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Effects of Native Vacancies in Nb doped MgH₂ Using DFT Calculations

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Abstract

In the present work we considered the effect of Nb and charged vacancies in the properties of magnesium hydride. We performed spin polarized ab initio calculations substituting a Mg atom by a Nb impurity. Then some charged vacancies were included in the MgH_2+Nb system (V_{H} , V_{Mg} or $V_{\text{Mg-H}}$). In each case three possible charge states were considered (+1, 0 or -1). We computed cohesion and formation energy, bandgap and magnetic moment. We also calculate the transition level energy value and the density of states. Nb states are located in the gap, and a magnetic moment is induced. In the case of the system with charged vacancies we found that the V_{H}^+ and V_{H}^0 are the more probable formed and the states near the Fermi level (E_{F}) are filled thus getting an important reduction in the bandgap.

1. Introduction

It is well known that the replacement of fossil fuels is one of the most important topics against global warming. Hydrogen is the lightest element in the periodic table and has the highest gravimetric energy density of all known substances. Therefore, hydrogen is considered a strong candidate as a future energy carrier.¹⁻⁵ The hydrogen's use as a fuel would be convenient, because the only combustion product is water, no other exhaust fumes, that might be possibly toxic or detrimental for the climate, are emitted. However, despite many years of research - except for a few pilot projects - hydrogen technology has still not been established as a broadly used technology in the renewable energy sector. During the last four decades the hydrogen storage in fuel cells and its subsequent reconversion to energy has been studied extensively.⁶⁻⁸ This is mainly because the requirements for an effective, safe and reliable way to store hydrogen is not yet met. Storage it as gas can be dangerous and the ratio volume/capacity of storage is the lowest; on the other hand, storing hydrogen as a liquid require temperatures around 20 °K and it make it energetically expensive.^{9,10} One of the safest and most stable ways to store Hydrogen is in solid materials as absorbed specie. Many materials are being studied by the scientific community and metal hydrides are one of the most promising.¹¹⁻¹⁵ Unfortunately, there are some problems when considered for practical applications, mainly due to the slowly kinetics in the hydrogenation/dehydrogenation processes and high dehydrogenation temperature. It has been found that kinetics and thermodynamics can be improved by reducing the size of the sample or adding defect-like vacancies or transition metals as impurities (TM: Nb, V, Ti, Zr).^{10,16-20} Particularly, magnesium hydride (MgH₂) has received special interest due to its low cost, availability, high gravimetric hydrogen capacity (7.66 wt %) and lightweight. Besides energy storage, doped and undoped MgH₂ can be useful in several technological areas like plasmonic color printing, thermos-solar applications, optoelectronic, switchable mirrors and spintronic.²¹⁻²⁵ There are

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3 different works about the improvement of properties of MgH_2 . Chakrabarti et al., using
4 Density Functional Theory (DFT), studied the effect of dehydrogenation efficiency on doping
5 with rare earth elements in MgH_2 . The authors concluded that impurities can increase or
6 decrease the hydrogen desorption energy.²⁶ Salamani et al. established that the TM (Nb, V,
7 Cr, Mn, Co, Ni) incorporation in the hydride, shows magnetic moments strongly localized.²⁴
8 In our previous work, a similar behavior was observed in MgH_2 containing vacancy with q
9 charge state V_X^q (X: Mg, H, Mg-H), founding localized magnetic moments $\mu = 0.74 \mu_B$, 0.30
10 μ_B , $0.49 \mu_B$ in Mg^+ , Mg^0 and Mg-H^+ vacancies, respectively.²⁷

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22 It has been observed that in Nb-doped MgH_2 , the metal acts as a very effective catalyst
23 favoring the H_2 desorption and absorption.²⁸⁻³¹ There are some interesting experimental
24 studies in this system that allow us both to build a suitable theoretical model and to compare
25 calculations with data.^{32,33} Nevertheless, there is not register about how magnetization works
26 in this system and the effects of defects-like vacancies. Looking for improve the knowledge
27 about Nb-doped MgH_2 , we made DFT spin polarized ab initio calculations for the structure
28 containing H vacancies (V_H), Mg vacancies (V_{Mg}) or Mg-H divacancies ($V_{\text{Mg-H}}$). For each
29 vacancy type, calculation was performed with different charge states $q = +1, 0$ and -1 .

