

## On unrolled Hopf algebras

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### ABSTRACT

We show that the definition of unrolled Hopf algebras can be naturally extended to the Nichols algebra  $\mathcal{B}(V)$  of a Yetter–Drinfeld module  $V$  on which a Lie algebra  $\mathfrak{g}$  acts by biderivations. As a special case, we find unrolled versions of the small quantum group.

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## 1. Introduction

### 1.1.

In the recent papers [9, 11], a so-called unrolled version of quantum  $\mathfrak{sl}(2)$  was introduced, with applications to quantum topology; the definition was generalized to simple finite-dimensional Lie algebras in [10]. In this paper, we propose a generalization of this notion and embed it into the appropriate conceptual context.

Recall that the unrolled quantum  $\mathfrak{sl}(2)$  is defined as the smash product of  $U_q(\mathfrak{sl}(2))$  by the universal enveloping algebra of the Lie algebra of dimension 1. Our starting point is the observation in Lemma 2.6: given an action of the universal enveloping algebra  $U(\mathfrak{g})$  of a Lie algebra  $\mathfrak{g}$  on a Hopf algebra  $H$ , the smash product is a Hopf algebra, if and only if  $\mathfrak{g}$  acts on  $H$  by biderivations. We next observe that, if  $V$  is a Yetter–Drinfeld module over a group  $G$ , then the Lie algebra  $\mathfrak{bd}_V := \text{End}_G^G(V)$

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of endomorphisms of the Yetter–Drinfeld module  $V$  acts by biderivations on the Nichols algebra  $\mathcal{B}(V)$ . Hence, we can form the Hopf algebra  $(\mathcal{B}(V)\#kG) \rtimes U(\mathfrak{bd}_V)$  which we call the *unrolled bosonization* of  $V$ . If  $\dim V$  is finite, then its Gelfand–Kirillov dimension can be expressed in terms of the Gelfand–Kirillov dimension of  $\mathcal{B}(V)$  and the dimension of  $\mathfrak{bd}_V$ .

The construction of unrolled bosonizations extends to a Lie subalgebra  $\mathfrak{g}$  of  $\mathfrak{bd}_V$ , pre- or post-Nichols algebras (in the place of  $\mathcal{B}(V)$ ), and to deformations thereof, provided that the action of the Lie algebra  $\mathfrak{g}$  preserves the relevant defining relations. In particular, we define the unrolled version of the quantum double of a finite-dimensional Nichols algebra of diagonal type.

### 1.2. Preliminaries

Fix a field  $k$  and let  $H$  be a Hopf algebra over  $k$ . We use standard notation:  $\Delta$ ,  $\varepsilon$ ,  $\mathcal{S}$ ,  $\overline{\mathcal{S}}$  are respectively the comultiplication, the counit, the antipode (always assumed to be bijective) and the inverse of the antipode.

We denote by  ${}^H_H\mathcal{YD}$  the category of Yetter–Drinfeld modules over  $H$  as in [5]. For  $V, W \in {}^H_H\mathcal{YD}$ , we denote by  $\text{Hom}_H^H(V, W)$ ,  $\text{End}_H^H(V)$ ,  $\text{Aut}_H^H(V)$  the spaces of morphisms, respectively endomorphisms, automorphisms in  ${}^H_H\mathcal{YD}$ . Let  $R$  be a Hopf algebra in the braided monoidal category  ${}^H_H\mathcal{YD}$ , with comultiplication denoted by  $r \mapsto r^{(1)} \otimes r^{(2)}$ . Recall that the *bosonization*  $R\#H$  is the Hopf algebra over  $k$  with underlying vector space  $R \otimes H$ , smash product multiplication and smash coproduct comultiplication; i.e. for all  $r, s \in R$ ,  $a, b \in H$ ,

$$(r\#a)(s\#b) = r(a_{(1)} \cdot s)\#a_{(2)}b, \tag{1.1}$$

$$\Delta(r\#a) = r^{(1)}\#(r^{(2)})_{(-1)}a_{(1)} \otimes (r^{(2)})_{(0)}\#a_{(2)}. \tag{1.2}$$

Here we write  $r\#h$  for  $r \otimes h$ .

We also introduce the category  $\mathcal{YD}_H^H = {}^{H^{\text{bop}}}_{H^{\text{bop}}}\mathcal{YD}$  of *right–right* Yetter–Drinfeld modules over  $H$ . Thus  $M \in \mathcal{YD}_H^H$  means that  $M$  is a right  $H$ -module and a right  $H$ -comodule (with coaction  $\varrho$ ), and satisfies the compatibility axiom

$$\varrho(m \cdot h) = m_{(0)} \cdot h_{(2)} \otimes \mathcal{S}(h_{(1)})m_{(1)}h_{(3)}, \quad m \in M, \quad h \in H. \tag{1.3}$$

The tensor category  $\mathcal{YD}_H^H$  is braided, with braiding  $c(m \otimes n) = n \cdot m_{(1)} \otimes m_{(0)}$ , for all  $m \in M$ ,  $n \in N$ ,  $M, N \in \mathcal{YD}_H^H$ . For right–right Yetter–Drinfeld modules  $V, W \in \mathcal{YD}_H^H$ , we use the notions  $\text{Hom}_H^H(V, W)$ ,  $\text{End}_H^H(V)$ ,  $\text{Aut}_H^H(V)$  are as before.

Let  $T$  be a Hopf algebra in the braided monoidal category  $\mathcal{YD}_H^H$  of right–right Yetter–Drinfeld modules, with comultiplication denoted by  $t \mapsto t^{(1)} \otimes t^{(2)}$ . In this case, the *bosonization*  $H\#T$  is the Hopf algebra over  $k$  with underlying vector space  $H \otimes T$ , smash product multiplication and smash coproduct comultiplication; i.e.

$$(a\#t)(b\#u) = ab_{(1)}\#(t \cdot b_{(2)})u, \tag{1.4}$$

$$\Delta(a\#t) = a_{(1)}\#(t^{(1)})_{(0)} \otimes a_{(2)}(t^{(1)})_{(1)}\#t^{(2)}, \tag{1.5}$$

for all  $t, u \in R$ ,  $a, b \in H$ . Here we write  $h\#t$  for  $h \otimes t$ .

If  $\Gamma$  is an abelian group, then we denote by  $\mathbb{k}_g^\chi$  the one-dimensional object in  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$  with coaction given by the group element  $g \in \Gamma$  and action given by the character  $\chi \in \widehat{\Gamma}$ . For a Yetter–Drinfeld module  $V \in {}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$ , the corresponding isotypic component is denoted by  $V_g^\chi$ . A Yetter–Drinfeld module has a natural structure of a braided vector space. For a braided vector space  $V$ , denote by  $\mathcal{B}(V)$  its Nichols algebra and by  $\mathcal{J} = \mathcal{J}(V)$  its ideal of defining relations, cf. [5]; so that  $\mathcal{B}(V) \simeq T(V)/\mathcal{J}(V)$ .

