Nonsupersymmetric Dualities from Mirror Symmetry

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We study supersymmetry breaking perturbations of the simplest dual pair of (2+1)-dimensional $\mathcal{N}=2$ supersymmetric field theories—the free chiral multiplet and $\mathcal{N}=2$ super QED with a single flavor. We find dual descriptions of a phase diagram containing four distinct massive phases. The equivalence of the intervening critical theories gives rise to several nonsupersymmetric avatars of mirror symmetry: we find dualities relating scalar QED to a free fermion and Wilson-Fisher theories to both scalar and fermionic QED. Thus, mirror symmetry can be viewed as the multicritical parent duality from which these nonsupersymmetric dualities directly descend.

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Introduction.—Duality plays a central role in the modern understanding of quantum field theory. In some cases, as with S duality of maximally supersymmetric four-dimensional (4D) Yang-Mills theory, it refers to an exact symmetry exchanging strong and weak coupling limits of the same theory. In others, as with the dualities of $\mathcal{N}=1$ supersymmetric field theories in four dimensions [1] or mirror symmetry of 3D supersymmetric gauge theories [2–4], it relates the low-energy physics arising from two distinct high energy theories. Dualities have found diverse applications in high energy physics, condensed matter physics, and mathematics.

An important way to deepen our understanding of duality is to relate one duality to another. This simplifies the logical structure of the assumptions we must make, yielding a web of dualities from a single starting point, and can also allow us to derive new dualities.

Motivated in part by the proposal [5] for a duality governing the physics of the half-filled Landau level, there have been several recent discussions for dualities relating some of the simplest nonsupersymmetric 3D field theories [6–11] (with closely related earlier work appearing in Refs. [12–21]). In this note, we show that many of these dualities can be derived as a consequence of the most basic avatar of mirror symmetry of 3D $\mathcal{N}=2$ supersymmetric gauge theories. (In a related direction, it is also possible to deform three-dimensional Seiberg duality [22-25] to derive nonsupersymmetric dualities in large N limits, as was done in Refs. [26,27].) We build on our work [11] to show that, after breaking supersymmetry, the duality between the theory of a free chiral multiplet—theory A—and supersymmetric quantum electrodynamics (SQED) with a single flavor—theory B—yields a rich phase diagram with four distinct phases. Duality relates both the phases and the intervening critical points of the dual pairs. Along the four walls separating distinct phases (see Figs. 1 and 2), we find critical theories with dual descriptions realized in the *A* and *B* pictures. This unifies the simplest duality of 3D supersymmetric field theory with various dualities relating fermionic and scalar QED to theories of free fermions or Wilson-Fisher bosons. It provides a logical completion of Ref. [11], where the duality between phases I and II (and the intervening critical point) was already derived.

The dualities studied here are of interest both for their intrinsic importance in understanding the structure of 3D quantum field theory, and for potential applications to problems in condensed matter physics, including the study of topological order and metallic criticality.

Chiral mirror symmetry.—We first review the essential properties of the chiral mirror symmetry duality [11,28].

Theory A consists of a free chiral superfield (v, Ψ) that contains a complex scalar v and its (two-component) Dirac fermion superpartner Ψ . The theory enjoys two global Abelian symmetries, $U(1)_J$ and $U(1)_R$, whose actions on (v, Ψ) are given below.

$$\begin{array}{c|cccc}
 & U(1)_J & U(1)_R \\
\hline
v & 1 & 1 \\
\Psi & 1 & 0
\end{array} \tag{1}$$

Introducing background gauge fields $\hat{A}_{J,R}$ associated to the global $U(1)_{J,R}$ symmetries, the theory A Lagrangian is

$$L^{(A)} = |D_{\hat{A}_J + \hat{A}_R} v|^2 - m_v^2 |v|^2$$
$$+ \overline{\Psi} i \mathcal{D}_{\hat{A}_J} \Psi - m_\Psi \overline{\Psi} \Psi - \frac{1}{8\pi} \hat{A}_J d\hat{A}_J. \tag{2}$$