2. Computational Model

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To perform the calculations, it has been used the Vienna Ab-initio Simulation Package
(VASP) code, within the frame of spin polarized DFT. The ion-core interaction was
simulated by the projector augmented wave (PAW) pseudopotential and the PW91 functional
was used as generalized gradient approximation (GGA) for the exchange-correlation term.³⁴
³⁷ The MgH_2 has four polymorphs phases depending on the pressure and temperature.³⁸
Among the them, $\alpha\text{-MgH}_2$ -represented in a rutile crystal structure (P42/mnm, group No.

136)- is the most stable phase at ambient pressure and room temperature.³⁹ This structure was modeled using a supercell with 72 atoms (24 Mg and 48 H atoms). In order to perform the Nb doped MgH₂ system, one Mg atom was replaced by a Nb atom, resulting a supercell with 23 Mg, 48 H and one Nb atoms. The calculated lattice parameters were $a = 4.501 \text{ \AA}$, $c/a = 0.669$ and $x = 0.305 \text{ \AA}$, which are in good agreement with those obtained both theoretically and experimentally.^{40,41} The vacancies sites were selected removing individual atoms from the supercell such that they were neighbors of Nb (see Figure 1). It was used a 4 x 4 x 4 Monkhorst-Pack k-points mesh to sample the Brillouin zone and to perform the ionic relaxation.⁴² No constraints was used for the relaxation and it was implemented the conjugate gradient algorithm. The convergence criteria chosen for the convergence test was 10^{-4} eV/\AA on each atom and a total energy of the down to 10^{-4} eV between consecutives steps. For the density of electronic states, formation energies and magnetic moments were calculated, where a 15 x 15 x 15 k-points grid was employed. Finally, a cutoff of 650 eV was selected for the plane-wave basis. The replacement of one Mg atom by a Nb atom as well as vacancies, plays an important role in dehydrogenation. The energetic cost of replacing a Mg atom with a Nb atom (E_{coh} , called cohesion energy), is calculated using the following equation:

$$E_{\text{coh}}(\text{doped})=E_{\text{tot}}(\text{doped})+E(\text{Mg HCP})-[E(\text{Nb BCC})+E(\text{MgH}_2)] \quad (1)$$

where the term $E_{\text{tot}}(\text{doped})$ is the total energy of the doped supercell MgH₂+Nb, $E(\text{MgH}_2)$ is the total energy of the magnesium hydride bulk supercell and $E(\text{Nb})$ and $E(\text{Mg})$ are the energy of one atom of Nb and Mg in their respective bulk crystalline structure (BCC for Nb, HCP for Mg).

Regarding to charge defects, nowadays there is not a complete agreement about how to perform the calculations of their formation energy.⁴³⁻⁴⁶ Under this scenario and continuing the

methodology of our previous work, the formation energy E^{form} of a type X vacancy in the charge state q was calculated as

$$E^{\text{form}}(X^q) = E_{\text{tot}}(X^q) - E_{\text{tot}}(\text{doped}) + n_i(\mu_i + E_{\text{ref},i}) + q(E_F + E_V + V) \quad (2)$$

