Prognostic Value of E-Cadherin, Beta-Catenin, MMPs (7 and 9), and TIMPs (1 and 2) in Patients With Colorectal Carcinoma

FERNANDA ROCA, BS,¹ LAURA V. MAURO, BS,¹ ANA MORANDI, MD,² FERNANDO BONADEO, MD,² CARLOS VACCARO, MD,² GUILLERMO OJEA QUINTANA, MD,² SERGIO SPECTERMAN, MD,² ELISA BAL DE KIER JOFFÉ, MD, PhD,¹ MARÍA GUADALUPE PALLOTTA, MD,² LYDIA INÉS PURICELLI, BS, PhD,¹* AND JOSÉ LASTIRI, MD²

¹Research Area of the Institute of Oncology "Angel H. Roffo", Av. San Martín, Buenos Aires, Argentina ²Clinical Oncology Department, Hospital Italiano, Gascón, Buenos Aires, Argentina

Background and Objectives: Therapy of colorectal tumors (CRC) based on histology and clinical factors is insufficient to predict the evolution of each patient. The finding of molecular abnormalities able to differentiate subgroups of patients with bad prognosis will improve our ability to treat them successfully. Our purpose was to analyze retrospectively the prognostic input of E-cadherin, β -catenin, metalloproteinases (MMPs) (7 and 9), and tissue inhibitors of metalloproteinases (TIMPs) (1 and 2) in patients with a follow-up period of 5 years.

Methods: Antigen expression was analyzed by immunohistochemistry. Prognostic evaluation was performed with the multivariate proportional hazards model.

Results: We demonstrated a concomitant loss of E-cadherin and β -catenin at membranous level and an abnormal accumulation of nuclear β -catenin. Besides, we found that all MMPs and TIMPs studied were overexpressed in CRC tissue. There was no association between the expression of any of these molecules and the known clinical-pathological parameters employed in CRC pathology. A multivariate analysis demonstrated that the overall survival could be independently predicted by the loss of E-cadherin and the overexpression of TIMP-2.

Conclusions: The expression of E-cadherin and TIMP-2 could be relevant in determining the prognosis of CRC patients and providing a more accurate mechanism for their classification.

J. Surg. Oncol. 2005;00:1-10. © 2005 Wiley-Liss, Inc.

KEY WORDS: tumor marker; colorectal cancer; E-cadherin; metalloproteinases; TIMPs

INTRODUCTION

Colorectal carcinoma (CRC) incidence varies considerably throughout the world, being one of the leading cancers in developed countries. The age-standardized mortality rates (per 100,000) of CRC for Argentina (period 1997–2001) are 14.5 and 9.0 cases in men and women, respectively, being the third most common cause of cancer-related death [1]. Thirty to 60% of patients with CRC undergoing primary surgery with curative intention still die from metastatic disease [2]. Several clinical factors are ordinarily employed for assessing individual CRC prognosis, such as pathologic state, histologic type,

Fernanda Roca and Laura V. Mauro have contributed equally to this study. Elisa Bal de Kier Joffé is a member of the National Council of Scientific and Technical Research (CONICET).

Grant sponsor: SECYT BID 1201-OC AR; Grant numbers: PICT 4926, PICT 11217.

*Correspondence to: Lydia Inés Puricelli, BS, PhD, Area Investigación, Instituto de Oncología "Angel H. Roffo", Av. San Martín 5481, C1417DTB Buenos Aires, Argentina. Fax: (054-11) 4580-2811.

E-mail: lydiapur@fmed.uba.ar

Received 10 May 2005; Accepted 1 September 2005

DOI 10.1002/jso.

Published online in Wiley InterScience (www.interscience.wiley.com).

© 2005 Wiley-Liss, Inc.

grade, vasoinvasive status of the tumor, and status of the surgical resection margins [3]. However, these tumor parameters are insufficient to predict the evolution of each individual patient. So it is of high priority to find tumor markers able to differentiate subtypes of early CRC allowing the selection of patients with bad prognosis to apply an earlier adjuvant therapy.

It is widely known that tumor formation is a complex, multistep process involving the accumulation of genetic lesions in genes that regulate the pathways of cell proliferation, adhesion, differentiation, and death required for normal development. Glycoproteins involved in the cell-cell and cell-extracellular matrix (ECM) adhesion of tumoral cells are believed to participate in the acquisition of an invasive and metastatic phenotype. In this sense, the E-cadherin/ β -catenin complex has a critical role in cell-cell adhesion. E-cadherin, a transmembrane protein that mediates the calcium-dependent adhesion of cells, is specifically expressed in epithelia and is involved in maintenance of their phenotype. β catenin binds directly to the cytoplasmic domain of E-cadherin and to the actin microfilament network of the cellular cytoskeleton. This binding is essential for stable cell-cell adhesion [4]. Loss of E-cadherin may result in reduced cell adhesiveness and increased invasion [4].

 β -catenin is a critical component of the highly conserved Wnt signaling pathway that regulates cell proliferation and differentiation [5]. A protein complex, composed by APC protein, GSK3 β , and AXIN promotes degradation of free cytosolic β -catenin through phosphorylation of its NH₂ terminal sequence and its subsequent ubiquitination and proteosome degradation. When the Wnt pathway is activated, β -catenin ubiquitination and degradation are inhibited and β -catenin enters the nucleus where it complexes with transcription regulator proteins activating target genes such as cyclin D, PPAR γ , gastrin, and the proteolytic enzyme MMP-7 [6–8].

In order to invade, epithelial cancer cells need to penetrate through the basement membrane (BM) and to disorganize the ECM. In this context, proteases play a key role since they can either degrade or process the ECM components and thereby support cancer cell invasion [9]. It is well known that tumor cells produce higher amounts of proteolytic enzymes than their normal counterparts. The metalloproteinases (MMP) are enzymes deeply involved in the acquisition of the invasive phenotype. MMPs are a large group of zinc-containing endopeptidases with a central role in the degradation of all types of ECM components. Among them, the gelatinases or type IV collagenases, MMP-2 (gelatinase A, 72 kDa) and MMP-9 (gelatinase B, 92 kDa) are capable of degrading components of the BM, particularly type IV collagen, the first vital barrier breached by tumor cells when they become invasive [10]. Besides, gelatinases are a multifunctional group of biologically important molecules endowed with functions other than merely cleaving the ECM, such as the modulation of angiogenesis or tumor growth [11]. The activities of these enzymes are well regulated by endogenous tissue inhibitors of metalloproteinases (TIMPs) [12].

The aim of this study was to analyze the expression pattern of E-cadherin, β -catenin , MMPs (7 and 9), and their natural endogenous inhibitors TIMPs (1 and 2) in a group of 84 uniformly treated patients with CRC tumors, 35 of them with localized disease, with a follow-up period of 5 years for survivors. Results were correlated with clinical and anatomo-pathological parameters accepted as established prognostic factors, including survival rate.

MATERIALS AND METHODS

Patients and Tumors

Eighty-four paraffin embedded CRC tumors corresponding to 1993-1999 period were collected from the "Hospital Italiano de Buenos Aires." All tissue specimens were obtained by resection from untreated patients at initial diagnosis and were classified both morphologically and according to the stage. Patients were selected at random from a data base that included both survivors at 5 years and patients that relapsed in a shorter time. All patients, except patients with CRC stage IV, had surgery with curative intent and presented a tumor cell-free surgical resection margin. Two patients of stage II with histological factors of bad-prognosis, patients stage III and IV received adjuvant chemotherapy after surgery $(5-FU 400 \text{ mg/m}^2 \text{ and Leukovorin } 20 \text{ mg/m}^2, \text{ days } 1-5,$ every 28 days). All patients who died had clear evidence of uncontrolled tumor growth at the time of death. Table I shows some features of the studied population.

