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# Optimal design of ethylene and propylene coproduction plants with generalized disjunctive programming and state equipment network models

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### ABSTRACT

In this work, we propose a superstructure optimization approach for the optimal design of an ethylene and propylene coproduction plant. We formulate a superstructure that embeds ethane and propane steam cracking technologies, propane dehydrogenation and olefin metathesis processes. We represent the superstructure with a Generalized Disjunctive Programming model, and solve the problem through a custom implementation of the Logic-based Outer Approximation algorithm in GAMS. We propose a state-equipment-network representation to model potential distillation trains, as well as alternative acetylene reactor configurations. Rigorous models are formulated for distillation columns, compressors, turboexpanders, vessels and several process equipment units. The objective function is to maximize the net present value. We analyze four international price scenarios for raw material and utility costs, while considering global ethylene and propylene prices. We obtain the optimal scheme for each case. Numerical results show that the combination of ethane steam cracking, olefin metathesis and ethylene dimerization is the most profitable configuration under low ethane price scenarios, whereas the combination of ethane and propane steam cracking together with propane dehydrogenation is the optimal solution when the propane price is on the order of ethane price.

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### 1. Introduction

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The shale gas revolution has led to the availability of natural gas liquids (NGLs), which represent excellent feedstock for the chemical industry. In particular, there are economic advantages on using NLGs for olefin production instead of naphtha feedstock (Siirola, 2014). For this reason, there is a general trend to modify reactive furnaces to use ethane, instead of naphtha, for ethylene production (Jenkins, 2012), even in countries that import shale gas from other countries (U.S. Energy Information Administration, 2019). These feedstock and technology changes lead to propylene yield reduction, since in the naphtha cracking process, propylene selectivity is higher than in ethane steam cracking. Furthermore, propylene demand continues to rise mainly due to polypropylene consumption (Baker, 2018). The combination of both issues increases the need for special purpose technologies for propylene production (Lavrenov et al., 2015).

Several process alternatives have been proposed to produce propylene from both petrochemical raw materials and chemical intermediates, such as methanol into olefins (Tian et al., 2015), methanol into propylene (Ali et al., 2019; Koempel and Liebner, 2007), olefin metathesis (Mol, 2004), propane dehydrogenation (Nawaz, 2015) and deep catalytic cracking (Akah and Al-Ghrami, 2015). Among these alternatives, both propane dehydrogenation and olefin metathesis are particularly interesting technologies since they can be used synergistically with ethane steam cracking to produce ethylene and propylene more efficiently.

In order to obtain an optimal design of a plant producing olefins, mathematical optimization modeling approaches can be very effective. Diaz and Bandoni (Diaz and Bandoni, 1996) formulated an MINLP problem to make discrete decisions and to optimize the operating conditions in an ethane-based ethylene plant. Lee et al. (Lee et al., 2003) postulated a superstructure based on the state-task-network (Yeomans and Grossmann, 1999a) representation, and determined the optimal scheme that minimizes the cost of separating a given mixture of olefins. Onel, Niziolek, & Floudas (Onel et al., 2016) addressed the olefin production optimal design from natural gas, via methanol. Gong & You

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#### Computers and Chemical Engineering xxx (xxxx) xxx Tcom<sub>11</sub> average temperature for the compressor u TItotal income Tiso<sub>11</sub> isentropic temperature of unit u $Tu_{11}$ output temperature of process stream that leave vapor flow velocity in unit u usgu liquid volume of component *j* evaluated at output $Vlj_{u,j}$ temperature of unit u liquid molar volume of output stream from unit u $Vl_u$ vapor molar volume of output stream from unit u $V \nu_u$ work related to unit u $W_u$ molar fraction of component j for the process $x_{u1.u.i}$ stream that leaves u1 and enters uliquid stream molar fraction for component *j* leav $xl_{u,i}$ ing unit u $XV_i$ conversion of component *i* vapor stream molar fraction for component *j* leav $xv_{u,i}$ ing unit u Boolean variables Boolean variable that is true if unit *u* is present $Y_{11}$ $YT_{u,t}$ Boolean variable that is true if task t is performed in unit u and false otherwise Boolean variable that is true if Catofin technology is $Z_{PDC}$ selected in PDH unit $Z_{PDO}$ Boolean variable that is true if Oleflex technology is selected in PDH unit **Parameters** number of atoms a in component j $At_{i,a}$ component parameters of extended Antoine equa $cf_{AN,i,i}$ polynomial coefficients to calculate specific heat $cf_{CP,j,i}$ and vapor enthalpy polynomial coefficients to calculate liquid enthalpy $cf_{HL,j,i}$ polynomial coefficients to calculate liquid volume $cf_{VL,i,i}$ $CY_{u,j1,j}$ carbon yield of component i from reactive component *i*1 in unit *u* component enthalpy evaluated at the reference $H_i^0$ temperature HPY working time per year Souders-Brown constant $K_{sb}$ $MTS_i$ selectivities towards higher alkenes j in the metathesis reactor $MW_i$ molecular weight of component j PriceE electricity price product or raw material price $Price_u$ R gas constant Tref reference temperature Greek letters working capital cost fraction ω annuity $\alpha$ contingency and fee factor β maintenance cost fraction γ isentropic efficiency of unit u $\eta_u$ $\theta$ interest rate τ total project life net benefits tax σ auxiliary facilities factor $\varphi$ Sets AT set of atoms

set of units that have an investment cost

condenser stage in unit u

#### Nomenclature

**Functions** 

 $f^{CON}$ Function to calculate the bubble point of the mix-

fcu Correlation to estimate cooling utilities cost

 $f^{EVA}$ Function to calculate the dew point of the mixture  $f^{hu}$ 

Correlation to estimate heating utilities cost

 $f_r^k$ Van 't Hoff equation to calculate the equilibrium

constant of reaction r

 $f_i^{LIQ}$ Polynomial function to calculate liquid enthalpy of

pure component *j* from unit temperature

Extended Antoine equation to calculate the partial

pressures of pure component i

Polynomial function to calculate vapor enthalpy of

pure component *j* from unit temperature

 $f^{XE}$ Surrogate model to calculate ethylene losses in

acetylene reactor

Cost correlation of unit u

Variables

 $CC_u$ capital cost associated to unit u  $CcUt_{11}$ cooling utility costs in unit u  $ChUt_{ii}$ heating utility costs in unit u

CInv Investment cost

 $Cp_{u,j}$ specific heat of pure component j in unit u

 $Cpm_u$ average specific heat in unit u costs associated to raw material u $CRM_u$ 

pressure drop in unit u $DPu_u$ 

 $DR_{u,n}$ the liquid to-vapor ratio in stage n of column u

vapor flowrate from stage n in column u $DV_{u,n}$ 

ratio between gas constant and average specific  $eg_u$ 

heat

ElecT electricity annual cost of electricity

molar flowrate of component j for the process  $F_{u1,u,i}$ 

stream that leaves u1 and enters u

 $Fi_{j1,j}$ molar flowrate of component *j* that is fed to crack-

ing furnaces operating with component j1

 $Ftl_{u,u2,j}$ logarithmic transformations of the flowrate for re-

active component

 $H_{u1,u}$ enthalpy flow of process streams that leave unit u1

and enter unit *u* 

enthalpy flow that is fed to cracking furnaces oper- $Hi_{i1}$ 

ating with component i1

specific enthalpy in phase p of component j at out- $Hj_{p,u,j}$ 

put temperature of u

hydrogen flowrate entering the unit u  $H2C_u$ 

 $KTeq_{u,r}$ natural logarithm of equilibrium constant of reac-

tion r in unit u

natural logarithm of partial pressure of component  $Lp_{u,j}$ 

*j* at output temperature of *u* 

pressure of process streams that leave unit u1 and  $P_{u1.u}$ 

enter unit u

 $PR_u$ the pressure ratio of unit u

heat duty of unit u  $Q_{u}$ 

condenser duty of column u $Qc_u$ 

overall heat exchanged in the furnace u  $Qf_u$ 

 $Qh_u$ reboiler duty of column u

annual revenues for product u  $Rev_u$ 

split fraction of stream that goes from u to u2 $Rsp_{u,u2}$ output liquid flowrate of component *j* for unit *u*  $Stl_{u,i}$  $Stv_{u,j}$ output vapor flowrate of component *j* for unit *u* temperature of process stream that leave unit u1 $T_{u1,u}$ 

