2	pharmacotechnical strategy to improve the efficacy of the drug
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18	Running title: Albendazole solid dispersions against Echinococcus multilocularis
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26 Abstract

Alveolar echinococcosis is a neglected parasitic zoonosis caused by 27 Echinococcus multilocularis. The pharmacological treatment is based on albendazole 28 (ABZ). However, the low water solubility of the drug produces a limited dissolution 29 30 rate, with the consequent failure in the treatment of the disease. Solid dispersions are a 31 successful pharmacotechnical strategy to improve the dissolution profile of poorly water soluble drugs. The aim of this work was to determine the in vivo efficacy of ABZ solid 32 dispersions using poloxamer 407 as a carrier (ABZ:P407 SDs) in the murine 33 intraperitoneal infection model for secondary alveolar echinococcosis. In the 34 35 chemoprophylactic efficacy study, the ABZ suspension, the ABZ:P407 SDs and the physical mixture of ABZ and poloxamer 407 showed a tendency to decrease the 36 development of murine cysts, causing damage to the germinal layer. In the clinical 37 38 efficacy study, the ABZ:P407 SDs produced a significant decrease in the weight of murine cysts. In addition, the SDs produced extensive damage to the germinal layer. 39 The increase in the efficacy of ABZ could be due to the improvement of water solubility 40 and wettability of the drug due to the surfactant nature of poloxamer 407. In conclusion, 41 this study is the basis for further research. This pharmacotechnical strategy might in the 42 43 future offer novel treatment alternatives for human alveolar echinococcosis.

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45 Keywords

Echinococcus multilocularis; alveolar echinococcosis; albendazole; solid dispersions;
poloxamer

Key Findings 49 Albendazole (ABZ) solid dispersions showed higher efficacy than ABZ against 50 murine alveolar echinococcosis. 51 This is a consequence of the increase in the dissolution rate of ABZ that could 52 impact on improvement in bioavailability. 53 ABZ solid dispersions could represent an alternative strategy for future 54 treatment against alveolar echinococcosis. 55 56 57

59 Introduction

Alveolar echinococcosis (AE) is a severe neglected parasitic zoonosis caused by 60 the metacestode stage of Echinococcus multilocularis, which represents an important 61 public health threat. This parasite is predominantly maintained in a wildlife cycle, with 62 carnivores as definitive hosts and small mammals as intermediate hosts. Humans 63 64 acquire the infection by ingesting eggs shed in the feces of a definitive host and develop the metacestode stage, which is characterised by a tumour-like and infiltrative growth. If 65 not appropriately treated, parasite expansion will eventually lead to organ failure and 66 death of the patient (Kern et al., 2017). 67

The metacestode stage is composed of numerous small vesicles with a wall structure formed by an outer acellular laminated layer and an internal cellular layer called germinal layer (Eckert and Deplazes, 2004). A special cell type in the germinal layer, the germinative cells, are responsible for the high regenerative potential of the parasite (Kern *et al.*, 2017).

There are several approaches to the management of AE. In patients with viable cysts, the treatment of choice is the total removal of the cystic lesion combined with oral treatment with 15 mg/kg/day of albendazole (ABZ) for two years. In inoperable patients, prolonged treatment with ABZ should be carried out to decrease the proliferation of *E. multilocularis*. In cases of calcified or negative lesions by Fluorodeoxyglucose (FDG) Positron Emission Tomography (PET), the patient should be periodically monitored (watch and wait) (Wen *et al.*, 2019).

For an effective treatment in systemic infections, the drug must be sufficiently soluble in water to easily reach the cell membrane, but also hydrophobic enough to cross it (Thompson, 1997). The biopharmaceutical classification system categorizes ABZ as a class 2 drug due to its low aqueous solubility and high permeability (Kasim *et*

84 al., 2004). These characteristics produce a limited dissolution rate resulting in a poor and erratic bioavailability of ABZ (Marriner et al., 1986; Edwards and Breckenridge, 85 1988; Castro et al., 2009). Due to the low concentration of drug reaching the parasite, 86 ABZ acts as a parasitostatic rather than as a parasitocidal agent for many cases, and the 87 recurrence rates after interruption of therapy are high (Reuter et al., 2004). 88 89 Consequently, the treatment must be carried out with high daily doses of ABZ for prolonged periods, with the risk of low adherence to the treatment and the possibility of 90 adverse effects (Bardonnet et al., 2013; Kern et al., 2017). Moreover, another 91 explanation for the parasitostatic effect of ABZ on germinative cells is that they may 92 93 specifically express a β-tubulin isoform with limited affinity to benzimidazoles (Brehm and Koziol, 2014). 94

