# Fast Action Detection via Random Forest Based Top-K Video Subvolume Search

Gang Yu, Norberto A. Goussies, Junsong Yuan, and Zicheng Liu

Abstract-Despite extensive studies in action recognition and categorization, it remains a challenging problem to accurately detect and locate actions in videos, especially in dynamic and cluttered scenes. Without requiring background subtraction and human body tracking, we characterize a video as a collection of spatio-temporal interest points, and propose to detect actions via searching spatio-temporal video subvolumes. Several novel techniques are proposed to enable efficient and robust action detection. First, a random forest based mutual information estimation method is proposed to provide efficient mutual information voting of individual interest points. To find video subvolumes of highest mutual information scores, we propose a top-K subvolume search to detect multiple action instances simultaneously. Finally, to enable efficient spatial localization, we perform subvolume search on the down-sampled score volumes. A theoretical analysis is developed to bound the error between the optimal solution of the down-sampled volume and that of the original volume. The experiments on a challenging MSR action dataset II show that our proposed multi-class action detection method is robust to handle action variations caused by performing speed and style changes, spatial scale changes, as well as the cluttered and moving backgrounds. Excluding the feature extraction cost, our method can search an one hour long video in less than half a hour.

*Index Terms*—Action Detection, Random Forest, Branch and Bound, Top-K search.

## I. INTRODUCTION

Understanding human behaviors is one of the core problems in many video-based applications, such as video surveillance, event-based video indexing and search, and intelligent human computer interaction. Despite extensive studies in human action recognition and categorization [3] [4] [6], the detection and accurate localization of human actions remains a challenging problem. Different from action categorization which only requires to identify which type of action occurs in a video clip, action detection needs to identify not only the occurrences of a specific type of actions, but also where (spatial location in the image) and when (temporal location) it occurs in the video [7] [8] [9] [10]. An example is the detection of a person waving hands in a crowded and dynamic scene. It is in general a much more useful and challenging problem than categorization.

To robustly detect human actions, some early methods rely on the tracking of human bodies. With the accurate tracking of a human body and its movements, one can recognize and detect actions. This category of methods, however, is of

Norberto A. Goussies is with Univ. de Buenos Aires, Argentina Zicheng Liu is with Microsoft Research Redmond, WA, USA limited use in real applications, because reliable body tracking remains a difficult problem in crowded and dynamic scenes. For example, in a supermarket with many pedestrians, it is very difficult to detect and track all of the people, let alone to recognize their actions, e.g. someone raising his/her hands.

Instead of tracking human bodies, some other methods treat videos as spatio-temporal three-dimensional data, and perform action detection as the spatio-temporal template matching (3dimensional matching). Similar to the sliding window based object detection, given an action template, the re-concurrences of the query action can be found by evaluating all of the possible video subvolumes. Despite previous successes of this approach, there still remain two major challenges.

First of all, in the template matching method, usually only a single template is provided to perform action detection [21] [41]. In such a case, a single template cannot well characterize the intra-class variations of an action and is not discriminative enough for classification. Second, different from object detection, the search space in the spatio-temporal video space is extremely large. It thus greatly increases the computational cost for these template based approaches. For example, it is very time consuming to search actions of different spatial scales and different temporal durations in the video space. Although the recently proposed spatio-temporal branch-andbound search method [9] [12] can significantly improve the search speed, it is still not fast enough to handle higherresolution videos (e.g.  $320 \times 240$  and higher.) Considering that the spatial localization is computationally more demanding for high resolution videos, it is important to provide efficient solutions to search the high-resolution videos. Moreover, given a video dataset containing multiple action instances, it requires an efficient method to detect all of them in one round of search.

To address the above challenges in action detection, we propose a random forest based template matching method to detect actions, as shown in Fig. 1. Without performing background subtraction and human body tracking, each video sequence is characterized by a collection of spatio-temporal interest points (STIPs). During the training phase, a random forest is built to model the distribution of the STIPs from both positive and negative classes in the high-dimensional feature space. During the testing phase, each individual point matches the query class through the pre-built random forest, and provides an individual voting score toward each action type. Following the mutual information maximization formulation in [9], action detection becomes to find the spatio-temporal video subvolume with the maximum total mutual information score.

Compared with the nearest neighbor based matching scheme

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Fig. 1. The overview of our random forest based video subvolume search.

in [9], our proposed random forest based approach enables a much more efficient interest point matching without degrading the matching quality. Meanwhile, as multiple positive and negative action samples are utilized in building the random forest, our proposed method not only well handles intra-class action variations, but also provides more discriminative matching to detect action instances. To reduce the computational overhead in searching high resolution videos, we improve the original spatio-temporal branch-and-bound search method in [9] on two aspects: first of all, instead of performing branchand-bound search in the original score volume, we propose to search a down-sampled score volume for efficient action localization. Our theoretical analysis shows that the error between the optimal solution of the down-sampled volume and that of the original volume can be well bounded. Secondly, we propose a top-K search method to enable the detection of multiple action instances simultaneously in a single round of branch-and-bound search. It provides an efficient solution for multi-class multiple instance action detection.

To evaluate the efficiency and generalization ability of our proposed method, we perform the cross-dataset action detection: training on the KTH dataset, while testing on the MSR action dataset II, which contains 54 challenging video sequences of both indoor and outdoor scenes. The extensive multi-class action detection results show that, ignoring the feature extraction cost, our proposed method can search a onehour  $320 \times 240$  video sequence in less than half an hour. It can detect actions of varying spatial scales, and can well handle the intra-class action variations including performing style and speed variations, and even partial occlusions. It also can handle the cluttered and dynamic scenes. The proposed Top-K volume search algorithm is general and can be used for any other applications of video pattern search.