For Abelian gauge fields A and B, the covariant derivatives $D_{\pm A} \equiv \partial_{\mu} \mp i A_{\mu}$ with $\mu \in \{0, 1, 2\}$; $D_B \equiv \gamma^{\mu} (\partial_{\mu} - B_{\mu})$, and $\overline{\Psi} \equiv \Psi^{\dagger} \gamma^0$ with γ matrices [We choose the metric

 $\eta^{\mu\nu}={\rm diag}(1,-1,-1)$ and γ matrices that satisfy $(\gamma^0\gamma^1\gamma^2)_{\alpha\beta}=-i\delta_{\alpha\beta}$, e.g., $\gamma^0=\sigma^3$, $\gamma^1=i\sigma^1$, $\gamma^2=i\sigma^2$, where σ^j are the Pauli- σ matrices.] satisfying $\{\gamma^\mu,\gamma^\nu\}=2\eta^{\mu\nu}$. Chern-Simons (CS) terms are written as $AdB\equiv \epsilon^{\mu\nu\rho}A_\mu\partial_\nu B_\rho$ with $\epsilon^{012}=1$. (We denote the effect of integrating out a single Dirac fermion of unit charge and mass m by the level-1/2 CS term $({\rm sgn}(m)/8\pi)AdA$ in the Wilsonian effective Lagrangian. A gauge-invariant expression utilizes the eta-invariant—see Refs. [8,29,30] for further information.) Besides the relevant bosonic and fermionic mass terms, theory A admits a relevant perturbation proportional to $|\nu|^4$ (which will play a key role below), and a classically marginal interaction $|\nu|^2 \overline{\Psi}\Psi$.

The \hat{A}_J gauge field can be included in a background vector multiplet $\hat{\mathcal{V}}_J = (\hat{A}_J, \hat{\sigma}_J, \hat{\lambda}_J, \hat{D}_J)$ with the couplings occurring in Eq. (2) dictated by unbroken $\mathcal{N}=2$ supersymmetry:

$$m_v^2 = \hat{\sigma}_I^2 + \hat{D}_I, \qquad m_\Psi = \hat{\sigma}_I. \tag{3}$$

Chiral mirror symmetry allows us to map all components in this multiplet across the duality. The scalar $\hat{\sigma}_J$ and D term \hat{D}_J , in particular, play important roles in our derivation.

Theory B is $\mathcal{N}=2$ SQED with a single chiral flavor (u,ψ) and $U(1)_a$ gauge group. The corresponding charge assignments are given below.

 σ is the real scalar partner of the dynamical 3D gauge field a_{μ} , λ is the gaugino (λ is the charge-conjugate of the fermion that appears in the conventionally defined $\mathcal{N}=2$ vector multiplet.), and γ is the dual photon $(\partial^{\mu}\gamma=(1/2\pi)\epsilon^{\mu\nu\rho}\partial_{\nu}a_{\rho})$. Including the background $U(1)_{J,R}$ terms considered previously, the dual theory B Lagrangian

$$L^{(B)} = L_V + L_{\text{matter}} + L_{\text{CS}} - L_{\text{BF}}$$
 (5)

with

$$L_{V} = \frac{1}{g^{2}} \left(-\frac{1}{4} f_{\mu\nu}^{2} + \frac{1}{2} (\partial \sigma)^{2} + \overline{\lambda} i D_{-\hat{A}_{R}} \lambda + \frac{1}{2} D^{2} \right),$$

$$L_{\text{matter}} = |D_{-a} u|^{2} + \overline{\psi} i D_{-a-\hat{A}_{R}} \psi - (\sigma^{2} - D) |u|^{2}$$

$$+ \sigma \overline{\psi} \psi + u^{*} \overline{\lambda} \psi + u \overline{\psi} \lambda,$$

$$L_{\text{CS}} = \frac{1}{8\pi} (a d a + 2 D \sigma + \overline{\lambda} \lambda),$$

$$L_{\text{BF}} = \frac{1}{2\pi} (a d \hat{A}_{J} + \hat{D}_{J} \sigma + \hat{\sigma}_{J} D) + \frac{1}{4\pi} a d \hat{A}_{R}. \tag{6}$$

 $f_{\mu\nu}=\partial_\mu a_\nu-\partial_\nu a_\mu$ is the field strength of the $U(1)_a$ gauge field.

