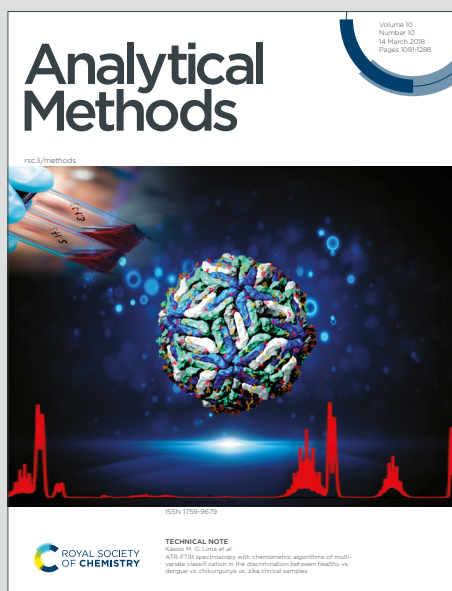


Analytical Methods

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3 1 **Nanostructured paper-based device for phenylalanine neonatal screening by LED** New Article Online
DOI: 10.1039/C9AY02774B
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5 2 **induced fluorescence**
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27 **ABSTRACT**View Article Online
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28 In this work, a novel paper-based analytical device (PAD) coupled to LED-
29 induced fluorescence (LIF) detection (fPAD) for the rapid, selective, and sensitive
30 quantification of phenylalanine (Phe) in neonatal samples was developed. Enzymes
31 Phenylalanine dehydrogenase (PheDH) and diaphorase were immobilized on a paper
32 microzone previously modified with zinc oxide nanoparticles (ZnONPs) coated with
33 chitosan (CH-ZnONPs). Phe was extracted from the blood spots collected samples on
34 filter paper and was mixed with nicotinamide adenine dinucleotide (NAD⁺) and
35 resazurin. Then the mixture was deposited on the reaction microzone of the fPAD where
36 PheDH converts the Phe and NAD⁺ to phenylpyruvate and NADH, respectively.
37 Finally, NADH was oxidized by diaphorase with the consequent reduction from
38 resazurin to resorufin. This latter was detected by LIF using an excitation wavelength of
39 535 nm and an emission of 580 nm in a synchronized video microscope.

40 We compare the responses of the PADs with and without nanomaterials to
41 demonstrate the improved analytical performance of the developed devices. For this, the
42 PADs were modified with the same concentration of horseradish peroxidase (HRP). The
43 fluorescent signal obtained from the PADs with nanomaterials was higher than that of
44 the unmodified PADs.

45 Our method exhibited within- and between-assay variation coefficients below
46 5.23% and 6.67%, respectively. The detection limit obtained by the developed device
47 was 0.125 μM . The proposed fPAD allowed the simple, rapid, low-cost, and sensitive
48 detection of Phe in neonatal blood samples.

49
50 **Keywords:** Fluorometric detection, Paper-based analytical device, zinc oxide
51 nanoparticles, Enzymatic method, Phenylalanine.

53 1. Introduction

54 In recent years, paper surfaces have been widely used as a support for the design
55 and construction of paper-based analytical devices (PADs). The use of this material
56 involves several advantages such as easy application, portability and low cost ¹.

57 PADs can be designed by different procedures, such as photolithography,
58 polydimethylsiloxane tracing, inkjet printing, plasma treatment, paper cutting, wax
59 immersion and wax printing ^{2,3}. Among them, wax printing is the most commonly used
60 for the fabrication of paper devices, due to its easy processing and the possibility of
61 mass production ⁴. Besides, PADs coupled to different detection systems have been
62 reported. Fluorescent and colorimetric techniques allow obtaining analytical results by
63 direct visual read-out. Nevertheless, fluorescence sensors exhibit higher sensitivity and
64 lower detection limit than the colorimetric sensors ⁵.

65 PADs surface could be modified with different polymers and nanomaterials as a
66 strategy for improving their properties. An interesting polymer to be incorporated on
67 paper surface is chitosan, a cationic polysaccharide ecologically friendly ^{6,7}.
68 Furthermore, chitosan easily forms covalent bonds with the cellulose fibers treated with
69 oxygen plasma, allowing the subsequent incorporation of nanomaterials on the surface.
70 This modification procedure improves the overall physical/chemical properties of the
71 paper ⁸.