## **II. RELATED WORK**

Even though there has been a large body of work in action categorization [30] [31] [33] [34] [39] [42], action detection is much less addressed in the literature. Different from action categorization, action detection is more challenging as it needs to localize the actions both spatially and temporally in cluttered or dynamic background [7] [8] [9] [12] [10] [19] [20] [40].

Even with good action categorization scheme, it can be timeconsuming to search the video space and accurately locate the action. Compared with event detection [28] [29] [32] [43], action detection focuses on the various activities and movements performed by humans, which has a wide potential applications in our daily life.

There are mainly two types of existing approaches for action detection. The first is the template-based pattern matching [11] [7] [13] [21] [35]. Two types of temporal templates are proposed in [11] for characterizing actions: (1) the motion energy image (MEI), which is a binary image recording where the motion has occurred in an image sequence; and (2) the motion history image (MHI), which is scalar valued image whose intensity is a function of the recency of motion. In [14], the motion history volume (MHV) is introduced as a free-viewpoint representation for human actions. To better handle the cluttered and dynamic backgrounds, an input video is oversegmented into many spatio-temporal video volumes in [8]. An action template is matched by searching among these over-segmented video volumes. However, because only one template is utilized, previous template-based methods usually have difficulties in handling intra-class action variations. Some discriminative methods have been developed to improve template matching. In [15] [16], Haar features are extended to 3-dimensional space, and boosting is applied to integrate these features for final classification. In [18], a successive convex matching scheme is proposed for action detection. In [17], a prototype-based approach is introduced, where each action is treated as a sequence of prototypes. The computation costs of these algorithms are extremely high, for example, it takes several minutes or even hours to handle a single short video clip. More specifically, due to the need to enumerate all the possible subvolumes in a video clip, the computational complexity grows rapidly as the templates become more complex.

The second strategy is the tracking based action detection. It relies on human tracking to provide a subvolume for categorization. In [10], multiple instance learning based approach is applied to human action detection, which relies on head detection and tracking. Similarly, the techniques presented in [37] and [38] also require human tracking as a pre-processing step.

Generally speaking, both the template based and tracking based detection approaches have their own limitations. Tracking based approach is largely constrained by the tracking precision. Since human tracking in a complex and dynamic environment is itself a challenging problem, it is not practical to rely on tracking to solve the action detection problem. On the other hand, the template matching based approach, however, is usually computationally intensive. The template mainly relies on positive samples thus not discriminative. Although the branch and bound search proposed in [9] can speed up the action detection, the computational cost is still very high for high resolution videos (such as  $320 \times 240$  or higher) due to the large search space. Thus, more efficient algorithm is required.

## **III. MULTI-CLASS ACTION RECOGNITION**

## A. Mutual Information based Classification

We represent an action as a collection of spatio-temporal interest points (STIPs) [1], where  $d \in \mathbb{R}^N$  denotes an *N*-dimensional feature vector describing a STIP. Denote  $\mathcal{C} = \{1, 2, ..., C\}$  as the class label set.

In order to recognize different action classes, we evaluate the mutual information between a testing video clip  $Q = \{d_q\}$ and one action class  $c \in C$ :

$$MI(\mathbf{C} = c, \mathcal{Q}) = \log \frac{P(\mathcal{Q}|\mathbf{C}=c)}{P(\mathcal{Q})}$$
  
=  $\log \frac{\prod_{d_q \in \mathcal{Q}} P(d_q|\mathbf{C}=c)}{\prod_{d_q \in \mathcal{Q}} P(d_q)}$  (1)  
=  $\sum_{d_q \in \mathcal{Q}} \log \frac{P(d_q|\mathbf{C}=c)}{P(d_q)}$ ,

where  $d_q$  refers to the STIP point in Q and we assume  $d_q$  is independent from each other. Each  $s^c(d_q) = log \frac{P(d_q|\mathbf{C}=c)}{P(d_q)}$  can be considered as the mutual information between a STIP point  $d_q$  and a specific class c.

In the previous work [9],  $s^{c}(d_{q})$  is computed as follows:

$$s^{c}(d_{q}) = MI(\mathbf{C} = c, d_{q}) = log \frac{C}{1 + \frac{P(d_{q}|\mathbf{C}\neq c)}{P(d_{q}|\mathbf{C}=c)}(C-1)}, \quad (2)$$

where C is the number of classes. The likelihood ratio in Eq. 2 is calculated by:

$$\frac{P(d_q | \mathbf{C} \neq c)}{P(d_q | \mathbf{C} = c)} \approx \lambda^c exp^{-\frac{1}{\sigma^2}(||d_q - d_{NN}^{c-}||^2 - ||d_q - d_{NN}^{c+}||^2)}, \quad (3)$$

where  $d_{NN}^{c+}$  and  $d_{NN}^{c-}$  are the nearest neighbors of  $d_q$  in the positive class and negative class, respectively, and  $\lambda^c$  is the ratio of the number of positive STIP to the number of negative STIP in the training dataset.

Despite its good performance, Eq. 3 has two limitations:

- In order to calculate the likelihood ratio in Eq. 3, we need to search the nearest neighbors  $d_{NN}^{c+}$  and  $d_{NN}^{c-}$ . Although locality sensitive hash (LSH) has been employed for fast nearest neighbor search, it is still time consuming for large high dimensional dataset.
- Only two STIPs are used to approximate the likelihood ratio in Eq. 3, which is not accurate.

To address the two problems, we reformulate the voting score  $s^{c}(d_{q})$  in Eq. 1 with:

$$S^{c}(d_{q}) = MI(\mathbf{C} = c, d_{q}) = \log \frac{P(d_{q}|\mathbf{C}=c)}{P(d_{q})}$$
  
=  $\log \frac{P(\mathbf{C}=c, d_{q})}{P(\mathbf{C}=c)P(d_{q})}$   
=  $\log \frac{P(\mathbf{C}=c|d_{q})}{P(\mathbf{C}=c)}$   
=  $\log P(\mathbf{C} = c|d_{q}) - \log P(\mathbf{C} = c).$  (4)

As  $P(\mathbf{C} = c)$  is a constant prior, the problem boils down to computing the posterior  $P(\mathbf{C} = c|d_q)$ . To enable an efficient computation, we approximate this probability with a random forest.