The development of new ABZ formulations that improve its solubility is 95 96 essential to increase the effectiveness of pharmacological treatment. Until now, several pharmacotechnical strategies to increase bioavailability and, consequently, the 97 effectiveness of ABZ have been evaluated in murine models of cystic and alveolar 98 echinococcosis: incorporation of ABZ into liposomes (Dvorožňáková et al., 2004; Lv et 99 al., 2012), ABZ loaded in lipid nanocapsules (Pensel et al., 2015; Ullio Gamboa et al., 100 101 2019), nanocrystal and nanocrystalline formulations of ABZ (Pensel et al., 2018; Hu et al., 2019), solid dispersions of ABZ with poloxamer 188 (Pensel et al., 2014) and ABZ-102 103 chitosan microspheres (Abulaihaiti et al., 2015).

The solid dispersions (SDs) are a successful strategy to improve the dissolution profile of poorly water soluble drugs. This strategy is currently widely used in therapeutics, which is reflected in numerous commercialized products. For example, Sporanox[®], Onmel[®] and Gris-PEG[®] are used as antifungals, whereas Kaletra[®], Intelence[®] and Norvir[®] are indicated in combination with other antiretroviral agents for

the treatment of HIV. On the other hand, Isoptin SR[®], Nivadil[®], Afeditab CR[®] and
Adalat-XL[®] are indicated for the treatment of heart conditions, Cesamet[®] is used as
antiemetic and Kalydeco[®] is indicated for cystic fibrosis (Cid *et al.*, 2019).

The SDs are molecular mixtures of drugs and inert carriers, prepared by the fusion method and/or solvent method (Chiou and Riegelman, 1971). According to the physical state of the carrier, SDs are classified into four generations (Vasconcelos *et al*, 2007). In the third generation of SDs, surfactants or emulsifiers are used as carriers, which improve the dissolution profile and the physical and chemical stability of the drug (Desai *et al.*, 2006). These SDs were more stable mainly due to a reduction of drug recrystallization (Vasconcelos *et al*, 2007).

Poloxamers, nonionic surfactants with solubilizing properties, are suitable for 119 120 most of the standard procedures used to prepare SDs because of their polymeric nature. 121 In addition, they are not metabolized in the body (Collett and Popli, 2000). Poloxamer 407 (P407) is accepted by the FDA as an inactive ingredient for different types of 122 preparations (e.g., intravenous, inhalation, oral solution, suspension, ophthalmic or 123 124 topical formulations) (Rowe et al., 2005). Simonazzi et al. (2018) designed ABZ SDs using P407 as carrier (ABZ:P407 SDs). These SDs markedly improved ABZ solubility 125 126 and dissolution rate compared with pure ABZ and a commercial formulation. These drug-related factors affect the gastrointestinal absorption thus improving the 127 bioavailability. In this context, the aim of the current work was to determine the in vivo 128 efficacy of ABZ:P407 SDs in the murine model of AE. 129

130

131 Materials and methods

132 Preparation of solid dispersions and physical mixtures

The ABZ:P407 SDs were prepared by the fusion method as reported by Simonazzi *et al.* (2018) ensuring quality in terms of physicochemical properties and dose adjustment. Briefly, ABZ (Pharmaceutical grade, Parafarm, Argentina) was homogeneously dispersed in the molten P407 (BASF®, Germany) at 63 degrees (1:1), by stirring. The preparation was rapidly cooled in liquid nitrogen, pulverized and sieved. The 210 µm particle size fraction was kept in a glass vial at room temperature until use.

Physical mixtures were prepared from ABZ and P407 previously sieved (210
µm particle size fraction). The components were mixed in equal proportions in a
Laboratory-scale V-blender for 5 min. The powders were stored in a glass vial at room
temperature until use.

