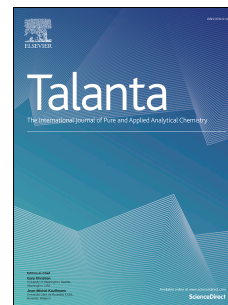


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Determination of ochratoxin A in coffee and tea samples by coupling second-order multivariate calibration and fluorescence spectroscopy

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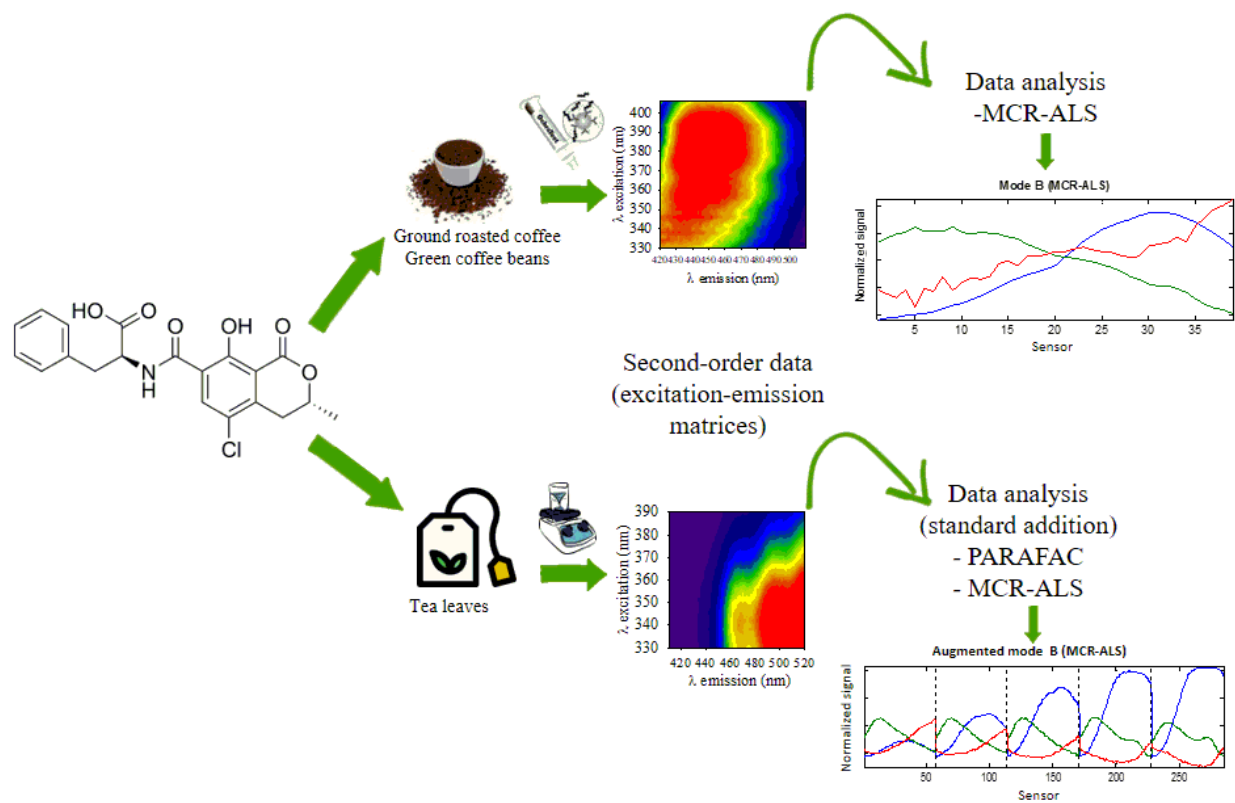
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19 Abstract

20 A new method to quantify the mycotoxin ochratoxin A (OTA) in coffee and tea samples is
21 proposed based on second-order multivariate calibration and excitation-emission fluorescence
22 matrix (EEFM) data. Experimental conditions were optimized by studying the effect of pH and
23 various organized media on the fluorescence signal of OTA. For each analysed matrix (coffee
24 grains and tea leaves), several sample pretreatments and calibration methods (external or standard
25 addition) and data processing by chemometric models (e.g., parallel factor analysis/PARAFAC
26 and multivariate curve resolution-alternating least squares/MCR-ALS) were evaluated and
27 discussed. The MCR-ALS algorithm provided an adequate fit to the data for both samples, while
28 PARAFAC was satisfactory only for the tea samples. Regarding the figures of merit, the limits of
29 detection were in the range of 0.2–0.3 ng mL⁻¹; furthermore, low relative prediction errors,
30 between 2% and 4%, were achieved in both the fortified and real samples. Accordingly, the
31 proposed methodology was applied to analyse fortified roasted and green coffee and real tea leaf
32 samples. Satisfactory recoveries were achieved (ranging from 92 to 110%), and the obtained
33 concentrations were in agreement with the values obtained by the reference method (based on
34 high-performance liquid chromatography with fluorescence detection/HPLC-FLD). In addition,
35 all samples contained OTA levels lower than the maximum permissible levels. Finally, the
36 proposed strategy allows the use of green analytical chemistry principles; for instance, the use of
37 organic solvents and the generation of waste products were significantly lower than for similar
38 analytical methods reported in the literature.

39
40 **Keywords:** Ochratoxin A; Fluorescence spectroscopy; Second-order multivariate calibration;
41 Coffee samples; Tea leaves

42

43 **1. Introduction**

44 Ochratoxin A (OTA) is a mycotoxin produced by different *Aspergillus* and *Penicillium*
45 fungal species [1,2] that presents elevated toxicity and nephrotoxic effects, with teratogenic and
46 immunosuppressive effects in animals and humans [3–6]. Additionally, OTA has been classified
47 by the International Agency for Research on Cancer (IARC) as possibly carcinogenic (2B group)
48 [7]. OTA is commonly found in food and feed, including cereals, oleaginous seeds, groundnuts,
49 spices, coffee beans and even beverages such as beer, wine and tea [8–10]. Due to the potential
50 risk of exposure to OTA through the consumption of contaminated food, several international
51 organizations have established control and maximum permissible levels in a wide variety of
52 foodstuffs [11,12].

53 In particular, the presence of OTA has been reported in highly consumed beverages such
54 as coffee and tea [13–15]. Coffee beans and tea leaves can be contaminated with OTA during
55 storage and transport processes, where, in addition to the processing stages, the temperature and
56 humidity can increase the production of OTA [16,17].