## B. Random Forest based Voting

Random forest was first proposed to solve the classification problem [24]. Later, it is extended to handle regression problems and is used for many multimedia applications, like [5] [25] [26] [27] [6] [36]. In our paper, random forest is employed to estimate the posterior probability  $P(\mathbf{C} = c|d_q)$ .

To build the forest from a training dataset, we use a method motivated by [5]. However, compared with [5], which treats a random forest as a classifier and votes for the hypothesis given a feature point, our random forest is used to estimate the posterior of each STIP point.

Two kinds of descriptors for STIP: HoG (Histogram of Gradient) and HoF (Histogram of Flow), are used to build the random forest. In the following, we first describe how to build a single decision tree, then the forest is constructed by M independent trees. Assume we have N STIP points in the training set, defining them as  $\{(x_i, y_i), i = 1, 2, \cdots, N\}$ , where  $x_i = (x_i^1, x_i^2)$ ;  $x_i^1 \in R^{72}$  and  $x_i^2 \in R^{90}$  refer to the HOG feature and HOF feature, respectively;  $y_i \in C$  is the label of the STIP. In order to build a tree and split the training set, a random number  $\tau \in \{1, 2\}$  is first generated to indicate which kind of feature to use for splitting  $(x_i^{\tau=1} \text{ refers})$ to HOG feature and  $x_i^{\tau=2}$  refers to HOF feature). Then two more random integer numbers  $e_1$  and  $e_2$  will be generated, indicating the dimension indices of either HOG or HOF feature. After that, a "feature difference" can be evaluated with  $D_i = x_i^{\tau}(e_1) - x_i^{\tau}(e_2), i = 1, 2, \cdots, N$ . For each  $x_i$ , we assign it to the left child node if  $x_i^{\tau}(e_1) - x_i^{\tau}(e_2) \ge \theta$  or right child node if  $x_i^{\tau}(e_1) - x_i^{\tau}(e_2) < \theta$ .

The threshold  $\theta$  is selected by minimizing the binary classification error:

$$\theta^* = \operatorname{argmin}_{\theta}(\min\{\mathcal{E}(c)^L + \mathcal{E}(\bar{c})^R, \mathcal{E}(c)^R + \mathcal{E}(\bar{c})^L\}), \quad (5)$$

where:

$$\begin{aligned} &\mathcal{E}(c)^{L} = \sum_{i=1}^{N} I(y_{i} \neq c) I(x_{i}^{\tau}(e_{1}) - x_{i}^{\tau}(e_{2}) \geq \theta) \\ &\mathcal{E}(c)^{R} = \sum_{i=1}^{N} I(y_{i} \neq c) I(x_{i}^{\tau}(e_{1}) - x_{i}^{\tau}(e_{2}) < \theta) \\ &\mathcal{E}(\bar{c})^{L} = \sum_{i=1}^{N} I(y_{i} = c) I(x_{i}^{\tau}(e_{1}) - x_{i}^{\tau}(e_{2}) \geq \theta) \\ &\mathcal{E}(\bar{c})^{R} = \sum_{i=1}^{N} I(y_{i} = c) I(x_{i}^{\tau}(e_{1}) - x_{i}^{\tau}(e_{2}) < \theta). \end{aligned} \tag{6}$$

In Eq. 6, I(x) is a indicator function, that is I(x) = 1 if x = 1 and 0 otherwise. And c is the action type we want to detect. The first two terms refer to the misclassification errors of the left node and right node, respectively, when the labels of the nodes are both c. The last two terms refer to the misclassification errors of the left node and right node, respectively, when the labels of the nodes are not c.

The above three parameters  $(\tau, e_1 \text{ and } e_2)$  can be integrated into a single hypothesis. For example, we can generate a hypothesis to partition the dataset using the following three steps:

- Generate  $\tau \in \{1, 2\}$  to indicate the feature type to use
- Generate the dimension index  $e_1$  and  $e_2$  and compute the feature difference  $D_i = x_i^{\tau}(e_1) x_i^{\tau}(e_2), i = 1, 2, \cdots, N$
- Split the dataset into two parts based on a threshold on feature difference and obtain a misclassification error

We generate  $\gamma$  hypotheses independently ( $\gamma = 200$  in our experiments) and select the one with the smallest misclassification error. After this, one node will be built and the training

set will be partitioned into two parts. For each part, a new node will be further constructed in the same way. This process is repeated until any of the two conditions below is satisfied: (1) the depth of the tree reaches the maximum number or (2) the number of points in the node is smaller than a predefined threshold.

Now, we discuss how to compute  $P(\mathbf{C} = c|d_q)$  with a random forest. Suppose we have M trees in a forest and the STIP  $d_q$  will fall in one of the leaves in each tree. Assume that for a tree  $T_i$ , the STIP point  $d_q$  falls in a leaf with  $N_i^+$  positive samples and  $N_i^-$  negative samples. The posterior distribution of  $d_q$  can be approximated by the average density of the M nodes in M different trees:

$$P(\mathbf{C} = c | d_q) \approx \frac{1}{M} \sum_{i=1}^{M} \frac{N_i^+}{N_i^+ + N_i^-}.$$
 (7)

Then Eq. 4 can be replaced with:

$$S^{c}(d_{q}) = log P(\mathbf{C} = c|d_{q}) - log P(\mathbf{C} = c) = log \frac{1}{M} \sum_{i=1}^{M} \frac{N_{i}^{+}}{N_{i}^{+} + N_{i}^{-}} - log P(\mathbf{C} = c).$$
(8)

In the training dataset, the positive and negative data are unbalanced, and also the numbers of STIP points are different for different action classes. Therefore, it is inaccurate to compute the prior probability  $P(\mathbf{C} = c)$  directly from the distribution of training dataset. In our experiments, we introduce the parameter  $A = -logP(\mathbf{C} = c)$  and optimize it in the experiments.

