

# FRAMES OF EXPONENTIALS AND SUB-MULTITILES IN LCA GROUPS.

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**ABSTRACT.** In this note we investigate the existence of frames of exponentials for  $L^2(\Omega)$  in the setting of LCA groups. Our main result shows that sub-multitiling properties of  $\Omega \subset \widehat{G}$  with respect to a uniform lattice  $\Gamma$  of  $\widehat{G}$  guarantee the existence of a frame of exponentials with frequencies in a finite number of translates of the annihilator of  $\Gamma$ . We also prove the converse of this result and provide conditions for the existence of these frames. These conditions extend recent results on Riesz bases of exponentials and multitilings to frames.

## 1. INTRODUCTION AND MAIN RESULT

We begin by stating several known results.

- Let  $\Omega$  be a measurable subset of  $\mathbb{R}^d$  with positive, finite measure, let  $\Lambda$  be a complete lattice of  $\mathbb{R}^d$  (i.e.  $\Lambda = A\mathbb{Z}^d$  for some  $d \times d$  invertible matrix  $A$  with real entries), and denote by  $\Gamma$  the annihilator of  $\Lambda$ . Recall that  $\Gamma = \{\gamma \in \mathbb{R}^d : e^{2\pi i \langle \lambda, \gamma \rangle} = 1, \forall \lambda \in \Lambda\}$ . In 1974, B. Fuglede ([5], Section 6) proved that  $\{e^{2\pi i \langle \lambda, \cdot \rangle} : \lambda \in \Lambda\}$  is an orthogonal basis for  $L^2(\Omega)$  if and only if  $(\Omega, \Gamma)$  is a **tiling pair** for  $\mathbb{R}^d$ , that is  $\sum_{\gamma \in \Gamma} \chi_\Omega(x + \gamma) = 1$ , a. e.  $x \in \mathbb{R}^d$ .
- The result of B. Fuglede just stated also holds in the setting of locally compact abelian (LCA) groups. Let  $G$  be a second countable LCA group, and let  $\Lambda$  be a uniform lattice in  $G$  (i.e.  $\Lambda$  is a discrete and co-compact subgroup of  $G$ ). Denote by  $\widehat{G}$  the dual group of  $G$ . For a character  $\omega \in \widehat{G}$  we use the notation  $e_g(\omega) = \omega(g)$ , for  $g \in G$ . Let  $\Gamma$  be the annihilator of  $\Lambda$ . (i.e.  $\Gamma = \{\gamma \in \widehat{G} : e_\lambda(\gamma) = 1 \text{ for all } \lambda \in \Lambda\}$ ). The dual group  $\widehat{G}$  of  $G$  is also a second countable LCA group, and  $\Gamma$  is also a uniform lattice. Let  $\Omega$  be a measurable subset of  $\widehat{G}$  with positive and finite measure. In 1987, S. Pedersen ([10], Theorem 3.6) proved that  $\{e_\lambda : \lambda \in \Lambda\}$  is an orthogonal basis for  $L^2(\Omega)$  if and only if  $(\Omega, \Gamma)$  is a tiling pair for  $\widehat{G}$ , that is  $\sum_{\gamma \in \Gamma} \chi_\Omega(\omega + \gamma) = 1$ , a. e.  $\omega \in \widehat{G}$ .
- Recent results in this area focused on *multitiling pairs*. Let  $\Omega$  be a bounded, measurable subset of  $\mathbb{R}^d$ , and let  $\Gamma$  be a lattice of  $\mathbb{R}^d$ . If there exists a positive

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integer  $\ell$  such that

$$\sum_{\gamma \in \Gamma} \chi_{\Omega}(x + \gamma) = \ell, \quad \text{a.e } x \in \mathbb{R}^d,$$

we will say that  $(\Omega, \Gamma)$  is a **multitiling pair**, or an  $\ell$ -**tiling pair** for  $\mathbb{R}^d$ . For a lattice  $\Lambda \subset \mathbb{R}^d$  and  $a_1, \dots, a_{\ell} \in \mathbb{R}^d$ , let

$$E_{\Lambda}(a_1, \dots, a_{\ell}) := \{e^{2\pi i \langle a_j + \lambda, \cdot \rangle} : j = 1, \dots, \ell; \lambda \in \Lambda\}.$$

S. Gresptad and N. Lev ([6], Theorem 1) proved in 2014 that if  $\Gamma$  is the annihilator of  $\Lambda$ ,  $\Omega$  is a bounded, measurable subset of  $\mathbb{R}^d$  whose boundary has measure zero, and  $(\Omega, \Gamma)$  is an  $\ell$ -tiling pair for  $\mathbb{R}^d$ , there exist  $a_1, \dots, a_{\ell} \in \mathbb{R}^d$  such that  $E_{\Lambda}(a_1, \dots, a_{\ell})$  is a *Riesz basis* for  $L^2(\Omega)$ . The proof of this result in [6] uses Meyer's quasicrystals. In 2015 M. Kolountzakis ([9], Theorem 1) found a simpler and shorter proof without the assumption on the boundary of  $\Omega$ .

For the reader's convenience we recall that a countable collection of elements  $\Phi = \{\phi_j : j \in J\}$  of a Hilbert space  $\mathbb{H}$  is a **Riesz basis** for  $\mathbb{H}$  if it is the image of an orthonormal basis of  $\mathbb{H}$  under a bounded, invertible operator  $T \in \mathcal{L}(\mathbb{H})$ . Riesz bases provide stable representations of elements of  $\mathbb{H}$ .