57 To reduce the risk of exposure, the European Commission has established a maximum
58 permissible OTA concentration of $5 \mu\text{g kg}^{-1}$ in ground roasted coffee and $10 \mu\text{g kg}^{-1}$ in soluble
59 coffee [12], while maximum levels in both green coffee beans and tea leaves have not been
60 established [18]. However, OTA levels above the allowed limits are frequently found in the
61 above types of samples [19,20]. Thus, to assess the risk of human exposure, it is necessary to
62 determine OTA content in food and beverages with sensitive and reliable analytical methods
63 developed for this purpose.

64 The proposed methods for OTA determination involve an extraction/clean-up process
65 followed by analytical determination procedures. Many options have been proposed for the
66 pretreatment of coffee beans and tea extracts for the detection of OTA, such as solid phase
67 extraction (SPE) specifically using immunoaffinity columns (IACs) and quick, easy, cheap,
68 effective, rugged and safe (QuEChERS); IACs are the most commonly used approach, achieving
69 adequate selectivity, sensitivity and satisfactory recovery percentages that are commonly between
70 75 and 120% [8,21,22]. Regarding the quantification of OTA, thin layer chromatography (TLC)
71 and enzyme-linked immunosorbent assays (ELISAs) have been reported; however, the most
72 commonly used methods are high-performance liquid chromatography with fluorescence
73 detection (HPLC-FLD) or mass spectrometry (HPLC-MS) [8,22]. Unfortunately, these
74 techniques are time consuming and produce large amounts of organic solvent waste, which is not
75 environmentally friendly.

76 Fluorescence spectroscopy appears to be an interesting strategy for OTA determination,
77 considering that OTA is inherently fluorescent. For organic pollutants, this technique has
78 exhibited high sensitivity and selectivity with a low consumption of toxic organic solvents, thus
79 showing promise as a green analytical method [23,24]. However, a lack of selectivity can be
80 problematic when a fluorometric assay is carried out [25], and in this particular case, coffee has a
81 remarkably complex matrix that hinders the potential detection of OTA [17,21,26]. The coupling
82 of fluorescence with modern chemometric tools such as multivariate calibration allows the
83 extraction of analyte information from poorly selective instrumental signals, which restores the
84 selectivity of the studied system, especially when second- or higher-order data are used [27,28].
85 In this context, some algorithms provide a “second-order advantage”, a property that allows the
86 accurate quantification of one or more analytes in complex samples containing unexpected
87 interferences not considered in the calibration set [29]. Currently, only two methods based on

88 fluorescence detection and multivariate calibration are reported for the determination of OTA
89 [24,30]. In these works, OTA was determined in cereal and peanut samples. However, no similar
90 approaches have been reported for OTA determination in highly consumed foodstuffs such as
91 coffee and tea.

92 In this work, a new analytical method based on fluorescence spectroscopy coupled to
93 second-order multivariate calibration is evaluated for OTA determination in ground roasted
94 coffee, green coffee beans and tea leaves. Therefore, in this work, the analytical performance of
95 some second-order multivariate algorithms, such as parallel factor analysis (PARAFAC) and
96 multivariate curve resolution-alternating least squares (MCR-ALS), were evaluated for modelling
97 excitation-emission fluorescence matrices (EEFMs), which were then used for a quantitative
98 analysis of OTA in the selected matrices. Finally, after optimization of the experimental
99 conditions, the proposed method was successfully applied to the quantification of OTA in coffee
100 (ground roasted and green beans) and tea leaf samples after a simple pretreatment of the sample.

101

102 **2. Experimental**

103 **2.1. Reagents and solutions**

104 All experiments were performed with analytical grade reagents, which were used as
105 received: sodium hydroxide (NaOH), sodium phosphate monobasic (NaH_2PO_4), sodium chloride
106 (NaCl) and potassium chloride (KCl) were purchased from Merck SA (Darmstadt, Germany);
107 sodium hydrogen carbonate (NaHCO_3) and ammonium chloride (NH_4Cl) were obtained from
108 Cicarelli (San Lorenzo, Argentina); and sodium phosphate dibasic (Na_2HPO_4) was obtained from
109 JT Baker (Waltham, MA, USA). Hexadecyltrimethylammonium bromide (HTAB),
110 hexadecyltrimethylammonium chloride (HTAC), sodium dodecyl sulfate (SDS), Triton X 100

111 (TX-100) and β -methylcyclodextrin were obtained from Sigma Aldrich (Steinheim, Germany).
112 Acetonitrile, methanol, ammonia solution (25%) and fuming hydrochloric acid (37%) were
113 purchased from Merck KGaA (Darmstadt, Germany). Acetic acid (glacial) was obtained from
114 Sintorgan (Buenos Aires, Argentina). All of these reagents were HPLC grade.

115 Ultrapure water provided by a Milli-Q purification system was used. Immunoaffinity
116 columns (Ochratest®) were purchased from R-Biopharm (Glasgow, Scotland). Ochratoxin A,
117 sterigmatocystin (STE) and zearalenone (ZEN) were purchased from Sigma Aldrich (Steinheim,
118 Germany).

119 A stock standard solution of OTA ($100.0 \mu\text{g mL}^{-1}$) was prepared in methanol, and stock
120 standard solutions of STE and ZEN ($100.0 \mu\text{g mL}^{-1}$) were prepared in acetonitrile. All solutions
121 were stored in silanized amber vials at 4°C in the dark. Working solutions were prepared
122 immediately before use by taking appropriate aliquots of diluted methanol solutions, drying the
123 solvent under a nitrogen stream and adding the medium for the fluorescence analysis or mobile
124 phase (see below) for dilution to the desired concentrations.

125 To evaluate the effect of pH on the fluorescent response, HCl (0.1 mol L^{-1}) and NaOH
126 (0.1 mol L^{-1}) were used. All solutions of organized media (0.025 mol L^{-1}) were prepared directly
127 in ultrapure water. For the analysis of OTA in coffee samples, a phosphate-buffered saline
128 solution (PBS, pH 7.4) containing NaH_2PO_4 (0.2 g L^{-1}), Na_2HPO_4 (1.2 g L^{-1}), NaCl (8.0 g L^{-1})
129 and KCl (0.2 g L^{-1}) was prepared and used for the extraction procedure. For the measurement of
130 EEFMs for calibration, validation, and coffee samples, an ammonia buffer (pH = 9.3) containing
131 NH_4Cl and NH_3 ($0.020 \text{ mol mL}^{-1}$) was prepared.

132 Mycotoxin solutions were handled with extreme care (gloves and protective clothing) due
133 to their high toxicity. After every analysis, all materials were decontaminated overnight with
134 sodium hypochlorite solution and then washed with ultrapure water.