72 In this context, zinc oxide nanoparticles (ZnONPs) represent an attractive for the
73 development of biosensors. These NPs are non-toxic materials which exhibit good
74 biocompatibility, chemical stability and enhanced surface area for the immobilization of
75 biological recognition agents ⁹⁻¹¹.

76 One interesting application of PADs is the phenylketonuria (PKU) new-born
77 screening, measuring phenylalanine (Phe) in neonatal samples. PKU is a phenylalanine

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3 78 metabolism error caused by a congenital deficiency of phenylalanine hydroxylase
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5 79 activity. In the absence of treatment, PKU induces damage to the central nervous system
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7 80 with irreversible mental retardation^{12–14}. The Phe determination in the blood is essential
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9 81 for the early dietary therapy implementation to avoid the clinical manifestations of the
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11 82 disease.

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14 83 Several methods have been developed for detection of Phe such as fluorometric¹⁵,
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16 84 spectrophotometric¹⁶, chromatographic^{17,18} and enzymatic^{19–22}. In this regard,
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18 85 enzymatic assays have great potential to be implemented in routine analysis. However,
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20 86 it is essential to emphasize that the native NADH fluorescence generated in this method
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22 87 is low and, therefore, the detection limit obtained is deficient²³.

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25 88 A strategy to enhance the sensitivity of the enzyme assay is the diaphorase-
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27 89 resazurin coupling, which generates the rapid amplification of the signal²⁴. In this
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29 90 amplification system, diaphorase enzyme catalyzes the reduction from weakly
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31 91 fluorescent resazurin to highly fluorescent resorufin in the presence of NADH.

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34 92 Here, a novel PAD coupled with LED-induced fluorescence (LIF) detection
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36 93 (fPAD) applied to the Phe quantification in neonatal blood samples was developed. For
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38 94 the construction of the fPAD, the paper microzone was modified with zinc oxide
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40 95 nanoparticles coated with chitosan (CH-ZnONPs). The enzyme mixture was
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42 96 immobilized in the modified paper microzone which acts as reaction support. The
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44 97 fluorescent product generated by the enzyme reaction was detected by LIF detection
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46 98 using an emission wavelength of 580 nm. The proposed fPAD exhibited good
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48 99 selectivity, stability, and reproducibility for the neonatal screening of PKU.

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53 54 55 101 **2. Materials**

56 57 58 102 **2.1. Reagents and solutions**

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3 103 All the reagents used were of analytical grade. Phenylalanine (Phe), phenylalanine
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5 104 dehydrogenase (PheDH), diaphorase from *Clostridium kluyveri*, β -nicotinamide adenine
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7 105 dinucleotide (NAD) sodium salt, resazurin sodium salt, chitosan (CH) (high purity, M_v
8
9 106 140,000–220,000), nitrate zinc hexahydrate ($Zn(NO_3)_2 \cdot 6H_2O$), Peroxidase from
10
11 107 horseradish (HRP) and 10-Acetyl-3,7-dihydroxyphenoxazine (ADHP) were purchased
12
13 108 from Sigma-Aldrich Co. (St. Louis, MO, USA). Sodium hydroxide (NaOH), sodium
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15 109 chloride (NaCl) and glutaraldehyde (GLA) (25% aqueous solution) were obtained from
16
17 110 Merck (Darmstadt, Germany). Paper devices were made of Whatman N° 1
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19 111 chromatographic paper. The aqueous solutions were prepared using purified water from
20
21 112 a Milli-Q system.

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23 113 High- and low-level of Phe samples and controls were supplied by Blood Spot
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25 114 PHENYLALANINE NEONATAL-MW (enzyme-linked colorimetric assay) Kit (MP
26
27 115 Biomedicals, USA).