- This result has been extended to second countable LCA groups by E. Agora, J. Antezana, and C. Cabrelli ([1], Theorem 4.1). Moreover, they prove the converse ([1], Theorem 4.4): with the same notation as in the second item of this section, given a relatively compact subset  $\Omega$  of  $\widehat{G}$ , if  $L^2(\Omega)$  admits a Riesz basis of the form

$$E_{\Lambda}(a_1, \dots, a_{\ell}) := \{e_{a_j + \lambda} : j = 1, 2, \dots, \ell; \lambda \in \Lambda\}$$

for some  $a_1, \dots, a_{\ell} \in G$ , then  $(\Omega, \Gamma)$  is an  $\ell$ -tiling pair for  $\widehat{G}$ .

The purpose of this note is to investigate the situation when  $(\Omega, \Gamma)$  is a *sub-multitiling pair* for  $\widehat{G}$ . Let  $\Omega$  be a measurable set in  $\widehat{G}$  with positive and finite Haar measure. For  $\Gamma$  a lattice in  $\widehat{G}$  and  $\omega \in \widehat{G}$  define

$$F_{\Omega, \Gamma}(\omega) := \sum_{\gamma \in \Gamma} \chi_{\Omega}(\omega + \gamma).$$

If there exists a positive integer  $\ell$  such that

$$\text{ess sup}_{\omega \in \widehat{G}} F_{\Omega, \Gamma}(\omega) = \ell, \tag{1.1}$$

we will say that  $(\Omega, \Gamma)$  is a **sub-multitiling pair** or an  $\ell$ -**subtiling pair**.

Denote by  $Q_{\Gamma}$  a fundamental domain of the lattice  $\Gamma$  in  $\widehat{G}$ , i.e. it is a Borel measurable section of the quotient group  $\widehat{G}/\Gamma$ . (Its existence is guaranteed by Theorem 1 in [4]). Since  $F_{\Omega, \Gamma}(\omega)$  is a  $\Gamma$ -periodic function, it is enough to compute the  $\text{ess sup}$  in (1.1) over a fundamental domain  $Q_{\Gamma}$ . Observe that  $(\Omega, \Gamma)$  is an  $\ell$ -tiling pair for  $\widehat{G}$  if  $F_{\Omega, \Gamma}(\omega) = \ell$  for a. e.  $\omega \in Q_{\Gamma}$ .

Another structure that allows for stable representations, besides orthonormal and Riesz bases, is that of a *frame*. A collection of elements  $\Phi = \{\phi_j : j \in J\}$  of a Hilbert space  $\mathbb{H}$  is a **frame** for  $\mathbb{H}$  if it is the image of an orthonormal basis of  $\mathbb{H}$  under a

bounded, surjective operator  $T \in \mathcal{L}(\mathbb{H})$  or, equivalently, if there exist  $0 < A \leq B < \infty$  such that

$$A\|f\|^2 \leq \sum_{j \in J} |\langle f, \phi_j \rangle|^2 \leq B\|f\|^2, \quad \text{for all } f \in \mathbb{H}.$$

(See [11], Chapter 4, Section 7.) The numbers  $A$  and  $B$  are called **frame bounds** of  $\Phi$ .

In this note we prove the following relationship between frames of exponentials in LCA groups and  $\ell$ -subtiling pairs.

**Theorem 1.1.** *Let  $G$  be a second countable LCA group and let  $\Lambda$  be a uniform lattice of  $G$ . Let  $\widehat{G}$  be the dual group of  $G$ , and let  $\Gamma$  be the annihilator of  $\Lambda$ . Let  $\Omega \subset \widehat{G}$  be a measurable set of positive, finite measure, and let  $\ell$  be a positive integer.*

- (1) *If for some  $a_1, \dots, a_\ell \in G$  the collection  $E_\Lambda(a_1, \dots, a_\ell)$  is a frame of  $L^2(\Omega)$ , then  $(\Omega, \Gamma)$  must be an  $m$ -subtiling pair of  $\widehat{G}$  for some positive integer  $m \leq \ell$ .*
- (2) *If  $\Omega \subseteq \widehat{G}$  is a measurable, **bounded** set and  $(\Omega, \Gamma)$  is an  $\ell$ -subtiling pair of  $\widehat{G}$ , then there exist  $a_1, \dots, a_\ell \in G$  such that  $E_\Lambda(a_1, \dots, a_\ell)$  is a frame of  $L^2(\Omega)$ .*

**Remark 1.2.** *Recall that any locally compact and second countable group is metrizable, and its metric can be chosen to be invariant under the group action (see [8], Theorem 8.3). Thus, it makes sense to talk about bounded sets in the group  $\widehat{G}$ .*

The proof of Theorem 1.1 will be given in Section 2. In Section 3 we give other conditions for a set of exponentials of the form  $E_\Lambda(a_1, \dots, a_\ell)$  to be a frame of  $L^2(\Omega)$  and provide expressions to compute the frame bounds.