where $E_{\text{tot}}(X^q)$ is the total energy of the supercell ($\text{MgH}_2 + \text{Nb}$) with defects of type X and charge state q and n_i corresponds to the number of removed atoms of species i with chemical potential μ_i .²⁷ For H and Mg species, the chemical potentials is referenced as follows: $E_{\text{ref},\text{H}} = \frac{1}{2} E(\text{H}_2)$ and $E_{\text{ref},\text{Mg}} = E(\text{Mg})$, the energy per atom of H_2 molecule and energy per atom of Mg bulk, respectively. In addition, the chemicals potentials are limited by the stability of the material by the relation $\mu_{\text{Mg}} + \mu_{\text{H}} = \Delta H_f(\text{MgH}_2)$, where $\mu_{\text{Mg}} \leq 0$, $\mu_{\text{H}} \leq 0$ and $\Delta H_f(\text{MgH}_2)$ is the formation enthalpy of the bulk MgH_2 . Considering that the more relevant conditions for H desorption are H-poor concentration, it can be considered $\mu_{\text{Mg}} = 0$ and then $\mu_{\text{H}} = 1/2 \Delta H_f(\text{MgH}_2)$. Finally, the Fermi level (E_F) is referenced to the valence-band maximum (E_V) of the doped material and the term ΔV corrects E_V due long-range nature of the electrostatic interactions between periodic images of the charged defects. Regarding the formation and the cohesion energy calculations, several authors support the applicability of this GGA method for defect systems due to fairly accurate total energies in large systems, of around 100 atoms, when two corrections are considered: Band-edge corrections due to the approximate DFT functional and Corrections due to the supercell approximation.^{47,48}

It is well known that depending of Fermi level position one vacancy type can be stable in different charge states. In addition, if the Fermi level is raised to the nearest values to the conduction band (CB), the induced states by the defect within bandgap zone become filled with electrons. For this reason, is important to know the transition level energy value $\epsilon(q/q')$, which indicates the defect levels corresponding to Fermi-level position where a charge state transition occurs; that is to say the Fermi-level position for which the formation energies of charge states q and q' have the same value. The $\epsilon(q/q')$ can be obtained from:

$$\varepsilon(q/q') = [E_f(D_q; E_F = 0) - E_f(D_{q'}; E_F = 0)] / (q' - q) \quad (3)$$

where $E_f(D_q; E_F = 0)$ is the formation energy of the defect D in the charge state q when the Fermi level is at the valence band maximum ($E_F = 0$). The experimental interpretation of the transition level is that for Fermi-level positions below $\varepsilon(q/q')$ charge state q is stable, while for Fermi-level positions above $\varepsilon(q/q')$ charge state q' is stable.

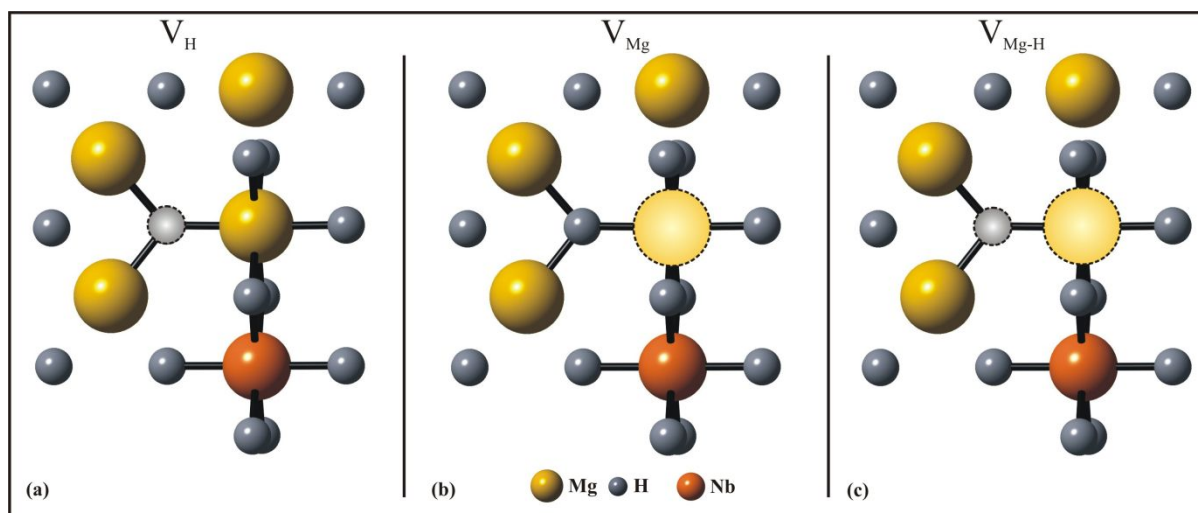


Figure 1: H vacancy (a), Mg vacancy (b) and complex Mg-H divacancy (c) site in Nb doped MgH_2 (For the sake of clarity, only the sites around the impurity is shown).

