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Enhanced properties of chitosan microparticles over bulk chitosan on the modulation of auxin signaling pathway with beneficial impacts on root architecture in plants

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## 1 ABSTRACT

2 Improving the root system architecture (RSA) under adverse environmental conditions  
3 by using biostimulants is emerging as a new trait to boost crop productivity. Recently,  
4 we have reported the characterization of novel chitosan-based microparticles (CS-  
5 MPs) with promising biological properties as rooting agent in lettuce. In this work, we  
6 demonstrated that in contrast to bulk chitosan (CS) which exerts root growth inhibition,  
7 CS-MPs promoted root growth and development from 1 to 10  $\mu\text{g. ml}^{-1}$  without  
8 cytotoxicity effects at higher doses in Arabidopsis and lettuce seedlings. In addition, we  
9 studied the mechanistic mode of action of CS-MPs in the development of early RSA in  
10 the Arabidopsis model. CS-MPs unchained an accurate and sustained spatio-temporal  
11 activation of the nuclear auxin signaling pathway. Our findings validated a promising  
12 scenario for the application of CS-MPs in the modulation of RSA to respond to  
13 changing soil environment and improved crop performance.

14

15 **Key words:** Arabidopsis, auxin, chitosan microparticles, lettuce, root system  
16 architecture.

17

## 18 INTRODUCTION

19 The root system architecture (RSA) involves the coordinated growth and development  
20 of primary root (PR), lateral root (LR) and adventitious roots in order to improve soil  
21 exploration and resource acquisition, being pivotal for plant fitness and crop  
22 productivity.<sup>1</sup> Since the development of RSA is a crucial factor in determining plant  
23 survival particularly under adverse environmental conditions, its modulation is  
24 emerging as a strategy to generate improvement in crop yields.<sup>2,3</sup> In this context, the  
25 development of new bioactive materials with emerging properties fits with the actual  
26 challenge of augmenting crop productivity with reduced environment impact.<sup>4,5</sup>  
27 Chitosan (CS) are composed by  $\beta$ -1,4-linked glucosamine and N-acetyl glucosamine  
28 residues and are generated by the partial deacetylation of chitin polymer. Due to its

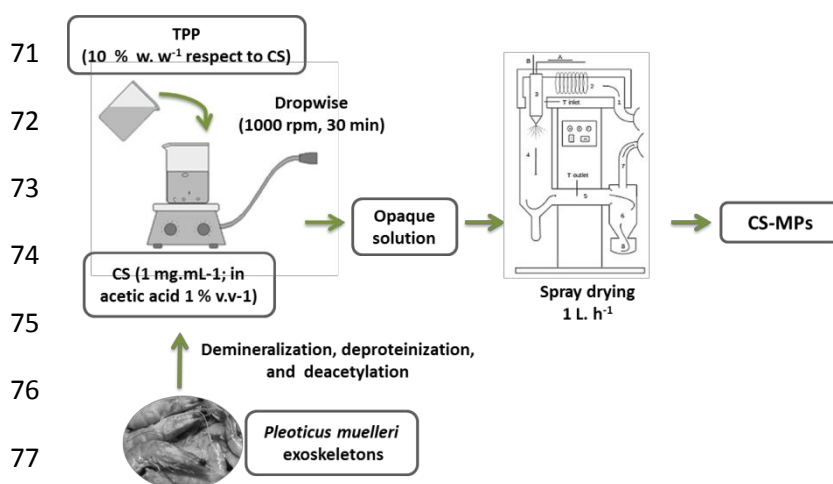
29 unique properties such as biodegradability, biocompatibility, ubiquity and low cost, CS  
30 has several applications in several fields including agriculture.<sup>6,7</sup> CS action in the  
31 protection of plants against biotic stress by inhibiting microorganism growth and  
32 eliciting plant innate immunity has been extensively studied in multiple species.<sup>8-10</sup>  
33 Although CS has been suggested as biostimulant promoting plant growth in several  
34 horticultural plants, a delicate unbalance from optimal concentrations leads to growth  
35 inhibition with high impact on root development.<sup>11,12</sup>

36 The patterns of plant development are consolidated by the action of hormonal  
37 regulation mechanisms. The dynamic and versatile modulation of auxin biosynthesis,  
38 transport and signaling has been found to be required for RSA development under  
39 changing environmental conditions.<sup>13,14</sup> Auxin regulates root development mainly  
40 through the nuclear signaling pathway mediated by the TIR1/AFBs receptors.<sup>15</sup> Auxin  
41 binding to TIR1/AFBs receptors triggers the degradation of Aux/IAAs repressors with  
42 the consequent activation of auxin response genes.<sup>16</sup> The early response genes  
43 involved Aux/IAAs, SAUR and GH3 gene families.<sup>17</sup> The inhibition of auxin-induced  
44 growth and the repression of auxin gene expression required for root development has  
45 been evidenced in CS-treated wheat coleoptiles and sweet orange plants,  
46 respectively.<sup>18,19</sup> Recently, Lopez-Moya, et al. reported the inhibition of PR and LR  
47 development in barley and tomato plants.<sup>20</sup> The same authores demonstrated that CS  
48 modulates RSA in Arabidopsis plants through the repression of the transcription factor  
49 *WUSCHEL RELATED HOMEBOX 5 (WOX5)* which is a major regulator gene of root  
50 stem cell activity. *WOX5* repression was associated to alterations in auxin biosynthesis  
51 and transport leading to an over accumulation of auxin in the root tip with detrimental  
52 impact on root development, suggesting that doses, frequency and formulation of CS  
53 should be adjusted to prevent negative effects on plant development.

54 Another point to take into account for the application of CS in the field is its limited  
55 solubility in water. The complex behavior of bulk CS on plant physiology as a

56 consequence of chemically heterogeneous copolymers preparations has slowed down  
 57 its promissory potential use in agriculture.<sup>21,22</sup> Therefore, the development of CS  
 58 particulated systems is an emerging alternative to the complex problems of bulk CS.  
 59 They are easy to obtain, and also to modify their water solubility and interactive  
 60 biological ability.<sup>23</sup> Nevertheless, it is necessary to demonstrate how type,  
 61 concentration and particle size impact in organs and tissues of plants. We have  
 62 previously reported the characterization of CS-MPs developed with high molecular  
 63 weight CS obtained from *Pleoticus muelleri* fishing industry waste from Argentine Sea  
 64 (Scheme 1). Preliminary assays have shown that CS-MPs stimulate PR elongation in  
 65 lettuce seedlings suggesting a novelty potential use as rooting agents.<sup>24</sup> In this work  
 66 we studied CS-MPs properties as biostimulant of root development compared to bulk  
 67 CS and the hormonal mechanism by which CS-MPs impact on the modulation of RSA  
 68 in *Arabidopsis*. *Arabidopsis* is suggested as an adequate model for dicot plant root  
 69 research since its root system fits with the typical eudicot root topography.<sup>25</sup>

70



78 **Scheme 1:** Synthesis of CS-MPs.

79

## 80 MATERIALS AND METHODS

### 81 Plant materials and growth conditions

82 *Arabidopsis thaliana* (*Arabidopsis*) wild-type (WT), *pMSG2/IAA19:GUS* , *DR5:GUS*,  
 83 *BA3:GUS* and *DII-VENUS* are in the Columbia (Col-0) ecotype.<sup>26-29</sup> Butterhead lettuce

84 (*Lactuca sativa L*) cv. Reina de Mayo seeds were purchased from “El Colono” local  
85 seed market, Mar del Plata, Argentina. Arabidopsis and lettuce seeds were surface-  
86 sterilized in 30% sodium hypochlorite and 0.2% Tween-20 solution for 10 min, followed  
87 by 3 washing steps in sterilized distilled water and stratified at 4 °C for 2-3 d in the  
88 dark. Seeds were placed on half-strength Murashige and Skoog medium ( $\frac{1}{2}$  MS)  
89 (SIGMA-Aldrich, USA) plus 0.8% agar in Petri plates and grown vertically at 23 °C  
90 under 250  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  with 16:8 h light:dark cycles until analysis.

### 91 **Chitosan-based materials and treatments**

92 CS-MPs and CS used in this study were described and characterized by Martín-  
93 Saldaña, et al.<sup>24</sup> CS exhibited a Mass average molecular weight (Mw) of  $1531 \pm 372$   
94 kDa, a number average molecular weight (Mn) of  $559 \pm 95$  kDa, a polydispersity index  
95 (PI= Mw/ Mn) of  $1.95 \pm 0.32$  determined by gel permeation chromatography and a  
96 deacetylation degree (DD) higher than 87% determined by Fourier-transform infrared  
97 (FTIR) spectroscopy.<sup>30</sup> CS-MPs were prepared by the gelation method with  
98 modifications using sodium tripolyphosphate (TPP) as crosslinker and have a mean  
99 diameter of  $2.10 \pm 0.78 \mu\text{m}$  and a PDI of 0.14 determined by scanning electron  
100 microscopy (SEM;JEOL JSM-6100) with 15 kV. Samples were previously coated with  
101 metallic gold for 30 s with an Auto Sputter Coater 108 (Cressington, England).  
102 Micrographs were analyzed with ImageJ software (USA National Institutes of Health,  
103 (<http://rsb.info.nih.gov/ij/>)).<sup>24,31</sup> CS-MP also present a zeta potential value ( $\zeta$ ) of  $27.65 \pm$   
104  $1.22$  mV at pH 6.8 determined by a laser particle sizer (Z-sizer 3000 HS, Malvern, UK) .  
105 Materials were developed and characterized by Gihon Laboratorios Químicos SRL,  
106 Argentina. Figure S1 a and b show the morphology of CS-MP by SEM and FTIR  
107 spectra and relevant peaks assigned to CS-MP and bulk CS, respectively. FTIR was  
108 performed on an IRAffinity-1S FTIR spectrophotometer (Shimadzu, Japan) in the  
109 attenuated total reflection mode (ATR-FTIR). To analyze the efficacy of CS-MPs on  
110 root growth parameters, the dry CS-MPs were resuspended in water from 0.1 to 100  $\mu\text{g}$

111 mL<sup>-1</sup>. Bulk CS was diluted in 0.1% acetic acid. The pH of each assayed dilution of both  
112 bulk CS and CS-MP was in the range of 6.0-6.5.

