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## On rigidity of Nichols algebras

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### ABSTRACT

We study deformations of graded braided bialgebras using cohomological methods. In particular, we show that many examples of Nichols algebras, including the finite-dimensional ones arising in the Andruskiewitsch–Schneider program of classification of pointed Hopf algebras, are rigid. This result can be regarded as nonexistence of “braided Lie algebras” with nontrivial bracket.

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## 1. Introduction

Let  $\mathbb{k}$  be a field of characteristic 0 and  $V$  be a  $\mathbb{k}$ -vector space. The symmetric algebra  $S(V) = \bigoplus_{n \geq 0} S^n(V)$  is a graded bialgebra by declaring the elements of  $V$  *primitive*, i.e.  $\Delta(x) = x \otimes 1 + 1 \otimes x$  for all  $x \in V$ , and extending to a morphism of (unital) algebras  $\Delta: S(V) \rightarrow S(V) \otimes S(V)$ . Then Lie brackets on  $V$  are in one-to-one correspondence with graded deformations of  $S(V)$  as a bialgebra (or just as an augmented algebra).

We are interested in graded deformations of bialgebras generalizing  $S(V)$ , namely, the Nichols algebras of braided vector spaces, which have become prominent in the theory of Hopf algebras (see the survey [1] and references therein). Recall that a *braided vector space* is a vector space  $V$  equipped with a linear isomorphism  $c: V \otimes V \rightarrow V \otimes V$  that satisfies the *braid equation*

$$(c \otimes \text{id})(\text{id} \otimes c)(c \otimes \text{id}) = (\text{id} \otimes c)(c \otimes \text{id})(\text{id} \otimes c),$$

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where  $\text{id} = \text{id}_V$ . The *Nichols algebra* of  $(V, c)$ , denoted by  $\mathcal{B}(V, c)$  or just  $\mathcal{B}(V)$  if the braiding is clear from the context, is the unique (up to isomorphism) graded braided bialgebra  $\mathcal{B} = \bigoplus_{n \geq 0} \mathcal{B}_n$  with  $\mathcal{B}_0 = \mathbb{k}$ ,  $\mathcal{B}_1 = V$  such that the restriction of the braiding of  $\mathcal{B}$  to  $V$  is  $c$ ,  $\mathcal{B}$  is generated by  $V$  as an algebra, and  $V$  coincides with the space  $P(\mathcal{B})$  of primitive elements of  $\mathcal{B}$ .

In the case of *symmetric* braiding, i.e.,  $c^2 = \text{id}$ , the concept of braided Lie algebra is well understood [18,8,20,23,21]. This includes the usual Lie algebras (when  $c$  is the flip  $v \otimes w \mapsto w \otimes v$ ), Lie superalgebras (when  $V$  is graded by  $\mathbb{Z}_2$  and  $c$  is the signed flip  $v \otimes w \mapsto (-1)^{p(v)p(w)} w \otimes v$  where  $p$  denotes parity) and color Lie superalgebras. It follows from Kharchenko's version of PBW Theorem [20, Theorem 7.1] that such Lie structures on  $(V, c)$  are in one-to-one correspondence with graded deformations of  $\mathcal{B}(V, c)$  as a braided bialgebra with a fixed braiding (see Section 3).

It is an important and difficult question for what finite-dimensional braided vector spaces the Nichols algebra is also finite-dimensional. This condition puts severe restrictions on  $c$ . For example, in the case of signed flip, this happens if and only if the even part of  $V$  is zero, in which case the Nichols algebra is the exterior algebra  $\Lambda(V)$  and there are no nontrivial graded deformations.

We believe that such rigidity is typical for finite-dimensional Nichols algebras. We establish it for a wide class of symmetric braidings (Theorem 3.3) using the description of finite-dimensional triangular Hopf algebras by Etingof and Gelaki [11,15,12]. We also establish a sufficient condition of rigidity (Theorem 5.3) using cohomological techniques, and verify that it is satisfied for finite-dimensional Nichols algebras in the Yetter–Drinfeld category  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$  over an abelian group  $\Gamma$  (Theorem 6.3) using a description of these Nichols algebras in terms of generators and relations [4]. It follows that any finite-dimensional Nichols algebra arising from a diagonal braiding, i.e., a braiding of the form  $c(x_i \otimes x_j) = q_{ij} x_j \otimes x_i$  where  $\{x_1, \dots, x_\theta\}$  is a basis of  $V$  and  $q_{ij} \in \mathbb{k}^\times$ , does not admit nontrivial graded deformations (Theorem 6.4).

It should be mentioned that the so-called *bosonizations* of these Nichols algebras often admit nontrivial graded deformations (or “liftings”), as has been shown by Andruskiewitsch and Schneider in the course of their program of classification of pointed Hopf algebras [3].

Our sufficient condition also applies to some interesting infinite-dimensional Nichols algebras (see Section 7) and other braided bialgebras close to Nichols algebras (Theorem 7.1). This may explain the difficulty of constructing new examples in [7], where an attempt is made to define and study braided Lie algebras for non-symmetric braiding.

## 2. Preliminaries

### 2.1. Braided tensor categories

It is often more convenient to work in a category rather than with a stand-alone braided vector space. By a *tensor category* we always mean a strict monoidal  $\mathbb{k}$ -linear category, see e.g. [24] for details. We are mostly interested in categories of  $\mathbb{k}$ -vector spaces endowed with some additional structure. To simplify notation, we omit associativity isomorphisms and parentheses in tensor products. In particular, we denote the tensor powers of an object  $V$  by  $V^{\otimes n}$  for all  $n \geq 0$ , where  $V^{\otimes 0}$  is the unit object.

A *braided tensor category* is a tensor category  $\mathcal{V}$  with a *braiding*, i.e. a natural family of isomorphisms  $c_{V,W}: V \otimes W \rightarrow W \otimes V$  in  $\mathcal{V}$  satisfying the so-called hexagon axioms:

$$c_{U,V \otimes W} = (\text{id}_U \otimes c_{V,W})(c_{U,V} \otimes \text{id}_W) \quad \text{and} \quad c_{U \otimes V, W} = (c_{U,W} \otimes \text{id}_V)(\text{id}_U \otimes c_{V,W}),$$

for all  $U, V, W$  in  $\mathcal{V}$ . The braid equation follows:

$$(c_{V,W} \otimes \text{id}_U)(\text{id}_V \otimes c_{U,W})(c_{U,V} \otimes \text{id}_W) = (\text{id}_W \otimes c_{U,V})(c_{U,W} \otimes \text{id}_V)(\text{id}_U \otimes c_{V,W}).$$

The category is said to be *symmetric* if  $c_{W,V}c_{V,W} = \text{id}_{V \otimes W}$  for all  $V, W$  in  $\mathcal{V}$ .

The most well known braided tensor categories are the category of (co)modules over a (co)quasitriangular bialgebra and the category of Yetter–Drinfeld modules over a Hopf algebra with bijective antipode. We will now briefly recall the relevant definitions and fix notation; details can be found in textbooks such as [27,22]. We use the standard Sweedler notation for coalgebras and comodules.

A *coquasitriangular (CQT) bialgebra* is a pair  $(H, \beta)$  where  $H$  is a bialgebra and  $\beta$  is a bilinear form  $H \times H \rightarrow \mathbb{k}$  that is invertible with respect to convolution and satisfies

$$\begin{aligned} \beta(h_{(1)}, k_{(1)})h_{(2)}k_{(2)} &= \beta(h_{(2)}, k_{(2)})k_{(1)}h_{(1)}, \\ \beta(hk, \ell) &= \beta(h, \ell_{(1)})\beta(k, \ell_{(2)}), \\ \beta(\ell, hk) &= \beta(\ell_{(2)}, h)\beta(\ell_{(1)}, k), \end{aligned}$$

for all  $h, k, \ell \in H$ . The category of right comodules  $\mathcal{M}^H$  is braided as follows:

$$c_{V,W}(v \otimes w) = \beta(v_{(1)}, w_{(1)})w_{(0)} \otimes v_{(0)}, \quad \text{for all } v \in V, w \in W. \tag{1}$$

Similarly, the category of left comodules  ${}^H\mathcal{M}$  is braided by

$$c_{V,W}(v \otimes w) = \beta(w_{(-1)}, v_{(-1)})w_{(0)} \otimes v_{(0)}, \quad \text{for all } v \in V, w \in W.$$

If  $G$  is a group then the Hopf algebra  $H = \mathbb{k}G$  admits a CQT structure  $\beta$  if and only if  $G$  is abelian. In this case the possible maps  $\beta$  are just linear extensions of bicharacters  $G \times G \rightarrow \mathbb{k}^\times$ . Right  $H$ -comodules are just  $G$ -graded vector spaces,  $V = \bigoplus_{g \in G} V_g$ , and the braiding is given by  $v \otimes w \mapsto \beta(g, h)w \otimes v$  for all  $v \in V_g, w \in W_h, g, h \in G$ .

An object  $V$  of the Yetter–Drinfeld category  ${}^H_H\mathcal{YD}$  is simultaneously a left module and a left comodule such that the following compatibility condition holds:

$$h_{(1)}v_{(-1)} \otimes h_{(2)} \cdot v_{(0)} = (h_{(1)} \cdot v)_{(-1)}h_{(2)} \otimes (h_{(1)} \cdot v)_{(0)} \quad \text{for all } v \in V, h \in H.$$

A morphism is a linear map preserving both action and coaction. The braiding is given by

$$c_{V,W}: v \otimes w \mapsto v_{(-1)} \cdot w \otimes v_{(0)}.$$

The category of right Yetter–Drinfeld modules  $\mathcal{YD}_H^H$  is defined in a similar manner. If  $\Gamma$  is a group and  $H = \mathbb{k}\Gamma$  then an object in  ${}^H_H\mathcal{YD}$  is just a  $\Gamma$ -graded vector space with a left action of  $\Gamma$  such that  $g \cdot V_h = V_{ghg^{-1}}$ , for all  $g, h \in \Gamma$ . The braiding is given by  $v \otimes w \mapsto g \cdot w \otimes v$ , for all  $v \in V_g, w \in W$ . In particular, if  $\Gamma$  is abelian then the semisimple objects in  $\mathcal{YD}_H^H$  are vector spaces graded by the direct product  $\Gamma \times \widehat{\Gamma}$  where  $\widehat{\Gamma}$  is the character group of  $\Gamma$ . For a vector space  $V$  with such a grading, we will denote the homogeneous component of degree  $(g, \chi)$  by  $V_g^\chi$ . The braiding becomes  $v \otimes w \mapsto \psi(g)w \otimes v$ , for all  $v \in V_g^\chi$  and  $w \in W_h^\psi$ .

If a CQT bialgebra  $(H, \beta)$  is a Hopf algebra then its antipode is bijective. Moreover  $\mathcal{M}^H$  can be regarded as a full subcategory of the Yetter–Drinfeld category  $\mathcal{YD}_H^H$  if we define the right action of  $H$  on a right comodule  $V$  by means of the usual left action of  $H^*$  and the homomorphism of algebras  $H^{\text{op}} \rightarrow H^*: h \mapsto \beta(\cdot, h)$ , i.e.,  $v \cdot h = \sum \beta(v_{(1)}, h)v_{(0)}$ , for all  $v \in V, h \in H$ . Similarly,  ${}^H\mathcal{M}$  can be regarded as a full subcategory of  ${}^H_H\mathcal{YD}$ .

If  $(U, c)$  is a finite-dimensional braided vector space then the FRT construction [22,29] yields a CQT bialgebra  $(H, \beta)$  such that  $U \in \mathcal{M}^H$  and  $c = c_{U,U}$  where  $c_{U,U}$  is given by (1). Moreover, for any  $V, W \in \mathcal{M}^H$  and a linear map  $f: V \rightarrow W$  that commutes with the braiding with  $U$  in the sense that  $(f \otimes \text{id})c_{U,V} = c_{U,W}(\text{id} \otimes f)$  and  $(\text{id} \otimes f)c_{V,U} = c_{W,U}(f \otimes \text{id})$ , there exists a biideal  $I$  of  $H$  contained in the left and right kernels of the bilinear form  $\beta$  such that  $f$  is a morphism in  $\mathcal{M}^{H/I}$  [29, Corollary 1.9]. Hence, replacing  $(H, \beta)$  by  $(\bar{H}, \bar{\beta})$ , where  $\bar{H}$  is the quotient of  $H$  by the largest biideal contained in the left and right kernels

of  $\beta$  and where  $\bar{\beta}$  is induced by  $\beta$ , we obtain a braided category,  $\mathcal{M}^{\bar{H}}$ , that contains  $(U, c)$  and all linear maps that commute with the braiding with  $U$ .

There is a Hopf algebra version of the above construction — see e.g. [29] and references therein — for braided vector spaces satisfying a certain condition, called *rigidity* in [29], which allows us to define the braiding operators  $c_{U,U^*}$ ,  $c_{U^*,U}$  and  $c_{U^*,U^*}$ , where  $U^*$  is the dual space. Namely, there exists a CQT Hopf algebra  $(H, \beta)$  such that  $U \in \mathcal{M}^H$  and  $c = c_{U,U}$ . Again, any linear map that commutes with the braiding with  $U$  can be included in the category  $\mathcal{M}^{H/I}$  where  $I$  is a Hopf ideal contained in the left and right kernels of  $\beta$ , see the proof of [29, Proposition 5.4]. Since the largest biideal contained in the kernels of  $\beta$  is automatically a Hopf ideal, we obtain a CQT Hopf algebra  $\bar{H}$  such that  $\mathcal{M}^{\bar{H}}$  includes  $(U, c)$  and all linear maps that commute with the braiding with  $U$ .

