

Cross-identification of stellar catalogs with multiple stars: Complexity and Resolution

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Abstract

In this work, I present an optimization problem which consists of assigning entries of a stellar catalog to multiple entries of another stellar catalog such that the probability of such assignment is maximum. I show a way of modeling it as a Maximum Weighted Stable Set Problem which is further used to solve a real astronomical instance and I partially characterize the forbidden subgraphs of the resulting family of graphs given by that reduction. Finally, I prove that the problem is \mathcal{NP} -Hard.

Keywords: Cross-identification, Complexity, Maximum Weighted Stable Set Problem, Forbidden subgraphs.

1 Introduction

In the science of astronomy, it is common to record the position and other physical quantities of stellar objects in astronomical catalogs. They are of extreme importance for various disciplines, such as navigation, space research and geodesy. Naturally, in star catalogs, a single star has different designations

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according to the catalog being used that uniquely identifies it. Suppose that A and B are star catalogs, and id_A , id_B are the designations of the same star in A and B respectively. It is often necessary to know id_B given id_A . This kind of cross-identification can be performed by software tools available on Internet, such as Xmatch³ or the web-based CDS X-Match Service⁴, which usually use heuristic algorithms. It was not until recently, however, that exact approaches began to be proposed. For instance, in [1], a cross-identification problem is solved through assignment problems via the Hungarian Algorithm.

The correspondence between two catalogs does not need to be one-to-one. Some stars appearing as single ones in one catalog could correspond to multiple stars in the other. Although some catalogs, such as SAO and PPM, inform whether a certain star is double or not, available cross-matching tools do not take into account this piece of information about the star.

Consider the following cross-identification problem. Given two catalogs A and B covering the same region of the sky and being B denser than A , the problem consists of finding the “most probable” assignment such that every star a is assigned up to k_a stars of B , where k_a is the *multiplicity* of a informed by catalog A .

The original motivation to study this novel matching problem has arisen during a joint collaboration with astrophysicist Diego Sevilla [2] and whose objective has been the development of a new digital version of the *Cordoba Durchmusterung*, a star catalog widely used in the twentieth century.

In this work, I describe an optimization problem which I call \mathcal{K} -*Matching Problem* and I give a polynomial-time reduction to the Maximum Weighted Stable Set Problem (MWSSP). This reduction is further used for solving a real instance. I also present an open question concerning the forbidden subgraphs of the family of graphs that arise in that reduction and I identify two of the forbidden subgraphs. Then, I prove that the \mathcal{K} -*Matching Problem* is \mathcal{NP} -Hard for a given $\mathcal{K} \geq 2$.

2 Problem description and resolution

Consider two star catalogs where each star is represented as elements of a set A or B . Let n_A and n_B be the cardinality of A and B respectively.

For a given entry $a \in A$, let k_a be the multiplicity of a in the first catalog. That is, if a represents a single star then $k_a = 1$, if a represents a double one

³ <http://matthiaslee.github.io/Xmatch>

⁴ <http://cdsxmatch.u-strasbg.fr/xmatch>

then $k_a = 2$, and so on. Also, let \mathcal{K} be the largest multiplicity.

The resolution of our problem is divided in two phases:

- Phase 1: From the astrometric and photometric data available from catalogs, generate an instance of the \mathcal{K} -Matching Problem.
- Phase 2: Reduce that instance to an instance of the MWSSP and solve it.

The first phase depends on the structure of both catalogs and involves criteria in the field of Astronomy, which can be separated from the mathematical description of the problem. For that reason, it will be discussed in an Online Appendix⁵. In this section, only the second phase is addressed.

During the first phase, *candidates sets of stars* $P_a \subset \mathcal{P}(B)$ are generated for each $a \in A$. For instance, the set $P_a = \{\emptyset, \{b_1\}, \{b_2\}, \{b_1, b_3\}\}$ indicates that a can be assigned to b_1 , b_2 , the pair $\{b_1, b_3\}$ or no one (indicated by the presence of \emptyset) with positive probability. Naturally, every $j \in P_a$ must satisfy $|j| \leq k_a$. For a given star $a \in A$ and a set $j \in P_a$, denote the event that “ a corresponds to j ” by $a \rightarrow j$ and its probability by $p(a \rightarrow j)$, which is computed during the first phase. Also, $\sum_{j \in P_a} p(a \rightarrow j) = 1$.

