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ORIGINAL ARTICLE: RESEARCH

Polymorphisms in *TNF* and *IFNG* are associated with clinical characteristics of aplastic anemia in Argentinean population

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Abstract

The impaired hematopoiesis in acquired aplastic anemia (AA) results from immune-mediated mechanisms. We characterized polymorphisms implicated in controlling type-1 cytokine production in 69 patients with AA. Our data suggest that the studied polymorphisms are not associated with susceptibility in the overall AA population. However, the presence of the higher expressing *TNF* – 308A allele was associated with younger age ($p = 0.0297$) and more profound neutropenia ($p = 0.0312$), and over-represented in patients with very severe AA ($p = 0.0168$). The higher producing *IFNG* 12 CA-repeat allele showed strong linkage disequilibrium with the + 874T allele, and was associated with a lower hemoglobin level ($p = 0.0351$). Also, the presence of at least one higher expressing variant was more frequent among patients responding to immunosuppressive treatment ($p = 0.0519$). Our findings suggest that the presence of higher expressing variants of tumor necrosis factor- α (*TNF*- α) and interferon- γ (*IFN*- γ) in AA patient genotypes could be related to clinical parameters, disease severity and therapy outcomes.

Keywords: Tumor necrosis factor- α , *TNF*, interferon- γ , *IFNG*, polymorphisms, aplastic anemia

Introduction

Acquired aplastic anemia (AA) is a marrow failure syndrome with an incidence of 1–2 patients per 1 000 000 per year, characterized by peripheral blood pancytopenia and bone marrow hypoplasia. Many pathogenic mechanisms have been proposed to account for bone marrow failure, including hematopoietic stem/progenitor cell deficiency, abnormal

hematopoietic microenvironment and immunity disorders [1–4]. In most cases, AA is an autoimmune disease in which the impaired hematopoiesis results from immune-mediated mechanisms. This model is supported by a number of *in vivo* and *in vitro* observations, including the response of patients to immunosuppressive treatment (IST). Cytotoxic T lymphocytes, T helper (Th) 1 lymphocytes and their cytokine products such as interferon- γ (*IFN*- γ), tumor necrosis factor- α (*TNF*- α) and Fas-induced apoptosis are considered the main effector mechanisms of immune-mediated suppression of hematopoiesis in marrow failure syndromes [5–8]. The combination of antithymocyte/antilymphocyte globulin (ATG/ALG), which lyses lymphocytes, and cyclosporine (CsA), which blocks T-cell function, is the first-line treatment for patients lacking human leukocyte antigen (HLA)-identical related donors. This IST option produces a response rate of around 70%, which appears to reflect the immune pathophysiology of AA [9–11].

A genetic predisposition is recognized in many autoimmune diseases. It is well established that highly polymorphic genes of the major histocompatibility complex (MHC) and various cytokines and cytokine receptors may influence both genetic susceptibility and resistance to several autoimmune diseases, including acquired bone marrow failure [12–15]. In several cytokine genes, polymorphisms that are located at regulatory regions or promoter regions can cause variable cytokine expression. The *TNF* gene contains functional single polymorphisms (SNPs) localized in the promoter region. Among them the most studied is – 308 G/A SNP, and the – 308A allele has been linked to higher expression of *TNF*- α [16]. Intron 1 of the *IFNG* gene contains

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1 two polymorphisms which could have functional conse-
 2 quences for gene transcription: + 874 A/T SNP and + 875
 3 CA microsatellite. The + 874T allele and the 12 CA-repeat
 4 allele have been associated with increased IFN- γ produc-
 5 tion [17,18]. It has been documented that some patients
 6 with acquired marrow failure syndromes have a higher
 7 frequency of polymorphisms associated with higher
 8 production of pro-inflammatory cytokines such as TNF- α
 9 and IFN- γ [15,19–23]. Also, several published studies have
 10 described associations between polymorphisms that
 11 modify the activity of cytokines and clinical manifestations
 12 in myelodysplastic syndromes [21,24]. In AA, polymorphisms
 13 affecting cytokine expression have been associated with
 14 response to IST [22,25]. However, as far as we know, there
 15 are no previous reports that relate the presence of these
 16 polymorphisms to other clinical characteristics in this
 17 pathology.