Chiral mirror symmetry says that the IR $(g \to \infty)$ limit of theory B has the free field description given by theory A.

In order to understand the RG flows below, let us now discuss the map of relevant deformations. $\hat{\sigma}_I$ and \hat{D}_I give rise to masses in theory A via Eq. (3). These parameters are external backgrounds for the $U(1)_I$ supercurrent, and are mapped exactly to theory B according to the last line in Eq. (6). If we further restrict to high energies, theory B is weakly coupled and σ and D may be integrated out to show that $\hat{\sigma}_I$ and \hat{D}_I produce masses for u and ψ . The other renormalizable deformations of theory A, $|v|^4$ and $|v|^2 \overline{\Psi} \Psi$, do not correspond to conserved currents, and, hence, their effect in theory B is more involved. Nevertheless, we may derive an approximate correspondence by noting that $v^*\Psi \sim \lambda$ from the quantum numbers in both theories, and $|v|^2 \sim \sigma$ from the couplings of \hat{D}_J on both sides. These also agree with the Taub-NUT map of the underlying N=4 theory [31,32]. Therefore, $|v|^4$ and $|v|^2\overline{\Psi}\Psi$ map to masses for σ and the gaugino in theory B. We stress that this map is approximate and is expected to receive large quantum corrections in the IR.

Dynamics and phases of theory.—Theory A has four distinct phases parametrized by the signs of the effective masses m_v^2 and m_Ψ ; see Fig. 1. These gapped phases can be invariantly characterized by their responses to the background $\hat{A}_{J,R}$ fields. (This statement is slightly imprecise as the response can be modified by regularization-dependent contact terms [33]; the difference of the response across a phase transition is, however, physical and this is how our expressions should be understood.) Integrating out the massive degrees of freedom according to the prescription in Fig. 1, this response is captured by the effective Lagrangians:

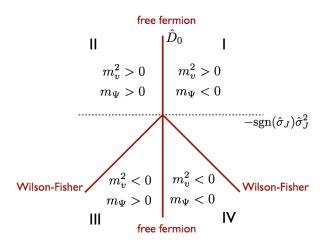


FIG. 1. Phase diagram of theory A. Massless fields occur along the red lines (second order phase transitions).

$$\mathcal{L}^{I} = -\frac{1}{4\pi} \hat{A}_{J} d\hat{A}_{J},$$

$$\mathcal{L}^{II} = 0,$$

$$\mathcal{L}^{III} = -\frac{1}{2\pi} b d(\hat{A}_{J} + \hat{A}_{R}),$$

$$\mathcal{L}^{IV} = -\frac{1}{4\pi} \hat{A}_{J} d\hat{A}_{J} - \frac{1}{2\pi} b d(\hat{A}_{J} + \hat{A}_{R}).$$
(7)

For simplicity, we have truncated the effective Lagrangians at the leading quadratic order in the derivative expansion. In \mathcal{L}^{III} and \mathcal{L}^{IV} , we introduced the 3D gauge field b [34], whose equation of motion imposes the symmetry-breaking constraint,

$$\hat{A}_I + \hat{A}_R = 0, \tag{8}$$

that occurs when $m_v^2 < 0$.

Phases I and II, along with the intervening critical point, were studied in Ref. [11]. To reliably study phases III and IV, where the effective mass-squared $m_v^2 < 0$, we introduce a stabilizing interaction $|v|^4$. Even though this interaction breaks supersymmetry, it does not qualitatively affect the analysis or conclusions for the phase structure when $m_v^2 > 0$. It does modify the precise location at which $m_v^2 = 0$: the classical location $\hat{\sigma}_J^2 + \hat{D}_J = 0$ —see Eq. (3)—is modified quantum mechanically.

