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FT-IR and untargeted chemometric analysis for adulterant detection in chia and sesame oils

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1 2 2	FT-IR and untargeted chemometric analysis for adulterant detection in chia and sesame oils.
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20	
21	Abstract
22	Chia (Salvia hispanica L.) and sesame (Sesamum indicum L.) oils are valorized for their
23	health benefits and both are extensively used as ingredients in different food
24	formulations and/or processes. Their retail prices are higher than those of other edible
25	oils and might promote fraudulent adulterations. Spectroscopic methods associated to
26	untargeted analysis are appropriate and faster than traditional techniques, requiring less
27	time to prepare and run the samples. In the present study Fourier transform infrared
28	spectroscopy was used in combination with one class partial least squares and soft
29	independent modelling by class analogy to detect the presence of four possible
20	adulterants: corn peanut soupean and sunflower oils in four different proportions
30 21	adulterants. colin, peakut, soybean and sufflower ons, in four different proportions (pure adulterant: $00 \pm 10$ , $05 \pm 5$ , $08 \pm 2$ and $00 \pm 1$ in volume). Untargeted approaches
27	(pure+additionality, $90+10$ , $90+2$ , $90+2$ and $99+1$ , in volume). Ontaigeted approaches
32	were successful in the detection of adulterated chia and sesame ons with acceptable
33	prediction errors ranging between 1% and 5%.
34	
35	
36	<b>Keywords</b> : F1-IR; untargeted analysis; chia oil; sesame oil; food adulteration; OC-
37	PLS; SIMCA.
38	
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#### 51 **1. Introduction.**

52 Chia (Salvia hispanica L.) and sesame (Sesamum indicum L.) oils have been gaining attention among consumers due to their nutritional and health benefits 53 associated to their fatty acid profile and other lipophilic phytochemicals. Chia oil is rich 54 in polyunsaturated fatty acids (PUFAs), containing about 60% of  $\alpha$ -linolenic acid 55 (C18:3, n-3), 20% of linoleic acid (C18:2, n-6) and 6% of oleic acid (C18:1, n-9) 56 (Dabrowski et al., 2017). On the other hand, sesame oil has a unique high oxidative 57 stability and contains about 45% of oleic acid (C18:1, n-9), 40% of linoleic acid (C18:2, 58 n-6), 9% of palmitic acid (16:0) and 6% of stearic acid (18:0) (Kamal-Eldin & 59 Appelqvist, 1994). Chia and sesame oils have been extensively used as ingredients in 60 different food formulations and/or processes (Perveen et al., 2014; Rojas et al., 2019; 61 Ullah et al., 2016; Zettel & Hitzmann, 2018; Zhuang et al., 2016). Despite the health 62 benefits of these oils, their retail prices are higher than those of other edible oils and this 63 fact might promote fraudulent adulterations made by unscrupulous producers or 64 suppliers. 65

Adulteration may be achieved by adding less expensive edible oils. Therefore, 66 several efforts have been made to detect the presence of these adulterants. Adulteration 67 of extra-virgin and virgin olive oils is the most studied because many fraud cases have 68 been reported in the last decades (Aparicio et al., 2013; Moore et al., 2012; Peng et al., 69 2017). Additionally, other oils have been considered as potential targets for 70 adulteration, such as those extracted from rapeseed, walnut, hazelnut, coconut, peanut 71 and sesame, among others (Fadzlillah et al., 2014; Jović et al., 2016; Li et al., 2015; 72 Ozen & Mauer, 2002; Ozulku et al., 2017; Rohman & Che Man, 2012; Rohman et al., 73 74 2010; Xu et al., 2011; Zhao et al., 2015).

2

75 The official techniques to detect the presence of possible adulterants in oil 76 samples include a separation step, typically using gas or liquid chromatography, followed by quantification of the acyl lipids and fatty acids (Aparicio et al., 2013; Lee et 77 78 al., 2013; Zhang et al., 2015, Zhang et al., 2017). Some drawbacks of these techniques are the high amount of time required to prepare and run the samples, the consumption of 79 solvents and the use of standard compounds needed in some cases for quantification 80 purposes. In contrast, spectroscopic techniques, such as, NMR or any vibrational 81 spectroscopy (i.e. NIR, FT-IR or Raman) require less sample preparation time, are rapid 82 and non-destructive. In recent years, these advantages have triggered the use of 83 vibrational spectroscopy as alternative procedure to detect the presence of adulterants in 84 oil samples. Moreover, FT-IR in the region of mid infrared is one of the most used 85 characterization methods of edible oils (de la Mata et al., 2012; Georgouli et al., 2017; 86 87 Gurdeniz & Ozen, 2009; Jiménez-Carvelo et al., 2017; Lerma-García et al., 2010; Maggio et al., 2010). 88

