# Accepted Manuscript

A semi-closed loop microalgal biomass production-platform for ethanol from renewable sources of nitrogen and phosphorous

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PII: S0959-6526(19)30338-5

DOI: https://doi.org/10.1016/j.jclepro.2019.01.311

Reference: JCLP 15698

To appear in: Journal of Cleaner Production

Received Date: 2 August 2018

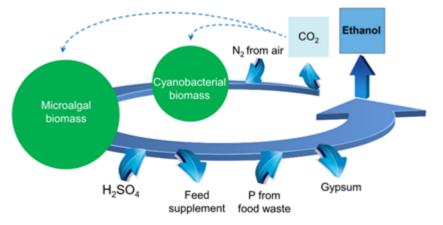
Revised Date: 25 January 2019

Accepted Date: 28 January 2019

Please cite this article as: Rizza LS, Coronel CD, Sanz Smachetti ME, Nascimento MD, Curatti L, A semi-closed loop microalgal biomass production-platform for ethanol from renewable sources of nitrogen and phosphorous, *Journal of Cleaner Production* (2019), doi: https://doi.org/10.1016/j.jclepro.2019.01.311.

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Production of feed and ethanol at the expense of  $N_2$  from the air and P recovered from food waste by recycling  $H_2SO_4$  used for biomass saccharification.

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16	Declarations of interest: none.
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#### 22 Abstract

Production of microalgal biomass for feed and fuels demands unsustainable large amounts of fertilizers. The most broadly considered alternative sources of nutrients/fertilizer for microalgae are wastewater and internal recycling in closed-loop production platforms. However, these strategies largely disable co-production of feed and fuel in biomass biorefineries for an increased economic and environmental feasibility.

28 In this study, we aimed at providing proof-of-concept for a semi-closed loop microalgal 29 production-platform and biomass biorefinery for ethanol and feed from renewable resources of N and P. Atmospheric N<sub>2</sub> was assimilated into a N<sub>2</sub>-fixing cyanobacterial biomass, which 30 sustained growth of a microalga that accumulated high levels of carbohydrates (up to 60% 31 (w/w)) as a sole source of fertilizer. The microalgal biomass was efficiently saccharified with 32  $H_2SO_4$ , which was recycled to release soluble  $PO_4^{3-}$  from bone meal as a renewable source of 33 P. Fermenting these P-enriched preparations with yeasts guantitatively produced ethanol at 34 theoretical yields, a concentration of up to 50 g ethanol . L<sup>-1</sup> and a yield of 0.25 g ethanol . g 35 biomass<sup>-1</sup>. Calculations suggested a potential yield from 7,600 to 10,800 L ethanol . ha<sup>-1</sup> . year<sup>-1</sup>, 36 under Buenos Aires environmental conditions, which would be higher than that currently 37 obtained from maize feedstocks. The residual fermentation vinasse, supplemented with P and 38 containing other downstream-process reagents, was recycled as a sole source of 39 macronutrients for the cultivation of the  $N_2$ -fixing cyanobacterium to close the production cycle. 40 Water recycling and co-production of residual biomass enriched in fat and protein as potential 41 42 feed are also shown. This semi-closed loop biomass production-platform reconciles the concepts of microalgal biomass biorefineries for the co-production of feedstocks for biofuels and 43 feed and nutrients recycling in closed-loop systems that largely minimizes production of waste. 44

45

46 **Keywords:** ethanol, feed, biological N<sub>2</sub>-fixation, bone meal, sulfuric acid

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### 50 1. Introduction

Global development has posed a growing dependence on both fossil fuels (for energy and 51 materials) and industrialized agriculture (for food, feed and feedstocks for biofuels for renewable 52 energy). This results in a serious challenge to the quality of the environment and the 53 54 sustainability of the current production systems (Börjesson and Tufvesson, 2011). The most affected parameters are: i) the rate of biodiversity loss; ii) climate change, and iii) anthropogenic 55 interference with the N cycle, mostly by production and use of synthetic N fertilizers in 56 57 agriculture (Rockström et al., 2009). Demand and price of N and P fertilizers are increasing steadily up to an estimate of 120 Mt of elemental N and 47 Mt of  $P_2O_5$  in 2018 (Heuer et al., 58 2017). While P fertilizers are produced from rocks or sediments, whose reserves are unevenly 59 distributed and highly susceptible to depletion (Simons et al., 2013), N fertilizer is mostly 60 obtained by the industrial Haber–Bosch process from atmospheric  $N_2$  at the expense of large 61 amounts of fossil fuel (Sutton et al., 2011). Whereas in some regions of the world the availability 62 63 of fertilizers limits crops yields, an incorrect dose or timing of application results in up to 70% of 64 the fertilizer lost in the environment in other regions. This not only represents an unnecessary waste of energy and non-renewable resources, but also produces a number of adverse 65 66 conditions on climate change (Shcherbak et al., 2014), eutrophication (Lewis et al., 2011) and public health (Liu et al., 2013). 67

In present times, the most common biofuel is first generation bioethanol, which is produced from
 agricultural feedstocks such as corn or sugarcane in the US or Brazil, respectively. Despite the