## 2. Unrolled Hopf Algebras

### 2.1.

Let  $L$  be a Hopf algebra. Recall that a (left)  $L$ -module algebra is an algebra  $A$  which is also an  $L$ -module with action  $\cdot : L \otimes A \rightarrow A$  such that for all  $\ell \in L$  and all  $a, b \in A$  the compatibility conditions

$$\ell \cdot (ab) = (\ell_{(1)} \cdot a)(\ell_{(2)} \cdot b), \tag{2.1}$$

$$\ell \cdot 1 = \varepsilon(\ell)1 \tag{2.2}$$

for product and unit hold. It is well-known that (2.1) and (2.2) mean that  $A$  is an algebra in the monoidal category  ${}_L\mathcal{M}$  of left  $L$ -modules.

In this paper, we are interested in the case of a Hopf algebra  $H$  that is also an  $L$ -module algebra, where  $L$  is a Hopf algebra as well. In this case, we impose the following consistency conditions:

$$\Delta(\ell \cdot a) = \ell_{(1)} \cdot a_{(1)} \otimes \ell_{(2)} \cdot a_{(2)}, \tag{2.3}$$

$$\varepsilon(\ell \cdot a) = \varepsilon(\ell)\varepsilon(a), \tag{2.4}$$

$$\ell_{(1)} \otimes \ell_{(2)} \cdot a = \ell_{(2)} \otimes \ell_{(1)} \cdot a, \tag{2.5}$$

for all  $\ell \in L$  and all  $a, b \in H$ . Then  $H \rtimes L := H \otimes L$  with the tensor product structure as a coalgebra and with the smash product (1.1) for the algebra structure is a Hopf algebra; see [15; 4, 1.2.10] (in this second paper a different notation is used). We shall say that  $H$  is a  *$L$ -module Hopf algebra*.

**Remark 2.1.** The following perspective shows that it is natural to impose these consistency conditions. The category  ${}_L\mathcal{M}$  of left  $L$ -modules is monoidal, but not braided; thus  $H$  cannot be interpreted as a Hopf algebra in  ${}_L\mathcal{M}$ . Still, it can be interpreted in terms of monads. Recall that  $A$  has the structure of an algebra in the monoidal category  ${}_L\mathcal{M}$  of left  $L$ -modules, if and only if the endofunctor  $T : {}_L\mathcal{M} \rightarrow {}_L\mathcal{M}$ ,  $T(X) = A \otimes X$  has the structure of a monad.

Also recall [8] that a bimonad structure on a monad  $T$  on a monoidal category consists of a comonoidal structure on the functor  $T$ , i.e. a natural transformation

$$T_2 : T(X \otimes Y) = H \otimes (X \otimes Y) \rightarrow T(X) \otimes T(Y) = (H \otimes X) \otimes (H \otimes Y),$$

and a morphism  $T_0 : T(1) \rightarrow 1$ . They have to obey axioms generalizing coassociativity and counitality. If  $H$  is a bialgebra in a braided monoidal category, the monad  $T(-) = H \otimes -$  can be endowed via the coproduct  $\Delta : H \rightarrow H \otimes H$  with the natural transformation

$$T_2(a \otimes x \otimes y) = (a_{(1)} \otimes x) \otimes (a_{(2)} \otimes y),$$

where we used Sweedler notation for  $\Delta$ . The morphism  $T_0$  is induced from the counit  $\varepsilon : H \rightarrow \mathbb{k}$ .

Now let  $L$  be another Hopf algebra and  $H$  be an  $L$ -module algebra. The fact that  $T_2$  is a morphism in  ${}_L\mathcal{M}$  is then equivalent to the consistency conditions (2.3) and (2.5), while condition (2.4) amounts to the fact that  $\varepsilon$  is a morphism in  ${}_L\mathcal{M}$ . Thus  $T(-) = H \otimes -$  is a bimonad on the monoidal category  ${}_L\mathcal{M}$ , if and only if the requirements (2.3)–(2.5) hold. It is a Hopf monad, if and only if  $H$  is a Hopf algebra. The Hopf monad in  $\text{Vec}_{\mathbb{k}}$  (i.e. Hopf algebra)  $H \rtimes L$  corresponds to the forgetful functor as described in [8, Proposition 4.3].

**Remark 2.2.** Here is another way to interpret  $H \rtimes L$ , dual to [4, 1.1.5]. Let  $H$  be a  $L$ -module Hopf algebra. Then  $H$ , endowed with the trivial coaction, is a Hopf algebra in  ${}^L\mathcal{YD}$  and  $H \rtimes L \simeq H \# L$ . Indeed, (2.5) is equivalent to the compatibility in  ${}^L\mathcal{YD}$ .

## 2.2.

Now turn to the situation of two Hopf algebras  $H$  and  $U$ , provided with a non-degenerate bilinear form  $(|) : H \otimes U \rightarrow \mathbb{k}$ . We extend this bilinear form to a non-degenerate bilinear form  $(|) : H \otimes H \otimes U \otimes U \rightarrow \mathbb{k}$  by

$$(a \otimes \tilde{a} | u \otimes \tilde{u}) := (a | \tilde{u})(\tilde{a} | u), \quad \text{for } a, \tilde{a} \in H, \quad u, \tilde{u} \in U. \quad (2.6)$$

We assume that the pairing  $(|)$  is such that for every  $a, \tilde{a} \in H, u, \tilde{u} \in U$ , the following identities hold

$$(a\tilde{a} | u) = (a \otimes \tilde{a} | \Delta(u)) = (a | u_{(2)})(\tilde{a} | u_{(1)}), \quad (1 | u) = \varepsilon(u), \quad (2.7)$$

$$(a | u\tilde{u}) = (\Delta(a) | u \otimes \tilde{u}) = (a_{(2)} | u)(a_{(1)} | \tilde{u}), \quad (a | 1) = \varepsilon(a), \quad (2.8)$$

$$(\mathcal{S}(a) | u) = (a | \mathcal{S}(u)). \quad (2.9)$$

Such a pairing is called a Hopf pairing on  $H$  and  $U$ .