### 113 **Fresh weight, primary root and lateral root measurements**

114 Five days post-germination (dpg) Arabidopsis and lettuce seedlings were transferred to  
115 ½ MS medium supplemented with CS-MPs or CS and grown vertically in a growth  
116 chamber at 25 °C under 250 µmol photons m<sup>-2</sup> s<sup>-1</sup> with 16:8 h light:dark cycles until  
117 analysis. Root and aerial Fresh weights (FWs) were weighed on a laboratory scale  
118 (Sartorius, Germany). Seedlings were photographed after 3 d for PR length and after 5 d  
119 for LR number and LR length. PR and LR lengths were quantified using the ImageJ  
120 image-analysis software (USA National Institutes of Health, <http://rsb.info.nih.gov/ij/>).

### 121 **Measurements of root hair length and density**

122 Five dpg seedlings were transferred to liquid ½ MS medium supplemented with CS-  
123 MPs or CS for 48 h. Bright-field images from Arabidopsis roots were taken using a  
124 Zeiss Axioplan imaging 2 microscope with an Axiocam HRC CCD camera (Zeiss, USA)  
125 using the Axiovision program (version 4.2). Root hair (RH) density and length were  
126 analyzed in a 5 mm section from the beginning of the PR differentiation zone.<sup>32</sup> RH  
127 length was analyzed using Image-analysis software (USA National Institutes of Health,  
128 <http://rsb.info.nih.gov/ij/>).

### 129 **Root gravitropic assay**

130 Three dpg seedlings were transferred to fresh ½ MS medium supplemented with 10 µg  
131 mL<sup>-1</sup> CS-MPs. To ensure homogeneous absorption and action, liquid medium was also  
132 poured at the surface of each root. The plates were mounted vertically on a scanner  
133 (Epson Perfection V600) and let sit for 60 min. After root gravistimulation, images were  
134 taken every 15 min for 8 h. Root growth and tip angle were measured by using FIJI  
135 software bundle.<sup>33</sup>

### 136 **Treatment of DII-VENUS transgenic sensor plants with CS-MPs**

137 DII-VENUS Arabidopsis transgenic sensor seedlings were designed to map auxin  
138 signaling response at a high resolution in plant cells.<sup>29</sup> Five dpg seedlings were

139 transferred to fresh plates with the addition of 10  $\mu\text{g mL}^{-1}$  CS-MPs. Liquid solution of  
140 CS-MPs (200  $\mu\text{L}$ ) was poured at the surface of each root to ensure homogeneous  
141 absorption. Seedlings were grown for 24 h. Fluorescence from VENUS protein was  
142 detected in root cells using a 20 x objective, a 0.5 numerical aperture; and 470/40-  
143 525/50 nm as excitation and detection in a Zeiss Axioplan imaging 2 microscope with  
144 an Axiocam HRC CCD camera (Zeiss, USA). Images were analyzed by using FIJI  
145 software bundle.<sup>33</sup>

#### 146 **Glucuronidase (GUS) staining**

147 Five dpg transgenic *BA3:GUS*, *DR5:GUS* and *pMSG2/IAA19:GUS* seedlings were  
148 transferred into liquid  $\frac{1}{2}$  MS medium containing 1, 10 or 100  $\mu\text{g mL}^{-1}$  CS-MPs and then  
149 incubated with mild shaking for 24, 48, 72 or 96 h at 23 °C. For *BA3:GUS* line, CS-MPs  
150 particles were applied together with 100 nM indole acetic acid (IAA) and incubated for 6  
151 h. After treatment, *BA3:GUS*, *DR5:GUS* and *pMSG2/IAA19:GUS* seedlings were fixed  
152 in 90% acetone for 1 h at 20 °C, washed twice in 50 mM sodium phosphate buffer pH  
153 7.0 and incubated in staining buffer [50 mM Na phosphate (pH 7.0), 5 mM EDTA, 0.1%  
154 Triton X-100, 5 mM  $\text{K}_4\text{Fe}(\text{CN})_6$ , 0.5 mM  $\text{K}_3\text{Fe}(\text{CN})_6$  and 1 mg  $\text{mL}^{-1}$  X-Gluc (5-bromo-4-  
155 chloro-3-indolyl-beta-D-glucuronic acid, cyclohexylammonium salt) (Gold  
156 Biotechnology, USA)] from 2 h to overnight at 37 °C. Bright-field images were taken  
157 using a Zeiss Axioplan imaging 2 microscope (Zeiss, USA).

158 **Measurement of nitric oxide (NO) production.** Five dpg Arabidopsis seedlings were  
159 loaded in the dark with 5 mM of the specific NO dye DAF-FM-DA (4-Amino-5-  
160 Methylamino-2',7'-Difluorofluorescein Diacetate; Calbiochem, USA) in 20 mM HEPES–  
161 NaOH Buffer at pH 7.5 for 30 min. After three washes, seedlings were examined by  
162 epi-fluorescence by using a Nikon DS-Fi 1 digital camera coupled to a Nikon Eclipse Ti  
163 (Nikon, Japan) epifluorescence microscope (excitation 495 nm; emission 515–555 nm).

#### 164 **RNA extraction and quantitative real-time RT-qPCR**

165 Five dpg seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented with  
166 increasing concentrations of CS-MPs or 10  $\mu\text{g mL}^{-1}$  CS and  $\text{H}_2\text{O}$  as controls. After 24



167 h, total RNA from Arabidopsis seedlings was extracted using TRIzol reagent  
168 (Invitrogen, USA) according to the manufacturer's recommendations. Samples were  
169 treated with RQ1 RNase-free DNase (Promega, USA) for DNA contamination removal.  
170 For cDNA synthesis, 1 µg of total RNA was reverse transcribed by IMPROM II (Thermo  
171 Fisher Scientific, USA) using random primers (Biodynamics, Argentine). The  
172 expression of a subset of early auxin response genes was analyzed by Real Time  
173 (qPCR), using the following primers: IAA5F: 5'-CCGGAGAAAGAACAGTCTCG-3';  
174 IAA5R: 5'-AGCATCCGAACAGAATTTGC-3'; IAA14F: 5'-  
175 GAAGCAGAGGAGGCAATGAG-3'; IAA14R: 5'-CCCATGGTAAAGGAGCTGAA-3';  
176 GH3.5F: 5'-CCATCTCTGAGTTCCTCACAAGC-3'; GH3.5R: 5'-  
177 TCCTCTTCGATTGTTGGCATTAGC-3'; GH3.17F:5'-  
178 ACGCAGACACGTCATCAATCCC-3'; GH3.17R: 5'-  
179 TGCTGTGACGTGGCTTTAGCTC-3'; ACTINF: 5'-GCCATCCAAGCTGTTCTCTC-3';  
180 ACTINR: 5'-GAAACCCTCGTAGATTGGCA-3'. qPCR reactions were conducted in  
181 triplicates (95 °C for 10 min, followed by 40 cycles of 95 °C for 30 s, 60 °C for 30 s and  
182 72 °C for 30 s) in a Step One real-time PCR system (Applied Biosystems, USA) using  
183 FastStart Universal SYBR Green Master Rox (Roche, Germany) following  
184 manufacturer's instructions. Results were normalized to the expression level of the  
185 gene actin and expressed as fold-change over controls using the comparative cycle  
186 threshold (CT) method.<sup>34</sup> PCR products were analyzed by melting curve analysis to  
187 confirm the presence of a single product.

### 188 **Statistical Analysis**

189 The values shown in figures are mean values +/- standard error (SE) of at least 3  
190 experiments. The data were subjected to analysis of t-Test or ANOVA with Dunnet post  
191 hoc comparisons against control by Graphpad Prism version 5.01 software (\*p<0.05  
192 \*\*p<0.01 \*\*\* p<0.001).