We are especially interested in the case of diagonal braiding:  $c(x_i \otimes x_j) = q_{ij}x_j \otimes x_i$  where  $\{x_1, \dots, x_\theta\}$  is a basis of  $U$  and  $q_{ij} \in \mathbb{k}^\times$ . Here we can take  $H = \mathbb{k}G$ , where  $G$  is the free abelian group  $\mathbb{Z}^\theta$ , and define the bicharacter  $\beta$  by setting  $\beta(e_i, e_j) = q_{ij}$ , where  $\{e_1, \dots, e_\theta\}$  is the standard basis of  $\mathbb{Z}^\theta$ . If we make  $U$  a  $G$ -graded vector space by declaring  $x_i \in U_{e_i}$  then we get  $c = c_{U,U}$  in  $\mathcal{M}^H$ . Alternatively, we can make  $U$  an object of  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$  for each abelian group  $\Gamma$  containing elements  $g_1, \dots, g_\theta$  such that there exist characters  $\chi_1, \dots, \chi_\theta \in \hat{\Gamma}$  satisfying  $\chi_j(g_i) = q_{ij}$ ; then we declare  $x_i \in U_{g_i}^{\chi_i}$  and get  $c = c_{U,U}$  in  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$ . We can choose the group  $\Gamma$  so that it is generated by  $g_1, \dots, g_\theta$  and the characters  $\chi_1, \dots, \chi_\theta$  separate points of  $\Gamma$ . It is easy to see that in this case a linear map  $f: V \rightarrow W$  commutes with the braiding with  $U$  if and only if  $f$  is a morphism in  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$ .

### 2.2. Braided bialgebras

A *bialgebra* in a braided tensor category  $\mathcal{V}$  with unit object  $\mathbb{1}$  is an object  $\mathcal{B}$  with four morphisms: multiplication  $m: \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$ , unit  $u: \mathbb{1} \rightarrow \mathcal{B}$ , comultiplication  $\Delta: \mathcal{B} \rightarrow \mathcal{B} \otimes \mathcal{B}$  and counit  $\varepsilon: \mathcal{B} \rightarrow \mathbb{1}$  such that  $(\mathcal{B}, m, u)$  is a unital algebra,  $(\mathcal{B}, \Delta, \varepsilon)$  is a counital coalgebra, and the following compatibility conditions hold:

$$\Delta m = (m \otimes m)(\text{id}_{\mathcal{B}} \otimes c_{\mathcal{B},\mathcal{B}} \otimes \text{id}_{\mathcal{B}})(\Delta \otimes \Delta), \quad \varepsilon u = \text{id}_{\mathbb{1}}, \quad \varepsilon m = \varepsilon \otimes \varepsilon, \quad \Delta u = u \otimes u.$$

Note that the braiding appears only in the compatibility condition involving  $m$  and  $\Delta$ .

One can define a *braided bialgebra* without reference to any categories [29]: it is a braided vector space  $(\mathcal{B}, c)$  with four linear maps,  $m: \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$ ,  $u: \mathbb{k} \rightarrow \mathcal{B}$ ,  $\Delta: \mathcal{B} \rightarrow \mathcal{B} \otimes \mathcal{B}$  and  $\varepsilon: \mathcal{B} \rightarrow \mathbb{k}$ , that commute with the braiding induced by  $c$  among the tensor powers of  $\mathcal{B}$  and satisfy the following conditions:  $(\mathcal{B}, m, u)$  is a unital algebra,  $(\mathcal{B}, \Delta, \varepsilon)$  is a counital coalgebra,  $u$  is a counital coalgebra map,  $\varepsilon$  is a unital algebra map, and finally  $\Delta m = (m \otimes m)(\text{id}_{\mathcal{B}} \otimes c \otimes \text{id}_{\mathcal{B}})(\Delta \otimes \Delta)$ .

Obviously, a bialgebra  $\mathcal{B}$  in a braided tensor category consisting of vector spaces and linear maps (such as  $\mathcal{M}^H$  or  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$ ) satisfies the definition of braided bialgebra with  $c = c_{\mathcal{B},\mathcal{B}}$ . Conversely, it is shown in [29] that any finite-dimensional braided bialgebra  $(\mathcal{B}, m, u, \Delta, \varepsilon, c)$  can be included in the category  $\mathcal{M}^H$  over a suitable CQT bialgebra (Hopf algebra if  $c$  is rigid)  $H$  such that  $m, u, \Delta, \varepsilon$  are morphisms in  $\mathcal{M}^H$  and  $c = c_{\mathcal{B},\mathcal{B}}$  in  $\mathcal{M}^H$ .

We are mainly interested in the case of the Nichols algebra  $\mathcal{B}(V)$  of a finite-dimensional vector space  $V$  with a rigid braiding  $c$ , which is a braided Hopf algebra, not necessarily finite-dimensional but equipped with a grading over non-negative integers whose components are finite-dimensional. It can be constructed as the quotient of the tensor algebra  $T(V)$  by a graded biideal  $\mathcal{I}(V)$  [1, Proposition 2.2], which is determined by the braiding  $c$ ; indeed the homogeneous components of  $\mathcal{I}(V)$  are the kernels of the so-called *quantum symmetrizers* on the tensor powers of  $V$  [1, Proposition 2.11]. This construction can be carried out either with the stand-alone braided vector space  $(V, c)$  or in a suitable braided category of comodules or Yetter–Drinfeld modules.

### 2.3. Graded deformations and liftings

We review the theory of formal graded deformations and liftings from [25], but in a slightly more general setting. The theory of formal bialgebra deformations was introduced by Gerstenhaber and Schack [16], while the graded version and its connection to liftings was considered by Du, Chen and Ye [10]. In this context, a *graded bialgebra* will mean a bialgebra  $\mathcal{B}$  in a braided tensor category  $\mathcal{V}$  (consisting of vector spaces and linear maps) equipped with a grading, as an object in  $\mathcal{V}$ , over non-negative integers,  $\mathcal{B} = \bigoplus_{n \geq 0} \mathcal{B}_n$ , which is at the same time an algebra and a coalgebra grading, i.e.,  $\mathcal{B}_i \mathcal{B}_j \subseteq \mathcal{B}_{i+j}$  and  $\Delta(\mathcal{B}_k) \subseteq \bigoplus_{i+j=k} \mathcal{B}_i \otimes \mathcal{B}_j$ , for all  $i, j, k \geq 0$ .

Let  $t$  be an indeterminate and consider the polynomial algebra  $\mathbb{k}[t]$  equipped with its standard grading, i.e.,  $t$  has degree 1. By extending scalars from  $\mathbb{k}$  to  $\mathbb{k}[t]$ , the braided tensor category  $\mathcal{V}$  gives rise to the braided tensor category  $\mathcal{V}_{\mathbb{k}[t]}$ . A (formal) *graded deformation* of a graded bialgebra  $(\mathcal{B}, m, \Delta)$  in  $\mathcal{V}$  is a  $\mathbb{k}[t]$ -linear graded structure  $(m_t, \Delta_t)$  on  $\mathcal{B}[t] = \mathcal{B} \otimes \mathbb{k}[t]$  such that  $(\mathcal{B}[t], m_t, \Delta_t)$  is a graded bialgebra in  $\mathcal{V}_{\mathbb{k}[t]}$ .

We say that two graded deformations,  $(\mathcal{B}[t], m_t, \Delta_t)$  and  $(\mathcal{B}[t], m'_t, \Delta'_t)$ , are *equivalent* if there exists a  $\mathbb{k}[t]$ -linear graded bialgebra isomorphism  $f: (\mathcal{B}[t], m_t, \Delta_t) \rightarrow (\mathcal{B}[t], m'_t, \Delta'_t)$ .

A *lifting*  $(\mathcal{U}, \pi)$  of  $\mathcal{B}$  consists of a filtered bialgebra  $\mathcal{U}$  and a filtered vector space isomorphism  $\pi: \mathcal{U} \rightarrow \mathcal{B}$  such that  $\text{gr } \pi: \text{gr } \mathcal{U} \rightarrow \text{gr } \mathcal{B} = \mathcal{B}$  is an isomorphism of graded bialgebras. An *equivalence* between liftings  $(\mathcal{U}, \pi)$  and  $(\mathcal{U}', \pi')$  is a filtered bialgebra isomorphism  $f: \mathcal{U} \rightarrow \mathcal{U}'$  such that  $\text{gr } \pi \circ \text{gr } f = \text{gr } \pi'$ .

A graded deformation is given by a sequence of pairs of maps  $(m_i, \Delta_i)$ ,  $i \geq 0$ , of degree  $-i$  such that  $m_t|_{\mathcal{B} \otimes \mathcal{B}} = m + \sum_{i \geq 1} m_i t^i$  and  $\Delta_t|_{\mathcal{B}} = \Delta + \sum_{i \geq 1} \Delta_i t^i$ . We also denote  $(m_0, \Delta_0) = (m, \Delta)$ . A graded deformation  $(\mathcal{B}[t], m_t, \Delta_t)$  defines a lifting  $(\mathcal{U}, \pi)$ , where  $\mathcal{U}$  is  $\mathcal{B}$  as a filtered vector space,  $\pi$  is identity, and  $(m_{\mathcal{U}}, \Delta_{\mathcal{U}}) = (m_t, \Delta_t)|_{t=1}$ .

If  $(\mathcal{U}, \pi)$  is a lifting, then the linear maps  $\tilde{m}: \mathcal{B} \otimes \mathcal{B} \xrightarrow{\pi^{-1} \otimes \pi^{-1}} \mathcal{U} \otimes \mathcal{U} \xrightarrow{m_{\mathcal{U}}} \mathcal{U} \xrightarrow{\pi} \mathcal{B}$  and  $\tilde{\Delta}: \mathcal{B} \xrightarrow{\pi^{-1}} \mathcal{U} \xrightarrow{\Delta_{\mathcal{U}}} \mathcal{U} \otimes \mathcal{U} \xrightarrow{\pi \otimes \pi} \mathcal{B} \otimes \mathcal{B}$  decompose into direct sums of homogeneous components  $m_i, \Delta_i$  of degrees  $-i$  for  $i \geq 0$ , and the structure maps  $(m_t, \Delta_t) = (\sum_i m_i t^i, \sum_i \Delta_i t^i)$  on  $\mathcal{B}[t]$  define a formal graded deformation of  $\mathcal{B}$ .

Up to equivalence, these correspondences are inverses of each other.

### 2.4. Graded bialgebra cohomology

Let  $\mathcal{B}$  be a bialgebra in  $\mathcal{V}$ . Consider the bisimplicial complex  $\mathbf{B} = (\mathbf{B}^{p,q})_{p,q \geq 0}$ ,

$$\mathbf{B}^{p,q} = \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes q}).$$

The left and right diagonal actions and coactions of  $\mathcal{B}$  on  $\mathcal{B}^{\otimes n}$  will be denoted by  $\lambda_l, \lambda_r, \rho_l, \rho_r$ , respectively. Note that they involve the braiding. The horizontal faces

$$\partial_i^h: \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes q}) \rightarrow \text{Hom}(\mathcal{B}^{\otimes(p+1)}, \mathcal{B}^{\otimes q})$$

and degeneracies

$$\sigma_i^h: \text{Hom}(\mathcal{B}^{\otimes(p+1)}, \mathcal{B}^{\otimes q}) \rightarrow \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes q})$$

are those for computing Hochschild cohomology:

$$\begin{aligned} \partial_0^h f &= \lambda_l(\text{id} \otimes f), \\ \partial_i^h f &= f(\text{id} \otimes \dots \otimes m \otimes \dots \otimes \text{id}), \quad 1 \leq i \leq p, \\ \partial_{p+1}^h f &= \lambda_r(f \otimes \text{id}), \\ \sigma_i^h f &= f(\text{id} \otimes \dots \otimes u \otimes \dots \otimes \text{id}); \end{aligned}$$

the vertical faces

$$\partial_j^c: \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes q}) \rightarrow \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes(q+1)})$$

and degeneracies

$$\sigma_j^c: \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes(q+1)}) \rightarrow \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^q)$$

are those for computing coalgebra (Cartier) cohomology:

$$\begin{aligned} \partial_0^c f &= (\text{id} \otimes f) \rho_l, \\ \partial_j^c f &= (\text{id} \otimes \dots \otimes \Delta \otimes \dots \otimes \text{id}) f, \quad 1 \leq j \leq q, \\ \partial_{q+1}^c f &= (f \otimes \text{id}) \rho_r, \\ \sigma_i^c f &= (\text{id} \otimes \dots \otimes \varepsilon \otimes \dots \otimes \text{id}) f. \end{aligned}$$

The vertical and horizontal differentials are given by the usual alternating sums

$$\partial^h = \sum (-1)^i \partial_i^h, \quad \partial^c = \sum (-1)^j \partial_j^c.$$

By abuse of notation we identify a cosimplicial bicomplex with its associated cochain bicomplex. The *bialgebra cohomology* of  $\mathcal{B}$  is then defined as

$$H_b^*(\mathcal{B}) = H^*(\text{Tot } \mathbf{B}),$$

where

$$\text{Tot } \mathbf{B} = \mathbf{B}^{0,0} \rightarrow \mathbf{B}^{1,0} \oplus \mathbf{B}^{0,1} \rightarrow \dots \rightarrow \bigoplus_{p+q=n} \mathbf{B}^{p,q} \xrightarrow{\partial^b} \dots$$

and  $\partial^b$  is given by the sign trick (i.e.,  $\partial^b|_{\mathbf{B}^{p,q}} = \partial^h \oplus (-1)^p \partial^c: \mathbf{B}^{p,q} \rightarrow \mathbf{B}^{p+1,q} \oplus \mathbf{B}^{p,q+1}$ ).