135

136 **2.2. Instrumentation**

137 Fluorescence measurements were performed on a Varian Cary Eclipse (Varian, Mulgrave,
138 Australia) luminescence spectrometer equipped with a 7 W Xenon pulse lamp and connected to a
139 PC microcomputer. EEFMs were measured in ranges of 330–406 nm (every 2 nm, excitation)
140 and 410–510 nm (every 1.67 nm, emission) for the coffee analysis and 310–390 nm (every 2 nm,
141 excitation) and 450–580 nm (every 2 nm, emission) for the tea analysis. Both the excitation and
142 emission slit widths were 10 nm using 1.00 cm quartz cells. The photomultiplier tube (PMT)
143 sensitivity was fixed at 720 V and 700 V for the coffee and tea analyses, respectively, and the
144 scan rate was 1000 nm min⁻¹. The EEFMs were saved in an ASCII format and transferred to a PC
145 for subsequent chemometric analysis.

146 Chromatographic runs were performed on an HP 1200 liquid chromatograph (Agilent
147 Technologies, Waldbronn, Germany) equipped with a degasser, quaternary pump, column oven,
148 manual six-way injection valve with a 50.0 µL fixed loop, multi-scan fluorescence detector and
149 ChemStation software package to control the instrument and data acquisition. The Poroshell 120
150 EC-C18 column (Agilent Technologies, Santa Clara, CA, USA) packing had a solid 4.6 mm core
151 with a porous 50 mm thick outer layer and a total particle size of 2.7 µm. The column
152 temperature was set to 40 °C. The data acquisition and instrumental control were performed with
153 an HPLC 1200 software package.

154

155 **2.3 Calibration, validation and test samples**

156 A calibration set was prepared in duplicate with seven concentrations of OTA equally
157 spaced in a range of 0–21.0 ng mL⁻¹. A validation set of six samples was prepared employing
158 concentrations different from those used for calibration and following a random design. To

159 evaluate the method in the presence of potential interferents, namely, ZEN and STE, which have
160 fluorescence signals overlapping with those for OTA, eight test samples were prepared
161 containing random OTA concentrations in a range of 0–20.5 ng mL⁻¹ and high interferent
162 concentrations in a range of 70–150 ng mL⁻¹ (see Table 1).

163 Calibration and validation solutions were prepared as follows: an adequate volume of a
164 methanol OTA solution was taken, and the solvent was evaporated to dryness under a stream of
165 nitrogen. Then, the volume was adjusted to 2.00 mL with a mixture of 0.0025 mol mL⁻¹ HTAB
166 and ammonia buffer (0.020 mol mL⁻¹, pH = 9.3). For test samples, the interferences considered
167 were ZEN and STE because these mycotoxins can be found together with OTA in coffee and tea
168 samples [31–34]. Accordingly, test samples were prepared in a similar way to validation
169 solutions but with the addition of ZEN and STE solutions before evaporating the solvent and
170 reconstituting the solution with a 2.00 mL mixture of HTAB and ammonia buffer.

171

172 **2.4 Real samples**

173 *2.4.1. HPLC procedure*

174 The proposed fluorescent method was validated by a chromatographic procedure,
175 following a modified method previously proposed by Benites et al. [26]. The separation was
176 carried out in isocratic mode using a mobile phase of acetonitrile:water:acetic acid (55:44:1
177 v/v/v) and a flow rate of 0.9 mL min⁻¹. The analysis time was 10 min, and fluorescence detection
178 was performed at 333 and 445 nm excitation and emission wavelengths, respectively. A standard
179 curve was prepared in a concentration range of 0.00–25.00 ng mL⁻¹. The OTA concentration was
180 quantified by an external calibration that used an adequate dilution of the sample extracts.

181

182 *2.4.2 Ground roasted coffee and green coffee samples*

183 Coffee samples were prepared according to the modified procedure described by Benites
184 et al. [26]. Briefly, 150 mL of extraction solvent, which was composed of a 50:50 (v/v) mixture
185 of methanol and NaHCO₃ (0.36 mol L⁻¹), was added to 15.00–25.00 g of ground roasted coffee
186 or previously ground green coffee beans. The extract was magnetically stirred for 30 min. After
187 that, the samples were filtered through a paper disk. Then, a 10.00 mL aliquot of this extract was
188 transferred to a flask, mixed with 10 mL of PBS (pH 7.4) and passed through an Ochratest®
189 immunoaffinity column with a flow rate of 2–3 mL min⁻¹. The column was washed with 10 mL
190 of ultrapure water with a flow rate of 5 mL min⁻¹, and then air was passed through the column
191 with a plunger to remove traces of liquid. The retained analyte was eluted four times with 1.0 mL
192 of methanol:acetic acid (98:2, v/v), and backflushing was performed three times for each portion
193 of the solution. Finally, the acidified methanol extract was divided into two fractions of equal
194 volume, and the solvent was evaporated to dryness under a nitrogen stream. One fraction was
195 reconstituted with 1.00 mL of the mobile phase, vortexed for 5 min, sonicated for 5 min, and
196 injected directly into the HPLC system. The other fraction was reconstituted with 1.00 mL of
197 ammonium buffer (0.020 mol mL⁻¹; pH 9.3) containing 10% HTAB (0.0025 mol mL⁻¹) and
198 subjected to the fluorescent method proposed here. The above method implies a preconcentration
199 degree of 3.5; therefore, the previously described method was successfully applied for the
200 extraction and preconcentration of trace levels of OTA.

201 OTA was not detected in ground roasted coffee samples, and therefore, a recovery study
202 was performed by spiking samples with different concentrations of OTA. Thus, samples were
203 spiked with OTA by adding the appropriate amount of methanolic stock solution to obtain
204 concentrations above the corresponding limit of quantification in each coffee sample. Then, the
205 slurry was stirred for approximately 5 min at room temperature for homogenization before
206 carrying out the entire extraction procedure. All analyses were performed in duplicate.