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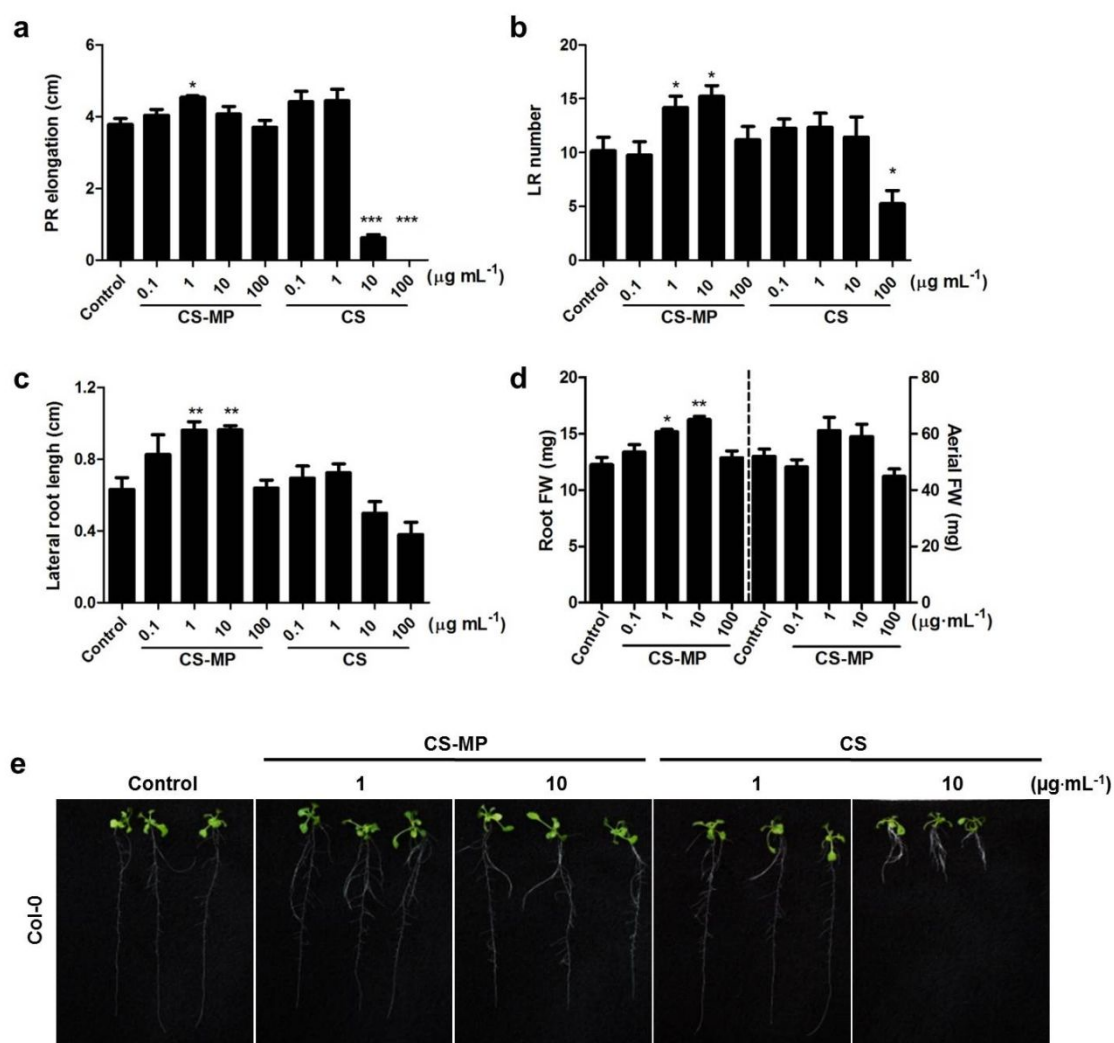
194 RESULTS

**195 CS-MPs modulate root architecture in Arabidopsis and lettuce plants**

196 To deep on the potential action of CS-MPs on RSA, we performed a complete analysis  
197 of root growth parameters. PR elongation, LR and RH development were studied in 5  
198 dpg Arabidopsis seedlings transferred to ½ MS medium supplemented with 0.1, 1, 10  
199 and 100 µg mL<sup>-1</sup> CS-MPs, or CS as control. After 3 d, seedlings grown in 1 µg mL<sup>-1</sup> CS-  
200 MPs supplemented medium evidenced the higher and significant increment in PR  
201 length compared to untreated seedlings (Figure 1 a). In contrast to CS which at higher  
202 doses (10 and 100 µg mL<sup>-1</sup>) severely arrested PR elongation, MP-CSs did not show a  
203 detrimental effect on PR length in a wide range of assayed doses (Figures 1a and e).  
204 In addition, supplementation of the growing medium with 1 and 10 µg mL<sup>-1</sup> CS-MPs  
205 resulted after 5 days of treatment in a 40% and 60% of increment in the number and  
206 length of LRs, respectively compared with control (Figures 1b and c). The promotion of  
207 LR development was accompanied by slight reduction in PR elongation (Figure 1e).  
208 Again, CS-MPs showed a better performance on LR development compared with bulk  
209 CS treatment (Figures 1b and c). In addition to PR inhibition, seedlings exposed to the  
210 highest doses of CS evidenced a reduce number of LR, while no cytotoxicity was  
211 detected under CS-MPs treatments at the studied concentrations (Figures 1b and e).  
212 The reduced cytotoxicity of CS-MPs could be explained by the fact that CS-MPs  
213 present a reduce number of exposed -NH<sub>3</sub><sup>+</sup> positive charges which modified the  
214 interactive ability with cell membranes.<sup>35</sup>

215 In concordance, the rearrangement of RSA triggered by 1 and 10 µg mL<sup>-1</sup> CS-MPs  
216 resulted in approximately 25% improvement on root and aerial FW after 9 d of  
217 treatment suggesting a positive effect on plant biomass (Figure 1d). Next, we also  
218 analyzed the effect of selected CS-MPs concentrations on RH development (Figure 2).  
219 Again, due to its emerging physico-chemical properties, CS-MPs at 1 and 10 µg mL<sup>-1</sup>  
220 resulted in an increment of Arabidopsis RH density (Figure 2a), and RH length (Figure  
221 2b), while no positive effect was detected under 1 µg mL<sup>-1</sup> CS treatment. To analyze if  
222 the gravitropism as a key root growth process is affected by CS-MPs, the root tip angle

223 was quantified after turning the 3 dpg Arabidopsis seedling 90 degrees as described in  
 224 Paris, et al.<sup>36</sup> However, compared with control, CS-MPs treated seedlings did not show  
 225 changes in root bending, suggesting that CS-MP has specific cell-tissue action (Figure  
 226 S2). In addition, CS-MPs also promote root development in lettuce (Figure S3). These  
 227 findings demonstrate that compared with bulk CS, CS-MPs resulted in an improve  
 228 material to enhance early root growth in Arabidopsis and lettuce seedlings (Figures 1, 2  
 229 and S3).



230  
 231 **Figure 1. CS-MPs promote root development in Arabidopsis.** Five dpg Col-0  
 232 Arabidopsis seedlings grown in  $\frac{1}{2}$  MS medium were treated with increasing  
 233 concentrations of CS-MPs or CS as control. PR elongation (a) was quantified 3 d post-  
 234 treatment. LR number (b) and LR length (c) were analyzed 5 d post treatment.  
 235 Seedlings FW was quantified 9 d post- treatment (d). Representative images 9 d post

236 treatments are shown in (e). Data are mean values of 5 independent experiments (n=  
237 60; ANOVA, Dunnet post-hoc test against control, \* p< 0.05 \*\* p<0.01 \*\*\*p<0.001).

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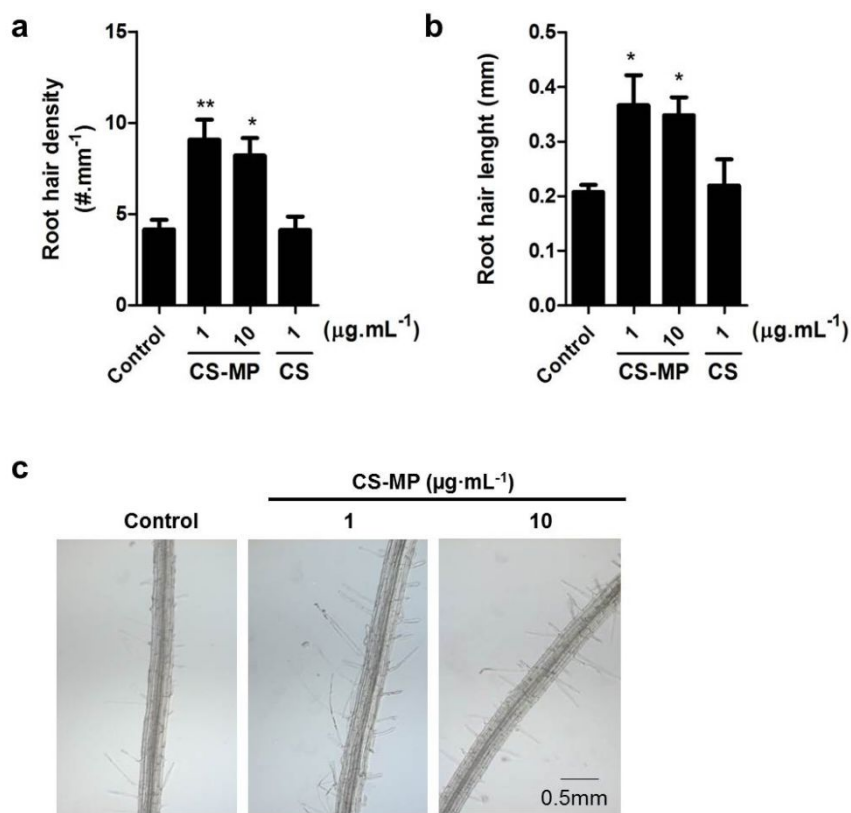
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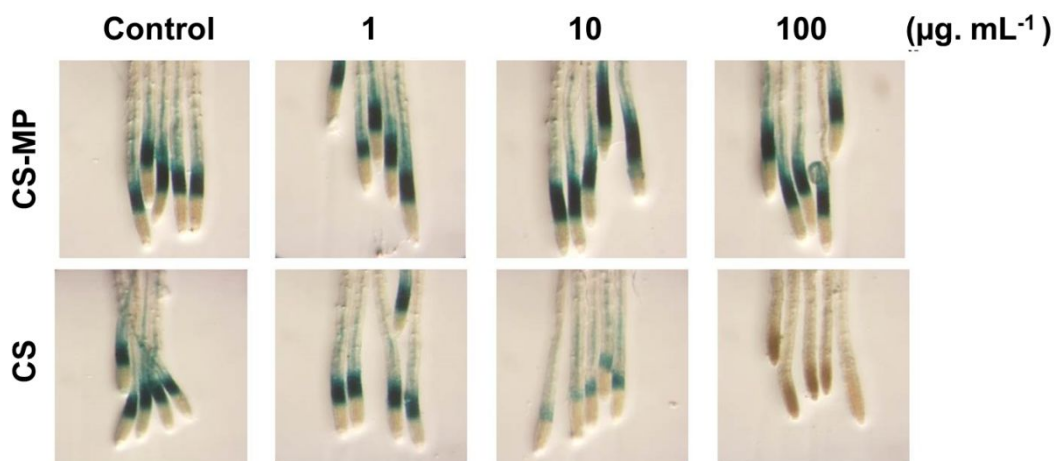
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252 **Figure 2. Promotion of RH upon CS-MPs exposure.** Five dpg Col-0 Arabidopsis  
253 seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented with increasing  
254 concentrations of CS-MPS for 48h. RH density (a) and RH length (b) were analyzed in  
255 a 5 mm section from the beginning of the differentiation zone. Representative images  
256 are shown in (c). Data are mean values of 4 independent experiments (n= 30; ANOVA,  
257 Dunnet post-hoc test against control, \* p< 0.05).