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30 117 **2.2. Instrumentation**

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32 118 Fluorescent measurements were performed using a synchronized video
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34 119 microscope SVM340TM (LabSmith, Livermore, California, USA). All pH
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36 120 measurements were made with an Orion Research Inc. (Orion Research Inc.,
37
38 121 Cambridge, MA, USA) Model EA 940 equipped with a glass combination electrode
39
40 122 (Orion Research Inc.). The fluorescent emission spectrum of zinc oxide nanoparticles
41
42 123 and resorufin were studied using a QE65000-FL scientific-grade spectrometer (Ocean
43
44 124 Optics, USA). The morphology and elemental composition of the nanoparticles on the
45
46 125 paper surface were studied by scanning electron microscopy (SEM) LEO 1450VP (UK)
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48 126 and energy dispersive spectroscopy (EDS) EDAX Genesis 2000 energy dispersive
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50 127 spectrometer (UK), respectively. A Xerox Phaser printer from XEROX (Xerox
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3 128 ColorQube 8870, ARG) was used to print the paper device. The treatment by oxygen
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5 129 plasma was carried out with a PDC-32G device (Micro Technology Co. Ltd., USA).
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11 131 **2.3. Synthesis of CH-ZnONPs**

12 132 The synthesis of the ZnONPs was achieved by a wet chemical process under high-
13
14 133 speed agitation. An aqueous solution of 0.90 M NaOH was added drop by drop to an
15
16 134 aqueous ethanol solution of zinc 0.50 M ($\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$) and allowed to react for 2 h.
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18 135 Then, the supernatant was carefully separated, and the remaining solution was
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20 136 centrifuged for 10 min. Subsequently, the precipitate was cleaned and dried in one
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22 137 atmosphere of air at 60 °C to generate ZnO from $\text{Zn}(\text{OH})_2$. Finally, 0.25 mg of the
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24 138 obtained ZnONPs was dispersed in 5 mL of CH solution (0.05 M in an acetate buffer,
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26 139 pH 4.50) and stirred for 8 h at room temperature until obtaining a dispersion.
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31 141 **2.4. Paper-based devices (PADs) fabrication**

32 142 The fabricated PADs have a hydrophilic reaction microzone confined by
33
34 143 hydrophobic wax barriers. Paper microzones with a diameter of 6 mm were designed on
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36 144 Whatman # 1 filter paper using graphic software (Corel Draw 9). Once the design was
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38 145 printed, to melt the wax through the paper and create a hydrophobic barrier, the
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40 146 platforms were placed at 90 °C for 5 min. Finally, with the aim to produce aldehyde
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42 147 groups over the reaction microzone, the wax-penetrated paper was treated for 4 min by
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44 148 oxygen plasma using an excitation frequency of 13.56 MHz and a power of 100 W.
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47 150 After plasma treatment, 5 μL of CH-ZnONPs previously obtained was dropped
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49 151 onto the reaction microzone, and dry at room temperature. The aldehyde groups of the
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51 152 cellulose were used to crosslinking amino groups of CH²⁵, allowing the covalent
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60 binding of the CH-ZnONPs in the reaction microzone (CH-ZnONPs-PADs).

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3 153 To carry out the enzyme incorporation, the CH-ZnONPs-PADs surface was
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5 154 exposed to 5 μL of GLA 5% w/w solution (acetone medium, pH 5.00) for 1 h at room
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7 155 temperature and washed three times (GLA-CH-ZnONPs-PADs). Finally, 5 μL of a
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9 156 solution containing 6 U mL^{-1} of PheDH and 7 U mL^{-1} of diaphorase was incubated with
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11 157 GLA-CH-ZnONPs-PADs overnight at 5 $^{\circ}\text{C}$. This treatment allowed to form covalent
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13 158 bindings between the amino groups of the chitosan and enzymes by crosslinking with
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15 159 GLA. Finally, the device was washed three times with phosphate buffer (pH 7.00) and
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17 160 stored in the same buffer at 5 $^{\circ}\text{C}$.
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162 2.5. Fluorometric measurements

163 The Phe determination in neonatal blood samples consisted in placing into an
164 eppendorf tube 9 μL of the eluted samples (see samples extraction in the electronic
165 supplementary information), followed by the addition of 3 μL of an assay cocktail
166 containing 50 μM resazurin and 2.5 mM NAD^{+} solution in 0.2 M glycine buffer pH
167 8.00. Then, 5 μL of this mixture was added to the device. PheDH catalyzed the NAD^{+} -
168 dependent deamination of Phe to phenylpyruvate and NADH . This cofactor was
169 oxidized by the diaphorase with the consequent reduction of resazurin to fluorescent
170 resorufin, which was detected by LIF in the synchronized video microscope using an
171 excitation wavelength of 535 nm and emission of 580 nm. Figure 1 shows the schematic
172 representation of the device construction and Phe determination.