207 2.4.3 Tea leaf samples

208 With the aim of minimizing the sample manipulation and analysis time, the IAC was not
209 used for tea leaf analysis. However, considering the significant effect of the matrix, a standard
210 addition method was applied. Since the evaluated tea samples did not contain detectable levels of
211 OTA, a recovery study was carried out by spiking duplicate samples with the analyte to a final
212 concentration range of 1.0–15.3 $\mu\text{g kg}^{-1}$. For this, approximately 1.500 g of leaf tea was weighed
213 and placed in a 250 mL volumetric flask. The samples were then spiked with OTA by adding the
214 appropriate amount of methanolic stock solution to obtain the concentrations mentioned above,
215 stirred to homogenize the OTA, and allowed to stand for approximately 5 min at room
216 temperature before the entire extraction procedure. Then, the samples were treated with 5.00 mL
217 of extraction solvent, which was composed of a mixture of acetonitrile:acetic acid 99:1 (v/v). The
218 extract was magnetically stirred for 15 min. Then, the mixture was sonicated for 15 min and
219 centrifuged for 15 min. Finally, the supernatant was evaporated to dryness under a stream of
220 nitrogen. The residue was reconstituted with 1.00 mL of acetonitrile containing 0.1 mol L⁻¹
221 NaOH (pH 9.3). Then, a 300 μL aliquot of the sample was placed in a quartz microcell, and the
222 EEFM was evaluated under the conditions described in Section 2.2. Subsequently, four adequate
223 volumes of standard OTA solutions were added directly to the cell, and one EEFM was measured
224 after every addition to carry out the standard addition method.

225

226 2.5 Software and chemometric algorithms

227 The theory of second-order algorithms has been well documented in the literature. Several
228 papers [35–37] are presented in the Supplementary Material to provide details about the main
229 goals with the algorithms selected in this study for data analysis. All algorithms were applied

230 using an MVC2 program, which is a MATLAB graphical interface toolbox that is a new version
231 of that already reported in the literature [38] and is freely available on the Internet [39].

232

233 **2.6 Figures of merit and statistical indicators**

234 The evaluated figures of merit were the limit of detection (LOD), limit of quantification
235 (LOQ), root mean square error of prediction (RMSEP) and relative error of prediction (REP),
236 which were estimated in accordance with previous publications [40]. All of the above figures of
237 merit are integrated into the MVC2 interface and can be easily estimated.

238

239 **3. Results and discussion**

240 **3.1. Fluorescence signal optimization**

241 To improve the inherent fluorescence of OTA and thus increase the analytical performance of the
242 proposed method, experimental variables that can influence this signal were optimized. First,
243 different solvents were evaluated to measure the fluorescence response of OTA. As shown in
244 Figure 1, a different-shaped emission spectrum is obtained in acetonitrile (Figure 1A) with a
245 lower maximum intensity than in water (Figure 1B), thus evidencing a redshifted maximum
246 emission when the most polar medium is used. These results are in accordance with previous
247 reports [41, 42] and suggest that the polarity of solvents affects the photophysical behaviour of
248 OTA. However, from an analytical point of view, both solvents produce similar sensitivities and
249 appear to be potentially useful for OTA determination by fluorescence spectroscopy.

250 Another variable to be considered is the pH because OTA has acid-base properties ($pK_{a1} \sim$
251 4 and $pK_{a2} \sim 7$). At low pH, the protonated form of OTA is predominant, while the anionic form
252 exists in basic aqueous media [43, 44]. The results presented in Figure 1A and 1B suggest that

253 the anionic form of OTA is more fluorescent, which is in agreement with previous reports [41–
254 44]. Therefore, a pH of 9.3 was chosen for the subsequent experiments. Furthermore, as reported
255 in previous work [45, 46], basic ammonia buffer can improve the fluorescent response of OTA;
256 for this reason, this buffer solution was chosen for calibration, validation and coffee sample
257 analysis.

258 Moreover, the influence of organized media on the fluorescence response of OTA was
259 studied. The presence of methyl- β -cyclodextrin and micelles formed by SDS, TX-100 and HTAC
260 did not produce significant changes in OTA fluorescence (see Figure S8 in the Supplementary
261 Material). In contrast, a considerable improvement in the fluorescence was observed in the
262 presence of HTAB (see Figure 1C), probably due to the capacity of this cationic surfactant to
263 stabilize the OTA phenolate group [41]. Thus, HTAB was selected as an organized medium for
264 further experiments.

265 On the basis of the experiments described above, the optimal experimental conditions for
266 the spectrofluorimetric determination of OTA can be obtained in aqueous medium at pH 9.3
267 (ammonia buffer $0.020 \text{ mol mL}^{-1}$) in the presence of HTAB (10% v/v of $0.0025 \text{ mol mL}^{-1}$).
268 Alternatively, an alkaline acetonitrile solution (0.1 mol L^{-1} NaOH, pH 9.3) can be used
269 depending on the sample preparation requirements.

270

271 **3.2 Calibration, validation and test samples**

272 First, EEFMs of OTA were measured to produce calibration sets (Figure 2A). Then, a
273 PARAFAC model was evaluated by arranging the EEFMs as a three-way array, and the number
274 of factors required was selected by a “core consistency analysis” [47]. Additionally, a non-
275 negativity constraint was applied in both modes and used for all analyses. According to this
276 analysis, two components are required for an optimum data fit and can be justified considering

277 the presence of OTA and the background (see Figure S3 in the Supplementary Material). In
278 addition, the figures of merit and lower prediction errors (lower than 3%) for the validation set
279 presented in Table 1 confirm satisfactory fitting to the above-mentioned three-way data array
280 using the PARAFAC model.

281 To compare the analytical performance of different multivariate models, the MCR-ALS
282 model was also applied to predict the OTA concentration in the validation samples. The MCR-
283 ALS models obtained by using both augmentation modes were similar for synthetic samples
284 (validation set and test set). In the validation samples, the number of components was two, as
285 estimated by principal component analysis (PCA). The initial spectral profiles employed to start
286 the MCR-ALS fitting were obtained from the “purest variables” in the non-augmented mode.
287 Non-negativity in both modes was the constraint imposed during the ALS fit. As expected, MCR-
288 ALS was able to correctly retrieve the pure OTA spectral profile (see Figure S3 in the
289 Supplementary Data) and allowed satisfactory recoveries of the validation samples. In addition,
290 the obtained figures of merit were comparable to those obtained with PARAFAC (see Table 1).

291 The prediction of OTA concentration in the presence of unmodelled interferences is
292 mandatory when a real sample analysis is considered. For this reason, two additional fluorescent
293 mycotoxins, ZEN and STE, which can be present in coffee and tea samples [32, 33, 48], were
294 added to the samples (test samples) and evaluated as potential interferences (Figure 2B). These
295 samples were processed by both the PARAFAC and MCR-ALS models, and the estimated
296 number of responsive components was 4 in both cases (see Figure S4 in the Supplementary
297 Data). This number can be ascribed to the three fluorescent mycotoxins and the background
298 signal. For analysis of the test samples with MCR-ALS, an additional constraint provided by the
299 so-called correspondence was used, which indicates to the algorithm that certain components
300 (ZEN and STE) are absent in calibration samples. The recoveries and prediction results presented

301 in Table 1 for the test samples are quite similar for both models, suggesting that neither the
302 accuracy and precision, as measured through RMSEP and REP, nor the sensitivity are
303 significantly affected by the addition of these new mycotoxins.