The spectral information described by FT-IR (or any vibrational spectroscopy) 89 90 could be used as a fingerprint. Multivariate statistical methods (also known as chemometric methods) transforms the spectral information (i.e. the intensities at every 91 wavelength) into new variables or class responses according to the similarities of the 92 93 samples. The most used methods for exploratory analysis and classification purposes are principal component analysis, cluster analysis and any type of discriminant analysis 94 (linear, quadratic or partial least squares, among others) (Gómez-Caravaca et al., 2016). 95 96 However, discriminant analysis is considered as a targeted method, which uses the 97 information of adulterated samples as a class previously specified by the user. In 98 contrast, in recent years, untargeted methods are gaining attention among food scientist and chemists (Aparicio et al., 2013; Li et al., 2015; Rodionova et al., 2016; Xu et al., 99

2011; Zhang et al., 2015, Zhang et al., 2017). Untargeted methods, such as one class
partial least squares (OC-PLS) and soft independent modelling by class analogy
(SIMCA), use the spectral information to fix the boundaries of the pure oil class with a
specified confidence level. Then, with the boundaries for the pure samples, a class
prediction of a new sample can be done by the algorithm (Rodríguez et al., 2019).

To the best of our knowledge a method to detect adulterants in chia oil has never been reported and the use of untargeted analysis for detection of adulterants in sesame oil has not been fully explored yet. The aim of the present work is to challenge FT-IR spectra as input of two different chemometric untargeted analysis (SIMCA and OC-PLS) to evaluate the authenticity of chia and sesame oils, by detecting the presence of less expensive oils.

111

#### 112 **2. Materials and methods.**

113 *2.1 Materials.* 

Five batches of cold pressed chia and non-roasted sesame oils were provided from a local oil producer company (*Alimentos Sturla, Buenos Aires, Argetina*). Pure commercial oils (corn, peanut, soybean and sunflower) were purchased in a local market in *Buenos Aires, Argentina*. All other reagents used were analytical grade.

118

119 2.2 Specific gravity and refractive index determination.

Specific gravity (apparent) and refractive index were determined by the methods
described in AOAC 920.212 and AOAC 921.08, respectively, for every oil (AOAC,
2016). Refractive index was measured at a temperature of 25°C, using an automatic
digital refractometer (RE40D, Mettler Toledo Inc., Japan). Both determinations were

done by quintuplicate for every oil type. Specific gravity and refractive index results arereported in Table SM1 (*Supplementary Material Section*).

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#### 127 2.3 Quantification of acyl lipids by gas chromatography (CG-FID).

Fatty acid composition of pure oils was measured by gas chromatography, after 128 hydrolysis of the acyl glycerols. The methyl esters for the determination of the fatty 129 acids (FAME) were prepared according to AOCS Official Method Ce 2-66 (AOCS, 130 131 2009). The separation of FAMEs was performed on a CLARUS 500 (Perkin Elmer, Norwalk, CT) gas chromatograph equipped with a flame ionization detector and 132 automatic sampler. A polyethylene glycol phase capillary column Elite Wax (Perkin 133 Elmer, Norwalk, CT) with a length of 30 m, 0.32 mm inner diameter and 0.25 µm film 134 thickness was used. The analysis conditions were as follows: column temperature was 135 set from 190 °C to 240 °C rising at 3 °C/min, injector temperature was 240 °C and 136 detector was set at 300 °C; nitrogen was used as carrier gas at a linear velocity of 20 137 138 cm/s and the injection volume was 1 µL. FAMEs were identified by comparison of their 139 retention times versus pure standards analysed under the same conditions. They were quantified according to their percentage area, obtained by the integration of the peaks 140 using TotalChrom software suite (Perkin Elmer, Norwalk, CT). 141

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#### 143 *2.4 Preparation of adulterated samples.*

Five replicates (one for each batch of pure oil) of each adulterated type of sample were prepared by mixing during 10 minutes at room temperature in a 10 mL glass vial with magnetic stirring the necessary volumes of pure oil (chia or sesame) with an adulterant oil (corn, peanut, soybean or sunflower). Four types of adulterated

samples were prepared using the four adulterants in four proportions (pure+adulterant:
90+10, 95+5, 98+2 and 99+1 in volume) for each pure oil tested.

150

151 2.5 Fourier Transform infrared spectroscopy measurements.

Pure and adulterated oils samples were scanned in a FT-IR (Spectrum 400, 152 Perkin Elmer Inc., Shelton CT, USA) with DTGS detector and an attenuated total 153 reflectance accessory (ATR, PIKE Technologies, Inc., Madison WI, USA). A few drops 154 155 of each oil sample were poured on the diamond/ ZnSe of a reflectance ATR crystal with an angle of 45°. Spectra were collected accumulating a total of 64 scans per sample with 156 a resolution of 4 cm<sup>-1</sup> from 600 to 4000 cm<sup>-1</sup>. Every spectrum was base-line corrected 157 (cubic spline function method), transformed to absorbance units and normalized (min-158 max normalization) using the Spectrum Software ver. 6.3 (Perkin Elmer, Inc.). For 159 160 detailed information about the type of samples prepared and the number of measurements performed, see Table 1. 161