70 great benefits associated with partial replacement of some fossil fuels, the fact that present and future global food security is still not fully warranted poses a serious concern on the use of these 71 72 feedstocks for bioenergy purposes (Gray et al., 2006). A second generation of bioethanol from plant lignocellulosic feedstocks has been more recently envisioned. Compared with the previous 73 74 generation, the second generation offers clear advantages, such as broad availability and low 75 cost of the feedstock, and non-competition with food production. However, they face severe disadvantages due to the composition and structure of the lignocellulosic biomass, which 76 77 requires quite intensive mechanical and physicochemical pretreatments, and due to expensive saccharifying enzymes for its conversion into ethanol (Kumar et al., 2016). Regardless of the 78 79 nature of the feedstock, ethanol production from biomass generates large volumes of waste, called vinasse. The amount of vinasse generated after fermentation and distillation of ethanol 80 can be up to 20-fold the production of ethanol. Safe disposal and recycling of vinasse for 81 fertirrigation appears to be the best alternative, among others (Moran-Salazar et al., 2016). 82 83 Sugarcane vinasse can satisfy the requirements of P and other minerals for most crops (Moran-84 Salazar et al., 2016). However, it is mostly N-deficient, and thus it tends to promote the accumulation of minerals in the soil up to levels that may become detrimental to the 85 environment (Rodrigues Reis and Hu, 2017). Low pH, electric conductivity, and some chemical 86 elements present in vinasse may also contribute, over long periods of time, to adverse effects 87 on agricultural soils, rivers, lakes and biota (Christofoletti et al., 2013). 88

The motivation of the present research was to advance in the design of a microalgae-based alternative biomass production-platform for the generation of bioethanol and feed. This new approach takes advantage of inexpensive and renewable sources of N and P fertilizers, together with extensive recycling of vinasse and reagents used for biomass downstream processes.

94 Aquatic microalgae and cyanobacteria are increasingly considered a promising alternative to conventional crops as feedstocks for food and feed, biofuels, and other higher-value products 95 (Yong et al., 2016). This is mainly because of a much higher photosynthetic productivity (a 96 conservative potential of about 50-fold), a more favorable biochemical composition and 97 98 structural properties than biomass of terrestrial crops as a feedstock for bioethanol, and independence of arable land for cultivation (Brennan and Owende, 2010). Despite their 99 100 predominant aquatic lifestyle, microalgae have a more favorable water footprint than terrestrial 101 crops as a comparable feedstock for biofuels (Rulli et al., 2016). Culturing in closed systems (e.g. photobioreactors), or partially closed systems (e.g. open ponds) (Brennan and Owende, 102 103 2010), microalgae cultivation allows a higher control of fertilizers and wastewater discharges into the environment, among other operational parameters. 104

According to a general formula of C<sub>106</sub>H<sub>181</sub>O<sub>45</sub>N<sub>16</sub>P for microalgal biomass composition, nutrients 105 106 are to be supplied at appropriate rates to attain maximum productivity, particularly CO<sub>2</sub>, N and 107 P. It has been calculated that the production of 1 L biodiesel from microalgal biomass requires 0.23 - 1.55 kg N and 29 - 145 g of P, depending of the cultivation conditions. The production of 108 microalgal oil-based fuels for about 25% of the target established by the United States for 2022, 109 110 would require 41–56% and 32–49% of the world N and P fertilizer surplus (Canter et al., 2015). Thus, massive cultivation of microalgae would result in a more intensive use of fertilizers than 111 traditional agriculture, which represents a potential threat to food security due to competition for 112 supplies (instead of land) (Rösch et al., 2012). This demand for nutrients/fertilizer can be 113 expected to severely limit the extent to which the production of biofuels from microalgae can be 114 115 sustainably expanded (Canter et al., 2015).

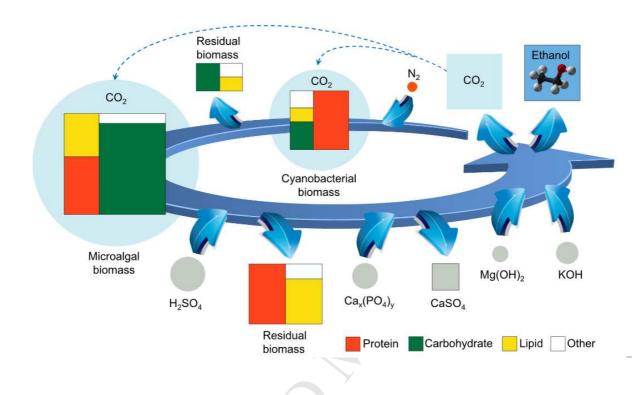
The most broadly considered alternative sources of nutrients/fertilizer for microalgae are
wastewater and internal recycling in closed-loop production platforms (Canter et al., 2015).
Wastewater composition is frequently variable, and nutrients are not always bioavailable.

119 Wastewater sometimes can exert toxic effects on microalgal propagation and/or its resulting biomass, preventing other uses of the biomass as a fertilizer, and especially as feed/food 120 121 (Markou et al., 2014). During the last years much attention was devoted to the possibility of recycling N, P, and other nutrients from oil-extracted biomass. The main investigated methods 122 123 for nutrient recycling include anaerobic digestion (Zhu et al., 2016), catalytic hydrothermal gasification and hydrothermal liquefaction (Barbera et al., 2018). Most of these methods ensure 124 efficient recycling of nutrients, which largely reduces fertilizer inputs for microalgal biomass 125 126 production (Canter et al., 2015).