An *assignment* $f : A \rightarrow \mathcal{P}(B)$ is *valid* when it satisfies $f(a) \in P_a$ for all $a \in A$, and for any $a_1, a_2 \in A$ such that $a_1 \neq a_2$, then $f(a_1) \cap f(a_2) = \emptyset$, i.e. candidates of B assigned to a_1 and a_2 must not share common stars. Let \mathcal{F} be the space of valid assignments. Each $f \in \mathcal{F}$ has a corresponding probability $p(f) = p(a_1 \rightarrow f(a_1), a_2 \rightarrow f(a_2), \dots)$. We are interested in finding the most probable assignment: $f^* \in \arg\max_{f \in \mathcal{F}} p(f)$. Since the number of assignments is exponential, it makes little sense to perform the computation of the real probability of each one. Thus, let us make a simplification at this point by supposing the following assumption:

for all $f \in \mathcal{F}$ and $a, a' \in A$ such that $a \neq a'$, events $a \rightarrow f(a)$ and $a' \rightarrow f(a')$ are independent each other.

Let $\bar{p}(f) = \prod_{a \in A} p(a \rightarrow f(a))$. If the previous assumption holds, we would have $\bar{p}(f) = p(f)$. Although it usually does not hold, the assignment f that maximizes $\bar{p}(f)$ is enough good for practical purposes. Denote $w_{aj} = -\ln(p(a \rightarrow j))$ for $a \in A$ and $j \in P_a$, and let $w(f) = \sum_{a \in A} w_{af(a)}$. It is easy to see that an optimal assignment f can be found by minimizing $w(f)$, which is linear. The problem is defined as follows:

⁵ <http://fceaia.unr.edu.ar/~daniel/CD/new/onlineapp.pdf>

\mathcal{K} -Matching Problem

INSTANCE:

$$n_A, n_B \in \mathbb{Z}_+;$$

$$A, B \text{ such that } |A| = n_A, |B| = n_B;$$

$$P_a \subset \mathcal{P}(B) \text{ such that } |j| \leq \mathcal{K} \text{ for all } j \in P_a, \text{ for all } a \in A;$$

$$w_{aj} \in \mathbb{R}_+ \text{ for all } j \in P_a \text{ such that } \sum_{j \in P_a} e^{-w_{aj}} = 1, \text{ for all } a \in A.$$

OBJECTIVE: Obtain a valid assignment f such that $w(f)$ is minimum.

Below, I show that this problem can be polynomially transformed to the MWSSP. Recall that, given a graph $G = (V, E)$ and weights $z \in \mathbb{R}_+^V$, MWSSP consists of finding a stable set $S \subset V$ of G such that $z(S) = \sum_{v \in S} z_v$ is maximum. Let $G = (V, E)$ be the graph such that $V = \{v_{aj} : a \in A, j \in P_a\}$,

$$E = \{(v_{aj}, v_{aj'}) : a \in A, j, j' \in P_a, j \neq j'\} \cup \{(v_{aj}, v_{a'j'}) : a, a' \in A, a \neq a', j \in P_a, j' \in P_{a'}, j \cap j' \neq \emptyset\},$$

and consider weights $z_{aj} = M - w_{aj}$ where $M = \sum_{a \in V} \sum_{j \in P_a} w_{aj}$.

Theorem 2.1 *Let S be an optimal stable set of the MWSSP. The \mathcal{K} -Matching Problem is feasible if and only if $z(S) > M.(n_A - 1)$ and, in that case, $f(a) = j$ for all $v_{aj} \in S$ is an optimal assignment of the \mathcal{K} -Matching Problem.*

Proof. If the \mathcal{K} -Matching Problem is feasible, there exists a valid assignment \hat{f} . Let $\hat{S} \subset V$ such that $v_{aj} \in \hat{S}$ if and only if $\hat{f}(a) = j$. It is easy to see that \hat{S} is a stable set of G whose weight is greater than $M.(n_A - 1)$. Since S is optimal, $z(S) \geq z(\hat{S}) > M.(n_A - 1)$.

