodborne  
71 disease outbreaks associated with the consumption of F&V beverages, mainly caused  
72 by *Escherichia coli* O157:H7, *Listeria monocytogenes* and *Salmonella*, especially  
73 recurrent in untreated juices (Callejón et al. 2015). Traditional heat treatments, applied  
74 to achieve preservation and safety on these products, lead to chemical and physical  
75 changes that affect sensory properties and reduce nutrients and bioactives contents or  
76 bioavailability, modifying their natural attributes and their so wished benefits (Bevilacqua  
77 et al., 2018; Morales-de la Peña et al., 2016). Hence, they are not an option for this  
78 product and the targeted consumer niche.

79 A practical alternative highly in line with consumers´ requirements, is the use of natural  
80 antimicrobials. They are easy to incorporate into production lines, not requiring large  
81 investments in equipment for their implementation. Moreover incorporation of these  
82 compounds to the smoothie would not represent a significant increase in the cost of the  
83 product since the amounts to be incorporated are usually very low. Additionally, the  
84 combination of antimicrobials with different mechanisms of action is recommended to  
85 increase inhibition or inactivation of targeted microorganisms by a “hurdle technology”

86 approach (Khan, Tango, Miskeen, Lee, & Oh, 2017), reducing the effective doses, and  
87 consequently treatment cost. In this sense, nisin, a bacteriocin produced by *Lactococcus*  
88 *lactis* is a Generally Recognized as Safe (GRAS) compound (FDA, 1988), highly  
89 effective in the inactivation of a wide range of Gram-positive bacteria and spores  
90 resistant to high temperatures. Natamycin (pyramycin) is an antifungal produced by  
91 *Streptomyces natalensis* (Delves-Broughton & Weber, 2011) designed as a natural  
92 preservative by the European Union (EEC N° 235). Citric acid, an organic acid naturally  
93 present in F&V or synthesized by microorganisms, has demonstrated antimicrobial  
94 activity against a wide spectrum of bacteria, such as *E. coli* O157:H7, *Listeria*  
95 *monocytogenes* and *Salmonella typhimurium*, among others (Kim & Rhee, 2015). Lastly,  
96 green tea extracts (GTE) have demonstrated antibacterial, antiviral, antifungal and  
97 antioxidant activity and promote numerous health benefits, particularly the prevention of  
98 various types of cancer and cardiovascular diseases (Perumalla & Hettiarachchy, 2011).  
99 Since mixed F&V smoothies have gained strength in the market very recently, as part of  
100 the trend towards healthy eating habits, the effect of preservation treatments on this type  
101 of matrix, more complex than juices, remains largely unexplored and is an issue that  
102 needs to be properly addressed. Considering the above, the objective of this study was  
103 to select an adequate combination of natural antimicrobials (nisin, natamycin, GTE and  
104 citric acid) to preserve the microbiological and nutritional ~~and sensory~~ quality of a mixed  
105 F&V smoothie, extending its shelf-life and ensuring its safety.

106

## 107 **2. Materials and Methods**

### 108 **2.1. Smoothie preparation**

109 The technological scheme used for the smoothie preparation is presented in Figure 1.  
110 The raw material was purchased in a local market in Buenos Aires, Argentina. Once in  
111 the laboratory, the selected raw material was washed and disinfected by immersion in  
112 chlorinated water (200 mg/kg) for 5 min and dried. The composition (by weight) of the  
113 ingredients was: orange juice 59%, apples 15%, carrots 15%, beet greens 6% and beet

114 stems 5%. Orange juice was extracted using a home squeezer (Oster, USA), carrots and  
115 apples were peeled and chopped into small pieces. Then all ingredients were mixed in  
116 a homogenizer (JTC OmniBlend, Guangdong, China) for 60 s. For packaging,  
117 polyethylene terephthalate flasks (33mL) were used.

118

## 119 **2.2. Antimicrobial preparation**

120 Commercial natural antimicrobials were used: nisin (Nisin®, DSM), natamycin  
121 (Delvacid® Salt, DSM), citric acid (Anedra, Research Ag., Argentina) and green tea  
122 extract (GTE) powder from Taiyo International, Inc. (Minneapolis, Minnesota) containing  
123 >90% total polyphenols, >80% total catechins, >40% Epigallocatechin gallate (EGCG)  
124 and <1% caffeine. Concentrated antimicrobial solutions were prepared immediately  
125 before use and dosed according to the desired concentration in the product.

126

## 127 **2.3. Impact of antimicrobial treatments on native microflora**

128 In a first assay, samples were prepared as previously detailed on 2-1 and before closing  
129 each flask, the antimicrobials were applied in the corresponding doses (Table 1), and  
130 the flask was then shaken vigorously. Treatment with hydrochloric acid (Anedra,  
131 Research Ag., Argentina) was carried out to estimate the antimicrobial effect of pH  
132 reduction alone, being a control of citric acid treatment to demonstrate the antimicrobial  
133 activity of citric acid beyond its acidifying effect. This is very common practice when  
134 antimicrobial effects of organic acids are studied (Buchanan et al., 1993; Eswaranandam  
135 et al., 2004; Lehrke et al. 2011). This is because while hydrochloric acid is completely  
136 dissociated into protons (H<sup>+</sup>) and anions, the mechanism of action of organic acids is  
137 based on their capacity to reduce the pH of the medium and the ability of the  
138 undissociated forms to penetrate through the cell membranes. Sterile water in similar  
139 amounts than used for samples with antimicrobial treatments was dispensed into control  
140 (untreated) samples. Treatments doses were selected taking into account the results of  
141 preliminary studies, bibliographical references, and the limits established by Argentinean

142 legislation (CAA, 1996). The samples were stored at  $5\pm 1$  °C and periodically (0, 3, 7, 14,  
143 21, 28 d) triplicates of each treatment were taken for analysis. Mesophilic aerobic  
144 bacteria (MAB), Enterobacteriaceae (EB) and molds and yeasts (M&Y) counts were  
145 determined according to the described by Fernandez et al. (2018b). The detection limit  
146 (DL) of the method was 2.00 log CFU/mL , and the end of microbiological shelf-life was  
147 settled when 6.0 log CFU/mL for MAB or M&Y were achieved (Fernandez, Denoya,  
148 Jagus, Vaudagna, & Agüero, 2019b).