There is currently a generalized agreement that fuel-only pathways from microalgal biomass 127 128 would be unviable from both an economic and an environmental standpoint (Zhu, 2015). The co-production of higher-value commodities from microalgal biomass in biorefinery facilities must 129 be envisioned to ameliorate these drawbacks (Laurens et al., 2017). A recent study concluded 130 131 that due to the general good properties of microalgal proteins for food/feed, its production alongside biofuels can increase the utilization of resources, lower the environmental impact, and 132 thus pave the route to commercialization of commodities form microalgal biomass (Walsh et al., 133 2016). 134

In this study, we aimed at reconciling the concepts of microalgal biomass biorefineries for the 135 co-production of feedstocks for biofuels and feed, and nutrients recycling in closed-loop 136 microalgal biomass production platforms. We present a conceptual design (Fig. 1) and proof-of-137 concept for a semi-closed loop microalgal biomass production platform that is sustained by 138 139 constant inputs of N and P from low-cost and renewable resources, such as air and bone meal, 140 respectively. We show conditions for diluted sulfuric-acid saccharification of the microalgal biomass that retained most of the biomass oil and protein in an insoluble fraction as a potential 141 142 animal feed supplement, and allowed ethanol production from the solubilized sugars at a ratio of 143 0.25 g ethanol. g biomass<sup>-1</sup>. Optimized conditions for nutrients recycling from the fermentation

144 vinasse and saccharification reagents as a sole source of macronutrients for a new cycle of



145 biomass production are shown.

146

- Figure 1. Simplified schematic of the main matter transformations in a semi-closed loop biomass biorefinery to produce feed and fuel. Circles represent the main inputs:  $CO_2$ ,  $N_2$ ,  $H_2SO_4$ ,  $Ca_x(PO_4)_y$ ,  $Mg(OH)_2$  and KOH. Squares represent the main outputs: ethanol,  $CO_2$ (becomes a nutrient input),  $CaSO_4$  and residual biomass as feed. The area of the shapes represents the mass of each input or output in the platform.
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#### 157 2. Materials and Methods

#### 158 2.1. Reagents and chemicals

159 Reagents, chemicals supplier and chemical purity are shown in the Supplementary Table 1.

160

#### 161 2.2. Culture of microalgae and cyanobacteria

- Both the microalga *Desmodesmus* sp. strain FG (Do Nascimento et al., 2012) and the
- 163 cyanobacterium *Nostoc* strain M2 (Do Nascimento et al., 2015) were routinely maintained and
- 164 cultivated in BG11<sub>0</sub> medium (0.04 g. L<sup>-1</sup> K<sub>2</sub>HPO<sub>4</sub>; 0.075 g. L<sup>-1</sup> MgSO<sub>4</sub>. 7H<sub>2</sub>O; 0.036 g. L<sup>-1</sup> CaCl<sub>2</sub>.
- 165  $2H_2O$ ; 0.006 g . L<sup>-1</sup> citric acid; 0.006 g . L<sup>-1</sup> ferric ammonium citrate; 0.001 g . L<sup>-1</sup> EDTA (disodium
- 166 salt); 0.02 g . L<sup>-1</sup> Na<sub>2</sub>CO<sub>3</sub>, and trace metal mix A5 (2.86 mg . L<sup>-1</sup> H<sub>3</sub>BO<sub>3</sub>; 1.81 mg . L<sup>-1</sup> MnCl<sub>2</sub> . 4H<sub>2</sub>O;
- 167 0.222 mg . L<sup>-1</sup> ZnSO<sub>4</sub> . 7H<sub>2</sub>O; 0.39 mg . L<sup>-1</sup> NaMoO<sub>4</sub> . 2H<sub>2</sub>O; 0.079 mg . L<sup>-1</sup> CuSO<sub>4</sub> . 5H<sub>2</sub>O and
- 168 0.049 mg .  $L^{-1}$  Co(NO<sub>3</sub>)<sub>2</sub>. 6H<sub>2</sub>O)), containing NaNO<sub>3</sub> or atmospheric N<sub>2</sub> as sole N source. Other
- 169 growth media and experimental conditions are described in the main text.
- 170 Either for growth analysis or biomass characterization, microalgal strains were cultivated indoors in
- 171 500 mL bottles containing 250 mL medium sparged with filtered air from the bottom at 0.3 0.5 L.
- 172 min<sup>-1</sup> and illuminated with constant white light at 100 µmol photons m<sup>-2</sup>. s<sup>-1</sup>. For preparative
- 173 purposes (biomass fermentation), both strains were cultivated in 5 L airlift photobioreactors
- 174 containing 4.5 L of medium sparged with filter-sterilized air from the center of the riser tube at 6 L.
- min<sup>-1</sup> up flow circulation and pure  $CO_2$  from the bottom of the down flow circulation at 0.2 L . min<sup>-1</sup>.
- 176 Cultures were illuminated with constant white light at 200 µmol photons m<sup>-2</sup>. s<sup>-1</sup>. Under both
- 177 culture systems temperature was maintained constant at  $28 \pm 1$  °C.

178

179 2.3. Preparation of cyanobacterial extracts and mixotrophic culture of microalgae

180	Biomass pellets of Nostoc sp. strain M2 were allowed to dry out under a cold air stream at 11 $\pm$ 1
181	°C and milled with 15 % (w/w) sand in a mortar. Water soluble biomass-extracts were prepared by
182	addition of 30 volumes of water (v/w) at room temperature (22 $\pm$ 2 °C) together with a few glass
183	beads, vigorously agitated in a vortex and finally clarified by centrifugation at $6,000 \times g$ for 10 min.
184	A typical preparation contained 0.9 g . $L^{-1}$ N; 0.1 g . $L^{-1}$ P; 5 g . $L^{-1}$ protein; and 2.5 g . $L^{-1}$ soluble
185	carbohydrates (Do Nascimento et al., 2015). For mixotrophic cultivation of microalgae, Nostoc
186	extracts at stated dilutions substituted for BG11 medium containing NaNO <sub>3</sub> .

