

## Photophysical properties of a $\beta$ -Carboline Rhenium (I) complex. Solvent effects on excited states and their redox reactivity

Iván Maisuls<sup>a,b</sup>, Ezequiel Wolcan<sup>a</sup>, Pedro M. David-Gara<sup>c</sup>, Franco M. Cabrerizo<sup>b</sup>, Guillermo J. Ferraudi<sup>d</sup>, Gustavo T. Ruiz<sup>a,\*</sup>

<sup>a</sup> Instituto de Investigaciones Fisicoquímicas Teóricas y Aplicadas (INIFTA, UNLP, CCT La Plata-CONICET), Diag. 113 y 64, Sucursal 4, C.C. 16, (B1906ZAA) La Plata, Argentina

<sup>b</sup> Instituto Tecnológico de Chascomús (INTECH, UNSAM, CONICET), Intendente Marino Km 8.2, CC 164 (B7130IWA), Chascomús, Argentina

<sup>c</sup> Centro de Investigaciones Ópticas (CIOP, CONICET, CIC), Universidad Nacional de La Plata, CC.3, (1897), La Plata, Argentina

<sup>d</sup> Radiation Research Building, University of Notre Dame, Notre Dame, IN 46556, United States

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### ABSTRACT

The photochemical and photophysical properties of a  $Re(I)$  tricarbonyl complex,  $ClRe(CO)_3(nHo)_2$ , where  $nHo = 9H$ -pyrido[3,4-*b*] indole (norharmane), were investigated in solution phase by a combination of steady state emission spectroscopy, laser flash photolysis (LFP) and pulse radiolysis (PR) techniques. These results allowed us to identify and study the reactivity of the  $\beta$ -carboline ( $nHo$ ) Rhenium(I) complex main excited states. The absorption spectrum as well as the steady-state and time-resolved luminescence of the complex exhibits a marked dependence with the solvent properties. These experimentally observed results were corroborated by quantum chemical calculations, TD-DFT. The most important electronic transitions present in the spectrum in all solvents are  $MLCT_{Re(CO)_3 \rightarrow nHo1, nHo2}$  along with a mixture of  $IL_{nHo}$  and  $LLCT_{Cl \rightarrow nHo}$  transitions. The relationship between the dipole moment and the polarity of the solvent was rationalized in terms of the electron density inside and outside the complex. While the luminescence of the complex is mainly attributed to the emitting  $^1IL_{nHo}$  state, in LFP experiments a  $MLCT$  excited state was also detected. The species generated in either reductive or oxidative conditions in LFP experiments were compared with those obtained in PR. Also, the quenching rate constant ( $k_q$ ) of the excited state with  $MV^{+2}$  was calculated. The excited state of the complex can efficiently generate singlet oxygen in acetonitrile yielding a  $\Phi_\Delta = 0.25 \pm 0.02$ . Optoacoustic measurements showed that, after photonic excitation, almost all the absorbed energy by the complex is released to the medium as prompt heat. The investigated photophysical and photochemical properties of  $ClRe(CO)_3(nHo)_2$  are of significant importance in relation to the use of this  $\beta$ -carboline Rhenium(I) complex in several biomedical fields, such as photodynamic therapy and photoactivated chemotherapy as well as new alternative therapies such as regional hyperthermia.

### 1. Introduction

$\beta$ -Carbolines ( $\beta$ Cs) are a group of alkaloids widely spread in nature. Their presence has been suggested in several plant and animal species, displaying a pharmacological functions including antioxidants, anti-tumor, antiviral and antimicrobial activities, among many others [1–10]. In addition, these biological activities can be enhanced or triggered by light [11–17].

As it is widely known, the combination of light-sensitive bioactive ligands such as  $\beta$ Cs with transition metals permits the design of innovative compounds that can optimize or enhance the intrinsic

photochemical, photophysical, or photobiological properties of the non-coordinated  $\beta$ C molecules [18–22]. Recent work from a few research groups has shown the potential anticancer properties of different  $\beta$ -carboline metal complexes [23]. The nature of metal core strongly modulates the multi-mechanistic anticancer activities as well as the selective toxicity against different cancer cell lines. In addition, the type of transition metal bound to the  $\beta$ C derivative induce distinctive photophysical properties [24–28]. In particular, Rhenium (I) tricarbonyl complexes with different polypyridines as bidentate ligands, in addition to on  $\beta$ C moiety placed as an ancillary ligand, represent a set of metal complexes with quite promising photophysical and photobiological

\* Corresponding author.

E-mail address: [gruiz@inifta.unlp.edu.ar](mailto:gruiz@inifta.unlp.edu.ar) (G.T. Ruiz).

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properties. We have shown, for example, the differential capabilities of this kind of complexes to induce (or photoinduce) DNA damage [29,30]. In general,  $Re(I)$  complexes offer numerous advantages over other metal coordination compounds such as thermal and photochemical stability and an exceptionally rich excited-state and thermal redox chemistry behavior, among others [29,31–35]. In addition, the accessible excited states of  $Re(I)$  tricarbonyl complexes, such as metal to ligand charge transfer ( $MLCT_{Re \rightarrow L}$ ) and/or intraligand (IL) excited states, are generally long-lived and luminescent at room temperature [36–39]. These conditions allow us to investigate the photophysical processes in which these excited states are involved as well as their redox reactivity [29, 40–43]. Besides,  $Re(I)$  complexes has been proposed, also, as promising candidates in anticancer treatments and as biological probes [44–50].

While there are scarcely reported  $Re(I)$  complexes with  $\beta$ Cs as ligands present in the bibliography, in all the recorded complexes the photophysical properties of the compounds were commanded by the bidentate accompanying luminophore [25,26,51]. In those complexes, the  $\beta$ C derivative was acting only as a spectator ligand, with a minor photophysical role when compared with the other moieties.

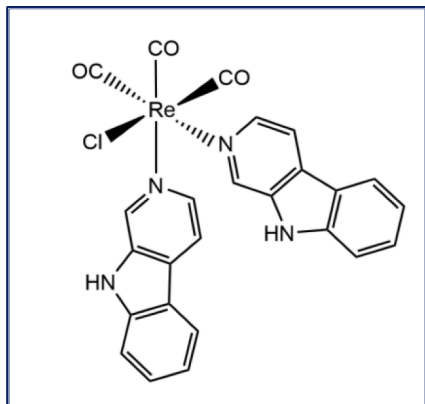
Therefore, in this work, we undertook a deep photophysical and photochemical study of a  $Re(I)$  complex with  $\beta$ Cs as the only type of constitutive ligand,  $ClRe(CO)_3(nHo)_2$  where  $nHo =$  norharmane, to avoid the influence of any additional ligands, (Scheme 1) [25].

Although we have recently shown that the most important electronic transitions present in the absorption spectrum of this complex are metal to ligand charge transfer ( $MLCT_{Re \rightarrow nHo}$ ) along with a mixture of intraligand ( $IL_{nHo}$ ) and ligand to ligand charge transfer ( $LLCT_{Cl \rightarrow nHo}$ ) transitions by TDDFT calculations [25], in this work we extend the studies on the experimental photophysical behavior of solutions of  $ClRe(CO)_3(nHo)_2$  complex in solvents of different polarity. We also present their photoluminescence properties (such as excited state photoluminescence lifetimes, emission spectra, and quantum yields) in different solvents, and these experimental results were complemented through theoretical calculations. With the assistance of laser flash photolysis (LFP) and pulse radiolysis (PR) techniques, we performed a full analysis of the possible excited state species and/or radicals derived from the complex after a pulse of light or electrons. Furthermore, the excited states redox properties of the complex were examined by both reductive and oxidative quenching. Finally, the ability of the complex to generate singlet oxygen was evaluated as well as their skill to release heat through laser-induced optoacoustic spectroscopy (LIOAS) measurements.