18 To test the hypothesis that genetic factors may be linked
 19 to an increased susceptibility to AA, we investigated the
 20 – 308 G/A SNP of the *TNF* gene and + 874 A/T SNP and
 21 + 875 CA microsatellite of the *IFNG* gene. In addition, we
 22 analyzed whether the presence of the higher producing
 23 variants of these cytokines are associated with clinical
 24 parameters, severity of the disease and response of patients
 25 with AA to IST.

26
 27 **Materials and methods**

28
 29 **Patients**

30 This was a multicenter retrospective analysis of 69 patients
 31 with AA diagnosed from March 1987 to February 2013. Clini-
 32 cians from the participating institutions completed a stan-
 33 dard registration form for each patient detailing the clinical
 34 and hematological features at presentation and during fol-
 35 low-up. Diagnosis of AA and disease severity were established
 36 by bone marrow biopsy and peripheral blood counts
 37 according to criteria defined by Camitta *et al.* [26] and the
 38 International Agranulocytosis and Aplastic Anemia Study
 39 group [27]. Only patients lacking dysplasia in the hematopoi-
 40 etic series with normal or non-informative cytogenetics
 41 at diagnosis were included. Patients with congenital AA or
 42 positive Ham test and/or paroxysmal nocturnal hemoglo-
 43 binuria (PNH) clone > 1.5% were excluded. All procedures
 44 followed were in accordance with the ethical standards of
 45 the responsible committee on human experimentation
 46 (institutional and national) and with the Declaration of
 47 Helsinki of 1975, as revised in 2008. Informed consent was
 48 obtained from all patients to be included in the study. Table I
 49 shows clinical characteristics of the patients with AA. In
 50 addition, 120 normal blood donor samples were studied as
 51 the control population.

52 All patients eligible for evaluation to response to IST were
 53 treated with a combination of ATG/ALG and CsA. Response
 54 to IST was evaluated at 6 months after receiving therapy.
 55 Complete response (CR) was defined as a normal hemo-
 56 globin level according to age, neutrophil count > 1500/ μ L
 57 and platelet count > 100 000/ μ L. Partial response (PR) was
 58 defined as transfusion independence, neutrophil count
 59 > 500/ μ L, platelet count > 20 000/ μ L and hemoglobin level

Table I. Clinical characteristics of patients with AA (*n* = 69).

Characteristic	<i>n</i> (%)	
Age (years)		61
Median	16.0	62
Mean	23.0	63
Range	2–74	64
Gender		65
Males/females	34/35	66
Classified according to severity		67
vsAA	14 (20)	68
sAA	41 (60)	69
mAA	9 (13)	70
ND	5 (7)	71
Initial treatment		72
HLA-identical related HSCT	8 (11.5)	73
IST*	49 (71)	74
Other therapies [†]	8 (11.5)	75
ND	4 (6)	76
Eligible for evaluation at 6 months from IST (ATG/ALG plus CsA), <i>n</i> = 38		77
Response to IST		78
Yes	26 (68.4)	79
No	12 (31.6)	80

81 vsAA, very severe aplastic anemia; sAA, severe AA; mAA, moderate AA; ND,
 82 not determined; HLA, human leukocyte antigen; HSCT, hematopoietic stem
 83 cell transplant; IST, immunosuppressive therapy; ATG/ALG, antithymocyte/
 84 antilymphocyte globulin; CsA, cyclosporine.

85 *Five patients were treated with HSCT after failed IST.

86 [†]Supportive care, androgens (oxymetholone) and/or hematopoietic growth
 87 factors among others.

88 > 8.0 g/dL in patients with severe or very severe AA, and
 89 neutrophil count > 1000/ μ L, platelet count > 30 000/ μ L and
 90 hemoglobin level > 8.0 g/dL in patients with moderate AA
 91 [28]. Overall response was defined as CR or PR.

92 **Genotyping of cytokine polymorphisms**

93 Genomic DNA from peripheral blood or bone marrow
 94 samples from patients and healthy donors were obtained by
 95 the standard phenol-chloroform and ethanol precipitation
 96 method.