187

#### 188 2.4. Microalgal biomass hydrolysis and fermentation

Biomass pellets of Desmodesmus sp. strain FG were dry out under a cold air stream at 11 ±1 °C 189 and milled with 15 % (w/w) sand in a mortar. For diluted acid hydrolysis, biomass at 20 % (w/v) 190 load was incubated in the presence of 2% H<sub>2</sub>SO<sub>4</sub> (v/v) for 30 min at 120 °C in an autoclave and 191 further clarified by centrifugation at 6,000 x g for 10 min. Both analytical or preparative 192 preparations (1 or 20 mL) were brought to pH 4.5 with Mg(OH)<sub>2</sub> crystals and inoculated with the 193 yeast Saccharomyces cerevisiae (Levex®, Argentina) for fermentation at an initial OD<sub>600</sub> of 0.25 in 194 3 or 25 mL vials, respectively (Sanchez Rizza et al., 2017). Each hydrolysate fermentation was 195 196 routinely accompanied by parallel fermentations of YPD medium at a dextrose concentration in the 197 range of the sugar content of the samples.

198

#### 199 2.5. Phosphorous supplementation to saccharified biomass

For vinasse-like preparations from pure reagents, 60 mM P from  $Ca_3(PO_4)_2$ ,  $Ca_5(PO_4)_3(OH)$ , or bone meal were reacted sub-stoichiometrically with 360 mM H<sub>2</sub>SO<sub>4</sub> (corresponding to 2% H<sub>2</sub>SO<sub>4</sub> (v/v) as optimized for microalgal biomass saccharification) according to the following reaction:

- 203  $Ca_3(PO_4)_2 + 6 H_2SO_4 \rightarrow 2 H_3PO_4 + 3 CaSO_4 + 3 H_2SO_4$
- 204 Gypsum was separated by centrifugation and filtration. The pH of the preparations was brought

from pH 0.5 to 4.5 with  $Mg(OH)_2$  and KOH as follows:

- 206 2  $H_3PO_4 + 3 H_2SO_4 + 3 Mg(OH)_2 + 2 KOH \rightarrow 2 KH_2PO_4 + 3 MgSO_4$
- 207 (pKa<sub>H2SO4</sub> = -10; 2; and pKa<sub>H3PO4</sub> = 2.2; 7.2; 12.3)
- 208 Thus, according to this stoichiometry, soluble salts of S, P, Mg and K remained at similar relative
- ratios as those in the reference culture medium BG11<sub>0</sub> (Rippka et al., 1979), representing the
- 210 whole complement of macronutrients for diazotrophic cyanobacteria.
- For P, Mg and K supplementation to saccharified biomass, essentially the same procedure was
- followed. After fermentation with baker's yeast, cells were separated by centrifugation at 6,000 x g
- for 10 min. Next, ethanol was determined and evaporated at 80 °C for 1 h for removing 90 95 %
- of its content, mimicking distillation for recovery. These preparations were used at an appropriate
- dilution as a complete source of macronutrients for diazotrophic cultivation of the cyanobacterium
- 216 *Nostoc* sp. strain M2.
- 217
- 218 2.6. Analytical methods
- 219 Cell density for growth analysis was estimated by recording OD at 750 nm using a
- spectrophotometer.
- For microalgal biomass dry weight determination, samples (50 mL of culture) were centrifuged at
- 14,000 x g for 10 min and pellets were dried out in an oven at 60 70 °C until constant weight (2 -

223 3 days).

Total protein determinations were obtained after boiling resuspended cells at 100 °C for 10 min in
the presence of 1 N NaOH. Aliquots were subjected to protein determination by the Lowry's
method (Lowry et al., 1951) using NaOH-treated bovine serum albumin as a standard.

For biomass total carbohydrates determination, resuspended cells were directly reacted with the
anthrone method reagents (Dreywood, 1946). Carbohydrates content was calculated from a
standard curve using glucose.

230 Analytical determinations of organic matter, ash, crude protein, crude fat and water soluble carbohydrates were performed at a commercial facility (https://inta.gob.ar/servicios/). For organic 231 232 matter and ash, microalgal biomass was calcined in a muffle furnace at 600 ° C for 2 h for ash content determination. Organic matter was calculated as the difference between dry matter and 233 234 ash content. Crude protein was calculated after the combustion of the samples in an atmosphere of ultrapure O<sub>2</sub> and helium at 850 °C, determination of total N in a LECO FP 528 system using 235 EDTA as calibration standard, and applying the standard N-to-protein conversion factor 6.25. For 236 237 crude fat determinations, dry and milled samples were extracted with petroleum ether in an Ankom XT10 equipment. Water soluble carbohydrates were extracted in a boiling aqueous solution, 238 239 filtered, and determined by the anthrone reagent as described above.

Ethanol was determined from the *S. cerevisiae* fermentation spent-medium by an enzymatic assay
as reported previously (Sanchez Rizza et al., 2017). Briefly, the standard ethanol assays contained
50 mM Tris-HCl, pH 8.4; 2.5 mM NAD<sup>+</sup> and 3 µg protein preparations enriched in alcohol
dehydrogenase activity. Samples were mixed in a total volume of 100 µl and incubated at room
temperature for 25 min. Ethanol in samples was determined as the ethanol dependent reduction of
NAD<sup>+</sup> in a spectrophotometer at 340 nm and comparison with a standard curve made with 99%
(v/v) analytical grade ethanol.

