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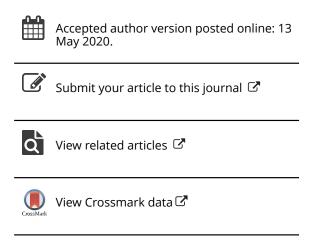
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# Immunotherapy for gliomas: shedding light on progress in preclinical and clinical development

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**ABSTRACT** 

Introduction: Gliomas are infiltrating brain tumors associated with high morbidity and mortality.

Current standard of care includes radiation, chemotherapy and surgical resection. Today, survival

rates for malignant glioma patients remain dismal and unchanged for decades. The glioma

microenvironment is highly immunosuppressive and consequently this has motivated the

development of immunotherapies for counteracting this condition, enabling the immune cells within

the tumor microenvironment to react against this tumor.

Areas covered: The authors discuss immunotherapeutic strategies for glioma in phase-I/II clinical

trials and illuminate their mechanisms of action, limitations and key challenges. They also examine

promising approaches under preclinical development.

Expert opinion: In the last decade there has been an expansion in immune-mediated anti-cancer

therapies. In the glioma field, sophisticated strategies have been successfully implemented in

preclinical models. Unfortunately, clinical trials have not yet yielded consistent results for glioma

patients. This could be attributed to our limited understanding of the complex immune cell infiltration

and its interaction with the tumor cells, the selected time for treatment, the combination with other

therapies and the route of administration of the agent. Applying these modalities to treat malignant

glioma is challenging, but many new alternatives are emerging to by-pass these hurdles.

**Keywords** 

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Antibody, CAR T-cell, checkpoint inhibitor, dendritic cells, glioma, immunosuppression, immunotherapy, nanoparticles, vaccines, virus.



#### **Article Highlights**

- Malignant gliomas or HGG are the most frequent tumors of the central nervous system. Even
  though there has been advances in their diagnosis and treatment strategies, HGG have dismal
  prognosis and currently remain incurable.
- It has been demonstrated that HGG display an immunosuppressive tumor microenvironment, involving the recruitment of immunomodulatory cells and the secretion of immunomodulatory cytokines.
- In the last years, there has been an expansion in the immunotherapeutic strategies designed to treat different types of cancers, and many of these are currently approved to be used in the clinic due to their significant improvement in patient survival.
- Treating glioma with an immunotherapeutic approach can be challenging due to their
  anatomic location, the intrinsic immunosuppressive microenvironment, and the tumor
  heterogeneity. However, several therapies under pre-clinical and clinical study were
  developed to beat these hurdles. Also, the development of new alternatives for drug delivery,
  such as nanoparticles, have yielded encouraging results in preclinical models.
- The development of immunotherapies against glioma is promising since pre-clinical studies in diverse immunotherapies demonstrated encouraging biological effects. However, favorable and long-lasting clinical responses remain to be seen.

#### 1- INTRODUCTION

Gliomas are histologically highly heterogeneous tumors and malignant glioma represent the most frequent tumor of the central nervous system (CNS) [1, 2]. Their incidence in the USA is 6 cases per 100,000 individuals/year [1]. Taking into account both genetic alterations and epigenetic modifications, gliomas are classified integrating histological and molecular parameters to provide more accurate prognosis and treatment strategies [3]. The phenotypic-genotypic diagnostic combination criteria include histological features and genetic alterations analysis, which are considered along with clinical findings and radiological characteristics [3]. Tumor grading is used as a prognostic factor to predict response to therapy [3, 4]. Overall, grade I and II are considered "non-malignant" or low grade gliomas (LGG), whereas grade III and IV are considered "malignant" or high grade gliomas (HGG), with worst prognosis [1, 3, 5].

Among gliomas, diffuse infiltrating gliomas represent the most prevalent tumors. The most relevant molecular characteristics studied are *IDH* mutation, chromosome 1p/19q deletion, histone mutations and other genetic parameters such as *ATRX* loss, *TP53* and *TERT* mutations, as well as DNA methylation levels [3, 4]. This group of gliomas includes diffuse astrocytomas (grade II), oligodendrogliomas (grade II/III), anaplastic astrocytomas (grade III), and glioblastomas (GBM) (grade IV) [3].

GBMs are highly infiltrative and the most frequent HGG in adults (median onset 62 years old). The primary tumors are characterized by astrocytic differentiation, nuclear atypia, high mitotic rate, microvascular proliferation and necrosis. They predominate in males and the median survival (MS) is 15-18 months post-diagnosis. They exhibit WT *IDH* and common mutations as *TERT* promoter mutation, *EGFR* amplification, *CDKN2A* deletion, *TP53* loss of function mutation, *PTEN* mutation, and RTK pathways amplification [6, 7].

In the pediatric context, malignant gliomas seem similar histologically to adult disease. However, at the molecular level they are very different from the adult gliomas [8]. They are classified as pediatric anaplastic astrocytoma (grade III), GBM (grade IV) or diffuse midline glioma (DMG), which includes diffuse intrinsic pontine glioma (DIPG) [9]. Pediatric gliomas hold specific mutations associated with certain anatomic locations. For instance: H3F3AK27M is found in midline locations in DMG and H3F3AG34R/V in cerebral hemispheres [10, 11].

The current standard of care (SOC) for the treatment of primary malignant gliomas consists in maximal safe surgical resection, followed by concomitant external beam radiation and chemotherapy with Temozolomide (TMZ) during 6 weeks and then TMZ as adjuvant chemotherapy for six cycles of 150–200 mg/m²/day for the first 5 days of a 28-day cycle [12]. In some institutions, the adjuvant therapy has been extended to 12-15 months [13-17]. For LGG, the best SOC remains under revision, but current treatment also involves surgery, beam radiation and chemotherapy (which could include TMZ or a combination of procarbazine, CCNU, and vincristine) [18]. In spite of advances in diagnostic and therapeutic modalities, recurrence is almost universal for GBM. In addition, malignant transformation and recurrence for LGG is also commonly seen in the clinic [19-22].

Although the new phenotypic-molecular integrated diagnosis represents a remarkable advance for glioma's diagnosis, several challenges and limitations remain when considering treatment efficiency. This is in part evidenced by the high rate of tumor recurrence [23]. These challenges include, but are not limited to, the highly infiltrative nature of malignant glioma, which makes it a difficult tumor to resect; the presence of a blood-brain barrier (BBB), which affects drug penetration into the brain; and the intrinsically complex biology of this tumor, meaning that a proposed SOC might not be suitable in all cases [24].

Another salient challenge in glioma therapeutics is due to the presence of a highly immunosuppressive tumor microenvironment (TME) [25]. Thus, the implementation of therapies aimed to counteract immunosuppression are promising avenues for glioma treatment [26, 27]. Several studies using diverse immunotherapeutic strategies are in progress. Pre-clinical studies in immunotherapies demonstrated encouraging biological effects, but favorable clinical responses remain to be realized [27-29].

In this review we will discuss novel immunotherapies targeting the glioma TME and the efforts being directed to revert glioma-mediated immunosuppressive mechanisms. We will review immune therapeutic strategies currently being implemented from preclinical studies to Phase-II clinical trials (CTs). We will also discuss their mechanisms of action, their responsiveness or mechanisms leading to treatment resistance, their limitations and future challenges. This review includes, but is not limited to, cancer vaccines, immune checkpoint inhibitors, adoptive cellular therapy, viral therapy and combinational therapies.

## 2- CNS AND GLIOMA IMMUNE MICROENVIRONMENT

The notion that the CNS is an "immune privileged" site was adopted after the findings that foreign tissue grafts implanted in the brain parenchyma were not rejected [30-32]. The efferent and afferent arms of the immune system were thought to be abrogated by the BBB and the lack of classical draining lymphatics, respectively [33]. However, evidence demonstrating foreign tissue rejection in the brain implanted in proximity to the ventricles and the draining of CNS antigens into the cervical lymph nodes challenged this view [32-36]. Today, experimental findings showed that the immune privilege of the CNS is not absolute, but rather relative to other organs and to the presence or absence of neuroinflammation. The particular interactions between the immune system and the CNS are related to the CNS anatomy and its compartmentalization, namely: the CNS parenchyma; the

ventricles containing cerebrospinal fluid; and the meninges [37]. It has been observed that the innate and adaptive immune response mounted in the ventricles and meninges is similar to the response in other organs [37]. Thus, the immune privilege should be associated to the brain parenchyma specifically and the distinctive features of the afferent and efferent arms involved in the neuro-immune-communication.

## 2-1- Afferent arm in the CNS-immune system interaction

The afferent arm of the immune system refers to antigen presentation to T-cells, resulting in their proliferation and activation. In general, this is achieved in the draining lymph nodes, by the drainage of antigen-presenting cells (APC) bearing the antigen from the immune-compromised site or by the transport of the soluble antigen to the lymph node. In the absence of inflammation, there is a paucity of dendritic cells (DCs) in the brain parenchyma and, although the presence of resident macrophages, they rarely migrate to the lymph node to act as APC [33, 37]. However, brain parenchyma has soluble antigen drainage along the walls of cerebral capillaries and arteries to cervical lymph nodes [33, 37]. This perivascular pathway is probably too narrow to allow the migration of immune cells from the brain parenchyma, which may be the principal factor involved in the immune privilege of the CNS. In contrast, the direct drainage of cerebrospinal fluid to deep cervical lymph nodes allows the trafficking of T-cells, monocytes and DCs, which could explain in the immunological competence of the compartments surrounding the brain [33]. In summary, the afferent arm of the immune system in the brain lacks the classical cellular pathway, but it relies on the soluble antigen trafficking pathway.

#### 2-2- Efferent arm in the CNS-immune system interaction

Although the specificities for T-cell trafficking pathway into the brain parenchyma remain to be elucidated, activated T-cells can cross the BBB [33, 38]. Within the brain, T-cells will face diverse challenges before they can mediate the immune response, such as death by apoptosis, the presence of immunomodulatory soluble factors or the difficulties associated to antigen recognition due to low

MHC expression [33, 37]. However, the secretion of IFNγ and TNFα by pre-activated T-cells can induce MHC expression in CNS residing cells, which would act as APCs [38]. When antigen recognition occurs by the T-cells, the release of pro-inflammatory molecules triggers changes in the BBB allowing the recruitment of additional immune cells into the brain. Once inflammation is established, the CNS immune privilege state switches into an inflammatory environment, resulting in increased BBB permeability, DC penetration and increased antigen trafficking into the lymph nodes [37, 38].

#### 2-3- Glioma immune tumor microenvironment

Although these data show the active interaction of the immune system with the CNS, multiple clinical trials in immunotherapy have failed to show benefits in glioma patients. One of the main reasons is related to the immunosuppressive TME that halt effective anti-glioma immune response.

Glioma TME is characterized by tissue hypoxia provided by an inappropriate increased vascularity, irregular blood flow and high oxygen consumption. Tissue hypoxia induces activation of regulatory T-cells (Tregs) and upregulation of vascular endothelial growth factor (VEGF), to promote an immunosuppressive environment [39-41]. Glioma cells also secrete immunosuppressive factors such as interleukin-6, interleukin-10, TGF-β, and prostaglandin-E [42-45]. These factors collectively inhibit both the innate and adaptive immune systems by suppressing NK activity and T-cell activation and proliferation, inducing T-cell apoptosis, downregulating of MHC expression, and skewing tumor-associated macrophages towards an M2 (immunosuppressive) phenotype [46-48].

Myeloid cells represent the main immune cell that infiltrates glioma. We have shown that myeloid-derived suppressor cells are major immunosuppressive cells in glioma microenvironment [28, 49, 50]. Also, the number of neutrophils and their activation status correlates with glioma grade and represents a negative prognostic parameter [51]. Moreover, glioma associated macrophages and

microglia can constitute a significant proportion (around 30%) of the tumor mass [52-54]. They are recruited by a number of chemokines, including CCL2 and CX3CL1 [55-57].

Within the lymphoid cells, NK cells are the main effector cells mediating antitumor responses in glioma [58], albeit they represent a minor component in the GBM TME (about 2% of immune-infiltrating cells). We showed that NK cells can mediate an anti-glioma immune response which is suppressed by gal-1 expression in glioma cells [59]. Tregs are also found in the GBM parenchyma, which have a potent immunosuppressive capacity against anti-glioma T-cells [60]. They can be recruited by GBM secreted factors including CCL22, CCL2 or indoleamine 2,3-dioxygenase 1 (IDO1) [61-63].

In conclusion, GBM TME is enriched with immunosuppressive factors that prevent effective antitumor immunotherapy. Therefore, counteracting glioma-mediated immune suppression is a prerequisite for the development of new and more effective immunotherapies for this devastating disease.

# 3- CURRENT (ACTIVE) PHASE-I/II CLINICAL TRIALS WITH IMMUNOTHERAPEUTIC APPROACH

This review was structured taking into account the principal immunotherapeutic approaches against glioma that are currently under Phase-I/II clinical trials (Table 1). We included the clinical trials that were found at <u>clinicaltrials.gov</u> using the key words: "<u>Condition or disease</u>: glioma"; "<u>Study type</u>: interventional studies (clinical trials)"; "<u>Status</u>: <u>Recruitment</u>: Not yet recruiting; Active, not recruiting; Recruiting"; "<u>Phase</u>: Phase 1; Phase 2". For "Other terms" we used the following words: "immune", "vaccines", "CART", "dendritic cell", "antibody", "virus", "PD1", "PDL1" and "CTLA4". Table 1 was updated in March 2020 and includes all the clinical trials found under those key words. Trials

were organized in 8 major categories: Immunosuppressive checkpoint inhibitors; Tumor associated antigens/Peptide Vaccines; Dendritic cell (DC) vaccines; Oncolytic virus; Immune Stimulatory Gene therapy; CAR T-cells; Antibody delivery; and Other immunotherapies. The therapies involving antibodies against immunosuppressive checkpoints were distinguished from "Antibody delivery" due to the large amount of clinical trials studying these agents. Finally, we have included a section dedicated to Nanotechnologies to highlight the advantages of this new method for the delivery of immune therapeutics.