3. Results and Discussion

3.1 Nb doped MgH_2

From equation (1) we computed the energy needed to substitute one Nb at a Mg site. It is 1.88 eV, similar to values reported by Kumar et al.⁴⁹ It can be seen in Figure 2 that a substitutional Nb atom located at a Mg atom position produces a small local non-symmetrical distortion in the structure, where the most affected atoms are the near neighbors to Nb atom. This geometric rearrangement is a consequence of charge redistribution and different atomic sizes. The modified closest Mg-Mg and Mg-H distances are 3.55 Å and 1.96 Å, respectively. While in the pure MgH_2 are 3.52 Å and 1.94 Å, respectively. The Nb-Mg and Nb-H bond

length are found to be 3.03 Å and 1.95 Å, respectively. The elongation of Mg-H bond lengths is suggestive of an easier dissociation and consequently reduced hydrogen adsorption energy. These results are in good agreement with those reported by Hussain et al.⁵⁰

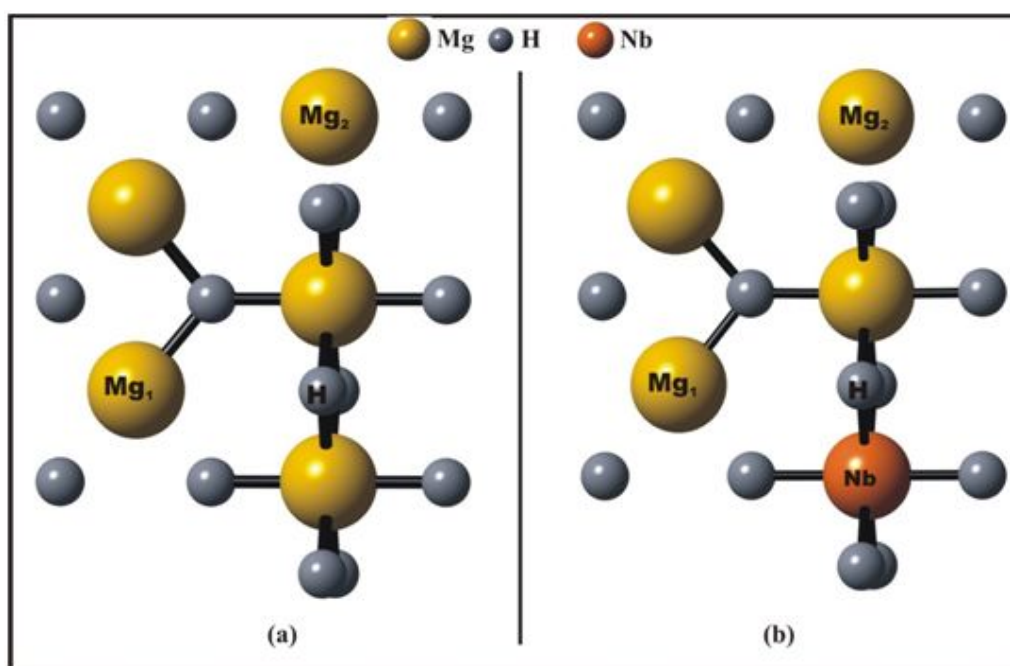


Figure 2: Local structure around of impurity site. Before (a) and after (b) the Nb substitutional impurity incorporation.

Regarding electronic structure, Figure 3 shows total and partial DOS curves for the hydride before and after the Nb impurity incorporation. Figures 3(a) and (b) show hybridization of Mg and H atoms, which is a signal of strong ionic Mg-H bonds. The valence band (VB) and CB are separated by an energy gap of 3.79 eV. This value is smaller than those experimentally obtained (5.6 ± 0.1 eV).⁵¹ It is well known that for several semiconductors and insulators, this discrepancy can be attributed to the Kohn–Sham formalism implemented in DFT resulting in underestimation of band gaps values.⁵² But we are only interested in a qualitative comparison. When the Nb impurity is introduced the DOS curves are shifted to lower energies and new states appearing in the gap (see Figure 3(c)). These new states are mainly formed by the d orbitals of the impurity with a small contribution of Mg and H