162

#### 163 2.6 Multivariate Statistical methods.

Principal component analysis (PCA) is one of the most used of the multivariate 164 methods and was well described in many studies. The data set is arranged in a matrix X 165 166 with I rows (samples of oils) and J columns (FT-IR normalized absorption intensities). PCA finds new variables (also known as principal components) from X, according to X 167  $= \mathbf{TP}^{\mathbf{T}} + \mathbf{E}$ , where  $\mathbf{T}$ ,  $\mathbf{P}$  are matrices containing the score vectors (related to the principal 168 components) and the loading vectors respectively. Additionally, E is a matrix 169 containing the residuals for each sample. Every principal component is a linear 170 171 combination of scores and loading values and is related, in decreasing order, to an amount of variance of the original data (Bro & Smilde, 2014). The aim of using PCA in 172

173 the present work was to find similarities among the samples scanned with FT-IR by 174 plotting the most relevant components to describe the data set (chia data set or sesame data set). Additionally, the analysis of the loading values was used to find the most 175 relevant wavenumbers for the grouping of samples according to their similarities. PCA 176 on the covariance matrix was performed on the two data sets (chia and sesame) 177 considering the intensities at each wavenumber (normalized and in absorbance units) of 178 the spectra as original variables and each type of sample representing a row of the 179 original matrix data set. PCA was performed using GNU Octave for Windows ver. 180 4.4.1. 181

Soft independent modelling class analogy (SIMCA) is a supervised method (i.e. 182 use the information of the samples' class) based on PCA. A single PCA was modelled 183 for each class (pure oils or adulterated samples) of the data set matrix. To show how a 184 185 sample would fit in each class, two scalar statistics are calculated for each sample, Qresidual and Hotelling's T<sup>2</sup>. Q-residuals are calculated according to  $Q_i = e_i e_i^T$ , where  $e_i$ 186 187 is the residual of sample *i* after applying the model and it is related to the amount of original information not included in the model. In the other hand, Hotelling's  $T^2$  is 188 related to the information of each sample within the model according to  $T_i^2 = I(x_i - x_{avg})S^2$ 189  $(x_i-x_{avg})$ , where I is the number of samples,  $x_i$  the multivariate measurement of the 190 sample *i*,  $x_{avg}$  the mean value and S the standard deviation. Q and T<sup>2</sup> limit values can be 191 calculated at a specific  $\alpha$  (level of significance), often set at 0.05. A relevant number of 192 components were used to set the boundaries (Q-residual limit value vs. Hotelling's  $T^2$ 193 194 limit value) for the classification of the samples and then predict the class of a new sample (not included in the training step) (Luna et al., 2016). If only one class is used in 195 196 the training step the approach is considered as untargeted and the boundaries can be used as an accepted/rejected limit (Rodríguez et al., 2019). SIMCA was run using 197

198 Classification Toolbox ver. 5.0 (Ballabio & Consonni, 2013) with a confidence level of 199 95% ( $\alpha = 0.05$ ) under GNU Octave for Windows ver. 4.4.1.

One class partial least squares (OC-PLS) is another untargeted approach based 200 201 on PLS algorithm using a distance-based sample density measurement as the response variable. OC-PLS computes a regression as  $1 = Xb_{PLS} + e$ , where 1 is the response 202 vector, **X** is the original data set,  $b_{PLS}$  contains the regression coefficients and e is the 203 vector of model's residuals. After the model is built the Hotelling's  $T^2$  (based on score 204 205 distances) and the absolute centered residual (ACR) can be calculated according to ACR =  $|1-y_j-\mu_e|$  and  $T^2 = \sum_{i=1}^{K} \frac{(t_i - t_{i,avg})^2}{S_{t_i}^2}$ , where  $y_j$  is the response of sample j,  $\mu_e$  is the mean 206 of the training errors,  $t_{i,avg}$  and  $S_{t,i}^2$  are the mean and sample variance of the *i*th latent 207 variable and  $t_i$ , respectively; and K is the number of significant latent variables. The 208 209 standard deviation of the model can be calculated as  $\sigma_e = \sqrt{\sum_{i=1}^{N} (1 - y_i - \mu_e)^2 / (N - 1)}$ , where N is the total number of left-out samples 210 during cross validation and  $y_i$  is the predicted response of the *i*th left-out sample. When 211 a confidence level ( $\alpha$ ) is set the limit values for ACR and T<sup>2</sup> can be calculated as ACR<sub>L</sub> 212 =  $Z_{\alpha/2}$ .  $\sigma_e$  and  $T_L^2 = \frac{(n^2-1)}{n(n-K)} F_{\alpha(K,n-K)}$ , where  $Z_{\alpha/2}$  is the upper critical point of the 213 standard normal distribution, and  $F_{\alpha(K,n-K)}$  is the upper critical point of the F-distribution 214 215 with (K, n-K) degrees of freedom. The plot of SD versus ACR including the limits are a useful tool to screen outlier diagnosis and predict adulterated samples from pure ones 216 (Xu et al., 2013; Zhang et al., 2017). OC-PLS was computed using OC-PLS algorithm 217 218 under Octave for Windows ver. 4.4.1, with a confidence level of 95% and a leave one out cross validation (LOOCV) to fix the number of the latent variables (LVs) (Xu et al., 219 2014). 220