Conversely, assume that $z(S) > M.(n_A - 1)$ and let $f(a) = j$ for all $v_{aj} \in S$. First, let us prove that f is a valid assignment. Suppose that there exists $a^* \in A$ such that $v_{a^*j} \notin S$ for every j . Then, $z(S) \leq M.(n_A - 1) - \sum_{v_{aj} \in S} w_{aj} \leq M.(n_A - 1)$ which leads to a contradiction. Then, f is defined for all $a \in A$. In addition, if $v_{aj}, v_{aj'} \in S$ then $v_{aj} = v_{aj'}$ so a is assigned to a unique j . Furthermore, if $a, a' \in A$ and $b \in B$ such that $b \in j$ and $b \in j'$ for some $j \in P_a, j' \in P_{a'}$ then $a = a'$ so b is assigned to at most one star of A . Now, let us prove that f is optimal. Suppose that there exists a valid assignment \hat{f} such that $w(\hat{f}) < w(f)$. Again, let $\hat{S} \subset V$ such that $v_{aj} \in \hat{S}$ if and only if $\hat{f}(a) = j$. It is easy to see that \hat{S} is a stable set of G whose weight is $M.n_A - w(\hat{f})$. Then, $z(\hat{S}) > M.n_A - w(f) = z(S)$, which is absurd. \square

Based on this reduction, an exact algorithm (which can be consulted in the Online Appendix) was implemented for solving instances of the 2-Matching Problem. Then, a real catalog of 52313 stars (where 568 are doubles) was

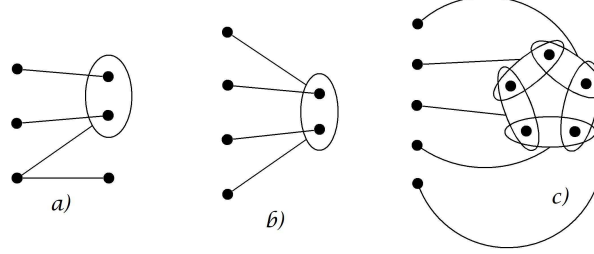


Fig. 1. Instances for: a) claw, b) diamond, c) odd hole C_5

cross-identified against another of 83397 stars in less than a minute of CPU time. The algorithm, auxiliary files and the resulting catalog are available [3].

Now, define $\mathcal{F}_{\mathcal{K}}$ as the family of graphs G obtained by the previous reduction for any instance of the \mathcal{K} -Matching Problem. It is clearly that the 1-Matching Problem, i.e. when no multiple stars are present in catalog A , can be trivially reduced to the classic *Maximum Weighted Matching Problem* (MWMP) over a bipartite graph G_B . Indeed, our reduction gives the line graph of G_B . Therefore, \mathcal{F}_1 is the family of line graphs of bipartite graphs. It is known from Graph Theory that, if G belongs to such family, then the *claw*, the *diamond* and the *odd holes* are forbidden induced subgraphs of G . This leads to the following:

Open question. Which are the forbidden induced subgraphs that characterize those graphs from $\mathcal{F}_{\mathcal{K}}$ for $\mathcal{K} \geq 2$?

Although none of the mentioned subgraphs are forbidden for the case $\mathcal{K} \geq 2$ (they can be generated from instances of the 2-Matching Problem as it is shown in Figure 1), the claw can be generalized as follows:

Lemma 2.2 For $\mathcal{K} \geq 1$, let $G \in \mathcal{F}_{\mathcal{K}}$. Then, G is $K_{1,\mathcal{K}+2}$ -free.

Proof. Suppose that the star $K_{1,\mathcal{K}+2}$ is an induced subgraph of G . Let v_{aj} be the central vertex of the star and $v_{a_1,j_1}, v_{a_2,j_2}, \dots, v_{a_{\mathcal{K}+2},j_{\mathcal{K}+2}}$ the remaining vertices. W.l.o.g., we can assume that $a \neq a_1, a \neq a_2, \dots, a \neq a_r, a = a_{r+1} = a_{r+2} = \dots = a_{\mathcal{K}+2}$ for some r . If $r \leq \mathcal{K}$, we would obtain that $a = a_{\mathcal{K}+1} = a_{\mathcal{K}+2}$ and then $v_{a,j_{\mathcal{K}+1}}$ and $v_{a,j_{\mathcal{K}+2}}$ would be adjacent which is absurd. Therefore, $r \geq \mathcal{K} + 1$. Since v_{aj} and v_{a_i,j_i} are adjacent and $a \neq a_i$ for all $1 \leq i \leq \mathcal{K} + 1$, then $j \cap j_i \neq \emptyset$. On the other hand, v_{a_i,j_i} and $v_{a_{i'},j_{i'}}$ are not adjacent for all $1 \leq i < i' \leq \mathcal{K} + 1$, then $j_i \cap j_{i'} = \emptyset$. Therefore, j should have at least $\mathcal{K} + 1$ elements which leads to a contradiction. \square