149

#### 150 **2.4. Effectiveness of treatments against *Listeria innocua* and *Escherichia coli***

151 In a second assay, samples prepared as previously described in 2.1, were inoculated  
152 with a mixed culture of *Listeria innocua* (CIP 80.11 and ATCC 33090) and *E. coli* (ATCC  
153 3526 and ATCC 8739), prepared as described by Fernandez, Denoya, Agüero,  
154 Vaudagna, & Jagus (2019a), to achieve an initial bacterial count of  $\sim 10^6$  CFU/mL ,  
155 simulating contamination during the process. The selected strains are commonly used  
156 as *L. monocytogenes* and *E. coli* 0157: H7 surrogates, respectively, since they have  
157 shown similar behavior and resistance (Evrendilek, Zhang, & Richter, 1999; Omac,  
158 Moreira, Castillo, & Castell-Perez, 2015). Inoculation was conducted in each sample  
159 before the incorporation of natural antimicrobials, and then each flask was shaken  
160 vigorously, closed and stored at  $5\pm 1$  °C. Treatments were those selected in the previous  
161 study based on the improvements achieved in shelf-life. Periodically, *Listeria* spp. and  
162 *E. coli* counts were determined according to the described by Fernandez et al. (2019a).  
163 Results were expressed as log CFU/mL and DL was 2.00 log CFU/mL .

164

#### 165 **2.5. Physical-chemical and nutritional and sensory quality of treated smoothies**

166 In a third assay, samples prepared as previously described in 2.4 and treated with the  
167 antimicrobials selected in the previous stages, were stored at  $5\pm 1$  °C and analyzed each  
168 sampling day, for the following quality indicators:



169 **2.5.1. Total soluble solids (TSS) and pH:** The TSS were determined with a Milwaukee  
170 MA871 Refractometer (Milwaukee Instrument, Rocky Mount, USA) and the results were  
171 expressed as  $^{\circ}\text{Brix}$  the percentage of soluble solids on the solution (%); the pH was  
172 measured with a digital pH-meter (Hanna, HI99163, Romania, with FC232D electrode,  
173 Italy).

174 **2.5.2. Total phenolic content (TPC) and antioxidant capacity:** The extraction and  
175 determination of total phenolic compounds by Folin-Ciocalteu methodology, and of  
176 antioxidant capacity by FRAP and DPPH assays, were carried out according to  
177 Fernandez, Denoya, Agüero, Jagus, & Vaudagna (2018a) with modifications, as  
178 informed in the supplementary material section S.2.5.a. TPC results were expressed on  
179 milligrams of gallic acid equivalents per kilogram of smoothie (mg GAE/kg), FRAP and  
180 DPPH results were expressed on trolox equivalent antioxidant capacity per kilogram of  
181 smoothie (TEAC/kg).

182 **2.5.3. Betaxanthins and betacyanins:** Their determination was carried out according  
183 to the method described by Fernandez et al. (2018a) with some modifications, as  
184 described in supplementary material section S.2.5.b. The results were expressed as  
185 milligrams of Bx or Bc per liter of fresh smoothie.

186 ~~**Sensory quality:** The samples were subjected to sensory quality evaluation by eight~~  
187 ~~trained panelists, following the methodology described by Tomadoni, Cassani, Ponce,~~  
188 ~~Moreira, & Agüero (2016). The attributes evaluated were color, aroma, texture, flavor~~  
189 ~~and overall liking using a descriptive scale of 1-9, where 9: like extremely 5: neither like~~  
190 ~~nor dislike, the limit of acceptance from the consumers' point of view; 1: dislike extremely.~~

## 191 **2.6. Statistical analysis**

192 Results were analyzed using Origin<sup>®</sup>8 statistical software (OriginLab<sup>®</sup>, USA). Two-way  
193 analysis of variance (ANOVA) was performed using as sources of variation: TREAT  
194 (treatment according to Table 1), TIME (storage time, day of sampling) and TREAT-TIME  
195 interaction. Differences were determined using the Tukey test ( $p < 0.05$ ).

196

197 **3. Results and discussion**198 **3.1. Impact of antimicrobial treatments on native microflora**

199 The most relevant results regarding changes in native microflora on samples of  
200 smoothies with different treatments during storage at  $5\pm 1$  °C are presented in Figure 2.

201 Additionally, complete results are shown in the supplementary material section (Table  
202 S1). In regards to MAB counts, only samples containing citric acid showed significant  
203 differences from control (C) at day 0, with reductions between 1-1.65 log CFU/mL, with  
204 treatment containing combined green tea extract, nisin, natamycin and citric acid  
205 (TNiNaCi) presenting the best initial results. In the case of C samples, they remained at  
206 values between 5.3-5.6 during the first wk of storage, then increased exceeding the limit  
207 of 6 log CFU/mL (Fernandez et al., 2019a; Formica-Oliveira et al., 2017) on day 14 of  
208 refrigerated storage. Samples acidified with hydrochloric acid (CH) as well as those  
209 treated individually with nisin (Ni), natamycin (Na) and green tea extract (T), exceeded  
210 the limit after 21 d. On the other hand, treatment containing citric acid (Ci), green tea  
211 extract combined with citric acid (TCi), treatment containing combined nisin, natamycin  
212 and citric acid (NiNaCi) and TNiNaCi treatments kept the BAM counts below the limit  
213 during the 28 days of storage.