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## 2. PROOF OF THEOREM 1.1

We start with a result that will be used in the proof of part (2) of Theorem 1.1

**Proposition 2.1.** *If  $\Omega$  is a measurable, **bounded** set in  $\widehat{G}$  and  $\Gamma$  is a uniform lattice in  $\widehat{G}$  such that  $(\Omega, \Gamma)$  is an  $\ell$ -subtiling pair for  $\widehat{G}$ , there exists a **bounded** measurable set  $\Delta \subset \widehat{G}$  such that  $\Omega \subset \Delta$  and  $(\Delta, \Gamma)$  is an  $\ell$ -tiling pair for  $\widehat{G}$ .*

*Proof.* Let  $Q_\Gamma$  be a fundamental domain of  $\Gamma$  in  $\widehat{G}$ . Modifying  $\Omega$  in a set of measure zero, we can assume that  $\sup_{\omega \in Q_\Gamma} F_{\Omega, \Gamma}(\omega) = \ell$ . Define  $\widetilde{\Gamma} = \{\gamma \in \Gamma : \omega + \gamma \in \Omega \text{ for some } \omega \in Q_\Gamma\}$ . Since  $\Omega$  is bounded, the set  $\widetilde{\Gamma}$  is finite and, by the definition of  $\ell$ -subtiling pair, has at least  $\ell$  different elements.

Set  $Q_k = \{\omega \in Q_\Gamma : F_{\Omega, \Gamma}(\omega) = k\}$  for  $k = 0, 1, \dots, \ell$ . Clearly

$$Q_\Gamma = \bigcup_{k=0}^{\ell} Q_k,$$

and the union is disjoint.

Now, for  $k = 1, \dots, \ell$ , let  $\mathcal{B}_k = \{B \subset \tilde{\Gamma} : \#B = k\}$ . For  $B \in \mathcal{B}_k$  set

$$Q_k(B) = \{\omega \in Q_k : \omega + \gamma \in \Omega, \text{ for all } \gamma \in B\}.$$

Since  $\Omega$  is measurable,  $Q_k$  is measurable and since  $Q_k(B) = \bigcap_{\gamma \in B} ((\Omega - \gamma) \cap Q_k)$ , then  $Q_k(B)$  is also measurable. Observe that the collection  $\mathcal{B}_k$  is finite since  $\tilde{\Gamma}$  is finite. Also if  $B$  and  $B'$  are different sets in  $\mathcal{B}_k$  then  $Q_k(B) \cap Q_k(B') = \emptyset$ . Indeed, if  $\omega \in Q_k(B) \cap Q_k(B')$ ,  $\omega + \gamma \in \Omega$  for all  $\gamma \in B$  and  $\omega + \gamma' \in \Omega$  for all  $\gamma' \in B'$ . Since  $B \neq B'$ , there exists  $\gamma_1 \in B' \setminus B$ . Then, since  $\omega \in Q_k$ ,

$$k = \sum_{\gamma \in \Gamma} \chi_{\Omega}(\omega + \gamma) \geq \sum_{\gamma \in B} \chi_{\Omega}(\omega + \gamma) + \chi_{\Omega}(\omega + \gamma_1) = k + 1,$$

which is a contradiction. Observe that  $Q_k = \bigcup_{B \in \mathcal{B}_k} Q_k(B)$ ,  $k = 1, \dots, \ell$ , and the union is disjoint. Therefore,

$$\Omega = \bigcup_{k=1}^{\ell} \bigcup_{B \in \mathcal{B}_k} \bigcup_{\gamma \in B} Q_k(B) + \gamma, \quad (2.1)$$

and the union is disjoint.

For  $k = 1, \dots, \ell$  and  $B \in \mathcal{B}_k$ , we extend  $B \subseteq \tilde{\Gamma}$  to  $\tilde{B}$  by inserting  $\ell - k$  distinct elements from  $\tilde{\Gamma} \setminus B$  into  $B$ . Let  $\tilde{B}_0$  be a set of  $\ell$  different elements from  $\tilde{\Gamma}$ . We recall here that  $\#\tilde{\Gamma} \geq \ell$  since  $\sup F_{\Omega, \Gamma} = \ell$ .

Finally we define:

$$\Delta = \left( \bigcup_{\gamma \in \tilde{B}_0} Q_0 + \gamma \right) \cup \left( \bigcup_{k=1}^{\ell} \bigcup_{B \in \mathcal{B}_k} \bigcup_{\gamma \in \tilde{B}} Q_k(B) + \gamma \right).$$

The set  $\Delta$  is measurable since it is a finite union of measurable sets. From (2.1) it is clear that  $\Omega \subset \Delta$ . Moreover, if  $\omega \in Q_k(B)$ , for some  $B \in \mathcal{B}_k$ ,  $\omega + \gamma \in \Omega$  only when  $\gamma \in B$ . Hence, if  $\omega \in Q_k(B)$ ,  $\omega + \tilde{\gamma} \in \Delta$  only when  $\tilde{\gamma} \in \tilde{B}$ . Since  $\tilde{B}$  has precisely  $\ell$  elements, if  $\omega \in Q_k(B)$ ,

$$\sum_{\gamma \in \Gamma} \chi_{\Delta}(\omega + \gamma) = \sum_{\tilde{\gamma} \in \tilde{B}} \chi_{\Delta}(\omega + \tilde{\gamma}) = \ell.$$