## 2. Methods and materials

### 2.1. General

$ClRe(CO)_3(nHo)_2$  ( $Re(nHo)_2$ ) and Methyl-Viologen ( $MV^{+2}$ ) were



Scheme 1. Structure of  $ClRe(CO)_3(nHo)_2$  ( $Re(nHo)_2$ ).

available from previous works [25,52]. Norharmane, 2-hydroxybenzophenone and phenalenone were purchased from Sigma Aldrich at the highest purity available and were used as received. Spectrograde and HPLC grade acetonitrile (ACN), dichloromethane (DCM), ethanol (EtOH), dimethylsulfoxide (DMSO), tetrahydrofuran (THF), toluene (Tol), acetone (Ace) and triethylamine (TEA) were purchased to Sigma-Aldrich and used without further purification. Methanol (MeOH), benzene (BzH) and carbon tetrachloride ( $CCl_4$ ) were purchased to Merck and  $K_2HPO_4$  to J.T. Baker.

### 2.2. Solutions with methyl-viologen

Methanolic solutions of  $Re(nHo)_2$  with different concentrations of  $MV^{+2}$  were prepared by adding different volumes of  $MV^{+2}$  ( $10^{-4}$  M) to the complex solution, reaching a final volume of 10 ml. The concentration of the complex ( $10^{-5}$  M) was kept constant in all experiments.

### 2.3. Photochemical and photophysical measurements

UV–vis spectra were recorded on a Shimadzu UV-1800 spectrophotometer. Emission spectra were obtained with a computer-interfaced Near-IR Fluorolog-3 Research Spectrofluorometer and were corrected for differences in spectral response and light scattering. Solutions were deaerated with  $O_2$ -free  $N_2$  in a gas-tight cell before recording the spectra. Luminescence lifetime measurements were carry out using a nanoLED,  $\lambda_{ex} = 341$  nm, as excitation source. Photoluminescence quantum yields,  $\Phi_F$ , were calculated using eq. (1).

$$\Phi_F = \frac{A_{ref} I_F n_F^2}{A_F I_{ref} n_{ref}^2} \Phi_{ref} \quad (1)$$

where  $A$  is the absorbance of the sample,  $I$  is the intensity of the luminescence calculated as the integral under the entire emission spectra,  $\Phi_{ref}$  is the quantum yield of the reference and  $n$  is the refractive index of the solvent. For the experiments,  $nHo$  ( $\Phi_{ref} = 0.30$  in MeOH) was used as reference [53–55].

### 2.4. Flash photolysis and pulse radiolysis

Absorbance changes,  $\Delta A$ , occurring in a time scale longer than 10 ns were investigated with a flash photolysis apparatus described elsewhere [56,57]. In these experiments, 10 ns flashes of 351 nm light were generated with a Lambda Physik SLL –200 excimer laser. The energy of the laser flash was attenuated to values equal to or less than 20 mJ/pulse by absorbing some of the laser light in a filter solution of  $Ni(ClO_4)_2$  having the desired optical transmittance,  $T = I_t / I_0$  where  $I_0$  and  $I_t$  are respectively the intensities of the light arriving to and transmitted from the filter solution. The transmittance,  $T = 10^{-A}$ , was routinely calculated by using the spectrophotometrically measured absorbance,  $A$ , of the filter solution. A right-angle configuration was used for the pump and the probe beams. Concentrations of the photolytes were adjusted to provide homogeneous concentrations of photogenerated intermediates over the optical path,  $l = 1$  cm, of the probe beam. To satisfy this optical condition, solutions were made with an absorbance equal to or less than 0.8 over the 0.2 cm optical path of the pump.

Pulse radiolysis experiments were carried out with a model TB-8/16–1S electron linear accelerator, LINAC. The instrument and computerized data collection for time-resolved UV–Vis spectroscopy and reaction kinetics have been described elsewhere in the literature [58,59]. Thiocyanate dosimetry was carried out at the beginning of each experimental session. The details of the dosimetry have been reported elsewhere [60]. The procedure is based on the concentration of  $(SCN)_2^\bullet$  radicals generated by the electron pulse in a  $N_2O$  saturated  $10^{-2}$  M  $SCN^-$  solution. In the procedure, the calculations were made with  $G = 6.13$  and an extinction coefficient,  $\epsilon = 7.58 \times 10^3 M^{-1} cm^{-1}$  at 472 nm, for the  $(SCN)_2^\bullet$  radicals [58,60]. In general, the experiments were carried

out with doses that in N<sub>2</sub> saturated aqueous solutions resulted in  $(2.0 \pm 0.1) \times 10^{-6}$  M to  $(6.0 \pm 0.3) \times 10^{-6}$  M concentrations of  $e^-_{\text{solv}}$ . In all the experiments, solutions in methanol were deaerated under vacuum in a gas-tight cell. In methanolic solutions, the radiolytic pulse yield  $e^-_{\text{solv}}$  and C<sup>•</sup>H<sub>2</sub>OH radicals according to the following reactions [scheme 2](#):

Thereby, in pulse radiolysis of methanolic solutions under an N<sub>2</sub> atmosphere, the main reducing species formed are  $e^-_{\text{solv}}$  and C<sup>•</sup>H<sub>2</sub>OH [60]. As these species have large reduction potentials ( $-0.92$  V for C<sup>•</sup>H<sub>2</sub>OH and  $-2.8$  V for  $e^-_{\text{solv}}$ , both against NHE [61]) they have been used for the study of both electron transfer and reduction reactions of different species like coordination complexes of different transition metals. The G-value of  $e^-_{\text{solv}}$  in MeOH ( $G \approx 1.2$ ) is approximately one-third part of the yield in the radiolysis of H<sub>2</sub>O ( $G \approx 2.8$ ). When the  $e^-_{\text{solv}}$  is scavenged with N<sub>2</sub>O, the C<sup>•</sup>H<sub>2</sub>OH radical is the predominant product (yield >90%) of the reaction between O<sup>•-</sup> and CH<sub>3</sub>OH. The reaction kinetics were investigated by following the absorbance change at given wavelengths of the spectrum and incorporating those changes in the dimensionless parameter  $\xi$ , according to [eq. \(2\)](#).

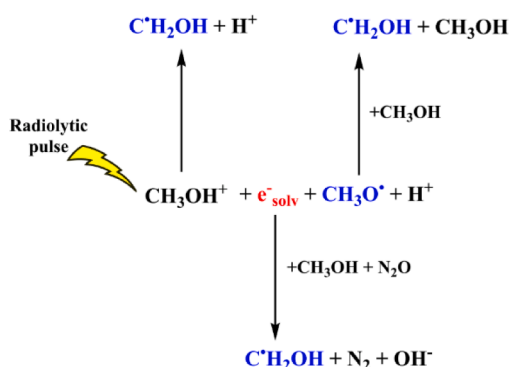
$$\xi = \frac{\Delta A_{\text{inf}} - \Delta A_t}{\Delta A_{\text{inf}} - \Delta A_0} \quad (2)$$

where  $\Delta A_0$ ,  $\Delta A_t$  and  $\Delta A_{\text{inf}}$  are the absorbance changes at the beginning of the reaction, at a given time  $t$ , and at the end of the reaction, respectively.