### 258 **Auxin response is activated by CS-MPs in Arabidopsis roots**

259 Since auxin is a key regulator of root growth and development, we studied if the  
260 modulation of auxin signaling constitutes a mechanism of action downstream MP-CSs  
261 application in Arabidopsis plants by using the auxin reporter transgenic seedlings,  
262 *BA3:GUS* and *DR5:GUS*. These lines consist of artificial promoters based on auxin  
263 response elements which drive the expression of GUS gene. The activation or  
264 repression of auxin response is correlated with GUS activity levels.<sup>27,28</sup>

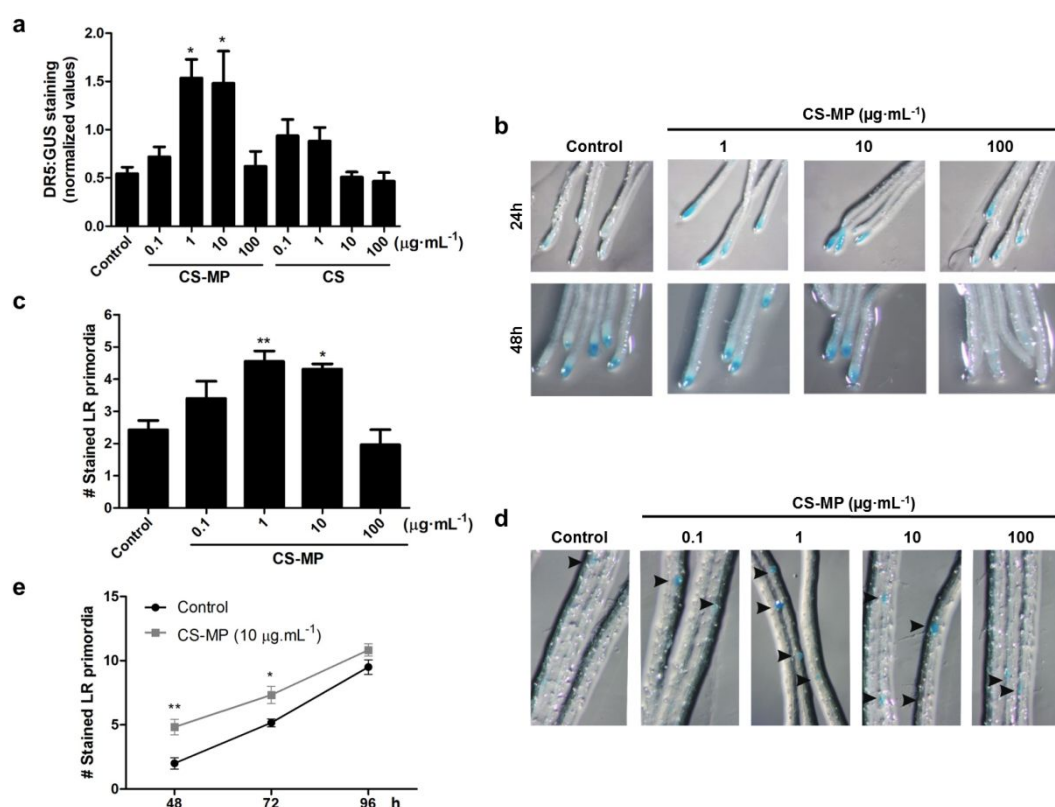


265

266 **Figure 3. Differential effect of CS-MPs and CS on auxin *BA3:GUS* reporter gene**  
 267 **activity.** Five dpg Arabidopsis *BA3:GUS* seedlings grown in  $\frac{1}{2}$  MS medium were  
 268 treated with 100 nM IAA and increasing concentrations of CS-MPs or CS. Seedlings  
 269 were subjected to GUS staining after 5 h of treatment. Representative images of PR  
 270 tips are shown.

271 We studied the effects of CS and CS-MPs on the early activation of auxin response  
 272 analyzing *BA3:GUS* activity on the tip of PR of 5-dpg seedlings treated with the natural  
 273 auxin IAA, in combination with increasing concentrations of CS or CS-MPs for 6 h.  
 274 While bulk CS repressed *BA3* auxin-responsive promoter activity in a dose-dependent  
 275 manner, CS-MPs did not show an effect on auxin response at all analyzed doses (0.1,  
 276 1, 10 and 100  $\mu\text{g mL}^{-1}$ ) in an early period of treatment (Figure 3). Repression of auxin  
 277 response by CS correlated with the cytotoxicity effects on root growth shown in Figure  
 278 1e. However, analyzing auxin response after 24 h of treatment, 1 and 10  $\mu\text{g mL}^{-1}$  CS-  
 279 MPs triggered the activation of *DR5* auxin responsive promoter in the tip of PR of 5 dpg  
 280 *DR5:GUS* seedlings (Figures 4a and b). In concomitance with CS-MPs action on LR  
 281 (Figure 1), an increment in the number of LR primordia showing *DR5* activity was  
 282 detected in 1 and 10  $\mu\text{g mL}^{-1}$  CS-MP treated seedlings after 48 h of treatment (Figures  
 283 4c and d). In order to evaluate the dynamics of LR induction, a time-course analysis of  
 284 stained *DR5:GUS* roots was performed. Figure 4e shows statistically higher and faster  
 285 induction of lateral root development by CS-MPs since CS-MPs treated seedlings

286 showed an increased number of GUS-stained LR primordia compared with control after  
 287 48 h and 72 h treatment. However, after 96 h no significant difference between  
 288 treatments was found. This temporarily advance in auxin activation was also observed  
 289 in PR where CS-MPs treated seedlings reached similar activation of DR5 promoter  
 290 than control 48 h post-treatment (Figure 4b). The early and sustained activation of  
 291 auxin response activity in DR5 reporter line fits with the promotion of root growth and  
 292 development described in Figure 1.

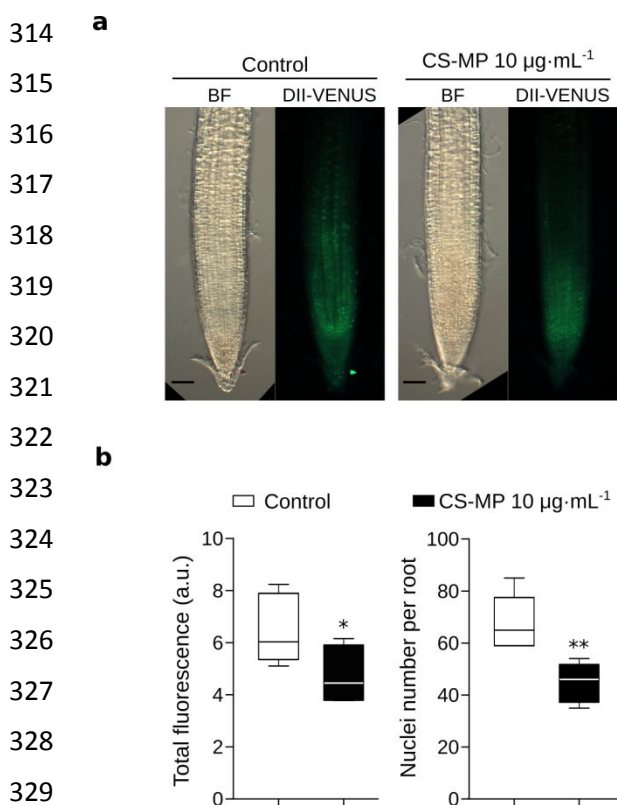


293

294 **Figure 4. CS-MPs promote an early activation of auxin response in Arabidopsis**  
 295 **roots.** Five dpv *DR5:GUS* seedlings were transferred to liquid ½ MS medium  
 296 supplemented with increasing concentrations of MP-CS. GUS activity was revealed  
 297 after incubation with X-Gluc at 37 °C. GUS activation in PR was analyzed 24 h post-  
 298 treatment (a). GUS staining in representative root tip segments after 24 h and 48 h is  
 299 shown in b. Stained LR primordia were quantified after 48h (c). GUS staining in  
 300 representative root segments of the differentiation zone are shown in (d). Time-course  
 301 analysis of stained primordia following 48, 72 and 96 h of treatment with 10 µg mL<sup>-1</sup>  
 302 is shown in (e). Data are mean values of 5 independent experiments (n= 60; ANOVA,  
 303 Dunnet post-hoc test, \* p< 0.05 \*\* p<0.01).