**Lemma 2.3.** *Assume that the two Hopf algebras  $H$  and  $U$  are  $L$ -modules and that there is a Hopf pairing on  $H$  and  $U$ . Assume that the pairing is compatible with the  $L$ -action involving the antipode of  $L$ ,*

$$(\ell \cdot a | u) = (a | \mathcal{S}(\ell) \cdot u), \quad a \in H, \quad u \in U, \quad \ell \in L. \quad (2.10)$$

*Then the Hopf algebra  $H$  is an  $L$ -module Hopf algebra, if and only if  $U$  is so.*

**Proof.** Let  $\ell \in L$ ,  $u, v \in U$  and  $a \in H$ . We compute

$$\begin{aligned} (a | \ell \cdot (uv)) &= (\overline{\mathcal{S}}(\ell) \cdot a | uv) = ((\overline{\mathcal{S}}(\ell) \cdot a)_{(2)} | u)((\overline{\mathcal{S}}(\ell) \cdot a)_{(1)} | v); \\ (a | (\ell_{(1)} \cdot u)(\ell_{(2)} \cdot v)) &= (a_{(2)} | \ell_{(1)} \cdot u)(a_{(1)} | \ell_{(2)} \cdot v) \\ &= (\overline{\mathcal{S}}(\ell_{(1)}) \cdot a_{(2)} | u)(\overline{\mathcal{S}}(\ell_{(2)}) \cdot a_{(1)} | v) \\ &= (\overline{\mathcal{S}}(\ell)_{(2)} \cdot a_{(2)} | u)(\overline{\mathcal{S}}(\ell)_{(1)} \cdot a_{(1)} | v). \end{aligned}$$

Hence (2.1) holds for  $U$  if and only if  $(a | \ell \cdot (uv)) = (a | (\ell_{(1)} \cdot u)(\ell_{(2)} \cdot v))$  for all  $\ell \in L$ ,  $u, v \in U$ ,  $a \in H$ , if and only if  $((\tilde{\ell} \cdot a)_{(2)} | u)((\tilde{\ell} \cdot a)_{(1)} | v) = (\tilde{\ell}_{(2)} \cdot a_{(2)} | u)(\tilde{\ell}_{(1)} \cdot a_{(1)} | v)$  for all  $\tilde{\ell} \in L$ ,  $u, v \in U$ ,  $a \in H$ , if and only if (2.3) holds for  $H$ . Thus (2.1) holds for  $H$  if and only if (2.3) holds for  $U$ .

Similarly (2.2) holds for  $U$  if and only if (2.4) holds for  $H$  and vice versa. Finally, (2.5) holds for  $H$  if and only if it holds for  $U$ :

$$\begin{aligned} \ell_{(1)} \otimes \ell_{(2)} \cdot u &= \ell_{(2)} \otimes \ell_{(1)} \cdot u, \quad \forall u \Leftrightarrow \overline{\mathcal{S}}(\ell_{(1)})(a | \otimes \ell_{(2)} \cdot u) \\ &= \overline{\mathcal{S}}(\ell_{(2)})(a | \ell_{(1)} \cdot u), \quad \forall u, a \Leftrightarrow \overline{\mathcal{S}}(\ell_{(1)})(\overline{\mathcal{S}}(\ell_{(2)}) \cdot a | u), \\ &= \overline{\mathcal{S}}(\ell_{(2)})(\overline{\mathcal{S}}(\ell_{(1)}) \cdot a | u), \quad \forall u, a \Leftrightarrow \overline{\mathcal{S}}(\ell_{(2)})(\overline{\mathcal{S}}(\ell_{(1)}) \cdot a | u) \\ &= \overline{\mathcal{S}}(\ell)_{(1)}(\overline{\mathcal{S}}(\ell)_{(2)} \cdot a | u), \quad \forall u, a \Leftrightarrow \overline{\mathcal{S}}(\ell)_{(2)} \otimes \overline{\mathcal{S}}(\ell)_{(1)} \cdot a \\ &= \overline{\mathcal{S}}(\ell)_{(1)} \otimes \overline{\mathcal{S}}(\ell)_{(2)} \cdot a, \quad \forall a. \quad \square \end{aligned}$$

### 2.3.

We next extend our construction to Hopf algebras in braided monoidal categories. To this end, let now  $K$  be a Hopf algebra,  $\mathcal{B}$  a Hopf algebra in the braided category  ${}^K_K\mathcal{YD}$ . Let  $L$  be another Hopf algebra as before, and assume that  $\mathcal{B}$  is also an  $L$ -module algebra. We extend the action of the Hopf algebra  $L$  to the bosonization  $H := \mathcal{B}\#K$  by  $\ell \cdot (b\#k) := (\ell \cdot b)\#k$ , for  $\ell \in L$ ,  $b \in \mathcal{B}$  and  $k \in K$ :

Then straightforward verifications show that:

- The bosonization  $H$  is a  $L$ -module algebra  $\Leftrightarrow$  The actions of  $L$  and  $K$  on  $\mathcal{B}$  commute.
- Equation (2.4) holds for  $H \Leftrightarrow$  (2.4) holds for  $\mathcal{B}$ .  
From now on, we assume that this is the case.
- Equation (2.3) holds for  $H \Leftrightarrow$  (2.3) holds for  $\mathcal{B}$  and the action of  $\ell$  on  $\mathcal{B}$  is a morphism of  $K$ -comodules for all  $\ell \in L$ .
- Equation (2.5) holds for  $H \Leftrightarrow$  (2.5) holds for  $\mathcal{B}$ .

In other words, the action of  $L$  on the bosonization  $H = \mathcal{B}\#K$  satisfies (2.4), (2.3) and (2.5), if and only if so does the action of  $L$  on  $\mathcal{B}$ , and the homothety  $\eta_\ell$  for  $\ell \in L$  is a morphism of Yetter–Drinfeld modules,  $\eta_\ell \in \text{End}_K^K \mathcal{B}$  for all  $\ell \in L$ . This leads to the following.

**Definition 2.4.** An  $L$ -module braided Hopf algebra is a Hopf algebra  $\mathcal{B}$  in the braided category  ${}^K_K\mathcal{YD}$  that is also a  $L$ -module algebra, that satisfies (2.4), (2.3) and (2.5), and such that the homothety  $\eta_\ell \in \text{End}_K^L \mathcal{B}$  for all  $\ell \in L$ .

We have just seen: for an  $L$ -module braided Hopf algebra, the bosonization  $H := \mathcal{B}\#K$  is an  $L$ -module Hopf algebra over  $\mathbb{k}$  and we can form the Hopf algebra  $H \rtimes L = (\mathcal{B}\#K) \rtimes L$ .

As in Sec. 2.2, we consider the situation with non-degenerate pairings; this time internal to the braided monoidal category  ${}^K_K\mathcal{YD}$  instead of  $\text{vect } \mathbb{k}$ . Concretely, let  $\mathcal{E}$  be another Hopf algebra in the category  ${}^K_K\mathcal{YD}$  provided with a non-degenerate bilinear form  $(|) : \mathcal{B} \otimes \mathcal{E} \rightarrow \mathbb{k}$ , and extend it by (2.6) to a pairing  $\mathcal{B} \otimes \mathcal{B} \otimes \mathcal{E} \otimes \mathcal{E} \rightarrow \mathbb{k}$ .

- ◊ The fact that the pairing is internal to the category  ${}^K_K\mathcal{YD}$  means that the bilinear form  $(|)$  is a morphism in the monoidal category  ${}^K_K\mathcal{YD}$ , where  $\mathbb{k}$  is endowed with the structure of a trivial Yetter–Drinfeld module.
- ◊ We assume that for every  $a, \tilde{a} \in \mathcal{B}$ ,  $u, \tilde{u} \in \mathcal{E}$ , the conditions (2.7)–(2.9) of a Hopf pairing, relating coproduct, product, unit and counit of  $\mathcal{B}$  and  $\mathcal{E}$  hold.