174 3. Results and discussion

175 3.1. PADs Characterization

176 The reaction surface was characterized by SEM. Figure 2(a) shows the typical
177 scanning electron micrograph image of the unmodified paper surface, Figure 2(b)

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3 178 reveals the aggregation of CH-ZnONPs uniformly spread on the paper surface. Besides, View Article Online
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5 179 the elemental composition was disclosed by EDS analysis in which strong signals of Zn
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7 180 were observed at 1 keV, while signals from C and O were also recorded confirming the
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9 181 presence of CH-ZnONPs (Figure 2c).

12 182 Figure 2(d) can be observed the emission spectra of CH-ZnONPs and resorufin
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14 183 excited at 340 and 535 nm, respectively. The CH-ZnONPs exhibits emission bands at
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16 184 390, 420, 485, 530 nm, while the resorufin shows an emission band around 580 nm.
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18 185 Therefore, the fluorescent signal obtained during the assay is only due to the enzymatic
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20 186 product.

21 187 In order to demonstrate the enhanced fluorometric performance of the devices ,
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23 188 we compared the responses of PADs with and without NPs. For this, both PADs with
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25 189 the same reaction microzone dimensions (6 mm of diameter) were modified with the
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27 190 same HRP concentration. The experiment consisted of adding 5 μL of different H_2O_2
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29 191 concentration with ADHP, to perform the fluorescence measurement after 2 min of
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31 192 incubation time. As shown in Figure 2(e), the fluorescent response of the CH-ZnONPs-
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33 193 PADs was higher than the non-modified PADs. Therefore, the use of the CH-ZnONPs
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35 194 in the PADs development improved the analytical performance.

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36 196 **3.2. Optimization of the experimental variables**

37 197 In this section, relevant studies of experimental parameters that affect the
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39 198 performance of Phe determination were analyzed. A Phe standard of 900 μM was
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41 199 employed for the assays.

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47 200 One of the parameters evaluated was the optimal PheDH concentration to be
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49 201 immobilized on the paper microzone surface. For this assay, the concentration of
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54 202 PheDH enzyme ranged from 1 to 12 U mL^{-1} . The highest fluorescence intensity was

203 observed with a PheDH concentration of 6.0 U mL^{-1} . Hence, 6.0 U mL^{-1} PheDH in the
204 enzymatic mixture was used for all experiments (Figure 3a, black line).

205 The diaphorase concentration was another significant parameter evaluated in the
206 enzymatic study. The measured fluorescence intensity is weakly dependent on the
207 diaphorase concentration above 7.00 U mL^{-1} . This enzyme concentration generated an
208 acceptable signal/noise ratio due to allowing an optimal reduction from resazurin to
209 resorufin. The high concentrations of diaphorase did not produce an improvement in
210 detection capability. Therefore, a diaphorase concentration of 7.00 U mL^{-1} was used for
211 the immobilization on the paper microzone (Figure 3a, blue line).

212 The optimal concentration of the cofactor NAD^+ in the reaction mixture was
213 studied using different NAD^+ concentrations (1-5 mM). The optimal response was
214 observed with a NAD^+ concentration of 2.5 mM, and it was used in the subsequent
215 analysis (Figure 3b, black line). Following this line, the optimal resazurin concentration
216 was evaluated. The highest fluorescent response was achieved at a resazurin
217 concentration of $50 \text{ }\mu\text{M}$. When higher concentrations were analyzed, no significant
218 differences were observed (Figure 3b, red line).

219 The effect of pH on the fluorescence signal was analyzed. Taking the optimal pH
220 ranges of the PheDH and diaphorase enzymes, the influence of pH was studied at $25 \text{ }^\circ\text{C}$
221 in a range from 6.00 to 12.00. The pH variation of the 0.2 M glycine buffer was no
222 significant effect on the fluorescence intensity in a range of 7.00 and 9.00. Therefore,
223 the selected pH range for resorufin detection was 8.00 (Figure 3c).