The benefits of using the random forest are numerous. First, each tree in the forest is independent to other trees when evaluating  $P(\mathbf{C} = c | d_a)$  in Eq. 7. The average of them thus reduces the variance of the estimation. Second, random forest is fast to evaluate during the testing stage. The runtime cost for each STIP only depends on the depth of each tree and the number of trees. It is not affected by the number of points in the training data. Hence, it is much faster than LSH based nearest neighbor search. In the experiment section, we will show that random forest based voting approach is over 4000 times faster than the LSH based approach. Another advantage of random forest compared with LSH is that, when constructing the trees, the label information of  $x_i$  can be integrated. Thus, the trees follow the data distribution of the training data. This improves the generalization ability. Finally, the construction of random forest is flexible. Besides the label information, it is easy to combine other types of feature descriptors and spatial information of STIPs.

After obtaining the individual voting score of each STIP, the spatio-temporal location and scale of the target action will be determined by the branch-and-bound search as described in next section.

## IV. ACTION DETECTION AND LOCALIZATION

The purpose of action detection is to find a subvolume V with the maximum similarity to the pre-defined action type. Following [9], with each STIP being associated with an individual score  $s^{c}(d)$ , our goal is to find the video subvolume with the maximum score:

$$V^* = argmax_{V \subset \mathcal{V}} f(V), \tag{9}$$

Where,  $V = [T, B] \times [L, R] \times [S, E]$  is a video subvolume, where L, R, T, B, S and E are the left, right, top, bottom, start and end positions of V;  $f(V) = \sum_{d \in V} s^c(d)$  and  $\mathcal{V}$  is the whole video space. A subvolume V is said to be *maximal* if there does not exist any other subvolume V' such that f(V') >f(V) and  $V' \cap V \neq \emptyset$ . The action detection problem is to find all the maximal subvolumes whose scores are above a certain threshold.

A spatio-temporal branch-and-bound algorithm was proposed in [9] to solve the single subvolume search problem. Instead of performing a branch-and-bound search directly in the 6-dimensional parameter space  $\Lambda$ , the method performs a branch-and-bound search in the 4-dimensional spatial parameter space. In other words, it finds the spatial window  $W^*$  that maximizes the following function:

$$F(W) = \max_{T \subseteq \mathbb{T}} f(W \times T), \tag{10}$$

Where  $W = [T, B] \times [L, R]$  is the spatial window; T = [S, E] is the temporal segment, and  $\mathbb{T} = [0, t - 1]$ .

One advantage of separating the parameter space is that the worst case complexity is reduced from  $O(m^2n^2t^2)$  to  $O(m^2n^2t)$ . The complexity is linear in t, which is usually the largest of the three dimensions. For this reason, it is efficient in processing long videos, but when the spatial resolution of the video is increased, the complexity goes up quickly. The method of [9] was tested on videos with low resolution videos (160  $\times$  120). In this paper we are interested in higher resolution videos (320  $\times$  240 or higher). We found that for videos taken under challenging lighting conditions with crowded background such as those in the publicly available MSR Action dataset II<sup>1</sup>, the action detection rates on 320  $\times$  240 resolution are much better than those on 160  $\times$  120. Unfortunately, the subvolume search for  $320 \times 240$  videos is much slower. For example, [9] takes 20 hours to search the MSR Action dataset II which consists of 54 video sequences of 1 minute long each with  $320 \times 240$  resolution.

Moreover, in [9], the multi-instance detection problem was converted to a series of single subvolume search problem. They first find the optimal subvolume  $V_1$  such that  $f(V_1) = max_V f(V)$ . After that, it sets the scores of all the points in  $V_1$  to be 0, and finds the optimal subvolume  $V_2$ , and so on. To further speed up the search process during the branch-andbound iterations, a heuristic was used in [12]. If a candidate window  $\mathbb{W}$  with a score larger than the detection threshold is found, the subsequent searches are limited to the subwindows contained in  $\mathbb{W}$ . It guarantees that it will find a valid detection, but the detected subvolume is not guaranteed to be optimal.

In the next two subsections, we present two techniques to speed up the subvolume search algorithm. The combination of the two techniques allow us to perform subvolume search on  $320 \times 240$  videos in real time.

### A. Spatial Down-Sampling

To handle high-resolution videos, the technique is to spatially down-sample the video space by a factor s before

<sup>1</sup> The MSR action dataset II is available at http://research.microsoft.com/en-us/um/people/zliu/ActionRecoRsrc/default.htm



Fig. 2. Approximation of the spatial down-sampling. Left figure shows the score image in the original resolution and right figure shows the down-sampled score image. Every four small pixels in a cell from the original resolution sum up to one score in the low resolution, for example, the value in the top-left pixel from the right figure 0.5 = 0.1 + 0.3 - 0.4 + 0.5. We notice that the optimal solution found in the down-sampled video space is worse than that in the original space  $(f^s(\tilde{V}^*) = 1.1 < f(V^*) = 1.4)$ 

the branch-and-bound search. Note that the interest point detection, descriptor extraction, and the scores are all done in the original video sequence.