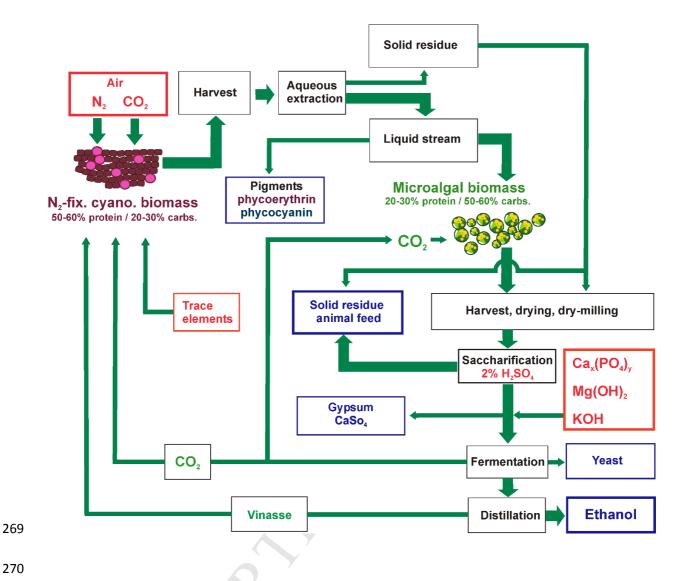
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#### 248 **3. Results and Discussion**

#### 3.1. Conceptual design of a semi-closed loop microalgal biomass production platform

250 The aim of this study was to design a biomass production platform using renewable resources 251 as fertilizer inputs. This system produces fermentable sugars as a feedstock for biofuels and 252 protein for feed as the main outputs, while minimizing the amount of waste. Figure 2 shows a 253 conceptual design based on a multispecies microbial cell factory approach that relies in the 254 technological coupling of the activity of different microorganisms that excel at single tasks. This 255 platform would take N directly from the air (substituting for the synthetic N-fertilizer) by the activity of a N<sub>2</sub>-fixing cyanobacterium that accumulates high levels of protein. The N-rich 256 cyanobacterial biomass would be used as an organic fertilizer to produce biomass of eukaryotic 257 microalga that accumulates high levels of fermentable carbohydrates. Biomass treatment with 258 259 H<sub>2</sub>SO<sub>4</sub> would render a saccharified liquid stream for producing ethanol by a fermenting 260 microorganism, and a solid fraction as animal feed. Fermentation vinasse could be recycled as a source of nutrients for the cultivation of the N<sub>2</sub>-fixing cyanobacterium to close one production 261 cycle. Conversion of the spent  $H_2SO_4$  into  $H_3PO_4$  by reaction with calcium phosphates from 262 different sources would transform a hazardous waste into a very useful P-fertilizer. Recovery of 263 proteinaceous pigments from Nostoc biomass has been shown before (Do Nascimento et al., 264 2015). 265

The following sections provide proof-of-concept for every single step of the platform along with a discussion of specific aspects and further possibilities.



270

271 Figure 2. Simplified process design of a biorefinery for the production of ethanol and feed from CO<sub>2</sub> and N<sub>2</sub> from the air. The main stream towards ethanol and feed is indicated by wider 272 273 arrows. Main inputs are marked in red boxes, main outputs in blue boxes and operations or streams in black boxes. Narrow arrows indicate recycling of reagents into nutrients or 274 275 production of secondary products.

276

#### 3.2. Production of fermentable sugars at the expense of C and N from the air

One of the aims of our approach was to gain access to N2 from the air as a renewable and 279 continuous source of N-fertilizer for the production of eukaryotic microalgal biomass. We used a 280 281 filamentous N<sub>2</sub>-fixing cyanobacterium (*Nostoc* sp. strain M2) that had been selected previously because of its high productivity, biomass composition (up to 60% w/w protein content), and 282 283 ease of biomass collection and downstream processing into cell-free protein rich-extracts (Do Nascimento et al., 2015). Here we optimized conditions for low energy-intensive biomass 284 285 processing into an organic fertilizer. Dry biomass powder was extracted with water at room temperature to recover up to 40% (w/w) of its protein content. This protein recovery yield was 286 lower than the one previously obtained by freezing-thawing the biomass for a few cycles (up to 287 90 % w/w protein recovery) (Do Nascimento et al., 2015). These methods can be considered 288 two alternatives that differ in their energy intensity at the expense of a yield reduction. 289

The N<sub>2</sub>-fixing cyanobacterium Nostoc sp. strain accumulates up to 60 % (w/w) proteins in its 290 biomass together with low levels of carbohydrates (less than 30%) while producing a very low 291 292 yield of ethanol after diluted acid saccharification/fermentation (not shown). We have conducted some bioprospecting studies to identify microalgae suitable as a feedstock for bioethanol. These 293 studies resulted in the identification of Desmodesmus sp. strain FG which accumulates up to 294 60% carbohydrates that could be almost fully fermented into ethanol by the baker's yeast S. 295 cerevisiae (Sanchez Rizza et al., 2017). Figure 2 shows mixotrophic cultivation of 296 Desmodesmus strain FG at the expense of Nostoc-based organic fertilizer as a sole source of 297 nutrients at a very high biomass concentration of 8 - 10 g. L<sup>-1</sup> (dry w/v). Results show a 298 biomass productivity of 0.6 g dry biomass . L<sup>-1</sup> . day<sup>-1</sup> and a maximum carbohydrates 299 accumulation up to 6 g. L<sup>-1</sup> of culture medium. The cultures were operated in a semi continuous 300 301 mode with 75 % of water recycling and cell-harvesting at days 10 and 20. This system allowed 302 efficient channeling of N<sub>2</sub> from the air into microalgal biomass by means of the natural process