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145 Preparation of ABZ formulations

The suspension of ABZ (3.08 mg/ml) was prepared by dispersion of pure ABZ in distilled and deionized water (pH = 7.0) with carboxymethylcellulose (CMC, Todo Droga, Córdoba, Argentina) (0.5% w/v, pH = 6.0). The suspension was shaken for 5 h and sonicated for 1 h. The ABZ:P407 SDs (6.16 mg/ml), physical mixture (6.16 mg/ml) and P407 (3.08 mg/ml) suspensions were prepared by dissolution in distilled and deionized water (pH = 7.0) under shaking (5 h). All formulations were stored at 4 °C and were vigorously shaken before administration to mice.

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154 Parasite material

The studies were carried out using *E. multilocularis* isolate J2012 (kindly provided by Klaus Brehm, Institute for Hygiene and Microbiology, University of Würzburg, Germany). To establish the murine intraperitoneal infection model for

secondary AE, the parasite was propagated in the peritoneum of CF-1 mice and was processed as described by Albani *et al.* (2015), with some modifications. Briefly, the metacestodes obtained from the peritoneal cavity of the animals were cut to obtain a parasitic suspension. The suspension was passed through a metallic strainer and washed several times with phosphate-buffered saline (PBS). Finally, 0.5 vol of PBS and 12 μ g/ml of ciprofloxacin (Roemmers, Argentina) were added to parasite tissue and incubated overnight at 4 °C (Spiliotis and Brehm, 2009).

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166 Experimental design and evaluation of *in vivo* efficacy of ABZ:P407 SDs against the167 murine model of AE

For chemoprophylactic and clinical efficacy studies, one hundred female CF-1 mice 168 were intraperitoneally infected with 0.3 ml of homogenized parasitic material of E. 169 *multilocularis* in PBS (n = 50 for each study). In the chemoprophylactic efficacy study, 170 171 the dosage of the animals began 1 day post-infection, while in the clinical efficacy study the treatment began 6 weeks post-infection. In each study, the experimental groups 172 were: 1- water control group, mice received distilled and deionized water as a placebo; 173 174 2- P407 control group, mice received P407 suspended in distilled and deionized water; 175 3- ABZ-CMC group, mice were treated with a suspension of ABZ in distilled and 176 deionized water with CMC; 4- Physical mixture group, the animals received a 177 suspension of physical mixture (ABZ and P407, 1:1); 5- ABZ:P407 SDs group, animals were treated with a suspension of ABZ:P407 SDs. The animals were randomly 178 179 distributed into the treatment groups (10 animals/group) with 5 mice per cage.

In both studies, treatments were performed daily for 30 days by intragastric administration in a volume of 0.3 ml. For groups 3, 4 and 5 the dose of ABZ was 25 mg/kg per day.

Approximately 10 weeks post-infection, the mice were anesthetized with 100 mg/kg of ketamine and 10 mg/kg of xylazine and subsequently euthanized by cervical dislocation and necropsied. The cystic masses were obtained from the peritoneal cavity of each mouse and weighed. The median cysts weight from each group and ultrastructural study of the germinal layer of cysts by scanning electron microscopy were used to determine the efficacy of each treatment (Albani *et al*, 2015).

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190 Scanning electron microscopy

Samples of cysts obtained from animals involved in both in vivo efficacy studies 191 were processed for scanning electron microscopy as described by Elissondo et al. 192 193 (2007). Briefly, samples were fixed in 3% glutaraldehyde (Sigma-Aldrich, St. Louis, USA) in 0.1 M sodium cacodylate buffer pH 7.4 (Sigma-Aldrich, St. Louis, USA) for 194 195 72 h at 4°C. Then, several washes in 0.1 M sodium cacodylate buffer were made. After that, the specimens were dehydrated by sequential incubations of 10 min in increasing 196 concentrations of ethanol (Cicarelli, Argentina): 50%, 70%, 80%, 90%, 95%, and twice 197 198 in 100%. Finally, samples were immersed in hexamethyldisilazane (Sigma-Aldrich, St. Louis, USA) for 5 min, 1 h, and overnight. They were then sputter-coated with gold 199 (100-Å thickness) and inspected on a JEOL JSM-6460 LV scanning electron 200 201 microscope operating at 15 kV.