Let  $\mathbf{B}_0$  denote the bicomplex obtained from  $\mathbf{B}$  by replacing the edges by zeroes, i.e.,  $\mathbf{B}_0^{p,0} = 0 = \mathbf{B}_0^{0,q}$  for all  $p, q$ . The *truncated bialgebra cohomology* is

$$\widehat{H}_b^*(\mathcal{B}) = H^{*+1}(\text{Tot } \mathbf{B}_0).$$

For computations, it is convenient to use the normalized bicomplex  $\mathbf{B}^+$ , which is obtained from the cochain bicomplex  $\mathbf{B}$  by replacing  $\mathbf{B}^{p,q} = \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes q})$  with the intersection of degeneracies

$$(\mathbf{B}^+)^{p,q} = (\cap \text{Ker } \sigma_i^h) \cap (\cap \text{Ker } \sigma_j^c) \simeq \text{Hom}((\mathcal{B}^+)^{\otimes p}, (\mathcal{B}^+)^{\otimes q}),$$

where  $\mathcal{B}^+ = \ker(\varepsilon)$ . This change does not affect the cohomology.

We can describe the first two cohomology groups as follows:

$$\widehat{H}_b^1(\mathcal{B}) = \{f: \mathcal{B}^+ \rightarrow \mathcal{B}^+ \mid f(ab) = af(b) + f(a)b, \Delta f(a) = a_{(1)} \otimes f(a_{(2)}) + f(a_{(1)}) \otimes a_{(2)}\}$$

and

$$\widehat{H}_b^2(\mathcal{B}) = \widehat{Z}_b^2(\mathcal{B}) / \widehat{B}_b^2(\mathcal{B}),$$

where

$$\widehat{Z}_b^2(\mathcal{B}) = \{(f, g) \mid f: \mathcal{B}^+ \otimes \mathcal{B}^+ \rightarrow \mathcal{B}^+, g: \mathcal{B}^+ \rightarrow \mathcal{B}^+ \otimes \mathcal{B}^+,$$

$$af(b, c) + f(a, bc) = f(ab, c) + f(a, b)c, \tag{2}$$

$$c_{(1)} \otimes g(c_{(2)}) + (\text{id} \otimes \Delta)g(c) = (\Delta \otimes \text{id})g(c) + g(c_{(1)}) \otimes c_{(2)}, \tag{3}$$

$$(f \otimes m)\Delta(a \otimes b) - \Delta f(a, b) + (m \otimes f)\Delta(a \otimes b) =$$

$$- (\Delta a)g(b) + g(ab) - g(a)(\Delta b)\} \tag{4}$$

and

$$\widehat{B}_b^2(\mathcal{B}) = \{(f, g) \mid \exists h: \mathcal{B}^+ \rightarrow \mathcal{B}^+, f(a, b) = ah(b) - h(ab) + h(a)b,$$

$$g(c) = -c_{(1)} \otimes h(c_{(2)}) + \Delta h(c) - h(c_{(1)}) \otimes c_{(2)}\},$$

where the elements  $a, b, c$  range over  $\mathcal{B}^+$ . All maps above are assumed to be morphisms in  $\mathcal{V}$ . By  $\Delta(a \otimes b)$  we mean the braided coproduct in  $\mathcal{B} \otimes \mathcal{B}$ , namely,  $(\text{id} \otimes c_{\mathcal{B}, \mathcal{B}} \otimes \text{id})(a_{(1)} \otimes a_{(2)} \otimes b_{(1)} \otimes b_{(2)})$ , and we write  $f(-, -)$  instead of  $f(- \otimes -)$ . In the resulting deformation (see the next subsection), Equation (2) will correspond to associativity, Equation (3) to coassociativity and Equation (4) to compatibility.

Now assume that  $\mathcal{B}$  is  $\mathbb{Z}$ -graded and let  $\mathbf{B}_\ell$  denote the subcomplex of  $\mathbf{B}$  consisting of homogeneous maps of degree  $\ell$ , i.e.,

$$\mathbf{B}_\ell^{p,q} = \text{Hom}(\mathcal{B}^{\otimes p}, \mathcal{B}^{\otimes q})_\ell = \{f: \mathcal{B}^{\otimes p} \rightarrow \mathcal{B}^{\otimes q} \mid f \text{ is homogeneous of degree } \ell\}.$$

Complexes  $(\mathbf{B}_0)_\ell$ ,  $\mathbf{B}_\ell^+$  and  $(\mathbf{B}_0^+)_\ell$  are defined analogously. The graded bialgebra and truncated graded bialgebra cohomologies are then defined by:

$$H_b^*(\mathcal{B})_\ell = H^*(\text{Tot } \mathbf{B}_\ell) = H^*(\text{Tot } \mathbf{B}_\ell^+),$$

$$\widehat{H}_b^*(\mathcal{B})_\ell = H^{*+1}(\text{Tot}(\mathbf{B}_0)_\ell) = H^{*+1}(\text{Tot}(\mathbf{B}_0^+)_\ell).$$

Note that if the support of the grading is finite, in particular if  $\mathcal{B}$  is finite-dimensional, then

$$H_b^*(\mathcal{B}) = \bigoplus_{\ell \in \mathbb{Z}} H_b^*(\mathcal{B})_\ell \quad \text{and} \quad \widehat{H}_b^*(\mathcal{B}) = \bigoplus_{\ell \in \mathbb{Z}} \widehat{H}_b^*(\mathcal{B})_\ell.$$

### 2.5. Cohomological aspects of graded deformations

Given a graded deformation of  $\mathcal{B}$ , let  $r$  be the smallest positive integer for which  $(m_r, \Delta_r) \neq (0, 0)$  (if such an  $r$  exists). Then  $(m_r, \Delta_r)$  is a 2-cocycle in  $\widehat{Z}_b^2(\mathcal{B})_{-r}$ . Every nontrivial deformation is equivalent to one for which the corresponding  $(m_r, \Delta_r)$  represents a nontrivial cohomology class [16,10]. Hence, if  $\widehat{H}_b^2(\mathcal{B})_{(\ell)} = 0$  for all  $\ell < 0$ , then  $\mathcal{B}$  is rigid, i.e., has no nontrivial graded deformations.

Conversely, given a positive integer  $r$  and a 2-cocycle  $(m', \Delta')$  in  $\widehat{Z}_b^2(\mathcal{B})_{-r}$ , the maps  $m + t^r m'$  and  $\Delta + t^r \Delta'$  define a bialgebra structure on  $\mathcal{B}[t]/(t^{r+1})$  over  $\mathbb{k}[t]/(t^{r+1})$ . There may or may not exist  $(m_{r+k}, \Delta_{r+k})$ ,  $k \geq 1$ , for which  $m_t = m + t^r m' + \sum_{k \geq 1} t^{r+k} m_{r+k}$  and  $\Delta_t = \Delta + t^r \Delta' + \sum_{k \geq 1} t^{r+k} \Delta_{r+k}$  make  $\mathcal{B}[t]$  into a bialgebra over  $\mathbb{k}[t]$ .

An  $r$ -deformation of  $\mathcal{B}$  is a graded deformation of  $\mathcal{B}$  over  $\mathbb{k}[t]/(t^{r+1})$ , i.e. a pair  $(m_t^r, \Delta_t^r)$  defining a bialgebra structure on  $\mathcal{B}[t]/(t^{r+1})$  over  $\mathbb{k}[t]/(t^{r+1})$  such that  $(m_t^r, \Delta_t^r)|_{t=0} = (m, \Delta)$ . For any 2-cocycle  $(m', \Delta')$  in  $\widehat{Z}_b^2(\mathcal{B})_{-r}$ , there exists an  $r$ -deformation, given by  $(m + t^r m', \Delta + t^r \Delta')$ .



If a given  $(r - 1)$ -deformation can be extended to an  $r$ -deformation, then all ways of doing so are parametrized by  $\widehat{H}_b^2(\mathcal{B})_{-r}$ . More precisely, suppose that  $(\mathcal{B}[t]/(t^r), m_t^{r-1}, \Delta_t^{r-1})$  is an  $(r - 1)$ -deformation, where

$$m_t^{r-1} = m + tm_1 + \dots + t^{r-1}m_{r-1}, \quad \Delta_t^{r-1} = \Delta + t\Delta_1 + \dots + t^{r-1}\Delta_{r-1}.$$

If

$$D = (\mathcal{B}[t]/(t^{r+1}), m_t^{r-1} + t^r m_r, \Delta_t^{r-1} + t^r \Delta_r)$$

is an  $r$ -deformation, then

$$D' = (\mathcal{B}[t]/(t^{r+1}), m_t^{r-1} + t^r m'_r, \Delta_t^{r-1} + t^r \Delta'_r)$$

is an  $r$ -deformation if and only if  $(m'_r - m_r, \Delta'_r - \Delta_r) \in \widehat{Z}_b^2(\mathcal{B})_{-r}$ . Note also that if  $(m'_r - m_r, \Delta'_r - \Delta_r) \in \widehat{B}_b^2(\mathcal{B})_{-r}$ , then deformations  $D$  and  $D'$  are equivalent.

The obstruction to extend  $r$ -deformations to  $(r + 1)$ -deformations lies in  $\widehat{H}_b^3(\mathcal{B})_{-r}$ .

### 3. The case of symmetric braiding

Let  $(V, c)$  be a braided vector space with  $c^2 = \text{id}$ . Then  $\mathcal{B}(V)$  is a quadratic algebra: it is the quotient of  $T(V)$  by the ideal generated by the elements  $x \otimes y - c(x \otimes y)$ , for  $x, y \in V$ . If  $c$  is the flip (respectively, signed flip) then  $\mathcal{B}(V) = S(V)$  (respectively,  $S(V_0) \otimes \Lambda(V_1)$ ) and the graded deformations of  $\mathcal{B}(V)$  are in one-to-one correspondence with brackets  $[\cdot, \cdot]: V \otimes V \rightarrow V$  making  $V$  a Lie algebra (respectively, superalgebra). For arbitrary  $c$ , we need the following generalization of Lie algebra introduced by Gurevich [18] under the name “Lie  $c$ -algebra”.

**Definition 3.1.** Let  $L$  be a vector space,  $c: L \otimes L \rightarrow L \otimes L$  a symmetric braiding, and  $[\cdot, \cdot]: L \otimes L \rightarrow L$  a linear map. Then  $(L, [\cdot, \cdot], c)$  is a *braided Lie algebra* if

$$\begin{aligned} c([\cdot, \cdot] \otimes \text{id}_L) &= (\text{id}_L \otimes [\cdot, \cdot])(c \otimes \text{id}_L)(\text{id}_L \otimes c) && \text{(compatibility),} \\ [\cdot, \cdot](\text{id}_{L \otimes L} + c) &= 0 && \text{(anticommutativity)} \\ [\cdot, \cdot]([\cdot, \cdot] \otimes \text{id}_L) &\left( \text{id}_{L \otimes L \otimes L} + (c \otimes \text{id}_L)(\text{id}_L \otimes c) + (c \otimes \text{id}_L)(\text{id}_L \otimes c) \right) = 0 && \text{(Jacobi identity).} \end{aligned}$$

Note that the compatibility condition (together with  $c^2 = \text{id}$ ) simply means that the bracket commutes with  $c$ , and the above Jacobi identity implies a similar identity for  $[\cdot, \cdot](\text{id}_L \otimes [\cdot, \cdot])$  instead of  $[\cdot, \cdot]([\cdot, \cdot] \otimes \text{id}_L)$ . It is straightforward to check that if a vector space  $A$  is equipped with a symmetric braiding  $c$  and an associative product  $m: A \otimes A \rightarrow A$  that commutes with  $c$  then  $(A, [\cdot, \cdot]_c, c)$  is a braided Lie algebra, where  $[\cdot, \cdot]_c$  is the *braided commutator*  $m(\text{id}_{A \otimes A} - c)$ .

Braided Lie algebras naturally arise as Lie algebras in a symmetric tensor category  $\mathcal{V}$ . A Lie algebra in  $\mathcal{V}$  is an object  $L$  endowed with a morphism  $[\cdot, \cdot]: L \otimes L \rightarrow L$  such that the anticommutativity and Jacobi identity hold for  $c = c_{L,L}$ . If  $(H, \beta)$  is a *cotriangular bialgebra* (i.e., a CQT bialgebra satisfying  $\beta^{-1}(h, k) = \beta(k, h)$  for all  $h, k \in H$ ) then the category  $\mathcal{M}^H$  is symmetric; Lie algebras in this category were introduced and studied in [8,9] under the name  $(H, \beta)$ -Lie algebras. By an argument similar to [29] (see Subsection 2.2 above), any finite-dimensional braided Lie algebra can be regarded as an  $(H, \beta)$ -Lie algebra for a suitable cotriangular bialgebra (Hopf algebra if the braiding is rigid).