Another forbidden subgraph of the 2-Matching Problem is given as follows. Let G be the graph of Figure 2(a). Note that the instance of the 2-Matching

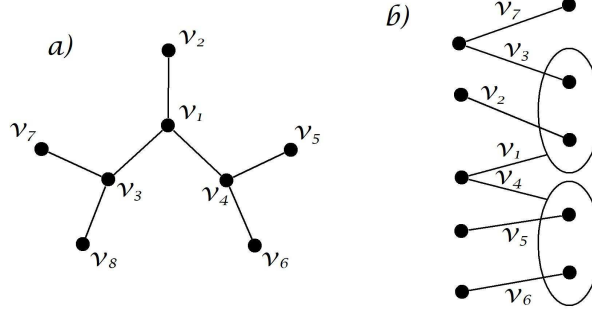


Fig. 2. A graph not in \mathcal{F}_2 : a) G , b) partial construction

Problem given in Figure 2(b) corresponds to the subgraph of G induced by vertices v_1, \dots, v_7 . A drawback emerges when v_8 is considered. Hence, $G \notin \mathcal{F}_2$.

From the complexity point of view, the \mathcal{K} -Matching Problem for $\mathcal{K} = 1$ is polynomial due to the existence of efficient algorithms for the MWMP such as the Hungarian Algorithm. When $\mathcal{K} = 2$, Lemma 2.2 says that graphs from $\mathcal{F}_{\mathcal{K}}$ are $K_{1,4}$ -free, and the MWSSP for $K_{1,4}$ -free graphs is known to be \mathcal{NP} -Hard. Nevertheless, this does not mean that our matching problem is hard since \mathcal{F}_2 has other forbidden subgraphs. Its complexity is addressed in the next section.

3 Complexity of the problem

In this section, I prove that the \mathcal{K} -Matching Problem is \mathcal{NP} -hard for $\mathcal{K} \geq 2$. Even more, I consider a more restricted problem where every star of A has exactly multiplicity \mathcal{K} . The decision problem is as follows:

\mathcal{K} -Matching Decision Problem (\mathcal{K} -MDP)

INSTANCE: $n_A, n_B \in \mathbb{Z}_+$; A, B such that $|A| = n_A, |B| = n_B$; $P_a \subset \mathcal{P}(B)$ such that $|j| = \mathcal{K}$ for all $j \in P_a$, for all $a \in A$; $w_{aj} \in \mathbb{R}_+$ for all $j \in P_a$ such that $\sum_{j \in P_a} e^{-w_{aj}} = 1$, for all $a \in A$; $t \in \mathbb{R}$.

QUESTION: Is there a valid assignment f such that $w(f) \leq t$?

Let us first introduce two auxiliary problems. Given $n \in \mathbb{Z}_+$, let \mathcal{P} and \mathcal{Q} be disjoint sets such that $|\mathcal{P}| = |\mathcal{Q}| = n$. A *perfect matching* (p.m. for short) is a set $M \subset \mathcal{P} \times \mathcal{Q}$ such that $|M| = n$ and every element of $\mathcal{P} \cup \mathcal{Q}$ occurs in exactly one pair of M . The first, which is \mathcal{NP} -complete [4], is defined below:

Disjoint Matchings (DM)

INSTANCE: $n \in \mathbb{Z}_+$; disjoint sets \mathcal{P}, \mathcal{Q} such that $|\mathcal{P}| = |\mathcal{Q}| = n$; $\mathcal{A}_1, \mathcal{A}_2 \subset \mathcal{P} \times \mathcal{Q}$.

QUESTION: Are there p.m. $M_1 \subset \mathcal{A}_1, M_2 \subset \mathcal{A}_2$ such that $M_1 \cap M_2 = \emptyset$?