### 2.5. Singlet oxygen production and optoacoustic measurements

The experimental details of this technique have been published previously [62]. Briefly, quantum yields of photosensitized singlet oxygen production,  $\Phi_\Delta$ , were obtained using the third harmonic of a Q-switched Nd-YAG laser as the excitation source ( $\lambda_{\text{ex}} = 355$  nm, Surelite II- Continuum), looking at the 1270 nm <sup>1</sup>O<sub>2</sub> phosphorescence with a Ge-photodiode (Applied Detector Corporation, resolution time of 1  $\mu$ s). Measurements were performed in air-equilibrated solutions. The averages of signals generated by 64 laser shots were recorded to improve the signal-to-noise ratio. Single exponential analysis of emission decays was performed with the exclusion of the initial part of the signal.  $\Phi_\Delta$  was determined by measuring its phosphorescence intensity using an optically matched solution of a reference sensitizer. In acetonitrile, the reference used was phenalenone ( $\Phi_\Delta = 0.975$ ) [63].

Photoacoustic measurements were performed by using a set-up already described [62]. The resolution time in our experimental set-up,  $t_R$ , was ca. 800 ns [62]. 2-hydroxybenzo-phenone was used as calorimetric reference (CR) compounds in ACN solutions [64,65]. Experiments were performed under a controlled atmosphere, bubbling N<sub>2</sub> or O<sub>2</sub> in the solution, for 15 min. In principle, all the excited species with lifetimes  $t \leq \frac{1}{2} t_R$  release their heat content as prompt heat, whereas excited species with a lifetime of  $\tau \geq 5 t_R$  function as heat storage within the time resolution of the LIOAS experiment. For the handling of the



**Scheme 2.** Generated MeOH-derived species after the radiolytic pulse.

LIOAS signals, [eq. \(3\)](#) was used, which relates the peak to peak amplitude of the first optoacoustic signal ( $H$ ) with the fraction of the excitation laser fluence ( $F$ ) absorbed by the sample [66].

$$H = K\alpha F(1 - 10^{-A}) \quad (3)$$

where  $K$  is the experimental constant that contains the thermo-elastic parameters of the solution as well as instrumental factors,  $A$  is the absorbance of the sample at  $\lambda_{\text{ex}}$  and  $\alpha$  is the fraction of the energy released to the medium as prompt heat within the time resolution of the experiment.

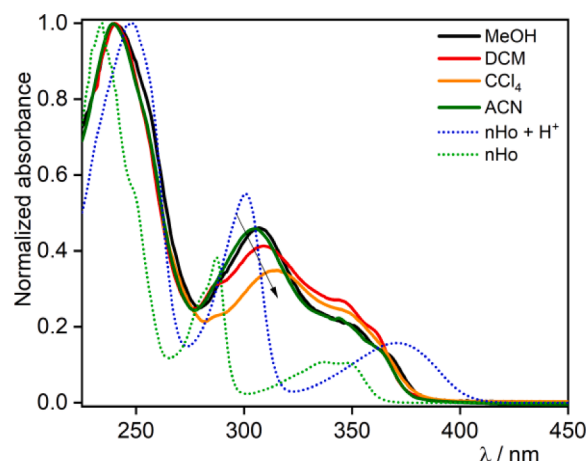
## 3. Results and discussion

### 3.1. UV-Vis spectroscopy

[Fig. 1](#) shows the solvent dependence of the spectral features of **Re(nHo)<sub>2</sub>** in solvents of different polarities. As observed, the intense band centered at  $\lambda_{\text{max}} \sim 240$  nm is practically not affected by the solvent, as depicted also in [Table 1](#). In contrast, the lower energy absorption band experiences a bathochromic shift and an intensity decrease as the dielectric constant ( $\epsilon$ ) drops. The latter fact was further explored with an additional group of solvents, showing a clear correlation between the wavelength of the lower energy band with the solvent dielectric constant ([Figure S1a](#)). Similar spectroscopic behavior observed previously in the non-coordinated nHo indicates a strong interaction of the solvent with the ligand [53,67].

A comparative analysis of the absorption spectra of the complex in methanolic solutions with those recorded for non-coordinated neutral (nHo) and protonated (nHoH<sup>+</sup>) norharmane ([Fig. 1](#)), clearly evidenced that, despite other electronic transitions, both nHo-like (probably to a greater extent) and nHoH<sup>+</sup>-like  $\beta$ C's rings contribute, at least in part, to the overall absorption of **Re(nHo)<sub>2</sub>** [25,53,67,68]. In addition, new charge transfer transitions must be present also at  $\lambda > 300$  nm due to the coordination of the  $\beta$ C molecule to **Re(I)** metal.

It should be noted that although the recorded spectra show that the highest energy band is rather insensitive to the polarity of the solvent while the band centered at  $\sim 310$  nm experiences a bathochromic shift when the polarity of the solvent decreases, this effect is not seen with DMSO ([Figure S1a](#)). This fact pinpoints to a probable specific interaction between DMSO and the **Re(I)** complex which was not taken account in the theoretical calculations presented below.



**Fig. 1.** Normalized UV-Vis spectra of **Re(nHo)<sub>2</sub>** in different solvents (solid lines). For comparison, non-coordinated nHo and nHoH<sup>+</sup> in neutral and acidic organic solvent (green and blue dotted lines, respectively) are also shown. Absorption coefficients are displayed in [Figure S1b](#).

**Table 1**

$\lambda_{\text{max}}$  of  $\text{Re}(\text{nHo})_2$  in different solvents and dipole moment ( $\mu$ ) and dielectric constant ( $\epsilon$ ) of the solvents [69].

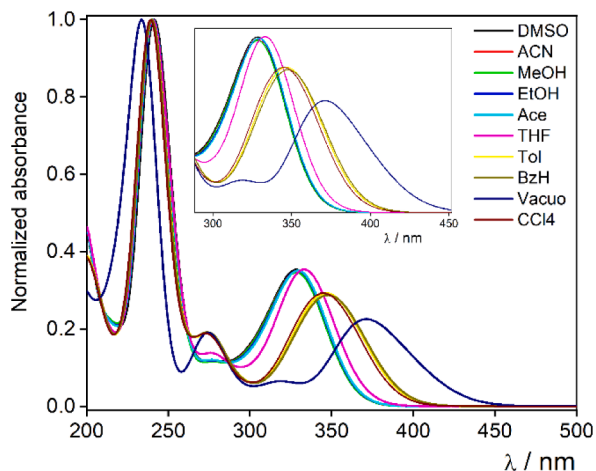
Solvent	$\lambda_{\text{max}}$ , nm	$\lambda_{\text{max}}$ , nm	$\mu$ , D (debye)	$\epsilon$ at 25 °C
$\text{CH}_3\text{CN}$	239	305	3.53 (at 25 °C)	35.94
$\text{CH}_3\text{OH}$	241	307	2.87 (at 20 °C)	32.66
$\text{CH}_2\text{Cl}_2$	241	309	1.14	8.93
$\text{CCl}_4$	—	315	0	2.23

### 3.2. Quantum chemistry of $\text{Re}(\text{nHo})_2$

To get a deeper understanding of the absorption spectrum of  $\text{Re}(\text{nHo})_2$  and its dependence with the solvent described above, a systematic TD-DFT theoretical study is present herein. To this aim, spectra were simulated under 10 different solvents (i.e., PCM = DMSO, ACN, MeOH, EtOH, Ace, DCM, THF, Tol, BzH and  $\text{CCl}_4$ ) with different intensive properties. A set of MLCT, LLCT and IL electronic transitions was taken into account in the calculations. The calculations were carried out at the M06/6–311 G/6–311G\*/LanL2TZ(f)/PCM level of theory.