# 3.1- IMMUNOSUPPRESSIVE CHECKPOINT INHIBITORS

The immune checkpoints are inhibitory surface proteins or receptors that trigger signals to maintain the homeostasis of the immune system and the tolerance to self-antigens. These signals regulate the durability of the immune response by limiting or inhibiting T-cell activation or by inducing T-cell exhaustion [64-66]. There are two main proteins or receptors extensively studied against which there are currently approved antibodies to be used in the clinical setting for different cancers: the programmed cell death (PD-1) and its ligand PD-L1, and the cytotoxic T-lymphocyte-associated antigen-4 (CTLA-4) [65, 67, 68]. These two pathways are non-redundant and differ spatially and temporally: whilst CTLA-4 signaling occurs in the lymph node during early T-cell activation, PD-1/PD-L1 signaling occurs in effector sites on upon T-cell activation through the T-cell receptor (TCR) [64, 65, 69]. The continuous PD-1/PD-L1 interaction and its effect on T-cells represents an immune adaptation that prevents auto-immune reactions due to chronic TCR stimulation. However, this pathway can be hijacked by tumor cells expressing PD-L1 as a mechanism of immune evasion, inhibiting anti-tumor T-cell mediated immune response [69, 70].

The goal of inhibiting the checkpoint pathways is to "release the brakes" of the immune system to enhance an anti-tumor immunity (Figure 1). PD-L1 expression on glioma cells and microglia has been

observed in 38 % of newly diagnosed GBM and its expression is upregulated when compared to LGG [68, 71, 72]. Currently, there are 39 Phase-I/II clinical trials testing the effectiveness of immune checkpoint inhibition in different types of glioma. The great majority of these are studying the effect of monoclonal antibodies targeting PD-1 (Nivolumab, Pembrolizumab or Cemiplimab) or PD-L1 (Durvalumab, Avelumab or Atezolizumab) used in combination with SOC therapies (NCT02530502, NCT02968940, NCT03743662, amongst others) (Table 1). Also, combinational approaches targeting both immune checkpoints are being assessed, in which SOC plus PD-1/PD-L1 in combination with CTLA-4 (Tremelimumab or Ipilimumab) blockade is being tested (NCT02311920, NCT02794883, NCT04145115 and NCT03233152) (Table 1). Moreover, combinational approaches targeting other checkpoint proteins are under evaluation, such as the use of an anti-PD-1 antibody (Nivolumab) plus an antibody against lymphocyte activation gene-3 (LAG-3) (Relatlimab) (NCT02658981) or an antibody against T-cell immunoglobulin and mucin domain-3 (TIM-3) (MBG453) (NCT03961971), other T-cell inhibiting receptors related to T-cell exhaustion, or the use of an anti-PD-1 antibody (Nivolumab) plus an inhibitor of IDO1 (BMS-986205) [73] (NCT04047706). In addition, there are six Phase-I/II clinical trial assessing the effectiveness of combining anti-PD-1 plus VEGF inhibition (NCT03743662, NCT02336165, NCT03890952, NCT03452579, NCT03722342 and NCT03797326), which is currently used in the clinical setting for recurrent GBM (rGBM) (Bevacizumab) (Table 1) [74].

Since the identification of the checkpoint proteins as possible anti-cancer targets, many preclinical studies provided promising results for the treatment of malignant glioma [26, 75-79]. Unfortunately, the use of Nivolumab has not shown an improved survival in patients suffering of rGBM compared to the treatment with Bevacizumab or in combination with an anti-CTLA-4 antibody (Ipilimumab) [80, 81], so today these approaches are being tested in combination with current SOC or other immuno-

stimulatory strategies, in different clinical settings [82]. The latest preclinical studies employing checkpoint inhibitors for GBM models tested the effectiveness of combined therapies such as, the use of anti-PD-1 plus an antibody against T-cell immunoreceptor with Ig and ITIM domains (TIGIT), another checkpoint inhibitory molecule [83], or the innovative triple-approach of inhibiting PD-1, stimulating OX40 receptor, while stimulating the immune system by whole tumor vaccination [84]. Furthermore, our lab demonstrated that the administration of anti-PD-L1 or anti-CTLA-4 antibodies with TK/Flt3L gene therapy (see Immune Stimulatory Gene Therapy section) improved MS and increased the number of long-term survivors in a GBM mouse model [26].

#### 3.2- TUMOR ASSOCIATED ANTIGENS/PEPTIDE VACCINES

Peptide vaccines are short peptides composed by an MHCI or MHCII epitope capable of triggering a tumor-specific immune response [85]. These peptides are based on tumor-associated antigens (TAA) or tumor-specific antigens (TSA). For instance, in a Phase-I trial, tumor cells obtained from surgical resection of malignant gliomas were treated with insulin-like growth factor receptor-1 antisense oligodeoxynucleotide (IGF-1R/AS ODN) to induce tumor cell apoptosis, and were then subcutaneously injected in the patient in combination with a slow diffusion chamber [86] to induce an immune response against the specific epitopes (NCT02507583) (Table 1).

Usually, single peptide vaccines are insufficient to yield antitumor efficacy due to the heterogeneity of antigen expression in GBM, leading to the loss of antigenic variants [87]. To overcome this, patients with rGBM are being treated with a multi-peptide vaccine composed of the epitopes of epidermal growth factor receptor variant III (EGFRvIII), interleukin-13 receptor alpha-2 (IL13Ralpha2), ephrin type A receptor 2 (EphA2), human epidermal growth factor receptor-2 (HER2/neu) and YKL-40 peptides [88-92] in combination with TLR3 agonist poly-ICLC and

VEGF-blocking antibody Bevacizumab in a Phase-II trial (NCT02754362) (Table 1). Moreover, advances in peptidomics have led to the development of more specific peptides for personalized therapy [93]. Neoantigens could derive from genomic alterations like fusion of genes, deletion or insertion, frame-shift mutations, single-nucleotide variants and structural variants [94, 95] specific for a particular tumor type. Currently, there are clinical trials for vaccines targeting the tumorspecific neo-antigen mutant IDH1 (IDH1R132H) using the peptide PIPIDH1M [96] in combination with GM-CSF, Montanide ISA 51 (oil-based adjuvant) and TMZ (NCT02193347) or using AMPLIFY-NEOVAC with anti-PD-L1 antibody Avelumab (NCT03893903) (Table 1) (PIPIDH1M and AMPLIFY-NEOVAC are both IDH1R132H-based peptide vaccines). In a clinical trial of newly diagnosed DIPG and other gliomas, 29 patients were treated with H3.3K27M epitope K27M (DIPG common TSA) vaccine [97] combined with tetanus/diphtheria toxoid and the TLR3 agonist poly-ICLC (NCT02960230) (results are pending). A multiple-epitope vaccine (NeoVax) uses personalized neo-antigens in the context of multiple HLA alleles combined with SOC [98]. This strategy was tested in a Phase-I/Ib study for patients with newly diagnosed GBM, showing an increase in the number of circulating neo-antigen-specific CD4+ and CD8+ T-cells [98]. Although this treatment leads to an increase in the infiltration of T-cells in the tumor, these cells exhibit an exhausted phenotype [98]. To overcome this issue, in a new study 46 participants are treated with NeoVax combined with SOC, and the anti-PD-1 antibody Pembrolizumab (NCT02287428) (Table 1). In addition to immunological checkpoint blockade [99, 100], peptide vaccines have been combined with other immune-stimulant strategies, such as agonistic antibody against co-stimulatory immune-checkpoint molecule CD27 Varlilumab [101], or CD4 and CD8 response inductor Montanide ISA 51 [102].

In the ongoing trials, peptide vaccines are administered in combination with SOC treatments. For instance, in a Phase-II trial, newly diagnosed GBM patients are being treated with SurVaxM peptide vaccine (SVN53-67/M57-KLH), that contains a synthetic peptide derived from the TAA survivin [103], in combination with Montanide ISA 51, GM-CSF (Sargramostin) [104] and TMZ (NCT02455557) (Table 1).

#### 3.3- DENDRITIC CELL VACCINES

Dendritic cells (DC) are APCs, which have the capacity to recognize pathogens, process them and present the antigens in the context of MHCI and II molecules in the lymph nodes to activate naïve and memory T-cells or NK T-cells [105]. DCs also regulate the immune response through the secretion of pro or anti-inflammatory cytokines [106]. Currently, DC vaccines (DCV) are generated by ex vivo differentiation of DC from autologous monocytes with a cocktail of cytokines [107]. There are a number of factors that affect the efficacy of the DCV: optimal maturation protocol, tumor antigen loading, the adjuvant used, route and frequency of vaccination, and the combination with other therapies [107-109]. Current trials are using different combinations to select the one that triggers the best immune response and overall survival (OS) with low toxicity (Figure 2). Usually, the tumor is lysed after surgical resection to obtain enough TAA to pulse DCs [110] (or to directly inject them as a vaccine to trigger a specific immune response against the tumor epitopes [111]) (NCT01635283) (Table 1). To overcome tumor heterogeneity and to use different antigens, total tumor RNA (TT-RNA) has been used to pulse DCs [112]. In this way, tumor autologous antigen mRNA can be generated to transfect DCs and promote the presentation of TSA [113]. Transfection of mRNA that expresses the human Cytomegalovirus (CMV) matrix protein pp65, which was shown to be highly expressed in GBM by several groups [114-116], fused with the lysosome-associated membrane protein (LAMP), improved presentation in the context of the MHCII molecule. In a small

trial, patients were treated with CMV-pp65-LAMP mRNA-loaded DCs in combination with GM-CSF and TMZ administration, which increased progression free survival (PFS) and OS, and upregulated IFNγ levels [117] (NCT00639639). In spite of these encouraging results, the presence of CMV DNA or proteins in glioma has been challenged recently, and its relevance as an oncomodulator is under reconsideration [118-120].

Other strategies use different sources to obtain tumor lysate and in an ongoing trial 10 DIPG patients were treated with autologous DCs that were pulsed with an allogeneic DIPG cell line (NCT02840123) [121] (Table 1).

Topical or intramuscular administration of TLR7/8 agonists Imiquimod (R837) or Resiquimod (R848) as adjuvants has shown an augmented immune response based on the presence of tumor-specific CD8+ T-cells [122-124] (NCT01808820; NCT01204684) (Table 1). In current trials, patients are treated with these adjuvants before and after receiving the DCV. The use of TLR3 agonist poly-ICLC as DCV adjuvant, with promising results in pancreatic cancer [125], is being tested in CNS tumor patients (NCT01204684) (Table 1). However, new studies suggest that TLR adjuvants could exert a pro-tumoral effect depending on the tumor and its TLR receptor repertoire [126]. On the other hand, it was observed that pre-treatment of the patients with tetanus/diphtheria toxoid greatly increase DCs migration to the lymph nodes in the context of host CCL3, improving tumor antigen presentation [127].

Two active trials use personalized mRNA pulsed DCV monotherapy in patients with newly diagnosed (PerCellVac) or recurrent (PerCellVac2) GBM to asses PFS, OS and antitumor antigen specific T-cell response (NCT02709616, NCT02808364) (Table 1).

Over the past 20 years, several clinical trials employed DCV for treatment of HGG [128]. In multiple cases, a significant increase in the PFS and OS was observed, whereas in other studies, no differences

compared to the historical controls were reported [128]. DCV therapy is currently combined with SOC for both newly diagnosed and rGBM. It has been observed that the time of administration of TMZ and DCV affects the outcome of the immune-stimulatory therapy [129]. TMZ in high doses induces lymphodepletion and evidence shows that while TMZ administration could enhance DC-therapy when co-administered with DCV [130, 131], TMZ administration post DCV application may hamper DC-induced anti-tumor immunity [129]. Lymphodepletion was induced prior vaccine administration in the BRAVO study for brain stem gliomas (NCT03396575). This therapy involves the reinjection of T-cells that are previously co-cultured with TT-RNA pulsed-DCs to "educate", expand and activate lymphocytes, plus TT-RNA DCV combined with tetanus/diphtheria toxoid and GM-CSF adjuvance [132]. Finally, blockade of VEGF with Bevacizumab [133] or immunosuppressive molecules, such as PD-1 with Nivolumab [134], are used in combination with SOC and DCV in ongoing clinical trials (NCT02010606, NCT02529072) (Table 1).

#### 3.4- ONCOLYTIC VIRUS

Oncolytic viral therapy combines tumor-specific cell lysis with immune stimulation. These viruses selectively replicate in tumor cells inducing killing and exposing cancer cell antigens to immune effector cells for activation [135-137]. In addition, oncolytic viruses (OV) have been genetically engineered to express therapeutic transgenes to further boost antitumor immunity [138].

Among the wide range of studied viruses, only one wild-type virus, the reovirus, is under clinical investigation. Marketed as Reolysin, oncolytic reovirus has been tested for many cancers although with small benefits reported in GBM patients (NCT00528684) [139-141]. A dose escalation Phase-I trial is currently studying the combination of intravenously (i.v.) administrated Reolysin and subcutaneous administrated Sargramostim (GM-CSF), in patients with recurrent HGG (NCT02444546) (Table 1).

Herpes virus simplex 1 (HSV-1) was the first genetically engineered OV to treat brain tumors [142] and there are currently four types in clinical trial. G207 was well tolerated without evidence of encephalitis in three Phase-I studies in adults with rGBM and induced antitumor activity [143-145]. Currently, two ongoing Phase-I trials are testing the intratumoral infusion of G207 alone or in combination with radiation in pediatric patients (NCT03911388, NCT02457845) (Table 1). A second generation oHSV G207-based that expresses human IL-12 (M032; NCT02062827) (Table 1) is being examined in a Phase-I trial for patients with recurrent or progressive glioma. Two more types of oHSV are in clinical trials for rGBM: rQNestin34.5v.2 (NCT03152318), engineered to improve tumor cell specific targeting [146], and C134 (NCT03657576), engineered to enhance viral replication without increasing neurovirulence [147] (Table 1).