214 Regarding EB counts, only samples T, TCi, NiNaCi and TNiNaCi showed significant  
215 initial reductions, between 0.64-1.23 log CFU/mL from C values. Although there is no  
216 limit value established for EB in this type of product, they are a key indicator of safety  
217 and quality, mostly related to agricultural practices and the efficiency of sanitation  
218 procedures (Tortorello, 2003). C samples remained in values among 5-6 log CFU/mL  
219 during all storage, probably due to the low pH of smoothies that impeded their  
220 development. CH showed similar behavior to control during the first days of storage, then  
221 a reduction was observed until values around 3 log CFU/mL, remaining on those values  
222 from day 7 onwards. Ni, Na and T treatments presented reductions between 1-2 log

223 during the first wk of storage, then Ni and Na showed an increase presenting similar  
224 values to control from day 14 onwards, while T maintained lower values (1-2 log lower  
225 than C) until the end of storage. Treatments containing citric acid presented remarkable  
226 results, while Ci samples exhibited a sustained decrease of EB values with time, from  
227 around 4.5 at day 0 to 2.5 log CFU/mL at the end of storage, TCi showed counts below  
228 3 log CFU/mL from day 3 onwards and both NiNaCi and TNiNaCi below DL from day 3  
229 onwards.

230 In the case of M&Y, all treatments except CH presented significant reductions from C at  
231 day 0, highlighting Na and combined treatments TCi, NiNaCi and TNiNaCi that showed  
232 reductions of more than 1.67 log (counts under DL). None of the treatments exceeded  
233 the limit (6 log CFU/mL) during storage. Control samples showed a slow but constant  
234 increase from values around 3.7 log CFU/mL at day 0 to 5.6 log CFU/mL at day 28.  
235 Samples CH presented similar behavior, showing slightly lower counts than control  
236 throughout storage. Ni and T treatments exhibited similar behavior among them, with  
237 values between 1-1.5 log beneath control during the whole storage. Among individual  
238 treatments Na, as expected, showed the better performance exhibiting counts under 3  
239 log CFU/mL during all storage. Regarding samples containing citric acid, Ci exhibited  
240 similar behavior than C with slightly lower counts, while TCi showed counts 1-2 log below  
241 control throughout storage. Remarkable results were observed in samples NiNaCi and  
242 TNiNaCi with values close or under DL during the whole storage.

243 These results showed that four of the proposed treatments extended the microbial shelf-  
244 life for at least two additional wk compared to the untreated samples. Therefore, these  
245 samples were selected for the next stage. Moreover, the antimicrobial effect of citric acid,  
246 beyond the effect generated by acidulation was probed since significant differences were  
247 observed between treatment CH and Ci. As previously mentioned, while CH is  
248 completely dissociated into protons (H<sup>+</sup>) and anions, and cell membrane has a very low  
249 permeability to protons, the undissociated forms of Ci penetrate through the cell

250 membranes. Once inside, the higher intracellular pH produces the dissociation of these  
251 molecules, releasing protons and acidifying the cytoplasm. This affects normal activity of  
252 the cell since proton gradient and transport systems are affected. This is why weak  
253 organic acids such as acetic, lactic, citric, and malic acids have a better antimicrobial  
254 action than strong inorganic acids such as hydrochloric acids, at the same external pH  
255 (Lehrke et al, 2011; Tewari & Juneja, 2012). Additionally, while with antimicrobials applied  
256 individually the reductions achieved were low, the combined treatments showed  
257 significant reductions, many times to levels below DL, demonstrating that microbial  
258 control can be achieved by the combination of different antimicrobials.

259

### 260 **3.2. Effectiveness of treatments against *Listeria innocua* and *Escherichia coli***

261 Changes in *E. coli* and *L. innocua* counts in control samples and treated with the  
262 treatments selected in the previous study during storage at  $5 \pm 1$  °C are presented in  
263 Figure 3.

264 Regarding *E. coli*, control samples presented an initial count of  $6.29 \pm 0.17$  log CFU/mL  
265 and remain in counts between 6 and 5 log CFU/mL throughout storage. Indeed, their  
266 development was not expected since the minimum temperature for their growth is 7 °C  
267 and the minimum pH for their growth is around 4.4 (Adams & Moss, 2008). However, it  
268 is well known that some strains of this microorganism can be acid-resistant, surviving for  
269 long periods in acidic foods (Fernandez et al., 2019a; Foster, 2004) as in this study. In  
270 fact, Foster (2004) highlight the ability of *E. coli* to survive in environments that are more  
271 suited to acidophiles than enterics, ensuring that *E. coli* cells have at least three systems  
272 that can use to survive acid stress and that both pathogenic and non-pathogenic strains  
273 have equally remarkable levels of acid resistance. The most effective treatments for *E.*  
274 *coli* control were those containing GTE (TCi and TNiNaCi), with no significant differences  
275 between them. Their effect was not immediate and only reductions < 1 log were observed

276 at day 0, then further inactivation was showed with time, reaching in both cases values  
277 below DL at day 21 of refrigerated storage. The samples corresponding to Ci and NiNaCi  
278 treatments presented similar counts to C during the first 14 days of storage, then  
279 decreasing significantly, to values 2-3 log below C from day 21 onwards. Regarding  
280 these results, Although as expected neither nisin nor natamycin affected Gram-negative  
281 microorganisms, both citric acid and GTE played a role in the inactivation of *E. coli*, which  
282 is consistent with the observed by other authors (Fernandez, Jagus & Agüero, 2018b;  
283 Kim & Rhee, 2015; Perumalla & Hettiarachchy, 2011). Moreover, it must be considered  
284 that real contaminations are usually much lower than the one simulated here, and the  
285 effect of the antimicrobial treatments is usually greater in that case. Hence, probably  
286 better performance of the treatments can be expected in a real contamination scenario.

287 Concerning *L. innocua*, C smoothies presented an initial count of  $6.42 \pm 0.31$  log CFU/mL  
288 showing a reduction over time to values below DL (at day 28). This behavior of *L. innocua*  
289 was previously observed in this matrix (Fernandez et al., 2019a), and it was attributed to  
290 the low pH of the smoothie which is below the reported minimum necessary for their  
291 growth (4.5). Moreover, since in this case storage temperature was higher than the  
292 minimum for their growth ( $-1$  °C) (Adams & Moss, 2008), a stronger pH effect is  
293 evidenced. Nevertheless, it is well known that 100 bacterial cells of *L. monocytogenes*  
294 are sufficient to develop listeriosis (McLaughlin, Mitchell, Sinerdon, & Jewell, 2004).  
295 Hence, it should be noted that starting from high levels of contamination, in the C  
296 smoothie *Listeria* persists at high-risk values for at least two wk at  $5 \pm 1$  °C, evidencing  
297 the importance of applying a treatment for *Listeria* control. The treatments Ci and TCi  
298 presented initial reductions of 0.4 and 0.9 log, respectively, then counts were reduced  
299 with time, showing significant differences with control until day 21 when all treatments  
300 (including C) presented values close to DL. The NiNaCi and TNiNaCi treatments were  
301 highly effective for *L. innocua* control since reduced their counts to values below DL from  
302 day 0 until the end of storage.