97 *TNF* – 308 G/A SNP (rs1800629) was analyzed by poly-
 98 merase chain reaction (PCR)-restriction fragment length
 99 polymorphism (RFLP). Briefly, a 107 bp fragment of the
 100 *TNF* gene was amplified by PCR, under standard conditions,
 101 using primers: 5'-AGGCAATAGTTTTGAGGGCCA T-3'
 102 (forward) and 5'-TCCTCCCTGCTCCGATTCCG-3' (reverse).
 103 The PCR products were digested with *Nco*I (Fermentas,
 104 Tecnolab, Argentina) overnight at 37°C. RFLP products were
 105 resolved on non-denaturing 12% (w/v) polyacrylamide gel
 106 electrophoresis (PAGE) (3% cross-linked) and visualized
 107 using silver staining [29].

108 *IFNG* + 874 A/T SNP (rs2430561) was studied by
 109 allele-specific PCR, under standard conditions. The
 110 primer sequences were as follows: generic primer 5'-
 111 TCAACAAA GCTGATACTCCA-3', allele A-specific primer
 112 5'-TTCTTACAACACAAAATCAAATCA-3' and allele
 113 T-specific primer 5'-TTCTTACAACACAAAATCAAATCT-3'
 114 (264 bp) [18]. A 632 bp fragment of the beta globin gene
 115 was amplified as internal control using primers: 5'-
 116 ATACAATGTATCATGCCTCTTTGCACC-3' (forward) and
 117 5'-GTATTTTCC CAAGTTTGAAGTAGCTC-3' (reverse). The
 118 PCR products were monitored by electrophoresis on a 2%
 119 (w/v) agarose gel stained with ethidium bromide.

[AQ4]

1 *IFNG* + 875 CA microsatellite (rs3138557) was detected
 2 as previously described [19]. The microsatellite region was
 3 amplified by PCR using two primers, 5'-GCTGTCATAA
 4 TAATATTCAGAC-3' (forward) and 5'-CGAGCTTTAAAAGAT
 5 AGTTCC-3' (reverse). PCR products (119-131 pb) were
 6 separated on a non-denaturing 12% (w/v) PAGE (5.3%
 7 cross-linked) and revealed by silver staining.

8 The obtained genotypes for each cytokine polymorphism
 9 were confirmed by automatic sequence analysis.

10 **Statistical analysis**

11 Descriptive statistics were reported as absolute frequencies
 12 and percentages for qualitative data and mean ± standard
 13 deviation (SD) or median with range for quantitative data.
 14 Comparison of genotypic and allelic frequencies between
 15 patients and controls, or between responders and non-
 16 responders to IST, was performed by χ^2 or Fisher's exact
 17 test. Differences in clinical parameters at diagnosis between
 18 patients regarding genotype were analyzed using *t*-test
 19 or Mann-Whitney test. *p*-Values < 0.05 were considered
 20 statistically significant. Data were analyzed using SPSS soft-
 21 ware version 17.00 (SPSS, Chicago, IL) and InfoStat 2008
 22 version (Grupo InfoStat, Universidad Nacional de Córdoba,
 23 Argentina). Pairwise linkage disequilibrium (*D'* value)
 24 between SNP (rs2430561) and microsatellite (rs3138557)
 25 were estimated according to Maynard [30].

26 **Results**

27 **Distribution of cytokine polymorphisms**

28 The distribution of allelic and genotypic profiles in
 29 patients with AA and controls for *TNF* - 308 G/A SNP and
 30 *IFNG* + 875 CA microsatellite is summarized in Table II.
 31 Genotypes were grouped according to the presence of *TNF*
 32 - 308A allele associated with a higher expression of this
 33 cytokine. There was no significant difference in allelic and
 34 genotypic frequencies between patients with AA and controls
 35 (Table II). Regarding the analysis of *IFNG* + 875 CA polymor-
 36 phism, seven microsatellite variants were observed, with no
 37 significant differences in the distribution of genotypic and
 38 allelic frequencies when comparing patients versus controls
 39 (Supplementary Table I available online at <http://informahealthcare.com/doi/abs/10.3109/10428194.2014.966707>).
 40 Genotypes were grouped according to the presence of the
 41 higher producing repeat as follows: homozygous 12/12 CA,
 42 heterozygous 12/non-12 CA and non-12/non-12 CA. Based

on our data, there was no significant difference between 60
 patients with AA and the control population with respect to 61
 genotypic and allelic frequencies (Table II). The observed 62
 results for + 874 A/T SNP (data not shown) were similar to 63
 those obtained for + 875 CA microsatellite, and we found 64
 strong linkage disequilibrium between both 12 CA-repeat 65
 and + 874T functional alleles (*D'* = 0.99). 66