For a video volume  $\mathcal{V}$  of size  $m \times n \times t$ , the size of the down-sampled volume  $\mathcal{V}^s$  with scale factor s is  $\frac{m}{s} \times \frac{n}{s} \times t$ . For any point  $(i, j, k) \in \mathcal{V}^s$  where  $i \in [0, \frac{m}{s} - 1]$ ,  $j \in [\frac{n}{s} - 1]$ , and  $k \in [0, t - 1]$ , its score is defined as the sum of the scores of the  $s \times s$  points in  $\mathcal{V}$ , that is,  $f^s(i, j, k)$  is defined as

$$f^{s}(i,j,k) = \sum_{x=0}^{s-1} \sum_{y=0}^{s-1} f(s*i+x,s*j+y,k).$$
(11)

Given any subvolume  $V^s = [L, R] \times [T, B] \times [S, E] \subset \mathcal{V}^s$ , where L, R, T, B, S and E are the left, right, top, bottom, start and end positions of  $V^s$ , respectively, denote  $\xi(V^s)$  to be its corresponding subvolume in original video  $\mathcal{V}$ , that is,

$$\xi(V^s) = [s * L, s * (R+1) - 1] \times [s * T, s * (B+1) - 1] \times [S, E].$$
(12)

As they are the same subvolume, it is easy to see that

$$f^{s}(V^{s}) = f(\xi(V^{s})).$$
 (13)

A subvolume  $V = [X_1, X_2] \times [Y_1, Y_2] \times [T_1, T_2] \subset \mathcal{V}$  is called an *s*-aligned subvolume if  $X_1$  and  $Y_1$  are multiples of *s* and the width  $X_2 - X_1 + 1$  and height  $Y_2 - Y_1 + 1$  are also multiples of *s*. Eq. 12 provides a one-to-one mapping between the volumes in  $\mathcal{V}^s$  and the s-aligned subvolumes in  $\mathcal{V}$ .

Instead of searching the original video space, we can search the down-sampled video space  $\mathcal{V}^s$  of a much smaller size  $\frac{m}{s} \times \frac{n}{s} \times t$ . However, as the down sampling process also introduces the approximation errors, it affects the search results. In general, for any  $V^s \subset \mathcal{V}^s$ , there exists a  $V = \xi(V^s) \subset \mathcal{V}$ . It thus shows that the maximum subvolume found in the down sampled space is at most as good as the one found in the original space:

$$max_{V^{s} \subset \mathcal{V}^{s}} f^{s}(V^{s}) \le max_{V \subset \mathcal{V}} f(V).$$
(14)

We illustrate a concrete example in Fig. 2. For simplicity, in Fig. 2, we choose the down sampling factor s = 2 and discuss the problem in the 2D space (only one frame is considered). The left figure shows the original video space and its down sampled version is in the right figure. Each pixel is associated with a voting score. The orange rectangle highlights the optimal solution in the original video space, namely the bounding box of the highest total sum. After the down-sampling, the grey rectangle is the detection result in the down-sampled video. By mapping to the original space, we obtain an approximate solution highlighted by the red rectangle. It overlaps with the optimal solution in the original space, but the total sum is slightly less. To further quantify the approximation error, we derive the upper bound of the error caused by the down sampling, as explained in Theorem 1.

## **Theorem** 1: Bound of the approximation error

Let  $V^*$  denote the optimal subvolume in  $\mathcal{V}$ , that is,  $f(V^*) = max_{V \subset \mathcal{V}}f(V)$ . Assume  $V^* = [x_1, x_1 + w - 1] \times [y_1, y_1 + h - 1] \times [t_1, t_2]$  where w and h are the width and height of  $V^*$ , respectively. Then, there exists an s-aligned subvolume  $\tilde{V}$  satisfying:

$$f(\tilde{V}) \ge (1 - \frac{s * h + s * w + s^2}{wh})f(V^*).$$
(15)

The proof of this theorem is in the appendix.

Let  $\tilde{V}^* = argmax_{V \in \mathcal{V}^s f^s(V)}$  denote the optimal subvolume in  $\mathcal{V}^s$ . Based on Eq. 15, we have

$$f^{s}(\tilde{V}^{*}) \ge (1 - \frac{s * h + s * w + s^{2}}{wh})f(V^{*}).$$
 (16)

As an example, suppose spatial dimension of V is  $320 \times 240$ , and the scale factor s = 8. The spatial dimension of the downsampled volume is  $40 \times 30$ . If we assume the window size of the optimal subvolume  $V^*$  is  $64 \times 64$ , then the average relative error is at most

$$\frac{s*h+s*w+s^2}{wh} = \frac{8*64+8*64+8^2}{64^2} \approx 25\%.$$
 (17)

We have run numerical experiments to measure the relative error of the optimal solutions in the down-sampled volumes. We used 30 video sequences of resolution  $320 \times 240$ . There are three action types. For each video sequence and each action type, we obtain a 3D volume of scores as defined in Eq. 8. We choose s = 8, and down-sample each 3D volume to spatial resolution of  $40 \times 30$ . There are 113 actions in total. For each action, we compute its corresponding downsampled subvolume, and evaluate the relative error which is the score difference divided by the original action score. The mean is 23% and the standard deviation is 26%. We can see that the numerical experiments are consistent with the theoretical analysis.

# B. Top-K Search Algorithm

The multi-instance search algorithm in [9] repeatedly applies the single-instance algorithm many times until some stop criteria is met. In practice, there are typically two different stop conditions that can be used. The first is to stop after k iterations where k is a user-specified integer. The second is to stop when the detection score is smaller than a user-specified detection threshold  $\lambda$ . In either case, suppose the number of detected instances is k, then the worst case complexity of the algorithm is  $O(kn^2m^2t)$ .

We notice that in 1D case, Stolting and Gronlund [2] developed a algorithm that finds the Top-K subarrays in O(n + k) time. This is much more efficient than repeatedly applying the single-instance algorithm k times which has the complexity O(kn). In 3D case, we would also like to have an algorithm that is more efficient than simply applying the single-instance algorithm k times. We consider two different variants corresponding to the two stop criteria. The first, called  $\lambda$  search, can be applied when we are interested in finding all the subvolumes above a user-specified threshold  $\lambda$ . The second, called Top-K search, can be applied when we are interested in finding the Top-K subvolumes.