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203 Statistical analysis

Cysts weights of the different groups, reported as median and interquartile range (IQR), were compared by Kruskal Wallis Test (nonparametric method) followed by Dunn's Multiple Comparisons Test. The analysis was carried out using Instat 3.0

207	software program (GraphPad Software, San Diego, CA, USA). In all cases, P values
208	less than 0.05 ($P < 0.05$) were considered statistically significant.

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211

210 **Results**

All the infected mice belonging to the chemoprophylactic efficacy study developed cystic masses in the abdominal cavity. No significant differences were found (P > 0.05) between the median weight of the cysts of the water and P407 control groups. Although the median weight of cysts recovered from mice treated with all formulations of ABZ were lower in relation to the control groups, no significant differences were detected (P > 0.05, Table 1).

Chemoprophylactic efficacy study of ABZ:P407 SDs against the murine model of AE

The ultrastructural study of the germinal layer of metacestodes recovered from control and treated groups is shown in Fig. 1. The germinal layer of cysts obtained from control mice showed the characteristic multicellular structure (Fig. 1a). The decrease in the weight of the cysts belonging to treated groups was correlated with ultrastructural alterations observed by scanning electron microscopy. Areas without cells in the germinal layer were observed in treated cysts (Figs. 1b-d).

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225 Clinical efficacy study of ABZ:P407 SDs against the murine model of AE

Table 2 summarizes the cyst weights (median and IQR) recorded after treatments of the different experimental groups involved in therapeutic efficacy study. There were no statistically significant differences (P > 0.05) between the median cyst weights of control groups (i.e. water and P407 control groups). Although the median weight of cysts recovered from ABZ-CMC and physical mixture groups were lower than those observed in the control groups, no differences were found between treated

232 groups and control groups (P > 0.05). In contrast, ABZ:P407 SDs treatment caused a 233 significant decrease in the cysts weight compared with control groups (P < 0.05). 234 Metacestodes recovered from treated mice showed damage in the germinal layer, in relation to the control groups. However, the damage extension appears to be 235 236 greater after ABZ:P407 SDs compared to the ABZ-CMC treatment (Fig. 2). 237 Discussion 238 The drug of choice for the pharmacological treatment of human echinococcosis 239 is ABZ. As this drug was developed primarily to target parasites in the gastrointestinal 240

18 ABZ. As this drug was developed primarily to target parasites in the gastrointestinal 18 tract, a low bioavailability outside the intestine was considered important for its optimal 12 performance. However, this feature is considered undesirable for a systemic parasitic 13 disease as echinococcosis (Shuhua *et al.*, 2002). The expression of a β -tubulin isoform 14 with limited affinity to benzimidazoles by germinative cells and the low concentrations 15 of ABZ reaching the parasite produce a parasitostatic effect and relapses after 16 chemotherapy have been reported (Reuter *et al.*, 2004; Brehm and Koziol, 2014).

247 The gastrointestinal permeability and solubility of some drugs are limiting conditions for oral absorption, directly affecting their bioavailability. Although 248 permeability is an intrinsic property of a drug, different strategies have been developed 249 250 for improving the dissolution rate with the aim of designing suitable formulations for oral administration (Vo et al., 2013). Scientific evidence indicates that a higher drug 251 252 bioavailability correlates with an improved efficacy of benzimidazoles against murine 253 echinococcosis (Mingjie et al., 2002; Shuhua et al., 2002; Dvorožňáková et al., 2004; Ceballos et al., 2006, 2008, 2009; Liu et al., 2012; Abulaihaiti et al., 2015; Hu et al., 254 255 2019).

256 The *in vitro* dissolution of a drug can be correlated with its bioavailability *in vivo* 257 (Amidon et al., 1995). Simonazzi et al. (2018) demonstrated that the use of P407 as 258 carrier in ABZ SDs markedly improved its solubility and dissolution rate compared with pharmaceutical grade ABZ and a commercial formulation. In addition, it was 259 260 observed that the polymer maintained a desirable level of a supersaturation state in the dissolution medium. This was reached by preventing solvent-mediated crystallization 261 262 over the time period necessary for the absorption process. The results observed in vitro with the ABZ:P407 SDs could be correlated with the efficacy obtained in the present 263 study in the murine model of AE. 264

During the chemoprophylactic efficacy study, all formulations of ABZ showed a tendency to decrease the development of *E. multilocularis* cysts. The ultrastructural study of metacestodes supports these results, showing the loss of cells of the germinal layer. However, no significant differences were detected between the median weight of cysts recovered from the treated mice. In contrast, Morris and Taylor (1988) reported that a significant protection against protoscoleces of *E. granulosus* was achieved in gerbils by one month treatment of ABZ (10 mg/kg/day).