The replication-competent adenovirus DNX-240, marketed as Tasadenoturev, was generated to restrict the viral replication to cells with retinoblastoma pathway deficiency [148, 149]. DNX-240 was first studied in a double-arm Phase-I trial to treat patients with rGBM, reporting 20% of patients surviving more than 3 years and 3 complete responders (NCT00805376) [150]. In a second study, addition of IFN-γ expression did not improve patient's survival compared to the monotherapy (TARGET-I; NCT02197169). However, the combination of intratumoral DNX-2401 with Pembrolizumab, is currently under evaluation in a Phase-II trial for rGBM (CAPTIVE, NCT02798406) (Table 1). Further, a Phase-I is testing the stereotactic injection of a DNX-2401-based adenovirus expressing OX40 ligand in patients with rGBM (DNX-2440, NCT03714334). Another strategy involves the delivery of neural stem cells transduced with OV Ad5-DNX-2041 or NSC-CRAd-Survivin-pk7 in patients with rGBM and newly diagnosed malignant gliomas respectively (NCT03896568, NCT03072134) (Table 1). A Phase-I trial has expanded the evaluation of DNX-2204 in pediatric patients with DIPG (NCT03178032) (Table 1).

Several studies have shown the therapeutic potential of PVSRIPO, a live attenuated poliovirus type-1 [151]. PVSRIPO tropism towards CD155, highly expressed in tumor cells and APCs, enables infected tumor cell cytotoxicity and stimulation of an inflammatory response [152-154]. Currently a Phase-II, randomized trial is testing PVSRIPO alone or in combination with single-cycle lomustine (NCT02986178) and a Phase-Ib/II trial is studying PVSRIPO in combination with the anti-PDL1 antibody Atezolizumab (NCT03973879), both in patients with rGBM (Table 1). Finally, a third PVSRIPO-based therapy is ongoing for pediatric patients with rGBM (NCT03043391) (Table 1). Collectively, the successful accrual of these trials will demonstrate whether improved safety, tumor specificity and efficacy of OVs alone or in combination with other therapies can be translated into the clinic arena.

#### 3.5- IMMUNE STIMULATORY GENE THERAPY

Immune stimulatory gene therapy (GT) enables the local administration of non-replicative recombinant viral vectors expressing immune activators to enhance the antitumor immune response.

Many studies have evaluated the efficacy of local overexpression of pro-inflammatory cytokines such as IL-12, a cytokine endogenously produced by APCs that plays a critical role in the adaptive type 1 cell-mediated immunity [155]. Despite encouraging results in murine models, Phase-I studies of systemic administration of recombinant human IL-12 in patients with advanced malignancies were discontinued due to the poor tolerability [156, 157]. Therefore, a novel approach was developed using adenoviral vectors expressing a regulated human IL-12. This system is controlled through the RheoSwitch Therapeutic System® gene switch (Ad-RTS-hIL-12) under regulation of an oral activator ligand, veledimexin (VDX) [158]. In an open label Phase-I trial, the intratumoral delivery of Ad-RTS-hIL-12 was reported to stimulate tumor-specific T-cell responses with a reduced systemic toxicity in patients with rGBM (NCT02026271) (Table 1). At 12 months, the survival rates of

patients who received the preferred dosing regimen of hIL-12 with VDX and low-dose steroids, compared favorably to historical controls [159]. However, the apparent deleterious impact of the corticosteroids, when dosed with VDX, expanded the trial to a Phase-I sub-study that is evaluating this controlled hIL-12 platform as a monotherapy (NCT03679754) (Table 1). In a separate Phase-I trial, the Ad-RTS-Hil-12/VDX system is being tested in combination with Nivolumab (NCT03636477) (Table 1). Further, a Phase-II trial will study the inducible hIL-12 in combination with PD-1 antibody Libtayo (Cemiplimab-rwlc: NCT04006119) (Table 1). A Phase-I trial has expanded the evaluation of the Ad-RTS-Hil-12/VDX therapy in pediatric patients with DIPG (NCT03330197) (Table 1).

On another approach, the local administration within the resection cavity of recombinant adenoviral vectors encoding the Fms-like tyrosine kinase 3 ligand (Ad-Flt3L) was shown by our laboratory to recruit DCs within the brain parenchyma, thus improving the brain's immune surveillance and triggering an anti-GBM immune response [160-162]. To enhance the antitumor immune response, this immune-stimulatory approach was combined with adenovirus expressing a conditional cytotoxic herpes simplex type 1 thymidine kinase (Ad-TK) in the presence of the prodrug Ganciclovir (GCV) [163-166]. Preclinical results proved that the Ad-Flt3L/Ad-TK (+GCV) treatment is safe and showed an increase in the survival of tumor-bearing animals, inducing long-term immunological memory through a HMGB1-mediated activation of the TLR2 signaling [163, 164, 167-172]. Results from a dose escalation safety study in patients with primary GBM are expected by the end of 2020 (NCT01811992) (Table 1). Also, early in 2021, this approach is going to be tested in combination with anti-PD-1 immune checkpoint inhibition therapy.

The Ad-TK mediated suicide GT has been also tested in combination with SOC [173]. However, encouraging results from a multi-institutional Phase-II study (NCT00589875) contrasted with

negative results from a Phase-III randomized open-label trial with a similar approach (NCT00870181) [173, 174]. A Phase-I trial is currently evaluating the intratumoral delivery of Ad-TK and oral administration of the prodrug Valacyclovir coupled with SOC and the checkpoint inhibitor Nivolumab in newly diagnosed patients with HGG (NCT03576612).

#### 3.6- CAR T-CELLS

The adoptive cellular therapy of chimeric antigen receptor (CAR) T-cells is based on the reprograming of the patient's cytotoxic T-cells to express recombinant surface molecules that combine the antigen-recognizing variable region of an antibody in tandem with intracellular T-cell signaling domains [175, 176]. CARs are composed of a B-cell receptor derived extracellular antibody single-chain variable fragment, a T-cell receptor (TCR) derived CD3ζ domain, and intracellular co-stimulatory fractions [177, 178]. This structure allows CAR T-cells to target specific antigens independently of HLA expression, downregulation of which is a common strategy of immune evasion by tumors [178]. When the CAR recognizes a tumor associated antigen, it induces T-cell activation, resulting in the tumor lysis via direct cytotoxic T-cell-tumor cell interactions and cytokine release [176].

There are currently two CAR T-cell based therapies approved by the FDA for hematologic malignancies [179, 180]. However, treating solid tumors, and specially gliomas, with this therapy might be more challenging due to the presence of an immunosuppressive TME [54, 181].

Currently, there are 17 clinical trials on Phase-I/II testing the effectiveness of CAR T-cells in glioma. Predominantly, these T-cells were modified to express a CAR to recognize TAA, such as IL-13Rα2 (NCT02208362 and NCT04003649), HER2 (NCT03389230, NCT03383978 and NCT03500991) or EGFRvIII (NCT02664363, NCT03726515, NCT03941626, amongst others) (Table 1) [182-184]. In addition to these antigens, today there are Phase-I/II clinical trials evaluating CAR T-cells which target other three TSA: disialoganglioside GD2 for DMG [185], B7-H3 (CD276) for recurrent and refractory

GBM [186, 187] and EphA2 for malignant gliomas [188, 189]. Amongst these trials, only one is assessing the effect of CAR T-cell with concomitant SOC (NCT04077866), whilst the others are assessing CAR T-cell therapy in refractory and recurrent malignant glioma (Table 1).

While these approaches have shown promising results in preclinical studies [190-195], their translation to the clinical setting has yielded less conclusive outcomes. The available results published for the finished clinical trial evaluating IL-13Rα2-, EGFRvIII- or HER2-CAR T-cells in patients with GBM or recurrent/progressive GBM demonstrated the safety and low toxicity of CAR T-cell administration, evidence of cell trafficking into the brain when administered I.V., and transient anti-glioma responses [182, 184, 196-198]. However, no consistent and lasting response has been observed so far for GBM and for other solid tumors in general [182, 199].

The clinical development of CAR T-cell therapy for brain tumors has just started and preclinical and clinical data are encouraging in terms of feasibility and safety [182, 199, 200]. Treating brain tumors with CAR T-cell based therapies is challenging because of their anatomic location, the intrinsic immunosuppressive TME, and the tumor heterogeneity [200]. Also, the fact that they are solid tumors is another obstacle for this therapy, since cell trafficking into the tumor is hindered and, unlike hematological malignancies, they usually lack one specific tumor antigen to target [199]. To address these issues, many approaches are being employed. The route of delivery for CAR T-cells is a key factor and, even though i.v. administration was successful in trafficking cells to the brain tumor mass, locoregional administration seems to be a more effective and safer way to deliver them [196, 201-204]. To overcome the immunosuppressive environment, there are several strategies being evaluated in the preclinical and clinical setting. One of these is administrating CAR T-cells in combination with checkpoint inhibitors. Currently, there are two Phase-I/II clinical trials studying the combination of CAR T-cells with an antibody against PD-1 (NCT03726515) or with both anti-PD-1 and CTLA-4

antibodies (NCT04003649) (Table 1). Another strategy in preclinical development is the disruption of PD-1 gene (*PDCD1*) by CRISPR-Cas9 technology in the CAR T-cells [205]. Moreover, CAR T-cells have been engineered to secrete pro-inflammatory cytokines to stimulate T-cell function and proliferation [206]. Last but not least, tumor heterogeneity is a key aspect to tackle. In preclinical and clinical studies for CAR T-cells against different TAA, it has been observed the relapse of GBMs with no or low expression of that specific antigen, highlighting the importance of considering the heterogeneous antigen expression in this type of tumor to avoid antigen escape [196, 197, 206, 207]. A strategy to address this issue is to use CAR T-cells to target more than one antigen. This could be achieved by administering different mono-specific CAR T-cells, by engineering CAR T-cells expressing CARs specific for different antigens or by the design of CAR molecules targeting more than one antigen [208-210].

#### 3.7- ANTIBODY DELIVERY

Antibody delivery is a type of "passive immunotherapy" in which the immune system of the patient is not involved in the initiation of the immune response but rather acts as a consequence of the administration of immune factors, such as cytokines or antibodies. The outcomes of the passive immunotherapies are temporally dependent on the administration of the treatment and usually do not induce immunological memory. Antineoplastic antibody delivery therapy usually relies on the administration of monoclonal antibodies specific for an antigen that would recruit phagocytes and activate the complement system to destroy the tumor cells [211, 212]. Also, they could be used to disrupt a signaling pathway or as a way to deliver localized radiation (radiolabeled antibodies) or a toxic agent [211, 212].

Currently, there are 32 Phase-I/II clinical trial testing monoclonal antibodies with or without current SOC in both recurrent and newly diagnosed malignant gliomas. Sixteen of these trials are studying the efficiency of an anti-VEGF antibody (Bevacizumab), which has already been approved in 2009 by the FDA for its use in rGBM in the USA [213-215], but not in the primary setting since no benefit on the OS was observed in two separate controlled studies [216, 217]. VEGF is a key pro-angiogenic factor that stimulates the proliferation, invasion and migration of endothelial cells [218], is overexpressed by tumor cells in GBM [219] and negatively correlates with prognosis [218, 219]. In spite of the FDA approval of the anti-VEGF therapy, there is no consensus for the SOC for patients at first GBM recurrence and this is why different combinations are currently being tested in clinical trials. The clinical advantage of Bevacizumab is limited if not scarce and its benefit compared to the use of other common therapies is still controversial [213-215]. The use of Bevacizumab in the pediatric population for newly diagnosed HGG was also evaluated, plus SOC. Results indicated no improvement in event free survival and OS after the addition of Bevacizumab to the current SOC [220]. Although clinicians were motivated at the beginning by the superior radiographic response from Bevacizumab trials on rGBM, the lack of OS improvement raised the question if this drug is actually acting as an antineoplastic agent or if it is just normalizing the blood vessel density in the tumor, decreasing the penetration of gadolinium and thus, decreasing the volume of contrast enhancement in magnetic resonance imaging [211, 212]. Either way, it is still necessary to analyze the results of the ongoing clinical trial using Bevacizumab with different SOC combinations to conclusively determine the usefulness of this antibody therapy.

Another strategy to target HGG is through the use of antibodies against tumor-specific or -associated antigens. The amplification or mutation of EGFR gene is the most frequent genetic alteration in GBM, present in 40-60 % of the tumors [221]. Even though promising results in the preclinical setting [211,

222-224], today no agent targeting EGFR or EGFRvIII has been approved by the FDA for its use in GBM [221]. Currently, there are 8 Phase-I/II clinical trial testing the use of antibodies against EGFR, EGFRvIII or both for recurrent and newly diagnosed GBM (NCT02540161, NCT02573324, NCT02590263, NCT03620032, NCT02303678, NCT02800486, NCT04160494 and NCT03618667) (Table 1). These trials usually involve the use of anti-EGFR/EGFRvIII therapy plus SOC. The use of these antibodies showed acceptable safety and pharmacokinetic profile in GBM [225], however, in many cases clinical trials have failed to demonstrate the desired results [221]. It is possible that the use of a therapy targeting a single antigen is not ideal in these tumors, as they are highly heterogeneous. Specifically, EGFR and EGFRvIII expression is heterogeneous in GBM and currently its importance as an anti-tumor target is being debated [226]. Other monoclonal antibodies being tested in Phase-I/II clinical trials target other TAA, such as EphA3 or GD2, or are designed to stimulate the immune response by their binding to immune stimulatory domains (NCT03374943 and NCT00445965).