Immunohistochemistry (IHC)

Tumor specimens were fixed in 10% formaldehyde in PBS immediately after removal and processed to paraffin blocks. Representative serial sections (5 µm thick) were placed on positively charged glass slides and microwaved in citrate buffer (pH = 6) to recover antigenicity. Sections were incubated with the following commercial primary antibodies: anti E-cadherin and β-catenin (C20820 and C19220, respectively, Transduction Research^{Q1}), anti phospho β-catenin (Ser33); anti MMP-9 and anti TIMP-1 (sc-16743, sc-6840, and sc-6832, respectively from Santa Cruz^{Q2}Biotechnologies); anti MMP-7 (MAB3315, Chemicon^{Q3}) and anti TIMP-2 (clone 3A4, Novo Castra^{Q4}). Then, sections were incubated with biotinylated antimouse or anti-rabbit antibody, according to the species of the first antibody (Gibco, BRL, Gaithersburg, MD). After

TABLE I. Characteristics of the Studied Colorectal Carcinoma (CRC) Population

			Se	ex
Stage	n (84)	Age median (range)	M(n = 47)	F(n = 37)
Ι	18	55 (32-72)	9	9
II	17	70 (55-78)	10	7
III	36	66 (46-79)	19	17
IV	13	70 (60-77)	9	4

washing, sections were treated with Vectastain ABC kit Universal (PK-6200, Vector Laboratories, <u>Burlingame^{Q5}</u>) and then incubated with the chromogen 3,3'diaminodiaminobencidine (DAB). Finally, they were counterstained with Harris hematoxylin. Negative controls, missing out the first antibody or incubating with primary not related antibodies, were performed to discriminate background staining.

The expression of all antigens was analyzed by three independent observers and was scored according to the number of cells with positive bright brownish staining. Differences in the intensity of staining were not considered. The labeling index for each antigen was calculated as the percentage of labeled cells out of the total number of tumor cells counted. For some statistical analysis, scores were later dichotomized to a score of "negative" or "positive" staining. For E-cadherin and β -catenin a value of 10% was required before a case was accepted as positive. For MMPs (7 and 9) and TIMPs (1 and 2) the threshold of positivity was raised to 25%.

Statistical Analysis

We analyzed the relationship between the expression of the different antigens and the known prognostic factors in CRC: TNM stage (according to the American Joint Committee on Cancer, Cancer Staging Manual, Fifth Edition, 1997), differentiation grade [well differentiated (G1), moderately differentiated (G2), poorly differentiated (G3), and undifferentiated (G4)], tumor location [(ascending, sigmoid, rectum, and others (descending, transverse and coecum)], complications (perforation, bleeding, obstruction, or multiple), type of invasion (lymphatic, venous, perineural, or multiple), serosal invasion and the number of metastatic lymph nodes (0, 1-3, or >3). Taking in account that surgery was always successful and that adjuvant therapy was homogeneous for each stage (no treatment for stage I patients and adjuvant therapy for all stage III and IV patients), except for stage II where only 2/17 patients received the same systemic treatment, we considered that the variable therapy was already included in the variable stage and we did not include it in the statistical analysis.

For this analysis the Chi-square test and Pearson's correlation coefficients were employed. A difference of P < 0.05 was considered as significant.

The Kaplan–Meier method was used to estimate survival, defined as the time between tumor diagnosis and the patient's death or last contact at 5 years. In univariate survival analyses, two-sided log rank tests for equality of survivor functions were used to assess the prognostic significance of different parameters on antigen positivity. Multivariate analysis was performed using the stepwise Cox proportional hazards model to evaluate the predictive power of each variable independently of the others. All variables were entered in the multivariate analysis as categorical ones.

SPSSPC+ (version 10) for Windows software was used for the aforementioned analyses.

RESULTS

CRC Immunostaining of E-Cadherin and β-Catenin

The expression of E-cadherin and β -catenin was analyzed in 84 patients with colorectal carcinomas of different stages. In the normal colonic mucosa, distant at least 1 cm from the tumor, E-cadherin and β -catenin were uniformly present at the epithelial cell borders. E-cadherin specific antibody preferentially stained the plasma membrane of tumor cells. Forty-two percent of CRC samples showed a low expression of E-cadherin. Regarding β -catenin, we found that only 50% of samples were positive for its expression at membrane level. Employing a bivariate Pearson correlation test, we found that the expression of E-cadherin and β -catenin at membrane level were positively correlated (P < 0.01).

Interestingly, 55% of the CRC specimens showed staining for nuclear β -catenin, either with a diffuse pattern or forming speckles. Statistical analysis indicated a significant inverse correlation between the percentage of cells with membranous β -catenin immunostaining and that with nuclear expression of the antigen (Pearson, P < 0.005).

Additional studies showed that the phosphorylated form of β -catenin was exclusively localized in the cytoplasm (data not shown).

Possible relationships between antigens immunostaining and clinico-pathological features were analyzed, classifying cases as positive or negative according to the 10% cut-off. No significant association between Ecadherin and β -catenin labeling index at membrane level and the different prognostic factors was found. As an example, some associations are shown in Table II.

Expression of MMPs and TIMPs in CRC

A subgroup of 60 CRC cases was analyzed for the expression of MMPs and TIMPs. Adjacent "normal"

Parameter	n = 84	E-cadherin negative $(\%)^a$	β -catenin negative (%) ^a
Stage			
Ι	18	8 (44.4)	8 (44.4)
II	17	6 (35.3)	9 (52.9)
III	36	16 (44.4)	19 (52.8)
IV	13	5 (38.5)	7 (53.8)
Differentiation grade			
G1	14	6 (42.9)	6 (42.9)
G2	47	19 (40.4)	26 (55.3)
G3/G4	15	6 (40.0)	10 (66.7)
Metastatic lymph nodes			
0	37	15 (40.5)	19 (51.4)
1-3	28	10 (35.7)	14 (50.0)
>3	19	10 (52.6)	10 (52.6)
Localization (ascending)			
Colon	13	4 (30.8)	5 (38.5)
Sigmoid	20	11 (55.0)	12 (60.0)
Rectum	46	18 (39.1)	22 (47.8)
Other	5	3	1
Complications			
No	27	13 (48.1)	13 (48.1)
Perforation	7	-3 (42.9)	5 (71.4)
Bleeding	40	16 (40.0)	21 (52.5)
Obstruction	6	2 (33.3)	2 (33.3)
Multiple	3	3	2
Serosal invasion			
Yes	55	24 (43.6)	31 (56.4)
No	27	10 (37.0)	12 (44.4)
Type of invasion			
No	50	21 (42.0)	25 (50.0)
Lymphatic	21	9 (42.9)	11 (52.4)
Venous	4	2	2
Perineural	2	1	1
Multiple	7	2 (28.6)	4 (57.1)

TABLE II. Relationship Between the Expression of E-Cadherin and β-Catenin and Some Relevant Clinico-Pathological Features in the Prognosis of CRC

^aNo significant association was found (Chi-square test).

peritumoral colonic epithelium exhibited a weak expression of the gelatinase MMP-9 and the matrylisin MMP-7. On the contrary, CRC tumors presented a high number of cells stained, at cytoplasmic level, for both antigens. Thus, 49/60 (81.7%) and 32/60 (53.3%) CRC tumors were positive for MMP-7 and MMP-9 respectively, according to the chosen cut-off value.