224 Finally, the study of optimal incubation time was performed. As illustrated in the
225 Figure 3(d), the fluorescence generated for different Phe concentration (240, 420 and
226 $900 \text{ }\mu\text{M}$) increased by increasing the incubation time up to 1.30 min and remained
227 constant at higher times. Therefore, an incubation time of 2 min at $25 \text{ }^\circ\text{C}$ was used in all

228 assays to ensure the complete enzymatic reaction. Table 1 shows the optimal
229 experimental conditions for Phe determination using the fPAD.

230

231 3.3. Phe determination by fPADs

232 The developed method was applied to the Phe determination in neonatal blood
233 samples under the optimized conditions described above. The relative fluorescence
234 response (RFU) of the enzymatic product is proportional to the concentration of Phe
235 present in the samples.

236 A linearity was achieved in the range from 5 to 1620 μM for the proposed method
237 by analyzing seven controls (5, 20, 60, 240, 420, 900 and 1620 μM) in 0.2 M glycine
238 pH 8.00 ($n = 6$). The linear regression equation was $\text{RFU} = 39.33 + 11.44 \times C_{\text{Phe}}$, with a
239 linear regression coefficient of 0.998 (Figure 4). The linearity range achieved is in
240 accordance with the clinical relevance, owing to that the cut-off for the Phe levels in
241 neonatal samples is of 240 μM ^{26,27}. Furthermore, the limit of detection (LOD) for
242 fPADs was 0.125 μM calculated by $\text{LOD} = (3.3 \sigma_o)/A$, where σ_o and A , are the controls
243 deviation of the blank and the slope of the calibration curve, respectively.

244 To evaluate the selectivity of the proposed method, (see Figure 5a) fortified blood
245 samples (252 μM Phe) were analyzed in the presence of 1200 μM bilirubin (BI), 6000
246 μM hemoglobin (Hb), 1164 μM tryptophan (Trp), 1074 μM tyrosine (Tyr) and 660 μM
247 ascorbic acid (AA). It was found that AA can interfere with the Phe analytical signal at
248 levels higher than the normal physiological range. However, no interference was
249 observed when AA was tested at normal physiologic levels (12 - 120 μM) (Figure S1).

250 The fPAD was compared with a Phenylalanine neonatal MW enzyme assay kit
251 (commercial colorimetric method) for the Phe quantification in eight neonatal samples
252 (three high-level and five low-level) and seven controls (three high-level and four low-

level). The fluorescence response obtained for our fPAD was proportional to the concentration of Phe in the blood samples. The slope obtained was reasonably close to 1, indicating a good correspondence between the two methods (Figure 5b). Besides, compared with the colorimetric enzyme assay (LOD = 18 μM , linear range of 60 to 1620 μM), our method showed an enhancement in the detection limit, which allowed to determine very low levels of Phe in neonatal samples.

The within- and between-assay variation coefficients were determined. The within-assay precision was tested with six measurements for three levels of controls (60, 420, and 900 μM , $n = 6$). These series of analyses were repeated for three consecutive days to estimate the between-assay precision. The values obtained within- and between-assay were 5.23% and 6.67%, respectively (see electronic supplementary information).

The developed fPAD was applied for the Phe quantification in spiked blood samples (0.5, 10, 40, 100, 500 and 1000 μM). The designed device accuracy was evaluated. Obtained recovery values ranged from 98.60% to 102.40%. Therefore, the accuracy of the proposed method is suitable for the quantitative detection of Phe (Table 2).

Finally, our method was compared with other methods reported in the literature for the determination of Phe. During the last years, several articles based on different methodologies for the Phe determination in neonatal blood samples were reported^{17,28–31}. Our system was designed on a paper support, a disposable and practical material to the generation of portable devices. This support was modified with zinc nanoparticles, allowing us to obtain one of the lowest LODs according to published articles. Moreover, the proposed system requires an analysis time of 2 min, less than the compared methods (Table 3).