and enter unit u

CCU

 $CD_{ii}$ 

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J JDr	set of components set of reactive components in the dimerization re-
וטו	actor
JIr	set of reactive components in the isomerization re- actor
JMr	set of reactive components in the metathesis reactor
JPb	set of produced components in C4 hydrogenation
J	(butenes and oligomers)
JRb	set of C4 diene and enyne compounds
Jr <sub>u</sub>	subset of reactive component in unit <i>u</i>
NFe <sub>11</sub>	contains pairs $(u1, n)$ such that the stream from
<i>u</i>	unit $u1$ to column $u$ enters in stage $n$
$NS_u$	separation stages in distillation column <i>u</i>
$RB_{ii}$	reboiler stage in unit $u$
$Ta_{ii}$	set of tasks that can be performed in unit <i>u</i>
U	set of units
$Ucb_{ii}$	set of units describing combustion reaction outside
осьи	the coils
Ucomp	set of compressor units
Ucu	subsets of units that require cooling utilities
Ud	subset of conditional units
Udc	set of distillation columns
Uds	set of disjunctive splitters
Uex	set of units excluded from material balance
Ufl	set of flash units
Uhu	subsets of units that require heating utilities
Uhx	set of heat exchanger
Uhxc	set of heat exchangers that deliver a liquid stream
00	at its bubble point
Uhxe	set of heat exchangers that deliver a vapor stream
0	at its dew point
Uhxl	set of liquid single phase heat exchangers
Uhxv	set of vapor single phase heat exchangers
Uhxw	set of vapor heat exchangers to ensure that operat-
Omm	ing pressure is lower than the dew point
$Uis_u$	set of pseudo-mixers that feed process unit <i>u</i>
$UI_u$	subset of units that has input flowrates to unit <i>u</i>
$UL_{ii}$	subset of units to which liquid streams from unit <i>u</i>
Umt	set of units that are forced to be included when the
Ome	metathesis section is selected
Umx	set of mixers
$UO_u$	subset of units that has output flowrates from unit
o o u	u
$Uos_u$	set of pseudo-splitters that deliver an output stream
0 054	from u
U pc	set of pressure changer units
U pd	set of products
Uqm	set of pairs of units that exchange heat
Ur	set of reactive units
Urm	set of raw materials
$Us_{u',u,t}^{in}$	state that is fed through pseudo-mixer $u'$ and pro-
u',u,t	cessed in unit <i>u</i> when task <i>t</i> is selected
$Us_{u,u',t}^{out}$	state that is delivered through pseudo-splitter $u'$
u,u',t	and produced in unit $u$ when task $t$ is selected
Usc	set of units describing cracking reaction inside the
030	coils
USEN	set of units that can perform different tasks
Usp	set of units that can perform universit tasks
Ust	set of states
$UV_{ii}$	subset of units to which vapor streams from unit <i>u</i>
Uves	set of vessels
JVES	SEC OF VESSES
Indices	
i	index of component

```
    m index of physical change
    p index of phases
    r index of reactions
    t index of tasks
    u index of units
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(Gong and You, 2018) studied the optimal design of an integrated shale gas separation and chemical manufacturing process by formulating a superstructure which includes steam cracking, oxidative dehydrogenation, and catalytic dehydrogenation as alternative technologies. The simultaneous optimal design of reactor networks and separation systems has been recently addressed (Kong and Maravelias, 2020a; Ryu et al., 2020). Andersen, Diaz, & Grossmann (Andersen et al., 2013) have proposed the optimal design of integrated ethanol and gasoline supply chain. Pedrozo et al.(Pedrozo et al., 2020) have proposed an algorithm for the optimal design of ethylene plants based on multivariable piecewise linear surrogate models.

NGLs-based olefin plants including propane dehydrogenation and olefin metathesis as alternatives have not been addressed in the current literature. Moreover, we should note that several process superstructure optimizations have been reported based on shortcut models for distillation columns (Kong and Maravelias, 2020b; Narváez-García et al., 2017). However, it is worth noting that even though these approaches allow simplifying the optimization model, they can introduce significant errors when compared to rigorous mass, equilibrium, summation and heat (MESH) equation models (Dowling and Biegler, 2015), and consequently, inaccurate estimations regarding economic performance can be obtained.

In this work, we propose a superstructure embedding different process technologies for an olefin plant applying the state equipment network (SEN) representation (Yeomans and Grossmann, 1999a), and rigorous equations (MESH) to model distillation columns taking into account the relevance of the separation scheme, as well as rigorous models for compressors, turboexpanders and several process equipment units. Ethane and propane steam cracking, propane dehydrogenation, and metathesis are considered as potential technologies for ethylene and propylene production. The model is formulated as a Generalized Disjunctive Programming (GDP) problem, which is solved with a custom implementation of the Logic-based Outer Approximation algorithm. Numerical results provide useful insights for integrated olefin plants, as several price scenarios are analyzed considering raw material and utility costs from the United States, the European Union, Russia and Argentina. Furthermore, we perform heat integration for the optimal configuration of each price scenario, and we assess the potential economic improvement.

# 2. Process description

The present work addresses the optimal design problem for the coproduction of ethylene and propylene based on shale gas, using ethane and propane as raw materials. The main difference between shale and natural gas is the ethane content, which is higher in shale gas. Furthermore, the existence of large reserves of shale gas in several countries leads to higher ethane availability and, consequently, lower ethane price. The different process alternatives consist of three main sections: alkane conversion, separation train and metathesis section, as is described in the following subsections (Fig. 1).

# 2.1. Alkane conversion

There are different technologies associated to different reactor networks for the transformation of ethane and/or propane into JID: CACE

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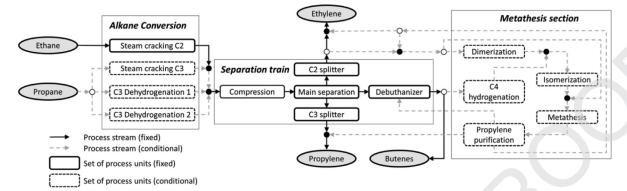


Fig. 1. Simplified superstructure of process alternatives.

olefins. In this work, we consider ethane and/or propane steam cracking and/or propane dehydrogenation.

#### 2.1.1. Ethane conversion

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The commercial technology to produce ethylene from ethane feedstock is steam cracking (SC) (Diaz and Bandoni, 1996; Schulz et al., 2000; Zimmermann and Walzl, 2009). Even though research on alternative reaction pathways to convert ethane to ethylene is an active area of research (Cavani et al., 2007; Gao et al., 2019; Pedrozo et al., 2020), the SC process is the commercial one being used, and its economic performance is difficult to challenge (Stangland, 2018).

#### 2.1.2. Propane conversion

Several technologies have been developed for specifically producing propylene (Ondrey, 2014, 2004), as it was discussed in the Introduction section. In this work, we consider three different reaction pathways for handling propane feedstock, which are: propane steam cracking, Pt-based propane dehydrogenation, and Cr-based propane dehydrogenation.

Propane steam cracking mainly produces ethylene, with lower yields than with ethane feed (Zimmermann and Walzl, 2009). It requires complex furnace reactors operating at high temperatures, as in ethane steam cracking. Ethylene can be further used to produce propylene through a metathesis process. A second alternative that has proved to be commercially successful, has been developed by UOP Oleflex and it has propane conversions per pass of the order of 36 %, with 85 % propylene selectivity (Maddah, 2018). It employs a Pt-Sn/Al<sub>2</sub>O<sub>3</sub>-based catalyst, which is highly active and stable. A third alternative is the Catofin Process, in which a propane dehydrogenation unit has 40 % propane conversion per pass, and 88 % propylene selectivity (Maddah, 2018). A low cost catalyst is used that contains 18-20 % wt of CrO<sub>x</sub> doped with 1-2 wt% of K or Na, and  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> as support (Sattler et al., 2014). There are more dehydrogenation technologies, with different catalysts that are not considered in this work.

### 127 2.2. Separation train

Since the output streams from ethane and propane conversion reactors mainly involve the same chemical components (H<sub>2</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>3</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, C<sub>4</sub><sup>+</sup>), they are mixed and sent to the same separation train to purify the olefin products. Thus, this strategy leads to process intensification. Several authors describe the separation train downstream steam cracking processes (Borralho, 2013; Schulz et al., 2005; Verma and Hu, 2008; Zimmermann and Walzl, 2009). The initial feed conditioning mainly consists of a quench tower to cool the reactor outlet stream, a series of compression stages to increase the stream pressure, an acid gas removal unit to eliminate carbon dioxide and hydrogen sulfide, and a dehydration process. The conditioned stream is further processed in a reactor

for acetylene hydrogenation, a cold box for hydrogen separation and ethylene recovery, and a sequence of distillation columns to perform product purification.

High energy savings can be achieved in this section, and there are several designs for this separation train aiming at economic improvement. The best option depends on the inlet stream composition, and they are mainly labeled by the first separation task. Thus, the main design configuration consists of demethanizer first, deethanizer first or depropanizer first scheme (Zimmermann and Walzl, 2009). The separation train also includes a debutanizer column, and ethane-ethylene and propane-propylene splitters. Ethane and propane are recycled to reactors in the alkane conversion section (see Fig. 1). The butane top stream from the debutanizer column can be either sold or fed to the Metathesis section, and a pyrolysis gasoline bottoms stream is sold as a byproduct.

# 2.3. Metathesis section

An alternative process to produce propylene is ethylene and butenes metathesis (Mazoyer et al., 2013). In this process, propylene selectivity is around 95 %, and butenes conversion per-pass is over 60 % (Ondrey, 2004). This technology employs a mixture of  $WO_3/SiO_2$  and MgO, as catalysts for the metathesis and isomerization reactions, respectively (Mol, 2004). One important advantage associated to this process is that the reactor output stream does not contain ethane or propane. Therefore, distillation columns with a large number of trays are not required, and less energy is consumed to separate the olefin products.