303 Considering their potential to control interest microorganisms, especially by their  
304 performance against *Listeria innocua*, treatments NiNaCi and TNiNaCi were selected for  
305 the next stages of the study. Moreover, in the following assay also C and Ci treatments  
306 were followed as controls.

### 307 **3.3. Physical-chemical and nutritional and sensory quality of treated** 308 **smoothies**

#### 309 **3.3.1. Total soluble solids and pH**

310 In the case of control samples, the initial pH was  $3.93 \pm 0.02$ , while the ones containing  
311 citric acid (Ci, NiNaCi, TNiNaCi) presented values of  $3.54 \pm 0.04$ . No variations were  
312 observed in the pH of C samples during storage, while samples containing citric acid  
313 presented variations between values 3.50-3.64, although statistical analysis established  
314 as significant, these variations have little practical relevance. Accordingly, the stability of  
315 the pH of this smoothie has already been observed in previous works (Fernandez,  
316 Bengardino, Jagus, & Agüero, 2020; Fernandez et al., 2019a).

317 Concerning TSS, samples without GTE (C, Ci and NiNaCi) presented an average value  
318 of  $10.60 \pm 0.15$  °Brix, while samples containing GTE (TNiNaCi) stood out with a value of  
319  $11.33 \pm 0.12$  °Brix. During storage, C and Ci samples showed a slight reduction, probably  
320 due to microbial metabolic activities resulting in the conversion of the sugars naturally  
321 present in the samples (Kaddumukasa, Imathiu, Mathara, & Nakavuma, 2017). On the  
322 other hand, NiNaCi and TNiNaCi kept the soluble solids values stable over time, which  
323 is consistent with the enhanced microbial control. Moreover, the increased initial TSS  
324 value in TNiNaCi samples could be related to the fact that the main components of GTE  
325 are water-soluble compounds (Perumalla & Hettiarachchy, 2011). Additionally, GTE  
326 contains ~~epigallocatechin gallate~~ (EGCG) which is a natural inhibitor of PME (Lewis et  
327 al., 2008). PME activity generates the crosslinking of free carboxylic groups belonging to  
328 pectin chains giving insoluble macropolymers that entrap other components of the cloud,  
329 including soluble solids and other compounds related to flavor, texture and color of juices

330 (Carbonell, Contreras, Carbonell, & Navarro, 2006). Hence, PME inactivation by GTE  
331 could also explain the higher ~~Brix~~ TSS values and the better stability of TSS on TNiNaCi  
332 samples.

### 333 **3.3.2. Total phenolic content (TPC) and antioxidant capacity**

334 Changes in nutritional indicators during refrigerated storage of the smoothies with  
335 different treatments are presented in Table 2. For TPC treatments C, Ci and NiNaCi  
336 presented at day 0 values between 534.1 and 613.6 mg GAE/kg without significant  
337 differences between them. During storage of these samples, significant decreases were  
338 observed showing, on day 21, reductions between 35-40% of initial values. This indicates  
339 that treatments Ci and NiNaCi did not affect smoothies' TPC and stability. The treatment  
340 containing GTE presented significant differences from the rest, showing at day 0 TPC of  
341 about 9 times higher. This result is attributed to the high phenolics compounds content  
342 of GTE (Perumalla & Hettiarachchy, 2011). Additionally, this treatment showed a more  
343 stable behavior over time, with a reduction of only 17% on day 28. Hence, the addition  
344 of GTE not only fortified the product in its phenolic content but, furthermore, these added  
345 polyphenols were more stable during storage than the native polyphenols of the product.  
346 Certainly, it is well known that tea catechins in aqueous solutions are very stable during  
347 storage when pH is below 4 and refrigeration temperatures are employed (Ananingsih  
348 Sharma, & Zhou, 2013).

349 In regards to antioxidant capacity, results were as expected considering that phenolic  
350 compounds present in GTE have demonstrated great antioxidant properties due to their  
351 redox potential; that enables them to act in various forms such as hydrogen donors,  
352 reducing agents, nascent oxygen quenchers, and/chelating metal ions (Perumalla &  
353 Hettiarachchy, 2011). For DPPH antiradical activity, the treatment containing GTE  
354 showed significantly higher DPPH values (around 13 times) than the rest of the  
355 treatments. For all treatments, significant reductions were observed over time. On day  
356 21 reductions on DPPH values were 54, 48, 45 y 22% for C, Ci, NiNaCi, and TNiNaCi,

357 respectively. Concerning FRAP, treatment with GTE also stood out, with values of  
358 around 35 times higher than C. Although in all samples decreases in FRAP values were  
359 observed over time (about 25% of the initial value at day 21), these were not statistically  
360 significant. Differences observed between FRAP and DPPH results, as observed in  
361 many other studies (Fernandez et al., 2020; Nunes et al., 2016), may be related to the  
362 fact that they are indicators of different antioxidant mechanisms that depend on different  
363 compounds and the interaction among them (Huang, Ou, & Prior, 2005). Undoubtedly,  
364 tea catechins have an important role in both of them. Indeed, results are a clear indicator  
365 of the antioxidant benefit of fortifying the product with GTE, as observed by many other  
366 authors (Fernandez et al. 2018b; Jeong et al. 2018; Pourashouri, Shabanpour, Kordjazi,  
367 & Jamshidi, 2020; Tappi et al., 2017). Moreover, if the total antioxidant capacity (DPPH  
368 + FRAP) of the product is settled as a biomarker for shelf-life, and the usual limit of a  
369 50% loss is considered (Fernandez et al., 2019b) all treatments, including control, met  
370 this criterion during storage at  $5\pm 1$  °C.