303 of biological N<sub>2</sub>-fixation and the complete recycling of other nutrients already assimilated in the cyanobacterial biomass. This is of prime importance considering that there is no known 304 305 microalga o eukaryote able to fix N<sub>2</sub>-from the air. At the time of harvesting, the microalgae 306 contained up to 60 % carbohydrates but as low as 10 - 20 % (w/w) protein. Since this organic fertilizer allowed quantitative recycling of cyanobacterial protein into microalgal protein (Do 307 Nascimento et al., 2015), this mixotrophic mode of cultivation allowed a 2- to 3-fold increase in 308 biomass production with respect to the spent cyanobacterial biomass. Most of this increase 309 corresponded to the accumulation of carbohydrates by the microalga under the used culture 310 311 conditions (Fig. 3). Water recycling up to 75 % (v/v) per cultivation cycle under a semi-312 continuous cultivation regime proved to sustain an equivalent biomass productivity and an even slightly higher carbohydrate's yield. 313

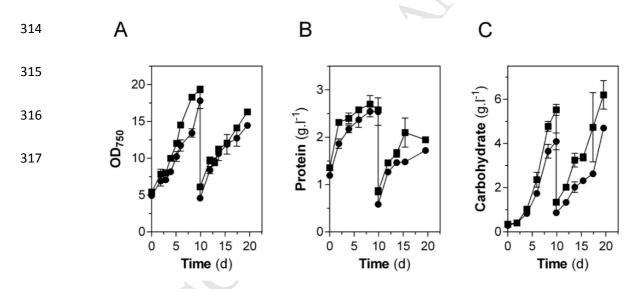


Figure 3. Mixotrophic growth of microalgae at the expense of a cyanobacterial extract. A-C)
Time course of OD<sub>750</sub> (A), protein (B) or carbohydrates (C) accumulation are represented. •)
BG11<sub>0</sub> medium containing 8 mM NO<sub>3</sub><sup>-</sup>-N (positive control); or •) *Nostoc* water-soluble extracts at
8 mM protein-N as a sole source of nutrients. Each data point represents the mean and range of
two independent experiments.

#### 324 3.3. Saccharification of microalgal biomass and ethanol production

Similar to biomass from other sources, microalgal biomass can be saccharified by different 325 326 means, including chemical and/or enzymatic methods, among others. It appears that diluted 327 H<sub>2</sub>SO<sub>4</sub> treatment is currently the most cost-effective alternative for industrial applications (Li et al., 2014). Here, microalgal biomass was saccharified at a high biomass load of 20% (dry w/v) 328 329 solids in the presence of 2% H<sub>2</sub>SO<sub>4</sub> (v/v) at 120 °C for 30 min. The saccharified liquid stream was brought to pH 4.5 with hydroxides and contained up to 98.3 +/- 1.2 g sugars . L<sup>-1</sup>. After 330 331 fermentation with the yeast S. cerevisiae, it yielded up to 49.1 +/- 0.6 g ethanol .  $L^{-1}$ . The observed total carbohydrates to ethanol conversion was very close to the theoretical maximum 332 conversion yield of 0.51 g ethanol per g of glucose and a biomass to ethanol conversion 333 efficiency of 0.25 g ethanol per g biomass. The corresponding amount of CO<sub>2</sub> release and the 334 production of low amounts of yeast biomass were confirmed, as reported before (Sanchez 335 Rizza et al., 2017). We showed a large improvement of ethanol yields from *Desmodesmus* sp. 336 strain FG biomass compared with previous work in microalgal biomass transformation into 337 338 ethanol (Sanchez Rizza et al., 2017). Here, we further improved sugars and ethanol 339 concentration by about 2-fold by increasing the biomass load during diluted acid saccharification from 10 to 20 % (w/v), with no signs of inhibition of fermentation yet. This is noteworthy since an 340 economically-competitive production of ethanol requires a minimum of 40 g ethanol. L<sup>-1</sup> of 341 fermentation broth to reduce distillation costs (Möllers et al., 2014). 342

We had simulated before the productivity of a microalga at the expense of *Nostoc*-based organic fertilizer in environmental photobioreactors mimicking open-pond conditions (Do Nascimento et al., 2015). According to that productivity and the biomass-to-ethanol conversion efficiency demonstrated in this work (about 0.25 g ethanol per g biomass), this platform might produce, under Buenos Aires environmental conditions, from 7,600 to 10,800 L ethanol . ha<sup>-1</sup> . year<sup>-1</sup>, depending on whether *Nostoc* is cultivated in raceway ponds or in tubular

photobioreactors, respectively (Do Nascimento et al., 2015). These preliminary calculations
would suggest that this kind of production platforms might represent an interesting alternative to
corn kernel or stover feedstocks for 1G or 2G bioethanol production at typical productivities of
3,680 or 1,594 L ethanol . ha<sup>-1</sup> . year<sup>-1</sup>, respectively (Karlen et al., 2011; Pimentel and Patzek,
2005).
Since the mixotrophic nature of the proposed production platform at the expense of a rich
organic medium would make it prone to contamination, closed photobioreactors as those used

in this study would be more suitable for escalation trails. In this case, the expected productivities

357 should be significantly higher, in the range of 3-fold (Jorquera et al., 2010), but at the expense

of a proportional increase in capital and operational costs (Richardson et al., 2012).