1)  $\lambda$  Search: In this section we describe an algorithm that finds all of the subvolumes with scores larger than a userspecified threshold  $\lambda$ . The pseudo-code of the algorithm is shown in Algorithm. 1. Following the notation in [9], we use  $\mathbb{W}$  to denote a collection of spatial windows, which is defined by 4 intervals that specify the parameter ranges for the left, right, top, and bottom positions, respectively. Given any set of windows  $\mathbb{W}$ , we use  $\hat{F}(\mathbb{W})$  to denote its upper bound which is estimated in the same way as in [9]. We use  $W_{max}$  to denote the largest window among all the windows in  $\mathbb{W}$ . Initially,  $\mathbb{W}$ is equal to the set of all the possible windows on the image.

Algorithm 1  $\lambda$  search. 1: Initialize P as empty priority queue 2: set  $\mathbb{W} = [T, B, L, R] = [0, m] \times [0, m] \times [0, n] \times [0, n]$ 3: push( $\mathbb{W}, \hat{F}(\mathbb{W})$ ) into P 4: repeat Initialize current best solution  $F^*, W^*$ 5: repeat 6: retrieve top state  $\mathbb{W}$  from P based on  $\hat{F}(\mathbb{W})$ 7: if  $\hat{F}(\mathbb{W}) > \lambda$  then 8: split  $\mathbb W$  into  $\mathbb W^1\cup\mathbb W^2$ 9: if  $\hat{F}(\mathbb{W}^1) > \lambda$  then 10: push ( $\mathbb{W}^1$ ,  $\hat{F}(\mathbb{W}^1)$ ) into P 11: update current best solution  $\{W^*, F^*\}$ 12: 13: end if if  $\hat{F}(\mathbb{W}^2) > \lambda$  then 14: push ( $\mathbb{W}^2$ ,  $\hat{F}(\mathbb{W}^2)$ ) into P 15: update current best solution  $\{W^*, F^*\}$ 16: end if 17: end if 18: until  $\hat{F}(\mathbb{W}) \leq F^*$ 19:  $T^* = argmax_{T \in [0,t]} f(W^*, T);$ 20: add  $V^* = [W^*, T^*]$  to the list of detected subvolumes. 21: for each point  $(i, j, k) \in V^*$ , set f(i, j, k) = 0. 22: 23: until  $F(\mathbb{W}) \leq \lambda$ 

In terms of the worst case complexity, the number of branches of this algorithm is no larger than  $O(n^2m^2)$  since the algorithm does not re-start the priority queue P. Each time it branches, the algorithm has to compute the upper bound whose complexity is O(t). Therefore the worst complexity involved in branch and bound is the same as [9]:  $O(n^2m^2t)$ . In addition, each time when it detects a subvolume, the algorithm has to

update the scores of the video volume which has complexity O(nmt). If there are k detected subvolumes, the complexity for updating the scores is O(kmnt). Overall, the worst case complexity of this algorithm is  $O(n^2m^2t) + O(kmnt)$ . When k is large, this is much better than  $O(kn^2m^2t)$ .

2) *Top-K Search:* In this section we describe how to modify Algorithm 1 for the case when we are interested in finding the Top-K actions, and we assume we do not know the threshold  $\lambda$ .

The pseudo-code of the algorithm is shown in Algorithm 2. The algorithm is similar to Algorithm 1. There are four major differences. First, instead of maintaining a single current best solution, it maintains k-best current solutions. Second, it replaces the criteria  $\hat{F}(\mathbb{W}) > \lambda$  with  $\hat{F}(\mathbb{W}) > F_k^*$  to determine whether we need to insert  $\mathbb{W}^1$  or  $\mathbb{W}_2$  into the queue P. Third, it replaces the inner-loop stop criteria  $\hat{F}(\mathbb{W}) \leq F^*$  with  $\hat{F}(\mathbb{W}) \leq F_c^*$ . Finally, the outer-loop stop criteria  $\hat{F}(\mathbb{W}) \leq \lambda$ is replaced with c > k. In this algorithm, the number of outer loops is k. So the worst case complexity is also  $O(n^2m^2t) + O(kmnt)$ .

	A	lgorithm	2	Top-K	Search
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1: Initialize P as empty priority queue 2: set  $\mathbb{W} = [T, B, L, R] = [0, m] \times [0, m] \times [0, n] \times [0, n]$ 3: push( $\mathbb{W}, \hat{F}(\mathbb{W})$ ) into P 4: c=1 5: repeat Initialize  $(\{W_i^*, F_i^*\})_{i=c...k}$  where  $F_k^* \leq ... \leq F_c^*$ 6: 7: repeat retrieve top state  $\mathbb{W}$  from P based on  $\hat{F}(\mathbb{W})$ 8: if  $F(\mathbb{W}) > F_k^*$  then 9: split  $\mathbb{W}$  into  $\mathbb{W}^1 \cup \mathbb{W}^2$ 10: if  $\hat{F}(\mathbb{W}^1) > F_k^*$  then 11: push ( $\mathbb{W}^1$ ,  $\hat{F}(\mathbb{W}^1)$ ) into P 12: update  $(\{W_{i}^{*}, F_{i}^{*}\})_{i=c...k}$ 13: end if 14: if  $\hat{F}(\mathbb{W}^2) > F_k^*$  then 15: push ( $\mathbb{W}^2$ ,  $\tilde{F}(\mathbb{W}^2)$ ) into P16: 17: update  $(\{W_{i}^{*}, F_{i}^{*}\})_{i=c...k}$ end if 18: end if 19: until  $\hat{F}(\mathbb{W}) \leq F_c^*$ 20:  $\begin{aligned} T^* &= argmax_{T\in[0,t]}f(W^*,T); \\ \text{output } V_c^* &= [W^*,T^*] \text{ as the } c\text{-th detected subvolume} \end{aligned}$ 21: 22: for each point  $(i, j, k) \in V_c^*$ , set f(i, j, k) = 0. 23: 24: c = c+125: **until** c > k

## V. EXPERIMENTS

### A. Action Classification

To evaluate our proposed random forest based approach for multi-class action classification, we test on the benchmark KTH dataset. The experiment setup is the same as [1] [9] where clips from 16 persons are used for training and the other 9 persons are used for testing. The confusion matrix is listed in Table I. We also compare our results with the state-ofthe-art results in Table. III. With the same input features, our method performs as well as the method using support vector machine for classification [4]. Although our performance is slightly worse than the nearest neighbor based classification in [9], as will be shown later, our approach is significantly faster as it avoids the nearest neighbor search.