Raw materials are ethylene and a butene mixture. Since the butene mixture stream is fed from the ethane and propane conversion section, it contains vinylacetylene, butylene, and isomers of 1butene. For this reason, this stream is previously fed to a selective hydrogenation reactor to eliminate diene and enyne compounds (Koeppel et al., 1994). The outlet stream from this reactor is fed to an isomerization reactor to increase trans-2-butene content (Jiang et al., 2016). The outlet butene stream is mixed with ethylene and heated up to the reaction temperature, which is around 260 °C (Ondrey, 2004). The outlet stream is fed to a fixed bed catalytic reactor where the metathesis reaction takes place (Jiang et al., 2016). The metathesis reactor outlet stream is a mixture of ethylene, propylene, butenes, pentanes and heavier hydrocarbons. This stream is sent to a separation train, whose products are: ethylene, propylene and C<sub>4</sub><sup>+</sup>. The ethylene stream can be recycled or mixed with the ethylene product stream; the propylene stream is mixed with the propylene stream product; and the  $C_4^+$  stream is sent to the debutanizer column in the main separation train section. In this last process, referred to as "Propylene purification", there are discrete decisions regarding the separation sequence.

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#### 3. Methodology

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#### 3.1. Generalized disjunctive programming

In this work, we propose a superstructure optimization approach for the optimal design of an ethylene-propylene coproduction plant embedding models for the units described in Section 2. We represent the superstructure with a Generalized Disjunctive Programming (GDP) model (Chen et al., 2018; Trespalacios and Grossmann, 2014; Vecchietti and Grossmann, 2000), in which the presence of process units is associated to Boolean variables. Its general formulation is as follows,

The objective function is the net present value (NPV) maximization, subject to general equations, disjunctions for units, disjunctions for the state equipment network (SEN) representation of distillation columns and logical equations.  $Y_u$  is the Boolean variable that is true if unit u is selected, and false otherwise;  $YT_{u,t}$  is the Boolean variable that is true if task t is performed in unit u, and false otherwise; and x are continuous variables that include material flows, operating temperatures and pressures, enthalpy flows, unit internal variables, and capital costs; Ud is the subset of conditional units; USEN is the set of units that can perform different tasks; and  $Ta_u$  is the set of tasks that can be performed in unit u.

To solve the complex GDP problem, we have implemented the Logic-based Outer Approximation algorithm (Chen et al., 2018; Türkay and Grossmann, 1996; Vecchietti and Grossmann, 2000) within the modeling environment GAMS (Rosenthal, 2014), as described in Section 3.3.

# 3.2. State equipment network

We propose a state-equipment-network (SEN) approach to model potential distillation trains, as well as alternative acetylene reactor configurations. The main separation train is a critical part of the process economics. Due to the combinatorial alternatives, the problem complexity increases with the number of units and tasks included in the superstructure. Yeomans and Grossmann (Yeomans and Grossmann, 1999a) have proposed the stateequipment-network (SEN) representation, which allows a systematic problem formulation. These authors also proposed the statetask-network (STN) approach (Yeomans and Grossmann, 1999a). However, we select the SEN representation because it requires fewer equipment units and leads to a smaller problem than STN, and it is more suitable for rigorous distillation sequences (Yeomans and Grossmann, 2000). For instance, the superstructure of a distillation train to separate four components includes ten columns for the STN representation, while the formulation with SEN requires three columns for the same separation train. Furthermore, in SEN representation, predefined and non-existent process units are avoided since the number of units depends on the number of components to be separated. Consequently, the number of flow and size variables forced to be zero is reduced, and the potential

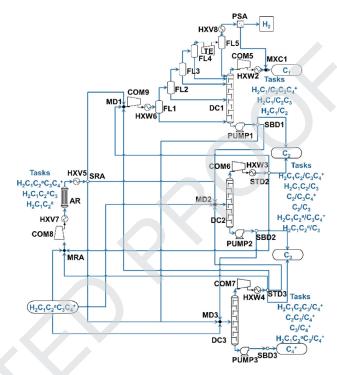


Fig. 2. State-equipment-network representation of the main separation train.  $H_2$  (hydrogen),  $C_1$  (methane);  $C_2$  (ethane and ethylene);  $C_2$ <sup>a</sup> (ethane, ethylene, and acetylene);  $C_3$  (components with 3 carbon atoms), and  $C_4$ <sup>+</sup> (components with 4 or more carbon atoms).

issues regarding singularities are also reduced (Kocis and Grossmann, 1989; Türkay and Grossmann, 1996). In SEN, the feed and produced states of equipment units depend on potential tasks that can be performed in each unit.

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In this work, the task assignment is based on the location of the separation cut for distillation columns (Novak et al., 1996; Yeomans and Grossmann, 1999b). While this approach is more complex in terms of column connectivity than the one proposed in the literature (Yeomans and Grossmann, 1999a), it has the potential to improve computational performance during the optimization procedure since it presents less variation in physical properties between discrete tasks (Mencarelli et al., 2020).

In the superstructure representation, we include units (u), which can correspond to process equipment units, raw materials, products or states, as shown in Fig. 2. The streams are defined by the connection between two different units. Thus,  $F_{u1,u,j}$  and  $x_{u1,u,j}$ are molar flowrate and molar fraction of component j for the process stream that leaves u1 and enters u, respectively.  $T_{u1.u}$ ,  $P_{u1.u}$ , and  $H_{u1,u}$  correspond to temperature, pressure and enthalpy flow of process streams that leave unit u1 and enter unit u. For the sake of simplicity, unit u output temperature is mapped into variable  $Tu_u$ , while  $Q_u$  and  $W_u$  are heat duty and work associated to unit u, respectively. The phase of a stream is predefined, except for streams related to states. Thus, the calculation of pure component enthalpy depends on its phase.  $Hj_{p,u,j}$  is the specific enthalpy in phase p of component j evaluated at the process stream temperature that leaves unit u. In the same way,  $xv_{u,i}$  and  $xl_{u,i}$  are vapor and liquid stream molar fractions for component j leaving unit uof, respectively. Regarding the economics,  $CC_u$  is the capital cost associated to unit *u*.

States (*Ust*) are used to model discrete decisions regarding the separation system (see Fig. 2). Splitters and mixers with only one non-zero input and output stream are modeled with linear equations to eliminate nonconvex terms (Kocis and Grossmann, 1989). These units are considered to be states and referred to as SEN-mixers and SEN-splitters.

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The three candidate columns to be the first one and the acetylene reactor are shown in Fig. 2, where the possible input and output states in each equipment unit are also shown. These states are defined by the presence of component groups, which are as follows:  $H_2$  (hydrogen),  $C_1$  (methane);  $C_2$  (ethane and ethylene);  $C_2^a$  (ethane, ethylene, and acetylene);  $C_3$  (components with 3 carbon atoms), and  $C_4^+$  (components with 4 or more carbon atoms). As equipment unit interconnections shown in Fig. 2 are unknown beforehand, they are subject to discrete decisions and modeled as described in the next section. The acetylene hydrogenation reactor has also been modeled with a SEN approach. We only consider front-end configurations for the acetylene hydrogenation unit since this configuration is the most energy-efficient one (Zimmermann and Walzl, 2009). Consequently,  $H_2$ ,  $C_1$  and  $C_2^a$  species must be in the acetylene reactor feed stream.

Considering that each unit can only perform one task, Fig. 2 shows that each state has at most one non-zero input flow and output flow. Besides, considering that flowrate, temperature, pressure and enthalpy of non-existing streams are forced to be zero, state units include the following equations:

$$\sum_{u1 \in UI_u} T_{u1,u} = \sum_{u2 \in UO_u} T_{u,u2} \ u \in Ust$$
(1.1)

$$\sum_{u1\in UI_u} P_{u1,u} = \sum_{u2\in UO_u} P_{u,u2} \ u\in Ust$$
 (1.2)

$$\sum_{u1\in Ul_u} H_{u1,u} = \sum_{u2\in UO_u} H_{u,u2} \ u\in Ust$$
 (1.3)

where  $UI_u$  and  $UO_u$  are subsets of units that define the connection between units.

 $UI_u = \{u1 : u \text{ has input flowrate from } u1\}$ 

 $UO_{ij} = \{u2 : u \text{ has out put flowrate to } u2\}$ 

Regarding the disjunctions associated with the SEN representation, they include the state assignment based on task selection in equipment units. The corresponding formulation is as follows:

$$V_{t \in Ta_{u}} \begin{bmatrix} YT_{u,t} & j \in J \\ F_{u1,u',j} = F_{u',u2,j} \\ T_{u1,u'} = T_{u',u2} \\ P_{u1,u'} = P_{u',u2} \\ H_{u1,u'} = H_{u',u2} \\ F_{u',u2,j} = F_{u1,u',j} \\ T_{u',u2} = T_{u1,u'} \\ P_{u',u2} = P_{u1,u'} \\ H_{u',u2} = H_{u1,u'} \end{bmatrix} \begin{array}{c} j \in J \\ u1 \in US_{u}^{in}, u, t \\ u2 \in UO_{u'} \\ u' \in Uis_{u} \\ u1 \in UI_{u'} \\ u1 \in UI_{u'} \\ u2 \in Us_{u,u',t} \\ u' \in Uos_{u} \end{bmatrix} u \in USEN$$

$$USEN = \{DC1, DC2, DC3, AR, DC7, DC8\}$$

where  $Us_{u',u,t}^{in}$  is the state that is fed through SEN-mixer u' and processed in unit u when task t is selected;  $Us_{u,u',t}^{out}$  is the state that is delivered through splitter u' and produced in unit u when task t is selected;  $Uis_u$  is the set of SEN-mixers that feed process unit u;  $Uos_u$  is the set of SEN-splitters that deliver an output stream from u.  $YT_{u,t}$  and  $Ta_u$  are defined in Section 3.1.

Eqs. (3.1)-(3.5) are included to enforce the consistency of tasks in columns (Yeomans and Grossmann, 2000). Eqs. (3.1) and (3.4) ensure that the initial states are only fed to one unit, while constraints (3.2) and (3.3) allow only one source for states  $C_2$  and  $C_3$ , respectively. Eq. (3.5) is an exclusive OR of the tasks performed in an equipment unit.

$$YT_{DC2,C_1C_2^a/C_3C_4} \underline{\vee} YT_{DC3,C_1C_2^aC_3/C_4} \underline{\vee} YT_{C2H,C_1C_3^*C_3C_4}$$
(3.1)

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$$YT_{DC3,C_3/C_4} \underline{\vee} YT_{DC2,C_1C_2/C_3} \underline{\vee} YT_{DC2,C_2/C_3} \underline{\vee} YT_{DC2,C_1C_2^a/C_3}$$
 (3.3)

$$YT_{DC7,C_2/C_3C_4} \underline{\vee} ! YT_{DC8,C_2C_3/C_4}$$
 (3.4) 312

$$V_{t \in Ta} Y T_{u,t} \ u \in USEN$$
 (3.5)

# 3.3. Logic-based outer approximations algorithm

The Logic-based Outer Approximation (L-bOA) algorithm is applied to solve the complex GDP model that exploits the logical structure to avoid "zero-flow" numerical difficulties that arise in nonlinear network design problems when nodes or streams disappear. In this work, we have carried out a custom implementation of L-bOA in GAMS, as it is no longer available in commercial software environments (LOGMIP). The L-bOA algorithm (Türkay and Grossmann, 1996, Vecchietti and Grossmann, 2000, Chen et al., 2018) proceeds by performing a set covering step in which selected Nonlinear Programming (NLPs) subproblems are solved for nonlinear disjunctions, whose solutions provide points to obtain linearizations for all nonlinear constraints involved in the disjunctions of the original GDP model. The lowest solution value of these NLP subproblems yields an upper bound to the problem (z1), in a minimization case. Thus, a linear GDP is generated, which is in turn reformulated (in our case) through a Big M reformulation as an MILP (including the Equation Relaxation-Augmented Penalty function, ER-AP, strategy). The solution of this MILP provides an estimate of the optimal topology. This information is transferred to the GDP problem in order to fix Boolean variables at the optimal topology and to solve a reduced NLP subproblem. If the objective function value z2 is greater than z1, the optimal solution is found (worsening of the objective function); otherwise, the upper bound to the problem is updated to z2, and new linearizations and integer cuts are added to the linear GDP and iterations continue until convergence.