orbitals (compare Figure 3(b) with (d)). Because of this, an important reduction in the bandgap is found. Hussain et al reported similar behavior in Nb doped α -, β - and γ -MgH₂ phases^{50,53}. Also, it can be seen that spin up and spin down DOS contributions are asymmetric, which is consistent with an induced magnetic moment of $2.11\mu_B$. This induced magnetism is coming mainly from the impurity, with a slightly polarization on the nearest neighbors. These results are similar as those reported by Lu et al. by defective MgH₂ (with substitutional TM, TM = V, Cr, Mn, Fe, Co or Ni).⁵⁴

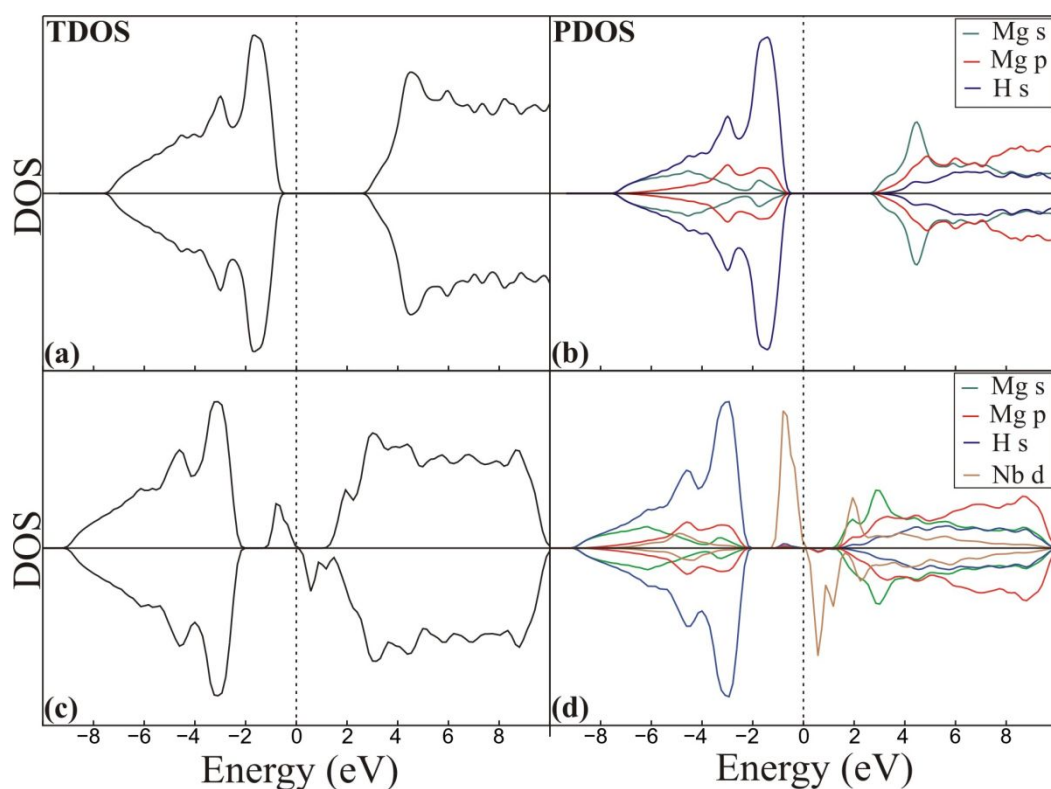


Figure 3: Total and partial DOS curves before (a) and (b); and after the Nb substitutional impurity incorporation (c) and (d).