Given a braided Lie algebra  $(L, [\cdot, \cdot], c)$ , the *universal enveloping algebra*, which we will denote  $\mathcal{U}_c(L)$ , is the quotient of the tensor algebra  $T(L)$  by the ideal generated by the degree 2 elements  $x \otimes y - c(x \otimes y) - [x, y]$



where  $x, y \in L$ . The usual increasing filtration of  $T(L)$  gives rise to the *standard filtration* of  $\mathcal{U}_c(L)$ . As one would expect,  $\mathcal{U}_c(L)$  becomes a braided bialgebra if we declare the elements of  $L$  primitive. It is not true in general that, given an ordered basis of  $L$ , the corresponding PBW monomials form a basis of  $\mathcal{U}_c(L)$ . However, the following version of PBW Theorem holds.

**Theorem 3.2.** (See [20, Theorem 7.1].) *The graded algebra  $\text{gr}\mathcal{U}_c(L)$  associated to the standard filtration of  $\mathcal{U}_c(L)$  is naturally isomorphic to  $\mathcal{U}_c(L^\circ)$  where  $L^\circ$  denotes the braided Lie algebra with the same underlying braided vector space as  $L$  but with zero bracket.  $\square$*

The standard filtration of  $\mathcal{U}_c(L)$  coincides with its coradical filtration. Also  $\mathcal{U}_c(L^\circ) = \mathcal{B}(L, c)$ .

It follows that graded deformations of  $\mathcal{B}(V, c)$  as a braided augmented algebra or as a braided bialgebra (with a fixed braiding) are in one-to-one correspondence with brackets on  $V$  making it a braided Lie algebra. Here the “graded deformations” and “braided Lie algebras” can be understood in the sense of a stand-alone object or an object in  $\mathcal{M}^H$  for a suitable cotriangular bialgebra  $(H, \beta)$ .

For  $H = \mathbb{k}G$ , where  $G$  is an abelian group, the cotriangular structures on  $H$  are linear extensions of skew-symmetric bicharacters  $\beta: G \times G \rightarrow \mathbb{k}^\times$ . In this case the  $(H, \beta)$ -Lie algebras are known as the *color Lie superalgebras with grading group  $G$  and commutation factor  $\beta$* . Note that the braiding is diagonal and, conversely, any braided Lie algebra with a diagonal braiding can be regarded as a color Lie superalgebra for some  $G$  and  $\beta$ .

By a trick going back to Scheunert [28], color Lie superalgebras can be twisted to become ordinary Lie superalgebras. This procedure works in the same way for all color Lie superalgebras with given  $G$  and  $\beta$ , and is associated to a suitable cocycle twist of  $(\mathbb{k}G, \beta)$  as a CQT bialgebra. Recall that a *right 2-cocycle* on a bialgebra  $H$  is a convolution-invertible map  $\sigma: H \otimes H \rightarrow \mathbb{k}$  satisfying the following equations for all  $h, k, \ell \in H$ :

$$\sigma(h, k_{(1)}\ell_{(1)})\sigma(k_{(2)}, \ell_{(2)}) = \sigma(h_{(1)}k_{(1)}, \ell)\sigma(h_{(2)}, k_{(2)}), \quad \sigma(h, 1) = \sigma(1, h) = \varepsilon(h).$$

Also recall that if  $(H, \beta)$  is a cotriangular (more generally, CQT) bialgebra then  $(H_\sigma, \beta_\sigma)$  is again a cotriangular (respectively, CQT) bialgebra, see e.g. [22]; here  $H_\sigma = H$  as a coalgebra, the multiplication of  $H_\sigma$  is given by

$$h \cdot_\sigma k = \sigma^{-1}(h_{(1)}, k_{(1)})h_{(2)}k_{(2)}\sigma(h_{(3)}, k_{(3)}),$$

and

$$\beta_\sigma(h, k) = \sigma^{-1}(k_{(1)}, h_{(1)})\beta(h_{(2)}k_{(2)})\sigma(h_{(3)}, k_{(3)}).$$

Moreover,  $\sigma$  yields an equivalence of braided tensor categories  $\mathcal{M}^H$  and  $\mathcal{M}^{H_\sigma}$ , which is the identity on objects and morphisms and only transforms the tensor product. If  $A$  is an algebra (not necessarily associative) in  $\mathcal{M}^H$  with multiplication  $m: A \otimes A \rightarrow A$ , then the corresponding algebra in  $\mathcal{M}^{H_\sigma}$  is  $A$  as an  $H$ -comodule but with new multiplication:

$$m_\sigma(a \otimes b) = \sigma(a_{(1)}, b_{(1)})m(a_{(0)} \otimes b_{(0)}).$$

We denote this new algebra by  $A_\sigma$  and call it the  $\sigma$ -twist of  $A$ . It is shown in [23] that multilinear polynomial identities of  $A$  are preserved under  $\sigma$ -twist if we interpret them in each of the categories  $\mathcal{M}^H$  and  $\mathcal{M}^{H_\sigma}$  in terms of the appropriate action of symmetric groups on tensor powers of  $A$ . In particular, associative algebras remain associative and  $(H, \beta)$ -Lie algebras become  $(H_\sigma, \beta_\sigma)$ -Lie algebras.

If  $H$  is cocommutative then  $H_\sigma = H$  but  $\beta$  is twisted. If  $H = \mathbb{k}G$ , with  $G$  an abelian group, then there exists a 2-cocycle  $\sigma: G \times G \rightarrow \mathbb{k}^\times$  such that  $\beta_\sigma$  is a “sign bicharacter”:

$$\beta_\sigma(g, h) = \begin{cases} -1 & \text{if } g, h \in G_-, \\ 1 & \text{otherwise;} \end{cases}$$

where  $G_- = G \setminus G_+$  and  $G_+$  is a subgroup of index  $\leq 2$ . It follows that  $\sigma$  twists any color Lie superalgebra  $L$  with commutation factor  $\beta$  into a Lie superalgebra with even part  $L_+$  and odd part  $L_-$ , where  $L_\pm = \bigoplus_{g \in G_\pm} Lg$ .

Etingof and Gelaki [11] showed that, under a certain condition on the antipode called *pseudo-involutivity*, a cotriangular Hopf algebra  $(H, \beta)$  can be twisted by a suitable cocycle to become the algebra of regular functions on a pro-algebraic group  $G$  such that  $\beta_\sigma = \frac{1}{2}(\varepsilon \otimes \varepsilon + \varepsilon \otimes a + a \otimes \varepsilon - a \otimes a)$  for some central element  $a \in G$  with  $a^2 = 1$ . It immediately follows [23, Theorem 4.3] that the same cocycle twists  $(H, \beta)$ -Lie algebras to Lie superalgebras equipped with a  $G$ -action. Here the even and odd components are just the eigenspaces with respect to the action of  $a$ , with eigenvalues 1 and  $-1$  respectively.

If  $H$  is finite-dimensional then pseudo-involutivity of the antipode is equivalent to involutivity and hence to semisimplicity of  $H$ . Later, Etingof and Gelaki [12,15] described all finite-dimensional cotriangular Hopf algebras by showing that  $(H, \beta)$  can be twisted in such a way that its dual triangular Hopf algebra becomes a “modified supergroup algebra”. As a corollary, any  $(H, \beta)$ -Lie algebra is twisted to a Lie superalgebra equipped with a supergroup action [23, Theorem 4.6].

One can use the twisting procedure to transfer known properties of Lie superalgebras to  $(H, \beta)$ -Lie algebras in the above cases. Let  $\mathcal{U}_\beta(L)$  be the universal enveloping algebra of an  $(H, \beta)$ -Lie algebra  $L$ , i.e.,  $\mathcal{U}_c(L)$  for  $c = c_{L,L}$  determined by  $\beta$ . It is straightforward to verify that  $\mathcal{U}_{\beta_\sigma}(L_\sigma)$  is naturally isomorphic to  $(\mathcal{U}_\beta(L))_\sigma$ . In particular, for  $V$  in  $\mathcal{M}^H$  and  $c = c_{V,V}$  induced by  $\beta$ , the  $\sigma$ -twist of the Nichols algebra  $\mathcal{B}(V, c)$  is naturally isomorphic to  $\mathcal{B}(V, c')$  where  $c'$  is the braiding on  $V$  induced by  $\beta_\sigma$ . This gives an alternative proof of PBW Theorem for  $(H, \beta)$ -Lie algebras [23].

**Theorem 3.3.** *Let  $(H, \beta)$  be a cotriangular Hopf algebra that is either pseudo-involutive or finite-dimensional. Let  $V$  be a finite-dimensional  $H$ -comodule with the corresponding braiding  $c$ . If the Nichols algebra  $\mathcal{B}(V, c)$  is finite-dimensional then it does not admit nontrivial graded deformations as an augmented algebra or bialgebra in  $\mathcal{M}^H$ .*

**Proof.** By our assumption on  $(H, \beta)$ , there exists a cocycle  $\sigma$  such that  $(H_\sigma, \beta_\sigma)$  is as described by Etingof and Gelaki. Then the braiding  $c'$  induced by  $\beta_\sigma$  on  $V$  is just the signed flip associated to a  $\mathbb{Z}_2$ -grading  $V = V_0 \oplus V_1$ , so  $\mathcal{B}(V, c') = S(V_0) \otimes \Lambda(V_1)$ , which is finite-dimensional only if  $V_0 = 0$ . But in this case  $V$  does not admit nontrivial Lie superalgebra structures. It follows that  $V$  does not admit nontrivial  $(H, \beta)$ -Lie algebra structures and hence  $\mathcal{B}(V, c)$  is rigid in  $\mathcal{M}^H$ .  $\square$

**Corollary 3.4.** *Let  $(V, c)$  be a finite-dimensional braided vector space such that  $c$  can be obtained from a coaction by a finite-dimensional cotriangular Hopf algebra. If  $\mathcal{B}(V, c)$  is finite-dimensional then it does not admit nontrivial graded deformations as a braided augmented algebra or bialgebra.*

**Proof.** By assumption,  $V$  can be regarded as an object in  $\mathcal{M}^H$  for some finite-dimensional cotriangular Hopf algebra  $(H, \beta)$  such that  $c = c_{V,V}$ . Any graded deformation of  $\mathcal{B}(V, c)$  can be realized in  $\mathcal{M}^{\bar{H}}$  for some quotient  $(\bar{H}, \bar{\beta})$  of the cotriangular Hopf algebra  $(H, \beta)$ , so it must be trivial by the above theorem.  $\square$

4. The vanishing of second algebra cohomology for a class of augmented algebras in a braided category

Let  $\mathcal{V}$  be a braided tensor category consisting of vector spaces and linear maps. Let  $(\mathcal{B}, \varepsilon)$  be an augmented algebra in  $\mathcal{V}$  acting trivially (i.e., via  $\varepsilon$ ) on some  $U$  in  $\mathcal{V}$ .

- ◊ A map  $f: \mathcal{B} \otimes \mathcal{B} \rightarrow U$  in  $\mathcal{V}$  is an  $\varepsilon$ -cocycle if  $f(1, a) = 0 = f(a, 1)$  and  $f(xy, z) = f(x, yz)$  for all  $a \in \mathcal{B}$  and all  $x, y, z \in \mathcal{B}^+$ . The space of all  $\varepsilon$ -cocycles is denoted by  $Z_\varepsilon^2(\mathcal{B}, U)$ .
- ◊ An  $\varepsilon$ -cocycle is an  $\varepsilon$ -coboundary if there exists a map  $t: \mathcal{B} \rightarrow U$  such that  $t(1) = 0$  and  $f(x, y) = t(xy)$  for all  $x, y \in \mathcal{B}^+$ . The space of all  $\varepsilon$ -coboundaries is denoted by  $B_\varepsilon^2(\mathcal{B}, U)$ .
- ◊ The quotient of  $\varepsilon$ -cocycles by  $\varepsilon$ -coboundaries is denoted by  $H_\varepsilon^2(\mathcal{B}, U) = Z_\varepsilon^2(\mathcal{B}, U)/B_\varepsilon^2(\mathcal{B}, U)$ .

In what follows  $(\mathcal{B}^+)^2$  denotes the range of the multiplication  $\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{m} \mathcal{B}^+$ , i.e.,  $(\mathcal{B}^+)^2 = \text{span}\{xy \mid x, y \in \mathcal{B}^+\}$ .