TIMPs (1 and 2) labeling was also found in the cytoplasm of the tumor cells, showing either a highly granular or a diffuse quality. Almost all CRC cases showed some degree of staining for TIMP-1 and TIMP-2.

Interestingly staining was not restricted to epithelial tumor cells. We observed specific staining for all antigens in the extracellular matrix of the tumor stromal compartment. Moreover, we found a positive correlation between the expression of MMPs at cellular and stromal level. Similar results were observed for TIMPs expression.

Correlation analysis showed a significant positive association between MMP-9 and MMP-7 (P < 0.05)

and between MMP-7 and nuclear β -catenin (P < 0.05). Besides, statistical analysis did not reveal any significant association between MMPs or TIMPs expression and the different known prognostic factors (Table III).

A subgroup of 38 CRC cases was also analyzed for the expression of MMP-2. We observed a high immunopositivity that correlated with MMP-9 and TIMP-2 expression (data not shown).

Uni and Multivariate Analysis of Survival

Survival of CRC patients has been shown to be mainly dependent on the stage of the disease at the time of diagnosis. Staging involves the following criteria: tumor depth, presence and number of lymph node metastasis, and presence or absence of distant metastasis. In the CRC studied population the 5-year survival rate was 94.7% for stage I, 77.8% for stage II, and 57.9% for stage III, while stage IV carried a 0% 5-year survival rate.

Prognostic Factors	in	Colorectal	Cancer	5
--------------------	----	------------	--------	---

n = 60	MMP-9 positive (%)	MMP-7 positive (%)	TIMP-1 positive (%)	TIMP-2 positive (%)
10	4 (40.0)	7 (70.0)	3 (30.0)	5 (50.0)
14	7 (50.0)	11 (78.6)	5 (35.7)	11 (78.6)
23	10 (43.5)	20 (87.0)	9 (39.1)	11 (47.8)
13	11 (84.6)	11 (84.6)	4 (30.8)	6 (46.2)
8	4 (50.0)	7 (87.5)	2 (25.0)	5 (62.5)
38	23 (60.5)	30 (79.0)	13 (34.2)	21 (55.3)
12				4 (33.3)
		· · · ·		
26	13 (50.0)	21 (80.8)	9 (34.6)	17 (65.4)
17		· · · · ·	5 (29.4)	11 (64.7)
17				5 (29.4)
10	5 (50.0)	8 (80.0)	4 (40.0)	5 (50.0)
16	10 (62.5)	13 (81.3)	8 (50.0)	6 (37.5)
33	16 (48.5)		9 (27.3)	21 (63.6)
1	1	0	0	1
20	13 (65.0)	19 (95.0)	8 (40.0)	12 (60.0)
6				4 (66.7)
27				12 (44.4)
4			1	3
3	2	1	1	2
44	26 (59.1)	38 (86.4)	17 (38.6)	22 (50.0)
15				10 (66.7)
	, í í í		. ,	
36	16 (44.4)	27 (75.0)	11 (30.6)	19 (53.3)
	9 (60.0)	15 (100.0)	7 (46.7)	10 (66.7)
		3	1	1
				0
5	4	4	$\overset{\circ}{2}$	3
	$ \begin{array}{c} 10\\ 14\\ 23\\ 13\\ 8\\ 38\\ 12\\ 26\\ 17\\ 17\\ 10\\ 16\\ 33\\ 1\\ 20\\ 6\\ 27\\ 4\\ 3\\ 44\\ 15\\ 36\\ 15\\ 3\\ 1\\ \end{array} $	$\begin{array}{ccccccc} 10 & 4 & (40.0) \\ 14 & 7 & (50.0) \\ 23 & 10 & (43.5) \\ 13 & 11 & (84.6) \\ 8 & 4 & (50.0) \\ 38 & 23 & (60.5) \\ 12 & 4 & (33.3) \\ 26 & 13 & (50.0) \\ 17 & 9 & (52.9) \\ 17 & 10 & (58.8) \\ 10 & 5 & (50.0) \\ 16 & 10 & (62.5) \\ 33 & 16 & (48.5) \\ 1 & 1 \\ 20 & 13 & (65.0) \\ 6 & 3 & (50.0) \\ 27 & 12 & (44.4) \\ 4 & 1 \\ 3 & 2 \\ 44 & 26 & (59.1) \\ 15 & 6 & (40.0) \\ 36 & 16 & (44.4) \\ 15 & 9 & (60.0) \\ 3 & 2 \\ 1 & 0 \\ \end{array}$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	10 4 (40.0) 7 (70.0) 3 (30.0) 14 7 (50.0) 11 (78.6) 5 (35.7) 23 10 (43.5) 20 (87.0) 9 (39.1) 13 11 (84.6) 11 (84.6) 4 (30.8) 8 4 (50.0) 7 (87.5) 2 (25.0) 38 23 (60.5) 30 (79.0) 13 (34.2) 12 4 (33.3) 10 (83.3) 5 (41.7) 26 13 (50.0) 21 (80.8) 9 (34.6) 17 9 (52.9) 14 (82.4) 5 (29.4) 17 10 (58.8) 14 (82.4) 7 (41.2) 10 5 (50.0) 8 (80.0) 4 (40.0) 16 10 (62.5) 13 (81.3) 8 (50.0) 33 16 (48.5) 28 (84.8) 9 (27.3) 1 1 0 0 20 13 (65.0) 19 (95.0) 8 (40.0) 6 3 (50.0) 4 (66.6) 3 (50.0) 27 12 (44.4) 23 (85.1) 8 (29.6) 4 1 <

TABLE III. Relationship Between the Expression of MMPs (7 and 9) and TIMPs (1 and 2) and Some Relevant Clinico-Pathological Features in the Prognosis of CRC

No significant association was found (Chi-square test).

We analyzed the value of the expression of the different antigens on survival. Each analysis was done independently because the known biological interactions among the different molecules could interfere with the results.

Univariate analysis demonstrated a significant correlation between E-cadherin overexpression and overall survival (OS) at 5 years (Fig. 1). On the contrary, differences in survival were not statistically significant either for membranous or nuclear β -catenin, even when each stage was analyzed separately (data not shown). When the multivariate Cox proportional hazards analysis was performed, the loss of E-cadherin demonstrated to be an independent prognostic factor associated with worse OS (Table IV).

Kaplan–Meier curves for MMPs indicated that expression of MMPs was not associated with survival (data not shown). An interesting observation was that CRC patients whose tumors expressed MMP-7 in more than 50% of the cells showed an association with worse OS but with a borderline significance (Rank test 3.19, P = 0.07). On the other hand, patients survival analysis for CRC overexpressing both TIMP-1 and TIMP-2 showed a higher risk of death at 5 years (Fig. 2). Multivariate Cox analysis indicated that only TIMP-2 overexpression remained as a statistically significant prognostic factor after adjustment for the effects of the main prognostic factors in CRC pathology (Table V).