In addition to a significant production potential and possibilities of culturing in non-arable lands,
this strategy completely substitutes air N<sub>2</sub> for synthetic N-fertilizer by means of a cyanobacterial
biological N<sub>2</sub>-fixation that, as photosynthetic C-fixation, is powered by light.

362

### 363 3.4. Biochemical composition of the residual biomass

The fraction that remained insoluble after the microalgal biomass saccharification retained a considerable amount of crude protein, became especially enriched in crude fat and, as expected, was largely depleted of carbohydrates (Table 1). This composition would make this fraction very attractive as animal feed. However, true nutritional value, digestibility, palatability and potential toxicity should be experimentally determined (Gong et al., 2018). Although obtained in a quantitative smaller amount, yeast biomass would indeed represent a wanted animal feed ingredient (Øverland and Skrede, 2017).

371

- 372 **Table 1.** Basic chemical composition of the solid fraction after saccharification of *Desmodesmus*
- 373 biomass with H<sub>2</sub>SO<sub>4</sub>

	Solid fraction	Whole
	after	biomass <sup>b</sup>
	saccharification <sup>a</sup>	
Organic matter (% w/w)	86.4 ± 3.1	81.4
Ash (% w/w)	$15.6 \pm 0.6$	18.6
Crude protein (% w/w)	10.4 ± 2.1	10.8
Crude fat (% w/w) <sup>c</sup>	$43.4\pm3.4$	4.5
Carbohydrates (% w/w) <sup>d</sup>	$0.4\pm0.4$	11.3

374

<sup>a</sup>Mean and error of two independent preparations. <sup>b</sup>Single determinations. <sup>c</sup>Ether extract.

<sup>d</sup>Water soluble carbohydrates377

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379 3.5. Sulfuric acid management and vinasse upgrading and recycling as nutrients

380 A few alternatives have been proposed to recycle and to upgrade vinasse. It has been shown

that some microalgae can be cultivated at the expense of nutrients in vinasse (Santana et al.,

382 2017).

383 To investigate the microalgal fertilizing properties of microalgal biomass fermentation vinasse,

384 we evaporated most of the ethanol (about 5% v/v) after fermentation of saccharified microalgal

385 biomass at 80 °C (simulating distillation for ethanol recovery). Preliminary experiments indicated

that the resulting vinasse, at a dilution of 0.4% (v/v) contained all the nutrients required for

387 cultivation of N<sub>2</sub>-fixing *Nostoc* up to similar levels than the reference mineral medium BG11.

388 Supplementation of N was required for cultivation of the microalga Desmodesmus sp. strain FG,

- indicating deficiency of this nutrient in the microalgal biomass vinasse (not shown). In both
- 390 cases, supplementation with P produced a higher biomass yield.

391 With the multi-purpose of managing the spent  $H_2SO_4$  and upgrading both the vinasse and alternative sources of P-fertilizer, we reacted the H<sub>2</sub>SO<sub>4</sub> of the liquid stream of the saccharified 392 393 microalgal biomass either with (i) the insoluble forms of P Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>, Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(OH), or (ii) bone meal to produce highly soluble H<sub>3</sub>PO<sub>4</sub>, and insoluble CaSO<sub>4</sub> (gypsum), which could be easily 394 recovered by sedimentation/centrifugation. Before fermentation, the pH was brought to 4.5 with 395 KOH and Mg(OH)<sub>2</sub>. The sources of S, P, K and Mg were added in such a proportion to match 396 the relative amounts of soluble forms of S, P, K and Mg in BG11<sub>0</sub>, a reference culture medium 397 for diazotrophic cyanobacteria. Both a simulated vinasse-like preparation from pure reagents 398 399 (Supplementary Fig. S1) and true vinasse after biomass saccharification and supplementation, 400 represented an improved growth medium for the cyanobacterium in comparison to the reference medium  $BG11_0$  (Fig. 4). 401

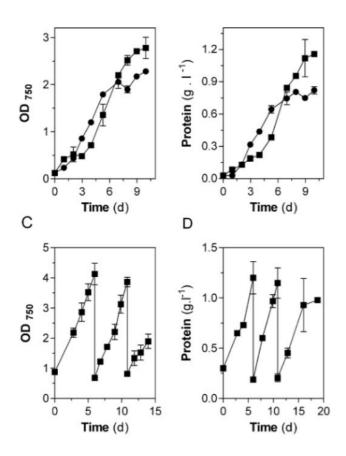


Figure 4. Fermentation vinasse recycling and up-grading. A and B) Growth curves of *Nostoc* sp. M2 at the expense of the fermentation vinasse of a microalga biomass saccharified with H<sub>2</sub>SO<sub>4</sub> and supplemented/reacted with bone meal. ●) BG11 medium (positive control); or ■) fermentation vinasse as a unique source of P, S, K and Mg. C and D). Semi continuous cultures of *Nostoc* sp. M2 at high density at the expense of P-supplemented vinasse. Each data point represents the mean and range of two independent experiments.