**Lemma 4.1.** (Cf. [25, Subsection 4.1].) *Let  $\mathcal{B}$  be an augmented algebra in  $\mathcal{V}$  and let  $M = \ker(\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{m} \mathcal{B}^+)$ . If the map  $\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{m} (\mathcal{B}^+)^2$  splits in  $\mathcal{V}$ , then for every space  $U \in \mathcal{V}$ , we have  $H_\varepsilon^2(\mathcal{B}, U) = \text{Hom}(M, U)$ .*

**Proof.** Let  $\varphi: (\mathcal{B}^+)^2 \rightarrow \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+$  be a splitting of  $m$  and let  $p: \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \rightarrow \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+$  be the canonical projection. We define a map  $\Phi: \text{Hom}(M, U) \rightarrow H_\varepsilon^2(\mathcal{B}, U)$  as follows: if  $f: M \rightarrow U$ , then the cocycle  $\Phi(f): \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \rightarrow U$  is  $\Phi(f) = f(p - \varphi m)$ . The inverse  $\Psi$  of  $\Phi$  is defined as follows: if  $g: \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \rightarrow U$  is a cocycle, then  $\Psi(g): M \rightarrow U$  is the unique map such that  $\Psi(g)p = g$ . Now observe that maps  $\Phi$  and  $\Psi$  are well defined:  $\Phi(f)$  is always a cocycle and  $\Psi(g) = 0$  whenever  $g$  is a coboundary. Note also that  $\Psi\Phi = \text{id}$  and that the range of  $\Phi\Psi - \text{id}$  consists of coboundaries.  $\square$

**Remark 4.2.** A splitting of  $\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{m} (\mathcal{B}^+)^2$  in  $\mathcal{V}$  automatically exists (it is usually not unique) if  $\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+$  is a semisimple object in  $\mathcal{V}$ . This happens whenever  $\mathcal{V}$  is either the category of Yetter–Drinfeld modules over a semisimple and cosemisimple Hopf algebra or the category of comodules over a cosemisimple CQT bialgebra. It also happens if  $\mathcal{V}$  is the category of Yetter–Drinfeld modules over  $\mathbb{k}\Gamma$ , where  $\Gamma$  is a possibly infinite abelian group, and  $\mathcal{B}$  is a direct sum of its one-dimensional subobjects in  $\mathcal{V}$  (e.g., a quotient of the tensor algebra  $T(V)$ , for some  $V$  of finite dimension over  $\mathbb{k}$ ).

Let  $V$  be an object in  $\mathcal{V}$ ,  $T(V)$  its tensor algebra and  $I$  an ideal generated by homogeneous elements of degree at least two. Let  $\mathcal{B} = T(V)/I$  and let  $\pi: T(V) \rightarrow \mathcal{B}$  be the canonical projection. We also abbreviate  $T(V)^+ = \bigoplus_{n \geq 1} V^{\otimes n}$  and  $T(V)_{(2)} = \bigoplus_{n \geq 2} V^{\otimes n}$ .

**Lemma 4.3.** *The following is a commutative diagram:*

$$\begin{array}{ccccc}
 I \otimes T(V)^+ + T(V)^+ \otimes I & \xrightarrow{m} & I & & \\
 \downarrow & & \downarrow & & \\
 T(V)^+ \otimes T(V) \otimes T(V)^+ & \xrightarrow{\text{id} \otimes m - m \otimes \text{id}} & T(V)^+ \otimes T(V)^+ & \xrightarrow{m} & T(V)_{(2)} \\
 \pi \otimes \pi \otimes \pi \downarrow & & \pi \otimes \pi \downarrow & & \widetilde{\pi \otimes \pi} \downarrow \\
 \mathcal{B}^+ \otimes \mathcal{B} \otimes \mathcal{B}^+ & \xrightarrow{\text{id} \otimes m - m \otimes \text{id}} & \mathcal{B}^+ \otimes \mathcal{B}^+ & \xrightarrow{p} & \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \\
 & & m \downarrow & & \tilde{m} \downarrow \\
 & & (\mathcal{B}^+)^2 & \xlongequal{\quad} & (\mathcal{B}^+)^2
 \end{array}$$

where the maps  $\tilde{m}$  and  $\widetilde{\pi \otimes \pi}$  are the universal maps arising from fact (1) below. Moreover, we have the following facts:

- (1) The second and third rows of the diagram are cokernel diagrams.
- (2) The second column of the diagram is exact at  $T(V)^+ \otimes T(V)^+$ .
- (3) The composition  $T(V)_{(2)} \xrightarrow{\widetilde{\pi \otimes \pi}} \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{\tilde{m}} (\mathcal{B}^+)^2$  is equal to the restriction of  $\pi$  to  $T(V)_{(2)}$ .
- (4) The map  $\widetilde{\pi \otimes \pi}$  is surjective.
- (5) If  $\varphi: T(V)_{(2)} \rightarrow T(V)^+ \otimes T(V)^+$  is any splitting of multiplication (e.g., the composition  $T(V)_{(2)} \xrightarrow{\sim} V \otimes T(V)^+ \rightarrow T(V)^+ \otimes T(V)^+$  is such a splitting), then  $\widetilde{\pi \otimes \pi} = p(\pi \otimes \pi)\varphi$ .

**Proof.** Clearly, each of the squares of the diagram commutes. We prove the remaining claims below:

- (1) The third row is a cokernel diagram by definition. The second row is a cokernel diagram due to the fact that  $T(V)^+ = V \otimes T(V)$  as a right  $T(V)$ -module (with the obvious action on the second tensor factor), hence  $T(V)^+ \otimes_{T(V)} T(V)^+ = V \otimes T(V)^+$ , and  $V \otimes T(V)^+ \xrightarrow{m} T(V)_{(2)}$  is an isomorphism.
- (2) Clear.
- (3) As  $\pi$  is an algebra map, we have  $m(\pi \otimes \pi)m = \pi m$ . Hence  $\tilde{m}(\widetilde{\pi \otimes \pi})m = \pi m$ . By the universal property of cokernels this means that  $\tilde{m}(\widetilde{\pi \otimes \pi}) = \pi$ .
- (4) Follows from the fact that maps  $p$  and  $\pi \otimes \pi$  are surjective.
- (5) Follows from the universal property of cokernels.  $\square$

**Corollary 4.4.** *The following sequence is exact:*

$$0 \rightarrow T(V)^+I + IT(V)^+ \rightarrow I \xrightarrow{\widetilde{\pi \otimes \pi}} \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{\tilde{m}} (\mathcal{B}^+)^2 \rightarrow 0$$

Therefore,  $I/(T(V)^+I + IT(V)^+) \simeq \ker(\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \rightarrow (\mathcal{B}^+)^2)$ .

**Proof.** To avoid ambiguity, we denote the restriction of  $\widetilde{\pi \otimes \pi}$  to  $I$  by  $\tau$ . We first prove that  $\ker(\tau) = T(V)^+I + IT(V)^+$ . The inclusion  $T(V)^+I + IT(V)^+ \subseteq \ker(\widetilde{\pi \otimes \pi})$  follows from  $\widetilde{\pi \otimes \pi}(T(V)^+I + IT(V)^+) = (\pi \otimes \pi)m(T(V)^+ \otimes I + I \otimes T(V)^+) = p(\pi \otimes \pi)(T(V)^+ \otimes I + I \otimes T(V)^+) = 0$ .

Let  $x \in \ker(\tau)$ . Since  $m(T(V)^+ \otimes T(V)^+) = T(V)_{(2)}$ , there exists  $y \in T(V)^+ \otimes T(V)^+$  such that  $m(y) = x$ . Now  $0 = (\pi \otimes \pi)m(y) = p(\pi \otimes \pi)(y)$ , and hence  $(\pi \otimes \pi)y = (\text{id} \otimes m - m \otimes \text{id})z$  for some  $z \in \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+$ . Let  $w \in T(V)^+ \otimes T(V) \otimes T(V)^+$  be such that  $(\pi \otimes \pi \otimes \pi)(w) = z$ . Define  $y' = y - (\text{id} \otimes m - m \otimes \text{id})w$ . As  $(\pi \otimes \pi)y' = 0$  we have that  $y' \in I \otimes T(V)^+ + T(V)^+ \otimes I$  and hence  $x = m(y) = m(y') \in IT(V)^+ + T(V)^+I$ .

We now prove that  $\widetilde{\pi \otimes \pi}(I) = \ker(\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{\tilde{m}} (\mathcal{B}^+)^2)$ . The inclusion  $\subseteq$  follows from part (3) of the lemma above:  $\tilde{m}(\widetilde{\pi \otimes \pi})(I) = \pi(I) = 0$ . The inclusion  $\supseteq$  follows from the fact that  $\widetilde{\pi \otimes \pi}$  is surjective.  $\square$

**Corollary 4.5.** *If  $I$  is generated by a subobject  $R$ , then the induced morphism*

$$R \rightarrow \ker(\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \rightarrow (\mathcal{B}^+)^2)$$

*is surjective.*  $\square$

We summarize the above results in a theorem which will be needed in the next section to establish rigidity of certain graded bialgebras in  $\mathcal{V}$ .

**Theorem 4.6.** *Let  $V$  be an object in  $\mathcal{V}$  and  $T(V)$  its tensor algebra. Let  $R \subset T(V)_{(2)}$  be a graded subspace that is an object in  $\mathcal{V}$ . Consider the augmented algebra  $\mathcal{B} = T(V)/\langle R \rangle$  and an object  $U$  in  $\mathcal{V}$  on which  $\mathcal{B}$  acts*

trivially (i.e., via  $\varepsilon$ ). If the multiplication map  $\mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \xrightarrow{m} (\mathcal{B}^+)^2$  splits in  $\mathcal{V}$ , then there is an injection  $H_{\varepsilon}^2(\mathcal{B}, U) \rightarrow \text{Hom}(R, U)$ .

In particular, if  $f$  is an  $\varepsilon$ -cocycle such that for every  $u \in \mathcal{B} \otimes \mathcal{B}$  in the range of the composition  $R \rightarrow V \otimes T(V)^+ \rightarrow \mathcal{B} \otimes \mathcal{B}$  we have  $f(u) = 0$ , then  $f$  is an  $\varepsilon$ -coboundary.  $\square$

**5. A sufficient condition for rigidity of graded bialgebras in a braided category**

Let  $\mathcal{B}$  be a graded bialgebra in  $\mathcal{V}$ . For a homogeneous map  $f: \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$  of degree  $\ell$  and a nonnegative integer  $r$  we define  $f_r: \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$  by  $f_r|_{(\mathcal{B} \otimes \mathcal{B})_r} = f$  and  $f_r|_{(\mathcal{B} \otimes \mathcal{B})_s} = 0$  for  $s \neq r$ . For  $g: \mathcal{B} \rightarrow \mathcal{B} \otimes \mathcal{B}$ , we define  $g_r$  analogously. We also define  $f_{\leq r}$  by  $f_{\leq r} = \sum_{i=0}^r f_i$ , and  $f_{< r}, g_{\leq r}, g_{< r}$  in a similar fashion.

**Lemma 5.1.** (Cf. [25, Lemma 2.3.6].) *Let  $\mathcal{B}$  be a graded bialgebra in  $\mathcal{V}$  such that  $\mathcal{B}_0 = \mathbb{k}$  and  $\mathcal{B}$  is generated as an algebra by  $\mathcal{B}_1$ .*

- (1) *If  $(f, g) \in Z_{\mathcal{B}}^2(\mathcal{B})_{\ell}$ ,  $r > 1$ ,  $f_{\leq r} = 0$ , and  $g_{< r} = 0$ , then  $g_r = 0$ .*
- (2) *If  $(f, g) \in Z_{\mathcal{B}}^2(\mathcal{B})_{\ell}$ ,  $\ell < 0$ , and  $f_{\leq r} = 0$ , then  $g_{\leq r} = 0$ .*
- (3) *If  $(0, g) \in Z_{\mathcal{B}}^2(\mathcal{B})_{\ell}$ ,  $\ell < 0$ , then  $g = 0$ .*

**Proof.** The proof in [25] carries over word for word. First note that for every  $(f, g) \in Z_{\mathcal{B}}^2(\mathcal{B})$  we have  $f_{\leq 1} = 0$  and  $g_{\leq 2} = 0$ , due to the fact that  $(\mathcal{B}^+ \otimes \mathcal{B}^+)_0 = 0 = (\mathcal{B}^+ \otimes \mathcal{B}^+)_1$ . Hence (1) easily yields (2) and (3).