### 304 **CS-MPs trigger the activation of nuclear auxin signaling pathway**

305 To add evidence on the activation of the nuclear auxin signaling pathway, we analyzed  
 306 the level of fluorescence emitted by the auxin sensor DII-VENUS in root cells from  
 307 control and CS-MPs- treated plants. This auxin reporter line has been engineered to  
 308 allow the detection of dynamic changes in the levels of Aux/IAA auxin repressor  
 309 associated to a sensitive activation of nuclear TIR1/AFBs dependent auxin pathway.<sup>29</sup>  
 310 DII-VENUS sensor is rapidly degraded resulting in a decrease of the fluorescence  
 311 when the auxin pathway is activated. A decrease in the DII-VENUS fluorescence signal  
 312 was detected in the nucleus of epidermic cells in PR and LR of 10  $\mu\text{g mL}^{-1}$  CS-MPs-  
 313 treated seedlings compared to control (Figures 5a and b).



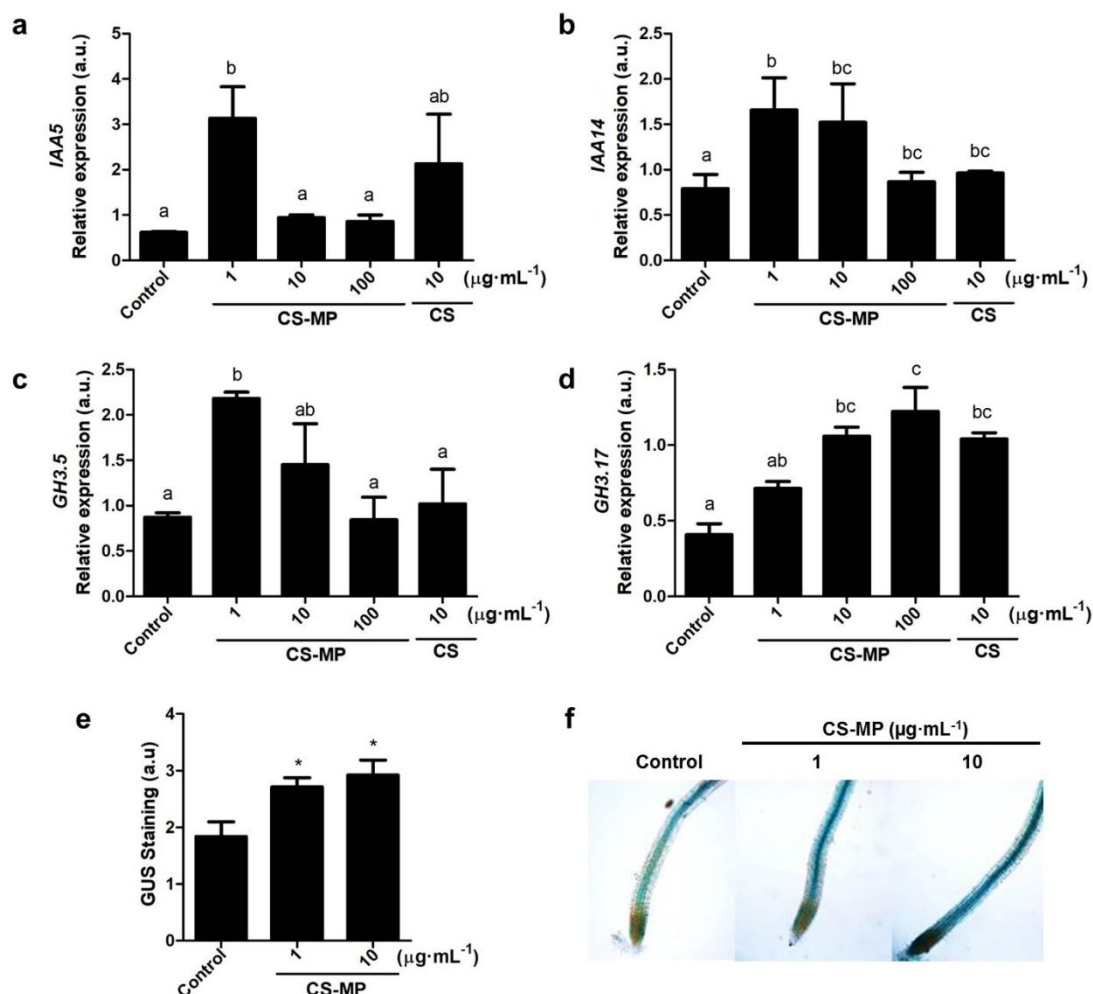
331 **Figure 5. CS-MPs enhance auxin sensitive in *DII-VENUS* Arabidopsis seedlings.**  
 332 Five dpg *DII-VENUS* seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented  
 333 with 10  $\mu\text{g mL}^{-1}$  CS-MPs (a) *DII-VENUS* expression (green) in PR of control and CS-  
 334 MP treated seedlings. Bright field images (BF). (b) Quantification of total *DII-VENUS*  
 335 signal and *DII-VENUS* positive nuclei number per root. Box plot showing median,  
 336 minimum and maximum values of 2 independent experiments (n= 24). P-values in

337 comparison to control were calculated with two-tailed Student's t-test, \* $p \leq 0.05$  \*\* $p \leq$   
338 0.01. Scale bars, 50  $\mu\text{m}$ .

339

340 Next, the expression of a set of auxin-response genes, *Aux/IAAs* (*IAA5* and *IAA14*) and  
341 *GH3s* (*GH3.5* and *GH3.17*) was analyzed upon 1, 10 and 100  $\mu\text{g mL}^{-1}$  CS-MPs  
342 treatment by quantitative qPCR (Figure 6). It is known that auxin response genes have  
343 differential patterns of expression under auxin stimuli.<sup>37</sup> Although each gene evidenced  
344 a particular expression pattern, most of them were up-regulated in seedlings exposed  
345 to 1 and 10  $\mu\text{g mL}^{-1}$  CS-MPs 24 h post treatment (Figures 6a-d). *MSG2/IAA19*  
346 constitutes an early auxin response gene associated to root development.<sup>(26)</sup>  
347 Therefore, the activation of *IAA19* promoter in roots of *pMSG2/IAA19:GUS* reporter line  
348 was analyzed in 1 and 10  $\mu\text{g mL}^{-1}$  CS-MPs treated seedlings (Figure 6e). Both  
349 concentrations of CS-MPs led to a significant increment of GUS staining in the PR  
350 (Figure 6f).





351 **Figure 6. CS-MPs promote the activation of early auxin response genes in**  
 352 **Arabidopsis seedlings.** Five dpg Col-0 seedlings were transferred to liquid  $\frac{1}{2}$  MS  
 353 medium supplemented with increasing concentrations of CS-MPs or CS as control. (a-  
 354 d) show the expression of a subset of early auxin response genes analyzed by qPCR  
 355 after 24 h of CS-MPs treatment. (e) GUS activity in representative PR of 5 dpg  
 356 *MSG2/IAA19:GUS* Arabidopsis seedlings treated with 1 and 10  $\mu\text{g mL}^{-1}$  CS-MPs for 24  
 357 h. Quantification of GUS staining in representative root tip segments is shown in (f).  
 358 Data are mean values of 3 independent experiments ( $n=30$ ; ANOVA, Dunnet post-hoc  
 359 test against control, \*  $p < 0.05$  \*\*  $p < 0.01$ ).

360

## 361 DISCUSSION

362 CS action seems to be complex in the dynamic and versatile modulation of plant  
 363 developmental programs since a narrow change from optimal concentrations can lead  
 364 from a promotion of growth to detrimental effects on plant biomass.<sup>12</sup> CS-MPs

365 modulate RAS inducing an early promotion of PR elongation, and a subsequent  
366 increment in the number and elongation of LRs and RHs compared to untreated  
367 seedlings root architecture in Arabidopsis and lettuce plants (Figures 1, 2 and S3). CS-  
368 MPs exert its positive effect in a wide range of concentrations ( $1-10 \mu\text{g mL}^{-1}$ ), resulting  
369 in a very beneficial property to recommend doses of application in the field. This fact  
370 also represents improved properties compared to bulk CS and CS-nanoparticles  
371 recently described which inhibit root growth or alternatively induce root growth at  
372 specific doses showing cytotoxicity at higher concentration in several plant species  
373 including *Arabidopsis thaliana*, *Solanum lycopersicum*, *Hordeum vulgare*, *Capsicum*  
374 *annuum* and *Ipomoea purpurea* treated with the same range of concentrations than in  
375 our study.<sup>20,38,39</sup> Main differences in our results could be attributed to physico-chemical  
376 properties of CS that become drastically changeable according to the biological  
377 sources and the synthesis methods. In our study CS exhibited a Mw of  $1531 \pm 372$  kDa  
378 which is significantly higher than CS used in other published papers.(20, 38, 39)  
379 Interestingly, the interaction between TPP<sup>-</sup> and -NH<sub>3</sub><sup>+</sup> groups of CS during the  
380 formation of the microstructure organization by the gelation method, confers new  
381 properties to the CS-MPs if compared to bulk CS macrostructure. CS present biological  
382 properties associated to its cationic nature under acidic pH. The protonated amino  
383 groups of the glucosamine could interact by electrostatic interactions with anionic  
384 groups of the lipids of cell membrane, causing impairment on its physicochemical  
385 equilibrium.<sup>40</sup> In CS-MP, these charged groups are partially neutralized and allow  
386 reorganization of the polymeric chains providing new properties to the CS-MP, which  
387 might have different interactions between the remaining free cationic groups and the  
388 cells. Assuming that the crosslinking efficiency is not 100% (TPP ratio was only 10% in  
389 the CS-MP), these remaining positively charge amino groups could interact with  
390 plasma membrane phospholipids as well as chelation of metal elements.<sup>11</sup> Therefore,  
391 this new microstructure increases the surface of contact reducing the exposed -NH<sub>3</sub><sup>+</sup>  
392 positive charges of CS which could disrupt cell membrane potential. In addition, CS-

393 MPs present a regular shape and medium size around 2.10  $\mu\text{m}$  which favors a better  
394 interaction with cells if compared with bulk CS.<sup>24</sup> Then, the polymeric microstructure  
395 properties of CS-MPs make them an improved material for root growth promotion in a  
396 wider range of application doses compared with bulk CS.