### 371 **3.3.3. Betaxanthins and betacyanins:**

372 Changes in **betacyanins (Bc) and betaxanthins (Bx)** contents on smoothies with  
373 different treatments are presented in **Table 2**. C samples presented at day 0 values of  
374  $11.50\pm 0.50$  and  $6.08\pm 0.54$  mg/L of Bc and Bx, respectively, and significant reductions  
375 were observed during storage. Ci samples presented similar behavior to the control.  
376 NiNaCi treatment showed a higher initial value (6%) of Bc, and values of Bx similar to C,  
377 while changes during storage were also similar to C. The sample containing GTE  
378 presented higher initial values (17.7%) of Bc and registered much higher Bc retention  
379 over time (~65% vs ~14% at day 21) than the other treatments. Similar results were  
380 observed for Bx, which although initially presented similar values to control, towards the  
381 end of storage showed significantly higher retentions (~71% vs ~45% at day 21).  
382 Concerning these results, antimicrobial agents act as protective agents in the prevention  
383 of oxidative processes that leads to betalains deterioration. Degradation of betalains is



384 usually related to the activities of glycosidases, polyphenoloxidases (PPO) and  
385 peroxidases (Strack, Vogt, & Schliemann, 2003), all oxygen-dependent actions. In this  
386 sense, GTE have shown inhibitory effects on glycosidases and PPO (Chen, Qu, Fu,  
387 Dong, & Zhang, 2009; Klimczak & Gliszczynska-Swigło, 2017). This could explain why  
388 in samples containing GTE the initial value of Bc was higher as well as the better  
389 retention with time observed for both compounds (Bc and Bx). On the other hand,  
390 differences in the behavior of Bc and Bx are common because of their different chemical  
391 structure. In this way, Bx was found to be more prone to oxidation and less stable than  
392 Bc at acidic pH (Herbach, Stintzing, & Carle, 2006). In any case, the results of this study  
393 demonstrate the protective effect of green tea on these compounds during refrigerated  
394 storage.

#### 395 **3.3.4. Sensory quality**

396 ~~Changes over time on the sensory indicators of smoothies treated with antimicrobials~~  
397 ~~and stored at  $5 \pm 1^\circ\text{C}$  are presented in Table 3. For **aroma and texture** parameter, no~~  
398 ~~changes were recorded either by the addition of antimicrobials or during storage.~~  
399 ~~Regarding **color**, it was not affected by treatments although there were changes during~~  
400 ~~storage, related to advancement in shelf-life, consistent with the natural degradation of~~  
401 ~~the compounds that generate this attribute. Although there were no significant~~  
402 ~~differences between treatments for the previously mentioned parameters, samples C~~  
403 ~~and Ci presented averages scores equal to or below the acceptable limit for color and/or~~  
404 ~~aroma at day 21 of storage. NiNaCi and TNiNaCi presented higher average scores,~~  
405 ~~probably due to the effect of antimicrobials on the native microflora, which inhibits the~~  
406 ~~development of deterioration processes associated with their activity and/or oxidative~~  
407 ~~processes that can affect these attributes. In the case of **flavor**, samples containing 1%~~  
408 ~~of GTE presented a taste described as astringent, bitter, showing scores lower than the~~  
409 ~~limit from day 0. On day 3 results were similar, hence, it was decided to discard this~~  
410 ~~treatment and was not further rehearsed. **The overall liking** parameter is an indicator~~

411 ~~that integrates different sensory aspects and the observed results, as expected, reflect~~  
412 ~~the observations made for the other analyzed sensory parameters. Interestingly,~~  
413 ~~treatment NiNaCi presented good sensory attributes until day 28 when a score of 5 on~~  
414 ~~overall liking determined the end of their sensory shelf life.~~

#### 415 **3.4. Final considerations**

416 Considering the results of the three trials, it was concluded that the best treatments from  
417 a ~~both~~ microbiological and sensory points of view were NiNaCi and TNiNaCi, ~~From~~  
418 ~~sensory quality analysis, it was evident that the GTE dose used in this study, which was~~  
419 ~~selected based on previous studies to achieve a microbiological effect, was not sensory~~  
420 ~~viable. Additionally, there were with no major microbiological differences between them~~  
421 ~~NiNaCi and TNiNaCi treatments. Thus, the use of GTE as an antimicrobial in this product~~  
422 ~~was no longer considered was dismissed. Considering. Taking into account that the~~  
423 ~~objective of this study was the integral quality improvement of the product, and to take~~  
424 ~~advantage of the nutritional benefits of green tea, a final trial was carried out to determine~~  
425 ~~if significant fortification of the product could be achieved by using lower doses of GTE.~~  
426 When half of original dose was tested (0.5% GTE) values 6.2, 12.7 and 26 times higher  
427 than the control were observed for TPC, DPPH and FRAP. While, when only 20% of the  
428 original dose was considered (0.2% GTE) values 4.2, 10.3 and 10.7 times higher than  
429 the control were observed for TPC, DPPH and FRAP. These results indicate that the use  
430 of very small doses of GTE, in spite of not showing antimicrobial effects, leads anyway  
431 to significant increases in the polyphenol content and antioxidant activity of the samples,  
432 fortifying the product. Moreover, to reduce the used dose has the advantage of diminish  
433 possible sensory impacts associated with the bitter taste of green tea, as well as  
434 minimizing costs of treatment. ~~— the maximum dose of GTE that allows obtaining a~~  
435 ~~sensory acceptable smoothie and evaluate the nutritional benefits of the addition of GTE~~  
436 ~~in that dose. Six doses were considered (0.1, 0.2, 0.25, 0.3, 0.4, 0.5%) and results~~  
437 ~~indicated that while from 0.3% onwards the flavor became unacceptable, at 0.25% the~~

438 sensory acceptability was good ( $6.3 \pm 1.0$ ) and at 0.2% very good ( $7.7 \pm 0.6$ ). The samples  
439 containing 0.20% of GTE presented TPC values 4.2 times higher than the control and  
440 those containing 0.25% 5.1 times higher than the control. Likewise, important increases  
441 in antioxidant capacity of 10.7 and 14.3, respectively, were observed for FRAP and 10.3  
442 and 11.6, respectively, for DPPH.