Fig. 2 depicts the UV–visible absorption spectra of  $\text{Re}(\text{nHo})_2$  simulated under both vacuo and the 10 different solvents mentioned above (Figure S1a). The calculated absorption spectra follow the experimental trend showing a clear bathochromic shift of the lowest energy absorption band as the polarity of the solvent decreases while the higher energy band experiences hardly any shift. In addition, the highest energy band in vacuo is only blue-shifted by 7 nm relative to the solvent media while the lowest energy band in vacuo is displaced by 20–40 nm to the red relative to its position under the PCM. Electronic transitions results calculated at the same level of theory in vacuo, BzH, and DMSO are summarized in Tables S1–S3. The most relevant MOs which are responsible for the electronic transitions in the absorption spectroscopy of  $\text{Re}(\text{nHo})_2$  in the 230–500 nm wavelength range are: HOMO, LUMO, and the groups of MOs H–10 through H–1 and  $L + 1$  through  $L + 6$ . The percentage compositions of those MOs were obtained from Mulliken population analysis with the aid of AOMIX program from contributions of five fragments: (i) Re atom, (ii) the three carbonyls, (iii) nHo-1 molecule, (iv) nHo-2 molecule, and (v) Cl atom. Tables S4–S5 show the calculated% compositions of all fragments at each MO for  $\text{Re}(\text{nHo})_2$  in BzH and DMSO.

In the 330–500 nm region, the most relevant electronic transitions are  $\text{H} \rightarrow \text{L}$ ,  $\text{H} \rightarrow \text{L} + 1$ ,  $\text{H-1} \rightarrow \text{L}$ ,  $\text{H-2} \rightarrow \text{L}$ , and  $\text{H-2} \rightarrow \text{L} + 1$ . Fig. 3 shows the spatial plots of a selection of those MOs of  $\text{Re}(\text{nHo})_2$  in vacuo, BzH, THF, and DMSO, which give insight into the electronic transitions. Fig. 3 shows that in vacuo, H and H–1 are MOs mostly centered on the Re atom with contributions from the three CO while L and  $L + 1$  are MOs



**Fig. 2.** Simulation of the UV/Vis spectra of  $\text{Re}(\text{nHo})_2$  in 10 different solvents and vacuo. Inset: Amplification of the band centered in  $\lambda \sim 350$  nm.

centered on nHo2 and nHo1, respectively. H-2 is very similar in shape to H-1. Therefore, all the electronic transitions in vacuo in this region of wavelengths are MLLCT( $\text{Re}(\text{CO})_3 \rightarrow \text{nHo1}, \text{nHo2}$ ). MO shapes in BzH are very similar to MO shapes in vacuo. In THF, however, H and H–1 have in addition significant contributions from nHo1 and nHo2, respectively. On the other hand, L and  $L + 1$  have in THF even contributions from nHo1 and nHo2 and those MOs are widespread between both ligands. In DMSO, the charge density is widespread between Re, COs, nHo1, and nHo2 in H and H–1. The solvent effect on MOs charge density is responsible for a decrease of the percentage of charge-transfer transition, CT%, as polarity increases, from 48% in vacuo to nearly 32% in DMSO (see definition of CT% in Supporting Material and Table S6).

The decrease in CT% as polarity increases also explains the bathochromic shift of the calculated MLLCT( $\text{Re}(\text{CO})_3 \rightarrow \text{nHo1}, \text{nHo2}$ ) wavelength ( $\lambda_{\text{calc}}$ ) as solvent polarity decreases. As the charge density over Re is lower in DMSO than in BzH, the energy of the MLLCT( $\text{Re}(\text{CO})_3 \rightarrow \text{nHo1}, \text{nHo2}$ ) is higher in DMSO than in BzH and the charge transfer process becomes energetically less feasible. As observed in the previous figures, our calculations are in agreement with the negative solvatochromism observed in less polar solvents. The direction of the solvent dependence is associated with a reduced (and reversed) molecular dipole in their MLCT excited states, as stated in the bibliography [70]. As depicted in the Fig. 4, the molecular dipole moment,  $\mu$ , increases with the increase on the dielectric constant,  $\epsilon_r$ . Moreover, the vector of the dipole moment is directed between the two nHo ligands.

The solvent effect on the dipole moment can be interpreted in terms of electron density plots (Figure S2). In vacuo, the electron density is more concentrated inside the “shape” of the molecule. However, when the polarity of the solvent increases, the electron density becomes more diffuse and it is enhanced outside the molecular limits. Therefore,  $\mu$  increases.

### 3.3. Photophysical and photochemical measurements of $\text{Re}(\text{nHo})_2$

#### 3.3.1. Luminescence measurements

The emission spectra of  $\text{Re}(\text{nHo})_2$  were recorded in MeOH, ACN, and DCM (Fig. 5). For comparative purposes, spectra of non-coordinated nHo recorded under different solvent or pH conditions are also shown.

Luminescence spectra of both  $\text{Re}(\text{nHo})_2$  and non-coordinated nHo, showed a strong solvent dependence. Briefly, a set of three emission bands centered 370–400 nm, 400–480 nm, and 480–550 nm. While the lowest energy transition could be associated to MLCT transitions, the spectrum of non-coordinated nHo suggests a dominant contribution of  $\text{IL}_{\text{nHo}}$  transition [25,67,72]. When  $\text{Re}(\text{nHo})_2$  is dissolved in a non-protic solvent with a low polarity like ACN, the only evident emission band is the one attributed to an intraligand excited state,  $\text{IL}_{\text{nHo}}$ , where the emission spectrum of the complex is quite similar to the emission spectrum of the non-coordinated nHo ligand, with no spectral contributions at wavelength larger than 450 nm. On the contrary, emission spectrum of  $\text{Re}(\text{nHo})_2$  recorded in methanolic solution (Fig. 5, left) shows two broad bands. The highest energy bands ( $\lambda \sim 360 - 390$  nm) are attributed to the radiative decay of  $\text{IL}_{\text{nHo}}$  electronic states [68,73,74]. The emission centered at  $\lambda \sim 440$  nm, has been previously attributed to an  $\text{IL}_{\text{nHo}}$  excited state where the n electrons of the pyridinic N are compromised, as in the cationic form of nHo ( $\text{nHoH}^+$ ) [67,72]. In addition, a shoulder spans the 480–600 nm region of the spectra. As it was previously established, when an organic molecule is part of a transition metal complex, nonbonding electrons of the N atoms of the ligands, that contributed the  $\text{n}\pi^*$  excited state, is turned into a charge transfer (CT) excited state. Bearing this in mind we can assume that this effect could explain the similarities observed in the luminescence spectra in ACN and MeOH namely where interaction of solvent molecules with the nonbonding electrons is strong [53]. Conversely in DCM, the emission spectrum of non-coordinated nHo does not resemble the spectra of the complex. While the nHo emission band ( $\text{IL}_{\text{nHo}}$  excited state) is located at  $\lambda_{\text{max}} = 380$  nm, a band centered at  $\lambda_{\text{max}} = 450$  nm was