397 In addition, multiple evidences from genetic, molecular, and cellular approaches  
398 demonstrate the relevance of maintaining auxin gradients which ensure a proper  
399 activation of TIR1/AFBs- dependent auxin signaling during root development in  
400 Arabidopsis.<sup>15</sup> It was recently reported that Arabidopsis seedlings react to sensible  
401 changes in auxin concentrations by extremely rapid adaptation of root growth rate.<sup>41</sup>  
402 Therefore, compounds which exert an effect on auxin metabolism should be well  
403 characterized prior application. The fact that CS is able to induce a rapid and strong  
404 accumulation of auxin in Arabidopsis fits with the reports where plant growth is affected  
405 by its application.<sup>20</sup> Although we used a CS of higher Mw than these authors, our results  
406 also demonstrated that CS triggers auxin signaling repression (Figure 3). However,  
407 CS-MPs unchain an accurate and coordinated spatio-temporal induction of the nuclear  
408 auxin signaling in CS-MPs-treated seedlings evidenced by the activation of DR5  
409 promoter, the repression of DII-VENUS activity, and the expression of early auxin  
410 response genes, Aux/IAAs and GH3s (Figures 4,5 and 6). A counter balance of nitric  
411 oxide (NO) concentrations appears to be essential for the control of the auxin action  
412 during root growth and development.<sup>42</sup> The induction of NO levels by CS-MP (Figure  
413 S4) could contribute to the enhancement in auxin sensitivity which promotes root  
414 growth. NO exerts its action, in part, through the S-nitrosylation of multiple components  
415 of the nuclear TIR1-dependent auxin signaling.<sup>43,44</sup> Despite the differences in the  
416 dimensions of the particles with an average diameter of  $90 \pm 5$  nm compared to  $2.10 \pm$   
417  $0.78$   $\mu\text{m}$  exhibited by the particles described in this work and in concordance with our  
418 results, Chandra, et al.<sup>45</sup> demonstrated that CS nanoparticles also induce NO  
419 accumulation in addition to antioxidant enzymes as part of the defense response  
420 mechanism in *Camellia sinensis* tea plants suggesting that particles might mediate

421 different physiological processes sharing, at least partially, the same signaling  
422 mechanisms.

423 In addition, main differences in our results and previously reported papers could be  
424 attributed to physicochemical properties of CS including the molecular weight since it  
425 has been suggested that it has more influence on the biological activity than the DD.<sup>46</sup>  
426 The electrostatic interaction between TPP and -NH<sub>3</sub><sup>+</sup> groups of CS during the  
427 formation of the MP allow to a new organization of the molecules of the polymer and  
428 also a new way to exhibit the cationic charges reflected in the  $\zeta$  of the CS-MP. This  
429 new conformation confers new properties to the material if compared to bulk CS  
430 macrostructure. This microstructure increases the surface of contact when compared to  
431 bulk CS reducing the exposed -NH<sub>3</sub><sup>+</sup> positive charges of CS which could disrupt cell  
432 membrane potential.<sup>24</sup>

433 Although auxin is considered an omnipotent regulator of root development cytokinin  
434 and jasmonate hormonal pathways and the crosstalk auxin-ethylene have been  
435 extensively described in the regulation of LR initiation, emergence and positioning in  
436 Arabidopsis.<sup>47,48</sup> The fact that the application of CS-MPs to the double mutant in the  
437 auxin receptors TIR1 and AFB2, *tir1afb2* was able to promote root FW and the number  
438 and length of LRs (Figure S5) suggests that CS-MPs enhance the sensitivity of  
439 remaining auxin receptors of TIR1/AFBs family or alternatively that CS-MPs exert its  
440 action through additional pathways.

441 Curiously, CS-MPs-induced phenotype resembles RSA of plants exposed to soil with  
442 low phosphate (Pi) availability where modulation of auxin sensitivity leads to  
443 augmented density and length of LRs and RHs.<sup>49</sup> However, CS-MPs enhanced root  
444 branching without a drastic effect on aerial organs in contrast to plants grown under low  
445 Pi which allocate more carbon to roots increasing their root-to-shoot ratio.<sup>50</sup> Due to the  
446 relevance of soil Pi level for crop yield, Ham, et al. proposed the bio-engineering of  
447 agricultural species for improved Pi acquisition and utilization in plants.<sup>51</sup> Although the

448 mode of action of CS has not been completely deciphered yet, CS exhibits several  
449 reactive amino side groups which enhance its applicability. For instance, it has been  
450 demonstrated that CS stimulates the activity of plant symbiotic microbes affecting the  
451 homeostasis of microbial rhizosphere and also promoting the nutrient uptake by plant.  
452 <sup>52,53</sup> Then, CS-MPs could participate in the modulation of Arabidopsis root interphase  
453 and/or the microbiome and associated mineral nutritional compounds. In this context,  
454 new CS biomaterials with improve biological performance like CS-MPs may constitute  
455 an overcome alternative to transgenic plants for the promotion of plant growth under  
456 soil with nutrient deficiency. However, further studies are necessary in order to  
457 decipher the cellular uptake and biodistribution of CS-MPs in root cells.

458

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463

#### 464 ABBREVIATIONS

465

466 RSA, root system architecture; CS, chitosan; CS-MPs, chitosan microparticles; DPG,  
467 days post-germination; FW, fresh weight; IAA, Indole acetic acid; NO, nitric oxide; LR,  
468 lateral root; PR, primary root; RH, root hair; SE, standard error; TPP, sodium  
469 tripolyphosphate;

470

#### 471 SUPPORTING INFORMATION CONTENT

472 **Figure S1. Characterization of CS-MP by SEM and FTIR.**

473 **Figure S2. Analysis of CS-MPs effect on root gravitropism in Arabidopsis.**

474 **Figure S3. Analysis of CS-MPs effect on root development in lettuce.**

475 **Figure S4. Analysis of CS-MPs effect on nitric oxide accumulation in Arabidopsis**  
476 **roots.**

477 **Figure S5. Analysis of CS-MPs effect on root development in *tir1afb2* double**  
478 **mutant.**

479

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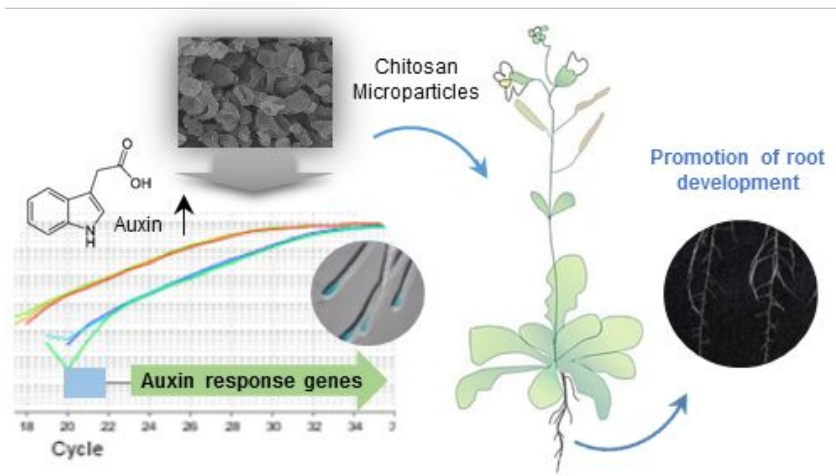
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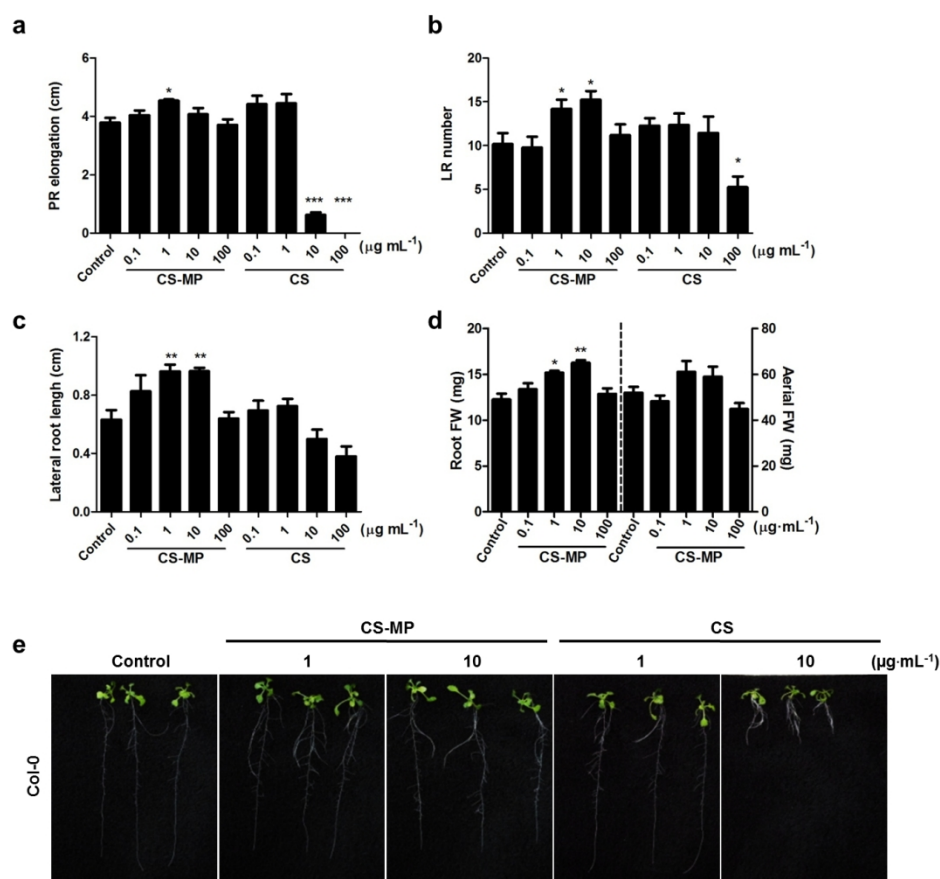