67 **Association of polymorphisms with clinical parameters 68**
 69 **and response to IST**

70 Table III provides a summary of the clinical characteristics 70
 at diagnosis of patients with AA regarding genotypes for 71
 the *TNF* - 308 G/A SNP and *IFNG* + 875 CA microsatellite. 72
 The presence of the higher expressing *TNF* - 308A allele in 73
 our series was associated with younger age (12 vs. 17 years; 74
p = 0.0297) and reduced neutrophil count (320 vs. 520/μL; 75
p = 0.0312) at diagnosis. Also, G/A + A/A genotype was 76
 over-represented in patients classified as having very severe 77
 AA (4/7, 57% vs. 10/57, 17.5%; *p* = 0.0168, odds ratio: 6.266), 78
 which is consistent with the observed association with more 79
 profound neutropenia. Regarding *IFNG* polymorphisms, 80
 analysis of the + 875 CA microsatellite revealed that the 81
 presence of the 12 CA-repeat allele in the genotype of our 82
 AA population was associated with a lower hemoglobin 83
 level (6.7 vs. 7.9 g/dL; *p* = 0.0351) at diagnosis. The 84
 other analyzed parameters were not statistically different 85
 (Table III). Similar associations with clinical characteris- 86
 tics were obtained for the *IFNG* + 874 A/T SNP (data not 87
 shown). 88

89 In addition, we evaluated the response to IST in patients 89
 with AA who received a combination of ATG/ALG and CsA 90
 as initial treatment. For this analysis we grouped patients 91
 with at least one variant associated with higher expression 92
 of cytokines (*TNF* - 308A allele and/or *IFNG* 12 CA-repeat 93
 allele) in their genotype. We found that this group of patients 94
 showed a higher frequency of individuals who achieved 95
 an overall response at 6 months, with borderline statistical 96
 difference (21/27, 78% vs. 5/11, 45%; *p* = 0.0519). 97

98 **Discussion**

99 The understanding of the pathogenesis of AA includes 100
 altered cellular immunity, gradual destruction of the 101
 hematopoietic stem cells and an abnormal hematopoietic 102
 microenvironment, which can lead to bone marrow fail- 103
 ure [1,3]. However, the manner in which the target antigen 104
 105
 106
 107
 108

109 Table II. Genotypic and allelic frequencies of *TNF* - 308 G/A SNP and *IFNG* + 875 CA microsatellite in patients with AA and controls.

Genotype	Patients with AA, n = 69 (%)	Controls, n = 120 (%)	<i>p</i> -Value	Allele	Patients with AA, n = 138 (%)	Controls, n = 240 (%)	<i>p</i> -Value
<i>TNF</i> - 308 G/A SNP							
G/G (low)	62 (90)	104 (87)	0.6460*	G	131 (95)	222 (92.5)	0.3992*
G/A + A/A (high)	7 (10)	16 (13)		A	7 (5)	18 (7.5)	
<i>IFNG</i> + 875 CA microsatellite							
12/12 CA (high)	6 (9)	18 (15)	0.2236†	12 CA	47 (34)	83 (35)	1.0000†
12/non-12 CA (intermediate)	35 (51)	47 (39)		Non-12 CA	91 (66)	157 (65)	
Non-12/non-12 CA (low)	28 (40)	55 (46)					

110 SNP, single nucleotide polymorphism; AA, aplastic anemia.

111 *Fisher's exact test.

112 † χ^2 test.

Table III. Clinical data at diagnosis of patients with AA regarding genotypes for *TNF* – 308 G/A SNP and *IFNG* + 875 CA microsatellite.