The second auxiliary problem is given below. It differs from the 2-Matching Decision Problem in that values w_{aj} do not come from probabilities:

2-Matching Decision Problem with Arbitrary Weights (2-MDPAW)

INSTANCE: $n_A, n_B \in \mathbb{Z}_+$; sets A, B such that $|A| = n_A$ and $|B| = n_B$; $P_a \subset \mathcal{P}(B)$ such that $|j| = 2$ for all $j \in P_a, a \in A$; $w_{aj} \in \mathbb{R}_+$ for all $j \in P_a, a \in A$; $t \in \mathbb{R}$.
QUESTION: Is there a valid assignment f such that $w(f) \leq t$?

Lemma 3.1 *2-MDPAW is \mathcal{NP} -complete.*

Proof. First of all, it clearly is \mathcal{NP} . Below, a polynomial transformation from DM is proposed. Consider an instance $\mathcal{P} = \{p_1, \dots, p_n\}$, $\mathcal{Q} = \{q_1, \dots, q_n\}$, $\mathcal{A}_1, \mathcal{A}_2 \subset \mathcal{P} \times \mathcal{Q}$ of DM. We construct an instance of 2-MDPAW as follows. Let $A = \{a_{rs} : r \text{ and } s \text{ such that } (p_r, q_s) \in \mathcal{A}_1 \cup \mathcal{A}_2\}$ and

$$B = \{p_i^1, p_i^2 : i \text{ such that } p_i \in \mathcal{P}\} \cup \{q_i^1, q_i^2 : i \text{ such that } q_i \in \mathcal{Q}\} \cup \{z_{rs}, z'_{rs} : r \text{ and } s \text{ such that } a_{rs} \in A\}.$$

Hence, $n_A = |\mathcal{A}_1 \cup \mathcal{A}_2|$ and $n_B = 4n + 2|\mathcal{A}_1 \cup \mathcal{A}_2|$. For every $a_{rs} \in A$, let $P_{a_{rs}} = \{\{p_r^i, q_s^i\} : r, s \text{ and } i \text{ such that } (p_r, q_s) \in \mathcal{A}_i\} \cup \{\{z_{rs}, z'_{rs}\}\}$. For $a_{rs} \in A$ and $j \in P_{a_{rs}}$, let

$$w_{a_{rs}j} = \begin{cases} 0, & j = \{p_r^i, q_s^i\} \text{ for some } i \wedge (p_r, q_s) \in \mathcal{A}_1 \Delta \mathcal{A}_2, \\ 1, & j = \{p_r^i, q_s^i\} \text{ for some } i \wedge (p_r, q_s) \in \mathcal{A}_1 \cap \mathcal{A}_2, \\ 1, & j = \{z_{rs}, z'_{rs}\} \wedge (p_r, q_s) \in \mathcal{A}_1 \Delta \mathcal{A}_2, \\ 2, & j = \{z_{rs}, z'_{rs}\} \wedge (p_r, q_s) \in \mathcal{A}_1 \cap \mathcal{A}_2, \end{cases}$$

where Δ denotes the symmetric difference operator between sets. Finally, let $t = |\mathcal{A}_1| + |\mathcal{A}_2| - 2n$.

We prove that, given disjoint p.m. $M_1 \subset \mathcal{A}_1, M_2 \subset \mathcal{A}_2$, there exists a valid assignment f such that $w(f) \leq t$. Consider $f(a_{rs}) = \{p_r^i, q_s^i\}$ when $(p_r, q_s) \in M_i$ for some $i \in \{1, 2\}$, and $f(a_{rs}) = \{z_{rs}, z'_{rs}\}$ otherwise. The validity of f is straightforward. Also, $w(f) = |(M_1 \cap \mathcal{A}_2) \cup (M_2 \cap \mathcal{A}_1)| + |(\mathcal{A}_1 \setminus (M_1 \cup \mathcal{A}_2)) \cup (\mathcal{A}_2 \setminus (M_2 \cup \mathcal{A}_1))| + 2|(\mathcal{A}_1 \cap \mathcal{A}_2) \setminus (M_1 \cup M_2)| = |\mathcal{A}_1 \setminus M_1| + |\mathcal{A}_2 \setminus M_2| = t$. Conversely, we prove that, for a given valid assignment f such that $w(f) \leq t$, there exist disjoint p.m. $M_1 \subset \mathcal{A}_1, M_2 \subset \mathcal{A}_2$. Consider $M_i = \{(p_r, q_s) : r \text{ and } s \text{ such that } f(a_{rs}) = \{p_r^i, q_s^i\}\}$ for all $i \in \{1, 2\}$. Since f is a function, $M_1 \cap M_2 = \emptyset$. It is also straightforward that $M_i \subset \mathcal{A}_i$. Now, suppose that there exists an element in $\mathcal{P} \cup \mathcal{Q}$ occurring in two pairs of M_i . W.l.o.g.,