In the clinical efficacy study, the ABZ:P407 SDs achieved a statistically 272 significant decrease in the weight of cysts, with an efficacy of 86%. In addition, the 273 274 extent of damage caused by ABZ:P407 SDs was greater compared to the other treated groups. The ultrastructural alterations in the germinal layer were similar to those 275 observed in mice infected with E. granulosus treated with other benzimidazoles 276 277 (Ceballos et al., 2009, 2010). Our results are consistent with those reported by Pensel et al. (2014), who demonstrated a greater in vivo efficacy of ABZ formulated as SDs using 278 279 P188 in the murine model of cystic echinococcosis.

280 The SDs increase the dissolution rate of low water soluble drugs (Vo et al., 281 2013). The enhanced efficacy obtained after oral administration of ABZ:P407 SDs 282 could be explained by an increase in ABZ dissolution rate caused by the surfactant nature of poloxamers. Poloxamers in certain concentrations form micelles with a 283 284 hydrophobic core which could incorporate insoluble molecules as ABZ, promoting faster and more complete solubility, increasing ABZ bioavailability and efficacy 285 (Kabanov et al., 2002). On the other hand, the humectability effect of the surfactant 286 could create a favorable microenvironment around the drug particles that would 287 facilitate the dissolution process (Chen et al., 2004). In this way, poloxamers would 288 289 improve water solubility and wettability of ABZ.

In terms of drug safety, ABZ has been extensively investigated in a wide range 290 291 of antiparasitic indications. At low dose, the incidence of adverse experiences is low. At 292 the higher doses and more prolonged exposure used in the treatment of echinococcosis, there is an increase in the number and severity of adverse experiences. Adverse effects 293 include nausea, vomiting, diarrhea, dizziness, headache, neutropenia, liver toxicity, 294 295 alopecia and others (Horton, 1997; Brunetti et al., 2010). The ABZ SDs formulations would allow administering lower doses of drug in the treatment of echinococcosis, with 296 the consequent reduction in side effects. Paredes et al. (2018) reported ABZ self-297 dispersible nanocrystals achieve the same efficacy against a model intestinal nematode 298 parasite in dogs using a dose which was four times lower than a commercial 299 formulation. 300

This pharmacotechnical strategy might in the future offer novel treatment alternatives for human AE. In a next step, we will characterize the pharmacokinetic profile after the administration of ABZ:P407 SDs in mice infected with *E. multilocularis*.

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312 **Conflict of interest.** None.

Ethical standards. Six-eight weeks old female CF-1 mice (body weight 25 g \pm 313 5) were used. The animals were housed in a room with temperature-controlled (22 ± 1 314 315 °C), a relative air humidity of $50 \pm 5\%$, and a cycle of 12 h light and 12 h dark. Food 316 and water were given ad libitum. Animal procedures and management protocols were 317 approved by the Institutional Animal Care and Use Committee (RD 211/18) of the Faculty of Exact and Natural Sciences, National University of Mar del Plata, Mar del 318 Plata, Argentina and carried out in accordance with the revised form of The Guide for 319 320 the Care and Use of Laboratory Animals (National Research Council US, 2011). Unnecessary animal suffering was avoided throughout the study. 321

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482 Legends to figures

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Fig. 1. Scanning electron microscopy of *E. multilocularis* cysts recovered from infected mice belonging to the chemoprophylactic efficacy study. (A) Control cyst with an intact germinal layer (gl). (B) Cyst recovered from mice treated with ABZ-CMC. Note the loss of cells in the germinal layer. (C) Cyst obtained from treatment with physical mixture. Observe the areas without cells. (D) Germinal layer of metacestode recovered from the ABZ:P407 SDs treated group. Areas with extensive loss of cells can be observed. Scale bar = 50 μ m.