Also, if  $\omega \in Q_0$

$$\sum_{\gamma \in \Gamma} \chi_{\Delta}(\omega + \gamma) = \sum_{\tilde{\gamma} \in \tilde{B}_0} \chi_{\Delta}(\omega + \tilde{\gamma}) = \ell.$$

Taking into account that  $Q_{\Gamma} = \bigcup_{k=0}^{\ell} Q_k = Q_0 \cup \left( \bigcup_{k=1}^{\ell} \bigcup_{B \in \mathcal{B}_k} Q_k(B) \right)$  is a disjoint union, we conclude that for  $\omega \in Q_{\Gamma}$ ,  $\sum_{\gamma \in \Gamma} \chi_{\Delta}(\omega + \gamma) = \ell$ , proving that  $(\Delta, \Gamma)$  is an  $\ell$ -tiling pair for  $\hat{G}$ .  $\square$

**Remark 2.2.** *The  $\ell$ -tile found in Proposition 2.1 is not necessarily unique. It depends on the choice of the sets  $\tilde{B}$  and  $\tilde{B}_0$ .*

For the proof of part (2) of Theorem 1.1 we will use the fiberization mapping  $\mathcal{T} : L^2(G) \rightarrow L^2(Q_{\Gamma}, \ell^2(\Gamma))$  given by

$$\mathcal{T}f(\omega) = \{\hat{f}(\omega + \gamma)\}_{\gamma \in \Gamma} \in \ell^2(\Gamma), \quad \omega \in Q_{\Gamma}. \quad (2.2)$$

The mapping  $\mathcal{T}$  is an isometry and satisfies

$$\mathcal{T}(t_\lambda f)(\omega) = e_{-\lambda}(\omega) \mathcal{T}f(\omega), \quad \lambda \in \Lambda, f \in L^2(G), \quad (2.3)$$

(see Proposition 3.3 and Remark 3.12 in [3]), where  $t_\lambda$  denotes the translation by  $\lambda$  that is  $t_\lambda f(g) = f(g - \lambda)$ .

The next result is Theorem 4.1 of [3] adapted to our situation. For  $\varphi_1, \dots, \varphi_\ell \in L^2(G)$  denote by

$$S_\Lambda(\varphi_1, \dots, \varphi_\ell) := \overline{\text{span}}\{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, \ell\}$$

the  $\Lambda$ -invariant space generated by  $\{\varphi_1, \dots, \varphi_\ell\}$ . The measurable range function associated to  $S_\Lambda(\varphi_1, \dots, \varphi_\ell)$  is

$$J(\omega) = \overline{\text{span}}\{\mathcal{T}\varphi_1(\omega), \dots, \mathcal{T}\varphi_\ell(\omega)\} \subset \ell^2(\Gamma), \quad \omega \in Q_\Gamma. \quad (2.4)$$

**Proposition 2.3.** *Let  $\varphi_1, \dots, \varphi_\ell \in L^2(G)$  and let  $J(\omega)$  be the measurable range function associated to  $S_\Lambda(\varphi_1, \dots, \varphi_\ell)$  as in (2.4). Let  $0 < A \leq B < \infty$ . The following statements are equivalent:*

- (i) *The set  $\{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, \ell\}$  is a frame for  $S_\Lambda(\varphi_1, \dots, \varphi_\ell)$  with frame bounds  $A$  and  $B$ .*
- (ii) *For almost every  $\omega \in Q_\Gamma$  the set  $\{\mathcal{T}\varphi_1(\omega), \dots, \mathcal{T}\varphi_\ell(\omega)\} \subset \ell^2(\Gamma)$  is a frame for  $J(\omega)$  with frame bounds  $A|Q_\Gamma|^{-1}$  and  $B|Q_\Gamma|^{-1}$ .*

*Proof.* Let  $f \in S_\Lambda(\varphi_1, \dots, \varphi_\ell)$ . Use that the fiberization mapping given in (2.2) is an isometry satisfying (2.3) to write

$$\begin{aligned} \sum_{\lambda \in \Lambda} \sum_{j=1}^{\ell} |\langle t_\lambda \varphi_j, f \rangle_{L^2(G)}|^2 &= \sum_{\lambda \in \Lambda} \sum_{j=1}^{\ell} |\langle \mathcal{T}(t_\lambda \varphi_j), \mathcal{T}f \rangle_{L^2(Q_\Gamma, \ell^2(\Gamma))}|^2 \\ &= \sum_{j=1}^{\ell} \sum_{\lambda \in \Lambda} \left| \int_{Q_\Gamma} e_{-\lambda}(\omega) \langle \mathcal{T}(\varphi_j)(\omega), \mathcal{T}f(\omega) \rangle_{\ell^2(\Gamma)} d\omega \right|^2. \end{aligned}$$

Since  $\{\frac{1}{\sqrt{|Q_\Gamma|}} e_\lambda(\omega) : \lambda \in \Lambda\}$  is an orthonormal basis of  $L^2(Q_\Gamma)$  it follows that

$$\sum_{\lambda \in \Lambda} \sum_{j=1}^{\ell} |\langle t_\lambda \varphi_j, f \rangle_{L^2(G)}|^2 = |Q_\Gamma| \sum_{j=1}^{\ell} \int_{Q_\Gamma} |\langle \mathcal{T}\varphi_j(\omega), \mathcal{T}f(\omega) \rangle_{\ell^2(\Gamma)}|^2 d\omega.$$