# 4. Mathematical model

The proposed superstructure includes rigorous models for different types of reactors, heat exchangers, flash tanks, a turboexpander, compressors, pumps, mixers, splitters, distillation columns and states. The performance of each equipment unit model has been compared to Aspen Plus rigorous simulations of the corresponding unit, resulting in relative errors of less than 4 %. Equipment units are described in this section, except for the case of flash tanks and the turboexpander, whose models are described in the Supplementary Material. Furthermore, we develop correlations for pure component properties, for which the R<sup>2</sup> coefficients are higher than 0.99 in each case.

# 4.1. General equations

Material balance equations for process units are formulated as follows.

$$\sum_{u1\in UI_u} F_{u1,u,j} = \sum_{u2\in UO_u} F_{u,u2,j} \ j\epsilon J, \ u\epsilon U \setminus Uex \tag{4}$$

where U is the set of units, and Uex is the set of units excluded from this balance, which includes reactors, raw material, and products.  $UI_u$  and  $UO_u$  are defined in Section 3.2.

Pressure balances are written as follows,

$$P_{u1,u} \leq P_{u,u2} \ u1\epsilon UI_u, u2\epsilon UO_u, u\epsilon U \setminus (Upc \cup Ust) \tag{5.1}$$

$$P_{u1,u} \ge P_{u,u2} \ u1 \in UI_u, \ u2 \in UO_u, u \in \{Upc \cup Ust\}$$
 (5.2)

where Upc is the set of pressure change units, which includes compressors and pumps, and Ust is the set of states. In particular cases, such as reactive furnaces and propane dehydrogenation reactors, a pressure drop in u is considered and denoted by  $DPu_u$ .

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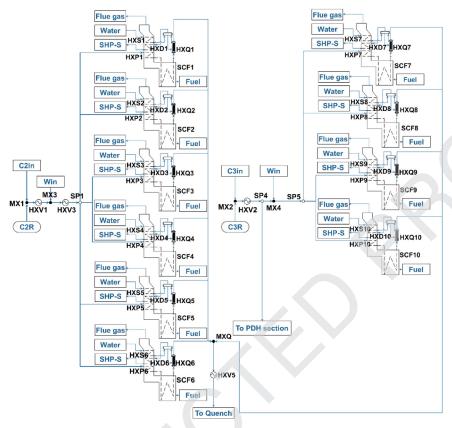


Fig. 3. Ethane and propane cracking furnaces. C2in (ethane). C3 (propane). C2R (Ethane recycle). SHP-S (super high-pressure steam).

Heat balances for reactors (Ur), heat exchangers (Uhx) and vessel units (Uves) are as follows

$$Q_{u} = \sum_{u2 \in UO_{u}} H_{u,u2} - \sum_{u1 \in UI_{u}} H_{u1,u} \ u \in \{Ur \cup Uhx \cup Uves\}$$
 (6)

Vapor and liquid enthalpy of pure components are calculated with Eqs. (7.1) and (7.2), respectively, which are low order polynomial functions derived from DIPPR database (Wilding et al., 1998) for tighter temperature bounds associated to process conditions,

$$f_j^{VAP}(Tu_u) = H_j^0 + \sum_{i=1}^4 \frac{cf_{CP,j,i}}{i} (Tu_u^i - Tref^i)$$
 (7.1)

$$f_j^{LIQ}(Tu_u) = \sum_{i=1}^{5} c f_{HL,j,i} Tu_u^{i-1}$$
 (7.2)

where  $cf_{CP,j,i}$  and  $cf_{HL,j,i}$  are parameters, and  $H_j^0$  is the component enthalpy evaluated at the reference temperature Tref. Partial pressures of pure components are calculated with the extended Antoine equation (Green and Perry, 2007), as follows,

$$f_{j}^{pvap}(Tu_{u}) = cf_{AN,j,1} + \frac{cf_{AN,j,2}}{Tu_{u}} + cf_{AN,j,3}Log(Tu_{u}) + cf_{AN,j,4}Tu_{u}^{cf_{AN,j,5}}$$
(8)

where  $cf_{AN,i,i}$  are component parameters.

Molar Liquid volume of pure components are calculated through a polynomial function based on DIPPR database (Wilding et al., 1998), as follows,

$$f_j^b(Tu_u) = \sum_{i=1}^4 c f_{VL,j,i} \cdot Tu_u^{i-1}$$
(9)

# 380 4.2. Cracking furnaces

The cracking furnaces are modeled as a combination of units to consider the alkane steam cracking reaction inside coils, natural gas combustion outside the coils, feeding preheating, and the integration for the super high-pressure steam (SHP-steam).

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Two reactive sections are identified in the furnace; the first one (Usc) describes the cracking reaction inside the coils, while the second one describes the combustion reaction outside the coils  $(Ucb_u)$ . As both sections have gas outlet streams, they include equations to calculate outlet stream vapor enthalpy (Eq. (7.1)) and the duty (Eq. (6)). It should be noted that fuel combustion outside coils supplies heat to the cracking reaction, where there is one-to-one assignment between units in  $Ucb_u$  and Usc, and this constraint is represented in (10).

$$Q_u + Q_{u1} = 0 \ u1 \epsilon Ucb_u, u \epsilon Usc \tag{10}$$

The cracking furnaces are modeled with disjunction (11), where  $Qf_u$  is the overall heat exchanged in the furnace; and  $Ucbo_{u3}$  is the subset of units including the heat exchanger that provides heat from the flue gas in u3; SCF1 to SCF6 are ethane steam crackers and SCF7 to SCF10 are propane steam crackers (see Fig. 3). Regarding reactions within coils, the reactive furnaces can employ ethane or propane as raw material, thus,  $Jr_u$  is defined as the set that contains this reactive species in unit u. The cracking reactions are modeled with carbon yields  $(CY_{u,j1,j})$ , where the parameter  $CY_{u,j1,j}$  is the carbon yield of component j from reactive component i1 in unit u, which is obtained from mass yields reported in the literature (Zimmermann and Walzl, 2009). Atom balances are also included, where the parameter  $At_{j,a}$  is the number of atoms a in component j. Furthermore, the variables  $Fi_{j1,j}$  and  $Hi_{j1}$  are auxiliary variables included to ensure that furnaces fed with the same raw material have the same feed flowrate. Complete combustion of fuel is assumed in furnaces with a 10% excess of air. Non-reactive steps in these units correspond to the heat exchanger network within the furnace, and are modeled through vapor phase heat exchangers, whose disjunctive models are presented in Section 4.7.

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$$\begin{cases} F_{u1,u,j1}At_{j1,C}CY_{u,j1,j} + At_{j,C}\left(F_{u1,u,j} - F_{u,u2,j}\right) = 0 \ j \in J, j 1 \in J r_u \\ \sum_{j \in J} F_{u1,u,j}At_{j,a} = \sum_{j \in J} F_{u,u2,j}At_{j,a} \ a \in AT \end{cases} \\ Hj_{VAP,u,j} = f_{j}^{VAP}\left(T_{u,u2}\right) \\ H_{u,u2} = \sum_{j \in J} Hj_{VAP,u,j}F_{u,u2,j} \\ Tu_{u} = T_{u,u2} \\ P_{u,u2} = P_{u1,u} - DPu_{u} \\ H_{u1,u} = Hi_{j1} j \in J, j 1 \in J r_u \\ H_{u1,u} = Hi_{j1} j \in J, j 1 \in J r_u \\ H_{u1,u} = 0 \\ H_{$$

As the cracking furnace section includes several units apart from the furnaces themselves (Fig. 3), the selection of one furnace implies the selection of the associated equipment units. Therefore, logical equations are included to take into account these constraints. For instance, the selection of the first furnace (SCF1), which operates with ethane feed, involves the selection of combustion box CB1, the feeding preheater HXP1, the steam overheater HXD1, the transfer line exchanger HXQ1, and the water preheater HXS1, whose existence is modeled through the following logical expressions:

$$Y_{SCF1} \Leftrightarrow Y_{CB1}; Y_{SCF1} \Leftrightarrow Y_{HXP1}; Y_{SCF1} \Leftrightarrow Y_{HXD1}; Y_{SCF1} \Leftrightarrow Y_{HXD1}; Y_{SCF1} \Leftrightarrow Y_{HXD1}$$
(12)

Analogous equations are included for the rest of the steam cracking furnaces, and these logical relations are transformed into integer constraints according to the literature (Raman and Grossmann, 1991).

Furthermore, there are symmetric solutions since there is no difference between the furnaces that process the same alkane. For this reason, we include symmetry breaking Eqs. (13.1) and (13.2) for ethane and propane furnaces, respectively.

$$Y_{SCF2} \Rightarrow Y_{SCF1}; Y_{SCF3} \Rightarrow Y_{SCF2}; Y_{SCF4} \Rightarrow Y_{SCF5}; Y_{SCF5} \Rightarrow Y_{SCF6}$$

$$(13.1)$$

$$Y_{SCF7} \Rightarrow Y_{SCF8}; Y_{SCF8} \Rightarrow Y_{SCF9}; Y_{SCF9} \Rightarrow Y_{SCF10}$$

$$(13.2)$$

The selection of the first furnace to process ethane (*SCF*1) implies the presence of the units related to ethane feed, then the logic implications (14.1) are also included, which means the presence of the heat exchangers *HXV*1, *HXV*3, the mixers *MX*1 and *MX*3, and the splitter *SP*1. In the same way, the selection of the first furnace that processes propane (*SCF*7) involves logic equations shown in (14.2), which imply the presence of the mixer *MX*4 and the splitter *SP*5.

$$Y_{SCF1} \Leftrightarrow Y_{HXV1}; Y_{SCF1} \Leftrightarrow Y_{HXV3}; Y_{SCF1} \Leftrightarrow Y_{MX1}; Y_{SCF1} \Leftrightarrow Y_{MX3}; Y_{SCF1} \Leftrightarrow Y_{SP1}$$

$$(14.1)$$

$$Y_{SCF7} \Leftrightarrow Y_{MX4}; Y_{SCF7} \Leftrightarrow Y_{SP5}$$
 (14.2)

The reactor size for ethylene production is a design variable since this olefin is required to satisfy market demand, but it could also be transformed into propylene in the plant. Thus, discrete decisions also appear in the number of furnaces to be included in this process.

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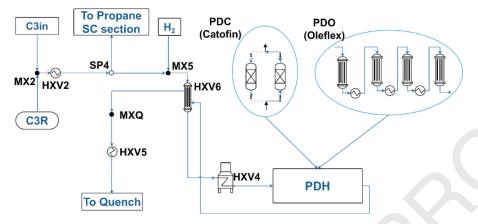


Fig. 4. Propane dehydrogenation alternatives (PDC and PDO).

#### 4.3. Propane dehydrogenation reactors

Reactors for propane dehydrogenation are modeled applying carbon yields, which were obtained from the literature, (Chin et al., 2011) and (Węgrzyniak et al., 2017), for Oleflex and Catofin processes, respectively. It should be noted that in the case of including a propane dehydrogenation unit in the plant, only one technology can be selected, either the Pt-based or Cr-based process, as is shown in Fig. 4. In this case, we have embedded disjunctions. The first level decision is the selection of a propane hydrogenation unit, while the second level one is the specific technology selection, Oleflex or Catofin, which have different product selectivity and capital costs. Besides, the Oleflex process requires hydrogen co-feeding.

$$\begin{bmatrix} Z_{PDC} & Z_{PDO} \\ 3 F_{u1,PDH,C_3H_8} CY_{PDC,C_3H_8,j} + \\ At_{j,C} (F_{u1,PDH,j} - F_{PDH,u2,j}) = 0 \ j \in J \\ F_{H2,MX5,H_2} = 0 \\ CC_{PDH} = g^{PDC} (F_{PDH,u2,C_3H_8}) \end{bmatrix} \bigvee \begin{bmatrix} X_{PDO} \\ 3 F_{u1,PDH,j} - F_{PDH,u2,j}) = 0 \ j \in J \\ F_{H2,MX5,H_2} = 0 \\ CC_{PDH} = g^{PDC} (F_{PDH,u2,C_3H_8}) \end{bmatrix} \bigvee \begin{bmatrix} Carbon yields and capital cost \\ T_{PDH} \\ F_{u1,PDH,j} - F_{PDH,u2,j}) = 0 \ F_{PDH,u2,j} \\ F_{u1,PDH,j} = 0 \\ F_{PDH,u2,C_3H_8} \end{bmatrix} \bigvee \begin{bmatrix} T_{PDH} \\ F_{u1,PDH,j} = 0 \\ F_{PDH,u2,j} = 0 \\ F_{PDH,u2} = 0 \\ F$$

Logic relations derived from the nested disjunctions are as follows:

$$Z_{PDC} \underline{\vee} Z_{PDO}; \ Z_{PDC} \vee Z_{PDO} \Leftrightarrow Y_{PDH}; Y_{PDH} \Leftrightarrow Y_{MX5}; Y_{PDH} \Leftrightarrow Y_{HXV4}; Y_{PDH} \Leftrightarrow Y_{HXV6}$$

$$\tag{16}$$

where  $Z_{PDC}$  and  $Z_{PDO}$  are Boolean variables associated with the selection of propane dehydrogenation through Catofin process and Oleflex process, respectively.