416	As expected, non-reacted $Ca_3(PO_4)_2$ was not a useful source of P for the cyanobacterium,
417	neither <i>Nostoc</i> could be cultivated in the absence of added P or S (Supplementary Fig. S1).
418	Using this medium, under a semi-continuous mode of culture with 75 $\%$ (v/v) water recycling per
419	cycle, cyanobacterial biomass up to 2 g(dw) . $L^{-1}$ and a productivity of 0.3 g(dw) . $L^{-1}$ . day <sup>-1</sup>
420	using atmospheric $N_2$ as the sole source of N were obtained for up to 3 cycles (Fig. 4 C and D).
421	The collected biomass became the feedstock for the next production cycle of microalgal
422	biomass rich in fermentable carbohydrates (Figs. 1 and 2).
423	Figure 1 depicts a simplified schematic of the main matter transformations demonstrated here
424	for this semi-closed loop platform. The area of the shapes represents the relative amounts of
425	each input (CO <sub>2</sub> , N <sub>2</sub> , H <sub>2</sub> SO <sub>4</sub> , Ca <sub>x</sub> (PO <sub>4</sub> ) <sub>y</sub> , Mg(OH) <sub>2</sub> and KOH) or output ethanol (for fuel); CO <sub>2</sub>
426	(which becomes a nutrient input); residual biomass (as feed) and $CaSO_4$ (as a building material,
427	cement additive, soil conditioner, etc.). Circles around biomass squares represent the assumed
428	amount of $CO_2$ fixed to produce biomass at an estimated ratio of 1.8 kg $CO_2$ . kg <sup>-1</sup> biomass.
429	$H_2SO_4$ plays a central role in this platform and represents its main input from "non-renewable"
430	resources. $H_2SO_4$ is currently the most widely used reagent in the chemical/petrochemical
431	industry (Nleya et al., 2016) and is mainly produced at petroleum refineries, natural-gas-
432	processing plants, and coking plants in a process mostly intended to reduce the S levels of
433	combustion gases. Over the last two decades, environmental considerations have placed
434	increasing pressure towards reduction of S in the fuels. Sulfur emissions promote acid rain,
435	which causes severe deleterious results on human health, biodiversity, as well as the integrity of
436	buildings and machinery materials (Burns et al., 2012). It is anticipated that, driven by energy
437	and environmental security, exploitation of lower quality fossil fuel reserves with higher content
438	of S will sustain production of $H_2SO_4$ at a low cost. Notably, no $H_2SO_4$ waste is produced in the
439	proposed platform since it is all converted into gypsum and fertilizer/biomass.

440 On the other hand, on-site production of P-fertilizer from natural resources containing  $Ca_3(PO_4)_2$ or Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(OH) as phosphate rock or bone meal, would be economically advantageous. 441 442 Using phosphate rock would be feasible in some regions and/or specific contexts. However, since phosphate rock is a finite natural resource unevenly distributed across geographical 443 regions, the recovery of P from bone meal as a renewable byproduct (or waste) of food industry 444 would be even more attractive from a circular economy and sustainability points of view. The 445 production of P will accompany food demand worldwide (Mirabella et al., 2014). For example, 446 Ethiopia produces approximately 192,000 to 330,000 tonnes of bone waste annually which 447 would have yielded around 28 to 58% of the annual P fertilizer of the country and savings of 448 449 US\$ 50 to 104 million from importing an equivalent amount of P fertilizer. However, this strategy has been insufficiently explored (Simons et al., 2013). 450

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- 453

#### 454 **4. Conclusions**

This study shows the design and proof-of-concept of a semi-closed loop microalgal production
platform for ethanol and feed from CO<sub>2</sub> and N<sub>2</sub> from the air, and P from food waste. This
approach reconciles co-production of fuel and feed and internal recycling of macronutrients
other than N and P.
We demonstrated a clear improvement in the state-of-the-art fermentation of microalgal
biomass by producing saccharified liquid streams containing up to 100 g sugars . L<sup>-1</sup> which

- 461 yielded, after fermentation, up to 50 g ethanol .  $L^{-1}$ . The modeled potential yield in the field
- 462 would be higher than those currently obtained from maize feedstocks.

463	Some unique features of the platform are: i) a multispecies approach comprising three different
464	microorganisms that excel at single operations ( $N_2$ fixation, carbohydrates accumulation, and
465	fermentation); <i>ii</i> ) $H_2SO_4$ for integrating biomass saccharification and recovery of soluble P from
466	bone meal; and iii) intensive internal recycling of water and nutrients in fermentation vinasse. No
467	$H_2SO_4$ waste is produced in the platform since it is all converted into gypsum and
468	fertilizer/biomass for additional applications.
469	Each of these concepts has been poorly addressed in the past and, to the best of our
470	knowledge, never integrated into a single production platform that contributes alternatives from
471	circular economy into microalgal biotechnology for cleaner production of commodities.
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475	Acknowledgement
476	We are very thankful to Prof. Hernandez JA, for helpful discussions and editing the draft
477	manuscript. LSR, CDC, MESS are doctoral fellows at the CONICET and L.C. is a career
478	researcher at the CONICET, Argentina. This work was supported by Grants from the Agencia
479	Nacional de Promoción Científica y Tecnológica, PICT-2589 and PICT-3559 to LC.
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A semi-closed loop microalgal biomass production-platform for ethanol from renewable sources of nitrogen and phosphorous

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# Highlights

- A multitrophic semi-closed loop biomass production platform is proposed.
- N and P fertilizers were produced on site from air and bone meal, respectively.
- Ethanol was produced at 0.25 g . g microlgal biomass<sup>-1</sup> along with animal feed.
- Sulfuric acid integrated biomass saccharification and efficient P recovery.
- Nutrients in vinasse and water were recycled to close the production cycle.