Genotype	<i>TNF</i> – 308 G/A SNP			<i>IFNG</i> + 875 CA microsatellite		
	G/A + A/A (high)	G/G (low)	<i>p</i> -Value	12/12 CA (high) + 12/non-12 CA (intermediate)	Non-12/non-12 CA (low)	<i>p</i> -Value
Age (years)						
Median (range)	12 (2-22)	17 (2-74)	0.0297*	17 (2-70)	15 (2-74)	0.3630*
Gender						
Male/female	2/5	32/30	0.2477 [†]	21/20	13/15	0.6959 [†]
Hemoglobin (g/dL)						
Mean ± SD	7.8 ± 1.6	7.1 ± 2.2	0.4284 [‡]	6.7 ± 2.1	7.9 ± 2.0	0.0351 [‡]
Platelet count/μL						
Median (range)	11 500 (3000-54 000)	11 150 (1000-68 000)	0.9738*	11 000 (1000-65 000)	11 650 (2300-68 000)	0.4422*
Neutrophil count/μL						
Median (range)	320 (45-770)	520 (0-2340)	0.0312*	420 (0-2080)	560 (77-2340)	0.3318*
Reticulocytes (%)						
Median (range)	0.5 (0-0.9)	0.5 (0-2.8)	0.6191*	0.45 (0-2.8)	0.55 (0-2.2)	0.3785*
Severity, <i>n</i> (%)						
vsAA	4 (29)	10 (71)	0.0168 [†] , odds ratio: 6.266	5 (44)	6 (56)	0.6603 [†]
sAA	3 (6) [§]	38 (94) [§]		22 (61)	18 (39)	
mAA	0 (0) [§]	9 (100) [§]		7 (57)	4 (43)	

AA, aplastic anemia; SNP, single nucleotide polymorphism; SD, standard deviation; vsAA, very severe AA; sAA, severe AA; mAA, moderate AA.

*Mann-Whitney test.

[†]Fisher's exact test or χ^2 test.

[‡]*t*-test.

[§]sAA and mAA were combined for χ^2 calculations.

triggers an immune response remains unknown. The disorder and dysfunction of T cell subsets and the abnormal regulation of cytokines might be key factors in the development of AA [6,8,31]. The immune effector mechanisms involved in AA and other marrow failure syndromes mainly include, in addition to direct cell-mediated killing, release of Th1 cytokines with inhibitory activity on hematopoietic progenitors such as IFN- γ and TNF- α [5-8].

It is well established that various immunogenic factors may impart an important predisposition to increased and/or reduced immune responses. A group of immunogenic factors that are becoming of great interest for the understanding of several autoimmune diseases are represented by cytokine functional polymorphisms [12-14]. An association with susceptibility or with specific clinical manifestations has been described for several acquired marrow failure syndromes [15,19-24]. In order to determine possible influences of pro-inflammatory cytokine polymorphisms on susceptibility, clinical characteristics and response to IST, we studied the *TNF* – 308 G/A, *IFNG* + 874 A/T and + 875 CA microsatellite polymorphisms, which affect cytokine expression, in a cohort of Argentinean patients with AA.

In this study, the genotypes that confer higher TNF- α and IFN- γ production were not over-represented in our AA population. The obtained result for *TNF* – 308 G/A SNP was in agreement with prior reports [20,22,23], consistent with an apparent homogeneity of the observed frequencies for *TNF* – 308 G/A alleles among different ethnic control groups [32,33]. Although other studies described a relationship between AA and *IFNG* polymorphisms [15,19,20], the discrepancies may be explained by factors as the selection criteria, population size and ethnicity. Frequencies of higher expressing *IFNG* + 874T and 12 CA-repeat alleles seem to be population-specific, being lower in normal controls from Japan (9%) and China (17%), and higher in

Europe (45-50%) [19,32-37]. In addition, Serio *et al.* found that the genotype of higher IFN- γ production seems to be related to the presence of the PNH clone in patients with AA [23], and our series did not include patients with AA with a PNH clone higher than 1.5%.

We found an association between the presence of higher producing variants and clinical parameters in our AA series. The presence of the higher expressing *TNF* – 308A allele was associated with younger age and more profound neutropenia in patients with AA at diagnosis. Genotype related to higher production of TNF- α was over-represented in patients classified as having very severe AA, which is consistent with the observed association with reduced neutrophil counts (very severe criterion is defined as a neutrophil count < 200/ μ L). Also, analysis of the *IFNG* + 875 CA microsatellite revealed that the higher producing 12 CA-repeat allele was associated with a lower hemoglobin level in patients with AA at diagnosis. As far as we know, this is the first study to relate these polymorphisms with clinical characteristics in AA. Our findings are consistent with the notion that the immunoregulatory cytokine polymorphisms may act as a genetic modifier of disease severity in this pathology.