4.4. Hydrogenation reactors 442

#### 4.4.1. Acetylene hydrogenation reactor

In the acetylene dehydrogenation reactor (AR), front-end hydrogenation is considered as it has numerous advantages over tail-end configuration (Zimmermann and Walzl, 2009). These include no hydrogen make-up, catalyst regeneration is less frequent, and light ends do not have to be removed in ethylene splitters, allowing operation at low pressure in this unit. As mentioned in Section 3.2, the reactor (AR) has been modeled with a SEN approach (see Fig. 2). A surrogate model is developed for this reactor with ALAMO (Cozad et al., 2014), based on a rigorous model (Mansoornejad et al., 2008). The surrogate model provides good agreement with data from the rigorous model, giving an  $R^2$  coefficient higher than 0.99, which is considered accurate enough for this application. In this way, ethylene conversion ( $XV_{C_2H_4}$ ) is calculated from (17.1). The relevant variables of this surrogate are the input molar fraction of acetylene ( $x_{HXV7.AR,C_2H_2}$ , calculated from Eq. (17.2)), and acetylene conversion ( $XV_{C_2H_2}$ , calculated from Eq. (17.3)). Furthermore, this unit includes atom balances for chemical species that are involved in reactions (17.4), material balances for inert species (17.5), and equations to calculate the output stream vapor enthalpy (17.6) and (17.7). Equation (17.8) is a connection equation, and the capital cost is estimated with Eq. (17.9).

$$XV_{C_2H_4} = f^{XE}(X_{HXV7,AR,C_2H_2}, XV_{C_2H_2})$$
(17.1)

$$x_{u1,AR,C_2H_2} \sum_{i,j \in I} F_{u1,AR,j1} = F_{u1,AR,j} \ j \in J, \ u1 \in UI_{AR}$$
(17.2)

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 $F_{u1,AR,j}(1-XV_j) = F_{AR,u2,j} \ u1 \in UI_{AR}, \ u2 \in UO_{AR}, \ j \in \{C_2H_2, C_2H_4\}$ (17.3)

 $\sum_{j \in \{H_2, C_2 H_2, C_2 H_4, C_2 H_6\}} \left( F_{u_{1,AR,j}} A t_{j,a} - F_{AR,u_{2,j}} A t_{j,a} \right) = 0 \ u 1 \in U I_{AR}, \ u 2 \in U O_{AR}, \ a \in \{C, H\}$  (17.4)

$$\sum_{u1 \in UI_{AR}} F_{u1,AR,j} = \sum_{u2 \in UO_{AR}} F_{AR,u2,j} \ j \in J \setminus \{H_2, C_2H_2, C_2H_4, C_2H_6\}$$
(17.5)

$$Hj_{VAP,AR,j} = f_j^{VAP}(T_{AR,u2}) \ u2\epsilon UO_{AR}$$
 (17.6)

$$H_{AR,u2} = \sum_{j \in J} H_{j_{VAP,AR,j}} F_{AR,u2,j} \ u2 \in UO_{AR}$$

$$\tag{17.7}$$

$$Tu_{AR} = T_{AR,u2} \ u2\epsilon UO_{AR} \tag{17.8}$$

$$CC_{AR} = g^{AR} (F_{u_1, AR, C_2H_2}) u_1 \in UI_{AR}$$
 (17.9)

#### 4.4.2. Methylacetylene and Propadiene (MAPD) hydrogenation reactor

The catalytic hydrogenation of C3 cut (C3H) is required to eliminate methylacetylene and propadiene (MAPD). A rigorous model based on the literature (Qian et al., 2015) is formulated to represent this unit. Numerical results obtained with this model show that the selectivity towards propylene is high enough to assume that there is full conversion from MAPD to the olefin (18.1) and its output flowrate is zero (18.2). On the other hand, Eq. (18.3) calculates hydrogen flowrate entering the unit ( $H2C_u$ ), and Eq. (18.4) is a material balance for inert chemical species. Further, since the hydrogenation reaction takes place in liquid phase, it is assumed that the output stream is at its bubble point. To consider this equilibrium condition, Eqs. (18.5)-(18.11) are included, where  $Lp_{u,j}$  is the logarithm of the vapor pressure, which is calculated from the extended Antoine equation (Green and Perry, 2007).

$$\sum_{u1 \in UI_u} \left( F_{u1,C3H,C_3H_4} + F_{u1,C3H,C_3H_6} \right) = \sum_{u2 \in UO_u} F_{C3H,u2,C_3H_6} \tag{18.1}$$

$$F_{C3H,u2,C_3H_4} = 0 \ u2\epsilon UO_{C3H}$$
 (18.2)

$$H2C_{C3H} = F_{u1,C3H,C_3H_a} u1\epsilon UI_{C3H}$$

$$\tag{18.3}$$

$$\sum_{u1 \in UI_u} F_{u1,C3H,j} = \sum_{u2 \in UO_u} F_{C3H,u2,j} \ j \in J \setminus \{C_3H_4, C_3H_6\}$$
(18.4)

$$Hj_{\text{LIQ},C3H,u2,j} = f_i^{\text{LIQ}}(Tu_{C3H}) \ j \in J, u2 \in UO_{C3H}$$
 (18.5)

$$H_{C3H,u2} = \sum_{i \in I} H j_{UQ,C3H,j} F_{C3H,u2,j} \ u2\epsilon U O_{C3H}$$
 (18.6)

$$Tu_{C3H} = T_{C3H,u2} \ u2 \in UO_{C3H}$$
 (18.7)

$$Lp_{C3H,j} = f_i^{pvap}(Tu_u) \ j \in J$$
(18.8)

$$xI_{C3H,j} \sum_{i1 \in I} F_{u1,C3H,j1} = F_{u1,C3H,j} \ j \in J, u1 \in UI_{C3H}$$
(18.9)

$$\sum_{i \in I} \exp(Lp_{C3H,j}) \times l_{C3H,j} = P_{C3H,u2} \ u2 \in UO_{C3H}$$
(18.10)

$$CC_{C3H} = g^{C3H}(F_{C3H,u2,j}) \ u2\epsilon UO_{C3H}$$
 (18.11)

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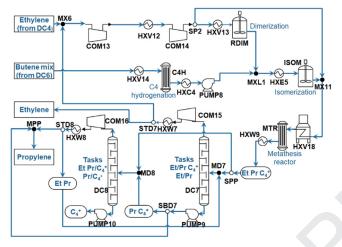


Fig. 5. Metathesis section.

### 4.5. Metathesis section model

In this section propylene is produced through ethylene and butenes metathesis (Mazoyer et al., 2013). The entire process is shown in Fig. 5 and includes a dimerization, C4 hydrogenation, isomerization and metathesis reactors, as well as two product purification columns.

A detailed description of the corresponding mathematical models is given below.

### 4.5.1. Dimerization reactor

The dimerization reactor (*DIM*) model is formulated based on carbon yields of reactive species (*JDr*) reported in the literature (Forestière et al., 2009), and material balances for inert species. As this reactor operates at the mixture bubble point, its model includes equations similar to those included for the C3 cut hydrogenation. The following disjunction is included:

$$\begin{bmatrix} Y_{u} \\ (F_{u1,u,j} - F_{u,u2,j}) At_{j,c} + 2 & CY_{u,c_{2}H_{4,j}} F_{u_{1,u,c_{2}H_{4}}} = 0 & j \in JDr \\ F_{u1,u,j} = & F_{u,u2,j} & j \in J \setminus Dr \\ Hj_{LlQ,u,j} = & f_{j}^{LlQ} (Tu_{u}) & j \in J \\ Hu_{u,u2} = & \sum_{j \in J} Hj_{LlQ,u,j} F_{u,u2,j} \\ Tu_{u} = & T_{u,u2} \\ \sum_{j \in J} F_{u1,u,j1} = & F_{u1,u,j} & j \in J \\ \sum_{j \in J} \exp(Lp_{u,j}) & x_{u1,u,j} = & P_{u,u2} \\ Lp_{u,j} = & f_{j}^{pvap} (Tu_{u}) \\ CC_{u} = & g^{RDIM}(F_{u1,u,j}, Tu_{u}) \\ u2 \in UO_{u}, u1 \in UI_{u}, u \in \{RDIM\} \\ JDr = & \{C_{2}H_{4}, 1 - C_{4}H_{8}, C_{6}H_{12}\} \end{bmatrix}$$
Carbon yield Inert compound balances
$$Enthalpy \ eqns. \\ \begin{bmatrix} \neg Y_{u} \\ Q_{u} = 0 \\ CC_{u} = 0 \end{bmatrix}$$

$$Q_{u} = 0 \\ CC_{u} = 0 \end{bmatrix}$$
Bubble point eqns.
$$Capital \ cost$$

There are logic constraints to account for a preheating step (*HXV*13), which will not be included if the metathesis reactor (*MTR*) is not 48 selected in the plant:

$$Y_{RDIM} \Leftrightarrow Y_{HXV13}; Y_{RDIM} \Leftrightarrow Y_{MTR}$$
 (20)

# 4.5.2. C4 hydrogenation reactor

The hydrogenation of the C4 cut (*C*4*H*) is modeled by using carbon yield reported in the literature (Koeppel et al., 1994). Total conversion of diene and enyne compounds (*JRb*) into butenes and oligomers (*JPb*) is assumed. The following disjunction is included to represent the C4 hydrogenation reactor:

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$$\begin{cases} Y_{u} \\ (F_{u1,u,j} - F_{u,u2,j}) At_{j,C} + \sum_{j1 \in JRb} CY_{u,j1,j} F_{u1,u,j1} At_{j1,C} = 0 \ j \in JPb \\ F_{u,u2,j} = 0 \ j \in JRb \\ 2 \ H2C_{u} = \sum_{j \in J} At_{j,H} (F_{u,u2,j} - F_{u1,u,j}) \\ F_{u1,u,j} = F_{u,u2,j} \quad j \in J \setminus \{JRb \cup JPb\} \\ Hj_{VAP,u,j} = f_{j}^{VAP} (T_{u,u2}) \ j \in J \\ H_{u,u2} = \sum_{j \in J} Hj_{VAP,u,j} F_{u,u2,j} \} \\ Enthalpy \ eqns. \end{cases}$$

$$CC_{u} = g^{C4H} (F_{u1,u,j}) \quad Capital \ cost \\ u2 \in UO_{u}, u1 \in UI_{u}, u \in \{C4H\} \\ JRb = \{C_{4}H_{4}, C_{4}H_{6}\} \\ JPb = \{1 - C_{4}H_{8}, cis - 2 - C_{4}H_{8}, C_{6}H_{12}\}$$

$$(21)$$

#### 4.5.3. Isomerization and metathesis reactors

Reactors for isomerization (*ISO*) and metathesis (*MTR*) have similar modeling equations, and their reaction products are assumed to be in equilibrium (Eqs. 22 and 23). Data from the literature (Jiang et al., 2016) are used to obtain correlations for the natural logarithm of the equilibrium constant of reaction r in unit u ( $KTeq_{u,r}$ ). In Eqs. (22) and (23), set r may contain reactions 1, 2 and/or 3. Reactions 1, 2 and 3 refer to isomerization of 1-butene to trans-2-butene, isomerization of cis-2-butene to trans-2-butene, and metathesis of ethylene and trans-2-butene to propylene, respectively. It should be noted that reactions 1 and 2 occur in the isomerization reactor, while the three reactions take place in the metathesis reactor. Since the number of moles remains constant in these reactions, the equilibrium constraints are written as linear relations of  $Ftl_{u,u2,j}$ , which are logarithmic transformations of outlet flowrate for reactive species (JIr in isomerization and JMr in metathesis reactor). In this way, the number of nonlinear terms in the model is reduced. Furthermore, in the case of metathesis, selectivities towards higher alkenes are considered by using the parameters  $MTS_j$ , which were also taken from the literature (Jiang et al., 2016)

In this way, disjunctions corresponding to isomerization and metathesis reactors are given by Eqs. (22) and (23), respectively.

$$\begin{cases} Y_{u} \\ KTeq_{u,r} = f_{r}^{k}(Tu_{u}) \ re\{1,2\} \\ KTeq_{u,1} = Ftl_{u,u2,trans-2-C_{4}H_{8}} - Ftl_{u,u2,cis-2-C_{4}H_{8}} \\ KTeq_{u,2} = Ftl_{u,u2,trans-2-C_{4}H_{8}} - Ftl_{u,u2,cis-2-C_{4}H_{8}} \\ \exp(Ftl_{u,u2,j}) = F_{u,u2,j} \ jeJIr \\ \sum_{j \in J} F_{u1,u,j} At_{j,a} = \sum_{j \in J} F_{u,u2,j} At_{j,a} \ ae\{C,H\} \\ \sum_{j \in J} F_{u1,u,j} = \sum_{j \in J} F_{u,u2,j} \ jeJJIr \\ F_{u1,u,j} = F_{u,u2,j} \ jeJJIr \\ Hj_{VAP,u,j} = f_{j}^{VAP}(T_{u,u2}) \\ Hu_{,u2} = \sum_{j \in J} Hj_{VAP,u,j} F_{u,u2,j} \\ Tu_{u} = T_{u,u2} \\ CC_{u} = g^{ISO}(F_{u,u2,j}) \\ Ilr = \{1-C_{4}H_{8}, trans-2-C_{4}H_{8}\} \end{cases}$$
 Equilibrium eqs. 
$$\begin{cases} \neg Y_{u} \\ F_{u,u2,j} = 0 \\ CC_{u} = 0 \end{cases}$$
 and 
$$V \begin{cases} \neg Y_{u} \\ F_{u,u2,j} = 0 \\ CC_{u} = 0 \end{cases}$$
 Atom, mole and inert compound balances 
$$Enthalpy \ eqns.$$
 Capital cost 
$$Capital \ cost$$

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$$\begin{cases} KTeq_{u,r} = f_r^k(Tu_u) \ re\{1,2,3\} \\ KTeq_{u,1} = Ftl_{u,u2,trans-2-C_4H_8} - Ftl_{u,u2,1-C_4H_8} \\ KTeq_{u,2} = Ftl_{u,u2,trans-2-C_4H_8} - Ftl_{u,u2,cs-2-C_4H_8} \\ KTeq_{u,3} = 2Ftl_{u,u2,C_3H_6} - Ftl_{u,u2,C_2H_4} - Ftl_{u,u2,trans-2-C_4H_8} \\ \exp(Ftl_{u,u2,j}) = F_{u,u2,j} \ jeJMr \setminus \{C_5H_{10}, C_6H_{12}\} \\ \sum_{j \in JMr} F_{u1,u,j} = \sum_{j \in JMr} F_{u,u2,j} At_{j,a} \ ae\{C,H\} \\ F_{u,u2,C_3H_6} - F_{u1,u,C_3H_6} + F_{u,u2,C_5H_{10}} + F_{u,u2,C_6H_{12}} = \frac{F_{u,u2,C_5H_{10}}}{MTS_{C_5H_{10}}} \\ F_{u,u2,C_3H_6} - F_{u1,u,C_3H_6} + F_{u,u2,C_5H_{10}} + F_{u,u2,C_6H_{12}} = \frac{F_{u,u2,C_6H_{12}}}{MTS_{C_6H_{12}}} \\ H_{j_{VAP,u,j}} = f_j^{VAP}(T_{u,u2}) \\ H_{u,u2} = \sum_{j \in J} Hj_{VAP,u,j}F_{u,u2,j} \\ Tu_u = T_{u,u2} \\ CC_u = g^{MTR}(F_{u,u2,j}, Tu_u) \end{cases}$$
 Equilibrium eqs.

$$u2\epsilon UO_{u}, u1\epsilon UI_{u}, u\epsilon\{MTR\}$$

$$JMr = \{C_{2}H_{4}, C_{3}H_{6}, 1-C_{4}H_{8}, trans-2-C_{4}H_{8}, cis-2-C_{4}H_{8}, C_{5}H_{10}, C_{6}H_{12}\}$$
(23)

The metathesis reactor is the core of the metathesis section. If this unit does not exist in the plant, the entire section will not be included. For such reason, the following logic equation is included:

 $Y_{MTR} \Leftrightarrow Y_u \ u \in Umt$ 

$$Umt = \{MX6, SP2, HXV14, C4H, HXC4, MXL1, HXE5, ISOM, MX11, HXV18, HXW9, DC7, DC8, SP3\}$$
(24)