Currently these hydride types are also studied as potential candidates for the so-called “hydride electronics”. Using an analogy with the transparent conducting oxides (TCOs), the hydride can be classified in type-s or type-d, depending on dominant contribution of the s- or d-electrons on the top-most VB and bottom-most CB.⁵⁵ For this reason, is important to

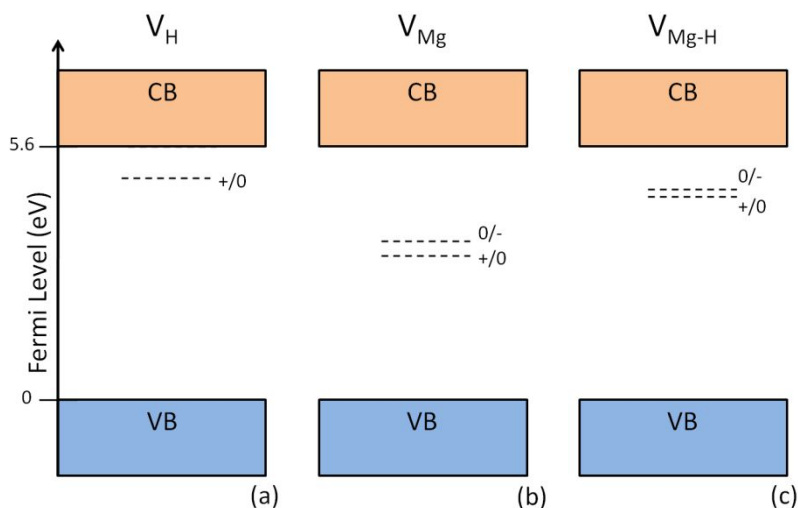
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3 analyze the DOS curves behavior in the valence and conduction bands. In the undoped case,
4 the Fermi level is closer to the VB, indicating a p-type semiconductor. Total DOS curves
5 show that the top-most VB and bottom-most CB are well dispersed. It can be seen from the
6 PDOS curves that both top-most VB and bottom-most CB mainly contributions are from s
7 orbitals. The top-most VB is mostly composed by H atom s orbitals whereas in the bottom-
8 most CB the s orbitals come from Mg atoms. This behavior is similar to well-known
9 semiconductors used for electronics devices (for example Si and GaAs), which suggest that
10 this hydride could present electrical current transport with the right dopant. Experimental
11 results show that the magnesium hydrides with the right transition metals impurity exhibits a
12 semiconducting transparent behavior. But these are preliminary result a still far from meeting
13 the goals for practical applications.⁵⁶ In the hydride-impurity system we can see that the
14 bandgap is reduced and the conduction is improved. Also, the DOS curves show a shift in the
15 Fermi level to the CB, which change the system to a n-type semiconductor. The introduction
16 of deep localized states that divide the gap in two smaller parts, makes the introduction of
17 defects an important tool for the modulation of optical properties, and also could be utilized
18 for bandgap engineering and insulator-semiconductor transitions. In this system the gap is
19 divided in two bands, but the second bandgap (i. e. the energy difference between the nearest
20 two bottom-most CBs) is < 3.1 eV that is an indication of loss of transparency.

3.2 Charged Vacancies in MgH_2 and Nb doped MgH_2

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47 Figure 4 summarizes the transition level energy within the band gap (a-c) and the formation
48 energy as a Fermi level function (d) for positive, neutral and negative vacancies in Nb doped
49 MgH_2 . Table 1 and Figures 4(a-c) show the values and position within the bandgap of
50 transition level ε (q/q') for each studied vacancy species. In all cases it can be noted that the
51 donor level $\varepsilon(+/0)$ has a value lower than the acceptor level $\varepsilon(0/-)$, this fact reflects a positive
52 repulsion energy U .⁵⁷ That is to say, the three charge states +, 0, - can be thermodynamically
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stable depending upon the position of the Fermi level. The U corresponds to the difference between the donor and acceptor levels. For V_H , V_{Mg} and V_{Mg-H} the U value is 0.8 eV, 0.3 eV and 0.2 eV, respectively.

In addition, from Figure 4(d) it can be noted that the hydrogen vacancy (V_H) is the lowest energy defect, whereas the magnesium vacancy (V_{Mg}) has the highest formation energy. Also, the formation energy of V_{Mg-H} complex is higher than V_H and lower than V_{Mg} . Then, the hydrogen vacancy is dominant defect respect to other vacancy types. Furthermore, the positive hydrogen vacancy is the most likely to be formed in the Nb doped MgH_2 system, presumably attributed to the Nb effects in the hydride. The Nb presences improves the hydrogen desorption, and the V_H^+ diffuse more readily than V_H^0 . This behavior could be attributed to the ionic nature of MgH_2 .