Our findings were validated because the multivariate analysis showed that high stage, high number of metastatic lymph nodes and the presence of lymphatic, venous, or perineural invasion were indicative of a shorter survival time in the studied CRC population, as other authors have found in other group of patients.

DISCUSSION

CRC is by far the most common malignancy of the gastrointestinal tract and it is without question, a "surgical disease." An estimated 92% of colon cancer patients and 84% of rectal cancer patients undergo surgical resection

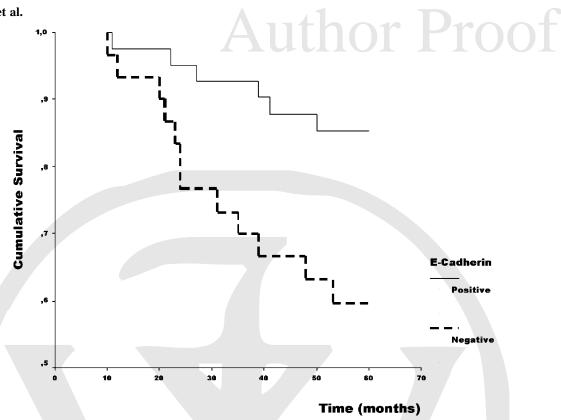


Fig. 1. Kaplan–Meier curves of overall survival categorized by E-cadherin labeling index. Tumors were classified as positive when $\geq 10\%$ of the cells were specifically stained. Difference in survival was statistically significant (Log rank test 6.31, P < 0.01).

as the primary modality of treatment. The prediction of outcome for the patient is, to a large extent, based on the pathologic assessment of the local disease and other tissue-based prognostic factors in the resection specimen [3]. But, despite the prognostic power of these parameters, the outcome for patients with tumors of similar stage is heterogeneous. To more accurately individualized prognosis additional tissue-based prognostic indicators have been sought on a molecular level. In this sense, in the present work, we studied the expression of key molecules, associated with the invasive process and their role in determining the outcome of CRC patients. We analyzed a group of homogeneously treated patients that included a high number of individuals with low stages, with a recording of high-quality clinical data and with a 5-year follow-up for the survivors.

It is known that normal epithelial cells express the transmembrane glycoprotein E-cadherin, that mediates the calcium-dependent adhesion between cells, and its intracellular partners catenins at the cell membrane [13].

TABLE IV. Cox Survival Model for E-Cadherin Overexpression in CRC H

Independent variable	Coefficient	Р	Relative risk	95% confidence interval
E-cadherin	-0.88	0.005	0.42	0.23-0.76
Sex	0.13	0.81	1.14	0.39-3.35
Stage	0.71	0.014	2.04	1.15-3.59
Differentiation grade	-0.05	0.90	0.95	0.42-2.14
Localization	0.31	0.31	1.36	0.75 - 2.47
Complication	-0.24	0.69	0.79	0.25-2.49
Invasion	0.48	0.035	1.61	1.05 - 2.48

In the present analysis, the variable number of metastatic lymph nodes was not included in the analysis because this variable is included in the stage. A new COX study replacing stage by the number of metastatic lymph nodes maintained E-cadherin as an independent tumor marker and confirmed that the presence of metastatic lymph nodes is an independent prognostic marker [Coef 1.06, P = 0.05, RR: 2.90 (1.38–6.07)].

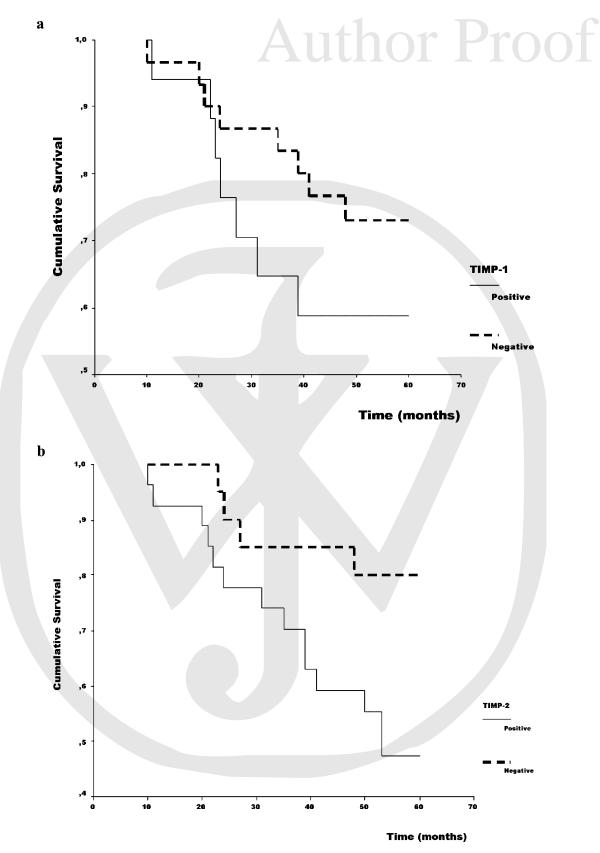


Fig. 2. Kaplan–Meier curves of overall survival categorized by TIMP-1 (a) and TIMP-2 (b) labeling index. Tumors were classified as positive when $\geq 25\%$ of the cells were specifically stained. Difference in survival was only statistically significant for TIMP-2 (Log rank test 4.69, P < 0.05).

Independent variable	Coefficient	Р	Relative risk	95% confidence interval
TIMP-2	1.32	0.001	3.76	1.69-8.36
Sex	-0.912	0.20	0.40	0.10-1.62
Stage	1.19	0.01	3.28	1.63-6.63
Differentiation grade	0.17	0.76	1.18	0.41-3.39
Localization	0.36	0.30	1.43	0.73-2.77
Complication	0.06	0.92	1.06	0.35-3.27
Invasion	0.10	0.69	1.11	0.67 - 1.82

TABLE V. Cox Survival Model for TIMP-2 Overexpression in CRC Patients

A new COX study replacing stage by the number of metastatic lymph nodes maintained TIMP-2 as an independent tumor marker and confirmed that the presence of metastatic lymph nodes is an independent prognostic marker [Coef 1.63, P = 0.001, RR: 5.08 (2.08-12.56)].

Reduced expression of both E-cadherin and β -catenin in carcinoma cells have been associated with dedifferentiation, increased invasiveness, and advanced stage in a number of tumors [14-17], including tumors of the digestive system [18–21]. We demonstrated a significant loss of E-cadherin and membrane β-catenin expression in our population of CRC tumors compared with normal colonic tissue. We found a high correlation between the expression of E-cadherin and B-catenin at membrane level in CRC cases. However, we did not find any relationship between decreased E-cadherin or β-catenin expressions and the main clinicopathological parameters in this population. Interestingly, survival analysis demonstrated that the subpopulation of patients with CRC tumor underexpressing E-cadherin had a worse evolution and furthermore the multivariate study demonstrate that this molecule could be considered an independent CRC prognosis marker. Other works have associated loss of E-cadherin with bad outcome in different tumoral pathologies, including CRC [19-23]. On the other hand, the prognostic value of β -catenin remains controversial [24]. According to our study β -catenin expression or accumulation in the nucleus did not correlate with survival in CRC patients.