Figure 1. CS-MPs promote root development in Arabidopsis. Five dpg Col-0 Arabidopsis seedlings grown in  $\frac{1}{2}$  MS medium were treated with increasing concentrations of CS-MPs or CS as control. PR elongation (a) was quantified 3 d post- treatment. LR number (b) and LR length (c) were analyzed 5 d post treatment. Seedlings FW was quantified 9 d post- treatment (d). Representative images 9 d post treatments are shown in (e). Data are mean values of 5 independent experiments ( $n= 60$ ; ANOVA, Dunnet post-hoc test against control, \*  $p < 0.05$  \*\*  $p < 0.01$  \*\*\* $p < 0.001$ ).

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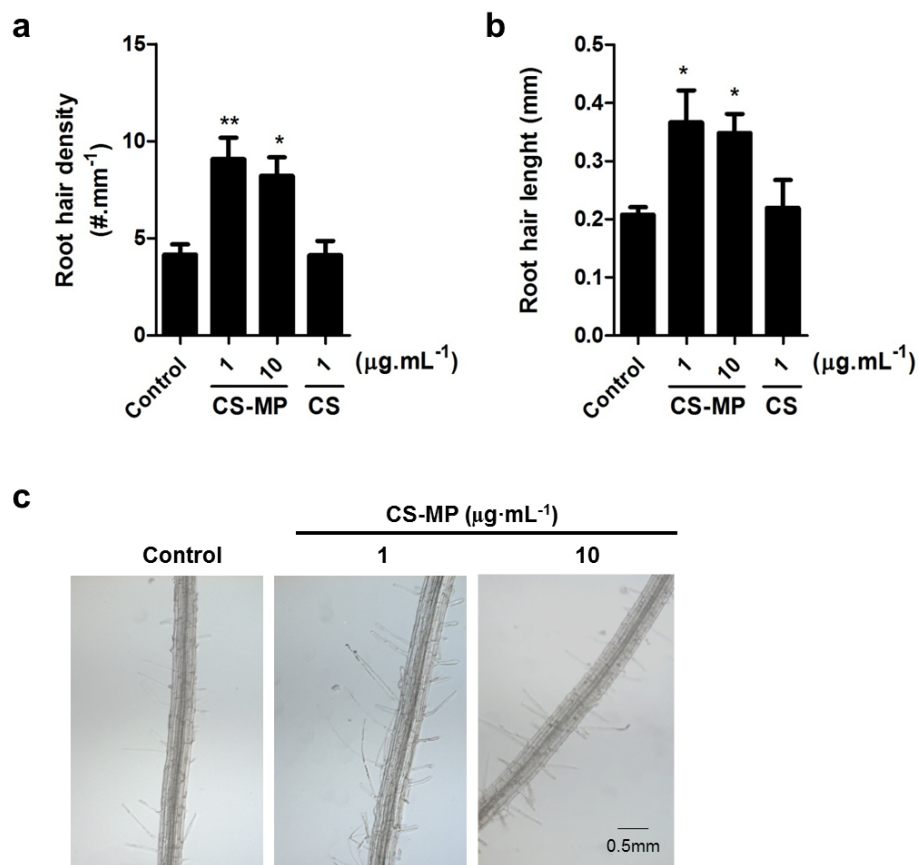


Figure 2. Promotion of RH upon CS-MPs exposure. Five dpg Col-0 Arabidopsis seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented with increasing concentrations of CS-MPS for 48h. RH density (a) and RH length (b) were analyzed in a 5 mm section from the beginning of the differentiation zone. Representative images are shown in (c). Data are mean values of 4 independent experiments (n= 30; ANOVA, Dunnet post-hoc test against control, \* p< 0.05).

186x173mm (150 x 150 DPI)

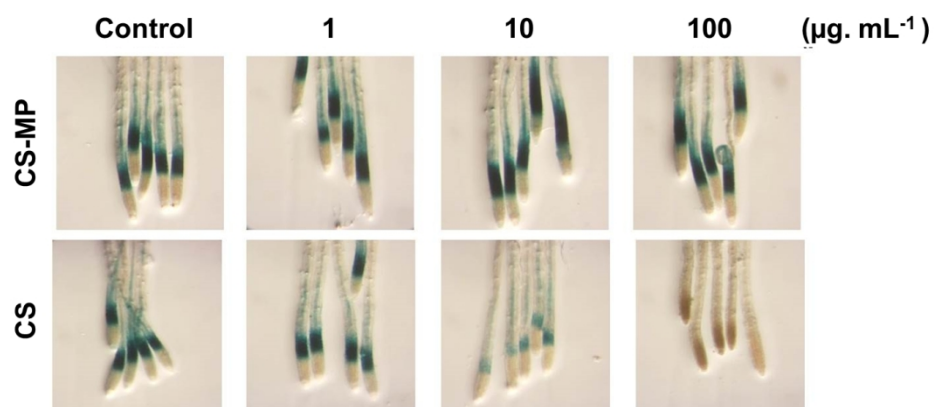


Figure 3. Differential effect of CS-MPs and CS on auxin BA3:GUS reporter gene activity. Five dpg Arabidopsis BA3:GUS seedlings grown in  $\frac{1}{2}$  MS medium were treated with 100 nM IAA and increasing concentrations of CS-MPs or CS. Seedlings were subjected to GUS staining after 5 h of treatment. Representative images of PR tips are shown.

255x120mm (150 x 150 DPI)

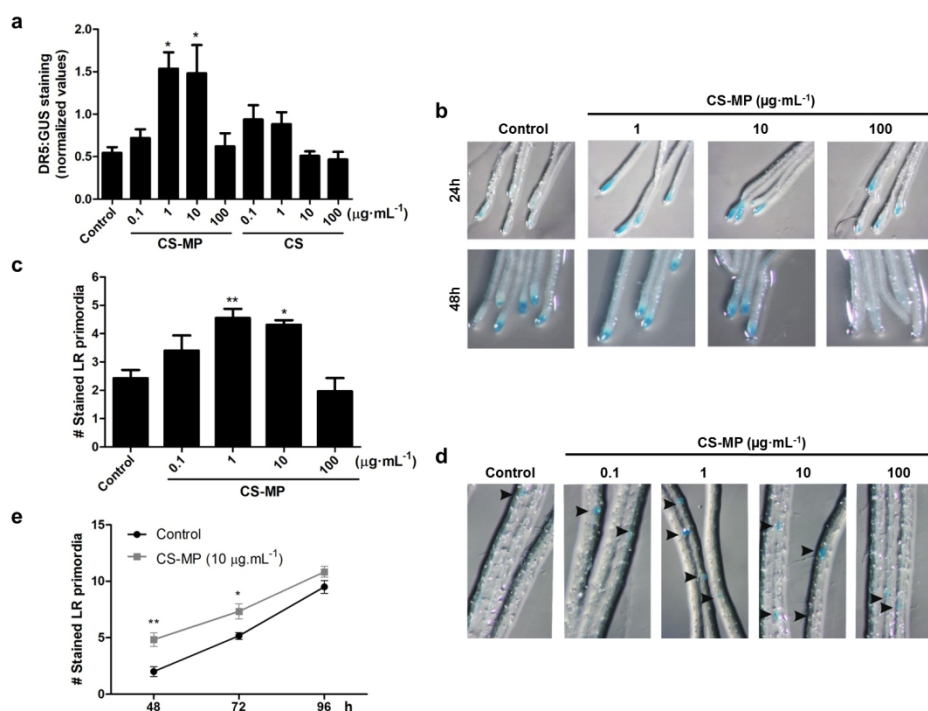


Figure 4. CS-MPs promote an early activation of auxin response in Arabidopsis roots. Five dpg DR5:GUS seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented with increasing concentrations of MP-CS. GUS activity was revealed after incubation with X-Gluc at 37°C. GUS activation in PR was analyzed 24 h post-treatment (a). GUS staining in representative root tip segments after 24 h and 48 h is shown in b. Stained LR primordia were quantified after 48h (c). GUS staining in representative root segments of the differentiation zone are shown in (d). Time-course analysis of stained primordia following 48, 72 and 96 h of treatment with 10  $\mu\text{g}\cdot\text{mL}^{-1}$  is shown in (e). Data are mean values of 5 independent experiments (n= 60; ANOVA, Dunnet post-hoc test, \* p< 0.05 \*\* p<0.01).