443

## 444 **Conclusions**

445 The results obtained in this study suggest that the treatment of fruit and vegetable  
446 smoothies with nisin 500 UI/mL, natamycin 200 mg/kg and citric acid (up to pH 3.5) will  
447 lead to obtaining a stable product from a microbiological point of view and sensory of  
448 great nutritional quality, that can be stored for 28 days at  $5 \pm 1$  °C. These results are of  
449 great commercial relevance since this treatment increases its shelf-life by 14 days  
450 compared to an untreated smoothie. Moreover, this treatment would allow controlling a  
451 6 log CFU/mL *L. monocytogenes* contamination. Furthermore, if GTE (0.2%) is added to  
452 that combination, a product with fortified antioxidant properties (more than 10 times  
453 higher than control) is achieved, fulfilling the requirements of the most demanding natural  
454 products consumers.

455

## 456 **Ethics declaration**

457 The authors confirm that they have no conflicts of interest concerning the work described  
458 in this manuscript.

459

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465

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**Table 1- Treatments schedule**

Treatment	Compound and dose				
	Nisin 12.5 mg/kg	Natamicyn 200 mg/kg	GTE 1% v/v	Citric acid to pH 3,5	Hydrochloric acid to pH 3,5
C					
Ci				x	
CH					x
Ni	x				
Na		x			
T			x		
TCi			x	x	
NiNaCi	x	x		x	
TNiNaCi	x	x	x	x	

**Table 2-** Changes on nutritional indicators in smoothies with different treatments during storage at  $5 \pm 1^\circ\text{C}$ .