Diverse mechanisms lead to β -catenin deregulation in tumors and its abnormal nuclear accumulation including mutations in NH₂-β-catenin sequences or alterations in the Wnt signaling pathway as well as inactivating mutations in the APC, AXIN1, and AXIN2 genes [25-27]. Interestingly, associated with the decreased β -catenin expression in cell membranes, we observed a nuclear accumulation of β -catenin in 55% of tumor specimens, as Mikami et al. [28] have also found. This finding suggests that β -catenin deregulation is a common feature of CRC, although we still do not know the mechanisms involved in this deregulation in our CRC population.

MMPs play a key role in the infiltration and metastasis of several carcinomas. They are regulatory molecules, both by functioning in enzyme cascades and by processing matrix proteins, cytokines, growth factors, and adhesion molecules to generate fragments with

enhanced or reduced biological effect [29]. Most MMPs are secreted as proenzymes into the ECM environment by stromal and tumoral cells [30]. Recently, it was understood the complex mechanism of activation of these latent forms to fulfill their proteolytic ability. It is known that TIMP-2 forms a complex with MT1-MMPs, an enzyme anchored to the plasma membrane and promotes the hydrolysis of pro-MMP-2 to its active form [31]. Besides, pro-MMP-9 can be activated by MMP-2, together with other enzymes as MMP-3 and MMP-13 [32]. In this sense, we found a significant coexpression between MMP-2 and TIMP-2 in our CRC samples. On the other side, we found a high correlation between MMP-9 and 2 expression suggesting that they may share some intracellular pathways able to regulate their synthesis, activation, and/or secretion.

Several authors have studied the expression of MMPs and TIMPs in human tumors employing different methodologies [33,34]. However, only few evaluated simultaneously the expression of several MMPs and TIMPs. In our study we found a very high expression of MMP-2, 7, and 9, possibly due to the fact that the employed antibodies recognize both latent and active MMPs forms. We observed specific MMPs staining not only in the cytoplasm of tumoral cells but also in the extracellular matrix of tumors, being both stainings highly correlated. On the other hand, our univariate studies indicated that no MMP was associated with the known clinico-pathological parameters in CRC.

MMP-7 (Matrylisin, pump-1) is the smallest known member of the MMP family, capable of degrading various ECM proteins, adhesion molecules as E-cadherin and also of activating pro-MMP-1, 2, and 9 [35,36]. MMP-7 is produced almost exclusively by epithelial cells, in particular by the glandular epithelium. When these cells have lost their polarity, MMP-7 is secreted through the luminal surface. The capacity of MMP-7 to degrade vascular basement membranes suggests its potential to facilitate hematogenous metastases. It has been reported that MMP-7 is overexpressed both in benign and malignant CRC [37]. We found an extremely

high expression of MMP-7 in our series of CRC, as almost 80% of the tumors presented more than 25% of positive cells. To emphasize the role of MMP-7 some authors demonstrated that the treatment of human colon carcinoma cells with active matrilysin promotes liver metastasis in nude mice through the modulation of the E-cadherin / β -catenin system [38]. On the other hand, it has been reported that the transcription of MMP-7 is regulated by β -catenin in colon cancer [39]. In the present study, we found a correlation between staining for nuclear β -catenin and the expression of MMP-7. Thus, it is possible that β -catenin could be regulating the enhanced secretion of this metalloproteinase.

Although an association between MMP-9 expression and survival has been reported by other authors [34,40], in the present series of CRC patients no association was found. As only a high expression of MMP-7 (in more than 50% of tumoral cells) showed a borderline significant association with poor survival, it would be important to extend the study to a higher number of patients.

We observed a high expression of TIMP-1 and 2, both in the cytoplasm of the tumoral cells and in the ECM, with no association with any of the prognostic markers in CRC pathology. Although early studies have shown that TIMPs have antitumor or antimetastatic effects, more recent reports indicate a dual function for these molecules, as TIMPs can directly affect cell growth and survival independently of their actions on MMPs [41,42]. High TIMPs expression in tumor tissue closely correlates with poor outcome in some human cancers [34,43]. With regard to gastrointestinal tumors, it was reported that increased TIMP-1/TIMP-2 mRNA levels correlated with tumor stage, lymph node metastasis and shortened survival in patients [44]. We found that both TIMP1 and 2 were associated with bad outcome in CRC but after multivariate analysis, only the overexpression of TIMP-2 could predict overall survival in an independent way. The paradoxical poor prognostic significance of TIMP-2 overexpression, as demonstrated in this study and others, warrants further investigation to fully understand the complex MMP/TIMP interactions, mainly taking into account that several pharmaceutical companies are currently developing low-molecular weight molecules to modulate MMPs for clinical use.

CONCLUSIONS

In this study, we demonstrate alterations in the Ecadherin/ β -catenin system with concomitant loss of Ecadherin and β -catenin at membrane level and an abnormal accumulation of nuclear β -catenin. Besides, we found that all MMPs studied and both TIMPs were overexpressed in CRC tissue at protein level. The expression of these molecules was not associated with the known clinical and pathological parameters employed in CRC pathology. However, a multivariate analysis demonstrated that the overall survival could be predicted by the loss of E-cadherin and by the overexpression of TIMP-2. Besides, our results are validated by the fact that overall survival can be also predicted by the stage, the number of metastatic lymph nodes and the occurrence of vascular and/or neural invasion, indicating the representativity of the studied group.

A deeper understanding of the molecular genetic abnormalities involved in CRC pathogenesis, biological behavior, and therapeutic response may help to identify new targets for therapy and thereby improve patients' stratification and our ability to treat them successfully.

REFERENCES

- Matos E, Loria D, Zengarini N, et al.: Atlas de Mortalidad por Cáncer. Argentina 1997–2001. Buenos Aires, Matos E and Loria D, 2003.
- Flatmark K, Bjornland K, Johannessen HO, et al.: Study group for micrometastases in BM in colorectal cancer. Immunomagnetic detection of micrometastatic cells in bone marrow of colorectal cancer patients. Clin Cancer Res 2002;8:444–449.
- Compton CC: The pathology report in colorectal cancer: A user's guide. Pathology report in colorectal cancer. Proceedings of the 39th American Society of Clinical Oncology (ASCO) Annual Meeting 2003; 502–516.
- 4. Shiozaki H, Oka H, Inoue M, et al.: E-cadherin mediated adhesion system in cancer cells. Cancer 1996;77:1605–1613.
- Cadigan KM, Nusse R: Wnt signaling: A common theme in animal development. Genes Dev 1997;11:3286–3305.
- Peifer M, Polakis P: Wnt signaling in oncogenesis and embryogenesis—A look outside the nucleus. Science 2000;287: 1606–1609.
- Tetsu O, Mc Cormick F: Beta-catenin regulates expression of cyclin D1 in colon carcinoma cells. Nature 1999;398:422– 426.
- Crawford HC, Fingleton BM, Rudolph-Owen LA, et al.: The metalloproteinase matrilysin is a target of β-catenin transactivation in intestinal tumors. Oncogene 1999;18:2883–2891.
- Friedl P, Wolf K: Tumor-cell invasion and migration: Diversity and escape mechanisms. Nat Rev Cancer 2003;3:362–373.
- Kermorgant S, Aparicio T, Dessirier V, et al.: Hepatocyte growth factor induces colonic cancer cell invasiveness via enhanced motility and protease overproduction. Evidence for PI3 kinase and PKC involvement. Carcinogenesis 2001;22:1035–1042.
- Egeblad M, Werb Z: New functions for the matrix metalloproteinases in cancer progression. Nat Rev Cancer 2002;2:161– 174.
- Skiles JW, Gonnella NC, Jeng AY: The design, structure and therapeutic application of matrix metalloproteinase inhibitors. Curr Med Chem 2001;8:425–474.
- Shimoyama Y, Hirohashi S, Hirano A, et al.: Cadherin celladhesion molecules in human epithelial tissues and carcinomas. Cancer Res 1989;49:23–28.
- Shiozaki H, Tahara H, Oka H, et al.: Expression of immunoreactive E-cadherin adhesion molecules in human cancers. Am J Pathol 1991;139:17–23.
- Takayama T, Shiozaki H, Shibamoto S, et al.: β-catenin expression in human cancers. Am J Pathol 1996;148:39–46.
- Furuta K, Yoshioka S, Okabe S, et al.: Expressions of two adenomatous polyposis coli and E-cadherin proteins on human colorectal cancers. Virchows Arch 2003;442:266–270.
- 17. Kudo Y, Kitajima S, Ogawa I, et al.: Invasion and metastasis of oral cancer cells require methylation of E-cadherin and/or