491

Fig. 2. Scanning electron microscopy of *E. multilocularis* cysts recovered from infected
mice belonging to the clinical efficacy study. (A) Control cyst with an intact germinal
layer (gl). (B) Cyst recovered from mice treated with ABZ-CMC. Reduction in the cell
number could be observed. (C) Cyst obtained from treatment with physical mixture.
Observe areas without cells. (D) Germinal layer of metacestode from ABZ:P407 SDs
treated group. Only cellular debris and isolated cells could be observed. Scale bar = 50
µm.

499

501 **Table 1**

502 Chemoprophylactic efficacy study. Median weight (g) and interquartile range (IQR) of 503 the *E. multilocularis* cysts recovered from artificially infected mice from the 504 unmedicated control and treated groups. Twenty-four hours post-infection, daily 505 treatments were performed by intragastric administration of different formulations of 506 ABZ at the dose of 25 mg/kg of ABZ over a period of 30 days.

	Median weight	Interquartile range
	of cysts (g)	(IQR)
Water control	3.62	2.53
P407 control	2.91	4.81
ABZ-CMC	1.72	0.91
Physical mixture	1.05	1.53
ABZ:P407 SDs	0.95	1.78

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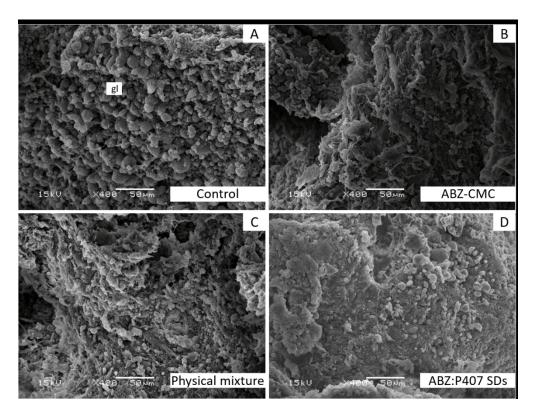
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509 **Table 2**

510 Clinical efficacy study. Median weight (g) and interquartile range (IQR) of the *E*. 511 *multilocularis* cysts recovered from artificially infected mice from the unmedicated 512 control and treated groups. Six weeks post-infection, daily treatments were performed 513 by intragastric administration of different formulations of ABZ at the dose of 25 mg/kg 514 of ABZ over a period of 30 day.

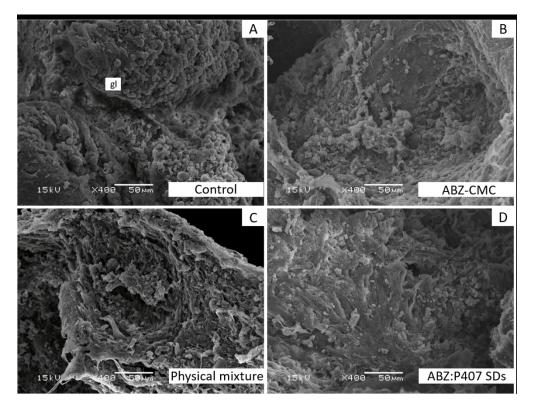
Median weight	Interquartile range
of cysts (g)	(IQR)

Water control	4.27	2.41
P407 control	3.44	2.25
ABZ-CMC	0.69	0.72
Physical mixture	0.57	0.27
ABZ:P407 SDs	0.28*	0.66



Scanning electron microscopy of E. multilocularis cysts recovered from infected mice belonging to the chemoprophylactic efficacy study. (A) Control cyst with an intact germinal layer (gl). (B) Cyst recovered from mice treated with ABZ-CMC. Note the loss of cells in the germinal layer. (C) Cyst obtained from treatment with physical mixture. Observe the areas without cells. (D) Germinal layer of metacestode recovered from the ABZ:P407 SDs treated group. Areas with extensive loss of cells can be observed. Scale bar = $50 \mu m$.

211x160mm (150 x 150 DPI)



Scanning electron microscopy of E. multilocularis cysts recovered from infected mice belonging to the clinical efficacy study. (A) Control cyst with an intact germinal layer (gl). (B) Cyst recovered from mice treated with ABZ-CMC. Reduction in the cell number could be observed. (C) Cyst obtained from treatment with physical mixture. Observe areas without cells. (D) Germinal layer of metacestode from ABZ:P407 SDs treated group. Only cellular debris and isolated cells could be observed. Scale bar = 50 µm.

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