221

222 **3. Results.** 

223 *3.1 Gas chromatography with flame ionization detection (GC-FID).* 

Table 2 recovers the GC-FID results expressed as the ratio of total areas. Chia 224 225 oil major components were unsaturated fatty acids: linolenic (68.3 %) and linoleic acid (20.7 %), in agreement with other studies that indicated that linolenic acid contents 226 might be up to 69 % (Ayerza & Coates, 2004; Ixtaina et al., 2011). The most abundant 227 fatty acids in sesame oil were oleic, linoleic, palmitic and stearic acids with high 228 229 predominance of unsaturated fatty acids. The proportions of different fatty acids are within the reported ranges (El khier et al., 2008; Thakur et al., 2017). In corn and 230 sunflower oils, the major fatty acids were palmitic, oleic, and linoleic acids and were in 231 the range described by FAO/WHO Codex Alimentarius Commission (Codex 232 Alimentarius Commission CODEX STAN 210, 1999). As for peanut the oil used had 233 oleic acid content slightly higher than the maximum stated by FAO (80%). While 234 soybean oil presented high content of linoleic acid as compared with reported 235 236 specifications (Spencer et al., 1976; Codex Alimentarius Commission CODEX STAN 237 210, 1999).

238

#### 239 3.2 Fourier transform infrared spectroscopy (FT-IR).

The assignments of the bands in the FT-IR spectra (shown in Fig. SM1 (Supplementary Material Section, for the cases of adulteration of chia oil (a) and for sesame oil (b)) agreed with those previously reported in literature for many pure oil types. In this work, the relevant signals were labelled from #1 to #12. The first region of bands was associated to hydrogen's stretching (C–H stretching) signals from about 3000 to 2850 cm<sup>-1</sup>. The first band (labelled as #1) was assigned to the *cis* double bond =C–H stretching vibration. In addition, the signals labelled as #3 and #4 were reported as

247 symmetric and asymmetric (respectively) stretching vibrations of the aliphatic CH<sub>2</sub> group. The shoulder marked as #2 was associated to the symmetric stretching of the 248 aliphatic CH<sub>3</sub> group. The second region of signals (from about 1750 to 1650 cm<sup>-1</sup>) was 249 previously reported as the region of double bond's stretching. This region includes the 250 highest band in all spectra (#5), associated to the stretching vibration of ester carbonyl 251 groups present in the triglycerides (-C=0). Furthermore, a small signal was present (#6) 252 and attributed to the C=C stretching vibration of the *cis*-oleofins. A third region of 253 254 signals was denoted as the region of other bond's deformations and bendings ranging from about 1470 to 1370 cm<sup>-1</sup>. Bands labelled as #7 and #8 were found in this region 255 and are associated to the bending vibration of CH<sub>2</sub> and CH<sub>3</sub>. Finally, the fourth region 256 of signals (from about 1240 to 700 cm<sup>-1</sup>) is the so-called fingerprint region. This last 257 region includes three bands (#9, #10 and #11) linked with the stretching vibration of the 258 259 C–O ester groups. And, the last band labelled as #12, was associated to the overlapping of two vibrations, the CH<sub>2</sub> rocking and the out-of-plane vibration of the *cis*-disubstituted 260 261 oleofins (Guillén & Cabo, Guillén & Cabo, 1997b; Vlachos et al., 2006).

262 The average values of the wavenumbers recovered from FTIR spectra for pure oil samples used in this work are reported in Table 3. Significant differences (one-way 263 ANOVA, p < 0.05) were only found in signals #3, #11 and #12. In the case of the band 264 265 number 3, the wavenumber value reported for chia, soybean and sunflower was 2923 cm<sup>-1</sup> and the values found in the case of sesame, corn and peanut oils were lower (2915, 266 2917 and 2915 cm<sup>-1</sup> respectively). The band labelled as #11 showed a lower value of 267  $1092 \text{ cm}^{-1}$  for peanut oil in comparison with all other oils with values of 1096 cm<sup>-1</sup>. The 268 last shift was observed at the band marked as #12 with a lower value of 713 cm<sup>-1</sup> in the 269 case of the chia oil in contrast with the value of 721 cm<sup>-1</sup> reported for all the other oils. 270 According to the works published by Guillen and Cabo (1997a and 1997b) and 271

272 references therein, the frequencies of the bands could change in agreement with the273 profile of acyl lipids of the oils.

Moreover, the intensities of the bands could vary also with the type of acyl lipids 274 and other components of the oils. In order to display a better visualization of those 275 intensity differences, the insets in Fig. SM1(a) and (b) show different zooms of three 276 different regions from the same spectra depicted in the main figure. FT-IR spectrum of 277 chia oil (insets in Fig. SM1(a)) showed lower intensities for the bands marked as #2, #3, 278 279 #4 and #7 and higher intensities for bands #1, #5, #6, #9, #10, #11 and #12 in comparison with all other pure oils used as adulterants (corn, peanut, soybean and 280 sunflower). The band labelled as #8 showed lower intensities than the other oil except 281 for peanut oil, which showed no significant difference. In the case of sesame oil (Fig. 282 SM1(b)), the trend is more complex, intermediate intensity values for sesame oil 283 284 occurred in bands #1, #2, #3, #4, #7, #11 and #12. Lower intensities were observed in the case of bands marked as #5 and #10 and higher in the case of band #9. No 285 286 significant differences were found in the bands #6 and #8 with in relation to adulterant 287 oils except for peanut, which presented lower values in both bands.