10 Roca et al.

degradation of membranous beta-catenin. Clin Cancer Res 2004;10:5455–5463.

- Takayama T, Shizaki H, Doki Y, et al.: Aberrant expression and phosphorylation of beta-catenin in human colorectal cancer. Br J Cancer 1998;77:605–613.
- Dorudi S, Sheffield JP, Poulsom R, et al.: E-cadherin expression in colorectal cancer. An immunocytochemical and in situ hybridization study. Am J Pathol 1993;142:981–986.
- Aoki S, Shimamura T, Shibata T, et al.: Prognostic significance of dysadherin expression in advanced colorectal carcinoma. Br J Cancer 2003;88:726–732.
- Mohri Y: Prognostic significance of E-cadherin expression in human colorectal cancer tissue. Surg Today 1997;27:606–612.
- Faleiro-Rodrigues C, Macedo-Pinto I, Pereira D, et al.: Prognostic value of E-cadherin immunoexpression in patients with primary ovarian carcinomas. Ann Oncol 2004;15:1535–1542.
- Lin YC, Wu MY, Li DR, et al.: Prognostic and clinicopathological features of E-cadherin, alpha-catenin, beta-catenin, gammacatenin, and cyclin D(1) expression in human esophageal squamous cell carcinoma. World J Gastroenterol 2004;10: 3235–3239.
- Inagawa S, Itabashi M, Adachi S, et al.: Expression and prognostic roles of beta-catenin in hepatocellular carcinoma: Correlation with tumor progression and postoperative survival. Clin Cancer Res 2002;8:450–456.
- Morin PJ, Sparks AB, Korinek V, et al.: Activation of betacatenin-Tcf signaling in colon cancer by mutations in beta-catenin or APC. Science 1997;275:1787–1790.
- Ilyas M, Tomlinson IP, Rowan A, et al.: β-catenin mutations in cell lines established from human colorectal cancers. Proc Natl Acad Sci USA 1997;94:10330–10334.
- 27. Ikeda S, Kishida M, Matsuura Y, et al.: GSK-3beta-dependent phosphorylation of adenomatous polyposis coli gene product can be modulated by beta-catenin and protein phosphatase 2 A complexed with AXIN. Oncogene 2000;19:537–545.
- Mikami T, Mitomi H, Hara A, et al.: I. Decreased expression of CD44, alpha-catenin, and deleted colon carcinoma and altered expression of beta-catenin in ulcerative colitis-associated dysplasia and carcinoma, as compared with sporadic colon neoplasm. Cancer 2000;89:733–740.
- 29. Mott JD, Werb Z: Regulation of matrix biology by matrix metalloproteinases. Curr Opin Cell Biol 2004;16:558–564.
- 30. Zucker S, Vacirca J: Role of matrix metalloproteinases (MMPs) in colorectal cancer. Cancer Metastasis Rev 2004;23:101–117.
- Bernardo MM, Fridman R: TIMP-2 (tissue inhibitor of metalloproteinase-2) regulates MMP-2 (matrix metalloproteinase-2) activity in the extracellular environment after pro-MMP-2 activation by MT1 (membrane type 1)-MMP. Biochem J 2003; 374:739–745.
- <u>Q1</u>: Au please provide the location.
- <u>Q2</u>: Au please provide the location.
- <u>Q3</u>: Au please provide the location.
- <u>O4</u>: Au please provide the location.
- <u>O5</u>: Au please provide the state name.

Synopsis for Table of Contents

We analyzed retrospectively the prognostic input of E-cadherin, β -catenin, MMPs (7 and 9), and TIMPs (1 and 2) in patients with colorectal carcinoma with a follow-up period of 5 years for survivors. The multivariate analysis demonstrated that the overall survival of patients could be independently predicted by the loss of E-cadherin and the overexpression of TIMP-2.

- Fridman R, Toth M, Pena D, et al.: Activation of progelatinase B (MMP-9) by gelatinase A (MMP-2). Cancer Res 1995;55:2548– 2555.
- Sier CF, Kubben FGM, Ganesh S, et al.: Tissue levels of matrix metalloproteinases MMP-2 and MMP-9 are related to the overall survival of patients with gastric carcinoma. Br J Cancer 1996;73: 413–417.
- 34. Kallakury BVS, Karikehalli S, Haholu A, et al.: Increased expression of matrix metalloproteinases 2 and 9 and tissue inhibitors of metalloproteinases 1 and 2 correlate with poor prognosis variables in renal cell carcinoma. Clin Cancer Res 2001;7:3113–3119.
- Wilson CL, Matrisian LM: Matrilysin: An epithelial matrix metalloproteinase with potentially novel functions. Int J Biochem Cell Biol 1996;28:123–136.
- Imai K, Yokohama Y, Nakanishi I, et al.: Matrix metalloproteinase 7 (matrilysin) from human rectal carcinoma cells. Activation of the precursor, interaction with other matrix metalloproteinases and enzymic properties. J Biol Chem 1995;270:6691– 6697.
- Newell KJ, Witty JP, Rodgers WH, et al.: Expression and localization of matrix-degrading metalloproteinases during colorectal tumorigenesis. Mol Carcinog 1994;10:199–206.
- Kioi M, Yamamoto K, Higashi S, et al.: Matrilysin (MMP-7) induces homotypic adhesion of human colon cancer cells and enhances their metastatic potential in nude mouse model. Oncogene 2003;22:8662–8670.
- Brabletz T, Jung A, Dag S, et al.: Beta-catenin regulates the expression of the matrix metalloproteinase-7 in human colorectal cancer. Am J Pathol 1999;155:1033–1038.
- Sier CFM, Kubben FJGM, Ganesh S, et al.: Tissue levels of matrix metalloproteinases MMP-2 and MMP-9 are related to the overall survival of patients with gastric carcinoma. Br J Cancer 1996;74:413–417.
- Corcoran ML, Stetler-Stevenson WG: Tissue inhibitor of metalloproteinase-2 stimulates fibroblast proliferation via a c-AMP-dependent mechanism. J Biol Chem 1995;270:13453– 13459.
- Guedez L, Stetler-Stevenson WG, Wolff L, et al.: In vitro suppression of programmed cell death of B cells by tissue inhibitor of metalloproteinases-1. J Clin Invest 1998;102:2002– 2010.
- Grignon DJ, Sakr W, Toth M, et al.: High levels of tissue inhibitor of metalloproteinase-2 (TIMP-2) expression are associated with poor outcome in invasive bladder cancer. Cancer Res 1996;56: 1654–1659.
- Murashige M, Miyahara M, Shiraishi N, et al.: Enhanced expression of tissue inhibitors of metalloproteinases in human colorectal tumors. Jpn J Clin Oncol 1996;26:303–309.