Then we have in the braided category  ${}^K_K\mathcal{YD}$  exactly the same situation we considered in Lemma 2.3 in the braided category  $\text{vect } \mathbb{k}$ . The same calculations, this time in the category  ${}^K_K\mathcal{YD}$ , yield the following.

**Lemma 2.5.** *Assume that both  $\mathcal{B}$  and  $\mathcal{E}$  are  $L$ -modules and that condition (2.10) on the Hopf pairing  $(|)$  holds. Then  $\mathcal{B}$  is a  $L$ -module braided Hopf algebra, if and only if  $\mathcal{E}$  is so.*

## 2.4.

Let  $\mathfrak{g}$  be a Lie algebra over the field  $\mathbb{k}$ . We specialize to  $L$ -module braided Hopf algebras where the Hopf algebra  $L = U(\mathfrak{g})$  is the universal enveloping algebra of  $\mathfrak{g}$ . Then the conditions (2.1) and (2.4) in the definition of an  $L$ -module Hopf algebra  $H$  just mean that  $\mathfrak{g}$  acts on  $H$  by  $\mathbb{k}$ -derivations, while condition (2.5) is for free, due to the cocommutativity of  $U(\mathfrak{g})$ . Condition (2.3) amounts to the condition

$$\Delta(x \cdot a) = x \cdot a_{(1)} \otimes a_{(2)} + a_{(1)} \otimes x \cdot a_{(2)}, \quad \varepsilon(x \cdot a) = 0, \quad (2.11)$$

for all  $x \in \mathfrak{g}$  and  $a \in H$ . In other words, condition (2.11) tells us that  $\mathfrak{g}$  acts on  $H$  by  $\mathbb{k}$ -coderivations. We summarize all conditions by saying that  $\mathfrak{g}$  acts on  $H$  by  $\mathbb{k}$ -biderivations:  $\mathfrak{g}$  acts by endomorphisms that are simultaneously  $\mathbb{k}$ -derivations and  $\mathbb{k}$ -coderivations. Thus we have the following.

**Lemma 2.6.** *Let  $H$  be a Hopf algebra and let  $\mathfrak{g}$  be a Lie algebra acting on  $H$  by  $\mathbb{k}$ -biderivations. Then  $H$  is a  $U(\mathfrak{g})$ -module Hopf algebra and we can form the Hopf algebra  $H \rtimes U(\mathfrak{g})$ .*

The following remarks on biderivations are useful:

- ◊ For any Hopf algebra  $H$ , the subspace  $\text{Bider}_{\mathbb{k}}(H) := \{x \in \text{Der}_{\mathbb{k}}(H) : x \text{ is a coderivation}\}$  is a Lie subalgebra of  $\text{Der}_{\mathbb{k}}(H)$ .
- ◊ If  $x \in \text{Der}(H)$  and if  $a, b \in H$  fulfill (2.11) for  $x$ , then so does their product  $ab$ . Hence it is enough to check the biderivation property (2.11) for a given derivation  $x$  on a family of generators of  $H$ .

**Remark 2.7.** Let  $H$  be a Hopf algebra and let  $\mathfrak{g}$  be a Lie algebra acting on  $H$  by  $\mathbb{k}$ -coderivations. Let  $H_0$  be the coradical, and  $(H_n)_{n \geq 0}$  the coradical filtration, of  $H$ . If  $H_0$  is  $\mathfrak{g}$ -stable, then  $H_n$  is  $\mathfrak{g}$ -stable for all  $n \geq 0$  by the defining condition (2.11). Hence  $\mathfrak{g}$  acts on  $\text{gr } H$  by  $\mathbb{k}$ -coderivations.

Assume that  $H_0$  is a Hopf subalgebra, that  $\mathfrak{g}$  acts on  $H$  by  $\mathbb{k}$ -biderivations and that  $H_0$  is  $\mathfrak{g}$ -stable. Then  $\mathfrak{g}$  acts on the graded object  $\text{gr } H$  by  $\mathbb{k}$ -biderivations.

Notice that  $\mathfrak{g}$  may act on  $H$  by  $\mathbb{k}$ -biderivations with  $H_0$  not being  $\mathfrak{g}$ -stable. For instance, let  $x \in H$  primitive. Then  $D = \text{ad } x$  is a  $\mathbb{k}$ -biderivation. If there exists  $g \in G(H)$  such that  $gx = qxg$  with  $q \in \mathbb{k}^\times - \{1\}$ , then  $D(g) = (1 - q)xg \notin H_0$ .

## 2.5.

In this context, suppose that  $H$  is pointed and set  $G := G(H)$  the group of group-like elements of  $H$ . Let  $\mathfrak{g}$  act on  $H$  by derivations; assume that  $\mathfrak{g}$  acts trivially on  $\mathbb{k}G$ . Let  $g, t \in G$  and  $\mathcal{P}_{g,t}(H) := \{a \in H : \Delta(a) = g \otimes a + a \otimes t\}$  the space of  $(g, t)$  skew-primitive elements. Then the coderivation property (2.11) implies that  $\mathcal{P}_{g,t}(H)$  is a  $\mathfrak{g}$ -submodule for all  $g, t \in G$ . Summarizing, we have the following.

**Lemma 2.8.** *Let  $\mathfrak{g}$  be a Lie algebra acting by derivations on a pointed Hopf algebra  $H$ ,  $G = G(H)$ . Assume that:*

- $\mathfrak{g}$  acts trivially on  $\mathbb{k}G$ .
- $H$  is generated by group-like and skew-primitive elements.

*Then the following are equivalent:*

- (1)  $\mathfrak{g}$  acts on  $H$  by  $\mathbb{k}$ -biderivations, i.e. (2.11) holds.
- (2)  $\mathcal{P}_{g,t}(H)$  is a  $\mathfrak{g}$ -submodule for all  $g, t \in G$ .
- (3)  $\mathcal{P}_{g,1}(H)$  is a  $\mathfrak{g}$ -submodule for all  $g \in G$ .

## 2.6.

Let  $K$  be a Hopf algebra and  $V \in {}^K_K\mathcal{YD}$ . It is well-known that every  $d \in \text{Hom}(V, T(V))$  extends uniquely to a derivation  $D \in \text{Der}(T(V))$  on the tensor algebra  $T(V)$  by  $D(1) = 0$  and

$$D|_{T^n(V)} = \sum_{1 \leq j \leq n} \text{id}_{T^{j-1}(V)} \otimes d \otimes \text{id}_{T^{n-j}(V)}, \quad (2.12)$$

for  $n > 0$ . Thus every Lie algebra map  $\mathfrak{g} \rightarrow \text{End}(V)$  extends to a Lie algebra map  $\mathfrak{g} \rightarrow \text{Der}(T(V))$ .