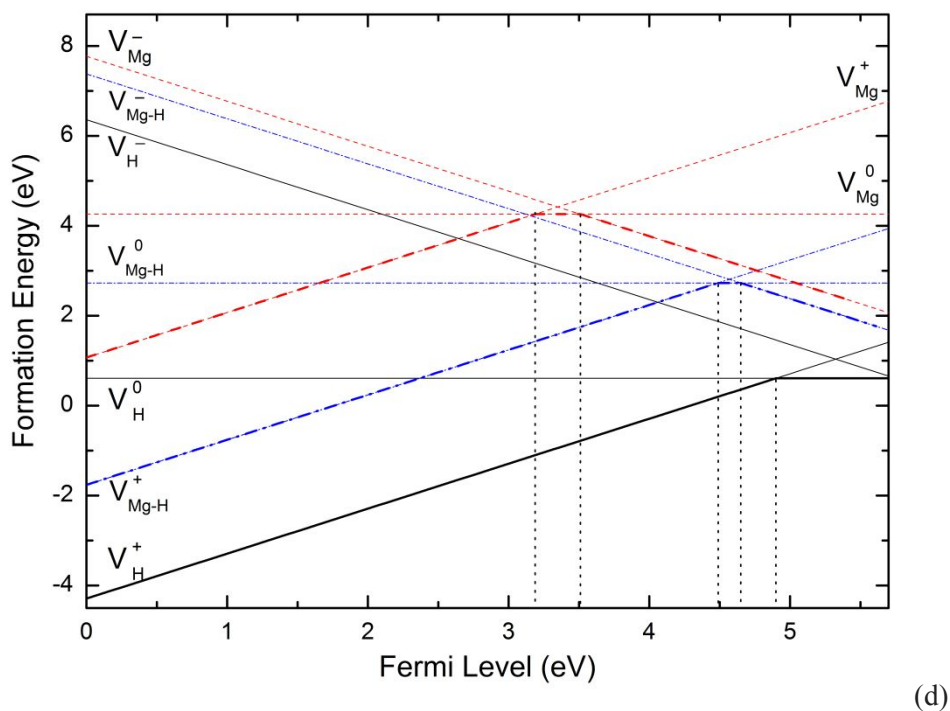


Figure 4: Schematic diagram of transition level energy for (a) Hydrogen vacancy (V_H), (b) magnesium vacancy (V_{Mg}) and (c) hydrogen-magnesium vacancy complex (V_{Mg-H}) in Nb doped MgH_2 . Defect formation energies (E_{form}) as a function of Fermi energy (E_F) for Nb doped MgH_2 containing native defects, each one in their different charge state (d). The black dotted lines indicate the energy transition level ε (q/q').

Table 1. Energy transition level ε (q/q') and U energy for hydrogen, magnesium and hydrogen-magnesium vacancy in Nb doped MgH_2 . All units are in eV.

Vacancy	ε (+/0)	ε (0/-)	U
V_H	4.9	5.7	0.8
V_{Mg}	3.2	3.5	0.3
V_{Mg-H}	4.5	4.7	0.2