111 RIVER STREET, HOBOKEN, NJ 07030

ELECTRONIC PROOF CHECKLIST, JOURNAL OF SURGICAL ONCOLOGY

IMMEDIATE RESPONSE REQUIRED

Please follow these instructions to avoid delay of publication.

READ PROOFS CAREFULLY

- This will be your <u>only</u> chance to review these proofs.
- Please note that the volume and page numbers shown on the proofs are for position only.

ANSWER ALL QUERIES ON PROOFS (Queries for you to answer are attached as the last page of your proof.)

• Mark all corrections directly on the proofs. Note that excessive author alterations may ultimately result in delay of publication and extra costs may be charged to you.

CHECK FIGURES AND TABLES CAREFULLY (Color figures will be sent under separate cover.)

- Check size, numbering, and orientation of figures.
- All images in the PDF are downsampled (reduced to lower resolution and file size) to facilitate Internet delivery. These images will appear at higher resolution and sharpness in the printed article.
- Review figure legends to ensure that they are complete.
- Check all tables. Review layout, title, and footnotes.

COMPLETE REPRINT ORDER FORM

• Fill out the attached reprint order form. It is important to return the form <u>even if you are not ordering reprints</u>. You may, if you wish, pay for the reprints with a credit card. Reprints will be mailed only after your article appears in print. This is the most opportune time to order reprints. If you wait until after your article comes off press, the reprints will be considerably more expensive.

RETURN

PROOFS
REPRINT ORDER FORM
CTA (If you have not already signed one)

RETURN WITHIN 48 HOURS OF RECEIPT VIA FAX TO Jeffrey Collins AT 201-748-6825

QUESTIONS?

Jeffrey Collins, Senior Production Editor Phone: 201-748-8864 E-mail: jecollin@wiley.com Refer to journal acronym and article production number (i.e., JSO 00-001 for *Journal of Surgical Oncology* ms 00-001).



111 River Street Hoboken, NJ 07030, USA 201-748-8864 FAX: 201-748-6825

> (the "Contribution") (the "Journal")

COPYRIGHT TRANSFER AGREEMENT

Date:

To:

Re: Manuscript entitled ____

for publication in ______published by Wiley-Liss, Inc., a subsidiary of John Wiley & Sons, Inc. ("Wiley").

Dear Contributor(s):

Thank you for submitting your Contribution for publication. In order to expedite the publishing process and enable Wiley to disseminate your work to the fullest extent, we need to have this Copyright Transfer Agreement signed and returned to us as soon as possible. If the Contribution is not accepted for publication this Agreement shall be null and void.

A. COPYRIGHT

- 1. The Contributor assigns to Wiley, during the full term of copyright and any extensions or renewals of that term, all copyright in and to the Contribution, including but not limited to the right to publish, republish, transmit, sell, distribute and otherwise use the Contribution and the material contained therein in electronic and print editions of the Journal and in derivative works throughout the world, in all languages and in all media of expression now known or later developed, and to license or permit others to do so.
- 2. Reproduction, posting, transmission or other distribution or use of the Contribution or any material contained therein, in any medium as permitted hereunder, requires a citation to the Journal and an appropriate credit to Wiley as Publisher, suitable in form and content as follows: (Title of Article, Author, Journal Title and Volume/Issue Copyright © [year] Wiley-Liss, Inc. or copyright owner as specified in the Journal.)

B. RETAINED RIGHTS

Notwithstanding the above, the Contributor or, if applicable, the Contributor's Employer, retains all proprietary rights other than copyright, such as patent rights, in any process, procedure or article of manufacture described in the Contribution, and the right to make oral presentations of material from the Contribution.

C. OTHER RIGHTS OF CONTRIBUTOR

Wiley grants back to the Contributor the following:

1. The right to share with colleagues print or electronic "preprints" of the unpublished Contribution, in form and content as accepted by Wiley for publication in the Journal. Such preprints may be posted as electronic files on the Contributor's own website for personal or professional use, or on the Contributor's internal university or corporate networks/intranet, or secure external website at the Contributor's institution, but not for commercial sale or for any systematic external distribution by a third party (e.g., a listserve or database connected to a public access server). Prior to publication, the Contributor must include the following notice on the preprint: "This is a preprint of an article accepted for publication in [Journal title] © copyright (year) (copyright owner as specified in the Journal)". After publication of the Contribution by Wiley, the preprint notice should be amended to read as follows: "This is a preprint of an article published in [include the complete citation information for the final version of the Contribution as published in the print edition of the Journal]", and should provide an electronic link to the Journal's WWW site, located at the following Wiley URL: http://www.interscience.Wiley.com/. The Contributor agrees not to update the preprint or replace it with the published version of the Contribution.

Production/Contribution ID#_____ Publisher/Editorial office use only

- 2. The right, without charge, to photocopy or to transmit online or to download, print out and distribute to a colleague a copy of the published Contribution in whole or in part, for the Contributor's personal or professional use, for the advancement of scholarly or scientific research or study, or for corporate informational purposes in accordance with Paragraph D.2 below.
- 3. The right to republish, without charge, in print format, all or part of the material from the published Contribution in a book written or edited by the Contributor.
- 4. The right to use selected figures and tables, and selected text (up to 250 words, exclusive of the abstract) from the Contribution, for the Contributor's own teaching purposes, or for incorporation within another work by the Contributor that is made part of an edited work published (in print or electronic format) by a third party, or for presentation in electronic format on an internal computer network or external website of the Contributor or the Contributor's employer.
- 5. The right to include the Contribution in a compilation for classroom use (course packs) to be distributed to students at the Contributor's institution free of charge or to be stored in electronic format in datarooms for access by students at the Contributor's institution as part of their course work (sometimes called "electronic reserve rooms") and for in-house training programs at the Contributor's employer.

D. CONTRIBUTIONS OWNED BY EMPLOYER

- 1. If the Contribution was written by the Contributor in the course of the Contributor's employment (as a "work-made-forhire" in the course of employment), the Contribution is owned by the company/employer which must sign this Agreement (in addition to the Contributor's signature), in the space provided below. In such case, the company/employer hereby assigns to Wiley, during the full term of copyright, all copyright in and to the Contribution for the full term of copyright throughout the world as specified in paragraph A above.
- 2. In addition to the rights specified as retained in paragraph B above and the rights granted back to the Contributor pursuant to paragraph C above, Wiley hereby grants back, without charge, to such company/employer, its subsidiaries and divisions, the right to make copies of and distribute the published Contribution internally in print format or electronically on the Company's internal network. Upon payment of the Publisher's reprint fee, the institution may distribute (but not resell) print copies of the published Contribution externally. Although copies so made shall not be available for individual re-sale, they may be included by the company/employer as part of an information package included with software or other products offered for sale or license. Posting of the published Contribution by the institution on a public access website may only be done with Wiley's written permission, and payment of any applicable fee(s).