suppose $(p_1, q_1), (p_1, q_2) \in M_1$. Then, $f(a_{11}) \cap f(a_{12}) = \{p_1^1, q_1^1\} \cap \{p_1^1, q_2^1\} \neq \emptyset$ which is absurd. Therefore, every element in $\mathcal{P} \cup \mathcal{Q}$ occur at most once in any pair of M_1 and once in M_2 . It is easy to see that $|M_1| \leq n$ and $|M_2| \leq n$. Suppose that there exists an element in $\mathcal{P} \cup \mathcal{Q}$ which does not occur in any pair of M_i . Again, w.l.o.g., suppose that such element does not occur in M_1 . Then, $|M_1| < n$ and $w(f) = |\mathcal{A}_1 \setminus M_1| + |\mathcal{A}_2 \setminus M_2| > |\mathcal{A}_1| + |\mathcal{A}_2| - 2n = t$. Absurd! Therefore, M_1 and M_2 are both p.m. and $|M_1| = |M_2| = n$. \square

Theorem 3.2 \mathcal{K} -MDP is \mathcal{NP} -complete for all $\mathcal{K} \geq 2$.

Proof. We propose a polynomial transformation from 2-MDPAW. Consider an instance $A = \{a_1, \dots, a_{n_A}\}$, $B = \{b_1, \dots, b_{n_B}\}$, P_a, w_{aj} , and t of 2-MDPAW. We construct an instance $A', B', P'_a, w'_{aj}, t'$ of \mathcal{K} -MDP as follows. Let $A' = A \cup \{\bar{a}_1, \dots, \bar{a}_{n_A}\}$ and $B' = B \cup \{\tilde{b}_{jk} : j \in \bigcup_{a \in A} P_a, 3 \leq k \leq \mathcal{K}\} \cup \{\bar{b}_{ak} : a \in A, 1 \leq k \leq \mathcal{K}\}$. For all $a \in A$, let $P'_a = \{j \in \bigcup_{k=3}^{\mathcal{K}} \tilde{b}_{jk} : j \in P_a\} \cup \{j'_a\}$ where $j'_a = \bigcup_{k=1}^{\mathcal{K}} \bar{b}_{ak}$ (if $\mathcal{K} = 2$, we just have $P'_a = P_a \cup \{\{\bar{b}_{a1}, \bar{b}_{a2}\}\}$). Take an $a^* \in A$ that maximizes $p^* \doteq \sum_{j \in P_{a^*}} e^{-w_{a^*j}}$. Let $\beta > \ln(p^*)$ and $w'_{aj} = w_{aj} + \beta$ for all $j \in P_a, a \in A$. Then, $\sum_{j \in P_a} e^{-w'_{aj}} < 1$. Let $w'_{aj'_a} = -\ln(1 - \sum_{j \in P_a} e^{-w'_{aj}})$ for all $a \in A$. We obtain $\sum_{j \in P'_a} e^{-w'_{aj}} = 1$. For all $i \in \{1, \dots, n_A\}$, let $P'_{\bar{a}_i} = \{j'_{\bar{a}_i}\}$ and $w'_{\bar{a}_i j'_{\bar{a}_i}} = 0$ where $j'_{\bar{a}_i} = \bigcup_{k=1}^{\mathcal{K}} \bar{b}_{a_i k}$. Finally, let $t' = t + n_A \beta$.

Now we prove that there is an f of 2-MDPAW such that $w(f) \leq t$ if and only if there is an f' of \mathcal{K} -MDP such that $w(f') \leq t'$. In order f' to be valid, $f'(\bar{a}_i) = j'_{\bar{a}_i}$ for all $1 \leq i \leq n_A$. We propose $f'(a) = f(a)$ for all $a \in A$. Clearly, if f is valid then f' is valid too, and conversely. Since $\sum_{a \in A' \setminus A} w'_{af'(a)} = 0$, $w(f') = w(f) + n_A \beta$. \square

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