288

#### 289 3.3 Principal component analysis.

FT-IR spectra of the pure oil samples and the adulterated oil samples were used as input for principal component analysis (PCA) for a better visualization and exploratory purposes of the data. Fig. SM2 (*Supplementary Material Section*) shows the scatter plot of the two first principal components (PC<sub>1</sub> vs. PC<sub>2</sub>) for the case of chia (part (a)) or sesame (part (b)) and the oils used as adulterants. The visual discrimination observed in Fig. SM2(a) and (b) is quite similar and the amount of accumulated variance was 98.5% and 96.1% respectively. PCA was able to discriminate the samples

297 according to their similarities and separate the pure oils used as adulterants and the 298 target oil (chia or sesame) into three well defined groups. One group of samples 299 correspond to chia or sesame pure oils, the second one to corn, soybean and sunflower 300 oils and the third one representing only peanut oil.

301 PCA results obtained by FT-IR spectra are in good agreement with the results showed using GC-FID for quantification of the acyl lipids. Fig. SM3 (Supplementary 302 Material Section) shows a PCA using as input the average relative percentage of the 303 304 total chromatographic area for the five FAMEs (reported in Table 2). The similarities of the fatty acid profile match with the similarities obtained with FT-IR (Fig SM2 a) and 305 b)), where the sample of sesame oil is near to corn, soybean and sunflower oils and 306 distant from peanut oil. In the case of chia, the sample is located far from all others. 307 According to Fig. SM3 a detection method based on the use of FAMEs profile would 308 309 find more difficulties to detect adulteration of sesame than chia oil for three of the four adulterants used in the present work (corn, soybean and sunflower). 310

311 Fig. 1(a) and (b) show the three-dimensional PCA score plot (PC1 vs. PC2 vs. PC3) for the cases of chia (88.2% of cumulative variance) and sesame (72.0% of 312 cumulative variance) oils using FT-IR frequencies for pure and adulterated oils. Despite 313 some samples are superimposed in some places of the plots, there is a clear trend in both 314 315 cases (chia and sesame). Pure chia or sesame oil samples are grouped in a confined area of the plot and adulterated samples are placed in a different region. The proximity of 316 adulterated samples from pure oil samples are in good agreement with the proportion 317 used as adulterant (corn, peanut, soybean or sunflower oils). The samples with the 318 lowest proportion of adulteration (99+1) are near the pure oil samples and the samples 319 320 with the highest proportion of adulteration (90+10) are more distant. The good

discrimination results showed by PCA in both cases (chia and sesame) motivated theuse of SIMCA, which is a PCA-based method.

323

324 *3.4 Soft independent modelling class analogy (SIMCA).* 

Fig. 2(a) and 3(a) show the plots of Q residuals vs. Hotelling  $T^2$  outcoming from 325 SIMCA analysis performed for chia and sesame adulteration respectively using a 326 confidence value of 0.05 and 3 latent variables (LVs). Using the target class (pure oils) 327 328 and the confidence level, SIMCA defines the boundaries (red dotted lines), which forms the lower and left square (insets in Fig. 3a and 4a for a better visualization). Once the 329 limits were established, prediction of the class of new samples can be done. Any sample 330 of the target class predicted as adulterated is considered a false negative (FN), and any 331 adulterated sample predicted as the pure oil is considered as false positives (FP). 332 333 Samples with correct prediction are considered as true positives (TP) or true negatives (TN). Performance parameters can be calculated using the number of FN, FP, TP and 334 335 TN. Sensitivity (SEN), also known as Type I error, is a performance parameter related 336 to the target class and is equals to TP/(TP+FN). In contrast, specificity (SPEC), or type II error, is a parameter which gives information about the samples that do not belong to 337 the target class and is equal to TN/(TN+FP). Using the information of the samples 338 339 wrongly classified Table 4 recovers SEN and SPEC values using SIMCA for chia and sesame cases. SEN values were identical in both cases (0.967), with only 1 sample 340 wrongly classified of a total of 30. And SPEC values were 1.00 and 0.948 (21 wrong 341 classified samples of a total of 400) for chia and sesame respectively. SPEC values 342 reflect the difficulties to achieve an appropriate prediction using SIMCA for the 343 344 adulterated samples with a proportion of 99+1 in the case of sesame adulterated oil.

345

346 *3.5 One class partial least squares (OC-PLS).* 

Fig. 2(b) and 3(b) represent the plot of the two classifier distances (ACR and 347 OCPLS score distance) obtained using OC-PLS (with a confidence level of 0.05) in the 348 349 cases of chia and sesame respectively. The inset inside the main graph in Fig. 3b show a zoom, for a better visualization of the pure sample limit square (limited by the red 350 dotted lines), which is no necessary in the Fig. 3(b). The numbers of LVs (5 and 4 for 351 chia and sesame cases respectively) were selected according to the instructions given by 352 the developers of the algorithm using a leave-one-out cross validation method 353 (LOOCV) (Xu et al., 2014). SEN and SPEC values were calculated following the same 354 equations given in Section 3.4 and reported in Table 4. The use of OC-PLS shows 355 excellent discrimination results for the prediction step with SEN values of 1.00 in both 356 cases (chia and sesame). And SPEC values of 1.00 and 0.992 (less than 1% of error) in 357 358 the cases of chia and sesame respectively. The last SPEC value of 0.992 (sesame case) represents only 3 wrongly classified samples (adulterated samples with a proportion of 359 360 99+1) of a total of 400.