where MX6 and MX11 are vapor mixers, SP2 and SP3 are splitters, HXV14, HXV18 and HXW9 are vapor phase heat exchangers, HXC4 is a condensing heat exchanger, HXE5 is an evaporative heat exchanger, C4H is the C4 cut hydrogenation reactor, ISOM is the isomerization reactor, DC7 and DC8 are the deethylenizer and depropylenizer, respectively.

4.6. Heat exchangers 511

Three types of heat exchangers are considered. The first one operates without phase change for vapor (Uhxv) and liquid (Uhxl) streams. The phase is predefined, and the calculation of output enthalpy is carried out using Eqs. (7.1) or (7.2) as appropriate. The existence of this type of heat exchanger is modeled with the disjunction shown in Eq. (25).

$$\begin{bmatrix} Y_{u} \\ Hj_{p,u,j} = \int_{j}^{p} (Tu_{u}) j\epsilon J \\ H_{u,u2} = \sum_{j \in J} Hj_{p,u,j} F_{u,u2,j} \\ Tu_{u} = T_{u,u2} \end{bmatrix} \vee \begin{bmatrix} \neg Y_{u} \\ Q_{u} = 0 \\ T_{u1,u} = T_{u,u2} \end{bmatrix} u1\epsilon UI_{u}, u2\epsilon UO_{u}, p\epsilon P_{u}, u\epsilon \{Uhxv \cup Uhxl\}$$

$$(25)$$

Two types of heat exchangers are included considering phase change. The first one (Uhxc) has a vapor input stream and produces a liquid at its bubble point (condensation). The other one (Uhxe) produces a vapor stream at its dew point from a liquid (evaporation). The index p indicates the phase (vapor or liquid) of the output stream, and the index m refers to the physical change, condensation (CON) or evaporation (EVA). These units are modeled through disjunction (26.1). Eqs. (26.2) and (26.3) are employed for the condensation and evaporation heat exchangers, respectively.

$$\begin{bmatrix} Y_{u} \\ Hj_{p,u,j} = f_{j}^{p}(Tu_{u}) & j \in J \\ Hu_{u,u2} = \sum_{j \in J} Hj_{p,u,j}F_{u,u2,j} \\ Tu_{u} = T_{u,u2} \\ Lp_{u,j} = f_{j}^{pvap}(Tu_{u}) & j \in J \\ x_{u,u2,j} \sum_{j1 \in J} F_{u,u2,j1} = F_{u,u2,j} & j \in J \\ P_{u,u2} = f^{m}(Lp_{u,i}, x_{u,u2,j} & j \in J) \end{bmatrix} \vee \begin{bmatrix} \neg Y_{u} \\ Q_{u} = 0 \\ T_{u1,u} = T_{u,u2} \end{bmatrix} u2 \in UO_{u}, p \in P_{u}, m \in M_{u}, u \in \{Uhxc \cup Uhxe\}$$

$$(26.1)$$

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$$f^{CON}(Lp_{u,j}, x_{u,u2,j} \ j \in J) = \sum_{j \in J} \exp(Lp_{u,j}) x_{u,u2,j}$$
(26.2)

$$f^{EVA}(Lp_{u,j}, x_{u,u2,j}) = 1/\sum_{j \in J} \exp(-Lp_{u,j}) x_{u,u2,j}$$
(26.3)

In the case of heat exchangers operating without phase change for vapor streams (Uhxw), we include an additional constraint to ensure 522 523 that operating pressure is lower than the mixture dew pressure. This is particularly useful for cryogenic processes, where several vapor streams are chilled to temperatures close to their dew points, or even partial condensation (Rodríguez and Diaz, 2007). The corresponding 524 disjunction is shown in Eq. (27).

$$\begin{bmatrix} Y_{u} \\ Hj_{VAP,u,j} = f_{j}^{VAP}(Tu_{u}) & j \in J \\ H_{u,u2} = \sum_{j \in J} Hj_{VAP,u,j}F_{u,u2,j} \\ Tu_{u} = T_{u,u2} \\ Lp_{u,j} = f_{j}^{pvap}(Tu_{u}) & j \in J \\ x_{u1,u,j} \sum_{j1 \in J} F_{u1,u,j1} = F_{u1,u,j} & j \in J \\ f^{EVA}(Lp_{u,j}, x_{u1,u,j}) \ge P_{u,u2} \end{bmatrix}$$
 \(\begin{align\*} \sum\_{u1} & \text{\$= UI\_{u}\$, \$u2 \in Uo\_{u}\$, \$u \in Uo\_{u}\$, \$u

4.7. Utilities 526

Most of the reactors, heat exchangers and vessels employ utilities to satisfy their energy requirements. In these cases, disjunctions (28) 527 and (29) are employed to calculate the associated costs of cooling and heating utilities, respectively. Correlations  $f^{cu}$  and  $f^{hu}$  are based on 528 529 the literature (Ulrich and Vasudevan, 2006).

$$\begin{bmatrix} Y_u \\ Tu_u - Tut_u \ge \Delta t_m \\ CcUt_u = f^{cu}(Tu_u, Q_u) \end{bmatrix} \vee \begin{bmatrix} \neg Y_u \\ CcUt_u = 0 \end{bmatrix} u \in Ucu$$
(28)

$$\begin{bmatrix} Y_u \\ -Tu_u + Tut_u \ge \Delta t_m \\ ChUt_u = f^{hu}(Tu_u, Q_u) \end{bmatrix} \vee \begin{bmatrix} \neg Y_u \\ ChUt_u = 0 \end{bmatrix} u \epsilon Uhu$$
(29)

where  $\Delta t_m$  is the minimum temperature approach;  $Tut_u$  is the utility temperature;  $CcUt_u$  and  $ChUt_u$  are the cost of cooling and heating 531 utilities, respectively; Ucu and Uhu are the subsets of units that require cooling and heating utilities, respectively. 532

Furthermore, where process streams are integrated, Eq. (30) is included

$$Q_u + Q_{u1} = 0 \ (u, u1) \in Uqm \tag{30}$$

534 where *Uqm* is the set of pairs of units that exchange heat.

535 4.8. Compressors

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Centrifugal compressor physical behavior is modeled following Smith and van Ness (Smith and Van Ness, 1987). Compressors (Ucomp) include Eq. (31) as an overall energy balance. Disjunction (32) models the compressors behavior. "Enthalpy eqs." calculate outlet vapor stream enthalpy. "Specific heat eqs." define an average temperature  $(Tcom_u)$  for the compressor to calculate the average specific heat of the mixture ( $Cpm_u$ ). "Power calculation" equations define the pressure ratio ( $PR_u$ ) and the gas constant-average specific heat ratio ( $eg_u$ ) to calculate the the compressor power  $(W_u)$ . Finally, the correlation  $g^c$  estimates the capital cost associated with the compressor.

$$-W_{u} = \sum_{u2 \in UO_{u}} H_{u,u2} - \sum_{u1 \in UI_{u}} H_{u1,u} \ u \in Ucomp$$

$$(31)$$

 $\begin{cases} Y_{u} \\ Hj_{VAP,u,j} = f_{j}^{VAP}(Tu_{u}) \ j \in J \\ H_{u,u2} = \sum_{j \in J} Hj_{VAP,u,j} F_{u,u2,j} \\ 2 \ Tcom_{u} = T_{u1,u} + T_{u1,u} PR_{u}^{egu} \\ Cp_{u,j} = f_{j}^{c}(Tcom_{u}) \ j \in J \\ Cpm_{u} \sum_{j \in J} F_{u1,u,j} = \sum_{j \in J} Cp_{u,j} F_{u1,u,j} \\ P_{u,u2} = P_{u1,u} PR_{u} \\ eg_{u}^{-1} = \frac{10 \ Cpm_{u}}{R} \\ W_{u} = -\sum_{j \in J} F_{u1,u,j} \frac{cpm_{u}T_{u1,u}}{\eta_{u}} (PR_{u}^{egu} - 1) \\ CC_{u} = g^{c}(W_{u}) \end{cases}$  Enthalpy eqs. Specific heat eqs.  $V \begin{bmatrix} \neg Y_{u} \\ W_{u} = 0 \\ CC_{u} = 0 \\ P_{u,u2} = P_{u1,u} \\ T_{u,u2} = T_{u1,u} \end{bmatrix}$  Power calculation Capital cost ext.(32)

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where R is the gas constant;  $\eta_u$  is the isentropic efficiency of unit u;  $W_u$  is unit u power;  $Cpm_u$  is average specific heat;  $Tcom_u$  is average outlet stream temperature in unit u;  $Cp_{u,j}$  is the specific heat of component j;  $PR_u$  is the pressure ratio  $(P_{out}/P_{in}=P_{u,u2}/P_{u1,u})$ .

The energy balance in pumps (*Upump*) is shown in Eq. (33.1). Temperature increase in these units is considered negligible, Eq. (33.2). 54 The disjunction representing this unit is shown in Eq. (34).

$$-W_{u} = \sum_{u2 \in UO_{u}} H_{u,u2} - \sum_{u1 \in UI_{u}} H_{u1,u} \ u \in Upump$$
(33.1)

$$T_{u,u2} = T_{u1,u} (33.2)$$

$$\begin{bmatrix} Y_{u} \\ -W_{u} = 0.1 \ V l_{u} \frac{(P_{u,u2} - P_{u1,u})}{\eta_{u}} \\ V l_{u} = \sum_{j \in J} F_{u,u1,j} V l j_{u,j} \\ V l j_{u,j} = f_{j}^{b} (T u_{u}) \ j \in J \\ CC_{u} = g^{pump}(W_{u}) \end{bmatrix} \lor \begin{bmatrix} -Y_{u} \\ W_{u} = 0 \\ CC_{u} = 0 \\ P_{u,u2} = P_{u1,u} \end{bmatrix} u1 \in UI_{u}, u2 \in Upump$$

$$(34)$$

4.10. Mixers and splitters 549

Two types of mixers are considered due to predefined phases. All mixers include the heat balance shown in (35). Mixer units (*Umx*) 550 are modeled with disjunction (36), where the output enthalpy is calculated depending on the phase type of the mixture. 551

$$\sum_{u1 \in Ul_u} H_{u1,u} = \sum_{u2 \in UO_u} H_{u,u2} \tag{35}$$

Splitter units (Usp) are modeled by the following disjunctions

$$\begin{bmatrix} Y_{u} \\ F_{u1,u,j}Rsp_{u,u2} = F_{u,u2,j} & j\epsilon J \\ H_{u1,u}Rsp_{u,u2} = H_{u,u2} \\ \sum\limits_{u2\epsilon UO_{u}} Rsp_{u,u2} = 1 \\ T_{u1,u} = T_{u,u2} \\ P_{u1,u} = P_{u,u2} \end{bmatrix} \vee \begin{bmatrix} \neg Y_{u} \\ F_{u,u2,j} = 0 & j\epsilon J \\ H_{u,u2} = 0 \end{bmatrix} u1\epsilon UI_{u}, u2\epsilon UO_{u}, u\epsilon Usp$$

$$(37)$$

where  $Rsp_{u,u2}$  is the split fraction of stream that goes from u to u2.

In the superstructure, we also have disjunctive splitters (*Uds*), which can only have one output stream. In order to replace nonlinear 5 equations for linear ones, disjunction (38) is used in such cases.

$$\bigvee_{u2 \in UO_{u}} \begin{bmatrix} Y_{u2} \\ F_{u1,u,j} = F_{u,u2,j} & j \in J \\ T_{u1,u} = T_{u,u2} \\ P_{u1,u} = P_{u,u2} \\ H_{u1,u} = H_{u,u2} \end{bmatrix} u1 \in UI_{u}, u \in Uds$$

$$(38)$$

4.11. Distillation columns 557

Distillation column units (Udc) are modeled with a rigorous approach (Biegler et al., 1997; Viswanathan and Grossmann, 1993), and are represented by disjunction (39). "Feed specifications" assign input flowrates ( $DF_{u1,u,n,j}$ ) and enthalpy ( $DH_{u1,u,n}$ ) to the separation stages in trays n ( $NS_u$ ), being the column number of stages a parameter,  $NFe_u$  contains pairs (u1, n) such that the stream from unit u1 to column u enters in stage n. In this paper, we formulate material balances based on component flowrate to reduce the number of nonlinear matrix entries in the model. Thus, material balances include component flowrate in the liquid phase ( $Dfl_{u,n,j}$ ) and in the vapor phase ( $Dfv_{u,n,j}$ ) at stage n. The corresponding material balances for condenser ( $CD_u$ ) and reboiler ( $RB_u$ ) are also included.

"Equilibrium eqs." calculate the liquid  $(DL_{u,n})$ -to-vapor  $(DV_{u,n})$  ratio  $(DR_{u,n})$  in unit u at stage n, and stands for the criterion of equality of fugacities based on stage temperature  $(DCt_{u,n})$  and pressure  $(DCp_{u,n})$  and considering ideal thermodynamic behavior of the mixture, i.e., ideal gas law for the vapor phase and Raoult's law for the liquid phase, where the natural logarithm of partial pressure  $(DLp_{u,n,j})$  is given by the extended Antoine equation (Eq. (8)) (Green and Perry, 2007). Vapor and liquid fractions of component j are calculated as  $Dfv_{u,n,j}DR_{u,n}/DL_{u,n}$  and  $Dfl_{u,n,j}DR_{u,n}DV_{u,n}$ , respectively, since  $DR_{u,n}$  is the liquid-to-vapor ratio at stage n. This formulation of the equilibrium condition reduces nonlinear matrix entries in the model and avoids introducing physical properties with high variability, which are difficult to scale (e.g. partial pressures are logarithmically scaled). The summation equations for liquid and vapor flowrates are included in the model.

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Regarding enthalpy balances in separation stages,  $Qc_u$  and  $Qh_u$  correspond to the condenser and reboiler duties, respectively. Ideal mixture is considered to estimate the overall enthalpies of liquid  $(Dhl_{u,n})$  and vapor  $(Dhv_{u,n})$ . A linear pressure profile is forced through "Pressure constraints". "Properties calculations" are functions to calculate pure component enthalpy (vapor and liquid phases) and partial pressure (logarithmically scaled). "Temp. profile constraints" impose temperature increase as stage n increases to ensure an appropriate temperature profile in the Master MILP problems. While this equation may be redundant in the NLP subproblems, it is not the case in the Master MILP problems. Connection equations for top and bottom streams variables are considered. "Utilities calculation" equations estimate the utility cost in the condenser and the reboiler. Finally, the capital cost associated with the distillation column u is estimated through function  $g^{DC}$ .