304

305 **3.3 Real matrices**

306 The analytical performance of the proposed method must be tested with a real sample to
307 demonstrate its analytical potential. Therefore, different Argentinian brands of coffee and tea
308 were obtained from local stores and used as real matrices for further analysis.

309

310 *3.3.1 Coffee samples*

311 In this study, two types of coffee samples were investigated: ground roasted coffee and
312 green coffee beans. Preliminary studies conducted on both types of coffee samples confirmed the
313 severe inhibition of OTA fluorescence by the matrix constituents. The above effect had already
314 been reported in a previous work [17]. Therefore, a clean-up procedure using IACs was carried
315 out before taking the fluorescence measurements. In the case of the roasted coffee samples, OTA
316 was not detected, and a recovery study was carried out by spiking the samples with different
317 concentrations of the studied analyte. In contrast, the presence of low concentrations of OTA was
318 verified in the selected green coffee. The mycotoxin may undergo a decomposition process
319 during coffee roasting, which could explain the difference between the two types of coffee.

320 A typical EEFM of one roasted ground coffee spiked with OTA after the IAC treatment is
321 shown in Figure 2C. The presence of strong interference is evident. Additionally, it is necessary
322 to highlight the fact that although the sample was passed through the IAC, the presence of
323 interferences is still observed, which makes it difficult to use a univariate calibration. Therefore,

324 the need to use a second-order multivariate calibration is confirmed because the cleaning of the
325 sample is not complete.

326 When PARAFAC was applied to these samples, poor predictions were obtained, and the
327 predictions were independent of the selected component numbers. This fact may be explained by
328 the spectral similarity between the analyte and the constituents in the coffee spectra, which
329 hinders the decomposition of the three-way data array to physically reasonable profiles and
330 scores. Therefore, MCR-ALS was applied, which in principle is able to circumvent the problem
331 of spectral similarity. The number of responsive components obtained in the coffee samples was
332 four and could be explained by considering the presence of OTA, interferences and background
333 signals. For MCR-ALS modelling, the augmentation was made on the emission wavelength
334 dimension, non-negativity in both modes, and the correspondence between the components and
335 samples were the constraints used during the ALS fit. This last constraint was applied
336 considering that the analyte (component 1) is present in both calibration and coffee samples, but
337 the interferences (components 2 and 3) are present only in coffee samples. Table 2 presents the
338 MCR-ALS results obtained for the roasted and green coffee samples.

339 To demonstrate the accuracy of the proposed method for real coffee samples, a
340 chemometric model was compared with a reference method based on HPLC-FLD. Both methods
341 were compared through a paired Student's t-test, and the obtained values ($t=0.28$ for roasted
342 ground coffee and $t=1.00$ for green coffee beans, see Table 2) could be favourably compared with
343 the tabulated values for $n-1$ degrees of freedom at a 95% significance level ($t_{\text{crit}(0.05,5)}=2.77$ and
344 $t_{\text{crit}(0.05,3)}=4.30$, respectively); thus, the results suggested that the obtained values were statistically
345 comparable to those provided by the reference method. The statistical equivalence among the
346 obtained values demonstrates the capacity of MCR-ALS to cope with interferences from
347 concomitants in the real samples.

348 It is also important to mention that although the European Commission has not
349 established maximum levels for green coffee samples, the LOD obtained with the proposed
350 method allowed the quantification of OTA at the $\mu\text{g kg}^{-1}$ level in the samples.

351

352 3.3.2 Tea leaves

353 Three types of tea leaf samples (black, red and white tea) were considered in this study.
354 Preliminary assays of the above samples showed no detected levels of OTA, and a recovery study
355 was therefore carried out; the samples were spiked with different concentrations of the analyte of
356 interest. This analysis was performed without previous clean-up and separation steps. Only an
357 extraction step was conducted using acidified acetonitrile, which is able to efficiently extract
358 OTA in similar matrices, such as herbal medicines [34]. After performing the procedure
359 described in Section 2.5.3, the signal of one real tea sample (Figure 3B) showed the presence of
360 significant fluorescent interferences that could hinder the quantification of OTA (Figure 3C). In
361 addition, preliminary results showed a significant matrix effect on the fluorescent response of
362 OTA. An alternative for the above problem was the use of the standard addition method coupled
363 with second-order calibration to ensure appropriate selectivity and the successful quantification
364 of OTA in these samples. Figure 4A shows the signal after successive additions of an OTA
365 standard solution, demonstrating the positive response to the OTA standard addition method.
366 Consequently, when PARAFAC and MCR-ALS were used to estimate the concentration of OTA
367 in spiked tea samples, both displayed satisfactory prediction results, which agreed with the
368 nominal values (see Table 3). For PARAFAC, recovery was between 90 and 110%, whereas for
369 MCR-ALS, the recovery was between 95 and 110%, suggesting that the proposed methodology
370 could overcome the problem of interactions with the background and the presence of unexpected
371 compounds (see Figure 4B).

372

373 *3.3.3 Comparison of the analytical methods*

374 Finally, the proposed method to determine OTA in matrices of interest is summarized and
375 compared with previously reported methods [17, 19, 26, 49–55]. As shown in Table 4, the
376 advantages and analytical potential of the proposed methods are evident. The proposed methods
377 are well suited for OTA analysis in complex matrices with the use of very simple equipment.
378 Additionally, the experimental time is minimal, and the procedures do not require significant
379 amounts of organic solvents, thus complying with the principles of green chemistry.

380

381 **4. Conclusions**

382 The analytical performance for the determination of ochratoxin A in coffee and tea
383 samples by matrix fluorescence spectroscopy and second-order multivariate calibration was
384 demonstrated. The applied chemometric models showed low prediction errors and adequate
385 figures of merit. Therefore, the successful results indicate that this method provides a useful and
386 reliable methodology for the satisfactory determination of OTA in complex samples such as
387 coffee because it was possible to quantify the analyte even in the presence of concomitant
388 interferences because the chemometric tools efficiently achieved the “second-order advantage”.
389 In the case of tea leaf samples, the determination was carried out without the need for sample
390 pretreatment; instead, a standard addition method was used.