**Proposition 2.9.** *Let  $V \in {}^K_K\mathcal{YD}$ . Every morphism of Lie algebras  $\mathfrak{g} \rightarrow \text{End}_K^K(V)$  extends to an action of the universal enveloping algebra  $U(\mathfrak{g})$  on  $T(V)\#K$  and to an action on  $\mathcal{B}(V)\#K$ , giving rise to the Hopf algebras  $(T(V)\#K) \rtimes U(\mathfrak{g})$  and  $(\mathcal{B}(V)\#K) \rtimes U(\mathfrak{g})$ .*

**Proof.** As explained, the action of  $\mathfrak{g}$  on  $V$  extends uniquely to an action of  $\mathfrak{g}$  on the tensor algebra  $T(V)$  by derivations. Formula (2.12) and the assumptions imply that this action is by morphisms in the category  ${}^K_K\mathcal{YD}$ . By definition, (2.3) holds in  $V$ , hence it holds in  $T(V)$ . By Sec. 2.3, the action extended to  $T(V)\#K$  satisfies the requirements in Sec. 2.1, hence we can form  $(T(V)\#K) \rtimes U(\mathfrak{g})$ . Second, the action of  $\mathfrak{g}$  on  $T^n(V)$  commutes with that of the braid group  $\mathbb{B}_n$ ; since the kernel of the projection  $T^n(V) \rightarrow \mathcal{B}^n(V)$  is the kernel of the quantum symmetrizer,  $\mathfrak{g}$  acts on the Nichols algebra  $\mathcal{B}(V)$  with the desired requirements.  $\square$

**Definition 2.10.** Let  $K$  be a Hopf algebra,  $V \in {}^K_K\mathcal{YD}$  and  $\mathfrak{g}$  a Lie subalgebra of  $\mathfrak{bd}_V := \text{End}_K^K(V)$ . We call the Hopf algebra  $(\mathcal{B}(V)\#K) \rtimes U(\mathfrak{g})$  the *unrolled bosonization* of the Nichols algebra of  $V$  by  $\mathfrak{g}$ .

One may define unrolled versions of bosonizations of pre-Nichols or post-Nichols algebras, see e.g. [13], or of deformations of Nichols algebras, provided that the ideals of defining relations are preserved by the action of  $\mathfrak{bd}_V$ , or if  $\mathfrak{bd}_V$  is replaced by a suitable subalgebra.

## 2.7. Finite GK-dim

Our main reference for this subsection is [14]. Let  $A$  be an associative  $\mathbb{k}$ -algebra. We say that a finite-dimensional subspace  $V \subseteq A$  is *GK-deterministic* if

$$\text{GK-dim } A = \lim_{n \rightarrow \infty} \log_n \dim \sum_{0 \leq j \leq n} V^n.$$

**Lemma 2.11** ([2, Lemma 2.2]). *Let  $K$  be a Hopf algebra,  $R$  a Hopf algebra in  ${}^K_K\mathcal{YD}$ ,  $A$  a  $K$ -module algebra and  $B$  an  $R$ -module algebra in  ${}^K_K\mathcal{YD}$ . Assume that the actions of  $K$  on  $A$ , of  $K$  on  $B$ , of  $K$  on  $R$ , and of  $R$  on  $B$  are locally finite.*

- (a)  $\text{GK-dim } A\#K \leq \text{GK-dim } A + \text{GK-dim } K$ . *If either  $K$  or  $A$  has a GK-deterministic subspace, then  $\text{GK-dim } A\#K = \text{GK-dim } A + \text{GK-dim } K$ .*
- (b)  $\text{GK-dim } B\#R \leq \text{GK-dim } B + \text{GK-dim } R$ . *If either  $R$  or  $B$  has a GK-deterministic subspace, then  $\text{GK-dim } B\#R = \text{GK-dim } B + \text{GK-dim } R$ .*

Clearly, a finite-dimensional Lie algebra  $\mathfrak{g}$  is a GK-deterministic subspace of  $U(\mathfrak{g})$ . Thus we have the following.



**Example 2.12.** Let  $H$  be a Hopf algebra and let  $\mathfrak{g}$  be a Lie subalgebra of  $\text{Bider}_{\mathbb{k}}(H)$  such that  $\text{GK-dim } H, \dim \mathfrak{g} < \infty$ . If the action of  $\mathfrak{g}$  on  $H$  is locally finite, then

$$\text{GK-dim}(H \rtimes U(\mathfrak{g})) = \text{GK-dim } H + \dim \mathfrak{g} < \infty. \quad (2.13)$$

Here are some particular cases:

- If  $H$  is a finite-dimensional Hopf algebra and  $\mathfrak{g}$  is a Lie subalgebra of  $\text{Bider}_{\mathbb{k}}(H)$ , then

$$\text{GK-dim}(H \rtimes U(\mathfrak{g})) = \dim \mathfrak{g} < \infty.$$

- Let  $K$  be a Hopf algebra,  $V \in {}^K_K\mathcal{YD}$ ,  $\mathfrak{g}$  a Lie subalgebra of  $\mathfrak{bd}_V$ ,  $\mathcal{B} \in {}^K_K\mathcal{YD}$  a pre-Nichols algebra of  $V$  and  $\mathcal{E} \in {}^K_K\mathcal{YD}$  a post-Nichols algebra of  $V$ . Assume that the action of  $\mathfrak{g}$  descends to  $\mathcal{B}$  and  $\mathcal{E}$ ,

$$\text{GK-dim } K < \infty, \quad \dim V < \infty, \quad \text{GK-dim } \mathcal{B} < \infty, \quad \text{GK-dim } \mathcal{E} < \infty.$$

Clearly,  $\dim \mathfrak{g} < \infty$  and  $\mathfrak{g}$  acts locally finitely on  $\mathcal{B}\#K$  and  $\mathcal{E}\#K$ . If either  $K$  or  $\mathcal{B}$ , respectively  $\mathcal{E}$ , have a GK-deterministic subspace, then

$$\text{GK-dim}((\mathcal{B}\#K) \rtimes U(\mathfrak{g})) = \text{GK-dim } \mathcal{B} + \text{GK-dim } K + \dim \mathfrak{g} < \infty,$$

$$\text{GK-dim}((\mathcal{E}\#K) \rtimes U(\mathfrak{g})) = \text{GK-dim } \mathcal{E} + \text{GK-dim } K + \dim \mathfrak{g} < \infty.$$