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3 277 The proposed fPAD is a novel methodology with an appropriate LOD for the Phe
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5 278 quantification. The non-sophisticated equipment required, the accuracy and the
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7 279 achieved LOD represent relevant parameters, particularly when the routine diagnostic of
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9 280 phenylketonuria is needed.
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282 4. Conclusions

283 In this article, a novel and disposable paper-based analytical device combined
284 with a fluorescent detection system (fPAD) for neonatal screening of phenylketonuria
285 (PKU) was developed. The PAD fabrication process included the wax printing
286 technique and plasma oxidation treatment which allowed to obtain a delimited reaction
287 area in the paper surface. The incorporation of zinc nanoparticles on the paper
288 microzone increased the enzymes immobilization capacity of the sensing surface
289 generating a strong affinity towards Phe, conferring thus high sensitivity and selectivity
290 to the system. Furthermore, the developed method exhibited suitable stability and
291 excellent accuracy. According to the previously described features, the proposed fPADs
292 represents an alternative option for the simple, rapid and low-cost neonatal screening of
293 PKU.
294

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3 302 Neonatal samples and control samples were provided by the Blood Spot
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5 303 PHENYLALANINE NEONATAL-MW Kit. The manufacturer’s instructions specifies
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8 304 how these samples were obtained: blood samples were spotted in filter paper number
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10 305 903 in the center of a 1 cm circle.
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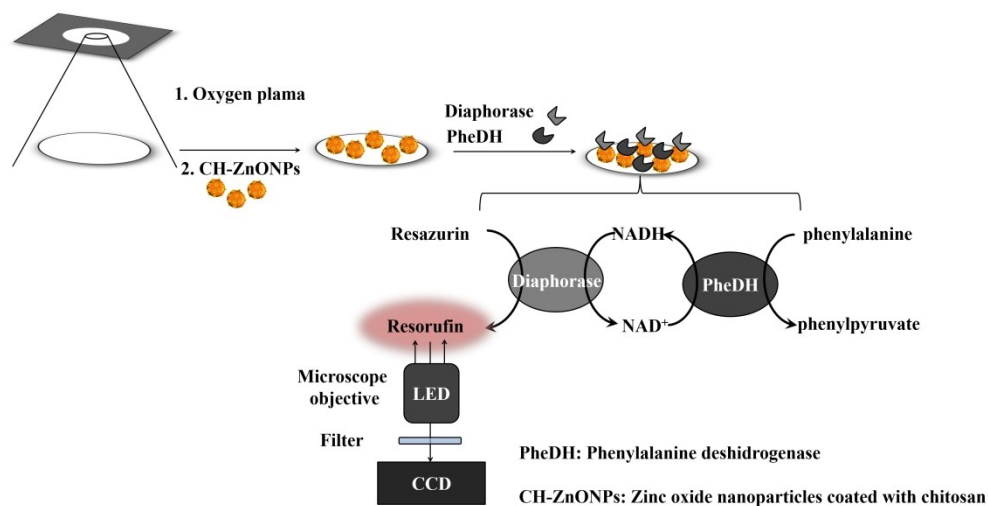
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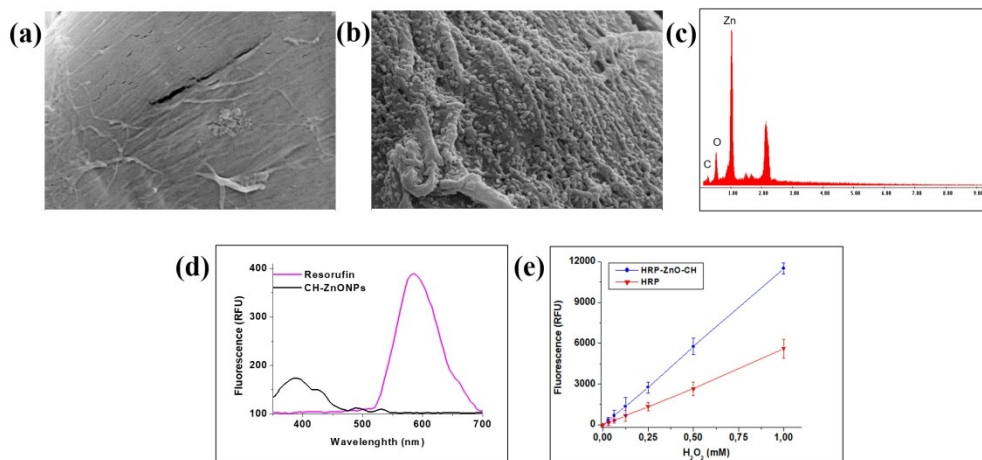
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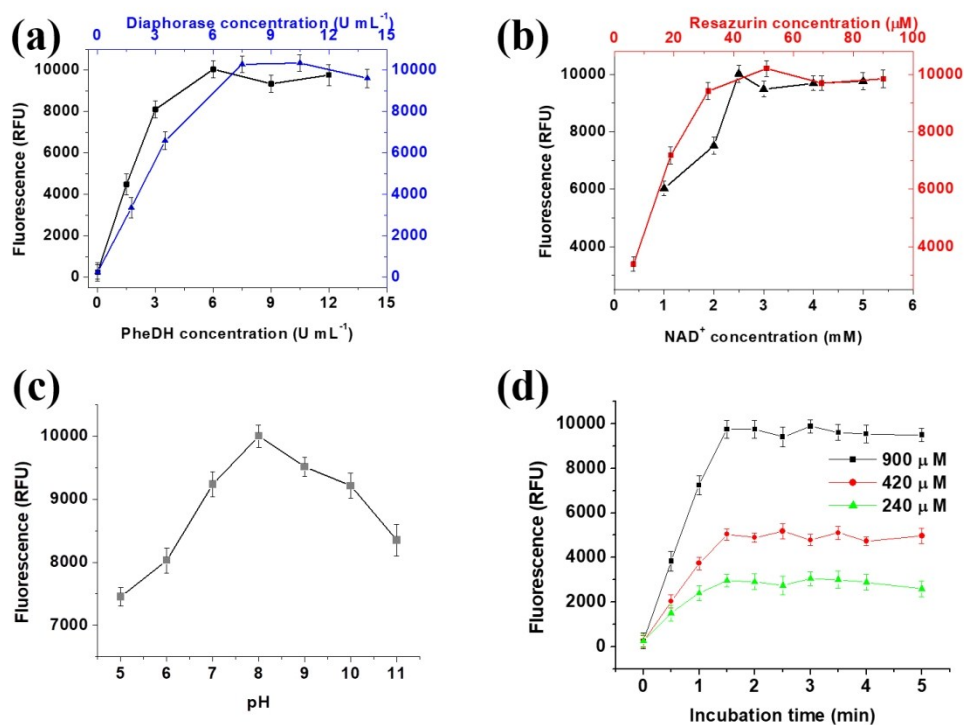
Analytical procedure for Phe determination in neonatal samples.