## **B.** Action Detection

To evaluate our multi-class action detection and localization, we perform cross-dataset training and testing. We first build a random forest using the KTH dataset (with the 16 persons in the training part) and then test on a challenging dataset (MSRII) of 54 video sequences where each video consists of several actions performed by different people in a crowded environment. Each video is approximately one minute long. The videos contains three different types of actions: handwaving, handclapping and boxing.

For all of our experiments we have fixed K = 3,  $\lambda = 3.0$ . Moreover, unless explicitly mentioned we downsample the score volume to  $40 \times 30$  pixels.

Fig. 3 compares the precision-recall for the following methods (the original videos are of high resolution  $320 \times 240$ ). Except for (vii), which uses our random forest based voting score, the other methods apply the LSH based nearest neighbor voting score as in [9]:

- (i) ASTBB (Accelerated Spatio-Temporal Branch-and-Bound search) of [12] in low resolution score volume (frame size  $40 \times 30$ ),
- (ii) ASTBB of [12] in  $320 \times 240$  videos,
- (iii) multi-round branch-and-bound search of [9] in low-resolution score volume (frame size  $40 \times 30$ ),
- (iv) Top-K search at original size  $320 \times 240$ ,
- (v) Top-K search at down-sampled score volume (size  $40 \times 30$ ),
- (vi)  $\lambda$  search at down-sampled score volume (size 40  $\times$  30),
- (vii) random forest based weighting followed with Top-K search at down-sampled score volume (size  $40 \times 30$ ).

	clap	wave	box	run	jog	walk
clap	137	1	6	0	0	0
wave	7	137	0	0	0	0
box	0	0	144	0	0	0
run	0	0	0	95	47	2
jog	0	0	0	4	136	4
walk	0	0	0	0	0	144

 TABLE I

 Confusion matrix for KTH action dataset. The total accuracy is 91.8%.

Method	Mean accuracy
Our method	91.8%
Yuan et al's [9]	93.3%
Reddy et al's [6]	90.3%
Laptev et al's [4]	91.8%

 TABLE II

 COMPARISON OF DIFFERENT REPORTED RESULTS ON KTH DATASET

The parameter A = -logP(C = c) in Eq. 8 for method (vii) is set to 2.1, 1.7 and 0.9 for handclapping, handwaving and boxing respectively. Also, we use the walking actions from KTH as the negative dataset when constructing forests. For the purpose of generating precision-recall curves, we modified the outer-loop stop criteria (line 25, algorithm 2) to repeat until  $\hat{F}(\mathbb{W}) \leq \lambda$  where  $\lambda$  is a small threshold. In this way, it outputs more than K subvolumes which is necessary for plotting the precision-recall curve. Some sample detection results obtained by our approach (vii) are shown in Fig. 5.

The measurement of precision and recall is the same as what is described in [9]. For the computation of the precision we consider a true detection if :  $\frac{\text{Volume}(V^* \cap G)}{\text{Volume}(G)} > \frac{1}{8}$  where G is the annotated ground truth subvolume, and  $V^*$  is the detected subvolume. On the other side, for the computation of the recall we consider a hit if:  $\frac{\text{Volume}(V^* \cap G)}{\text{Volume}(V^*)} > \frac{1}{8}$ .

We first compare the results based on LSH voting approaches. Fig. 3 lists the Precision-Recall curves for the three different action classes, respectively. Fig. 4 shows the average PR curve for the three actions. The average PR curve is computed by averaging precision and recall results among the three action types while adjusting a threshold. This can give a general idea of the overall performance for different algorithms. From the precision-recall curves, we can see that although the accelerated search of [12] provides excellent results in high resolution videos, its performance on downsampled low resolution videos is poor compared with other search schemes. Moreover, all the methods applied to the high resolution videos provide similar performance. In particular, the methods of Top-K search with branch-andbound search at down sampled size (v) and  $\lambda$  search with branch-and-bound search at down-sampled size (vi) are among the best ones. These results justify our proposed  $\lambda$ -search and Top-K search algorithms. Although the branch-and-bound is performed in the down-sampled size videos, it still provides good performance. However, the search speed is much faster. To compare the performance of action detection between LSH and Random forest, (v) and (vii) are two search schemes with the same environment but different voting approaches. Random Forest (vii) is superior to LSH (v) in Handwaving but poorer in Boxing. Since the boxing action is highly biased in KTH dataset (much more boxing actions are performed from right to left), it reduces the discriminative ability of the trees. For LSH, however, because it can search only one nearest positive and negative in the neighborhood, the affection of this kind of bias can almost be ignored.

# C. Computational Cost

The feature extraction step is performed with publicly available code in [1]. Although their code may not be very fast, there are faster implementations available. Therefore, the computation time for feature extraction is not considered in this paper. We suppose all the STIP points are already extracted and stored in the memory. Then, the computational time of our algorithms can be mainly dominated by two operations, computing the score for each STIP and branchand-bound search. For the first part, LSH takes 18.667ms



Fig. 3. Precision-recall curves for the three actions in the database and the different methods.