Several reports have suggested that either the CA repeat sequence itself has a regulatory function or there is an allelic linkage between the CA repeat and a functional SNP, which would account for the differences in IFN- γ production [17]. The + 874 A/T SNP coincides with a putative binding site of transcription factor nuclear factor- κ B (NF- κ B) [38] that can lead to functional consequences for *IFNG* gene transcription. Pravica *et al.* reported preferential binding of NF- κ B with the + 874T allele as an absolute correlation between the presence of both this allele and the 12 CA-repeat allele [18]. In the present study, we found only nine out of 189 individuals without this correlation, supporting a strong

linkage disequilibrium ($D' = 0.99$) between both functional alleles. As expected, due to this strong correlation, the analysis of these *IFNG* polymorphisms showed similar results, not only in genotypic and allelic frequencies but also in association with clinical parameters.

In addition, we found that the presence of at least one variant associated with higher expression of these pro-inflammatory cytokines was more frequent among patients who achieved an overall response to IST at 6 months. Our results are in agreement with prior reports that related either the presence of *TNF* – 308A allele [25] or polymorphisms in *IFNG* and *TGFB1* genes [22] to a higher response rate to IST. The current recommendations are that if a matched unrelated donor can be found quickly, then transplant could be considered a potential first-line option in those younger patients who lack a matched sibling donor [10]. Thus, genotyping of cytokine polymorphisms, which represents a minimum workload, may provide information for making a decision to proceed with ATG-based IST or an upfront transplant from a matched unrelated donor.

In conclusion, our data suggest that the studied polymorphisms in *TNF* and *IFNG* genes are not associated with susceptibility to AA in our population. Nevertheless, the presence of higher expressing variants in AA patient genotypes could be related to clinical parameters, severity of the disease and IST outcomes. These findings are consistent with the concept that polymorphisms affecting cytokine expression may act as a genetic modifier of AA severity. Further studies are needed to confirm that cytokine polymorphism profiles may help to identify patients who will benefit from IST.

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1 *Supplementary material for Bestach Y. et al. Polymorphisms in TNF and IFNG are associated with clinical characteristics* 60
 2 *of aplastic anemia in Argentinean population. Leuk Lymphoma* 2014; doi:10.3109/10428194.2014.966707. 61
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7 Supplementary Table I. Genotypic and allelic frequencies of *IFNG* + 875 CA microsatellite in AA patients
 8 and controls. 66

Genotype	AA patients n = 69 (%)	Controls n = 120 (%)	<i>P</i> -value	Allele	AA patients n = 138 (%)	Controls n = 240 (%)	<i>P</i> -value
11/12 CA*	0 (0,0)	1 (0,8)					
12/12 CA	6 (8,7)	18 (15,0)		11 CA*	0 (0,0)	1 (0,4)	
12/13 CA	23 (33,3)	28 (23,3)		12 CA	47 (34,1)	83 (34,6)	
12/14 CA	2 (2,9)	4 (3,3)		13 CA	60 (43,5)	95 (39,6)	
12/15 CA	8 (11,6)	13 (10,8)		14 CA	5 (3,6)	18 (7,5)	<i>p</i> = 0.6399
12/16 CA*	1 (1,4)	0 (0,0)	<i>p</i> = 0.1537	15 CA	24 (17,4)	41 (17,1)	
12/17 CA*	1 (1,4)	1 (0,8)		16 CA*	1 (0,7)	0 (0,0)	
13/13 CA	11 (15,9)	23 (19,2)		17 CA*	1 (0,7)	2 (0,8)	
13/14 CA	1 (1,4)	9 (7,5)					
13/15 CA	14 (20,3)	12 (10,0)					
14/15 CA	2 (2,9)	4 (3,3)					
14/17 CA*	0 (0,0)	1 (0,8)					
15/15 CA	0 (0,0)	6 (5,0)					

19 *Less frequent genotypes and alleles were combined for chi-square calculations. 78
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