From here, the proof continues as in the proof of Theorem 4.1 in [3]. Details are left to the reader.  $\square$

**Remark 2.4.** *Notice that the factor  $|Q_\Gamma|^{-1}$  that appears in (ii) of Proposition 2.3 does not appear in Theorem 4.1 of [3]. This is due to the fact that in [3] the measure of  $Q_\Gamma$  is normalized (see the beginning of Section 3 in [3]). Although this fact is not important to prove (2) of Theorem 1.1, it will be crucial in Section 3 to obtain optimal frame bounds of sets of exponentials.*

### Proof of Theorem 1.1

(1) Assume that  $E_\Lambda(a_1, \dots, a_\ell)$  is a frame for  $L^2(\Omega)$ . We define  $\varphi \in L^2(G)$  by

$$\widehat{\varphi} := \chi_\Omega, \quad \text{and} \quad \varphi_j := t_{-a_j} \varphi, \quad j = 1, \dots, \ell,$$

where  $t_{a_j}$  denotes the translation by  $a_j$ , that is  $t_{a_j} \varphi(g) = \varphi(g - a_j)$ .

Since  $E_\Lambda(a_1, \dots, a_\ell)$  is a frame of  $L^2(\Omega)$ , we have that  $\{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, \ell\}$  is a frame of the Paley-Wiener space  $PW_\Omega := \{f \in L^2(G) : \widehat{f} \in L^2(\Omega)\} = \{f \in L^2(G) : \widehat{f}(\omega) = 0, \text{ a.e. } \omega \in \widehat{G} \setminus \Omega\}$ . This follows from the definition of frame and the fact that for  $f \in PW_\Omega$  one has  $\|f\|_{L^2(G)} = \|\widehat{f}\|_{L^2(\Omega)}$  and  $\langle f, t_\lambda \varphi_j \rangle_{L^2(G)} = \langle \widehat{f}, e_{-\lambda + a_j} \rangle_{L^2(\Omega)}$ .

In particular,

$$PW_\Omega = S_\Lambda(\varphi_1, \dots, \varphi_\ell) := \overline{\text{span}}\{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, \ell\}.$$

That is,  $V := PW_\Omega$  is a finitely generated  $\Lambda$ -invariant space. Denote by  $J_V$  the measurable range function of  $V$  as given in (2.4) (see also [3], Section 3, for details). We now use the fiberization mapping  $\mathcal{T} : L^2(G) \rightarrow L^2(Q_\Gamma, \ell^2(\Gamma))$  defined in (2.2).

By Proposition 2.3, for a.e.  $\omega \in Q_\Gamma$  the sequences  $\{\mathcal{T}\varphi_1(\omega), \dots, \mathcal{T}\varphi_\ell(\omega)\}$  form a frame of  $J_V(\omega) \subseteq \ell^2(\Gamma)$ . Therefore,  $\dim(J_V(\omega)) \leq \ell$ , for a.e.  $\omega \in Q_\Gamma$ .

In our particular situation there is another description of the range function  $J_V(\omega)$  associated to  $V$ . For each  $\omega \in Q_\Gamma$ , define

$$\theta_\omega := \{\gamma \in \Gamma : \chi_\Omega(\omega + \gamma) \neq 0\}, \text{ and } \ell_\omega := \#\theta_\omega.$$

Write  $\ell_\omega = 0$  if  $\theta_\omega = \emptyset$ . Then, there exist  $\gamma_1(\omega), \dots, \gamma_{\ell_\omega}(\omega) \in \Gamma$  such that  $\omega + \gamma_j(\omega) \in \Omega$ , for all  $j = 1, \dots, \ell_\omega$ , which implies that  $J_V(\omega) \subseteq \ell^2(\{\delta_{\gamma_1(\omega)}, \dots, \delta_{\gamma_{\ell_\omega}(\omega)}\})$ , for a.e.  $\omega \in Q_\Gamma$ . Moreover, as in Corollary 2.8. of [1],  $J_V(\omega) = \ell^2(\{\delta_{\gamma_1(\omega)}, \dots, \delta_{\gamma_{\ell_\omega}(\omega)}\})$ , for a.e.  $\omega \in Q_\Gamma$ . Thus,  $\dim(J_V(\omega)) = \ell_\omega$ , which implies that  $\ell_\omega \leq \ell$ , for a.e.  $\omega \in Q_\Gamma$ , and therefore we obtain that

$$F_{\Omega, \Gamma}(\omega) = \sum_{\gamma \in \Gamma} \chi_\Omega(\omega + \gamma) \leq \ell, \quad \text{for a.e. } \omega \in Q_\Gamma.$$

This shows that  $(\Omega, \Gamma)$  is an  $m$ -subtiling pair for  $\widehat{G}$  with  $m \leq \ell$ .