391 In conclusion, the proposed methods are sensitive and selective and require minimal
392 experimental time; additionally, the methods are environmentally friendly because the volumes
393 of the employed organic solvents are minimal.

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FIGURE CAPTIONS

401

402 **Fig. 1.** (A) Fluorescence emission spectra for OTA in acetonitrile at different pH values. Profiles
403 obtained for $\lambda_{\text{exc}} = 345$ nm. (B) Fluorescence emission spectra for OTA in water at different pH
404 values. Profiles obtained for $\lambda_{\text{exc}} = 390$ nm. (C) Fluorescence emission spectra for OTA in a
405 mixture of 10:90 HTAB/water at different pH values. Profiles obtained for $\lambda_{\text{exc}} = 390$ nm.

406

407 **Fig. 2.** Contour plots of the EEFMs for (A) a calibration sample containing 21.0 ng mL^{-1} OTA,
408 (B) a test sample containing 20.5 ng mL^{-1} OTA, 100.0 ng mL^{-1} ZEN and 75.0 ng mL^{-1} STE, and
409 (C) a ground roasted coffee sample spiked with 25.0 ng mL^{-1} OTA.

410

411 **Fig. 3.** Contour plots of the EEFMs for (A) a standard sample containing 20.0 ng mL^{-1} OTA in
412 acetonitrile at pH 9.3, (B) a blank red tea sample (without OTA), and (C) a red tea sample spiked
413 with 15.8 ng mL^{-1} OTA.

414

415 **Fig. 4.** (A) Augmented mode of the MCR-ALS profile for the addition standard method of a
416 spiked black tea sample and (B) emission spectra of the spiked black tea sample: OTA (blue
417 line), interference (green line) and baseline (red line).

418

419

TABLE CAPTIONS

420

421 **Table 1.** Prediction and statistical results for samples with OTA (validation set) and samples with
422 OTA in the presence of potential interferences (test set) using a micellar medium (HTAB) and
423 second-order multivariate calibration^a.

424 **Table 2.** OTA concentrations ($\mu\text{g kg}^{-1}$) in different samples of green coffee.

425 **Table 3.** Recovery study of OTA ($\mu\text{g kg}^{-1}$) for spiked tea samples applying the standard addition
426 method^a.

427 **Table 4.** Analytical performance of recently reported selective methods for OTA in coffee and
428 tea samples.

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Table 1. Prediction and statistical results for samples with OTA (validation set) and samples with OTA in the presence of potential interferences (test set) using a micellar medium (HTAB) and second-order multivariate calibration^a.

Validation set			Test set ^b		
Nominal	PARAFAC	MCR-ALS	Nominal	PARAFAC	MCR-ALS
0	-0.1 (0.1)	-0.2 (0.1)	0	0.0 (0.1)	-0.2 (0.1)
1.5	1.4 (0.1)	1.6 (0.1)	0.5	0.6 (0.2)	0.6 (0.2)
3.0	2.9 (0.1)	2.7 (0.3)	1.0	0.8 (0.2)	1.0 (0.1)
5.0	5.1 (0.1)	5.2 (0.2)	4.0	4.3 (0.1)	3.9 (0.3)
12.0	12.3 (0.1)	12.6 (0.1)	8.0	8.0 (0.1)	7.5 (0.1)
20.0	19.1 (0.1)	19.6 (0.1)	15.0	15.6 (0.1)	15.1 (0.1)
			18.0	18.2 (0.1)	19.2 (0.3)
			20.5	20.4 (0.1)	20.3 (0.9)
LOD ^c	0.2	0.2		0.2	0.2
LOQ ^d	0.5	0.5		0.6	0.5
RMSEP ^e	0.4	0.3		0.3	0.5
REP ^f	4	3		3	5

^a Values are given in ng mL⁻¹. The found values are mean of duplicates. Standard deviation between parentheses.

^b Samples containing random concentrations of ZEN and STE in the range of 70–150 ng mL⁻¹.

^c LOD (ng mL⁻¹): limit of detection calculated as reference [40]

^d LOQ (ng mL⁻¹): limit of quantification calculated as LOD×3

^e RMSEP (ng mL⁻¹): root mean square error of prediction. RMSEP was calculated in accordance with $RMSEP = \sqrt{\frac{\sum_{i=1}^n (\hat{y}_i - y_i)^2}{n}}$, where \hat{y}_i and y_i are the nominal and predicted concentrations for a given analyte in the i th sample, and n the total number of test samples.

^f REP (%): relative error of prediction. REP was calculated in accordance with $REP\% = \frac{100 \times RMSEP}{\bar{y}_{cal}}$, where \bar{y}_{cal} is the mean calibration concentration for the analyte of interest.

Table 2. OTA concentrations ($\mu\text{g kg}^{-1}$) in different samples of green coffee.

A) Green coffee samples				
		Found (HPLC)^b	Found (MCR-ALS)^b	
Green coffee beans, brand X		1.05 (0.08)	1.0 (0.1)	
Green coffee beans, brand Y		0.31 (0.01)	0.37 (0.04)	
Green coffee in little bags		0.32 (0.01)	0.31(0.05)	
B) Spiked ground roasted coffee samples				
	Taken	Found (HPLC)^a	Found (MCR-ALS)^a	% Recovery^c
With sugar, brand A	3.2	3.1 (0.1)	3.2 (0.2)	100
With sugar, brand B	10.0	10.2 (0.1)	10.9 (0.1)	109
Without sugar, brand C	6.0	5.9 (0.1)	5.7 (0.2)	95
Without sugar, brand D	13.0	11.8 (0.1)	12.0 (0.2)	92
Decaffeinated without sugar	25.0	25.1 (0.1)	25.2 (0.7)	101

^a The found values are mean of duplicates. Standard deviation between parentheses.

^b Not spiked. Preconcentration factor = 3.5 (see text).

^c Recoveries, were calculated in relation to the added concentrations.

Table 3. Recovery study of OTA ($\mu\text{g kg}^{-1}$) for spiked tea samples applying the standard addition method^a.