361

#### 362 **4. Discussion.**

According to the results showed in this work, SIMCA and OC-PLS were successful in the detection of adulterated samples of chia oil with cheaper oils (corn, peanut, soybean and sunflower) with acceptable errors prediction at any level of adulterant proportion (90+10, 95+5, 98+2 and 99+1 in volume). In the case of sesame adulterated samples, only OC-PLS showed a successful detection for all the proportions of adulterant with lower acceptable error; while SIMCA failed only in the case of 99+1 adulteration proportion with a SPEC value of 0.948 (error rate of slightly more than

5%). The difficulties observed in the detection of adulterants in the case of sesame oilcould be explained by FAMEs profile similarities with the adulterants used.

The results obtained for chia and sesame oils are in good agreement and in some 372 373 cases better than those found by other authors and for other materials in the literature. For example, in the case of extra virgin olive oil adulteration studies the most used 374 methods were discriminant analysis (Linear or PLS-based) or regression based methods 375 (partial least squares or principal component regressions) using FT-IR as the input data, 376 377 and the lower proportion detected of adulterant was about 1% to 5% (Downey et al., 2002; Gurdeniz & Ozen, 2009; Jiménez-Carvelo et al., 2017; Lerma-García et al., 2010; 378 Rohman & Man, 2010; Tay et al., 2002). Moreover, discriminant analysis and 379 regression-based methods were performed to detect adulterants in hazelnut, walnut and 380 sesame oils with a limit of detection between 0.53 - 10% (Fadzlillah et al., 2014; Li et 381 382 al., 2015; Ozen & Mauer, 2002; Ozulku et al., 2017; Rohman & Man, 2011; Zhao et al., 2015). 383

In spite of the general good adulterant detection results showed by discriminant analysis-based methods, several authors discuss about the robustness of these techniques. The main weakness is that during the optimization step, SPEC parameter (type II error) is minimized instead of the SEN parameter (type I error). Thus, discriminant-based methods are considered as a compliant approach instead of a robust approach (Granato et al., 2018; Oliveri & Downey, 2012; Rodionova et al., 2016).

Based in this concept, the present work showed a better performance than other studies using untargeted analysis. OC-PLS and a partial least squares class model (PLSCM, similar to OC-PLS) were used to detect adulterants in olive, peanut and sesame oils with as much as 3% of adulterant proportion in all the cases (Deng et al., 2012; Xu et al., 2011; Zhang et al., 2017). The slight better performance showed in the

395 present work by PLS-based algorithm (OC-PLS) in comparison to a PCA-based 396 algorithm (SIMCA) relays on the different way the two methods fix the LVs. SIMCA calculates the components according to the direction of the maximum variance of the 397 398 target class and OC-PLS calculates the LVs by maximizing the component's correlation between the original variables and the response variable (Deng et al., 2012; Rodríguez 399 et al., 2019; Xu et al., 2011). Moreover, another possible reason is that the use of 400 LOOCV (or other cross validation performed in OC-PLS) leads to a higher number of 401 402 LVs. In the present work the number of LVs were 5 and 4 for chia and sesame respectively using OC-PLS in comparison to 3 using SIMCA for both cases. 403

404

#### 405 **5. Conclusions.**

Both models for the analysis of FT-IR measurements (using the untargeted 406 407 methods: SIMCA and OC-PLS) were able to successfully detect the presence of four adulterants (corn, peanut, soybean and sunflower oils) in chia and sesame oils. OC-PLS 408 409 showed a slightly better performance than SIMCA with a limit of 99+1 (proportion of 410 target+adulterant oils, in volume) for chia and sesame samples. The difference in the performance for both untargeted methods (OC-PLS and SIMCA) could be associated to 411 the different way of fixing the latent variables (LVs) and the number of these used to 412 413 model the data set. FT-IR coupled to untargeted chemometric analysis may be implemented to determine impurities in oils, avoiding time-consuming sample 414 preparation, harmful reactants and expensive materials. These techniques could be also 415 416 extended to ensure product authentication in other foods and ingredients and for classifying food quality into different grades, providing the corresponding validation 417 418 steps are fulfilled. Food industry and control bodies would be also favoured by the expected technical and economic benefit and product's quality improvement. 419

420

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429

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#### **Figure captions**

Fig. 1. Principal component three-dimensional score plot ( $PC_1$  vs.  $PC_2$  vs.  $PC_3$ ) for pure and adulterated oil samples. Part (a): pure chia oil (blue dots), adulterated chia in 90+10 proportion (red squares), adulterated chia in 95+5 proportion (green diamonds), adulterated chia in 98+2 proportion (black pointing down triangles) and adulterated chia in 99+1 proportion (pink pointing up triangles). Part (b): pure sesame oil (blue dots), adulterated sesame in 90+10 proportion (red squares), adulterated sesame in 95+5 proportion (green diamonds), adulterated sesame in 98+2 proportion (black pointing down triangles) and adulterated sesame in 99+1 proportion (pink pointing up triangles). Variance values associated to each component are between parentheses. 