To add the $|v|^4$ interaction in a way that can be tracked across the duality, we promote $\hat{D}_J \to D_J$ to a dynamical field and integrate it out with Gaussian weight $\mathcal{L}_{D_J} = (1/2h^2) \times (\hat{D}_J - \hat{D}_0)^2$. (This technique was used in Ref. [27] in a different context.) The resulting classical scalar potential,

$$V_{\text{eff}} = (\hat{\sigma}_J^2 + \hat{D}_0)|v|^2 + \frac{h^2}{2}|v|^4, \tag{9}$$

features a stable vacuum at $|v|^2 = (|\hat{D}_0| - \hat{\sigma}_J^2)/h^2$ when $\hat{D}_0 < -\hat{\sigma}_J^2$. The phase diagram in Fig. 1 contains four lines of phase transitions: these transitions are described either by critical Wilson-Fisher theories with interaction strength determined by h^2 or by the mass sign-changing transition of a free Dirac fermion.

Dynamics and phases of theory B.—Direct analysis of theory B for all values of the background parameters is subtle because the theory is strongly coupled. Duality and supersymmetry (when unbroken), however, may together be used to determine the theory B dynamics.

We have found it possible to uniquely realize the theory A response summarized in Eq. (7) using the effective mass parameters m_u^2 and m_{f_\pm} for the charged degrees of freedom of theory B with the prescription given in Fig. 2. We have introduced mass parameters for Dirac fermions f_\pm that diagonalize the effective fermion mass matrix in

$$\mathcal{L}_{\text{mass}}^{(B)} = -m_{\psi}\overline{\psi}\psi - m_{\lambda}\overline{\lambda}\lambda + \delta m^*\overline{\lambda}\psi + \delta m\overline{\psi}\lambda. \quad (10)$$

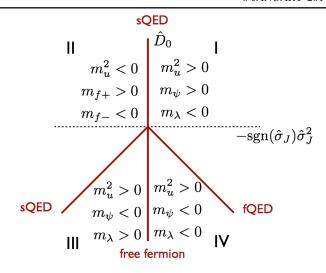


FIG. 2. Phase diagram of theory B.

The third and fourth terms—see the second line of $\mathcal{L}_{\text{matter}}$ —are parametrized by the fermion mass mixing δm which is only nonzero in phases when $\langle |u^2| \rangle \neq 0$, i.e., $m_u^2 < 0$. The mass matrix in Eq. (10) has the two eigenvalues,

$$m_{f_{\pm}} = \frac{1}{2}(m_{\psi} + m_{\lambda} \pm \sqrt{(m_{\psi} - m_{\lambda})^2 + 4|\delta m|^2}).$$
 (11)

 f_{\pm} are composed of linear combinations of ψ and λ with relative weights that vary as the background parameters are tuned: Fig. 2 implies that upon transitioning from phase II to phase I, f_{+} becomes ψ and f_{-} becomes λ and vice versa in the transition from phase II to phase III.

The matching of the theory B responses to those of theory A in Eq. (7) follows upon integrating out the massive degrees of freedom,

$$\mathcal{L}_{\text{eff}}^{(B)} = \frac{1}{8\pi} \operatorname{sgn}(m_{f_{+}}) (a + \hat{A}_{R}) d(a + \hat{A}_{R}) + \frac{1}{8\pi} a da + \frac{1}{8\pi} \operatorname{sgn}(m_{f_{-}}) \hat{A}_{R} d\hat{A}_{R} - \frac{1}{4\pi} a d\hat{A}_{R} - \frac{1}{2\pi} \hat{A}_{J} da.$$
 (12)

This formula should be read with the replacement $f_+ = \psi$ and $f_- = \lambda$ in phases where $m_u^2 > 0$. In phases I, III, and IV where $m_u^2 > 0$, the response in Eq. (12) is seen to directly match that in Eq. (7) for the values of the effective mass parameters given in Fig. 2. In phase I, the CS ada coefficient is nonzero, and integrating out a matches the result from theory A. On the other hand, in phases III and IV, this coefficient vanishes and a is identified with the gauge field b introduced in Eq. (7); this reproduces the correct symmetry breaking pattern and CS response. In phase II, the condensation $\langle |u|^2 \rangle \neq 0$ essentially fixes a=0 and we find agreement with \mathcal{L}^{II} in Eq. (7) after using the effective mass values in Fig. 2. The response in phase II requires $m_{f_+}m_{f_-} < 0$ or

$$m_{\psi}m_{\lambda}<|\delta m^2|. \hspace{1.5cm} (13)$$

Happily, this requirement is consistent with the values of the effective masses in the adjacent phases I and III.