For (1) recall that  $\partial^c f = -\partial^h g$  by Equation (4). If  $r > 1$ ,  $a \in \mathcal{B}_1$  and  $b \in \mathcal{B}_{r-1}$ , then

$$(\partial^c f)(a, b) = 0 = -(\partial^h g)(a, b) = -(\Delta a)g(b) + g(ab) - g(a)(\Delta b) = g(ab).$$

As  $\mathcal{B}_r$  is spanned by such products  $ab$ , we have that  $g(\mathcal{B}_r) = 0$ .  $\square$

**Lemma 5.2.** (Cf. [25, Lemma 2.3.5].) *Let  $\mathcal{B}$  be a connected graded bialgebra in  $\mathcal{V}$ , let  $r \in \mathbb{N}$ , and let  $f: \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$  be a homogeneous unital Hochschild cocycle in  $\mathcal{V}$  (with respect to left and right regular actions of  $\mathcal{B}$  on itself). If  $f_{< r} = 0$ , then  $f_r: \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$  is an  $\varepsilon$ -cocycle.*

**Proof.** This follows directly from  $\partial^h f = 0$ , see Equation (2).  $\square$

**Theorem 5.3.** (Cf. [25, Lemma 4.2.2].) *Let  $V$  be an object in  $\mathcal{V}$  and  $T(V)$  its (braided) tensor bialgebra. Let  $R \subset T(V)_{(2)}$  be a graded subspace that is an object in  $\mathcal{V}$  and generates a biideal in  $T(V)$ . Consider the quotient  $\mathcal{B} = T(V)/\langle R \rangle$ , which is a graded bialgebra in  $\mathcal{V}$ , and assume that the multiplication map  $m: \mathcal{B}^+ \otimes_{\mathcal{B}} \mathcal{B}^+ \rightarrow (\mathcal{B}^+)^2$  splits in  $\mathcal{V}$ . If for some negative  $\ell$  we have that  $\text{Hom}(R, P(\mathcal{B}))_{\ell} = 0$ , then  $\widehat{H}_{\mathcal{B}}^2(\mathcal{B})_{\ell} = 0$ .*

*In particular, if  $\text{Hom}(R, P(\mathcal{B}))_{\ell} = 0$  for all negative  $\ell$ , then  $\mathcal{B}$  is rigid.*

**Proof.** Let  $(f, g) \in Z_{\mathcal{B}}^2(\mathcal{B})_{\ell}$ . We will find a map  $s = \sum_{r=0}^{\infty} s_r: \mathcal{B} \rightarrow \mathcal{B}$  such that for every nonnegative  $r$ ,  $(f, g)_r = (\partial^h s_r, -\partial^c s_r)$ , from where the result trivially follows since  $(f, g) = \partial^b \sum_{r=0}^{\infty} s_r$ . Here the sum  $s = \sum_{r=0}^{\infty} s_r$  is potentially infinite but locally finite. The cases  $r = 0, 1$  are clear. Suppose that  $s_0, \dots, s_{r-1}$  have been found. Let  $(f', g') = (f, g) - \partial^b s_{< r} = (f, g) - \sum_{i=0}^{r-1} (\partial^h s_i, -\partial^c s_i)$ . Note that, by assumption,  $f'_{< r} = 0$  and hence, by Lemma 5.1, also  $g'_{< r} = 0$ . Let  $u \in (\mathcal{B} \otimes \mathcal{B})$  be in the range of the composition  $R \rightarrow V \otimes T(V)^+ \rightarrow \mathcal{B} \otimes \mathcal{B}$ . Since  $m(u) = 0$  we have from Equation (4) that  $f_r(u) \in P(\mathcal{B})$ . Therefore, the composition  $R \rightarrow V \otimes T(V)^+ \rightarrow \mathcal{B} \otimes \mathcal{B} \xrightarrow{f} \mathcal{B}$  has range in  $P(\mathcal{B})$  and must be the zero map. By Theorem 4.6, we get a map  $t: \mathcal{B} \rightarrow \mathcal{B}$  such that  $f_r = tm$ . Now define  $s_r = t_r$  and observe that  $f'_{\leq r} = f'_r = \partial^h s_r$ . Hence, by Lemma 5.1, we also have  $g'_r = -\partial^c s_r$ .  $\square$

6. Nichols algebras of diagonal type

In what follows  $(V, c)$  will denote a braided vector space of diagonal type,  $\dim V = \theta$ , such that the associated Nichols algebra  $\mathcal{B}(V)$  has a finite root system  $\Delta_+^V$  in the sense of [19], i.e.,  $\Delta_+^V$  is the set of  $\mathbb{N}_0^\theta$ -degrees of generators of a PBW basis. In particular, this is the case if  $\mathcal{B}(V)$  is finite-dimensional. Let

$$-c_{ij}^V := \min \{n \in \mathbb{N}_0 \mid (n + 1)_{q_{ii}}(1 - q_{ii}^n q_{ij} q_{ji}) = 0\}, \quad j \neq i. \tag{5}$$

Now we fix

- a basis  $\{x_1, \dots, x_\theta\}$  of  $V$  and  $q_{ij} \in \mathbb{k}^\times$  such that  $c(x_i \otimes x_j) = q_{ij} x_j \otimes x_i$ ,
- elements  $x_\alpha \in \mathcal{B}(V)$  of degree  $\alpha$ ,  $\alpha \in \Delta_+^V$ , which generate a PBW basis, see [4].

We use the following notation:

- $\widetilde{q}_{ij} := q_{ij} q_{ji}$  for all  $i \neq j$ .
- $\chi: \mathbb{Z}^\theta \times \mathbb{Z}^\theta \rightarrow \mathbb{k}^\times$  is the bicharacter such that  $\chi(\alpha_i, \alpha_j) = q_{ij}$ ,  $1 \leq i, j \leq \theta$ , where  $\{\alpha_1, \dots, \alpha_\theta\}$  is the canonical basis of  $\mathbb{Z}^\theta$ .
- $N_\alpha$  is the order of  $q_\alpha := \chi(\alpha, \alpha)$ ,  $\alpha \in \Delta_+^V$ .
- $\mathbb{G}_N$  is the group of roots of unity of order  $N$  and  $\mathbb{G}'_N$  is the subset of primitive roots of unity of order  $N$ ,  $N \in \mathbb{N}$ .
- $\mathcal{O}(V)$  is the set of Cartan roots of  $V$ , i.e., the orbit of Cartan vertices under the action of the Weyl groupoid. Recall that  $i \in \{1, \dots, \theta\}$  is a Cartan vertex of  $V$  if  $\widetilde{q}_{ij} = q_{ii}^{c_{ij}^V}$  for all  $j \neq i$  [4, Definition 2.6].

We recall the following result, which gives a presentation by generators and relations for any Nichols algebra of diagonal type with finite root system.

**Theorem 6.1.** (See [4].)  $\mathcal{B}(V)$  is presented by generators  $x_1, \dots, x_\theta$  and relations:

$$x_\alpha^{N_\alpha}, \quad \alpha \in \mathcal{O}(V); \tag{6}$$

$$(\text{ad}_c x_i)^{1-c_{ij}^V} x_j, \quad q_{ii}^{1-c_{ij}^V} \neq 1; \tag{7}$$

$$x_i^{N_i}, \quad i \text{ is not a Cartan vertex}; \tag{8}$$

◊ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $q_{ii} = \widetilde{q}_{ij} = q_{jj} = -1$ , and there exists  $k \neq i, j$  such that  $\widetilde{q}_{ik}^2 \neq 1$  or  $\widetilde{q}_{jk}^2 \neq 1$ ,

$$x_{ij}^2; \tag{9}$$

◊ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{jj} = -1$ ,  $\widetilde{q}_{ik} = \widetilde{q}_{ij} \widetilde{q}_{kj} = 1$ ,  $\widetilde{q}_{ij} \neq -1$ ,

$$[x_{ijk}, x_j]_c; \tag{10}$$

◊ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $q_{jj} = -1$ ,  $q_{ii} \widetilde{q}_{ij} \in \mathbb{G}'_6$ ,  $\widetilde{q}_{ij} \neq -1$ , and also  $q_{ii} \in \mathbb{G}'_3$  or  $-c_{ij}^V \geq 3$ ,

$$[x_{iij}, x_{ij}]_c; \tag{11}$$

◊ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{ii} = \pm \widetilde{q}_{ij} \in \mathbb{G}'_3$ ,  $\widetilde{q}_{ik} = 1$ , and also  $-q_{jj} = \widetilde{q}_{ij} \widetilde{q}_{jk} = 1$  or  $q_{jj}^{-1} = \widetilde{q}_{ij} = \widetilde{q}_{jk} \neq -1$ ,

$$[x_{iijk}, x_{ij}]_c; \tag{12}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $\widetilde{q}_{ik}, \widetilde{q}_{ij}, \widetilde{q}_{jk} \neq 1$ ,

$$x_{ijk} - \frac{1 - \widetilde{q}_{jk}}{q_{kj}(1 - \widetilde{q}_{ik})} [x_{ik}, x_j]_c - q_{ij}(1 - \widetilde{q}_{jk}) x_j x_{ik}; \tag{13}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy one of the following situations

- (i)  $q_{ii} = q_{jj} = -1, \widetilde{q}_{ij}^2 = \widetilde{q}_{jk}^{-1}, \widetilde{q}_{ik} = 1$ , or
- (ii)  $\widetilde{q}_{ij} = q_{jj} = -1, q_{ii} = -\widetilde{q}_{jk}^2 \in \mathbb{G}'_3, \widetilde{q}_{ik} = 1$ , or
- (iii)  $q_{kk} = \widetilde{q}_{jk} = q_{jj} = -1, q_{ii} = -\widetilde{q}_{ij} \in \mathbb{G}'_3, \widetilde{q}_{ik} = 1$ , or
- (iv)  $q_{jj} = -1, \widetilde{q}_{ij} = q_{ii}^{-2}, \widetilde{q}_{jk} = -q_{ii}^3, \widetilde{q}_{ik} = 1$ , or
- (v)  $q_{ii} = q_{jj} = q_{kk} = -1, \pm \widetilde{q}_{ij} = \widetilde{q}_{jk} \in \mathbb{G}'_3, \widetilde{q}_{ik} = 1$ ,

$$[[x_{ij}, x_{ijk}]_c, x_j]_c; \tag{14}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{ii} = q_{jj} = -1, \widetilde{q}_{ij}^3 = \widetilde{q}_{jk}^{-1}, \widetilde{q}_{ik} = 1$ ,

$$[[x_{ij}, [x_{ij}, x_{ijk}]_c], x_j]_c; \tag{15}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{jj} = \widetilde{q}_{ij}^2 = \widetilde{q}_{jk} \in \mathbb{G}'_3, \widetilde{q}_{ik} = 1$ ,

$$[[x_{ijk}, x_j]_c x_j]_c; \tag{16}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{kk} = q_{jj} = \widetilde{q}_{ij}^{-1} = \widetilde{q}_{jk}^{-1} \in \mathbb{G}'_9, \widetilde{q}_{ik} = 1, q_{ii} = q_{kk}^6$

$$[[x_{iij}, x_{iijk}]_c, x_{ij}]_c; \tag{17}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{ii} = \widetilde{q}_{ij}^{-1} \in \mathbb{G}'_9, q_{jj} = \widetilde{q}_{jk}^{-1} = q_{ii}^5, \widetilde{q}_{ik} = 1, q_{kk} = q_{ii}^6$

$$[[x_{ijk}, x_j]_c, x_k]_c - (1 + \widetilde{q}_{jk})^{-1} q_{jk} [[x_{ijk}, x_k]_c, x_j]_c; \tag{18}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{jj} = \widetilde{q}_{ij}^3 = \widetilde{q}_{jk} \in \mathbb{G}'_4, \widetilde{q}_{ik} = 1$ ,

$$[[[x_{ijk}, x_j]_c, x_j]_c, x_j]_c; \tag{19}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{ii} = \widetilde{q}_{ij} = -1, q_{jj} = \widetilde{q}_{jk}^{-1} \neq -1, \widetilde{q}_{ik} = 1$ ,

$$[x_{ij}, x_{ijk}]_c; \tag{20}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{ii} = q_{kk} = -1, \widetilde{q}_{ik} = 1, \widetilde{q}_{ij} \in \mathbb{G}'_3, q_{jj} = -\widetilde{q}_{jk} = \pm \widetilde{q}_{ij}$ ,

$$[x_i, x_{ijk}]_c - (1 + q_{jj}^2) q_{kj}^{-1} [x_{ijk}, x_j]_c - (1 + q_{jj}^2)(1 + q_{jj}) q_{ij} x_j x_{ijk}; \tag{21}$$

◇ if  $i, j, k, l \in \{1, \dots, \theta\}$  satisfy  $q_{jj} \widetilde{q}_{ij} = q_{jj} \widetilde{q}_{jk} = 1, q_{kk} = -1, \widetilde{q}_{ik} = \widetilde{q}_{il} = \widetilde{q}_{jl} = 1, \widetilde{q}_{jk}^2 = \widetilde{q}_{lk}^{-1} = qu$ ,

$$[[[x_{ijkl}, x_k]_c, x_j]_c, x_k]_c; \tag{22}$$

◇ if  $i, j, k, l \in \{1, \dots, \theta\}$  satisfy  $\widetilde{q}_{jk} = \widetilde{q}_{ij} = q_{jj}^{-1} \in \mathbb{G}'_4 \cup \mathbb{G}'_6, q_{ii} = q_{kk} = -1, \widetilde{q}_{ik} = \widetilde{q}_{il} = \widetilde{q}_{jl} = 1, \widetilde{q}_{jk}^3 = \widetilde{q}_{lk}$ ,

$$[[x_{ijk}, [x_{ijkl}, x_k]_c], x_j]_c; \tag{23}$$



◇ if  $i, j, k, l \in \{1, \dots, \theta\}$  satisfy  $q_{ll} = \widetilde{q}_{lk}^{-1} = q_{kk} = \widetilde{q}_{jk}^{-1} = q^2, \widetilde{q}_{ij} = q_{ii}^{-1} = q^3$  for some  $q \in \mathbb{k}^\times, q_{jj} = -1, \widetilde{q}_{ik} = \widetilde{q}_{il} = \widetilde{q}_{jl} = 1,$

$$[[[x_{ijk}, x_j]_c, [x_{ijkl}, x_j]_c]_c, x_{jk}]_c; \tag{24}$$

◇ if  $i, j, k, l \in \{1, \dots, \theta\}$  satisfy one of the following situations

- (i)  $q_{kk} = -1, q_{ii} = \widetilde{q}_{ij}^{-1} = q_{jj}^2, \widetilde{q}_{kl} = q_{ll}^{-1} = q_{jj}^3, \widetilde{q}_{jk} = q_{jj}^{-1}, \widetilde{q}_{ik} = \widetilde{q}_{il} = \widetilde{q}_{jl} = 1,$  or
- (ii)  $q_{ii} = \widetilde{q}_{ij}^{-1} = -q_{ll}^{-1} = -\widetilde{q}_{kl}, q_{jj} = \widetilde{q}_{jk} = q_{kk} = -1, \widetilde{q}_{ik} = \widetilde{q}_{il} = \widetilde{q}_{jl} = 1,$

$$[[x_{ijkl}, x_j]_c, x_k]_c - q_{jk}(\widetilde{q}_{ij}^{-1} - q_{jj}) [[x_{ijkl}, x_k]_c, x_j]_c; \tag{25}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $\widetilde{q}_{jk} = 1, q_{ii} = \widetilde{q}_{ij} = -\widetilde{q}_{ik} \in \mathbb{G}'_3,$

$$[x_i, [x_{ij}, x_{ik}]_c]_c + q_{jk}q_{ik}q_{ji} [x_{iik}, x_{ij}]_c + q_{ij} x_{ij}x_{iik}; \tag{26}$$