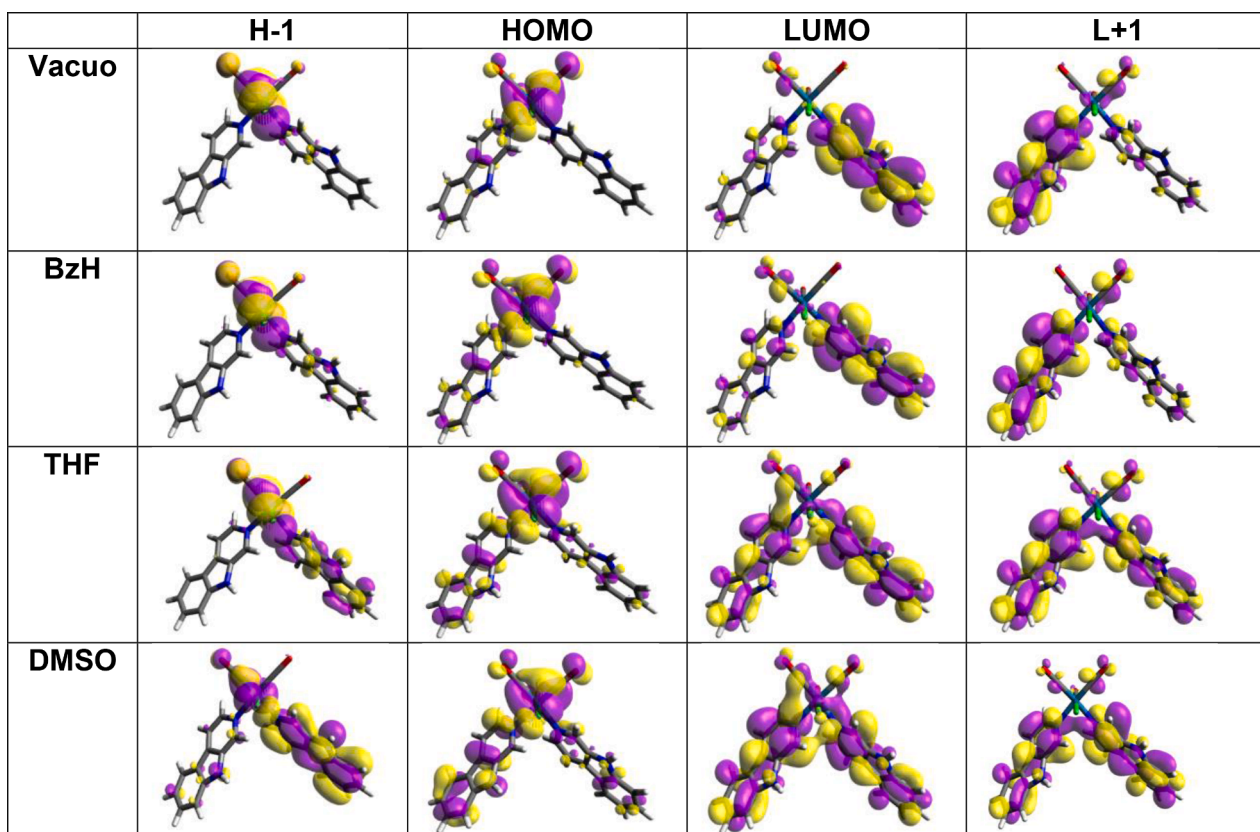


Fig. 3. Spatial plots of most representative MOs of  $\text{Re}(\text{nHo})_2$  (isovalue = 0.02).

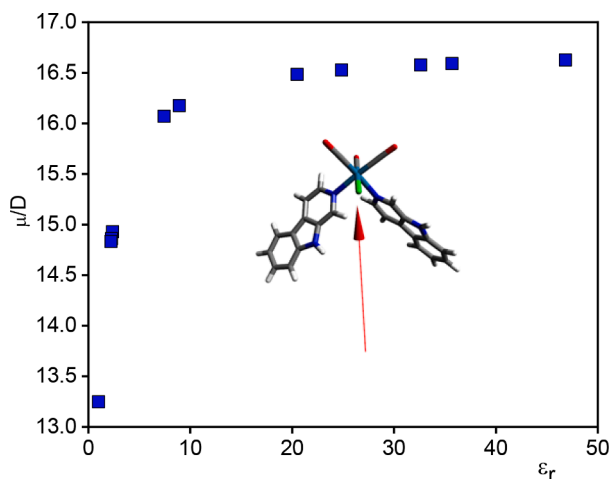


Fig. 4. Molecular dipole moment,  $\mu$ , of  $\text{Re}(\text{nHo})_2$  as a function of the dielectric constant,  $\epsilon_r$ .

observed to  $\text{Re}(\text{nHo})_2$ . Under acidic conditions, when the  $n$  electrons of the pyridinic N of the free nHo are compromised, as in  $\text{nHoH}^+$ , a  $\lambda_{\text{max}}$  of 450 nm is observed. This equivalence between the spectra of the  $\text{nHoH}^+$  and  $\text{Re}(\text{nHo})_2$  in DCM suggests that in this solvent the complex experiments a charge distribution where an electronic deficiency over the nHo is obtained and therefore the spectral features of an  $\text{IL}_{\text{nHo}}$  electron-deficient excited state is observed. In this sense, the nature of the excited state responsible for the luminescence at  $\lambda \sim 450$  nm could be attributed to a protonated-like  $\text{IL}_{\text{nHo}}$  excited state [67]. The changes in the electronic density, along with the “heavy atom effect” caused by the Re atom can lead to an electronic distribution like the free  $\text{nHoH}^+$ , giving as a result similar emission spectral features.

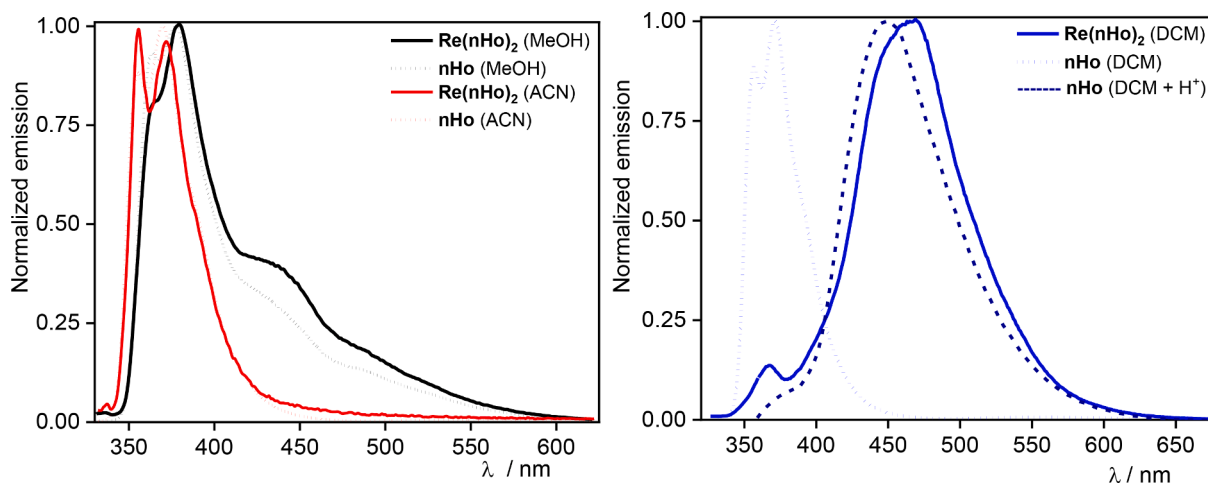
Photoluminescence quantum yields ( $\Phi_F$ ), as well as the photoluminescence excited state lifetimes ( $\tau$ ) of  $\text{Re}(\text{nHo})_2$ , were measured in ACN, DCM, and MeOH, and the results are depicted in Table 2. Luminescence lifetime were recorded at different wavelengths, depending on the prominent emission bands of the complex.