To provide additional justification for this picture, we analyze theory B near the line $\hat{D}_0 = 0$, where supersymmetry is preserved. Because supersymmetry precludes phase transitions as a function of the coupling g, we may transfer the qualitative information gleaned at weak coupling to the strong coupling regime of interest. Note that we are ignoring the effects of the dynamical D_J field here; it provides a stabilizing potential for σ , but can otherwise be ignored near the origin of Fig. 2.

We first verify the effective mass parameter assignments in phases I and II along $\hat{D}_0 = 0$. In Ref. [11], we demonstrated that the supersymmetry-preserving vacuum for the scalar fields lies at

$$\langle |u|^2 \rangle = 0, \qquad \langle \sigma \rangle = \hat{\sigma}_J, \qquad \text{for } \hat{\sigma}_J < 0,$$
 $\langle |u|^2 \rangle = \frac{\hat{\sigma}_J}{2\pi}, \qquad \langle \sigma \rangle = 0, \qquad \text{for } \hat{\sigma}_J > 0.$ (14)

From this analysis we abstract the following. For $\hat{\sigma}_J < 0$, we determine the effective masses $m_u^2 > 0$, $m_\psi = -\langle \sigma \rangle > 0$, and $m_\lambda < 0$ from \mathcal{L}_{CS} in Eq. (6). For $\hat{\sigma}_J > 0$, we find that $m_u^2 < 0$, $m_\psi = 0$, and $m_\lambda < 0$. Using the mass matrix

eigenvalues in Eq. (11), we find agreement with the inferred values in Fig. 2.

Perturbation theory in $\hat{D}_0 < 0$ is likewise consistent with the assignment of effective masses. To leading order in \hat{D}_0 , the vacua in Eq. (14) are shifted as follows:

$$\begin{split} \delta\langle u\rangle &= 0, \quad \delta\langle\sigma\rangle \sim g^2|\hat{D}_0|\hat{\sigma}_J^{-2}, \quad \text{for } \hat{\sigma}_J < 0, \\ \delta\langle u\rangle \sim &-|\hat{D}_0|\hat{\sigma}_J^{-3/2}, \quad \delta\langle\sigma\rangle \sim |\hat{D}_0|\hat{\sigma}_J^{-1}, \quad \text{for } \hat{\sigma}_J > 0, \end{split} \tag{15}$$

where \sim indicates equality up to multiplication by a positive constant. For $\hat{\sigma}_J < 0$, we see that m_ψ is decreased to leading order, consistent with the putative fermion mass sign-changing transition at $\hat{D}_0 = -\mathrm{sgn}(\hat{\sigma}_J)\hat{\sigma}_J^2$. For $\hat{\sigma}_J > 0$, the perturbative decrease of $\langle u \rangle$ is consistent with symmetry restoration across the $\hat{D}_0 = -\mathrm{sgn}(\hat{\sigma}_J)\hat{\sigma}_J^2$ line. Likewise, the perturbative decrease of $m_\psi = -\langle \sigma \rangle$ matches the expected behavior in phase III. Integrating out $\langle u, \psi \rangle$ near the origin in phases III and IV generates a 1-loop correction with the result, $m_\lambda \sim |m_\psi| - |m_u|$. This agrees with the sign assignments for m_λ near the II/III and IV/I phase boundaries, where u and ψ are becoming massless.

Dualities and implications B.—Examination of Figs. 1 and 2 shows that a single field becomes light at a given phase transition. Mirror symmetry implies the resulting critical theories are dual. We thus arrive at the following dualities (indicated by \leftrightarrow):

$$\overline{\Psi}iD_{\hat{A}_{J}}\Psi - \frac{1}{8\pi}\hat{A}_{J}d\hat{A}_{J} \leftrightarrow |D_{-a}u|^{2} - |u|^{4} + \frac{1}{4\pi}ada - \frac{1}{2\pi}\hat{A}_{J}da, \tag{16}$$

$$|D_{\hat{A}_J + \hat{A}_R} v|^2 - |v|^4 \leftrightarrow -\frac{1}{4g^2} f_{\mu\nu}^2 + |D_{-a} u|^2 - |u|^4 - \frac{1}{2\pi} ad(\hat{A}_J + \hat{A}_R), \tag{17}$$