Fig. 2. Samples of pure chia oil (blue dots and blue crosses), adulterated chia in 90+10 proportion (red squares), adulterated chia in 95+5 proportion (green diamonds), adulterated chia in 98+2 proportion (black pointing down triangles) and adulterated chia in 99+1 proportion (pink pointing up triangles) represented in a scatter plot of Q 

residuals vs. Hotelling T<sup>2</sup> values obtained using SIMCA (part (a)) and a scatter plot of
centered model residuals (ACR) vs. OC-PLS score distance using OC-PLS (part (b)).

**Fig. 3.** Samples of pure sesame oil (blue dots and blue crosses), adulterated sesame in 90+10 proportion (red squares), adulterated sesame in 95+5 proportion (green diamonds), adulterated sesame in 98+2 proportion (black pointing down triangles) and adulterated sesame in 99+1 proportion (pink pointing up triangles) represented in a scatter plot of Q residuals vs. Hotelling  $T^2$  values obtained using SIMCA (part (a)) and a scatter plot of centered model residuals (ACR) vs. OC-PLS score distance using OC-PLS (part (b)).

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Table 1. Detailed number of measurements for each type of sample: Ch = chia oil, Se =

sesame oil, Co =corn oil, Pe = peanut oil, So = soybean oil, Su = sunflower oil.

Type of	Numbe	Tatal				
sample	pure oils	90+10	95+5	<b>98+2</b>	<b>99+1</b>	Total
Ch	$30^{*}$					30
Ch+Co		25**	$25^{**}$	$25^{**}$	$25^{**}$	100
Ch+Pe		25**	$25^{**}$	$25^{**}$	$25^{**}$	100
Ch+So		25**	$25^{**}$	$25^{**}$	$25^{**}$	100
Ch+Su		25**	$25^{**}$	$25^{**}$	25**	100
Se	$30^{*}$					30
Se+Co		25**	25**	25**	25**	100
Se+Pe		25**	25**	25**	25**	100
Se+So		25**	25**	25**	25**	100
Se+Su		25**	25**	25**	25**	100
Со	$30^{*}$					30
Pe	$30^{*}$					30
So	$30^{*}$					30
Su	30*					30
Total	180	200	200	200	200	980

\* 6 measurements for each batch of oil (5 batches prepared).

\*\* 25 samples produced with all the possible combinations of 5 batches of pure oils and 5 batches of adulterant oils.

		Fatty acid relative composition (%)							
Oil type	Palmitic	Stearic	Oleic	Linoleic	Linolenic				
	(16:0)	(18:0)	(18:1)	(18:2)	(18:3)				
Chia	$7.30\pm0.06$	$3.00\pm0.02$	$0.70\pm0.01$	$20.70 \pm 0.11$	$68.30 \pm 0.15$				
Sesame	$9.50\pm0.08$	$5.30\pm0.03$	$43.30\pm0.27$	$41.50\pm0.23$	$0.40 \pm 0.00$				
Corn	$10.10\pm0.08$	$2.20\pm0.01$	$33.00\pm0.20$	$53.90 \pm 0.30$	$0.90\pm0.01$				
Peanut	$6.10\pm0.05$	$2.00\pm0.01$	$85.00\pm0.53$	$6.80\pm0.01$	$0.20\pm0.00$				
Soybean	$13.70\pm0.11$	$6.00\pm0.04$	$1.40\pm0.01$	$69.80\pm0.38$	$9.10 \pm 0.02$				
Sunflower	$6.00\pm0.05$	$3.30\pm0.02$	$30.20\pm0.19$	$60.40 \pm 0.33$	$0.10 \pm 0.00$				
				5					