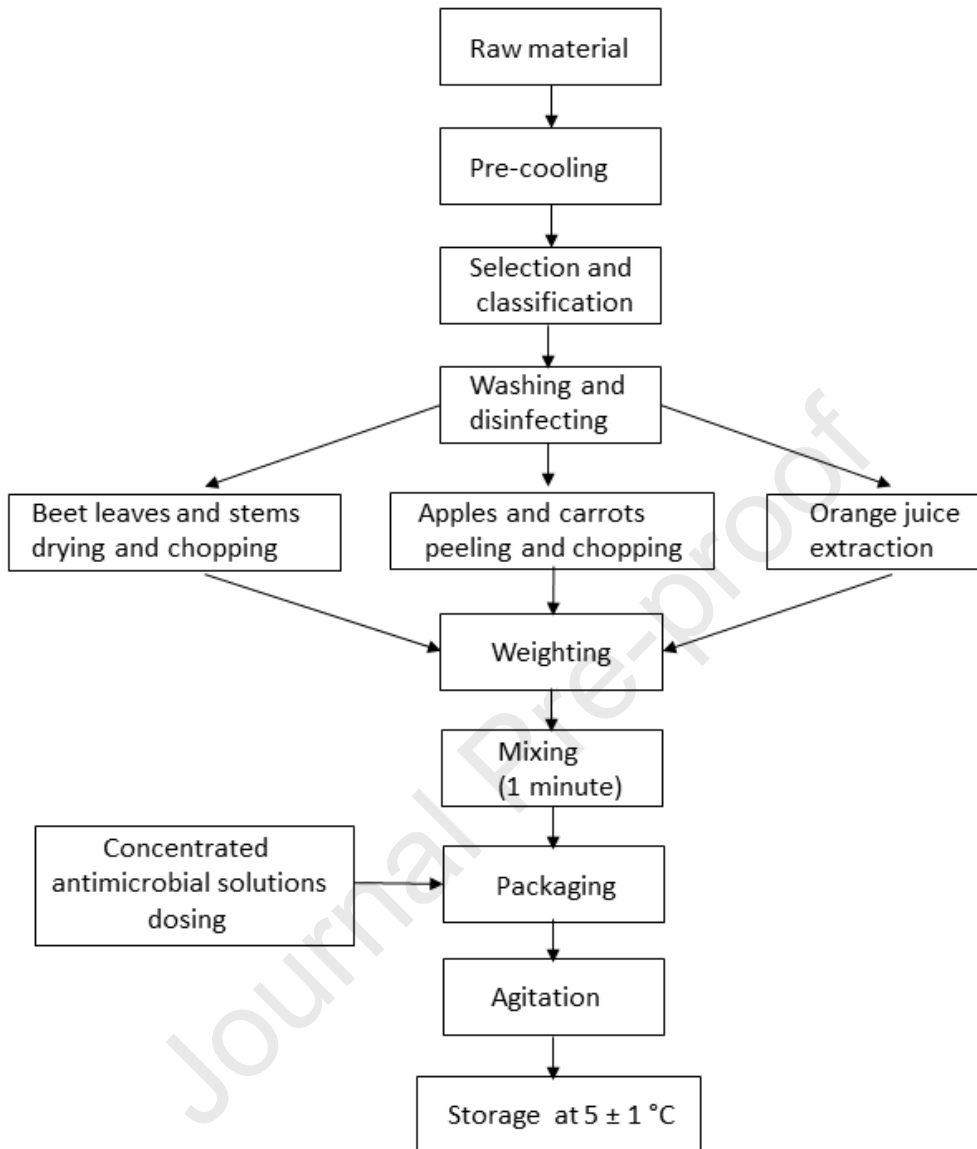
Nutricional indicator	Sample	Day					
		0	3	7	14	21	28
TPC mg GAE/kg	C	534.1±21.9 <sup>a,A</sup>	398.1±38.0 <sup>a,B</sup>	389.0±33.6 <sup>a,B,C</sup>	291.1±43.7 <sup>a,C</sup>	351.2±43.7 <sup>a,B,C</sup>	*
	Ci	613.6±6.7 <sup>a,A</sup>	358.2±45.7 <sup>a,B</sup>	378.1±9.6 <sup>a,B</sup>	326.4±23.3 <sup>a,B</sup>	366.2±19.5 <sup>a,B</sup>	*
	NiNaCi	564.3±34.7 <sup>a,A</sup>	420.6±22.6 <sup>a,B</sup>	320.0±9.6 <sup>a,B</sup>	335.7±69.3 <sup>a,B</sup>	333.2±20.1 <sup>a,B</sup>	328.3±20.9 <sup>a,B</sup>
	TNiNaCi	5246±460 <sup>b,A</sup>	5317±128 <sup>b,A</sup>	4675±224 <sup>b,A</sup>	4845±582 <sup>b,A</sup>	4983±328 <sup>b,A</sup>	4334±553 <sup>b,A</sup>
DPPH TEAC/kg	C	2447.0±313.4 <sup>a,A</sup>	1744.7±96.2 <sup>a,B,C</sup>	1808.7±133.1 <sup>a,B</sup>	1193.4±326.9 <sup>a,C,D</sup>	1124.4±86.8 <sup>a,D</sup>	*
	Ci	2434.4±322.5 <sup>a,A</sup>	1605.0±163.4 <sup>a,B</sup>	1656.6±41.4 <sup>a,B</sup>	1254.0±378.4 <sup>a,B</sup>	1263.5±42.1 <sup>a,B</sup>	*
	NiNaCi	2338.5±126.8 <sup>a,A</sup>	1803.9±179.9 <sup>a,B</sup>	1665.2±159.2 <sup>a,B,C</sup>	1532.9±212.8 <sup>a,B,C</sup>	1271.1±47.0 <sup>a,C</sup>	721.3±225.8 <sup>a,D</sup>
	TNiNaCi	32145±3371 <sup>b,A</sup>	35045±872 <sup>b,A</sup>	29488±951 <sup>b,A,C</sup>	22439±1848 <sup>b,B</sup>	25045±249 <sup>b,B,C</sup>	22748±2697 <sup>b,C</sup>
FRAP TEAC/kg	C	2100.3±160.0 <sup>a,A</sup>	2116.6±79.2 <sup>a,A</sup>	2263.4±263.6 <sup>a,A</sup>	1657.0±139.7 <sup>a,A</sup>	1383.7±246.7 <sup>a,A</sup>	*
	Ci	2028.2±260.2 <sup>a,A</sup>	1726.3±95.3 <sup>a,A</sup>	1882.7±264.8 <sup>a,A</sup>	1618.9±178.1 <sup>a,A</sup>	1652.9±225.3 <sup>a,A</sup>	*
	NiNaCi	2075.8±175.8 <sup>a,A</sup>	1927.6±311.1 <sup>a,A</sup>	1682.8±315.0 <sup>a,A</sup>	1383.7±246.7 <sup>a,A</sup>	1345.6±328.2 <sup>a,A</sup>	1353.8±324.9 <sup>a,A</sup>
	TNiNaCi	71467±14828 <sup>b,A</sup>	66487±9105 <sup>b,A</sup>	64211±2315 <sup>b,A</sup>	52522±4182 <sup>b,A</sup>	50577±7221 <sup>b,A</sup>	53133±10615 <sup>b,A</sup>
Bc mg/L	C	11.50±0.50 <sup>a,A</sup>	8.89±1.81 <sup>a,B</sup>	6.71±1.22 <sup>a,C</sup>	2.10±0.24 <sup>a,D</sup>	1.75±0.10 <sup>a,D</sup>	*
	Ci	11.21±0.26 <sup>a,b,A</sup>	6.60±0.97 <sup>a,B</sup>	5.06±0.94 <sup>a,C</sup>	1.96±0.10 <sup>a,D</sup>	1.60±0.10 <sup>a,D</sup>	*
	NiNaCi	12.18±0.47 <sup>b,A</sup>	7.22±1.23 <sup>a,B</sup>	3.35±0.20 <sup>a,C</sup>	1.93±0.20 <sup>a,D</sup>	1.44±0.14 <sup>a,D</sup>	1.18±0.10 <sup>a,D</sup>
	TNiNaCi	13.54±0.32 <sup>c,A</sup>	12.21±0.34 <sup>b,B</sup>	11.38±0.61 <sup>b,B,C</sup>	10.85±0.44 <sup>b,C</sup>	8.87±0.58 <sup>b,D</sup>	9.14±0.49 <sup>b,D</sup>
Bx mg/L	C	6.08±0.54 <sup>a,A</sup>	5.19±0.53 <sup>a,B</sup>	4.86±0.53 <sup>a,B</sup>	2.76±0.14 <sup>a,C</sup>	2.70±0.10 <sup>a,C</sup>	*
	Ci	5.80±0.21 <sup>a,A</sup>	5.86±0.94 <sup>a,A</sup>	4.45±0.38 <sup>a,b,A,B</sup>	3.20±0.22 <sup>a,b,B</sup>	2.73±0.18 <sup>a,B</sup>	*
	NiNaCi	5.91±0.20 <sup>a,A</sup>	4.92±0.19 <sup>a,b,B</sup>	4.12±0.16 <sup>b,C</sup>	3.30±0.29 <sup>b,D</sup>	2.61±0.17 <sup>a,E</sup>	2.26±0.13 <sup>a,F</sup>
	TNiNaCi	5.83±0.18 <sup>a,A</sup>	4.87±0.07 <sup>b,B</sup>	3.91±0.17 <sup>b,B</sup>	4.23±0.50 <sup>c,B</sup>	4.30±0.28 <sup>b,C</sup>	4.14±0.34 <sup>b,B</sup>

\* Samples C and Ci were followed only until day 21 since visible molds were observed in some samples.