### 3. The Dual Construction

#### 3.1.

Let  $J$  be a Hopf algebra. A  $J$ -comodule coalgebra is a coalgebra  $C$  which is also a right  $J$ -comodule with coaction  $\varrho : C \rightarrow C \otimes J$ ,  $\varrho(c) = c_{[0]} \otimes c_{[1]}$ , and counit  $\varepsilon_C$  such that for all  $c \in C$

$$(c_{(1)})_{[0]} \otimes (c_{(2)})_{[0]} \otimes (c_{(1)})_{[1]}(c_{(2)})_{[1]} = (c_{[0]})_{(1)} \otimes (c_{[0]})_{(2)} \otimes c_{[1]}, \quad (3.1)$$

$$\varepsilon_C(c_{[0]})c_{[1]} = \varepsilon_C(c). \quad (3.2)$$

Here (3.1) and (3.2) mean that  $C$  is a coalgebra in the monoidal category  $\mathcal{M}^J$  of right  $J$ -comodules. Assume that  $C = H$  is a Hopf algebra and a  $J$ -comodule coalgebra that satisfies

$$(ab)_{[0]} \otimes (ab)_{[1]} = a_{[0]}b_{[0]} \otimes a_{[1]}b_{[1]}, \quad (3.3)$$

$$\varrho(1) = 1 \otimes 1, \quad (3.4)$$

$$a_{[0]} \otimes ja_{[1]} = a_{[0]} \otimes a_{[1]}j, \quad (3.5)$$

$j \in J, a, b \in H$ ; (3.3) and (3.5) say that  $H$  is a  $J$ -comodule algebra. Then  $J \rtimes H := J \otimes H$  with the tensor product structure as an algebra and with the smash coproduct

(1.5) for the coalgebra structure is a Hopf algebra; see e.g. [4, 1.1.4].<sup>a</sup> We shall say that  $H$  is a  $J$ -comodule Hopf algebra.

### 3.2.

Let  $H$  and  $U$  be Hopf algebras, provided with a non-degenerate Hopf pairing  $(|): H \otimes U \rightarrow \mathbb{k}$ .

**Lemma 3.1.** *Assume that  $H$  and  $U$  are  $J$ -comodules and that the pairing is compatible with  $J$ -coaction involving the antipode of  $J$ , i.e.*

$$(a_{[0]} | u)a_{[1]} = (a | u_{[0]})\mathcal{S}(u_{[1]}), \quad a \in H, \quad u \in U. \quad (3.6)$$

*Then  $H$  is a  $J$ -comodule Hopf algebra if and only if  $U$  is so.*

**Proof.** Let  $u, v \in U$ ,  $a, b \in H$ . We compute

$$\begin{aligned} ((ab)_{[0]} | u)(ab)_{[1]} &= (ab | u_{[0]})\mathcal{S}(u_{[1]}) = (a | (u_{[0]})_{(2)})(b | (u_{[0]})_{(1)})\mathcal{S}(u_{[1]}); \\ (a_{[0]}b_{[0]} | u)a_{[1]}b_{[1]} &= (a_{[0]} | u_{(2)})(b_{[0]} | u_{(1)})a_{[1]}b_{[1]} \\ &= (a | (u_{(2)})_{[0]})(b | (u_{(1)})_{[0]})\mathcal{S}((u_{(2)})_{[1]})\mathcal{S}((u_{(1)})_{[1]}) \\ &= (a | (u_{(2)})_{[0]})(b | (u_{(1)})_{[0]})\mathcal{S}((u_{(1)})_{[1]}(u_{(2)})_{[1]}). \end{aligned}$$

Hence (3.1) holds for  $U$  if and only if (3.3) holds for  $H$  and vice versa. Similarly (3.2) holds for  $U$  if and only if (3.4) holds for  $H$  and vice versa. Finally, (3.5) holds for  $H$  if and only if it holds for  $U$ :

$$\begin{aligned} (a_{[0]} | u)ja_{[1]} &= (a | u_{[0]})j\mathcal{S}(u_{[1]}) = (a | u_{[0]})\mathcal{S}(u_{[1]}\overline{\mathcal{S}}(j)); \\ (a_{[0]} | u)a_{[1]}j &= (a | u_{[0]})\mathcal{S}(u_{[1]})j = (a | u_{[0]})\mathcal{S}(\overline{\mathcal{S}}(j)u_{[1]}). \end{aligned} \quad \square$$

### 3.3.

Let now  $K$  be a Hopf algebra,  $\mathcal{B}$  a Hopf algebra in  $\mathcal{YD}_K^K$  and also a  $J$ -comodule coalgebra. Extend the coaction of  $J$  to  $H = K \# \mathcal{B}$  by  $\varrho(k \# b) = k \# b_{[0]} \otimes b_{[1]}$ ,  $b \in \mathcal{B}$  and  $k \in K$ . Then

- $H$  is a  $J$ -comodule coalgebra  $\Leftrightarrow$  the coactions of  $J$  and  $K$  on  $\mathcal{B}$  commute, i.e. for all  $b \in \mathcal{B}$

$$(b_{(0)})_{[0]} \otimes b_{(1)} \otimes (b_{(0)})_{[1]} = (b_{[0]})_{(0)} \otimes (b_{[0]})_{(1)} \otimes b_{[1]} \in \mathcal{B} \otimes K \otimes J. \quad (3.7)$$

- Equation (3.4) holds for  $H \Leftrightarrow$  (3.4) holds for  $\mathcal{B}$ . Assume this is the case.
- Equation (3.3) holds for  $H \Leftrightarrow$  (3.3) holds for  $\mathcal{B}$  and the action of  $k$  on  $\mathcal{B}$  is a morphism of  $J$ -comodules for all  $k \in K$ .
- Equation (3.5) holds for  $H \Leftrightarrow$  (3.5) holds for  $\mathcal{B}$ .

<sup>a</sup>In [4, p. 10] a left version is presented, with a different notation. The proof is equally straightforward.

In other words, the coaction of  $J$  on  $H = K\#\mathcal{B}$  satisfies (3.4), (3.3) and (3.5), if and only if so does the coaction of  $J$  on  $\mathcal{B}$ , and the coaction of  $J$  on  $\mathcal{B}$  commutes both with the action and the coaction of  $K$ . This can be phrased also as: the homothety  $\eta_\ell$  for  $\ell \in J^*$  is a morphism of Yetter–Drinfeld modules, i.e.  $\eta_\ell \in \text{End}_K^K \mathcal{B}$ .

**Definition 3.2.** A  $J$ -comodule braided Hopf algebra is a Hopf algebra  $\mathcal{B}$  in the braided category  $\mathcal{YD}_K^K$  that is also a  $J$ -comodule coalgebra, that satisfies (3.4), (3.3) and (3.5), and such that the coaction of  $J$  on  $\mathcal{B}$  commutes both with the action and the coaction of  $K$ . In such a case, the bosonization  $H = K\#\mathcal{B}$  is a  $J$ -comodule Hopf algebra and we can form the Hopf algebra  $J \times H = J \times (K\#\mathcal{B})$ .