966x491mm (96 x 96 DPI)



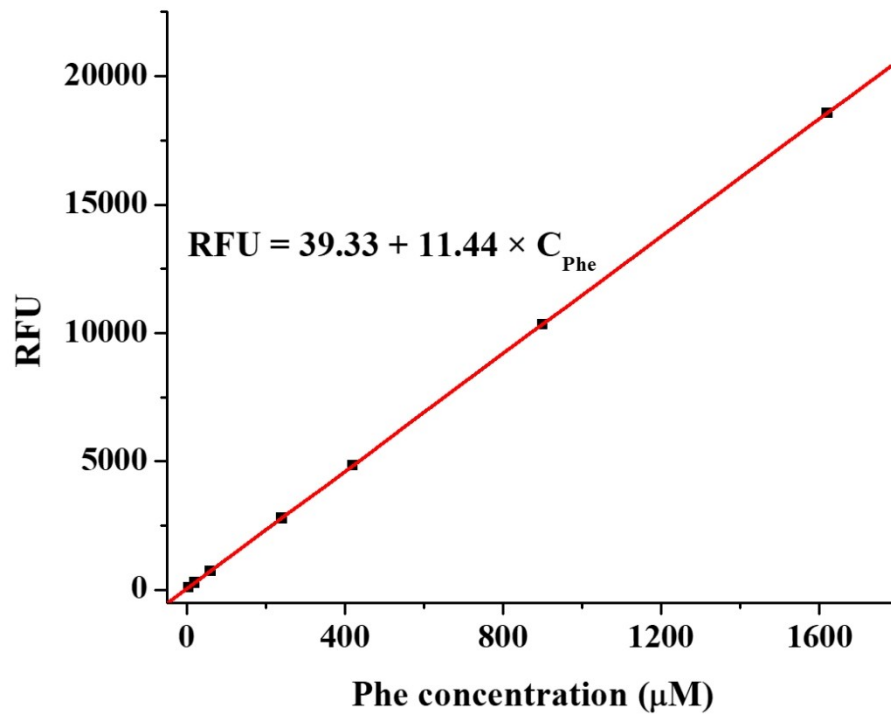
Platform characterization: (a) micrographs of the paper without CH-ZnONPs by SEM (ETH = 15.00 KV, WD = 12 mm, Zone Mag = 10.00 K X, Signal A = SE1), (b) micrographs of the paper with CH-ZnONPs by SEM (ETH = 15.00 KV WD = 11 mm Zone Mag = 10.00 K X Signal A = SE1), (c) spectra of CH-ZnONPs by EDS, (d) fluorescence intensity of CH-ZnONPs and resorufin excited at 340 and 535 nm, respectively, (e) effect of the presence of CH-ZnONPs on reaction platform.