E. GOVERNMENT CONTRACTS

In the case of a Contribution prepared under U.S. Government contract or grant, the U.S. Government may reproduce, without charge, all or portions of the Contribution and may authorize others to do so, for official U.S. Government purposes only, if the U.S. Government contract or grant so requires. (U.S. Government Employees: see note at end).

F. COPYRIGHT NOTICE

The Contributor and the company/employer agree that any and all copies of the Contribution or any part thereof distributed or posted by them in print or electronic format as permitted herein will include the notice of copyright as stipulated in the Journal and a full citation to the Journal as published by Wiley.

G. CONTRIBUTOR'S REPRESENTATIONS

The Contributor represents that the Contribution is the Contributor's original work. If the Contribution was prepared jointly, the Contributor agrees to inform the co-Contributors of the terms of this Agreement and to obtain their signature to this Agreement or their written permission to sign on their behalf. The Contribution is submitted only to this Journal and has not been published before, except for "preprints" as permitted above. (If excerpts from copyrighted works owned by third parties are included, the Contributor will obtain written permission from the copyright owners for all uses as set forth in Wiley's permissions form or in the Journal's Instructions for Contributors, and show credit to the sources in the Contribution.) The Contributor also warrants that the Contribution contains no libelous or unlawful statements, does not infringe on the rights or privacy of others, or contain material or instructions that might cause harm or injury.

[]Contributor-owned work	Contributor's signature	Date
	Type or print name and title	
	Co-contributor's signature	Date
	Type or print name and title	
	ATTACH ADDITIONAL SIGNATURE PA	GE AS NECESSARY
[]Company/Institution-owned work (made-for-hire in the	Company or Institution (Employer-for-Hire)	Date
course of employment)	Authorized signature of Employer	Date

[____]U.S. Government work

Note to U.S. Government Employees

A Contribution prepared by a U.S. federal government employee as part of the employee's official duties, or which is an official U.S. Government publication is called a "U.S. Government work," and is in the public domain in the United States. In such case, the employee may cross out Paragraph A.1 but must sign and return this Agreement. If the Contribution was not prepared as part of the employee's duties or is not an official U.S. Government publication, it is not a U.S. Government work.

[____]U.K. Government work (Crown Copyright)

Note to U.K. Government Employees

The rights in a Contribution prepared by an employee of a U.K. government department, agency or other Crown body as part of his/her official duties, or which is an official government publication, belong to the Crown. In such case, the Publisher will forward the relevant form to the Employee for signature.



JOURNAL OF SURGICAL ONCOLOGY

Telephone Number:

Facsimile Number:

To:	Jeffrey Collins	At FAX #: 201-748-6825
From:	Dr.	
Date:		

Re: Journal of Surgical Oncology, ms #

Dear Mr. Jeffrey

Attached please find corrections to ms# _____. Please contact me should you have any difficulty reading this fax at the numbers listed below.

Office phone: Email: Fax: Lab phone:

I will return color figure proofs (if applicable) once I have checked them for accuracy.

Thank you,

Dr.

E-proofing feedback comments:



REPRINT BILLING DEPARTMENT • 111 RIVER STREET, HOBOKEN, NJ 07030 PHONE: (201) 748-8864; FAX: (201) 748-6825 E-MAIL: reprints@wiley.com <u>PREPUBLICATION REPRINT ORDER FORM</u>

Please complete this form even if you are not ordering reprints. This form **MUST** be returned with your corrected proofs and original manuscript. Your reprints will be shipped approximately 4 weeks after publication. Reprints ordered after printing will be substantially more expensive.

JOURNAL Journal of Surgical Oncology			VOLUME		ISSUE	
TITLE OF MANUSCRIPT	۲ 					
MS. NO	NO. OF PAGES	AUTHOR(S)				
No. of Pages	100 Reprints	200 Reprints	300 Reprints	400 Reprints	500 Reprints	
1-4	\$ 336	\$ 501	\$ 694	\$ 890	\$ 1052	
5-8	469	703	987	1251	1477	
9-12	594	923	1234	1565	1850	
13-16	714	1156	1527	1901	2273	
17-20	794	1340	1775	2212	2648	
21-24	911	1529	2031	2536	3037	
25-28	1004	1707	2267	2828	3388	
29-32	1108	1894	2515	3135	3755	
33-36	1219	2092	2773	3456	4143	
37-40	1329	2290	3033	3776	4528	
Please send me Please add appropriate s for United States orders	s only.	(Tax Exempt No Please add 5% Posta TOTAL AMOUNT	age and Handling) <u>\$</u>		
**International orders r Please check one: If credit card order, chan	must be paid in currency and Check enclosed rge to: America	d drawn on a U.S. bank	Bill me	Credit Card MasterCard		
Credit Card No		Signature			Exp. Date	
BILL TO: Name			SHIP TO: (P) Name	lease, no P.O. Box numbers)		
Institution			Institution			
Address			Address			
Purchase Order No.			Phone	Fax		
			E-mail			

Softproofing for advanced Adobe Acrobat Users - NOTES tool

NOTE: ACROBAT READER FROM THE INTERNET DOES NOT CONTAIN THE NOTES TOOL USED IN THIS PROCEDURE.

Acrobat annotation tools can be very useful for indicating changes to the PDF proof of your article. By using Acrobat annotation tools, a full digital pathway can be maintained for your page proofs.

The NOTES annotation tool can be used with either Adobe Acrobat 4.0, 5.0 or 6.0. Other annotation tools are also available in Acrobat 4.0, but this instruction sheet will concentrate on how to use the NOTES tool. Acrobat Reader, the free Internet download software from Adobe, DOES NOT contain the NOTES tool. In order to softproof using the NOTES tool you must have the full software suite Adobe Acrobat 4.0, 5.0 or 6.0 installed on your computer.

Steps for Softproofing using Adobe Acrobat NOTES tool:

1. Open the PDF page proof of your article using either Adobe Acrobat 4.0, 5.0 or 6.0. Proof your article on-screen or print a copy for markup of changes.

2. Go to File/Preferences/Annotations (in Acrobat 4.0) or Document/Add a Comment (in Acrobat 6.0 and enter your name into the "default user" or "author" field. Also, set the font size at 9 or 10 point.

3. When you have decided on the corrections to your article, select the NOTES tool from the Acrobat toolbox and click in the margin next to the text to be changed.

4. Enter your corrections into the NOTES text box window. Be sure to clearly indicate where the correction is to be placed and what text it will effect. If necessary to avoid confusion, you can use your TEXT SELECTION tool to copy the text to be corrected and paste it into the NOTES text box window. At this point, you can type the corrections directly into the NOTES text box window. DO NOT correct the text by typing directly on the PDF page.

5. Go through your entire article using the NOTES tool as described in Step 4.

6. When you have completed the corrections to your article, go to File/Export/Annotations (in Acrobat 4.0) or Document/Add a Comment (in Acrobat 6.0).

7. When closing your article PDF be sure NOT to save changes to original file.

8. To make changes to a NOTES file you have exported, simply re-open the original PDF proof file, go to File/Import/Notes and import the NOTES file you saved. Make changes and re-export NOTES file keeping the same file name.

9. When complete, attach your NOTES file to a reply e-mail message. Be sure to include your name, the date, and the title of the journal your article will be printed in.