$$\overline{\Psi}i\mathcal{D}_{\hat{A}_{J}}\Psi - \frac{1}{8\pi}\hat{A}_{J}d\hat{A}_{J} - \frac{1}{2\pi}bd(\hat{A}_{J} + \hat{A}_{R}) \leftrightarrow \overline{\lambda}i\mathcal{D}_{-\hat{A}_{R}}\lambda - \frac{1}{8\pi}\hat{A}_{J}d\hat{A}_{J} - \frac{1}{2\pi}ad(\hat{A}_{J} + \hat{A}_{R}), \tag{18}$$

$$|D_{\hat{A}_{J}+\hat{A}_{R}}v|^{2} - |v|^{4} - \frac{1}{4\pi}\hat{A}_{J}d\hat{A}_{J} \leftrightarrow \overline{\psi}iD_{-a-\hat{A}_{R}}\psi + \frac{1}{8\pi}ada - \frac{1}{2\pi}ad\left(\hat{A}_{J} + \frac{1}{2}\hat{A}_{R}\right) - \frac{1}{8\pi}\hat{A}_{R}d\hat{A}_{R}. \tag{19}$$

Equations (16) and (19) are two examples of the bosonization dualities discussed in Refs. [6,8]. The supersymmetric chiral mirror duality can be viewed as the multicritical parent duality from which these nonsupersymmetric dualities descend.

Equation (16) provides dual descriptions for the integer quantum Hall plateau transition between phases I and II, where the level of the \hat{A}_J CS term changes by unity. Equation (17) is Peskin-Dasgupta-Halperin duality [35,36] and may be used to describe a superfluid transition where the diagonal component of the $U(1)_J \times U(1)_R$ symmetry is broken. Equation (18) is a "trivial" duality relating a free fermion of

theory A to a free fermion of theory B. Equation (19) again describes a $U(1)_J \times U(1)_R$ symmetry-breaking transition; the distinction from Eq. (17) lies in the presence of a level-1 CS term for \hat{A}_I in the adjacent massive phases.

In Refs. [7,8], it was shown how the action of the modular group on dual conformal field theories (CFTs) can generate additional dualities. Here, we discuss how this action relates the above dualities to one another. Denoting the Lagrangian of a general CFT by $\mathcal{L}(\Phi, \hat{A})$, where Φ collectively represents the fields of the CFT and \hat{A} is a background field for the global U(1) symmetry, the modular group acts as follows [37,38]:

$$\mathcal{T}: \mathcal{L}(\Phi, \hat{A}) \mapsto \mathcal{L}(\Phi, \hat{A}) + \frac{1}{4\pi} \hat{A} d\hat{A},$$

$$\mathcal{S}: \mathcal{L}(\Phi, \hat{A}) \mapsto \mathcal{L}(\Phi, a) - \frac{1}{2\pi} \hat{B} da. \tag{20}$$

The action S deserves further explanation: this transformation makes the background field dynamical $\hat{A} \to a$ and adds to the Lagrangian a new background field via the BF coupling $-(1/2\pi)\hat{B}da$. The rules in Eq. (20) induce the action $\mathcal{T}: \tilde{\sigma} \mapsto \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \tilde{\sigma}$ and $S: \tilde{\sigma} \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \tilde{\sigma}$ on the complexified conductivity $\tilde{\sigma} = \sigma_{xy} + i\sigma_{xx} \in \mathbb{H}$ [extracted from the two-point functions of the U(1) current].

Using Eq. (20), we see that Eq. (19) is the \mathcal{S} transform of Eq. (16). Examining the sides of the initial duality that a given theory occurs, we may say that \mathcal{S} exchanges theory A and B in a loose sense. Performing a \mathcal{T} transformation on Eq. (19), we obtain a fermionic description for the $U(1)_J \times U(1)_R$ symmetry-breaking transition complementary to Eq. (17). [A closely related duality to Eq. (19) was previously argued for in Ref. [39].] Using the marvelous property of transitivity, we can relate the right-hand side of Eq. (17) to the \mathcal{T} transform of the right-hand side of Eq. (19).

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