Fig. 5. Detection results (Random Forest+Top-K) of handclapping (1st row), handwaving (2ed row) and boxing (3rd row) are listed in 2-5 columns with red, green and blue colors to show the bounding boxes respectively. The cyan dash regions are from the ground truth. The first column are sample images used for training.

Method	Voting Time (ms)	One sequence (s)
LSH	$18.667 \pm 8.4105$	186.67
Random Forest	$0.0042 \pm 0.0032$	0.042

TIME CONSUMED FOR VOTING ONE STIP AND ONE VIDEO SEQUENCE(FOR EXAMPLE, 10000 STIP POINTS). ONLY CPU TIME IS CONSIDERED.

on average per STIP point while random forest only takes 0.0042ms. To deal with a video clip with 10000 STIPs, it will take around 186.67s for LSH but only 42ms for random forest. That is, random forest based approach is 4000 times faster than LSH based approach.

Table IV shows the time consumed for the second part operation. All of the algorithms are implemented using C++, performed on a single PC of dual-core and 4G main memory: (a) accelerated  $\lambda$  search of [12] in low resolution videos

(frame size 40 × 30), (b) accelerated  $\lambda$  search of [12] in high resolution videos, (c) multi-round branch-and-bound search of [9] in low-resolution videos (frame size 40 × 30), (d)  $\lambda$ search, with branch-and-bound search at down sampled size 40 × 30, (e) Top-K search, with branch-and-bound search at down-sampled size 80 × 60, (f) Top-K search, with branchand-bound search at down-sampled size 40 × 30.

Table IV shows that although the method of [12] works well for low resolution videos, the search speed becomes much slower for high resolution videos. Moreover as shown in Fig. 4, when performing on the down-sampled score volumes, the heuristic method of [12] (curve (i)) is a lot worse than the other methods. This is an indication that it is not a good idea to perform heuristic search on down-sampled score volumes. In comparison,  $\lambda$  search provides much better search quality. Among all the search schemes, the fastest method is the Top-K search with branch-and-bound at down-sampled score volume



Fig. 4. Comparisons of Average Precision-Recall curves

Method	Running Time
Low resolution $(40 \times 30)$ [12]	40 mins
High resolution $(320 \times 240)$ [12]	20 hours
Down-sampled B&B $(40 \times 30)$	10 hours
$\lambda$ search + Down-sampled B&B (40 $\times$ 30)	1 hour 20 mins
Top-K + Down-sampled B&B $(80 \times 60)$	6 hours
Top-K + Down-sampled B&B $(40 \times 30)$	26 mins

TABLE IV TIME CONSUMED FOR EACH METHOD FOR FINDING ACTIONS IN THE 54 VIDEOS.

of  $40 \times 30$ . It takes only 26 minutes to process the 54 sequences whose total length is about one hour in total.

Finally, we compare LSH and random forest in terms of total computation time in Table. V, including the runtime cost for computing scores and the runtime cost for top-K search. For the previous method [12], it takes at least 1471 minutes to search all the actions for 54 videos in MSRII. However, the total computation time of our proposed algorithm is 26.62 minutes.

# VI. CONCLUSIONS

We have developed a new system for the spatio-temporal localization of human actions in video sequences. The system improves upon the state of the art on two aspects. First, we proposed a random forest based voting technique to compute the scores of the interest points, which achieves multiple orders-of-magnitude speed-up compared to the nearest neighbor based scoring scheme. Second, we proposed a top-k search technique which detects multiple action instances simultaneously with a single round of branch-and-bound search. To reduce the computational complexity of searching higher resolution videos, we performed subvolume search on the down-sampled score volumes. We have presented experiment results on challenging videos with crowded background. The results showed that our proposed system is robust to dynamic and cluttered background and is able to perform faster-than real time action detection on higher resolution videos.

#### APPENDIX

We prove the Theorem 1 here. Let  $V^*$  denote the optimal subvolume in  $\mathcal{V}$ , that is,  $f(V^*) = max_{V \subset \mathcal{V}}f(V)$ . Assume  $V^* = [x_1, x_1 + w - 1] \times [y_1, y_1 + h - 1] \times [t_1, t_2]$  where w and h are the width and height of  $V^*$ , respectively. Let |V| denote the number of voxels in V. It can be shown that there exists an s-aligned subvolume  $\tilde{V} = [\tilde{x}_1, \tilde{x}_1 + \tilde{w} - 1] \times [\tilde{y}_1, \tilde{y}_1 + \tilde{h} - 1] \times [t_1, t_2]$  such that

$$|(V^* \setminus \tilde{V}) \cup (\tilde{V} \setminus V^*)| \le (s * h + s * w + s^2)(t_2 - t_1).$$
 (18)

Therefore

$$\frac{|(V^* \setminus \tilde{V}) \cup (\tilde{V} \setminus V^*)|}{|V^*|} \le \frac{s * h + s * w + s^2}{wh}.$$
 (19)

If we assume the total score of a subvolume is on average proportional to its size, then

$$\frac{f(|(V^* \setminus \tilde{V}) \cup (\tilde{V} \setminus V^*)|)}{f(V^*)} \le \frac{s * h + s * w + s^2}{wh}.$$
 (20)

Therefore

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$$\frac{f(V^*) - f(\tilde{V})}{f(V^*)} \le \frac{s * h + s * w + s^2}{wh}.$$
 (21)

After a re-arrangement of the items, we have:

$$f(\tilde{V}) \ge (1 - \frac{s * h + s * w + s^2}{wh})f(V^*)$$
(22)

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Method	Voting Time (mins)	Search Time (mins)	Total Computation Time (mins)
LSH+B&B [12]	271	1200	1471
LSH+Top-K (our algorithm)	271	26	297
Random Forest+Top-K (our algorithm)	0.62	26	26.62

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COMPARISON OF TOTAL TIME COST FOR ACTION DETECTION. ONLY CPU TIME IS CONSIDERED.

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