```
 \begin{array}{c} Y_u \\ F_{u1,u,j} = DF_{u1,u,n,j} \quad j \in J, (u1,n) \in NFe_u \\ H_{u1,u} = DH_{u1,u,n} \quad (u1,n) \in NFe_u \end{array}   \sum_{(u1,n) \in NFe_u} DF_{u1,u,n,j} + Dfl_{u,n-1,j} + Dfv_{u,n+1,j} = 0
                  Dfl_{u,n,j} + Dfv_{u,n,j} j \in J, n \in NS_u
        Dfv_{u,n+1,j} = Dfl_{u,n,j} + Dfv_{u,n,j} j \in J, n \in CD_u
        Dfl_{u,n-1,j} = Dfl_{u,n,j} + Dfv_{u,n,j} j \in J, n \in RB_u
          DL_{u,n} = DV_{u,n}DR_{u,n} \ n\epsilon \{NS_u \cup CD_u \cup RB_u\}
                          Dfv_{u,n,i}DR_{u,n}DCp_{u,n} =
     Dfl_{u,n,j}\exp(DLp_{u,n,j}) j\epsilon J, n\epsilon \{NS_u \cup CD_u \cup RB_u\}
         \sum_{j \in I} Df v_{u,n,j} = DV_{u,n} \quad n \in \{ NS_u \cup CD_u \cup RB_u \} \}
        \sum_{i \in I} Dfl_{u,n,i} = DL_{u,n} \quad n \in \{NS_u \cup CD_u \cup RB_u\} 
       \sum_{(u,v) \in NFe_{u}} DH_{u,v,u} + Dhl_{u,v,v+1} + Dhv_{u,v+1} =
                      Dhl_{u,n} + Dhv_{u,n} j \in J, n \in NS_u
      Dhv_{u,n+1} - Qc_u = Dhl_{u,n} + Dhv_{u,n} j \in J, n \in CD_u
      Dhl_{u,n-1} + Qh_u = Dhl_{u,n} + Dhv_{u,n} j \in J, n \in RB_u
Dhv_{u,n} = \sum_{j \in J} Dhvc_{u,n,j} Dfv_{u,n,j} \quad n \in \{NS_u \cup CD_u \cup RB_u\}
Dhl_{u,n} = \sum_{j \in I} Dhlc_{u,n,j} Dfl_{u,n,j} \quad n \in \{NS_u \cup CD_u \cup RB_u\}
    DCp_{u,n-1} - 2DCp_{u,n} + DCp_{u,n+1} = 0 j \in J, n \in NS_u
                    P_{u,u1} \le P_{u,u2} \ u1\epsilon UV_u, u2\epsilon UL_u
 Dhvc_{u,n,j} = f_i^{VAP} (DCt_{u,n}) \quad j \in J, n \in \{NS_u \cup CD_u \cup RB_u\}
 Dhlc_{u,n,j} = f_j^{LIQ} \left( DCt_{u,n} \right) \quad j \in J, n \in \{ NS_u \cup CD_u \cup RB_u \}
DLp_{u,n,j} = f_j^{pvap} \left( DCt_{u,n} \right) \quad j \in J, n \in \{ NS_u \cup CD_u \cup RB_u \}
                       DCt_{u.n} \le DCt_{u,n-1} \ n \in NS_u
               Dfv_{u,n,i} = F_{u,u,i} j \in J, n \in CD_u, u \in UV_u
                    Dhv_{u,n} = H_{u,u2} n \in CD_u, u2 \in UV_u
                    DCt_{u,n} = T_{u,u2} n \epsilon CD_u, u2 \epsilon UV_u
                    DCp_{u,n} = P_{u,u2} n \epsilon CD_u, u2 \epsilon UV_u
               Dfl_{u,n,j} = F_{u,u2,j} \ j \in J, n \in RB_u, u2 \in UL_u
                    Dhl_{u,n} = H_{u,u2} n \epsilon RB_u, u2 \epsilon UL_u
                    DCt_{u,n} = T_{u,u2} n \in RB_u, u2 \in UL_u
                    DCp_{u,n} = P_{u,u2} n \epsilon CD_u, u2 \epsilon UV_u
               CcUt_u = f^{cu}(DCt_{u,n}, Qc_u) n \in CD_u
                ChUt_{n} = f^{hu}(DCt_{n,n},Qh_{n}) \quad n \in RB_{n}
          CC_u = g^{DC}(Dfl_{u,n,i}, Dfv_{u,n,i}, DCp_{u,n}, DCt_{u,n})
```

Feed specifications

Material balances

Equilibrium eqs.

Summation eqs.

Enthalpy balances

$$\bigvee \begin{bmatrix} \neg Y_u \\ F_{u,u2,j} = 0 \ j \in J, u2 \in UO_u \\ H_{u,u2} = 0 \ u2 \in UO_u \end{bmatrix} u \in Udc$$

Pressure constraints

Properties calculations

Temp. profile constraint

Top stream connection eqs

Bottom stream connection eqs

**Utilities** calculation

Capital cost estimation (39)

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580 4.12. Net present value calculation

The revenues for selling products, raw material costs and electricity cost are calculated as follows,

$$Rev_{u} = Price_{u}HPY \sum_{u1 \in UI_{u}, j \in J} MW_{j}F_{u1,u,j} \ u \in Upd$$

$$\tag{40.1}$$

$$CRM_{u} = Price_{u}HPY \sum_{u1 \in UI_{u}, j \in J} MW_{j}F_{u1,u,j} \ u \in Urm$$

$$(40.2)$$

$$ElecT = \left(-W_{TE} - \sum_{u \in Comp} W_u - \sum_{u \in Pump} W_u\right) PriceE \cdot HPY$$
(40.3)

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where  $MW_j$  is the molecular weight of component j; and  $Price_u$  corresponds to either product price or raw material cost associated to unit u; and PriceE is the electricity cost.  $Rev_u$  and  $CRM_u$  are annual revenues and costs associated to unit u, respectively; and ElecT is electricity annual cost.

The investment cost (CInv) and the total income (TIn) are calculated as follows

$$CInv = \beta \varphi \sum_{u \in CCU} CC_u \tag{41.1}$$

$$TIn = \left(\sum_{u \in Prod} Rev_u - \sum_{u \in Prod} CRM_u - \sum_{u \in UT} (CcUt_u + ChUt_u) - ElecT - \gamma CInv\right) (1 - tax)$$
(41.2)

where  $\beta$  is the contingency and fee factor ( $\beta$  = 1.3);  $\varphi$  is the auxiliary facilities factor ( $\varphi$  = 1.55); tax is the net benefits tax (tax = 0.35); and  $\gamma$  is the maintenance cost fraction ( $\gamma$  = 0.045). *CCU* is the set of units that could require an investment included in the flowsheet.

The objective function is to maximize the net present value (NPV), which is calculated as follows:

$$NPV = -CInv(1+\omega) + \frac{TIn}{\alpha}$$
 (42.1)

$$\alpha = \frac{\theta \left(1 + \theta\right)^{\tau}}{\left(1 + \theta\right)^{\tau} - 1} \tag{42.2}$$

where  $\omega$  is the working capital cost fraction ( $\omega$ =0.1), and  $\alpha$  is the annuity that is calculated for an interest rate ( $\theta$ ) of 15 % and a total project life ( $\tau$ ) of 15 years.

#### 5. Results

#### 605 5.1. Case study

In this work, we consider a given plant capacity of 500,000 t/y of ethylene and 500,000 t/y of propylene. We analyze different price scenarios for different countries: USA, European Union, Russia and Argentina. This last country is included due to its encouraging perspective in shale gas proven reserves (Delpino and Diaz. 2014: U.S. Energy Information Administration, 2013). We obtain the optimal scheme for each case. Price data were obtained from the literature (Boulamanti and Moya, 2017), except for the case of Argentina. For this last country, price data of ethane, propane, and pyrolysis gas were taken from a report available in the internet (Cohen, 2019); electricity price, from a webpage (GlobalPetrolPrices.com, 2019); and natural gas, from (Charles, 2019). Due to the lack of data for hydrogen price in Argentina, we assume it by considering that the ratio between hydrogen and methane price for the other countries ranges between 2.4 and 4.1 (Table 1) and selecting a value of 3.2 for Argentina. In this way a value of 500 EUR/t is obtained. Ethylene and propylene prices are considered to be global ones, which are reported in the literature (Boulamanti and Moya, 2017). It is worth noting the important differences in raw material costs in the different scenarios; e.g., USA prices for ethane and propane are 146 and 394 EUR/t, respectively, while in the European Union they are 612 EUR/t each. Raw material and utility costs, as well as product prices are shown in Table 1.

As previously mentioned, the L-bOA algorithm begins by carrying out a set covering step in which selected sub NLPs are solved,

**Table 1** Prices scenarios.

Units	USA	EU	Russia	Arg
EUR/t	149	443	114	157
EUR/t	146	612	297	350
EUR/t	394	612	550	295
EUR/t	367	1344	466	500
EUR/t	774	789	679	395
EUR/MWh	35	85	39	56
EUR/t	973	973	973	973
EUR/t	1030	1030	1030	1030
	EUR/t EUR/t EUR/t EUR/t EUR/t EUR/MWh EUR/t	EUR/t 149 EUR/t 146 EUR/t 394 EUR/t 367 EUR/t 774 EUR/MWh 35 EUR/t 973	EUR/t 149 443 EUR/t 146 612 EUR/t 394 612 EUR/t 367 1344 EUR/t 774 789 EUR/MWh 35 85 EUR/t 973 973	EUR/t     149     443     114       EUR/t     146     612     297       EUR/t     394     612     550       EUR/t     367     1344     466       EUR/t     774     789     679       EUR/MWh     35     85     39       EUR/t     973     973     973

whose solutions provide points to obtain linearizations for all nonlinear constraints in the disjunctions in the model. In this particular case, special efforts were devoted to the initialization of rigorous model of process units. Considering these initializations and the special formulation of the superstructure under study, only one NLP subproblem includes all nonlinear equations. In this way, linearization of all nonlinear equations could be generated for the first Master MILP problem by solving one initial NLP subproblem, whose scheme is shown in Table 2. It must be pointed out that this initial NLP includes all steam cracking furnaces (for both ethane and propane), propane dehydrogenation, and metathesis section. Furthermore, the separation scheme of the first NLP problem is a demethanizer-first scheme, and the corresponding tasks are shown in Table 2. It is worth mentioning that for each price scenario, we obtain the same optimal configuration starting from different initial points. Although we cannot guarantee global optimality with our implementation of the L-bOA algorithm, the multi-start procedure allows finding high quality local solutions.

### 5.3. Model statistics

The GDP model is implemented in GAMS 24.2.3 (Rosenthal, 2014) and run on an Intel(R) Core(TM) i7–4790 CPU @3.60GHz and 8 GB RAM. As explained above, the entire problem is decomposed into reduced NLP and Master MILP problems, which are solved with CONOPT (Drud, 1994) and Cplex (IBM Corp. and IBM, 2009), respectively. The corresponding model statistics are shown in Table 3 for the USA price scenario.

Table 4 shows the most important discrete decisions that were determined for the four price scenarios. It can be seen that the optimal technology for USA and Russia price scenarios is the same, including four ethane steam cracking furnaces and olefin metathesis. Propane is not used as raw material, as its price is almost twice ethane price in both scenarios. Therefore, additional ethylene is produced by steam cracking and converted to propylene through ethylene dimerization and metathesis processes. Regarding the separation section, a deethanizer first separation configuration is selected as the optimal solution, and the acetylene hydrogenation is carried out after deethanization. This last issue is due to molar fractions of C<sub>2</sub>H<sub>2</sub> and C<sub>2</sub>H<sub>4</sub>, which are major variables for the acetylene reactor. These component mole fractions increase after deethanization, and consequently, the hydrogenation process is more selective toward the acetylene hydrogenation reaction. Within the metathesis section, the reactor output stream is sent to the depropylenizer column (DC8), i.e., butenes are separated first.

On the other hand, the EU and Argentina price scenarios provide the same optimal configuration, which is different from the previously analyzed scenarios. Ethane and propane prices are the same in the EU, but ethane price is 16 % higher than propane price in Argentina. The optimal solution includes one steam cracking furnace for ethane and one for propane. A propane dehydrogenation unit based on the Cr catalyst is selected, as it is the lowest cost

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Table 2
Initial process scheme.

Equipment	DC1	DC2	DC3	AR	DC7	DC8
Task	$H_2C_1/C_2C_3 \ C_4^+$	$C_2/C_3 \ C_4^+$	$C_3/C_4^+$	$H_2C_1C_2^{\ x}\ C_3\ C_4^{\ +}$	Et/Pr	Et Pr/C <sub>4</sub> +

**Table 3**Summary of iterations with the L-bOA algorithm (USA price scenario).

Iteration/Subproblem	Objective (MM\$)	Binary vars.	Continuous vars.	Constraints	Cpu time (s)
NLP 1 (CONOPT, Set Covering)	1376	-	38608	38868	479
Master MILP 1 (CPLEX)	2413	147	86375	71805	8650
NLP 2 (CONOPT)	2132	-	36880	37578	1105
Master MILP 2 (CPLEX)	1050	147	131282	115033	21458
NLP 3 (CONOPT)	1992	-	37456	37976	1169

**Table 4**Optimal scheme for the different price scenarios.

	USA	EU	Russia	Argentina
Ethane furnaces	4	1	4	1