As shown in Table 2, both  $\Phi_F$  and  $\tau$  also depend in a certain way on the solvent. From the obtained values of  $\tau$ , two main points can be discussed. First, as depicted, there is a mild dependency on the presence of  $\text{O}_2$ , as expected for this kind of compounds and their ability to interact with  $^3\text{O}_2$  to generate  $^1\text{O}_2$ , (see below). Second, the obtained values for the two main  $\text{IL}_{\text{nHo}}$  emission bands closely resemble the  $\tau$  previously reported for the excited states of non-coordinated nHo molecule [53, 67]. This outcome suggests once more, that the main emitting excited states in  $\text{Re}(\text{nHo})_2$  are mainly  $\text{IL}_{\text{nHo}}$ .

### 3.3.2. Photochemical reactions induced by laser flash photolysis (LFP)

LFP measurements were carried out using a XeF laser ( $\lambda_{\text{ex}} = 351$  nm). Fig. 6 shows the transient spectra obtained when either  $\text{Re}(\text{nHo})_2$  or non-coordinated nHo solutions ( $10^{-5}$  M) were flash photolyzed in MeOH. As it can be observed, the main transient absorption band observed with  $\text{Re}(\text{nHo})_2$  solution is centered at  $\lambda_{\text{max}} \sim 555$  nm (blue). However, the main absorption band with the nHo solution is centered at  $\lambda_{\text{max}} \sim 500$  nm (red). The latter spectral feature was previously attributed to an excited state of the nHo [68]. The spectra obtained are dissimilar and, therefore, the transient of  $\text{Re}(\text{nHo})_2$  should not be assigned to an intrinsic  $\text{IL}_{\text{nHo}}$  excited state.

Besides, the decay of the transient of the complex after the irradiation adjusts perfectly to a monoexponential decay ( $\tau = 75$  ns), suggesting that only one species is present. This lifetime is much longer than the luminescence lifetime of  $\text{Re}(\text{nHo})_2$  (Table 2), and is markedly shorter than the reported (and also measured in this work) for the transient decay of non-coordinated nHo ( $\tau = 2$   $\mu\text{s}$ ), as it is shown in the supplementary information (Figure S3) [53,68,72]. These differences

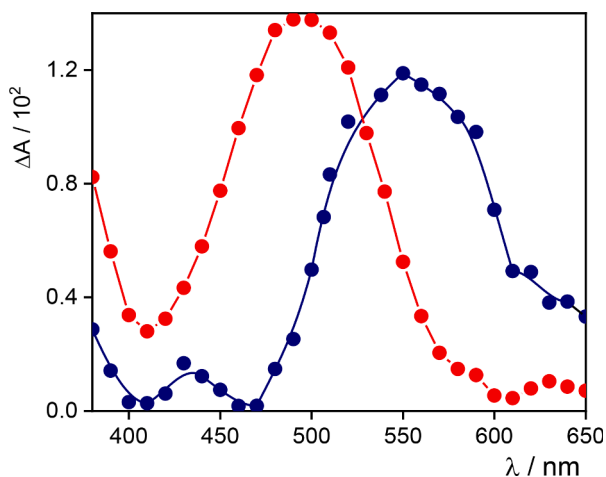


**Fig. 5.** Left: normalized emission spectra of  $\text{Re}(\text{nHo})_2$  (dash lines) and  $\text{nHo}$  (dotted lines) in ACN (red) and MeOH (black). Right: normalized emission spectra of  $\text{Re}(\text{nHo})_2$ ,  $\text{nHo}$  in DCM and  $\text{nHo}$  in DCM +  $\text{H}^+$  (dotted line and dashed line, respectively)  $\lambda_{\text{ex}} = 320$  nm. Emission spectra of non-coordinated  $\text{nHo}$  are in agreement with that reported in the literature [53,68,71].

**Table 2**

Photoluminescence excited state lifetimes and emission quantum yields of  $\text{Re}(\text{nHo})_2$  in different solvents.

Solvent	$\Phi_{\text{F}}$	$\tau$ $\text{Re}(\text{nHo})_2$ $\lambda_{\text{em}} = 380$ nm/air equilibrated/ns	$\tau$ $\text{Re}(\text{nHo})_2$ $\lambda_{\text{em}} = 380$ nm/ $\text{N}_2$ equilibrated/ns	$\tau$ $\text{Re}(\text{nHo})_2$ $\lambda_{\text{em}} = 450$ nm/air equilibrated/ns	$\tau$ $\text{Re}(\text{nHo})_2$ $\lambda_{\text{em}} = 450$ nm/ $\text{N}_2$ equilibrated/ns
ACN	0.010	$\tau = 3.06 \pm 0.02$	$\tau = 3.84 \pm 0.02$	–	–
DCM	0.025	$\tau = 2.56 \pm 0.02$	$\tau = 2.71 \pm 0.02$	$\tau_1 = 1.25 \pm 0.03$ (93%) $\tau_2 = 20.4 \pm 0.3$ (7%)	$\tau_1 = 1.41 \pm 0.06$ (81%) $\tau_2 = 17.2 \pm 0.2$ (19%)
MeOH	0.018	$\tau = 3.77 \pm 0.01$	$\tau = 4.51 \pm 0.02$	$\tau_1 = 3.65 \pm 0.04$ (76%) $\tau_2 = 18.5 \pm 0.1$ (24%)	$\tau_1 = 4.36 \pm 0.06$ (72%) $\tau_2 = 26.2 \pm 0.1$ (28%)



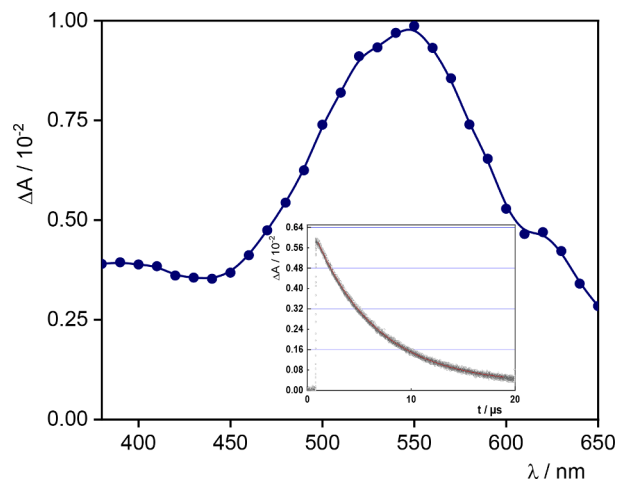
**Fig. 6.** LFP spectra for non-coordinated  $\text{nHo}$  (red) and  $\text{Re}(\text{nHo})_2$  complex (blue) recorded in MeOH.

are explained by assuming that some excited states in the  $\text{Re}(\text{nHo})_2$  complex are different than those present in the non-coordinated  $\text{nHo}$ , as observed previously in different coordination complexes with  $\text{nHo}$  as ligand [29].