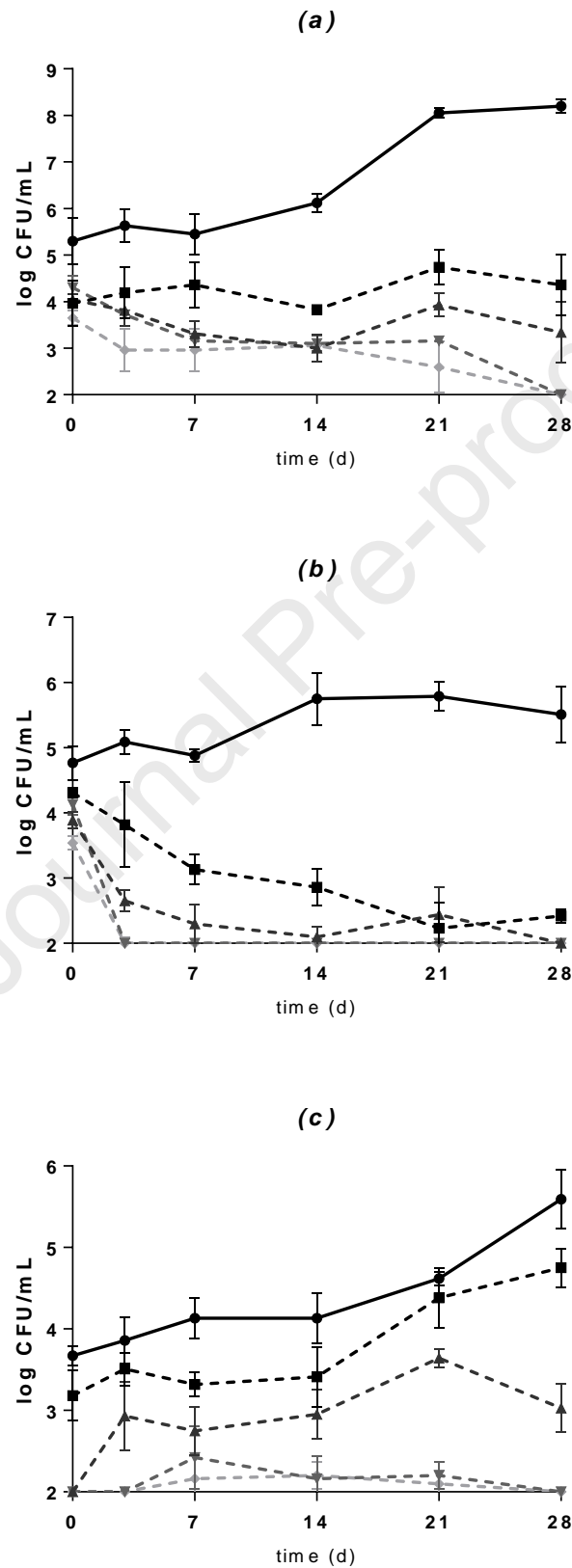
Different lower case letters indicate significant differences between treatments (comparison within each column) Different capital letters mean differences over time (comparison within each row).

TPC: Total phenolic content; DPPH: radical scavenging activity; FRAP: ferric reducing activity; Bc: Betacyanin content; Bx: Betaxanthins content.

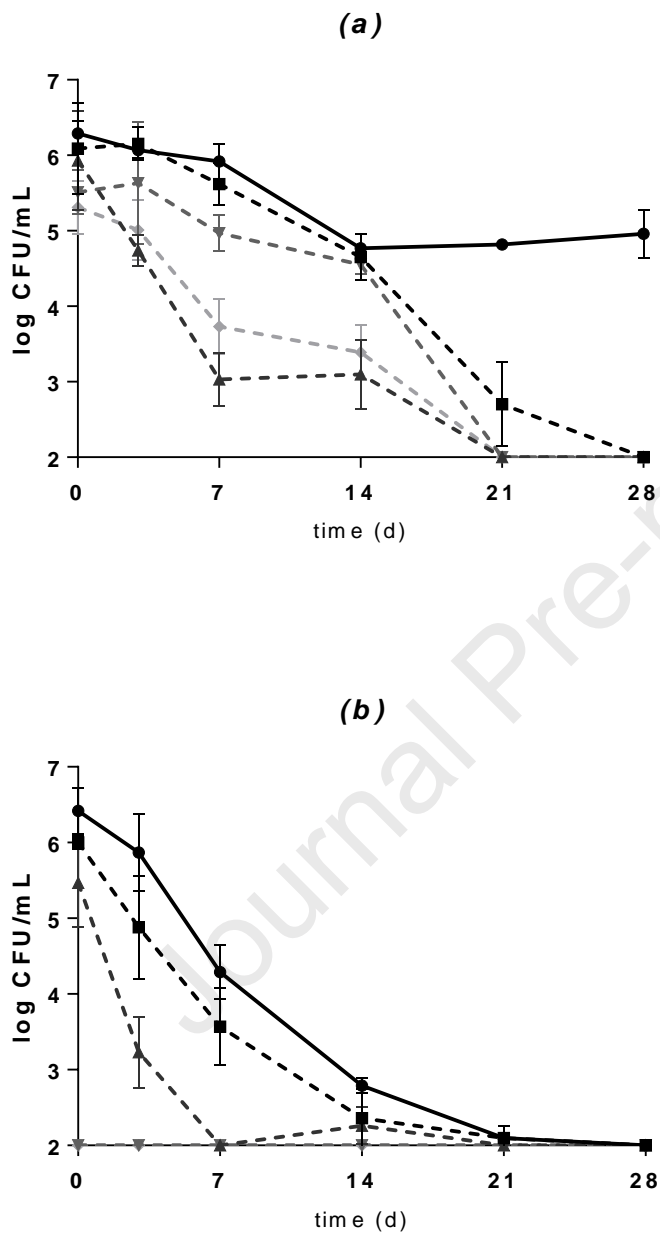


**Figure 1-** Technological scheme used for the smoothie and samples preparation

**Figure 2-** Counts of mesophilic aerobic bacteria (a), enterobacteriae (b) and molds and yeast (c) in smoothie samples with different treatments (—●—: control; -■-: Ci; -▲-: TeCi; -▼-: NiNaCi; -◇-: TNiNaCi) during storage at  $5 \pm 1$  °C.



**Figure 3-** Counts of *E. coli* (A) and *L. innocua* (B) in smoothie samples with different treatments (—●—:control; -■-:Ci; -▲-:TeCi; -▼-: NiNaCi; -◆-: TNiNaCi) during storage at  $5 \pm 1$  °C.



### Highlights

- Nisin, natamycin & citric acid combined treatment extend product's shelf-life 14 days
- Selected treatment preserve smoothie's integral quality during 28 days at 5 °C
- Selected treatment allow to control a 6 log CFU/mL *L. monocytogenes* contamination
- With green tea (0.2%) addition, antioxidant content are 10 times higher than control

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