◇ if  $i, j, k \in \{1, \dots, \theta\}$  satisfy  $q_{jj} = q_{kk} = \widetilde{q}_{jk} = -1, q_{ii} = -\widetilde{q}_{ij} \in \mathbb{G}'_3, \widetilde{q}_{ik} = 1,$

$$[x_{iijk}, x_{ijk}]_c; \tag{27}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $-q_{ii}, -q_{jj}, q_{ii}\widetilde{q}_{ij}, q_{jj}\widetilde{q}_{ij} \neq 1,$

$$(1 - \widetilde{q}_{ij})q_{jj}q_{ji} [x_i, [x_{ij}, x_j]_c]_c - (1 + q_{jj})(1 - q_{jj}\widetilde{q}_{ij})x_{ij}^2; \tag{28}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy that  $-c_{ij}^V \in \{4, 5\},$  or  $q_{jj} = -1, -c_{ij}^V = 3, q_{ii} \in \mathbb{G}'_4,$

$$[x_i, x_{3\alpha_i+2\alpha_j}]_c - \frac{1 - q_{ii}\widetilde{q}_{ij} - q_{ii}^2\widetilde{q}_{ij}^2 q_{jj}}{(1 - q_{ii}\widetilde{q}_{ij})q_{ji}} x_{iiij}^2; \tag{29}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $4\alpha_i + 3\alpha_j \notin \Delta_+^V, q_{jj} = -1$  or  $m_{ji} \geq 2,$  and also  $-c_{ij}^V \geq 3,$  or  $-c_{ij}^V = 2, q_{ii} \in \mathbb{G}'_3,$

$$x_{4\alpha_i+3\alpha_j} = [x_{3\alpha_i+2\alpha_j}, x_{ij}]_c; \tag{30}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $3\alpha_i + 2\alpha_j \in \Delta_+^V, 5\alpha_i + 3\alpha_j \notin \Delta_+^V,$  and  $q_{ii}^3\widetilde{q}_{ij}, q_{ii}^4\widetilde{q}_{ij} \neq 1,$

$$[x_{iij}, x_{3\alpha_i+2\alpha_j}]_c; \tag{31}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $4\alpha_i + 3\alpha_j \in \Delta_+^V, 5\alpha_i + 4\alpha_j \notin \Delta_+^V,$

$$x_{5\alpha_i+4\alpha_j} = [x_{4\alpha_i+3\alpha_j}, x_{ij}]_c; \tag{32}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $5\alpha_i + 2\alpha_j \in \Delta_+^V, 7\alpha_i + 3\alpha_j \notin \Delta_+^V,$

$$[[x_{iij}, x_{iij}], x_{iij}]_c; \tag{33}$$

◇ if  $i, j \in \{1, \dots, \theta\}$  satisfy  $q_{jj} = -1, 5\alpha_i + 4\alpha_j \in \Delta_+^V,$

$$[x_{iij}, x_{4\alpha_i+3\alpha_j}]_c - \frac{b - (1 + q_{ii})(1 - q_{ii}\zeta)(1 + \zeta + q_{ii}\zeta^2)q_{ii}^6\zeta^4}{a q_{ii}^3 q_{ij}^2 q_{ji}^3} x_{3\alpha_i+2\alpha_j}^2, \tag{34}$$

where  $\zeta = \widetilde{q}_{ij}, a = (1 - \zeta)(1 - q_{ii}^4\zeta^3) - (1 - q_{ii}\zeta)(1 + q_{ii})q_{ii}\zeta, b = (1 - \zeta)(1 - q_{ii}^6\zeta^5) - a q_{ii}\zeta. \quad \square$

We fix a realization of  $(V, c)$  as a Yetter–Drinfeld module over an abelian group  $\Gamma$ , i.e., there exist  $g_i \in \Gamma$ ,  $\chi_i \in \widehat{\Gamma}$  such that  $\chi_j(g_i) = q_{ij}$  and we make  $V$  an object of  ${}_{\mathbb{k}\Gamma}^{\mathbb{k}\Gamma}\mathcal{YD}$  by declaring  $x_i \in V_{g_i}^{\chi_i}$ . Let  $\mathcal{R}_V$  be the set of relations defining  $\mathcal{B}(V)$  according to the previous theorem. Note that  $\mathbb{k}\mathcal{R}_V$  is a Yetter–Drinfeld submodule of  $T(V)$ , because each relation is  $\mathbb{Z}^\theta$ -homogeneous. For each  $R \in \mathcal{R}_V$  of degree  $(a_1, \dots, a_\theta) \in \mathbb{Z}^\theta$ , set

$$g_R := g_1^{a_1} \cdots g_\theta^{a_\theta}, \quad \chi_R := \chi_1^{a_1} \cdots \chi_\theta^{a_\theta}, \quad \text{so } R \in T(V)_{g_R}^{\chi_R}. \tag{35}$$

The *support* of  $R \in \mathcal{R}_V$  is the set  $\text{supp } R := \{i \mid a_i \neq 0\}$ , i.e., the set of indices of letters  $x_i$  appearing in  $R$ .

**Proposition 6.2.** *For every  $R \in \mathcal{R}_V$  and  $t \in \{1, 2, \dots, \theta\}$ , we have  $(g_R, \chi_R) \neq (g_t, \chi_t)$ .*

**Proof.** We prove this for each defining relation. For (7), see [6, Proposition 3.1]; the proof does not use that the braiding is of standard type.

We discard easily the cases (6), (8), (9), (14)(v), (25)(ii), (27), (34) because  $\chi_R(g_R) = 1$ .

For the remaining cases, note that the propositions in [4, Section 3] show that  $(g_R, \chi_R) \neq (g_t, \chi_t)$  for each  $t \notin \text{supp } R$ . Therefore, we have to consider only the case  $t \in \text{supp}(R)$ .

For each remaining relation  $R$ , we compute  $\chi_R(g_R)$  and/or  $\{\chi_R(g_t)\chi_t(g_R) \mid t \in \text{supp } R\}$ .

(10): We have  $\chi_R(g_R) = q_{ii}q_{kk} \neq q_{ii}, q_{kk}$ . Suppose that  $g_R = g_j$ ,  $\chi_R = \chi_j$ . Then  $\widetilde{q}_{ij} = \chi_R(g_i)\chi_i(g_R) = (q_{ii}\widetilde{q}_{ij})^2$  and  $\widetilde{q}_{kj} = \chi_R(g_k)\chi_k(g_R) = (q_{kk}\widetilde{q}_{kj})^2$ , so  $q_{ii}^2\widetilde{q}_{ij} = q_{kk}^2\widetilde{q}_{kj} = 1$ . But such a generalized Dynkin diagram is not in [19], a contradiction.

(11): Now  $\chi_R(g_R) = q_{ii}^3 \neq q_{ii}$ ,  $\widetilde{q}_{ij} \neq \chi_R(g_i)\chi_i(g_R) = \widetilde{q}_{ij}^2$ , so  $(g_R, \chi_R) \neq (g_i, \chi_i), (g_j, \chi_j)$ .

(12): For both sets of conditions,  $\widetilde{q}_{ij}q_{jj}^2\widetilde{q}_{jk} = 1$  so  $\chi_R(g_R) = q_{ii}q_{kk} \neq q_{ii}, q_{kk}$ . Suppose that  $g_R = g_j$ ,  $\chi_R = \chi_j$ . But  $\widetilde{q}_{ij} \neq \chi_R(g_i)\chi_i(g_R) = \widetilde{q}_{ij}^2$ , a contradiction.

(13): Recall that  $\widetilde{q}_{ij}\widetilde{q}_{ik}\widetilde{q}_{jk} = 1$ . Suppose that  $g_R = g_i$ ,  $\chi_R = \chi_i$ . Then  $q_{ii} = \chi_R(g_i) = q_{ii}q_{ij}q_{ik}$ , so  $q_{ij}q_{ik} = 1$ . Also  $q_{ji}q_{ki} = 1$ , so  $\widetilde{q}_{ij}\widetilde{q}_{ik} = 1$  and then  $\widetilde{q}_{jk} = 1$ , a contradiction.

(14)(i): Simply note that  $\chi_R(g_R) = -q_{kk} \neq -1, q_{kk}$ .

(14)(ii): As  $\chi_R(g_R) = q_{ii}q_{kk} \neq q_{ii}, q_{kk}$ , the remaining case is  $t = j$ . But also  $\widetilde{q}_{ij} = -1 \neq \chi_R(g_i)\chi_i(g_R) = -q_{ii}$ .

(14)(iii): It follows since  $\chi_R(g_R) = -q_{ii} \neq -1, q_{ii}$ .

(14)(iv): Again  $\chi_R(g_R) = q_{ii}q_{kk} \neq q_{ii}, q_{kk}$ , so the remaining case is  $t = j$ . Suppose that  $g_R = g_j$ ,  $\chi_R = \chi_j$ , so  $1 = q_{jj}^2 = \chi_R(g_j)\chi_j(g_R) = \widetilde{q}_{ij}^2\widetilde{q}_{jk} = -q_{ii}$ , a contradiction.

(15): It follows since  $\chi_R(g_R) = -q_{kk} \neq -1, q_{kk}$ .

(16): Again  $\chi_R(g_R) = q_{ii}q_{kk} \neq q_{ii}, q_{kk}$ . Suppose that  $g_R = g_j$ ,  $\chi_R = \chi_j$ , so

$$q_{jj} = q_{ii}q_{kk}, \quad 1 = \widetilde{q}_{ij}\widetilde{q}_{jk} = \chi_R(g_i)\chi_i(g_R)\chi_R(g_k)\chi_k(g_R) = q_{ii}^2q_{kk}^2 = q_{jj}^2,$$

which is a contradiction.

(17): It follows from  $\chi_R(g_R) = q_{jj}^{-2} \neq q_{ii}, q_{jj}, q_{kk}$ .

(18): It follows from  $\chi_R(g_R) = q_{jj} \neq q_{ii}, q_{kk}$ , and  $\chi_R(g_i)\chi_i(g_R) = 1 \neq \widetilde{q}_{ij}$ .

(19): The proof is analogous to the one for (16).

(20): As  $\chi_R(g_R) = q_{jj}^2q_{kk}$  and  $q_{jj} \neq \pm 1$ , we discard the case  $t = k$ . The case  $t = j$  is also discarded because  $1 = \chi_R(g_i)\chi_i(g_R) \neq \widetilde{q}_{ij}$ . Finally suppose that  $\chi_R = \chi_i$ ,  $g_R = g_i$ , so  $-1 = \widetilde{q}_{ij} = \chi_R(g_j)\chi_j(g_R) = q_{jj}^3$ . Then  $q_{jj} \in \mathbb{G}'_6$  and  $-1 = \chi_R(g_R) = q_{jj}^2q_{kk}$ , so  $q_{kk} = q_{jj}$ . But this case corresponds to a diagram which is not in [19], a contradiction.

(21): Note that  $\chi_R(g_R) = q_{jj}^2 \neq q_{jj}, -1 = q_{ii} = q_{kk}$  because  $q_{jj}^2 = \widetilde{q}_{ij}^2 \in \mathbb{G}'_3$ .

(22): Simply  $\chi_R(g_R) = -q_{ii} \neq q_{ii}, q_{jj}, q_{kk}, q_{ll}$  in all the possible cases.

(23): For  $t = l$  we have that  $\chi_R(g_R) = q_{jj}^3 q_{ll} \neq q_{ll}$ , and for  $t = i, k$  we have  $\chi_R(g_j)\chi_j(g_R) = 1 \neq \widetilde{q}_{ij}, \widetilde{q}_{kj}$ . Suppose that  $\chi_R = \chi_j$  and  $g_R = g_j$ . Then  $\widetilde{q}_{ij} = \chi_R(g_i)\chi_i(g_R) = \widetilde{q}_{ij}^3$ , which is a contradiction because  $\widetilde{q}_{ij} \neq \pm 1$ .

(24): Now,  $\chi_R(g_i)\chi_i(g_R) = \chi_R(g_j)\chi_j(g_R) = 1 \neq \widetilde{q}_{ij}, \widetilde{q}_{kj}$ , so we discard the cases  $t = i, j, k$ . Now  $\widetilde{q}_{kl} = q_{kk}^{-1} \neq \chi_R(g_k)\chi_k(g_R) = q_{kk}$  so also  $(\chi_R, g_R) \neq (\chi_l, g_l)$ .

(25)(i): Again  $\chi_R(g_i)\chi_i(g_R) = \chi_R(g_j)\chi_j(g_R) = 1 \neq \widetilde{q}_{ij}, \widetilde{q}_{kj}$ , and the cases  $t = i, j, k$  are solved. As  $\widetilde{q}_{kl} = q_{jj}^3 \neq \chi_R(g_k)\chi_k(g_R) = q_{jj}$ , we conclude that  $(\chi_R, g_R) \neq (\chi_l, g_l)$ .