(2) Since  $\Omega$  is bounded, by Proposition 2.1 there exists a bounded set  $\Delta$  containing  $\Omega$  which is an  $\ell$ -tile of  $\widehat{G}$  by  $\Gamma$ . Now using Theorem 4.1 of [1], there exist  $a_1, \dots, a_\ell \in G$  such that  $E_\Lambda(a_1, \dots, a_\ell)$  is a Riesz basis of  $L^2(\Delta)$ . As a consequence,  $E_\Lambda(a_1, \dots, a_\ell)$  is a frame of  $L^2(\Omega)$ . □

**Remark 2.5.** Note that  $\Omega$  does not need to be bounded: for example,  $E_{\mathbb{Z}}(0) = \{e^{2\pi i k x} : k \in \mathbb{Z}\}$  is an orthonormal basis for  $L^2(\Omega)$  for  $\Omega = \bigcup_{n=0}^{\infty} n + (\frac{1}{2^{n+1}}, \frac{1}{2^n}] \subset \mathbb{R}$  and  $\Omega$  is not bounded. However, for the proof of part (2) of Theorem 1.1 we need  $\Omega$  to be bounded since the proof uses Proposition 2.1.

**Remark 2.6.** Theorem 1.1 for the case  $\ell = 1$  can be found in [2]. In this case, the proof does not require making use of either the Paley-Wiener space of  $\Omega$  or the range function associated to it as in the proof given above.

**Remark 2.7.** In Part (1) of Theorem 1.1 the inequality  $m \leq \ell$  can be strict as the following example shows: choose  $\Omega \subset \mathbb{R}^d$  such that  $(\Omega, \mathbb{Z}^d)$  is an  $\ell$ -tiling pair for  $\mathbb{R}^d$  and pick  $a_1, \dots, a_\ell$  such that  $E_{\mathbb{Z}^d}(a_1, \dots, a_\ell)$  is a Riesz basis of  $L^2(\Omega)$ . Let  $\Omega_0 \subset \Omega$  be any subset of  $\Omega$  such that  $(\Omega_0, \mathbb{Z}^d)$  is an  $(\ell-1)$ -tiling pair of  $\mathbb{R}^d$  (for example, remove from  $\Omega$  a fundamental domain of  $\mathbb{Z}^d$  in  $\mathbb{R}^d$ ). Then  $E_{\mathbb{Z}^d}(a_1, \dots, a_\ell)$  is a frame for  $L^2(\Omega_0)$ , and  $(\Omega_0, \mathbb{Z}^d)$  is not an  $\ell$ -subtiling pair for  $\mathbb{R}^d$ .

## 3. OPTIMAL FRAME BOUNDS FOR SETS OF EXPONENTIALS.

The purpose of this section is to develop another condition guaranteeing when a set of exponentials of the form

$$E_\Lambda(a_1, \dots, a_m) := \{e_{a_j + \lambda} : j = 1, 2, \dots, m, \lambda \in \Lambda\}$$

forms a frame for  $L^2(\Omega)$ , where  $(\Omega, \Gamma)$  is an  $\ell$ -subtiling pair for  $\widehat{G}$ , as well as to find optimal frame bounds for this frame.

For the  $\ell$ -subtiling pair  $(\Omega, \Gamma)$  of  $\widehat{G}$ , let  $E$  be the set of measure zero in  $Q_\Gamma$  such that  $F_{\Omega, \Gamma} > \ell$ , and let  $Q_0 := \{\omega \in Q_\Gamma : F_{\Omega, \Gamma}(\omega) = 0\}$ . Let

$$\widetilde{Q}_\Gamma := Q_\Gamma \setminus (Q_0 \cup E).$$

For each  $\omega \in \widetilde{Q}_\Gamma$  there exist  $\ell_\omega \leq \ell$  and  $\gamma_1(\omega), \dots, \gamma_{\ell_\omega}(\omega) \in \Gamma$  such that  $\omega + \gamma_j(\omega) \in \Omega$  for all  $j = 1, \dots, \ell_\omega$  (see the proof of Theorem 1.1). Recall that

$$\ell_\omega := \#\{\gamma \in \Gamma : \chi_\Omega(\omega + \gamma) \neq 0\}. \quad (3.1)$$

Given  $\varphi_1, \dots, \varphi_m \in PW_\Omega = \{f \in L^2(G) : \widehat{f} \in L^2(\Omega)\}$ , and  $\omega \in \widetilde{Q}_\Gamma$ , consider the matrix

$$T_\omega = \begin{pmatrix} \widehat{\varphi}_1(\omega + \gamma_1(\omega)) & \dots & \widehat{\varphi}_m(\omega + \gamma_1(\omega)) \\ \vdots & & \vdots \\ \widehat{\varphi}_1(\omega + \gamma_{\ell_\omega}(\omega)) & \dots & \widehat{\varphi}_m(\omega + \gamma_{\ell_\omega}(\omega)) \end{pmatrix} \quad (3.2)$$

of size  $\ell_\omega \times m$ . Assume that

$$\Phi_\Lambda := \{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, m\}$$

is a frame for  $S_\Lambda(\varphi_1, \dots, \varphi_m)$ . By Proposition 2.3, this is equivalent to having that for a.e.  $\omega \in Q_\Gamma$  the set

$$\Phi_\omega := \{\mathcal{T}\varphi_j(\omega) : j = 1, \dots, m\} \subset \ell^2(\Gamma)$$

is a frame for  $J(\omega) = \overline{\text{span}}\{\mathcal{T}\varphi_1(\omega), \dots, \mathcal{T}\varphi_m(\omega)\} \subset \ell^2(\Gamma)$ . Moreover, as in the proof of Theorem 1.1, for a. e.  $\omega \in Q_\Gamma$ ,  $J(\omega) = \ell^2(\{\delta_{\gamma_1(\omega)}, \dots, \delta_{\gamma_{\ell_\omega}(\omega)}\})$  is a subspace of  $\ell^2(\Gamma)$  of dimension  $\ell_\omega$ . (Notice that this implies  $m \geq \ell$ .)