Antibody therapy faces the same challenges that many of the immunotherapies against glioma. One of those is the BBB [227], for which different strategies are under study. For instance, antibodies have been conjugated to cell-penetrating peptides, that facilitate the BBB crossing through the negatively charged membrane of the endothelial cells [227, 228] or stem cells have been used for the *in vivo* antibody production and delivery [227, 229]. Another strategy under preclinical development to improve antibody's efficacy is the use of bispecific antibodies (bsAbs), which recognize two different epitopes. For example, bsAbs targeting Agn-2 and TSPO or Ang-2 and VEGF extended the survival of murine GBM models, while stimulating the immune anti-tumor response [230, 231]. A special type of bsAbs are the BiTEs, bispecific antibodies that link a TSA with a co-stimulatory molecule on a T-cell, establishing immunological synapses [227], such as BiTEs targeting EGRFvIII and the T-cell activation ligand CD3 [232, 233].

#### 3.8- OTHER IMMUNOTHERAPIES

#### 3.8-1. IDO1 INHIBITION

IDO1 induces immunosuppression by tryptophan degradation [234], which eventually leads to T-cell killing and Tregs recruitment [235]. In a healthy human brain, *IDO1* expression is negligible [236]. Conversely, it is upregulated in 90% of GBM [237] and its expression correlates with aggressiveness [238]. Like other inhibitors, IDO1 inhibitors did not show significant antitumor efficacy when administered as a monotherapy. However, today there are clinical trials studying the efficacy of IDO1 inhibition with SOC in different clinical settings (NCT03532295, NCT02502708 and NCT04049669) (Table 1). Also, the efficacy of IDO1 inhibitor (INCB024360) in combination with Nivolumab, Anti-GITR Monoclonal Antibody (MK-4166) and Ipilimumab in patients with rGBM (NCT03707457) is being tested (Table 1). These trials will soon yield valuable information on the safest and most efficacious approaches for the application of this therapy.

## 3.8-2. ANGIOGENESIS INHIBITION AND INDUCTION OF IFNY

Pomalidomide is an anti-angiogenic and immunomodulatory compound [239]. Pomalidomide promotes T-cell-mediated antitumor immunity by inhibiting the expression of PD-L1 [240] and by inducing the expression of IFNy and IL-2 [241]. In 2015, a Phase-I clinical trial using Pomalidomide was opened to treat young patients showing recurrent, progressive, or refractory CNS tumors (NCT02415153) (Table 1). Also, another Phase-II trial using Pomalidomide (CC-4047) monotherapy for the treatment of recurrent or progressive primary brain tumors in children and young patients (NCT03257631) was started in 2017 (Table 1).

#### 4. NANOTECHNOLOGIES

The therapeutic challenges for GBM associated to the presence of the BBB, which precludes readily permeation of chemotherapeutics into the brain parenchyma [242]; the tumor heterogeneity, which makes targeting single pathways ineffective [7]; and the tumor invasiveness and relapse [19, 243] are being tackled by the development of more efficient delivery methods. Nanoparticles (NPs) are emerging as a promising therapeutic approach to enhance the efficacy of glioma immunotherapy. Formulations based on nanotechnology have been developed to non-invasively deliver immunomodulatory agents to the tumor site [244, 245] while avoiding immunogenicity and off-target side effects [246-252]. NPs with an optimal size for lymphatic trafficking (10-100nm) facilitate target cellular uptake of the immunomodulatory agent, increase the drug bioavailability at the tumor site while reducing the drug dosing frequency [245]. Biomaterials such as albumin, liposomes, and lipoproteins are utilized to engineer NPs [246-251], which enable the encapsulation of both hydrophilic and hydrophobic therapeutic agents, and protect them from biochemical degradation [246-251].

We have recently demonstrated that local treatment of glioma with sHDL-mimicking nanodiscs containing ApoAI mimetic peptide, phospholipids, immunogenic cell death inducing chemotherapeutic (ICD) agent docetaxel, and adjuvant CpG oligodeoxynucleotide effectively elicit anti-tumor T cell activity and induce immunological memory response against tumor relapse [253]. Local drug delivery at the time of surgery allows for the treatment of residual tumor cells in the surgical cavity, prolonging the period to recurrence due to strong anti-glioma immunological memory response prompted by this NP-mediated therapy. Whether sHDL-mimicking nanodiscs loaded with ICD agent and adjuvant CpG can achieve a survival benefit in the clinic remains to be seen.

Nanovaccines based on superparamagnetic iron oxide (SPIO) NPs provide another novel approach to induce immunomodulatory anti-glioma response [254]. A preclinical study demonstrated that vaccine formulation containing SPIONPs encapsulated with heat shock protein 70, which induces anti-tumor immune response, improved antigen loading into the dendritic cells [254]. Treatment of glioma bearing mice with these SPIONPs inhibited glioma growth and elicited robust anti-glioma immune response. These data indicate that NP based vaccines could have a great potential for clinical translation. In addition, our team recently demonstrated that sHDL-mimicking nanodiscs serve as an efficient delivery platform targeted to lymphoid tissues [248, 255, 256]. Using this system, we have shown that neoantigens, which are tumor-specific antigens identified from mutated tumor cells, can be identified from GBM and used in conjunction with nanodiscs to generate potent T-cell responses against GBM (manuscript under review). Specifically, nanodiscs delivering GBM neoantigens combined with anti-PDL1 immune checkpoint blockade resulted in a significant increase in median survival and complete tumor regression in 93% and 33% of mice bearing GBM at flank and orthotopic sites, respectively, thus demonstrating a general strategy for personalized cancer immunotherapy [257].

By the modification of the NPs with various coating materials, efficient delivery of molecules can be achieved [258, 259]. One such modification, tumor-penetrating peptide, iRGD has been shown to facilitate the NP transport and CNS penetration [260-262]. We recently demonstrated that albumin NPs loaded with siRNA against signal and transducer of activation 3 (STAT3) transcription factor (which inhibits immune functions upon activation), and iRGD penetrate the BBB and that, when administered in combination with SOC, extend MS of mice bearing glioma and elicit robust antiglioma immune response [262].

Other peptide modifications on nanoplatforms have been explored to minimize off target accumulation and facilitate active targeting or mediate BBB transport. Interleukin 13 (IL-13) receptor, IL-13 Rα2, is overexpressed on glioma cells, and has therefore become an attractive receptor target for peptide-modified nanotherapies [263]. This high affinity receptor is an advantageous target due to its decoy-like characteristics without causing downstream signaling activation and its low affinity towards unaffected brain tissue [264, 265]. Madhankumar A.B. *et al.* demonstrated IL-13-conjugated liposomes showed enhanced efficacy in a subcutaneous mouse model for glioma [263]. Gao H. *et al.* conjugated IL-13 to NPs which resulted in increased cellular uptake via endocytosis, higher internalization, and improved localization to the tumor site in an orthotopic glioma mouse model [266].

The transferrin receptor (TfR) has been extensively researched as a target for various CNS diseases including gliomas because TfR is overexpressed on brain capillary endothelial cells and glioma cells [267]. It also facilitates transport across the BBB through TfR-mediated transcytosis. Despite exploiting the use of TfR as a target for decades, translation of systems leveraging these findings has been limited [268]. Epidermal growth factor receptor (EGFR), a receptor that is highly expressed in various cancers, is another target that has been of interest for nanotherapies [269]. The seven-peptide (sequenced HAIYPRH, T7), which has greater affinity for TfR, has been used for glioma targeting to deliver siRNA [270], coupled with other targeting ligands to demonstrate increased transport across the BBB and greater tumor penetration [271].

Although targeting strategies through peptide conjugation can improve the delivery of therapeutic agents in NPs, they are still not sufficient to effectively promote drug delivery to brain tumors. Other design approaches have focused on modulating the size, morphology, surface charge, composition,

pH and coupling these design parameters to maximize therapeutic efficacy, transport across the BBB, control circulation time, reduce toxicity, and modify the biodistribution.

As multidrug resistance and toxicity become evident challenges in glioma treatment, designing combination therapy delivery systems within nanoparticles is necessary. Combination therapy (CT) is a therapeutic dosing strategy where two or more drugs are combined. The motivation to potentially slow drug resistance, make therapeutic effect stronger via synergism, and maintain a therapeutic effect using lower doses, thus reducing toxicity and off target effects [272]. Effects of CT can be categorized as synergistic, enhancing, antagonistic, or additive. However, without a universal definition of synergism, it has been challenging to evaluate synergism claims and thus has further complicated FDA approval, grants applications, and ultimately advancing CT approaches [273]. Benefits of CT in nanoplatforms include delivering hydrophobic and hydrophilic drugs in one system, controlling release of one agent to sensitize the other, slowing down multidrug resistance, improving therapeutic effects while reducing toxicity, among others [274]. Though combining multiple drugs isotropically mixed throughout a carrier particle can be done to achieve benefits of CT, creating multicompartmental nanoparticles may be advantageous, because it can overcome critical formulation challenges (i.e., incompatible solvent systems, drug interactions), while expanding the design capabilities and maximizing therapeutic outcomes [274]. Leveraging multicompartmental carries can not only incorporate this solubility advantage but facilitate implementing other drugs regardless of their solubility compatibility. Liposomes have been used to incorporate hydrophobic drugs in the lipid envelope and hydrophilic drugs in the lipid envelope to produce a single carrier system. Similarly, bicompartmental nanoparticles can be used to deliver different drugs with independent release kinetics. Figure 3 shows a bicompartmental polymeric nanoparticle composed of polylactide-co-glycolide (PLGA) in one compartment and a mixture of PLGA and

acetal-modified dextran in the second. In this example, the acetal-modified dextran PLGA compartment was pH-responsive and could thus be used to release irinotecan, a cancer therapeutic, in an acidic pH microenvironment [275]. Thus, these act as pH responsive carriers, enabling drug release at optimal pH conditions.

Another motive of such multicompartmental systems is to tune the pharmacokinetics of each section individually. Although a free drug combination may achieve synergism, the release kinetics of the drugs in the NP must be considered to ensure the ratio that achieved that synergism is maintained at the tumor site. Tuning the release is also a consideration in the delivery of sensitizing agents prior to cytotoxic drugs. Chemosensitizers such as verapamil, elacidar and tariquidar have been used to sensitize doxorubicin and paclitaxel and can be used to overcome MDR [274]. Guo L. *et al.* synthesized Tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) liposomes (TRAIL-LP) and doxorubicin-loaded liposomes (DOX-LP). DOX-LP sensitized TRAIL-LPs and therefore improved the therapeutic effect [276].

Among the advantages, NPs can be tailored for drug loading and protection; their surface characteristics (size, shape and surface charge) can be exploited for extending the half-life in circulation, and they can be precisely biofunctionalized with specific targeting ligand for drug accumulation at the tumor site. In summary, NPs are an attractive, less-invasive, drug-delivery carrier for glioma immunotherapeutics, capable of overcoming the current challenges encountered by traditional therapeutic approaches.

#### 5. CONCLUSION

Immunotherapy has become a revolution for cancer treatment for its outstanding outcomes in several types of malignancies. Applying these modalities to treat malignant glioma in the clinical setting is

challenging, as demonstrated by the lack of long-lasting improvements in patient survival. However, it is important to learn from the failures to find the best treatment combination to eradicate these tumors and generate anti-tumor immunological memory. We hope that this review will help neuro-oncologists, neurosurgeons, the scientific community and the patients to become aware of the diversity of therapies under study in the glioma field and which are the obstacles that we need to tackle.

#### 6. EXPERT OPINION

In the last decade, we have experienced an expansion in the immune-based anti-cancer therapy strategies, and many of those innovations have been approved for the treatment of different neoplasms in the clinical setting [65, 184, 277]. In the glioma field, many efforts have been devoted to the development of therapies aimed to harness the immune system potential to direct it against brain tumors and extensive preclinical data investigating different immunotherapeutic modalities yielded encouraging outcomes [27]. It is striking to observe how complex and sophisticated these therapies have become in order to be as specific and powerful as possible. Several Phase-I/II clinical trials have demonstrated safety and feasibility for the administration of immunotherapies in combination with SOC [71, 278]. Unfortunately, the outcomes of these trials have not yielded consistent results for primary brain tumors, highlighting the need of research models that better depict the human disease [73]. Even though these pitfalls, there are still many other alternatives under development in the preclinical setting and under evaluation in ongoing clinical trials [73, 277]. There are several characteristics intrinsic to brain tumors that make them particularly difficult to target by the immune system. For instance, the presence of the BBB, the immunosuppressive TME, the low mutational burden and the antigen heterogeneity [278]. However, the evidence that patients

with disorders related to the hyperactivation of the immune response, such as allergies, had a lower risk of suffering glioma [279], evidenced that the immune system plays a role in the development of this disease and that pursuing the objective of directing it to fight brain cancer is a path worth taking. Lately, the use of CAR T-cells for glioma treatment has become an exciting idea in the neuro-oncology community and many efforts are being put to obtain the best CAR T-cell. For example, an alternative recently presented by Choi BD *et al.*, is the use of CAR T-cells secreting BiTEs. In an elegant study, they used T-cells expressing a CAR specific for EGFRvIII and BiTEs against EGFR. They could confirm that the secretion of EGFR-BiTEs by the EGFRvIII-CAR T-cells avoided antigen escape observed previously with monospecific EGFRvIII-CAR T-cells alone and eliminated the tumors in models of heterogeneous glioma, expressing both EGFRvIII and EGFR [204]. The clinical relevance of CAR T-cells expressing BiTEs still needs to be evaluated.

Undoubtedly, combinational therapies constitute the best approach to treat malignant glioma. Considering the large amount of immune-based therapies developed, the numerous possible targets, the current SOC, and the many possible timings and routes for drug administration, the number of potential combinations has increased exponentially. Several combinatorial approaches are today under study in clinical trials, not only integrating immunotherapies with SOC but also with other immune-stimulant agents. Currently, there is no consensus on which is the best combination or the ideal timing for drug administration. Recently, results from a clinical trial in which Pembrolizumab (anti PD-L1) was administered before or after surgery resection of the tumor demonstrated the importance of the selection of the starting point for the treatment. Patients who received the anti-PD-L1 as neoadjuvant (before surgery) lived as twice as long as the patients treated with the same drug as adjuvant (after surgery) and the infiltration of activated T-cells into the tumor was demonstrated in the former group [82]. Also, uncovering the interactions between SOC and new drugs is crucial to

decide how and when treat a patient and prevent misleading results in clinical trials [71]. For example, while lymphopenia, a common consequence after chemoradiation treatment for malignant glioma, is a disadvantage for the application of cancer vaccines, it could represent a favorable context for the treatment with adoptive cell therapies, such as CAR T-cells or DCV [71]. Thus, it is crucial to keep track of the results of the latest trials studying different treatment variants to improve patient selection, to prevent random testing and to build collaborative guidelines for the treatment of glioma.