622x286mm (96 x 96 DPI)



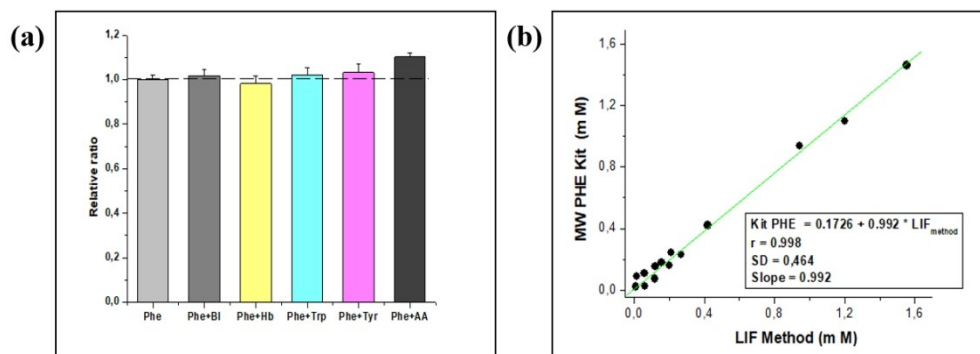
Optimization of experimental variables: (a) PheDH concentration (1-12 U mL⁻¹) (black line) and Diaphorase concentration (1-14 U mL⁻¹) (blue line), (b) NAD⁺ concentration (1-5 mM) (black line) and resazurin concentration (6-90 μM) (red line), (c) pH of the enzyme assay (5-11), (d) incubation time (0-5 min).

402x306mm (96 x 96 DPI)



Linearity study for the proposed method by analyzing seven controls (5, 20, 60, 240, 420, 900 and 1620 µM) in 0.2 M glycine buffer pH 8.00 (n = 6).

307x252mm (96 x 96 DPI)



(a) Phe (252 μM) detection in presence of bilirubin (1200 μM) (BI), hemoglobin (6000 μM) (Hb), tryptophan (1164 μM) (Trp), tyrosine (1074 μM) (Tyr) and ascorbic acid (660 μM) (AA). (b) Correlation between the colorimetric method and the proposed method analyzing eight neonatal samples (three high-level and five low-level) and seven controls (three high-level and four low-level).

335x117mm (96 x 96 DPI)

Optimized parameter	Evaluated range	Optimum value
PheDH concentration	1 – 12 U mL ⁻¹	6.0 U mL ⁻¹
Diaphorase concentration	1.75 – 14 U mL ⁻¹	7.0 U mL ⁻¹
pH of the enzyme assay	6 – 12	8.0
NAD ⁺ concentration	1 – 5 mM	2.5 mM
Resazurin concentration	6.5 – 90 μM	50 μM
Incubation time	0 – 5 min	2 min

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Phe (μM)			Recovery (%)
Base	Added	Found	
120	0.0	119.85	-
120	0.5	118.81	98.60
120	10	132.08	101.60
120	40	163.84	102.40
120	100	218.02	99.10
120	500	613.18	98.90
120	1000	1144.64	102.20

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Methods	^a LOD (μM)	^a Linear Range (μM)	View Article Online DOI: 10.1039/C9AY02774B References
HPLC/fluorescence	10	10 - 1500	17
Biosensor/UV	10	10 - 10000	28
Fluorescence quenching	0.012	0.036 - 9.68	29
Sol-gel/spectrofluorimetric	5.2	50 - 2000	30
HPLC/fluorescence	0.3	1.25 - 200	31
PAPs/fluorescence	0.125	5 - 1620	This paper

^a Phe concentration (μM)