**Table 2.** Fatty acid relative composition of pure oils expressed as percentage of total chromatographic area.

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Oil		Number of the peak from the spectra										
sample	#1	#2	#3	#4	#5	#6	#7	#8	#9	#10	#11	#12
Ch	$3006 \pm 3^{a}$	$\begin{array}{c} 2951 \\ 2^a \end{array} \\ \pm$	$\begin{array}{ccc} 2923 & \pm \\ 3^a \end{array}$	$\begin{array}{cc} 2850 & \pm \\ 1^a \end{array}$	$\begin{array}{cc} 1742 & \pm \\ 1^a \end{array}$	$1649 \pm 2^{a}$	$1456 \pm 2^a$	$\begin{array}{ccc} 1372 & \pm \\ 2^a \end{array}$	$\begin{array}{ccc} 1233 & \pm \\ 1^a & \end{array}$	$\begin{array}{cc} 1156 & \pm \\ 1^a & \end{array}$	$1096 \pm 1^{a}$	$713 \pm 1^{a}$
Se	$3004 \pm 2^{a}$	$\begin{array}{cc} 2949 & \pm \\ 3^a \end{array}$	$\begin{array}{c} 2915  \pm \\ 1^{\text{b}} \end{array}$	$\begin{array}{cc} 2850 & \pm \\ 1^a \end{array}$	$\begin{array}{cc} 1742 & \pm \\ 1^a \end{array}$	$1652 \pm 2^a$	$1459 \pm 1^{a}$	$1374 \pm 1^{a}$	$\begin{array}{ccc} 1233 & \pm \\ 1^a & \end{array}$	$\begin{array}{cc} 1156 & \pm \\ 1^a & \end{array}$	$1096 \pm 1^{a}$	$721 \pm 1^{b}$
Со	$3004 \pm 2^{a}$	$\begin{array}{cc} 2948 & \pm \\ 2^a \end{array}$	$ \begin{array}{c} 2917 \\ 3^{b} \end{array} $	$\begin{array}{cc} 2850 & \pm \\ 1^a \end{array}$	$1742 \pm 1^a$	$1652 \pm 2^{a}$	$1459 \pm 1^{a}$	$1374 \pm 2^{a}$	$\begin{array}{ccc} 1233 & \pm \\ 1^a & \end{array}$	$\begin{array}{cc} 1156 & \pm \\ 1^a & \end{array}$	$1096 \pm 1^{a}$	$721 \pm 1^{b}$
Pe	$3004 \pm 1^{a}$	$\begin{array}{cc} 2949 & \pm \\ 1^a & \end{array}$	$ \begin{array}{ccc} 2915 & \pm \\ 1^{b} \end{array} $	$\begin{array}{cc} 2850 & \pm \\ 1^a \end{array}$	$\begin{array}{cc} 1742 & \pm \\ 1^a \end{array}$	$1652 \pm 1^a$	$1459 \pm 2^{a}$	$\begin{array}{ccc} 1374 & \pm \\ 2^a \end{array}$	$\begin{array}{ccc} 1233 & \pm \\ 1^a & \end{array}$	$\begin{array}{cc} 1156 & \pm \\ 1^a \end{array}$	$\begin{array}{cc} 1092 & \pm \\ 2^{\mathrm{b}} \end{array}$	$721 \pm 1^{b}$
So	$3004 \pm 2^{a}$	$\begin{array}{cc} 2949 & \pm \\ 2^a \end{array}$	$\begin{array}{ccc} 2923 & \pm \\ 2^a \end{array}$	$\begin{array}{cc} 2850 & \pm \\ 1^a \end{array}$	$\begin{array}{ccc} 1742 & \pm \\ 1^a \end{array}$	$1652 \pm 2^{a}$	$1459 \pm 1^a$	$1374 \pm 1^{a}$	$\begin{array}{ccc} 1233 & \pm \\ 1^a & \end{array}$	$1156 \pm 1^{a}$	$1096 \pm 1^{a}$	$721 \pm 1^{b}$
Su	$3004 \pm 3^{a}$	$\begin{array}{cc} 2949 & \pm \\ 4^a \end{array}$	$\begin{array}{c} 2923 \\ 1^a \end{array} \pm$	$\begin{array}{cc} 2850 & \pm \\ 1^a \end{array}$	$1742 \pm 1^{a}$	$1649 \pm 1^{a}$	$1459 \pm 2^{a}$	$1374 \pm 1^{a}$	$\begin{array}{ccc} 1233 & \pm \\ 1^a & \end{array}$	$1156 \pm 1^{a}$	$1096 \pm 1^{a}$	$721 \pm 1^{b}$

**Table 3.** Mean wavenumber values in cm<sup>-1</sup> of the twelve peaks labelled in Fig. SM1 (*Supplementary Material Section*) obtained from FT-IR spectra of the pure oil samples.

\* shared superscripts represent statistically significance differences (p < 0.05).

Table 4.	Performance	parameters	recovered	from	SIMCA	and	OC-PLS	results	for	chia
and sesar	ne adulterated	data sets.								

Case	Method	LV's*	SEN**	SPEC***
Chia	SIMCA	3	0.967	1.00
Chia	OC-PLS	5	1.00	1.00
Secome	SIMCA	3	0.967	0.948
Sesame	OC-PLS	4	1.00	0.992

\* LV's: Number of latent variables used in each case.

\*\* SEN: Sensitivity performance value, calculated as SEN = TP/(TP+FN), where TP is the number of true positives and FN is the number of false negatives.

\*\*\* SPEC: Specificity performance value, calculated as SPEC = TN/(TN+FP), where TN is the number of true negatives and FP is the number of false positives.





Fig. 2







#### Highlights

- FT-IR measurements were obtained for pure oils and adulterated chia and sesame oils.
- SIMCA and OC-PLS were performed as untargeted methods with an error of prediction below 5%.
- An adulteration proportion as low as 99+1 could be detected with minimum error of prediction.
- GC-FID explained the differences obtained by the FT-IR and untargeted methods for chia and sesame oil adulteration.

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#### **Conflict of interest statement**

Silvio David Rodríguez, Maite Gagneten, Abel E. Farroni and María del Pilar Buera declare to have no conflict of interest.

Ethical approval: This article does not contain any study with human participants or animals performed by any of the authors.