	Nominal	PARAFAC	Recovery (%)	MCR-ALS	Recovery (%)
Black tea	0	ND	–	ND	–
	5.5	5.8 (0.4)	105	5.8 (0.3)	105
	9.8	8.8 (0.1)	90	9.8 (0.1)	100
	15.3	15.0 (0.7)	98	15.2 (0.1)	99
Red tea	0	ND	–	ND	–
	1.0	0.8 (0.3)	80	1.1 (0.1)	110
	7.9	7.7 (0.6)	97	7.9 (0.2)	100
	11.7	11.7 (0.9)	100	11.9 (0.3)	102
White tea	0	ND	–	ND	–
	2.0	2.2 (0.1)	110	2.0 (0.2)	100
	3.9	4.2 (0.1)	108	4.0 (0.1)	102
	9.8	9.9 (0.5)	101	9.3 (0.1)	95
LOD ^b		0.3			0.2
LOQ ^c		0.8			0.5
RMSEP ^d		0.4			0.2
REP ^e		4			2

^a Mean of duplicates. Standard deviation between parentheses. ND, not detected.

^b LOD (ng mL^{-1}): limit of detection calculated as reference [40]

^c LOQ (ng mL^{-1}): limit of quantification calculated as $\text{LOD} \times 3$

^d RMSEP (ng mL^{-1}): root mean square error of prediction.

^e REP (%): relative error of prediction.

Table 4. Analytical performance of recently reported selective methods for OTA in coffee and tea samples.

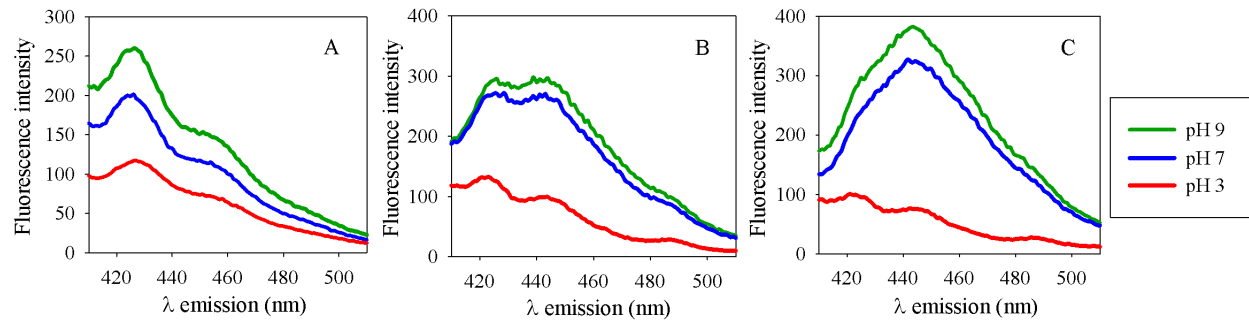
A) Coffee samples					
Sample preparation	Analytical method	Medium	LOD ^a	Accuracy / Precision ^b	REF
Step 1: S-L extraction Step 2: SPME clean up	Analytical technique: HPLC-FD Column: C18 (150 x 4,6 mm; 5µm) Mobile phase: acetonitrile/acetic acid 2% (44:56 v/v) Run time: 20 min	Organic	0.3	RSD: 3.3-4.1	[17]
Step 1: S-L extraction Step 2: IAC clean up	Analytical technique: HPLC-FD Column: Hypersil/BDS (125 x 4,0 mm; 5µm) Mobile phase: acetonitrile/water/acetic acid (421.5:570:8.5 v/v/v) Run time: 10 min	Organic	0.02	REC: 76.68-104 RSD: 1.54-8.20	[19]
Step 1: S-L extraction Step2: IAC clean-up	Analytical technique: HPLC-FD Column: Inertsil (150 x 4,6 mm; 5µm) Mobile phase: acetonitrile/water/acetic acid (50:49:1 v/v/v) Retention time: 9.5-10.8 min	Organic	0.07	REC: 83 RSD: 2.53	[49]
Step 1: S-L extraction Step2: IAC clean-up	Analytical technique: HPLC-FD Column: C18 (250 x 4,6 mm; 5µm) Mobile phase: acetonitrile/methanol/ water/acetic acid (35:35:29:1 v/v/v/v) Run Time: 10 min	Organic	0.09	REC: 88.8-90.6 RSD: 1.5-2.3	[50]
Step 1: S-L extraction Step2: IAC clean-up	Analytical technique: HPLC-FD Column: Zorbax Eclipse XDB-C18 (150 x 4,6 mm; 5µm) Mobile phase: acetonitrile/water/acetic acid (50:50:0.3 v/v/v) Run Time: 10 min	Organic	0.08	REC: 68.4-99.3 RSD: 7.41	[51]
Step 1: S-L extraction Step2: IAC clean-up	Analytical technique: HPLC-FD Column: Zorbax Eclipse plus-C18 (250 x 4,6 mm; 5µm) Mobile phase: acetonitrile/water/acetic acid (51:47:2 v/v/v) Run Time: 20 min	Organic	0.26	REC: 95.5-109.8 RSD: 1.5	[26]
Step 1: S-L extraction Step2: IAC clean-up	Analytical technique: FD Analysis Time : 4 min	Aqueous	0.20	REC: 92-109 REP: 3-5	This work
B) Tea Samples					
Step 1: S-L extraction	Analytical technique: ELISA	Aqueous	0.02	NR	[52]
Step 1: S-L extraction Step2: IAC clean-up	Analytical technique: HPLC-FD Column: C18 (150 x 4,6 mm; 3µm) Mobile phase: acetonitrile/water/acetic acid (47:53:1 v/v/v)	Organic	NR	REC: 85	[53]

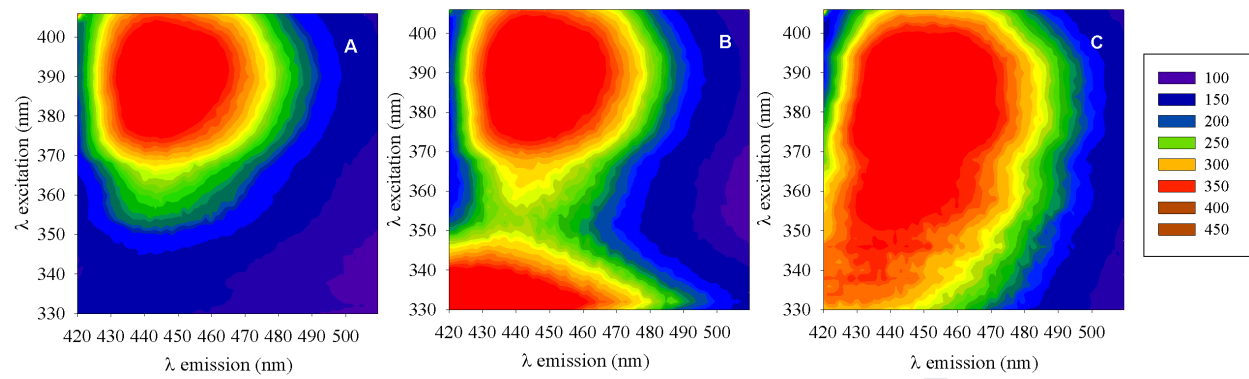
	Analysis Time: 20 min			RSD: 2.3	
Step 1: S-L extraction	Analytical technique: HPLC-FD				
Step2: IAC clean-up	Column: C18 (300 x 4,6 mm; 10 μ m)				
	Mobile phase: methanol/acetonitrile/ 0.05 mM sodium acetate/acetic acid (300:300:400:14 v/v/v/v)	Organic	0.10	REC: 75-85	[54]
	Retention time: 7.1 min			RSD: 1.3-3.7	
Step 1: DLLME	Analytical technique: HPLC-MS/MS				
	Column: Gemini-NX C18 (150 x 4,6 mm; 5 μ m)				
	Mobile phase A: water/5mM ammonium formate, 0.1% formic acid)			REC: 66	
	Mobile phase A: methanol/5mM ammonium formate, 0.1% formic acid)	Organic	5.00	RSD: 3.0	[55]
	Retention time: 10.2 min				
Step 1: S-L extraction	Analytical technique: FD			REC: 95-110	This work
	Analysis Time: 4 min	Organic	0.2-0.3	REP: 3-4	