Moreover, as drug penetration in the brain is an issue for GBM treatment, different ways of administering these agents are being assessed and, so far, intracranial delivery, though invasive, has demonstrated to be the most efficient in several approaches. However, the development of less invasive methods of administration with brain or tumor homing characteristics has given encouraging results in the pre-clinical setting lately [251]. Nanoparticles have emerged as a new and safe method for the delivery of agents targeting brain tumors and preclinical results are encouraging [253]. For example, nanoparticles injected i.v. composed of albumin, a siRNA against STAT3 and the tumor penetrating peptide iRGD, showed effective brain tumor delivery and a significant survival benefit in an aggressive glioma model [262]. It would be interesting to test the efficacy of these particles for the delivery of immune-stimulatory agents in the clinical setting.

In addition to the progress made in the field of immunotherapeutic approaches, more sophisticated imaging systems for brain surgery and more accurate radiotherapy techniques are being developed, which would improve current SOC efficacy, reducing the morbidity and clinical deterioration associated to these therapies [280]. For example, there was found a correlation between hyperfractionated radiation and TMZ administration with CD4+ T-cell depletion in GBM patients, indicating immunosuppression [281]. This immunosuppressed state also correlated with worse

prognosis [281]. Probably, the application of immune-stimulatory agents in an improved clinical setting might show an enhanced synergistic effect for the combinational approach with SOC.

Moreover, it is highly important to continue with the efforts to develop models that more faithfully recapitulate GBM features, in order to be able to predict more accurately the outcomes in the clinical setting. Finally, it would be necessary to find biomarkers that will help the neuro-oncologists and neurosurgeons to better select patients for clinical trials and to monitor the efficacy of the treatment or tumor progression.

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## **ANNOTATIONS**

\* Ostrom QT, Gittleman H, Truitt G et al. CBTRUS statistical report: primary brain and other central nervous system tumors diagnosed in the United States in 2011–2015. Neuro-oncology 2018; 20:iv1-iv86.

Thorough and comprehensive summary of the epidemiology of primary brain and other central nervous system (CNS) tumors in the United States (US) population from 2011 to 2015. This study includes malignant and non-malignant CNS tumors.

\* Louis DN, Perry A, Reifenberger G et al. The 2016 World Health Organization Classification of Tumors of the Central Nervous System: a summary. Acta Neuropathologica 2016; 131:803-820.

The latest World Health Organization classification of tumors of the CNS, using molecular parameters for the first time, in addition to histology, to define many tumor entities.

\*\* Verhaak RG. Moving the needle: Optimizing classification for glioma. Science translational medicine 2016; 8:350fs314.

Easy-to-follow guidelines for the classification of gliomas taking into account molecular data.

\*\* Mackay A, Burford A, Carvalho D et al. Integrated molecular meta-analysis of 1,000 pediatric high-grade and diffuse intrinsic pontine glioma. Cancer cell 2017; 32:520-537. e525.

Comprehensive work on the specific molecular characteristics and classification of pediatric HGG.

\* Engelhardt B, Vajkoczy P, Weller RO. The movers and shakers in immune privilege of the CNS. Nat Immunol 2017; 18:123-131.

Comprehensive review that summarizes the current knowledge on the cellular and molecular mechanisms involved in immune-cell trafficking and lymphatic drainage from the CNS, emphasizing the fact that understanding immune privilege of the CNS requires intimate knowledge of its unique anatomy.

\*\* Negi N, Das BK. CNS: Not an immunoprivilaged site anymore but a virtual secondary lymphoid organ. International reviews of immunology 2018; 37:57-68.

This concise review depicts the current view of the interactions between the immune system and the CNS.

\* Quail DF, Joyce JA. The Microenvironmental Landscape of Brain Tumors. Cancer Cell 2017; 31:326-341.

Review discussing the brain tumor microenvironment features, including brain-resident cell types, the blood-brain barrier, and various aspects of its immune-suppressive environment.

\*\* Young JS, Dayani F, Morshed RA et al. Immunotherapy for high grade gliomas: a clinical update and practical considerations for neurosurgeons. World neurosurgery 2019.

This review summarizes the immuno-therapy strategies for high-grade gliomas in completed and ongoing trials until April 2019 and includes recommendations for their practical application in the clinical setting.

## **ABREVIATIONS**

BBB Blood-Brain Barrier

CAR Chimeric Antigen Receptor
CNS Central Nervous System

CCNU Lomustine

CT Combination Therapy

DC Dendritic Cell

DCV Dendritic Cell Vaccines
DMG Diffuse Midline Glioma

FDA Food And Drug Administration

GBM Glioblastoma

HMGB1 High Mobility Group Box 1

i.v. Intravenously

IDO1 Indoleamine 2,3-Dioxygenase 1

MS Median Survival
NPs Nanoparticles
OS Overall Survival
OV Oncolytic Virus

PFS Progression Free Survival rGBM Recurrent Glioblastoma SOC Standard Of Care

TAA Tumor-Associated Antigen

TCR T-Cell Receptor

TME Tumor Microenvironment

TMZ Temozolomide

TSA Tumor-Specific Antigen
TT-RNA Total Tumor RNA

VDX Veledimexin

VEGF Vascular Endothelial Growth Factor

Type of immunotherap	NCT	Title	Status	Phase	URL
y	NCI	riue	Status	Pilase	UKL
TUMOR		Antisense102: Pilot			https://ClinicalTri
ASSOCIATED		Immunotherapy for Newly			als.gov/show/NC
ANTIGENS/PE	NCT02507583	Diagnosed Malignant Glioma	Active, not recruiting	Phase-I	T02507583



•	-			-	
PTIDE		A Toll-like Receptor Agonist as			
VACCINES		an Adjuvant to Tumor Associated			
		Antigens (TAA) Mixed With			
		Montanide ISA-51 VG With			https://ClinicalTri
		Bevacizumab for Patients With			als.gov/show/NC
	NCT02754362	Recurrent Glioblastoma	Active, not recruiting	Phase-II	T02754362
		SurVaxM Vaccine Therapy and			
		Temozolomide in Treating			https://ClinicalTri
		Patients With Newly Diagnosed		l	als.gov/show/NC
	NCT02455557	Glioblastoma	Active, not recruiting	Phase-II	T02455557
		Personalized NeoAntigen Cancer			
		Vaccine w RT Plus			
		Pembrolizumab for Patients With			https://ClinicalTri
	NOT0000 100	MGMT Unmethylated, Newly		-	als.gov/show/NC
	NCT02287428	Diagnosed GBM	Active, not recruiting	Phase-I	T02287428
		H3.3K27M Peptide Vaccine for			https://ClinicalTri
	NOTOGOGGGG	Children With Newly Diagnosed	A 11	D) .	als.gov/show/NC
	NCT02960230	DIPG and Other Gliomas	Active, not recruiting	Phase-I	T02960230
		IDII4 Dantida Vassins for			https://ClinicalTri
	NOTO0400047	IDH1 Peptide Vaccine for	A ations and an interest	Dhari	als.gov/show/NC
	NCT02193347	Recurrent Grade II Glioma	Active, not recruiting	Phase-I	T02193347
		A Study of DSP-7888 in Pediatric			https://ClinicalTri
	NCT02750891	Patients With Relapsed or	Active, not recruiting	Dhace III	als.gov/show/NC T02750891
	NC102750891	Refractory High Grade Gliomas	Active, not recruiting	Phase-I/II	102750891
		Vaccine Therapy With Bevacizumab Versus			
		Bevacizumab Alone in Treating Patients With Recurrent			https://ClinicalTri
		Glioblastoma Multiforme That			als.gov/show/NC
	NCT01814813	Can Be Removed by Surgery	Active, not recruiting	Phase-II	T01814813
	110101014013	A Study of Varlilumab and	Active, not recraiting	r iiase-ii	101014013
		IMA950 Vaccine Plus Poly-ICLC			https://ClinicalTri
		in Patients With WHO Grade II			als.gov/show/NC
	NCT02924038	Low-Grade Glioma (LGG)	Recruiting	Phase-I	T02924038
	140102324000	VXM01 Plus Avelumab	recruiting	i nasc i	https://ClinicalTri
		Combination Study in		Phase-	als.gov/show/NC
	NCT03750071	Progressive Glioblastoma	Recruiting	I Phase-II	T03750071
	110100100011	Study to Evaluate Safety,	r toor anning	11. 11400 11	100700011
		Tolerability, and Optimal Dose of			https://ClinicalTri
		Candidate GBM Vaccine VBI-			als.gov/show/NC
	NCT03382977	1901 in Recurrent GBM Subjects	Recruiting	Phase-I	T03382977
		Neo-adjuvant Evaluation of	3		https://ClinicalTri
		Glioma Lysate Vaccines in WHO			als.gov/show/NC
	NCT02549833	Grade II Glioma	Recruiting	Phase-I	T02549833
		AMPLIFYing NEOepitope-	<del>-</del>		https://ClinicalTri
		specific VACcine Responses in			als.gov/show/NC
	NCT03893903	Progressive Diffuse Glioma	Recruiting	Phase-I	T03893903
		PEP-CMV in Recurrent			https://ClinicalTri
		MEdulloblastoma/Malignant			als.gov/show/NC
	NCT03299309	Glioma	Recruiting	Phase-I	T03299309
		ERC1671/GM-			
<b>Y</b>		CSF/Cyclophosphamide for the			https://ClinicalTri
		Treatment of Glioblastoma			als.gov/show/NC
	NCT01903330	Multiforme	Recruiting	Phase-II	T01903330
		V-Boost Immunotherapy in			https://ClinicalTri
		Glioblastoma Multiforme Brain		l	als.gov/show/NC
	NCT03916757	Cancer	Recruiting	Phase-II	T03916757
		Trial of Heat Shock Protein			https://ClinicalTri
		Peptide Complex-96 (HSPPC-		l	als.gov/show/NC
	NCT02722512	96) Vaccine	Recruiting	Phase-I	T02722512

1		Neoantigen-based Personalized			
		Vaccine Combined With Immune			
		Checkpoint Blockade Therapy in			https://ClinicalTri
	NCT03422094	Patients With Newly Diagnosed, Unmethylated Glioblastoma	Recruiting	Phase-I	als.gov/show/NC T03422094
	NC103422094	Radiation Therapy Plus	Recluiting	Filase-i	103422094
		Temozolomide and			
		Pembrolizumab With and			https://ClinicalTri
		Without HSPPC-96 in Newly			als.gov/show/NC
	NCT03018288	Diagnosed Glioblastoma (GBM)	Recruiting	Phase-II	T03018288
		A.V T. I.C. I. O. I			https://ClinicalTri
	NCT02358187	A Vaccine Trial for Low Grade Gliomas	Recruiting	Phase-II	als.gov/show/NC T02358187
	NC102336167	A Large-scale Research for	Recluiting	riiase-ii	102336167
		Immunotherapy of Glioblastoma			https://ClinicalTri
		With Autologous Heat Shock			als.gov/show/NC
	NCT03650257	Protein gp96	Not yet recruiting	Phase-II	T03650257
		Neoantigen-based Personalized			
		DNA Vaccine in Patients With			https://ClinicalTri
	NCT04015700	Newly Diagnosed, Unmethylated Glioblastoma	Not yet recruiting	Phase-I	als.gov/show/NC T04015700
	14010-010700	Safety and Immunogenicity of	110t yet reorditing	1 11436-1	10-010700
		Personalized Genomic Vaccine			https://ClinicalTri
		and Tumor Treating Fields			als.gov/show/NC
	NCT03223103	(TTFields) to Treat Glioblastoma	Recruiting	Phase-I	T03223103
		Study to Evaluate Safety,			https://OlipiasTri
		Tolerability, and Optimal Dose of Candidate GBM Vaccine VBI-			https://ClinicalTri als.gov/show/NC
	NCT03382977	1901 in Recurrent GBM Subjects	Recruiting	Phase-I	T03382977
		First-in-Human, Phase-Ib/2a Trial			
		of a Multipeptide Therapeutic			https://ClinicalTri
	NOTO 44400 FO	Vaccine in Patients With		Phase-	als.gov/show/NC
	NCT04116658	Progressive Glioblastoma	Not yet recruiting	I Phase-II	T04116658
		A Study of DSP-7888 Dosing Emulsion in Combination With			
		Bevacizumab in Patients With			
		Recurrent or Progressive			https://ClinicalTri
		Glioblastoma Following Initial			als.gov/show/NC
	NCT03149003	Therapy	Recruiting	Phase-II	T03149003
		Study of Pembrolizumab Plus SurVaxM for Glioblastoma at			https://ClinicalTri als.gov/show/NC
	NCT04013672	First Recurrence	Not yet recruiting	Phase-II	T04013672
	110.0.0.0.12	Pembrolizumab in Association	,		https://ClinicalTri
		With the IMA950/Poly-ICLC for		Phase-	als.gov/show/NC
	NCT03665545	Relapsing Glioblastoma	Recruiting	I Phase-II	T03665545
		Peptide Targets for Glioblastoma			https://ClinicalTri
	NCT02864368	Against Novel Cytomegalovirus Antigens	Recruiting	Phase-I	als.gov/show/NC T02864368
	110102007000	Anticancer Therapeutic	Residuing	1 11436-1	10200-1000
		Vaccination Using Telomerase-			https://ClinicalTri
		derived Universal Cancer		Phase-	als.gov/show/NC
	NCT04280848	Peptides in Glioblastoma	Recruiting	I Phase-II	T04280848
•		INO-5401 and INO-9012			
		Delivered by Electroporation (EP) in Combination With			
		Cemiplimab (REGN2810) in			https://ClinicalTri
		Newly-Diagnosed Glioblastoma			als.gov/show/NC
	NCT03491683	(GBM)	Active, not recruiting	Phase-I/II	T03491683
DENDRITIC		Pembrolizumab and a Vaccine			https://ClinicalTri
CELL VACCINES	NCT04201873	(ATL-DC) for the Treatment of Surgically Accessible Recurrent	Not yet recruiting	Phase-I	als.gov/show/NC T04201873
77 TO SHIELD	110107201070	Cargidally 7.000001ble Recuirefit	. tot yet roorditing	7 Hd0C-1	101201010