As in Sec. 3.2, we consider the situation with non-degenerate pairings; this time internal to the braided monoidal category  $\mathcal{YD}_K^K$  instead of  $\text{vect } \mathbb{k}$ . Concretely, let  $\mathcal{E}$  be a Hopf algebra in  $\mathcal{YD}_K^K$  provided with a non-degenerate bilinear form  $(\mid) : \mathcal{B} \otimes \mathcal{E} \rightarrow \mathbb{k}$ , and extend it by (2.6) to a pairing  $\mathcal{B} \otimes \mathcal{B} \otimes \mathcal{E} \otimes \mathcal{E} \rightarrow \mathbb{k}$ .

- ◊ The fact that the pairing is internal to the category  $\mathcal{YD}_K^K$  means that the bilinear form  $(\mid)$  is a morphism in the monoidal category  $\mathcal{YD}_K^K$ , where  $\mathbb{k}$  is endowed with the structure of a trivial Yetter–Drinfeld module.
- ◊ We assume that for every  $a, \tilde{a} \in \mathcal{B}$ ,  $u, \tilde{u} \in \mathcal{E}$ , the conditions (2.7)–(2.9) of a Hopf pairing, relating coproduct, product, unit and counit of  $\mathcal{B}$  and  $\mathcal{E}$  hold.

Then we have in the braided category  $\mathcal{YD}_K^K$  exactly the same situation we considered in Lemma 3.1 in the braided category  $\text{vect } \mathbb{k}$ . The same calculations, this time in the category  $\mathcal{YD}_K^K$ , yield the following.

**Lemma 3.3.** *Assume that both  $\mathcal{B}$  and  $\mathcal{E}$  are  $J$ -comodules and that (3.6) holds. Then  $\mathcal{B}$  is a  $J$ -comodule braided Hopf algebra, if and only if  $\mathcal{E}$  is so.*

### 3.4.

Let  $G$  be an affine algebraic group over  $\mathbb{k}$  and let  $J = \mathbb{k}[G]$  be the algebra of functions on  $G = \text{Hom}_{\text{alg}}(J, \mathbb{k})$ . Here we use the convention (2.6), i.e.

$$\langle \gamma \eta, j \rangle = \langle \gamma, j_{(2)} \rangle \langle \eta, j_{(1)} \rangle, \quad \gamma, \eta \in G.$$

Thus, being a (right)  $J$ -comodule means being a rational (right)  $G$ -module:  $m \cdot \gamma = m_{[0]} \langle \gamma, m_{[1]} \rangle$ ; which of course is equivalent to being rational left  $G$ -module. So, in what follows we work with left rational modules. The conditions (3.1) and (3.2), respectively (3.3) and (3.4), in the definition of  $J$ -comodule Hopf algebra just say that  $G$  acts on  $H$  by coalgebra, respectively algebra, automorphisms, while (3.5) is automatic by the commutativity of  $\mathbb{k}[G]$ . We summarize our findings.

**Proposition 3.4.** *Let  $H$  be a Hopf algebra and let  $G$  be an affine algebraic group acting rationally on  $H$  by Hopf algebra maps. Then  $H$  is a  $\mathbb{k}[G]$ -comodule Hopf algebra and we can form  $\mathbb{k}[G] \times H$ .*

**Remark 3.5.** Since  $J$  is commutative,  $\text{GK-dim}(\mathbb{k}[G] \rtimes H) = \dim G + \text{GK-dim } H$ , see e.g. [14, 3.10].

**3.5.**

Let  $K$  be a Hopf algebra and  $V \in \mathcal{YD}_K^K$ ,  $\dim V < \infty$ . Then  $\text{Aut}_K^K(V)$  is an algebraic group, whose Lie algebra is  $\text{End}_K^K(V)$ . Every morphism of algebraic groups  $G \rightarrow \text{Aut}_K^K(V)$  extends to an action of  $G$  on  $T(V)$  by Hopf algebra automorphisms in  $\mathcal{YD}_K^K$ ; hence it descends to an action of  $G$  on  $\mathcal{B}(V)$  by Hopf algebra automorphisms in  $\mathcal{YD}_K^K$ . It extends to an action of  $G$  on  $K \# \mathcal{B}(V)$ , trivially on  $K$ , giving rise to the Hopf algebra  $\mathbb{k}[G] \rtimes (K \# \mathcal{B}(V))$ . One may define analogous actions of these Hopf algebras from bosonizations of pre-Nichols or post-Nichols algebras, or of deformations of Nichols algebras, provided that the ideals of defining relations are preserved by the action of  $G$ .

**4. Hopf Algebras Arising from Nichols Algebras of Diagonal Type**

**4.1.**

Let  $\theta \in \mathbb{N}$ ,  $\mathbb{I} = \mathbb{I}_\theta = \{1, 2, \dots, \theta\}$ . Denote by  $(\alpha_i)_{i \in \mathbb{I}}$  the canonical basis of  $\mathbb{Z}^\theta$ .

Let  $(V, c)$  be a braided vector space of diagonal type of dimension  $\theta$ ; let  $(x_i)_{i \in \mathbb{I}}$  be a basis of  $V$ . Since  $(V, c)$  is assumed to be of diagonal type, there is a matrix  $\mathbf{q} = (q_{ij})_{i,j \in \mathbb{I}} \in (\mathbb{k}^\times)^{\mathbb{I} \times \mathbb{I}}$  such that  $c(x_i \otimes x_j) = q_{ij} x_j \otimes x_i$  for all  $i, j \in \mathbb{I}$ . Then the tensor algebra  $T(V)$  and the Nichols algebra  $\mathcal{B}(V)$  are  $\mathbb{Z}^\theta$ -graded (as braided Hopf algebras), by  $\deg x_i = \alpha_i$ ,  $i \in \mathbb{I}$ .

Let  $K$  be a Hopf algebra. To realize the braided vector space  $(V, c)$  as a Yetter–Drinfeld module over  $K$  we need some extra data.

- ◊ A pair  $(g, \chi) \in G(K) \times \text{Hom}_{\text{alg}}(K, \mathbb{k})$  is called a *YD-pair* [1] if  $\chi(a)g = \chi(a_{(2)})a_{(1)}gS(a_{(3)})$  for all  $a \in K$ . This implies  $g \in Z(G(K))$ .
- ◊ Then  $\mathbb{k}_g^\chi := \mathbb{k}$  with coaction given by  $g$  and action given by  $\chi$  is a simple object in  ${}^K_K\mathcal{YD}$ .

A *principal realization* of the braided vector space  $(V, c)$  over the Hopf algebra  $K$  is a family  $((g_i, \chi_i))_{i \in \mathbb{I}}$  of YD-pairs such that

$$\chi_j(g_i) = q_{ij}, \quad \text{for all } i, j \in \mathbb{I}. \tag{4.1}$$

A principal realization allows us to see braided vector space as a Yetter–Drinfeld module,  $V \in {}^K_K\mathcal{YD}$ , by declaring  $x_i \in V_{g_i}^{\chi_i}$ ,  $i \in \mathbb{I}$ . Let  $d_g^\chi = \dim V_g^\chi = |\{i \in \mathbb{I} : (g_i, \chi_i) = (g, \chi)\}|$ . Then

$$\mathfrak{bd}_V = \text{End}_K^K(V) \simeq \bigoplus_{g \in \Gamma, \chi \in \hat{\Gamma}} \mathfrak{gl}(d_g^\chi, \mathbb{k}).$$

Despite the notation, the Lie algebra  $\mathfrak{bd}_V$  depends on the way the braided vector space  $V$  is realized as a  $K$ -Yetter–Drinfeld module and not merely on the braided vector space  $V$  itself.