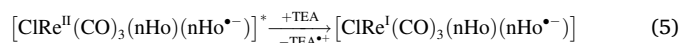
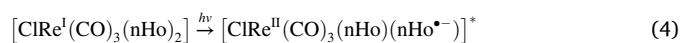
The ability of the excited states to participate in redox reactions it is well documented in the literature [29,56,75,76]. The electron transfer reactions between the excited states of  $\text{Re}(\text{I})$  complexes with the sacrificial electron donor triethylamine (TEA) as well as with the electron acceptor methyl viologen ( $\text{MV}^{2+}$ ) are often used to probe their redox reactivity. Reductive quenching with amines, in particular, it is used for the identification of MLCT excited states. They react generating a reduced radical of complex. In this work, the  $\text{Re}(\text{nHo})_2$  complex was

flash irradiated in a neat MeOH solution ( $10^{-5}$  M) containing also 0.1 M TEA. The transient spectrum recorded is shown in Fig. 7.

This transient spectrum is very similar to the one observed in the absence of TEA Fig. 6). In addition, the decay lifetime of the transient observed was longer lived ( $\tau = 6.04$   $\mu\text{s}$ ) than excited state decay. These results suggest that the similarity between the excited state and the transient in Fig. 7 spectral features is the result of the charge separation established in the complex when an excited state  $\text{MLCT}_{\text{Re} \rightarrow \text{nHo}}$  is populated (formally  $\text{Re}(\text{II})$  and a ligand radical anion) and the net location of an electron in the ligand, i.e., forming the reduced radical generated by reaction of the MLCT with TEA, Eq. (4) and (5).



**Fig. 7.** LFP spectra of  $\text{Re}(\text{nHo})_2$  with an excess of TEA in MeOH ( $\lambda_{\text{ex}} = 351$  nm). *Inset:* oscillographic trace recorded at  $\lambda_{\text{ob}} = 550$  nm showing the decay of the transient absorbance ( $\Delta A$ ), with a lifetime  $\tau = 6.04$   $\mu\text{s}$ .

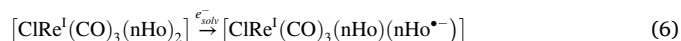


Thus, contrasting what is observed in a non-coordinated nHo solution, an MLCT excited state is generated when a solution of  $\text{Re}(\text{nHo})_2$  was flash photolyzed.

### 3.3.3. Pulse radiolysis (PR)

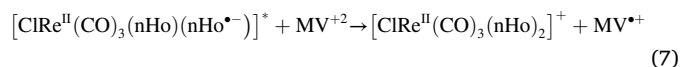
The pulse radiolysis technique was used also to generate the reduced radical of  $\text{Re}(\text{nHo})_2$  complex by reaction mainly with solvated electrons,  $e^-_{\text{solv}}$ , (experimental conditions MeOH/N<sub>2</sub>, see 2.4 section).

Fig. 8 shows that the spectrum for the reduced species of  $\text{Re}(\text{nHo})_2$ , (blue), consist of a narrow band at  $\lambda \sim 390$  nm along with a broad band at  $\lambda \sim 550$  nm, both bands nearly with the same intensity. The same spectral features are observed in the reduction of non-coordinated nHo (red). Hence, the complex is reduced by the thermal reaction with  $e^-_{\text{solv}}$  producing a radical, eq. (6).



The high spectral resemblance between the spectrum generated by PR and the spectrum recorded by LFP in the reductive quenching with TEA (Fig. 7), confirms the  $\text{Re}(\text{nHo})_2$  role of electron acceptor in the photoinduced process in which the participation of a  $\text{MLCT}_{\text{Re} \rightarrow \text{nHo}}$  excited state must be required [29].

Oxidative quenching of  $\text{Re}(\text{nHo})_2$  was investigated by the LFP generation of the excited states of the complex in the presence of  $\text{MV}^{+2}$ . The latter species oxidize the excited state of the complex producing the radical  $\text{MV}^{\bullet+}$ . Such a radical exhibits a characteristic absorption at  $\lambda \sim 600$  nm (Figure S4). The redox process must involve the photo-generation of MLCT, eq. (4), followed by its reaction with  $\text{MV}^{+2}$ , eq. (7).



Solutions of the complex with  $\text{MV}^{+2}$  were flash irradiated at 351 nm. The rate constants of the quenching reactions were determined by varying the concentration of  $\text{MV}^{+2}$  while keeping the complex concentration constant. The kinetics of the reactions was investigated under a pseudo-first-order regime in  $\text{MV}^{+2}$  concentration. A single exponential decay of the excited state with a rate constant ( $k_d$ ), eq. (8), corresponding to a pseudo-first-order dependence on  $\text{MV}^{+2}$  concentration was obtained [29,76].

$$\Delta A_t = \Delta A_0 e^{-k_d t} \quad (8)$$

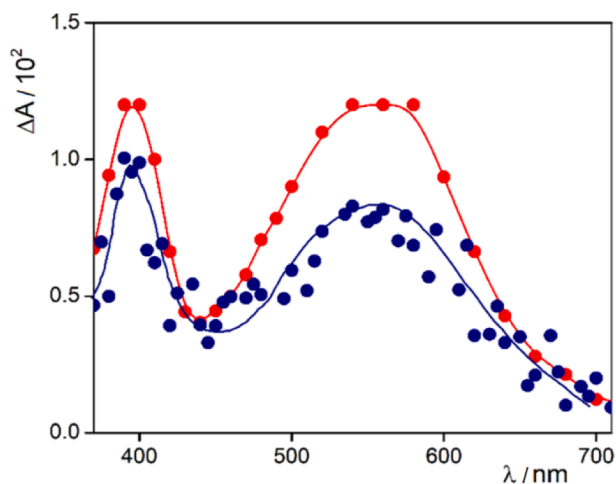


Fig. 8. Pulse radiolysis spectra of  $\text{Re}(\text{nHo})_2$  (blue) and non-coordinated nHo (red) of N<sub>2</sub> deaerated solutions ( $10^{-5}$  M, MeOH).

The quenching rate constant ( $k_q$ ) was calculated from the slope of the plot  $k_d$  vs  $\text{MV}^{+2}$ , yielding the value  $k_q = 4.8 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$  (Fig. 9). This value of  $k_q$  is in within the order of magnitude of  $k_q$  constants in the literature for structurally related complexes [29,77,78]. Furthermore, it is 2.3 to 34.3 times greater than those reported for the relative complexes  $[(\text{nHo})\text{Re}(\text{CO})_3(\text{L})]^+$ , where  $L =$  bidentate N,N'-ligands [29]. In sum, the excited state oxidation reaction of  $\text{Re}(\text{nHo})_2$  occurs with greater ease than those complexes with bidentate ligands. Such a greater ease is probably due to steric effects that energetically optimize the reaction path for monodentate ligands.