(26): For  $t = j, k$  note that  $\chi_R(g_i)\chi_i(g_R) = \widetilde{q}_{ij}\widetilde{q}_{ik} \neq \widetilde{q}_{ij}, \widetilde{q}_{ik}$ . For  $(\chi_R, g_R) = (\chi_i, g_i)$ ,

$$q_{ii} = \chi_R(g_R) = -q_{jj}q_{kk}, \quad \widetilde{q}_{ij} = \chi_R(g_j)\chi_j(g_R) = \widetilde{q}_{ij}^3 q_{jj}^2, \quad \widetilde{q}_{ik} = \chi_R(g_k)\chi_k(g_R) = \widetilde{q}_{ik}^3 q_{kk}^2,$$

so  $q_{jj} = -q_{kk} = \pm q_{ii}^2$ , but this diagram is not in [19], a contradiction.

(28): We look for the possible generalized Dynkin diagrams for which we need  $R$ .

$$\begin{aligned} & \circ\zeta^4 \text{---} \zeta^9 \text{---} \circ\zeta^8, \quad \zeta \in \mathbb{G}'_{12}: \chi_R(g_R) = 1 \neq q_{ii}, q_{jj}. \\ & \circ\zeta^8 \text{---} \zeta \text{---} \circ\zeta^8, \quad \zeta \in \mathbb{G}'_{12}: \chi_R(g_i)\chi_i(g_R) = \chi_R(g_j)\chi_j(g_R) = \zeta^{10} \neq \widetilde{q}_{ij}. \\ & \circ\text{---}\zeta \text{---} \zeta^7 \text{---} \circ\zeta^3, \quad \zeta \in \mathbb{G}'_9: \chi_R(g_R) = \zeta^8 \neq q_{ii}, q_{jj}. \\ & \circ\zeta^6 \text{---} \zeta^{11} \text{---} \circ\zeta^8, \quad \zeta \in \mathbb{G}'_{24}: \chi_R(g_R) = \zeta^4 \neq q_{ii}, q_{jj}. \\ & \circ\text{---}\zeta \text{---} \zeta^{12} \text{---} \circ\zeta^5, \quad \zeta \in \mathbb{G}'_{15}: \chi_R(g_R) = \zeta^{12} \neq q_{ii}, q_{jj}. \end{aligned}$$

(29): We consider each possible generalized Dynkin diagram.

$$\begin{aligned} & \circ\text{---}\zeta \text{---} \zeta^3 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_5: \chi_R(g_R) = 1 \neq q_{ii}, q_{jj}. \\ & \circ\zeta^3 \text{---} \zeta^4 \text{---} \circ\text{---}\zeta^{11}, \quad \zeta \in \mathbb{G}'_{15}: \chi_R(g_R) = \zeta^{11} \neq q_{ii}, q_{jj}. \\ & \circ\zeta^8 \text{---} \zeta^3 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_{20}: \chi_R(g_R) = \zeta^{12} \neq q_{ii}, q_{jj}. \\ & \circ\zeta^8 \text{---} \zeta^{13} \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_{20}: \chi_R(g_R) = \zeta^{12} \neq q_{ii}, q_{jj}. \\ & \circ\text{---}\zeta^3 \text{---} \zeta^3 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_7: \chi_R(g_R) = \zeta^2 \neq q_{ii}, q_{jj}. \\ & \circ\zeta^2 \text{---} \zeta^3 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_8: \chi_R(g_R) = 1 \neq q_{ii}, q_{jj}. \end{aligned}$$

(30): Again consider each possible generalized Dynkin diagram.

$$\begin{aligned} & \circ\zeta^4 \text{---} \zeta^{11} \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_{12}: \chi_R(g_R) = \zeta^{10} \neq q_{ii}, q_{jj}. \\ & \circ\zeta^8 \text{---} \zeta^7 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_{12}: \chi_R(g_R) = \zeta^2 \neq q_{ii}, q_{jj}. \\ & \circ\zeta^8 \text{---} \zeta^3 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_{24}: \chi_R(g_i)\chi_i(g_R) = \zeta, \chi_R(g_j)\chi_j(g_R) = 1 \neq \widetilde{q}_{ij}. \\ & \circ\zeta^6 \text{---} \zeta \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_{24}: \chi_R(g_R) = \zeta^{15} \neq q_{ii}, q_{jj}. \\ & \circ\text{---}\zeta \text{---} \zeta^{12} \text{---} \circ\zeta^5, \quad \zeta \in \mathbb{G}'_{15}: \chi_R(g_R) = \zeta^{10} \neq q_{ii}, q_{jj}. \end{aligned}$$

(31): The unique diagram is  $\circ\zeta^3 \text{---} \zeta^8 \text{---} \circ\text{---}1, \quad \zeta \in \mathbb{G}'_9$ , and  $\chi_R(g_R) = -\zeta^6 \neq q_{ii}, q_{jj}$ .

(32): We consider each possible generalized Dynkin diagram.

$$\begin{aligned} & \circ\zeta \xrightarrow{\zeta^2} \circ^{-1}, \zeta \in \mathbb{G}'_5: \chi_R(g_R) = 1 \neq q_{ii}, q_{jj}. \\ & \circ\zeta \xrightarrow{\zeta^{17}} \circ^{-1}, \zeta \in \mathbb{G}'_{20}: \chi_R(g_R) = \zeta^5 \neq q_{ii}, q_{jj}. \\ & \circ\zeta^{11} \xrightarrow{\zeta^7} \circ^{-1}, \zeta \in \mathbb{G}'_{20}: \chi_R(g_R) = \zeta^{15} \neq q_{ii}, q_{jj}. \\ & \circ\zeta^3 \xrightarrow{-\zeta^4} \circ^{-\zeta^{11}}, \zeta \in \mathbb{G}'_{15}: \chi_R(g_R) = \zeta \neq q_{ii}, q_{jj}. \\ & \circ\zeta^5 \xrightarrow{-\zeta^{13}} \circ^{-1}, \zeta \in \mathbb{G}'_{15}: \chi_R(g_R) = \zeta^{10} \neq q_{ii}, q_{jj}. \end{aligned}$$

(33): The unique diagram is  $\circ\zeta^3 \xrightarrow{-\zeta^2} \circ^{-1}$ ,  $\zeta \in \mathbb{G}'_9$ , and  $\chi_R(g_R) = \zeta^9 \neq q_{ii}, q_{jj}$ .  $\square$

**Theorem 6.3.** *Suppose  $V$  is an object in  ${}^{\mathbb{k}\Gamma}_{\mathbb{k}\Gamma}\mathcal{YD}$  such that its Nichols algebra has a finite root system. Then  $\text{Hom}^{\mathbb{k}\Gamma}_{\mathbb{k}\Gamma}(\mathbb{k}\mathcal{R}_V, V) = 0$ .*

**Proof.** If  $f \in \text{Hom}^{\mathbb{k}\Gamma}_{\mathbb{k}\Gamma}(\mathbb{k}\mathcal{R}_V, V)$  and  $R \in \mathcal{R}_V$ , then  $f(R) \in V_{g_R}^{\chi_R}$ . By Proposition 6.2,  $V_{g_R}^{\chi_R} = 0$  for each  $R \in \mathcal{R}_V$ , so  $f = 0$ .  $\square$

**Theorem 6.4.** *If  $\mathcal{B}(V)$  is a Nichols algebra of diagonal type with finite root system then  $\mathcal{B}(V)$  does not admit nontrivial graded deformations as a braided bialgebra.*

**Proof.** We fix a realization of  $(V, c)$  in  ${}^{\mathbb{k}\Gamma}_{\mathbb{k}\Gamma}\mathcal{YD}$  where  $\Gamma$  is an abelian group. Without loss of generality, we may assume that the  $g_i$ 's generate  $\Gamma$  and the  $\chi_i$ 's generate  $\widehat{\Gamma}$ . By Theorem 6.3 and Remark 4.2, the conditions needed to invoke Theorem 5.3 are satisfied, so  $\mathcal{B}(V)$  does not admit nontrivial graded deformations in  ${}^{\mathbb{k}\Gamma}_{\mathbb{k}\Gamma}\mathcal{YD}$ . But our choice of realization ensures that any graded deformation of  $\mathcal{B}(V)$  is in  ${}^{\mathbb{k}\Gamma}_{\mathbb{k}\Gamma}\mathcal{YD}$  and hence must be trivial.  $\square$

## 7. Examples

### 7.1. Positive parts of quantum groups

It is well known that, in the generic case, the positive part of a quantized enveloping algebra is a Nichols algebra of diagonal type. By Theorem 6.4, these positive parts are rigid. More generally, this applies to the “diagram” of the pointed Hopf algebra  $U(\mathcal{D})$  associated to a generic datum  $\mathcal{D}$  of finite Cartan type — see [2], where it is shown that any pointed Hopf algebra whose group-like elements form a finitely generated abelian group is isomorphic to some  $U(\mathcal{D})$  if it is a domain with finite Gelfand–Kirillov dimension and its infinitesimal braiding is positive.

### 7.2. Distinguished pre-Nichols algebras

These are infinite-dimensional braided Hopf algebras projecting onto the corresponding finite-dimensional Nichols algebras. They were formally defined in [5, Definition 3.1] generalizing the situation with quantum groups at roots of unity and the corresponding small quantum groups. Let  $V$  be a braided vector space of diagonal type such that  $\mathcal{B}(V)$  is finite-dimensional. Then the distinguished pre-Nichols algebra  $\widetilde{\mathcal{B}}(V)$  is the quotient of  $T(V)$  by the relations in Theorem 6.1 except the powers of root vectors (6). As a consequence of Theorem 6.3, we have:

**Theorem 7.1.** *Let  $(V, c)$  be a braided vector space of diagonal type such that  $\mathcal{B}(V)$  is finite-dimensional. Then  $\widetilde{\mathcal{B}}(V)$  does not admit nontrivial graded deformations as a braided bialgebra.  $\square$*

### 7.3. Nichols algebras over dihedral groups

Let  $D_m$  denote the dihedral group of order  $2m$ . For odd  $m$ , it is not known whether the category of Yetter–Drinfeld modules over  $D_m$  has any finite-dimension Nichols algebras. For even  $m \geq 4$ , the only known finite-dimensional Nichols algebras have a symmetric braiding [13], so Theorem 3.3 applies.

### 7.4. Nichols algebras over symmetric groups

Let  $n \geq 3$ . The quadratic algebra  $\mathcal{FK}_n$ , introduced by Fomin and Kirillov [14], is presented by generators  $x_{(ij)}$ ,  $1 \leq i < j \leq n$ , and relations

$$\begin{aligned} x_{(ij)}^2 &= 0, & 1 \leq i < j \leq n, \\ x_{(ij)}x_{(jk)} &= x_{(jk)}x_{(ik)} + x_{(ik)}x_{(ij)}, & 1 \leq i < j < k \leq n, \\ x_{(jk)}x_{(ij)} &= x_{(ik)}x_{(jk)} + x_{(ij)}x_{(ik)}, & 1 \leq i < j < k \leq n, \\ x_{(ij)}x_{(kl)} &= x_{(kl)}x_{(ij)}, & \#\{i, j, k, l\} = 4. \end{aligned}$$

Milinski and Schneider [26] showed how to make  $\mathcal{FK}_n$  a graded bialgebra in the category of Yetter–Drinfeld modules over the symmetric group  $S_n$ . As an algebra, it is generated by the vector space  $V_n$  with basis  $\{x_{(ij)} \mid 1 \leq i < j \leq n\}$ . Identifying  $(ij)$  with the corresponding transposition in  $S_n$ , we can make  $V_n$  a Yetter–Drinfeld module where the coaction is defined by declaring  $x_\sigma$  a homogeneous element of degree  $\sigma$ , and the action is the conjugation twisted by the sign. The corresponding braiding on  $V_n$  is given by

$$c(x_\sigma \otimes x_\tau) = \chi(\sigma, \tau)x_{\sigma\tau\sigma^{-1}} \otimes x_\sigma, \quad \chi(\sigma, \tau) = \begin{cases} 1 & \sigma(i) < \sigma(j), \tau = (ij), i < j, \\ -1 & \text{otherwise,} \end{cases}$$

where  $\sigma$  and  $\tau$  are transpositions. Then the above relations generate a biideal in the (braided) tensor bialgebra  $T(V_n)$ .

It is easy to see that  $\mathcal{FK}_n$  projects onto the Nichols algebra  $\mathcal{B}(V_n)$ . For  $n = 3, 4, 5$ , it is known that  $\mathcal{FK}_n = \mathcal{B}(V_n)$  and has dimension, respectively, 12, 576 and 8 294 400 (see [26] for  $n = 3, 4$  and [17] for  $n = 5$ ). Milinski and Schneider conjectured that  $\mathcal{FK}_n$  coincides with  $\mathcal{B}(V_n)$  for all  $n$ . Moreover, it has been conjectured that  $\dim \mathcal{FK}_n = \infty$  for  $n \geq 6$  [14].

**Theorem 7.2.** *Let  $n \geq 3$ . Then  $\mathcal{FK}_n$  does not admit nontrivial graded deformations as a braided bialgebra.*

**Proof.** All relations are in degree 2 and cannot have coaction given by transposition. As the only primitives in degrees smaller than 2 are in degree 1 and have coaction given by transpositions, the assumption of Theorem 5.3 is satisfied and these algebras are rigid.  $\square$

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