	Glioblastoma			
NCT01808820	Dendritic Cell (DC) Vaccine for Malignant Glioma and Glioblastoma Safety Study of DIPG Treatment	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T01808820
NCT02840123	With Autologous Dendritic Cells Pulsed With Lysated Allegenic Tumor Lines	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02840123 https://ClinicalTri
NCT01204684	Dendritic Cell Vaccine for Patients With Brain Tumors Phase I Study of a Dendritic Cell Vaccine for Patients With Either	Active, not recruiting	Phase-II	als.gov/show/NC T01204684 https://ClinicalTri
NCT02010606	Newly Diagnosed or Recurrent Glioblastoma	Active, not recruiting	Phase-I	als.gov/show/NC T02010606 https://ClinicalTri
NCT02529072	Nivolumab With DC Vaccines for Recurrent Brain Tumors Vaccine Immunotherapy for Recurrent Medulloblastoma and	Active, not recruiting	Phase-I	als.gov/show/NC T02529072 https://ClinicalTri
NCT01326104	Primitive Neuroectodermal Tumor Vaccine Therapy in Treating Patients With Newly Diagnosed	Active, not recruiting	Phase I/II	als.gov/show/NC T01326104 https://ClinicalTri als.gov/show/NC
NCT00639639	Glioblastoma Multiforme Cytomegalovirus (CMV) RNA- Pulsed Dendritic Cells for Pediatric Patients and Young	Active, not recruiting	Phase-I	T00639639
NCT03615404	Adults With WHO Grade IV Glioma, Recurrent Malignant Glioma, or Recurrent Medulloblastoma	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T03615404
NCT02366728	DC Migration Study for Newly- Diagnosed GBM Personalized Cellular Vaccine for	Active, not recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T02366728 https://ClinicalTri
NCT02808364	Recurrent Glioblastoma (PERCELLVAC2)	Active, not recruiting	Phase-I	als.gov/show/NC T02808364 https://ClinicalTri
NCT02709616	Personalized Cellular Vaccine for Glioblastoma (PERCELLVAC) Adoptive Cellular Therapy in Pediatria Patienta With High	Active, not recruiting	Phase-I	als.gov/show/NC T02709616 https://ClinicalTri
NCT03334305	Pediatric Patients With High- grade Gliomas Brain Stem Gliomas Treated With Adoptive Cellular Therapy	Recruiting	Phase-I	als.gov/show/NC T03334305
NCT03396575	During Focal Radiotherapy Recovery Alone or With Dose- intensified Temozolomide (Phase I) Autologous Dendritic Cells and	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T03396575
NCT03879512	Metronomic Cyclophosphamide for Relapsed High-Grade Gliomas in Children and Adolescents	Recruiting	Phase- I Phase-II	https://ClinicalTri als.gov/show/NC T03879512 https://ClinicalTri
NCT01567202	Study of DC Vaccination Against Glioblastoma Immunotherapy Targeted	Recruiting	Phase-II	als.gov/show/NC T01567202 https://ClinicalTri
NCT03927222	Against Cytomegalovirus in	Recruiting	Phase-II	als.gov/show/NC

Patients With Newly-Diagnosed WHO Grade IV Unmethylated Glioma  Adjuvant Dendritic Cell-immunotherapy Plus Temozolomide in Glioblastoma Patients  Patients  Recruiting  Recruiting  NCT03395587  Phase-II  Phase-II  Phase-II  NCT03395587  Recruiting  Phase-II  Phase-II  Phase-II  NCT03395587  Recruiting  Phase-II  Phase-II  Phase-II  T03927222  T03927222	NC Tri
Glioma Adjuvant Dendritic Cell- immunotherapy Plus Temozolomide in Glioblastoma Patients Efficiency of Vaccination With Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed NCT03395587 Glioblastoma Recruiting Recruiting Phase-II Https://Clinical- als.gov/show/N https://Clinical- als.gov/show/N Phase-II T03395587	NC Tri
Adjuvant Dendritic Cell- immunotherapy Plus Temozolomide in Glioblastoma Patients Efficiency of Vaccination With Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed NCT03395587  Adjuvant Dendritic Cell- immunotherapy Plus Recruiting Phase-II Phase-II Phase-II Https://Clinical- als.gov/show/N T02649582  Recruiting Phase-II T03395587	NC Tri
immunotherapy Plus Temozolomide in Glioblastoma Patients Efficiency of Vaccination With Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed NCT03395587 Recruiting Recruiting Recruiting Https://Clinical als.gov/show/N T02649582 Https://Clinical als.gov/show/N T02649582 Recruiting Phase-II T03395587	NC Tri
Temozolomide in Glioblastoma   Recruiting   Phase-II   To2649582   Patients   Recruiting   Recruiting   Phase-II   To2649582   To2649582   Phase-II   Phase-II   To2649582   Phase-II   To3649582   Phase-II   To3395587   Recruiting   Phase-II   To3395587   Phase-II   To3395587   Phase-II   To3395587   Phase-II   To3395587   Phase-II   To3395587   Phase-II   To3395587   To3395587   Phase-II   Phase	NC Tri
Efficiency of Vaccination With Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed NCT03395587  Efficiency of Vaccination With Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed Recruiting Phase-II T03395587	
Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed NCT03395587  Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed Recruiting  Recruiting  Phase-II T03395587	
Patients With Newly Diagnosed NCT03395587 Patients With Newly Diagnosed Recruiting Phase-II T03395587	
NCT03395587 Glioblastoma Recruiting Phase-II T03395587	NC
Label, Parallel-Group Study to	
Evaluate the Efficacy and Safety	
of Autologous Dendritic Cell	
Vaccination (ADCV01) as an	
Add-On Treatment for Primary Glioblastoma Multiforme (GBM)  https://Clinical als.gov/show/N	
NCT04115761 Patients Recruiting Phase-II T04115761	VC
Dendritic Cell Immunotherapy	
Against Cancer Stem Cells in Phase- https://Clinical	
Glioblastoma Patients Receiving IIIPhase als.gov/show/N	1C
NCT03548571 Standard Therapy Recruiting III T03548571	
Autologous Dendritic Cells Loaded With Autologous Tumor	
Associated Antigens for https://Clinical	Tri
Treatment of Newly Diagnosed als.gov/show/N	
NCT03400917 Glioblastoma Recruiting Phase-II T03400917	
Vaccine Therapy for the https://Clinical	
Treatment of Newly Diagnosed   als.gov/show/N NCT02465268   Glioblastoma Multiforme   Recruiting   Phase-II   T02465268	1C
NCT02465268 Glioblastoma Multiforme Recruiting Phase-II T02465268  Combination Adenovirus + https://Clinical	Tri
Pembrolizumab to Trigger als.gov/show/N	
NCT02798406 Immune Virus Effects Active, not recruiting Phase-II T02798406	
Wild-Type Reovirus in	
Combination With Sargramostim in Treating Younger Patients https://Clinical	Tri
in Treating Younger Patients https://Clinical https://Clinical als.gov/show/N	
NCT02444546 Refractory Brain Tumors Active, not recruiting Phase-I T02444546	
Combination of PVSRIPO and https://Clinical	
Atezolizumab for Adults With Phase- als.gov/show/N	1C
NCT03973879 Recurrent Malignant Glioma Not yet recruiting I Phase-II T03973879	
GMCI, Nivolumab, and Radiation Therapy in Treating Patients https://Clinical	Tri
With Newly Diagnosed High-	
ONCOLYTIC NCT03576612 Grade Gliomas Recruiting Phase-I T03576612	-
VIRUS Oncolytic Adenovirus, DNX- https://Clinical	
2401, for Naive Diffuse Intrinsic als.gov/show/N	1C
NCT03178032   Pontine Gliomas   Recruiting   Phase-I   T03178032   Oncolytic Adenovirus DNX-2401   https://Clinical	Tri
in Treating Patients With als.gov/show/N	
NCT03896568 Recurrent High-Grade Glioma Recruiting Phase-I T03896568	. •
Neural Stem Cell Based https://Clinical	
Virotherapy of Newly Diagnosed als.gov/show/N	1C
NCT03072134 Malignant Glioma Recruiting Phase-I T03072134	т:
A Study of the Treatment of https://Clinical Recurrent Malignant Glioma With als.gov/show/N	
NCT03152318 rQNestin34.5v.2 Recruiting Phase-I T03152318	
https://Clinical	Tri
Trial of C134 in Patients With als.gov/show/N	
NCT03657576   Recurrent GBM   Recruiting   Phase-I   T03657576	

•			1		
		Safety and Efficacy of the ONCOlytic VIRus Armed for			
		Local Chemotherapy, TG6002/5-			https://ClinicalTri
		FC, in Recurrent Glioblastoma		Phase-	als.gov/show/NC
	NCT03294486	Patients	Recruiting	I Phase-II	T03294486
		Genetically Engineered HSV-1		1	https://ClinicalTri
		Phase-I Study for the Treatment			als.gov/show/NC
	NCT02062827	of Recurrent Malignant Glioma	Recruiting	Phase-I	T02062827
		-			https://ClinicalTri
		DNX-2440 Oncolytic Adenovirus			als.gov/show/NC
	NCT03714334	for Recurrent Glioblastoma	Recruiting	Phase-I	T03714334
		HSV G207 Alone or With a			
		Single Radiation Dose in			L
		Children With Progressive or			https://ClinicalTri
	NCT02457845	Recurrent Supratentorial Brain Tumors	Recruiting	Phase-I	als.gov/show/NC T02457845
	110102437043	HSV G207 in Children With	Recluiting	T Hase-I	https://ClinicalTri
		Recurrent or Refractory			als.gov/show/NC
	NCT03911388	Cerebellar Brain Tumors	Recruiting	Phase-I	T03911388
					https://ClinicalTri
		PVSRIPO in Recurrent Malignant	. 60		als.gov/show/NC
	NCT02986178	Glioma	Recruiting	Phase-II	T02986178
					https://ClinicalTri
		PVSRIPO for Recurrent			als.gov/show/NC
	NCT01491893	Glioblastoma (GBM)	Active, not recruiting	Phase-I	T01491893
		Phase-lb Study PVSRIPO for			https://ClinicalTri
	NCT02042204	Recurrent Malignant Glioma in	Doorviting	Dhasa I	als.gov/show/NC
	NCT03043391	Children Evaluation of Ad-RTS-hIL-12 +	Recruiting	Phase-I	T03043391
		Veledimex in Subjects With			
		Recurrent or Progressive			https://ClinicalTri
		Glioblastoma, a Substudy to			als.gov/show/NC
	NCT03679754	ATI001-102	Active, not recruiting	Phase-I	T03679754
		Combined Cytotoxic and			https://ClinicalTri
		Immune-Stimulatory Therapy for			als.gov/show/NC
	NCT01811992	Glioma	Active, not recruiting	Phase-I	T01811992
		A Study of Ad-RTS-hIL-12 + Veledimex in Pediatric Subjects			https://ClinicalTri
	NCT03330197	With Brain Tumors or DIPG	Active, not recruiting	Phase-I	als.gov/show/NC T03330197
	140103330197	A Study of Ad-RTS-hIL-12 With	Active, not recruiting	Filase-i	103330197
GENE		Veledimex in Subjects With			https://ClinicalTri
THERAPY		Glioblastoma or Malignant			als.gov/show/NC
	NCT02026271	Glioma	Active, not recruiting	Phase-I	T02026271
		Study of Ad-RTS-hIL-12 +			
		Veledimex in Combination With			
		Cemiplimab in Subjects With			https://ClinicalTri
	NCT04006119	Recurrent or Progressive	Dografing	Phase-II	als.gov/show/NC T04006119
	14006119	Glioblastoma	Recruiting	Phase-II	104006119
		A Study of Ad-RTS-hIL-12 With Veledimex in Combination With			
		Nivolumab in Subjects With			https://ClinicalTri
		Glioblastoma; a Substudy to			als.gov/show/NC
	NCT03636477	ATI001-102	Recruiting	Phase-I	T03636477
		Tremelimumab and Durvalumab			
		in Combination or Alone in			https://ClinicalTri
IMMUNOSUPP	NCT02704002	Treating Patients With Recurrent	Active not recruiting	Dhoos !!	als.gov/show/NC
RESSIVE CHECKPOINT	NCT02794883	Malignant Glioma Ipilimumab and/or Nivolumab in	Active, not recruiting	Phase-II	T02794883
INHIBITORS		Combination With Temozolomide			https://ClinicalTri
		in Treating Patients With Newly			als.gov/show/NC
	NCT02311920	Diagnosed Glioblastoma or	Active, not recruiting	Phase-I	T02311920
	•	<del>-</del>		-	