For  $h = (h_i)_{i \in \mathbb{I}_\theta} \in \mathbb{k}^\theta$  we denote by  $D_h \in \text{End}(V)$  the map defined by  $D_h(x_i) = h_i x_i$ ,  $i \in \mathbb{I}_\theta$ . By abuse of notation, we denote by  $D_h$  the corresponding derivation of  $T(V)\#\mathbb{k}\Gamma$  or  $\mathcal{B}(V)\#\mathbb{k}\Gamma$ . Let

$$\mathfrak{t}_V = \{D_h : h \in \mathbb{k}^\theta\} \subseteq \mathfrak{bd}_V.$$

The abelian Lie algebra  $\mathfrak{t}_V$  depends only on  $(V, c)$ . If  $(g_i, \chi_i) = (g_j, \chi_j)$  implies  $i = j$ , then  $\mathfrak{bd}_V = \mathfrak{t}_V$ .

**Remark 4.1.** The action of the Lie algebra  $\mathfrak{t}_V$  preserves the  $\mathbb{Z}^\theta$ -grading. Indeed, let  $h \in \mathbb{k}^\theta$  and let  $\alpha \mapsto h_\alpha$  be the unique group homomorphism  $\mathbb{Z}^\theta \rightarrow \mathbb{k}$  such that  $h_{\alpha_i} = h_i$ ,  $i \in \mathbb{I}$ . Then  $D_h$  acts by  $h_\beta$  in the homogeneous component  $T(V)_\beta$  for all  $\beta \in \mathbb{Z}^\theta$ . Hence every Hopf ideal  $\mathcal{I}$  of  $T(V)$  generated by  $\mathbb{Z}^\theta$ -homogeneous elements is stable under  $\mathfrak{t}_V$  and  $\mathfrak{t}_V$  acts by derivations and coderivations on  $\mathcal{T}(V)/\mathcal{I}$ .

**Remark 4.2.** In fact, the  $\mathbb{Z}^\theta$ -grading is tantamount to a comodule structure over the group algebra  $\mathbb{k}\mathbb{Z}^\theta$ , which is the algebra of functions on the algebraic torus  $\mathbb{T}_V$ ;  $\mathfrak{t}_V$  is its Lie algebra, and the action of  $\mathfrak{t}_V$  is the derivation of the natural action of  $\mathbb{T}_V$ .

#### 4.2.

From now on, we assume that  $\text{char } \mathbb{k} = 0$ . We keep the notation above and assume that  $\dim \mathcal{B}(V) < \infty$ . The classification of the finite-dimensional Nichols algebras of diagonal type was given in [12]. An efficient set of defining relations of  $\mathcal{B}(V)$ , i.e. generators of the ideal  $\mathcal{I}_\mathfrak{q}$ , was provided in [6]. Besides  $\mathcal{B}(V)$ , there are two other Hopf algebras in  ${}^K_K\mathcal{YD}$  that are expected to play a role in representation theory:

- (a) ([6, 7]) The *distinguished pre-Nichols algebra* of  $(V, c)$  is the quotient  $\tilde{\mathcal{B}}(V) := T(V)/\mathcal{I}_\mathfrak{q}$  by a suitable ideal  $\mathcal{I}_\mathfrak{q}$ . Thus, there are projections  $T(V) \twoheadrightarrow \tilde{\mathcal{B}}(V) \twoheadrightarrow \mathcal{B}(V)$ .
- (b) ([13]) The *Lusztig algebra* of  $(V, c)$  is the graded dual  $\mathcal{L}(V)$  of  $\tilde{\mathcal{B}}(V)$ .

**Proposition 4.3.** *Let  $K$  be a Hopf algebra provided with a principal realization of  $(V, c)$  and let  $L = U(\mathfrak{t}_V)$ . Then  $\tilde{\mathcal{B}}(V)$  and  $\mathcal{L}(V)$  are  $L$ -module braided Hopf algebras in  ${}^K_K\mathcal{YD}$  and we can form the unrolled bosonizations  $(\tilde{\mathcal{B}}(V)\#K) \rtimes L$  and  $(\mathcal{L}(V)\#K) \rtimes L$ .*

**Proof.** The claim for  $\tilde{\mathcal{B}}(V)$  follows from Remark 4.1 and implies the one for  $\mathcal{L}(V)$  by Lemma 2.5. □

**Example 4.4.** If  $\theta = 1$  and  $\mathfrak{q}$  is a root of 1 of even order, then we recover the construction in [9, 11].

### 4.3.

Let  $(V, c)$  be of diagonal type with  $\dim \mathcal{B}(V) < \infty$ . Fix a principal realization over the group algebra  $\mathbb{k}\Gamma$ , where  $\Gamma$  is abelian. Then each of the Hopf algebras  $\mathcal{B}(V)$ ,  $\tilde{\mathcal{B}}(V)$  and  $\mathcal{L}(V)$  in  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$  gives rise to Hopf algebras  $\mathfrak{u}(V)$ ,  $U(V)$ ,  $\mathcal{U}(V)$ , respectively; they are suitable Drinfeld doubles of the bosonizations  $\mathcal{B}(V)\#\mathbb{k}\Gamma$ ,  $\tilde{\mathcal{B}}(V)\#\mathbb{k}\Gamma$  and  $\mathcal{L}(V)\#\mathbb{k}\Gamma$ . See [3, 7, 13]. If  $\mathfrak{q}$  is symmetric, then we may divide that Drinfeld double by a central Hopf subalgebra. If furthermore  $\mathfrak{q}$  is of Cartan type, then we recover the small and the De Concini–Procesi quantum group, respectively. Then we may define unrolled quantum groups

$$\mathfrak{u}(V) \rtimes U(\mathfrak{t}_V), \quad U(v) \rtimes U(\mathfrak{t}_V), \quad \mathcal{U}(V) \rtimes U(\mathfrak{t}_V).$$

Indeed, the Lie algebra  $\mathfrak{t}_{V \oplus W}$  acts on  $T(V \oplus W)\#\mathbb{k}\Gamma$ , but if  $\zeta \in \mathbb{k}^{2\theta}$ , then  $D_\zeta$  preserves the relations of the quantum double if and only if  $\zeta$  belongs to the image of the map  $\mathfrak{t}_V \rightarrow \mathfrak{t}_{V \oplus W}$ ,  $\xi \mapsto (\xi, -\xi)$ .

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