Propane furnaces	-	1	-	1
Pt-based propane dehydrogenation	-	-	-	-
Cr-based propane dehydrogenation	-	X	-	X
Ethylene dimerization	X	-	X	-
Olefin metathesis	X	-	X	-
Demethanizer	$H_2C_1/C_2$	$H_2C_1/C_2$	$H_2C_1/C_2$	$H_2C_1/C_2$
Deethanizer	$H_2C_1C_2^a/C_3C_4^+$	$H_2C_1C_2^a/C_3$	$H_2C_1C_2^a/C_3C_4^+$	$H_2C_1C_2^a/C_3$
Depropanizer	$C_3/C_4$	$H_2C_1C_2{}^aC_3/C_4{}^+$	$C_3/C_4$	$H_2C_1C_2^aC_3/C_4^+$
Acetylene reactor	$H_2C_1C_2^{x}$	$H_2C_1C_2^x$	$H_2C_1C_2^x$	$H_2C_1C_2^x$
Deethylenizer	Et/Pr	-	Et/Pr	-
Depropylenizer	Et Pr/C <sub>4</sub> <sup>+</sup>	-	Et Pr/C <sub>4</sub> +	-

**Table 5** Economic results of the different price scenarios.

	USA	EU	Russia	Arg
Net present value (MM\$)	2132	-36	1479	1414
Investment (MM\$)	1089	840	1086	1001
Revenues (MM\$/year)	1241	1397	1246	1255
Raw material cost (MM\$/year)	275	1015	477	506
Net income (MM\$/year)	570	152	457	430

propane dehydrogenation technology we have included in the superstructure. Consequently, ethylene and butenes metathesis is not selected in the optimal scheme. Regarding the separation train, a depropanizer first configuration is selected. This fact may be due to the larger content of heavier components in the reactor output stream (33.2 % of  $C_3^+$ ), as compared with the case in which only ethane steam cracking is selected (1.3 % of  $C_3^+$ ). Furthermore, as in the previous price scenario, the acetylene hydrogenation is located after deethanization.

# 5.4. Economic results

The main economic indicators are shown in Table 5 for the different price scenarios. Economic results show that the coproduction plant is profitable in three of the four price scenarios for a given plant capacity of 500,000 t/y of ethylene and 500,000 t/y of propylene. In the EU scenario, a slightly negative net present value is determined, which is in agreement with information from the literature (Boulamanti and Moya, 2017), mainly due to the high price of the raw material. USA and Russia price scenarios show similar results, as the co-production plant has the same optimal design. However, the USA price scenario results in the highest NPV (2132 MM\$), being the NPV for the Russia price scenario 31% lower. This difference is due to raw material cost, as ethane price is 51% lower in the USA.

In the EU case, the margins are not high enough to operate the plant under the considered global market prices for ethylene and propylene. However, it must be noted that energy integration is not performed in the current analysis. In the last part of this section, we perform energy integration for the optimal scheme and obtain more promising results for the EU case.

Price scenarios in Argentina result in a positive NPV (1414 MM\$), 34% lower than in the USA, highlighting the economic potential of producing olefins in this country, with a quite different optimal flowsheet. Despite the fact that the optimal scheme is the same in both the EU and Argentina, the associated investment is higher than the corresponding one in the EU, due to the high correction factor used for Argentina (Instituto Petroquímico Argentino (IPA), 2018).

Furthermore, a comparison between producing propylene through metathesis and through PDH indicates that the former alternative requires a higher investment, for instance, in the EU capital cost is 23 % lower than in the USA. Olefin metathesis implies a higher ethylene production for the further conversion of this olefin into propylene, the USA plant produces 95 % more ethylene than the EU one. It also includes reactors for C4 hydrogenation, dimerization, isomerization and metathesis reaction, and an additional separation train to purify propylene. However, this investment increase allows taking advantage of the current low ethane price.

Finally, the potential economic improvement of simultaneous optimization and heat integration in the optimal plant configuration has been assessed for each price scenario. Multi-utilities are considered (Duran and Grossmann, 1986), and smoothing functions have been included to represent the max operators to avoid model discontinuities (Dowling and Biegler, 2015). In this particular case, one heating utility and four cooling utilities are available. In this way, we formulate the corresponding mathematical programming problem to perform the simultaneous optimization and heat integration for every price scenario. Numerical results (Table 6) show that a significant improvement of the NPV by 628 % and 21 %

**Table 6**NPV in the optimal scheme with heat integration.

	USA	EU	Russia	Arg
NPV in optimal scheme with heat integration (MM\$)	2199	190	1526	1715
Increase respect to base case study (%)	3.1	627.8	3.2	21.3

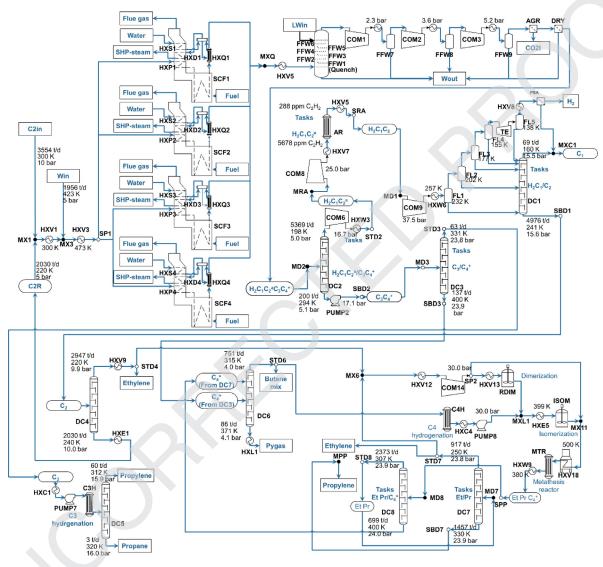


Fig. 6. Optimal plant design for the USA price scenario.

can be achieved for the European and Argentinean price scenarios, respectively. Moreover, heat integration turns the olefin plant profitable in the EU case study. On the other hand, the simultaneous optimization and heat integration for the USA and Russian price scenarios (Table 6) show potential NPV values increase of only around 3 % each. As utility prices depend on natural gas price (Ulrich and Vasudevan, 2006), this low objective value improvement can be associated to the low gas prices in these countries.

# 5.5. Optimal operating conditions

In this section we discuss the optimal design and operating conditions for the USA price scenario (Fig. 6). Total ethane feed to steam cracking reactors is 5632 t/d, which includes fresh ethane and ethane recycle. Four steam cracking furnaces are selected in the optimal scheme. The alkane feed is distributed equally between them since these reactors have the same size (125 MW). In each

furnace, the reactive feed is preheated up to 950 K, and the cracking reaction takes place at 1100 K.

A natural gas stream of 249 t/d is used as fuel gas for each furnace, with a 10 % air excess. Also, energy integration allows recovering heat and producing 7108 t/d of high-pressure steam (110 bar), considering the contribution of all furnaces. When this steam is expanded in turbines, it is possible to produce 67 MW of electrical energy, which is mainly used as driver for the cracked gas compressor. A deethanization stage first is selected in the separation train configuration. This column has negligible propylene content (3 ppm) in the top stream, which is sent to an acetylene hydrogenation reactor, and ethane mole fraction is close to zero in the bottom stream, which is sent to a depropanizer. The acetylene hydrogenation reactor has an overall conversion of 99 % for acetylene and hydrogen consumption is 8 t/d. The outlet stream is fed to a demethanization column. Furthermore, 199 t/d of  $\rm H_2$  is purified in a Pressure Swing Adsorption (PSA) process, representing 86

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% of its production in the crackers. In the metathesis section, 2350 t/d of ethylene is fed, from which 99 % comes from the C2-splitter top stream and the rest from a recycled stream. A significant portion of ethylene feed is converted into 1-butene via dimerization (50 %), representing 66 % of C4 olefins that are fed to the metathesis reactor (the remaining C4 olefins come from C4 hydrogenation). In the reactive mixture stream entering to the metathesis reactor, ethylene/butenes molar ratio is 2.14 and its input temperature is 500 K. This reactor operates adiabatically to produce 1457 t/d of propylene.

#### 6. Conclusions

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In this work, we have proposed a superstructure optimization approach for the optimal design of an ethylene and propylene coproduction plant. This problem is relevant due to the economic advantages of using NGLs for olefin production in the current context of shale gas revolution and the consequent reduction of naphtha cracking, which led to propylene production decrease. Rigorous models are formulated for most of the process units including distillation columns, compressors, turboexpanders, and other equipment. It is worth noting that rigorous modelling of units is not frequently encountered in the literature for large scale optimization problems, as the one analyzed in this work. We represent the superstructure with a Generalized Disjunctive Programming (GDP) model, in which the presence of process units is associated to Boolean variables. The objective function is net present value (NPV) maximization, subject to general equations, disjunctions for units, disjunctions for the state-equipment-network (SEN) representation of distillation columns and alternative acetylene reactor configurations, as well as logical equations. To solve the complex GDP model, we have carried out a custom implementation of the LbOA algorithm in GAMS. In our case study, we consider a plant capacity of 500,000 t/y of ethylene and 500,000 t/y of propylene. We formulate a superstructure that includes ethane and propane steam cracking, propane dehydrogenation, and metathesis as potential technologies for ethylene and propylene production. We analyze different price scenarios by considering raw material and utility costs for different countries: USA, European Union, Russia and Argentina. We consider ethylene and propylene global prices.

Numerical results show that ethane and propane prices are the most important factor that impact the profitability of the process and the final process configuration scheme. The combination of ethane steam cracking, olefin metathesis and ethylene dimerization is the most profitable configuration under low ethane price scenarios (USA and Russia). In contrast, the combination of ethane and propane steam cracking together with propane dehydrogenation is the optimal solution when propane price is on the order of ethane price (EU and Argentina). It is also worth noting that numerical results show that different plant configurations can be optimal depending on the price scenario, and this was encountered through the proposed solution algorithm. Finally, we perform simultaneous optimization and heat integration for each price scenario optimal scheme. Similar results have been obtained, except for the case of the EU, whose NPV increases in 628 %, mainly associated to its high natural gas price. As part of future work, a multiobjective optimization problem will be formulated to include an environmental objective function, as well as the NPV.

#### **Q3** 829 **Uncited references**

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# **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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# **CRediT authorship contribution statement**

**H.A. Pedrozo:** Software, Investigation, Writing - original draft. S.B. Rodriguez Reartes: Software, Investigation, Writing - original draft. A.R. Vecchietti: Conceptualization, Methodology, Writing - review & editing. M.S. Diaz: Conceptualization, Investigation, Methodology, Supervision, Funding acquisition, Project administration, Writing - review & editing. I.E. Grossmann: Conceptualization, Methodology, Supervision, Funding acquisition, Writing - review & editing.

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### Supplementary materials

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