1		Gliosarcoma			i i
	NCT02337686	Pembrolizumab in Treating Patients With Recurrent Glioblastoma Radiation Therapy With	Active, not recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T02337686
	NCT02530502	Temozolomide and Pembrolizumab in Treating Patients With Newly Diagnosed Glioblastoma Avelumab With Hypofractionated	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02530502
	NCT02968940	Radiation Therapy in Adults With Isocitrate Dehydrogenase (IDH) Mutant Glioblastoma A Pilot Surgical Trial To Evaluate Early Immunologic	Active, not recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T02968940
		Pharmacodynamic Parameters For The PD-1 Checkpoint Inhibitor, Pembrolizumab (MK- 3475), In Patients With Surgically			
	NCT02852655	Accessible Recurrent/Progressive Glioblastoma Study of Cabiralizumab in Combination With Nivolumab in	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02852655 https://ClinicalTri
	NCT02526017	Patients With Selected Advanced Cancers Pembrolizumab in Treating Younger Patients With	Active, not recruiting	Phase-I	als.gov/show/NC T02526017
	NCT02359565	Recurrent, Progressive, or Refractory High-Grade Gliomas, Diffuse Intrinsic Pontine Gliomas, Hypermutated Brain Tumors, Ependymoma or Medulloblastoma REGN2810 in Pediatric Patients With Relapsed, Refractory Solid, or CNS Tumors and Safety and Efficacy of REGN2810 in	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02359565
	NCT03690869	Combination With Radiotherapy in Pediatric Patients With Newly Diagnosed or rGlioma Nivolumab With Radiation	Recruiting	Phase- I Phase-II	https://ClinicalTri als.gov/show/NC T03690869
	NCT03743662	Therapy and Bevacizumab for Recurrent MGMT Methylated Glioblastoma A Study Testing the Effect of Immunotherapy (Ipilimumab and	Recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T03743662
	NCT04145115	Nivolumab) for People With Recurrent Glioblastoma With Elevated Mutational Burden Avelumab With Laser Interstitial Therapy for Recurrent	Not yet recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T04145115 https://ClinicalTri als.gov/show/NC
	NCT03341806	Glioblastoma Biomarker-Driven Therapy Using Immune Activators With	Recruiting	Phase-I	T03341806 https://ClinicalTri
	NCT03707457	Nivolumab in Patients With First Recurrence of Glioblastoma	Recruiting	Phase-I	als.gov/show/NC T03707457 https://ClinicalTri
	NCT03673787	A Trial of Ipatasertib in Combination With Atezolizumab	Recruiting	Phase- I Phase-II	als.gov/show/NC T03673787

1	İ	MK-3475 in Combination With	1	I	https://ClinicalTri
		MRI-guided Laser Ablation in		Phase-	als.gov/show/NC
	NCT02311582	Recurrent Malignant Gliomas	Recruiting	I Phase-II	T02311582
		Efficacy of Nivolumab for	i toorailing	1,1 1,0,00	https://ClinicalTri
		Recurrent IDH Mutated High-			als.gov/show/NC
	NCT03925246	Grade Gliomas	Recruiting	Phase-II	T03925246
		Nivolumab in People With IDH-	3		https://ClinicalTri
		Mutant Gliomas With and			als.gov/show/NC
	NCT03718767	Without Hypermutator Phenotype	Recruiting	Phase-II	T03718767
		, , , , , , , , , , , , , , , , , , ,	G		https://ClinicalTri
		Nivolumab for Recurrent or			als.gov/show/NC
	NCT03557359	Progressive IDH Mutant Gliomas	Recruiting	Phase-II	T03557359
		Immune Checkpoint Inhibitor			https://ClinicalTri
		Nivolumab in People With Select			als.gov/show/NC
	NCT03173950	Rare CNS Cancers	Recruiting	Phase-II	T03173950
		Cytokine Microdialysis for Real-			
		Time Immune Monitoring in			latter as I/Olivai a al Tai
		Glioblastoma Patients			https://ClinicalTri
	NCT03493932	Undergoing Checkpoint Blockade	Recruiting	Phase-I	als.gov/show/NC T03493932
	140103493932	Study of Olaparib and	recruiting	r nasc-i	https://ClinicalTri
		Durvalumab in IDH-Mutated			als.gov/show/NC
	NCT03991832	Solid Tumors	Not yet recruiting	Phase-II	T03991832
		Study Testing The Safety and	. tot your solutions		. 0000 . 002
		Efficacy of Adjuvant			
		Temozolomide Plus TTFields			
		(Optune®) Plus Pembrolizumab			
		in Patients With Newly			https://ClinicalTri
		Diagnosed Glioblastoma (2-THE-		l	als.gov/show/NC
	NCT03405792	TOP)	Recruiting	Phase-II	T03405792
		Translational Study of Nivolumab			
		in Combination With			https://ClinicalTri
	NCT03890952	Bevacizumab for Recurrent Glioblastoma	Recruiting	Phase-II	als.gov/show/NC T03890952
	140103030332	Nivolumab Plus Standard Dose	recruiting	i ilase-ii	103030332
		Bevacizumab Versus Nivolumab			https://ClinicalTri
		Plus Low Dose Bevacizumab in			als.gov/show/NC
	NCT03452579	GBM	Recruiting	Phase-II	T03452579
		Laser Interstitial Thermotherapy	G		
		(LITT) Combined With			https://ClinicalTri
		Checkpoint Inhibitor for		Phase-	als.gov/show/NC
	NCT03277638	Recurrent GBM (RGBM)	Recruiting	I Phase-II	T03277638
		Atezolizumab in Combination			
		With Temozolomide and			h-44m a . //Olivei e e IT. !
		Radiation Therapy in Treating Patients With Newly Diagnosed		Phase-	https://ClinicalTri als.gov/show/NC
	NCT03174197	Glioblastoma	Recruiting	I Phase-II	T03174197
	7140100114191	Intra-tumoral Ipilimumab Plus	recording	11 1103C-11	100117131
		Intravenous Nivolumab Following			https://ClinicalTri
		the Resection of Recurrent			als.gov/show/NC
	NCT03233152	Glioblastoma	Recruiting	Phase-I	T03233152
		Avelumab in Patients With Newly	ا ا		https://ClinicalTri
		Diagnosed Glioblastoma			als.gov/show/NC
	NCT03047473	Multiforme	Recruiting	Phase-II	T03047473
		Nivolumab, BMS-986205, and			
		Radiation Therapy With or			
		Without Temozolomide in			https://ClinicalTri
	NCT04047700	Treating Patients With Newly	Dooruiting	Dhoco I	als.gov/show/NC
	NCT04047706	Diagnosed Glioblastoma Pembrolizumab for Newly	Recruiting	Phase-I	T04047706
	NCT03899857	Diagnosed Glioblastoma	Not yet recruiting	Phase-II	https://ClinicalTri als.gov/show/NC
	140103088037	Diagnosed Gilobiastoma	Two yet recruiting	1 11035-11	ais.gov/sillow/inc

					T03899857
	NCT03722342	TTAC-0001 and Pembrolizumab Combination phase1b Trial in Recurrent Glioblastoma Trial of Anti-Tim-3 in	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T03722342 https://ClinicalTri
	NCT03961971	Combination With Anti-PD-1 and SRS in Recurrent GBM	Not yet recruiting	Phase-I	als.gov/show/NC T03961971 https://ClinicalTri
	NCT02336165	Phase-II Study of MEDI4736 in Patients With Glioblastoma Anti-LAG-3 Alone & in	Active, not recruiting	Phase-II	als.gov/show/NC T02336165
	NCT02658981	Combination w/ Nivolumab Treating Patients w/ Recurrent GBM (Anti-CD137 Arm Closed 10/16/18) Efficacy and Safety of Pembrolizumab (MK-3475) Plus	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02658981
	NCT03797326	Lenvatinib (E7080/MK-7902) in Previously Treated Participants With Select Solid Tumors (MK- 7902-005/E7080-G000- 224/LEAP-005)	Recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T03797326
	NCT04225039	Anti-GITR/Anti-PD1/Stereotactic Radiosurgery, in Recurrent Glioblastoma	Not yet recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T04225039
	NCT04195139	Nivolumab and Temozolomide Versus Temozolomide Alone in Newly Diagnosed Elderly	Recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T04195139
	NCT04267146	Patients With GBM Nivolumab in Combination With Temozolomide and Radiotherapy in Children and Adolescents With Newly Diagnosed High- grade Glioma Pembrolizumab and Reirradiation in Bevacizumab Naà ve and Bevacizumab Resistant Recurrent	Recruiting	Phase-IIPhase-II	https://ClinicalTri als.gov/show/NC T04267146 https://ClinicalTri als.gov/show/NC
	NCT03661723	Glioblastoma	Recruiting	Phase-II	T03661723
	NCT03389230	Memory-Enriched T Cells in Treating Patients With Recurrent or Refractory Grade III-IV Glioma	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T03389230
	NCT02208362	Genetically Modified T-cells in Treating Patients With Recurrent or Refractory Malignant Glioma C7R-GD2.CAR T Cells for Patients With GD2-expressing	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02208362 https://ClinicalTri als.gov/show/NC
CAR T-CELLS	NCT04099797	Brain Tumors (GAIL-B) IL13Ralpha2-Targeted Chimeric Antigen Receptor (CAR) T Cells With or Without Nivolumab and Ipilimumab in Treating Patients	Not yet recruiting	Phase-I	T04099797  https://ClinicalTri
	NCT04003649	With Recurrent or Refractory Glioblastoma	Recruiting	Phase-I	als.gov/show/NC T04003649 https://ClinicalTri
	NCT03726515	CART-EGFRvIII + Pembrolizumab in GBM Intracranial Injection of NK- 92/5.28.z Cells in Patients With	Recruiting	Phase-I	als.gov/show/NC T03726515 https://ClinicalTri als.gov/show/NC
	NCT03383978	Recurrent HER2-positive	Recruiting	Phase-I	T03383978

		Glioblastoma			
	NCT03941626	Autologous CAR-T/TCR-T Cell Immunotherapy for Solid Malignancies EGFR806-specific CAR T Cell	Recruiting	Phase- I Phase-II	https://ClinicalTri als.gov/show/NC T03941626
	NCT03638167	Locoregional Immunotherapy for EGFR-positive Recurrent or Refractory Pediatric CNS Tumors	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T03638167
		HER2-specific CAR T Cell Locoregional Immunotherapy for HER2-positive Recurrent/Refractory Pediatric			https://ClinicalTri als.gov/show/NC
	NCT03500991	CNS Tumors	Recruiting	Phase-I	https://ClinicalTri
	NCT04077866	B7-H3 CAR-T for Recurrent or Refractory Glioblastoma	Not yet recruiting	Phase-II	als.gov/show/NC T04077866 https://ClinicalTri
	NCT03283631	Intracerebral EGFR-vIII CAR-T Cells for Recurrent GBM Personalized Chimeric Antigen	Recruiting	Phase-I	als.gov/show/NC T03283631
	NCT03423992	Receptor T Cell Immunotherapy for Patients With Recurrent Malignant Gliomas GD2 CAR T Cells in	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T03423992
	NCT04196413	DiffuseIntrinsicPontine Gliomas(DIPG) & Spinal DiffuseMidline Glioma(DMG) Study of B7-H3-Specific CAR T	Not yet recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T04196413
	NCT04185038	Cell Locoregional Immunotherapy for Diffuse Intrinsic Pontine Glioma/Diffuse Midline Glioma and Recurrent or Refractory Pediatric Central Nervous System Tumors Chimeric Antigen Receptor (CAR) T Cells With a Chlorotoxin	Recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T04185038
	NCT04214392	Tumor-Targeting Domain for the Treatment of Recurrent or Progressive Glioblastoma  NKG2D-based CAR T-cells	Not yet recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T04214392 https://ClinicalTri
	NCT04270461	Immunotherapy for Patient With r/r NKG2DL+ Solid Tumors	Not yet recruiting	Phase-I	als.gov/show/NC T04270461 https://ClinicalTri
	NCT03638206	Autologous CAR-T/TCR-T Cell Immunotherapy for Malignancies	Recruiting	Phase-II	als.gov/show/NC T03638206
	NCT01478321	Efficacy of Hypofractionated XRT w/Bev. + Temozolomide for Recurrent Gliomas Stage 1: Marizomib +	Active, not recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T01478321
ANTIBODY DELIVERY	NCT02330562	Bevacizumab in WHO Gr IV GBM; Stage 2: Marizomib Alone; Stage 3: Combination of Marizomib and Bevacizumab Bevacizumab in Treating	Active, not recruiting	Phase I/II	https://ClinicalTri als.gov/show/NC T02330562 https://ClinicalTri
	NCT00337207	Patients With Recurrent or Progressive Glioma Hypofractionated Stereotactic	Active, not recruiting	Phase-II	als.gov/show/NC T00337207
	NCT01392209	Radiotherapy With Bevacizumab in the Treatment of Recurrent Malignant Glioma	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T01392209