It is well known (see, for example, Proposition 3.18 in [7]) that a frame in a finite dimensional Hilbert space is nothing but a generating set. Since the non-zero elements of  $\mathcal{T}\varphi_j(\omega)$  are precisely the  $j$ -th column of  $T_\omega$ ,  $j = 1, \dots, m$ , it follows that  $\Phi_\Lambda$  is a frame for  $S_\Lambda(\varphi_1, \dots, \varphi_m)$  if and only if  $\text{rank}(T_\omega) = \ell_\omega$  for a.e.  $\omega \in \widetilde{Q}_\Gamma$ .

For  $\omega \in \widetilde{Q}_\Gamma$ , let  $\lambda_{\min}(T_\omega T_\omega^*)$  and  $\lambda_{\max}(T_\omega T_\omega^*)$  respectively the minimal and maximal eigenvalues of  $T_\omega T_\omega^*$ . It is well known (see Proposition 3.27 in [7]) that the optimal lower and upper frame bounds of  $\Phi_\omega$  are precisely  $\lambda_{\min}(T_\omega T_\omega^*)$  and  $\lambda_{\max}(T_\omega T_\omega^*)$  respectively. By Proposition 2.3 the optimal frame bounds for  $\Phi_\Lambda$  are

$$A = |Q_\Gamma| \text{ess inf}_{\omega \in \widetilde{Q}_\Gamma} \lambda_{\min}(T_\omega T_\omega^*) \quad \text{and} \quad B = |Q_\Gamma| \text{ess sup}_{\omega \in \widetilde{Q}_\Gamma} \lambda_{\max}(T_\omega T_\omega^*). \quad (3.3)$$

We have proved the following result:

**Proposition 3.1.** *With the notation and definitions as above, the following are equivalent:*

- (i) *The set  $\Phi_\Lambda := \{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, m\}$  is a frame for  $S_\Lambda(\varphi_1, \dots, \varphi_m)$ .*



(ii) The matrix  $T_\omega$  given in (3.2) has rank  $\ell_\omega$  (see (3.1)) for a.e.  $\omega \in \widetilde{Q}_\Gamma$ .

Moreover, in this situation, the optimal frame bounds  $A$  and  $B$  of  $\Phi_\Lambda$  are given by (3.3).

Consider now the set of exponentials

$$E_\Lambda(a_1, \dots, a_m) := \{e_{\lambda+a_j} : \lambda \in \Lambda, j = 1, \dots, m\}$$

with  $a_1, \dots, a_m \in G$ . Let  $\varphi \in L^2(G)$  given by  $\widehat{\varphi} = \chi_\Omega$ . Consider

$$\varphi_j := t_{-a_j}\varphi, \quad j = 1, \dots, m.$$

As in the proof of Theorem 1.1,  $E_\Lambda(a_1, \dots, a_m)$  is a frame for  $L^2(\Omega)$  with frame bounds  $A$  and  $B$  if and only if the set

$$\Phi_\Lambda := \{t_\lambda \varphi_j : \lambda \in \Lambda, j = 1, \dots, m\}$$

is a frame for  $PW_\Omega = S_\Lambda(\varphi_1, \dots, \varphi_m)$  with the same frame bounds.

For our particular situation, if  $\omega \in \widetilde{Q}_\Gamma$ ,

$$T_\omega = \begin{pmatrix} e_{a_1}(\omega + \gamma_1(\omega)) & \dots & e_{a_m}(\omega + \gamma_1(\omega)) \\ \vdots & & \vdots \\ e_{a_1}(\omega + \gamma_{\ell_\omega}(\omega)) & \dots & e_{a_m}(\omega + \gamma_{\ell_\omega}(\omega)) \end{pmatrix}. \quad (3.4)$$

As in Theorem 2.9 of [1] the matrix  $T_\omega$ , for  $\omega \in \widetilde{Q}_\Gamma$ , can be factored as

$$T_\omega = E_\omega U_\omega := \begin{pmatrix} e_{a_1}(\gamma_1(\omega)) & \dots & e_{a_m}(\gamma_1(\omega)) \\ \vdots & & \vdots \\ e_{a_1}(\gamma_{\ell_\omega}(\omega)) & \dots & e_{a_m}(\gamma_{\ell_\omega}(\omega)) \end{pmatrix} \begin{pmatrix} e_{a_1}(\omega) & \dots & 0 \\ \vdots & & \vdots \\ 0 & \dots & e_{a_m}(\omega) \end{pmatrix}. \quad (3.5)$$

Since  $U_\omega$  is unitary and  $T_\omega T_\omega^* = E_\omega E_\omega^*$ , we have proved the following result:

**Proposition 3.2.** *With the notation and definitions as above, the following are equivalent:*

(i) The set  $E_\Lambda(a_1, \dots, a_m)$  is a frame for  $L^2(\Omega)$ .

(ii) The matrix  $E_\omega$  given in (3.5) has rank  $\ell_\omega$  (see (3.1)) for a. e.  $\omega \in \widetilde{Q}_\Gamma$ .