### 3.3.4. Singlet oxygen generation and optoacoustic measurements

Singlet oxygen generation by the  $\text{Re}(\text{nHo})_2$  complex in ACN solutions was analyzed by time-resolved phosphorescence measurements (1270 nm). The phosphorescence showed clear evidence of singlet oxygen formation. Linear correlations were obtained from the plots of the dependence of the singlet oxygen phosphorescence intensity emission at zero time,  $S(0)$ , as a function of the laser energy for the complex and the reference. From these slopes (Figure S5) and the usual procedure described elsewhere [62,79], the determined quantum yield of singlet oxygen production was  $\Phi\Delta = 0.25 \pm 0.02$ . This result is similar to values of  $\Phi\Delta$  of  $\text{Re}(\text{I})$  complexes which have similar structures or with nHo as ligand [30,62,80].

As observed in Fig. 10, the photoacoustic signal of  $\text{Re}(\text{nHo})_2$ , as well as the reference in ACN solutions, showed the same behavior: no time shift or changes of shape, with respect to the calorimetric reference signal (inset of Fig. 10). Linear relationships in both solvents were obtained between the amplitude of the first optoacoustic signal ( $H$ ) and the excitation fluence ( $F$ ) for samples and references at various  $A$ , in a fluence range between 1 and 10  $\text{J}/\text{m}^2$ . The ratio between the slopes of these lines for sample and reference yielded the values of  $\alpha$  for the samples. From these plots, considering that  $\alpha_R = 1$  for CR, the  $\alpha$  value =  $0.89 \pm 0.04$  was obtained for  $\text{Re}(\text{nHo})_2$  complex. Consequently, this complex released to the medium almost all the absorbed energy as prompt heat (integrated by the transducer) in processes faster than  $\tau_R/5$ . These values, combined with the photochemical data, were satisfactorily adjusted to the energy balance [62,66], where the molar exciting energy from the laser photon ( $E_\lambda = 80.5 \text{ kcal}/\text{mol}$  at 355 nm) provides the sum of the fraction of energy dissipated as prompt heat, plus the energy lost in rapid radiative processes, e.g. fluorescence, and the energy "stored" in long-lived transient species, such as a singlet oxygen.

## 4. Conclusions

The photophysical and photochemical properties of  $\text{ClRe}(\text{CO})_3(\text{nHo})_2$  were studied in solution phase. These results allowed us to

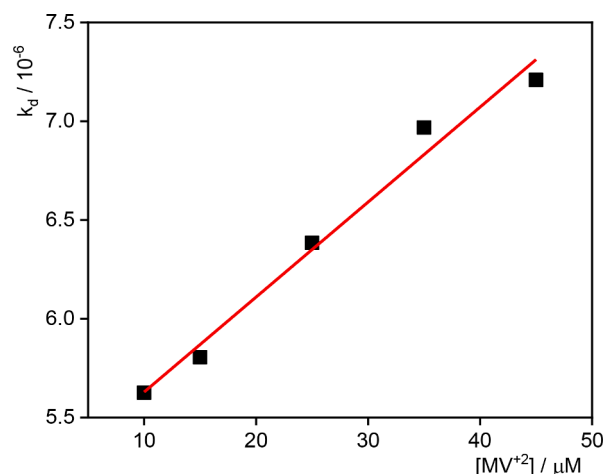
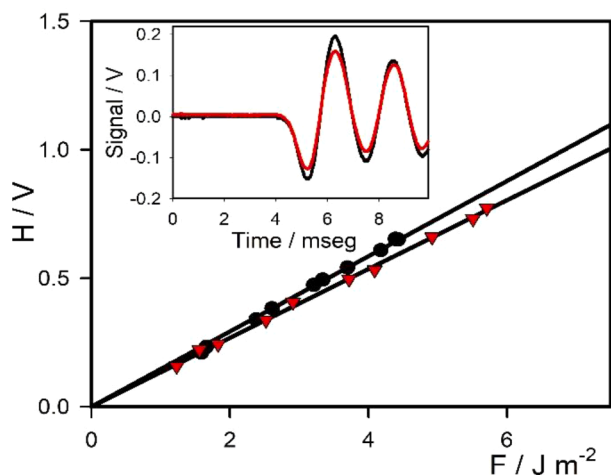


Fig. 9. Plot of  $k_d$  vs  $[\text{MV}^{+2}]$ .  $k_q$  is represented as the slope of the linear fit.



**Fig. 10.** Amplitude of the photoacoustic signals as a function of laser fluence for ACN solutions: 2-Hydroxybenzophenone (2-HBP, ●),  $\text{Re}(\text{nHo})_2$  complex (▼). *Inset:* Normalized photoacoustic signals of ACN solutions for 2-HBP (black line) and the  $\text{Re}(\text{nHo})_2$  complex (red line) with matched absorbances ( $0.158 \pm 0.002$ ).

identify and study the reactivity of the  $\beta$ -carboline Rhenium(I) complex main excited states. The absorption spectrum as well as the steady-state and time-resolved luminescence of  $\text{Re}(\text{nHo})_2$  complex exhibits a marked dependence with the solvent properties. TD-DFT calculations established that the most important electronic transitions present in the low energy region of the spectrum in all solvents are  $\text{MLCT}_{\text{Re}(\text{CO})_3 \rightarrow \text{nHo}1, \text{nHo}2}$  along with a mixture of  $\text{IL}_{\text{nHo}}$  and  $\text{LLCT}_{\text{Cl} \rightarrow \text{nHo}}$  transitions. The magnitude of the calculated dipole moment increases when the polarity of the solvent is increased. The latter was established due the electron density inside the complex becomes more diffuse and is enhanced outside the molecular limits. The complex luminescence is mainly attributed to the emitting  $^1\text{IL}_{\text{nHo}}$  state although overlapping emission from  $^3\text{MLCT}$  states in the low energy region cannot be ruled out. However, in flash photolysis experiments the MLCT excited states generated govern the photochemistry of the complex. The excited state of  $\text{Re}(\text{nHo})_2$  complex can efficiently generate singlet oxygen as well as reduced radicals or oxidized species of  $\text{Re}(\text{II})$  reactive against substrates present, for example, in cellular environments. These photophysical properties suggest the potential application of  $\text{Re}(\text{nHo})_2$  complex in photodynamic therapy (PDT) or photoactivated chemotherapy (PACT), antioxidant activity, or photocytotoxicity. In this sense, the cellular uptake characteristics of the  $\text{Re}(\text{nHo})_2$  in A549 cells as well as their cytotoxicity against these human carcinoma lung cells, acquire even more relevance [25]. Moreover, after photonic excitation, almost all the absorbed energy by the complex is released to the medium as prompt heat. This thermal feature could be of interest also for new alternative therapies such as regional hyperthermia that allows counteracting tumor growth and progression due to a localized increase of the temperature inside of the cancer cell. The photophysical properties established in the  $\text{ClRe}(\text{CO})_3(\text{nHo})_2$  allow us to imagine some intracellular photobiological action that could be modulated by the difference in the polarity of the different cell compartments.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.jpap.2021.100078](https://doi.org/10.1016/j.jpap.2021.100078).

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