		Bevacizumab With or Without			
		Radiation Therapy in Treating Patients With Recurrent			https://ClinicalTri als.gov/show/NC
	NCT01730950	Glioblastoma	Active, not recruiting	Phase-II	T01730950
	110101100000	Bevacizumab in Treating	, touvo, not rootaling		https://ClinicalTri
		Patients With Recurrent or			als.gov/show/NC
	NCT01125046	Progressive Meningiomas	Active, not recruiting	Phase-II	T01125046
		TORC1/2 Inhibitor MLN0128 and			
		Bevacizumab in Treating Patients With Recurrent			https://ClinicalTri
		Glioblastoma or Advanced Solid			als.gov/show/NC
	NCT02142803	Tumors	Active, not recruiting	Phase-I	T02142803
		Bevacizumab and Temozolomide			
		in Treating Older Patients With			https://ClinicalTri
	NOT04440050	Newly-Diagnosed Glioblastoma	A ations must be an elitinar	Dhara II	als.gov/show/NC
	NCT01149850	Multiforme or Gliosarcoma Bevacizumab With or Without	Active, not recruiting	Phase-II	T01149850 https://ClinicalTri
		Trebananib in Treating Patients			als.gov/show/NC
	NCT01609790	With Recurrent Brain Tumors	Active, not recruiting	Phase-II	T01609790
		Cediranib Maleate and Olaparib			
		Compared to Bevacizumab in			https://ClinicalTri
	NOTODOZACOA	Treating Patients With Recurrent	Active, not recruiting	Dhasa II	als.gov/show/NC
	NCT02974621	Glioblastoma Bavituximab With Radiation and	Active, not recruiting	Phase-II	T02974621 https://ClinicalTri
		Temozolomide for Patients With			als.gov/show/NC
	NCT03139916	Newly Diagnosed Glioblastoma	Active, not recruiting	Phase-II	T03139916
		Phase-II Study of Sym004 for			https://ClinicalTri
	NOTOGEAGAGA	Adult Patients With Recurrent	A 41 4	Disease II	als.gov/show/NC
	NCT02540161	Glioblastoma lodine I 131 Monoclonal Antibody	Active, not recruiting	Phase-II	T02540161
		3F8 in Treating Patients With			https://ClinicalTri
		Central Nervous System Cancer			als.gov/show/NC
	NCT00445965	or Leptomeningeal Cancer	Active, not recruiting	Phase-II	T00445965
					https://ClinicalTri
	NCT01631552	Phase I/II Study of IMMU-132 in	Active not recruiting	Phase-I/II	als.gov/show/NC T01631552
	NC101031332	Patients With Epithelial Cancers A Study of ABT-414 in Subjects	Active, not recruiting	Pilase-I/II	101031332
		With Newly Diagnosed			
		Glioblastoma (GBM) With			https://ClinicalTri
		Epidermal Growth Factor		Phase-	als.gov/show/NC
	NCT02573324	Receptor (EGFR) Amplification	Active, not recruiting	11/111	T02573324
		Study Evaluating ABT-414 in Japanese Subjects With			https://ClinicalTri als.gov/show/NC
	NCT02590263	Malignant Glioma	Active, not recruiting	Phase-I/II	T02590263
		Safety and Efficacy of L19TNF in	,		
		Patients With Isocitrate			
		Dehydrogenase (IDH) Wildtype WHO Grade III / IV Glioma at		Phase-	https://ClinicalTri als.gov/show/NC
	NCT03779230	First Relapse	Recruiting	Phase-II	T03779230
	110100773200	rhIL-7-hyFc on Increasing	recording	iji nase n	100773200
		Lymphocyte Counts in Patients			
		With Newly Diagnosed Non-			https://ClinicalTri
	NOTOCCOTOF	lymphopenic Gliomas Following	Daniellin i	Phase-	als.gov/show/NC
	NCT03687957	Radiation and Temzolomide Study of Re-irradiation at	Recruiting	I Phase-II	T03687957 https://ClinicalTri
		Relapse Versus RT and Multiple			als.gov/show/NC
	NCT03620032	Elective rt Courses	Recruiting	Phase-II	T03620032
					https://ClinicalTri
	NOTOCOCCE	D2C7 for Adult Patients With	Danielle.	Dis.	als.gov/show/NC
	NCT02303678	Recurrent Malignant Glioma Phase I Study of APX005M in	Recruiting	Phase-I	T02303678 https://ClinicalTri
	NCT03389802	Pediatric CNS Tumors	Recruiting	Phase-I	als.gov/show/NC
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I					T03389802
		Phase I Study of Monoclonal Antibondy (GS) 5745, an Matix Metalloproteinase 9 (MMP9) Mab Inhibitor, in Combination With Bevacizumab in Patients With			https://ClinicalTri als.gov/show/NC
	NCT03631836	Recurrent Glioblastoma Super Selective Intra-arterial Repeated Infusion of Cetuximab (Erbitux) With Reirradiation for Treatment of	Not yet recruiting	Phase-I	T03631836  https://ClinicalTri
	NCT02800486	Relapsed/Refractory GBM, AA, and AOA TTAC-0001 Phase II Trial With Recurrent Glioblastoma	Recruiting	Phase-II	als.gov/show/NC T02800486 https://ClinicalTri
	NCT03856099	Progressed on Bevacizumab	Not yet recruiting	Phase-II	als.gov/show/NC T03856099 https://ClinicalTri
	NCT03374943	A Trial of KB004 in Patients With Glioblastoma GC1118 in Recurrent	Recruiting	Phase-I	als.gov/show/NC T03374943 https://ClinicalTri
	NCT03618667	Glioblastoma Patients With High EGFR Amplification NovoTTF-100A With	Recruiting	Phase-II	als.gov/show/NC T03618667
	NCT01894061	Bevacizumab (Avastin) in Patients With Recurrent Glioblastoma Dose-escalation Study to	Recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T01894061
	NCT03619239	Evaluate the Safety and Tolerability of GX-I7 in Patients With Glioblastoma Capecitabine + Bevacizumab in	Recruiting	Phase- I Phase-II	https://ClinicalTri als.gov/show/NC T03619239 https://ClinicalTri
	NCT02669173	Patients With Recurrent Glioblastoma	Recruiting	Phase-I	als.gov/show/NC T02669173 https://ClinicalTri
	NCT04160494	D2C7-IT With Atezolizumab for Recurrent Gliomas Phase I Clinical Study of GB222	Not yet recruiting	Phase-I	als.gov/show/NC T04160494 https://ClinicalTri
	NCT04178057	to Evaluate the Safety, Tolerability and PK Profiles. A Study of Low Dose	Recruiting	Phase-I	als.gov/show/NC T04178057
	NCT04250064	Bevacizumab With Conventional Radiotherapy Alone in Diffuse Intrinsic Pontine Glioma	Not yet recruiting	Phase II	https://ClinicalTri als.gov/show/NC T04250064
	NCT02415153	Pomalidomide in Treating Younger Patients With Recurrent, Progressive, or Refractory Central Nervous System Tumors A Study of Pomalidomide	Active, not recruiting	Phase-I	https://ClinicalTri als.gov/show/NC T02415153
OTHERS	NCT03257631	Monotherapy for Children and Young Adults With Recurrent or Progressive Primary Brain Tumors	Active, not recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T03257631
·	NCT03532295	Epacadostat in Combination With Radiation Therapy and Avelumab in Patients With Recurrent Gliomas  Pediatric Trial of Indoximod With	Not yet recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T03532295
	NCT04049669	Chemotherapy and Radiation for Relapsed Brain Tumors or Newly Diagnosed DIPG	Recruiting	Phase-II	https://ClinicalTri als.gov/show/NC T04049669

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	Study of the IDO Pathway			
	Inhibitor, Indoximod, and			
	Temozolomide for Pediatric			https://ClinicalTri
	Patients With Progressive			als.gov/show/NC
NCT025027		Recruiting	Phase-I	T02502708
	Combination of Immunization			https://ClinicalTri
	and Radiotherapy for Malignant			als.gov/show/NC
NCT033925	45 Gliomas (InSituVac1)	Recruiting	Phase-I	T03392545
	Autologous CMV-Specific			
	Cytotoxic T Cells and			https://ClinicalTri
	Temozolomide in Treating		Phase-	als.gov/show/NC
NCT026612	Patients With Glioblastoma	Recruiting	I Phase-II	T02661282
	Intra-tumoral Injection of Natural			https://ClinicalTri
	Killer Cells in High-Grade			als.gov/show/NC
NCT042544	19 Gliomas	Not yet recruiting	Phase-I	T04254419
				https://ClinicalTri
				als.gov/show/NC
NCT041024	Non-Viral TCR Gene Therapy	Recruiting	Phase-II	T04102436
				https://ClinicalTri
	Phase I EGFR BATs in Newly			als.gov/show/NC
NCT033442	Diagnosed Glioblastoma	Recruiting	Phase-I	T03344250
	Administration of Autologous T-			
	Cells Genetically Engineered to			
	Express T-Cell Receptors			
	Reactive Against Mutated			https://ClinicalTri
	Neoantigens in People With			als.gov/show/NC
NCT034128	77 Metastatic Cancer	Recruiting	Phase-II	T03412877
	Pegylated Interferon ALFA-2b in			
	Children With Juvenile Pilocytic			https://ClinicalTri
	Astrocytomas and Optic Pathway			als.gov/show/NC
NCT023432	24 Gliomas	Recruiting	Phase-II	T02343224
	A Phase I/IIa Study Evaluating			
	Temferon in Patients With			https://ClinicalTri
	Glioblastoma & Unmethylated		Phase-	als.gov/show/NC
NCT038661		Recruiting	I Phase-II	T03866109
	A Trial of Poly-ICLC in the			https://ClinicalTri
	Management of Recurrent			als.gov/show/NC
NCT011880	Pediatric Low Grade Gliomas	Active, not recruiting	Phase-II	T01188096

# FIGURE LEGENDS

Figure 1. Immune Checkpoint Inhibitors Therapy for glioma. The immunosuppressive microenvironment, which abrogates the antitumor activity of effector T-cells, is a characteristic of malignant glioma. Within the local tumor microenvironment, glioma cells express PD-L1 that interacts with PD-1 on CD8 T-cells, eliciting immune evasion. Tregs suppress immune responses by secreting cytokines like TGF-β and IL-10. These factors shift the activity of resident APCs towards a more tolerogenic state to inhibit T-cell function. The engagement of CD80 on APCs with the self-inhibitory signal receptor CTLA-4 prevents T-cell activation. There is also recruitment and accumulation of myeloid derived suppressor cells, which engage co-inhibitory receptors Tim3 and Lag3 on activated T-cells, suppressing their activity. Immune checkpoint inhibitors, such as monoclonal antibodies targeting PD-1 (i.e., Nivolumab), PD-L1 (i.e., Durvalumab) and CTLA-4 (i.e., Ipilimumab) remove the hurdle and restore the immune response of activating tumor-specific CD8 + T-cells.

Figure 2. Schematic of DC vaccine generation being tested in clinical trials. After tumor resection, tumor cells are used to obtain the lysate or to extract its RNA. Autologous DCs are obtained by isolation of PBMCs by leukapharesis and ex vivo differentiation into monocytic-derived DC. DC could be pulsed with tumor antigens like autologous or allogeneic tumor lysate, TT-RNA, TAA or TSA peptides or with neo-antigens. DCV therapy is combined with adjuvants like GM-CSF, tetanus/diphtheria toxoid or TLR agonist to improve its effect. Combination with the SOC and/or non-standard therapies are being assessed in ongoing trials.

**Figure 3: Bicompartmental polymeric particles.** A.-C.) Confocal microscopy images of particles where A. shows the PLGA compartment, B. is the PLGA acetal-modified dextran compartment, and C. shows both compartments. D. Scanning Electron Microspcopy image. Scale bar: 10 μM. Adapted from [275].

## Figure 1

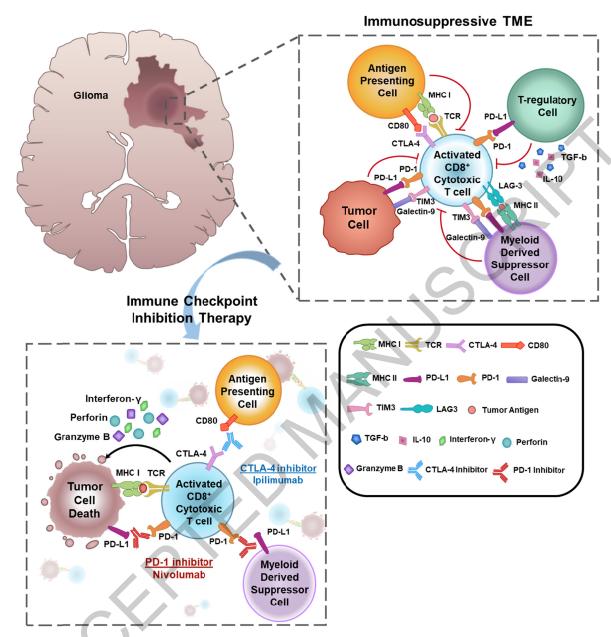
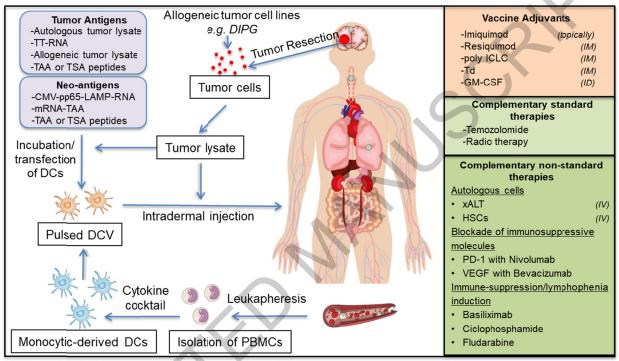


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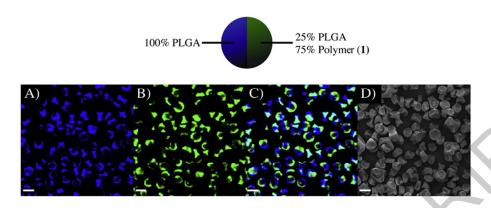
Figure 2



Total tumor RNA (TT-RNA); mRNA tumor autologous antigen (mRNA-TAA); intradermal (ID); intramuscular (IM); intravenous (I.V.); exvivo expanded autologous lymphocyte transfer (xALT); autologous hematopoietic stem cells (HSCs); tetanus/diphtheria toxoid (Td)

**Figure 2. Schematic of DC vaccine generation being tested in clinical trials.** After tumor resection, tumor cells are used to obtain the lysate or to extract its RNA. Autologous DCs are obtained by isolation of peripheral blood mononuclear cell by leukapheresis and *ex vivo* differentiation into monocytic-derived DC. DC could be pulsed with autologous or allogeneic tumor lysate, TT-RNA, TAA or TSA peptides or with neo-antigens. DCV therapy is combined with adjuvants like GM-CSF, Td or TLR agonist to improve its effect. Combination with the SOC and/or non-standard therapies are being assessed in ongoing CTs.

Figure 3



**Figure 3: Bicompartmental polymeric particles**. A.-C.) Confocal microscopy images of particles where A. shows the PLGA compartment, B. is the PLGA acetal-modified dextran compartment, and C. shows both compartments. D. Scanning Electron Microspcopy image. Scale bar: 10 μM. Adapted from [268].