Moreover, in this situation, the optimal frame bounds  $A$  and  $B$  of  $E_\Lambda(a_1, \dots, a_m)$  are given by

$$A = |Q_\Gamma| \operatorname{ess\,inf}_{\omega \in \widetilde{Q}_\Gamma} \lambda_{\min}(E_\omega E_\omega^*) \quad \text{and} \quad B = |Q_\Gamma| \operatorname{ess\,sup}_{\omega \in \widetilde{Q}_\Gamma} \lambda_{\max}(E_\omega E_\omega^*).$$

**Remark 3.3.** *Proposition 3.2 can be found in [1] when  $\Omega$  is an  $\ell$ -tile and “frame” is replaced by “Riesz basis”.*

**Example 3.4.** *In this example we work with the additive group  $G = \mathbb{R}^d$  and the lattice  $\Lambda = \mathbb{Z}^d$ . Recall that  $\widehat{G} = \mathbb{R}^d$  and  $\Gamma = \mathbb{Z}^d$ . Let  $\Omega_0 \subset \Omega_1 \subset [0, 1)^d$  be two measurable sets in  $\mathbb{R}^d$  and let  $\gamma_0 \in \mathbb{Z}^d$  ( $\gamma_0 \neq 0$ ). Take*

$$\Omega = \Omega_1 \cup (\gamma_0 + \Omega_0),$$

*so that  $(\Omega, \mathbb{Z}^d)$  is a 2-subtiling pair of  $\mathbb{R}^d$ .*



For  $a_1, a_2, \dots, a_m \in \mathbb{R}^d$  consider the set of exponentials

$$E_{\mathbb{Z}^d}(a_1, \dots, a_m) = \{e^{2\pi i \langle k + a_j, \cdot \rangle} : k \in \mathbb{Z}^d, j = 1, \dots, m\}.$$

By factoring out  $e^{2\pi i \langle a_1, x \rangle}$  we can assume  $a_1 = 0$ .

According to Proposition 3.2, to determine the values of  $a_1 = 0, a_2, \dots, a_m$  for which the set  $E_{\mathbb{Z}^d}(0, a_2, \dots, a_m)$  is a frame for  $L^2(\Omega)$ , we need to compute the ranks of the matrices  $E_\omega$  given in (3.5).

For  $\omega \in \Omega_1 \setminus \Omega_0$ ,  $\ell_\omega = 1$ ,  $E_\omega = (1, 1, \dots, 1)$ , and  $\text{rank}(E_\omega) = 1 = \ell_\omega$ . For  $\omega \in \Omega_0$ ,  $\ell_\omega = 2$ , and

$$E_\omega = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & e^{2\pi i \langle a_2, \gamma_0 \rangle} & \dots & e^{2\pi i \langle a_m, \gamma_0 \rangle} \end{pmatrix}. \quad (3.6)$$

Let  $H := \bigcup_{k \in \mathbb{Z}} \{x \in \mathbb{R}^d : \langle x, \gamma_0 \rangle = k\}$ , that is a countable union of hyperplanes in  $\mathbb{R}^d$  perpendicular to the vector  $\gamma_0$ . The rank of the matrix given in (3.6) is 2 when at least one of the  $a_j$  does not belong to  $H$ . In this case,  $E_{\mathbb{Z}^d}(0, a_2, \dots, a_m)$  is a frame for  $L^2(\Omega)$  as an application of Proposition 3.2.

We now compute the optimal frame bounds. For  $\omega \in \Omega_1 \setminus \Omega_0$ ,  $E_\omega E_\omega^* = (m)$ , so that  $\lambda_{\min}(E_\omega E_\omega^*) = \lambda_{\max}(E_\omega E_\omega^*) = m$ . For  $\omega \in \Omega_0$ ,

$$E_\omega E_\omega^* = \begin{pmatrix} m & 1 + \sum_{j=2}^m e^{-2\pi i \langle a_j, \gamma_0 \rangle} \\ 1 + \sum_{j=2}^m e^{2\pi i \langle a_j, \gamma_0 \rangle} & m \end{pmatrix}.$$

The eigenvalues of this matrix are

$$\lambda = m \pm \left| 1 + \sum_{j=2}^m e^{2\pi i \langle a_j, \gamma_0 \rangle} \right|.$$

Therefore, the optimal lower and upper frame bounds of  $E_{\mathbb{Z}^d}(0, a_2, \dots, a_m)$  in  $L^2(\Omega)$  are

$$A = m - \left| 1 + \sum_{j=2}^m e^{2\pi i \langle a_j, \gamma_0 \rangle} \right| \quad \text{and} \quad B = m + \left| 1 + \sum_{j=2}^m e^{2\pi i \langle a_j, \gamma_0 \rangle} \right|$$

when  $a_j \notin H$  for some  $j \in \{2, \dots, m\}$ . Observe that the frame  $E_{\mathbb{Z}^d}(0, a_2, \dots, a_m)$  in  $L^2(\Omega)$  is tight (with tight frame bound  $m$ ) if and only if  $1 + \sum_{j=2}^m e^{2\pi i \langle a_j, \gamma_0 \rangle} = 0$ .

This occurs, for example, if the complex numbers  $\{1, e^{2\pi i \langle a_2, \gamma_0 \rangle}, \dots, e^{2\pi i \langle a_m, \gamma_0 \rangle}\}$  are the vertices of a regular  $m$ -gon inscribed in the unit circle.

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