297x224mm (150 x 150 DPI)

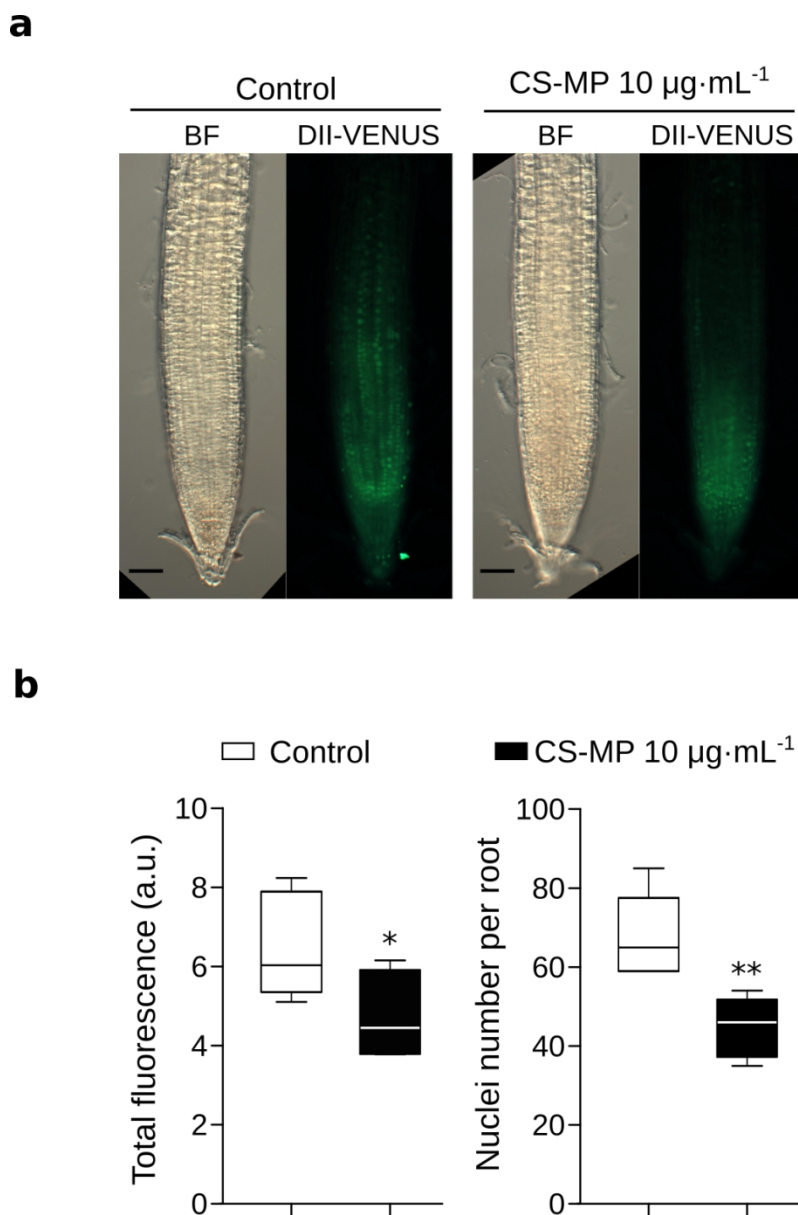


Figure 5. CS-MPs enhance auxin sensitive in DII-VENUS Arabidopsis seedlings. Five dpg DII-VENUS seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented with  $10 \mu\text{g mL}^{-1}$  CS-MPs (a) DII-VENUS expression (green) in PR of control and CS-MPs treated seedlings. Bright field images (BF). (b) Quantification of total DII-VENUS signal and DII-VENUS positive nuclei number per root. Box plot showing median, minimum and maximum values of 2 independent experiments ( $n=24$ ). P-values in comparison to control were calculated with two-tailed Student's t-test, \* $p \leq 0.05$  \*\* $p \leq 0.01$ . Scale bars,  $50 \mu\text{m}$ .

372x561mm (72 x 72 DPI)

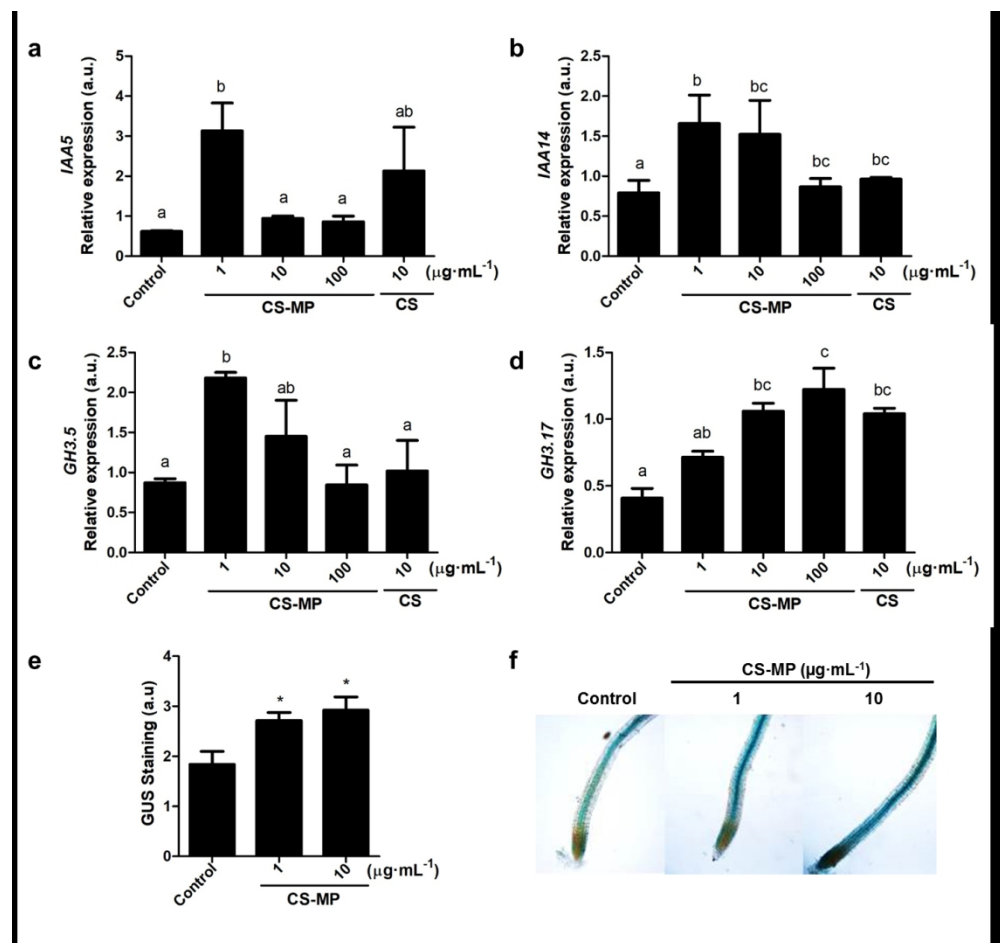
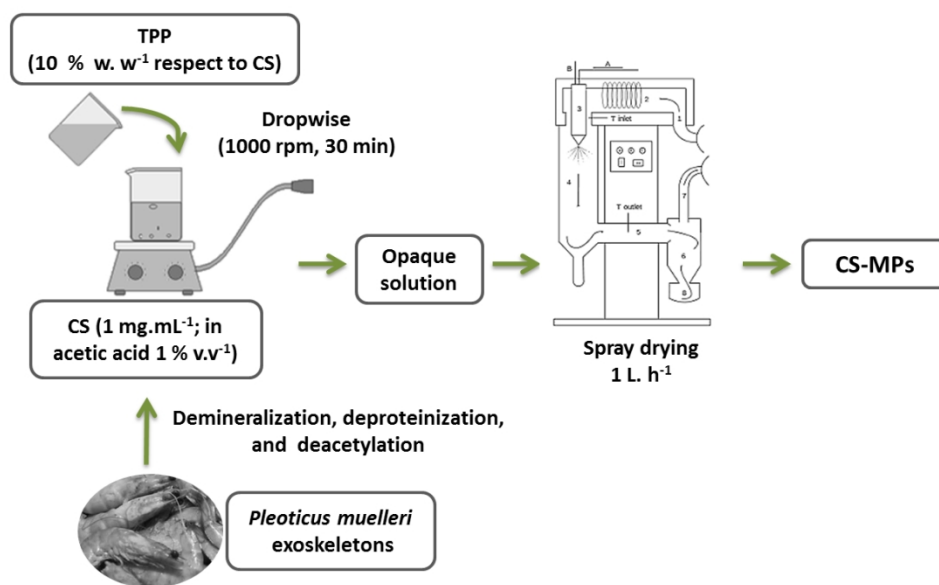


Figure 6. CS-MPs promote the activation of early auxin response genes in Arabidopsis seedlings. Five dpg Col-0 seedlings were transferred to liquid  $\frac{1}{2}$  MS medium supplemented with increasing concentrations of CS-MPs or CS as control. (a-d) show the expression of a subset of early auxin response genes analyzed by qPCR after 24 h of CS-MPs treatment. (e) GUS activity in representative PR of 5 dpg MSG2/IAA19:GUS Arabidopsis seedlings treated with 1 and 10  $\mu\text{g mL}^{-1}$  CS-MPs for 24 h. Quantification of GUS staining in representative root tip segments is shown in (f). Data are mean values of 3 independent experiments ( $n=30$ ; ANOVA, Dunnet post-hoc test against control, \*  $p < 0.05$  \*\*  $p < 0.01$ ).

251x235mm (150 x 150 DPI)





Scheme 1: Synthesis of CS-MPs

219x137mm (150 x 150 DPI)