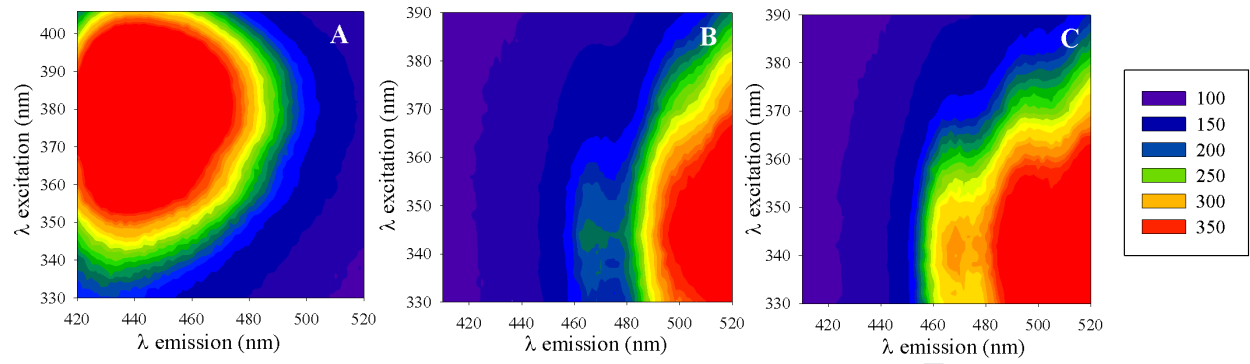
HPLC: high performance liquid chromatography. FD: Fluorimetric detection. MS: Mass spectrometry detection. MS/MS: Tandem Mass Spectrometry.

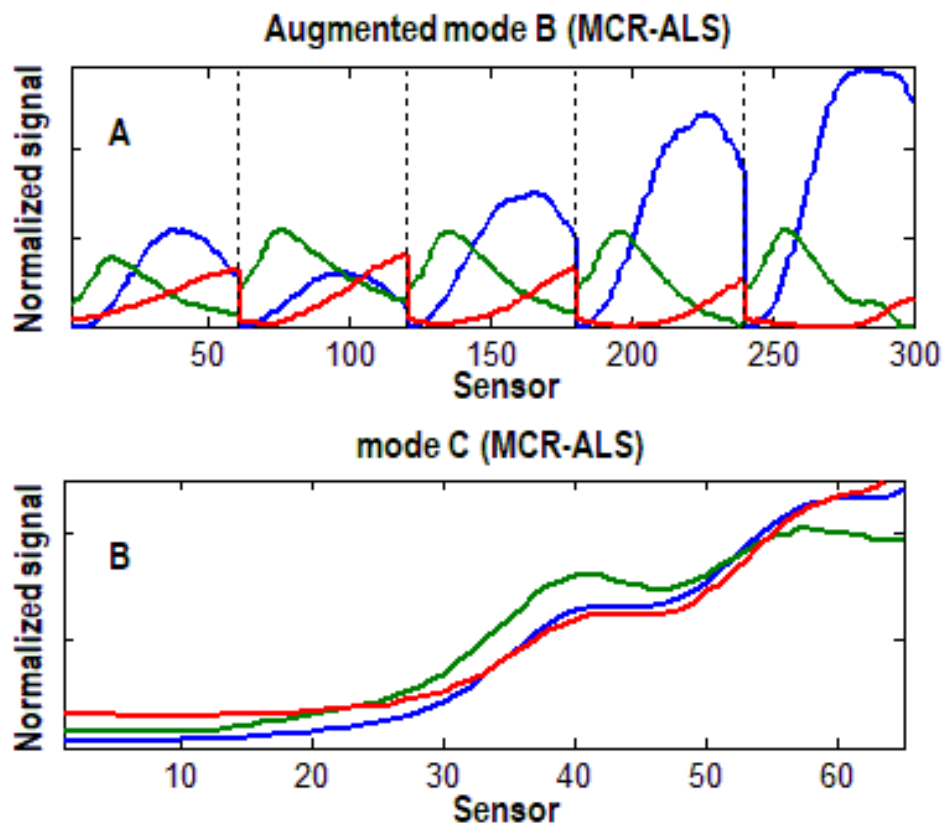
^a For comparison, concentration units were unified to $\mu\text{g}\cdot\text{Kg}^{-1}$. Not Reported (NR)

^b Recovery (REC), Relative standard deviation (RSD) and Relative error of prediction (REP), all in percentage. S-L, solid-liquid; IAC, immunoaffinity columns; DLLME, dispersive liquid-liquid microextraction, SPME, solid-phase microextraction.









A new analytical method based on second-order multivariate calibration was proposed for Ochratoxine A determination

The second-order advantage allowed to quantify Ochratoxine A in presence of unexpected interferences

The proposed method was applied to analysis of coffee and tea leave samples, demonstrating its analytical potential

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The authors declare that there is no conflict of interest regarding the publication of this article

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Albani L. Gonzalez: Investigation, Writing-Original Draft, Conceptualization. **Valeria A. Lozano:** Investigation, Methodology. **Graciela M. Escandar:** Resources-Funding Acquisition, Supervision, Writing-review and editing. **Manuel Bravo:** Resources-Funding Acquisition, Supervision, Writing-Review and editing.

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