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3 Considering that the formation energy plot (see Figure 4(d)) shows that the H vacancy with
4 positive and neutral charge are the most probable formed defects, in the following we only
5 analyze these two cases.
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11 Figure 5 shows the local structural changes induced by vacancy incorporation in MgH_2+Nb
12 system, where the contracted bonds are red, while elongated ones are green. It can be seen
13 that in the cases of neutral and positive H vacancies, local non-symmetrical distortion in the
14 structure is produced. The most affected atoms are the near Nb neighbors, as a consequence
15 of charge redistribution. The Mg atoms first neighbors to the vacancy are moved away, from
16 1.96 \AA to 2.01 \AA and 1.99 \AA for neutral and positive H vacancy, respectively. For the neutral
17 vacancy the Mg-Mg bond distance for the atoms nearest to vacancy and the Nb atom is
18 contracted about 2% (5%) respect to the system with Nb for the neutral (positive) H vacancy,
19 while the Mg-Mg bond for the atoms nearest to the vacancy is elongated approximately 5%
20 and 3% for neutral and positive H vacancy, respectively. In the case of a neutral vacancy, the
21 Mg-H distances remains unaffected except for those with the H atoms nearest to the Nb that
22 are elongated from 1.96 \AA to 2.00 \AA , while all Mg-H bonds are elongated about 0.07 \AA for
23 the case of positive vacancy. The Nb-Mg bond length is found to be 2.88 \AA and 2.85 \AA , for
24 neutral and positive H vacancy respectively. For Nb -H bonds it can be seen that four of them
25 contracted from 1.95 \AA to 1.91 \AA , while the other two are elongated from 1.95 \AA to 1.98 \AA
26 for H neutral vacancy. In the case of a positive H vacancy, the behavior is the same, with four
27 bonds contracted from 1.95 \AA to 1.88 \AA and two elongated from 1.95 \AA to 1.98 \AA .
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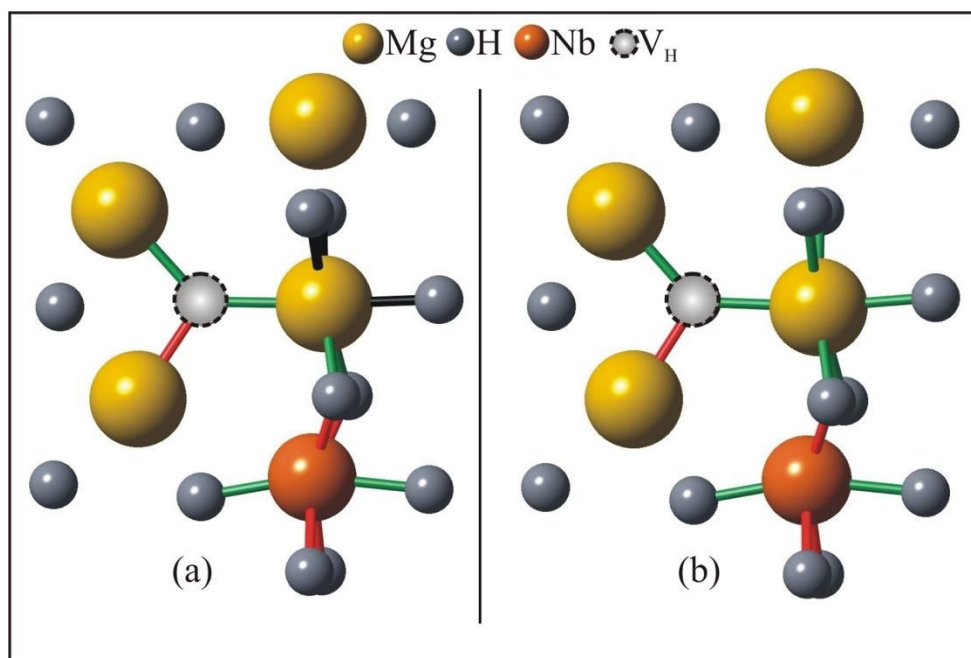


Figure 5: Local structure around of impurity site for the system MgH₂ + Nb. With neutral (a) and positive (b) H vacancy. In this Figure the bonds in red are contracted, while the green ones are elongated with respect to those in the system MgH₂+Nb.

Regarding the electronic structure, Figure 6 shows total and partial DOS curves for the hydride with Nb impurity, for neutral and positive H vacancy. It can be seen from this figure that the Nb-d states are splitting around the Fermi level for both cases. In addition, the hybridized states at the Fermi level produce an important reduction in the bandgap for the neutral H vacancy, while in the case of positive H vacancy almost not bandgap is founded. These results show an improvement in the conduction, but also make this system not transparent. Also, a small shift to higher energies is showed for the DOS curves of positive H vacancy (compared figure 3(c) with figure 6(c)). As before the DOS curves are not symmetrical, which is consistent with a non-zero induced magnetic moment. The induced magnetic moment is $1.49 \mu_B$ and $1.36 \mu_B$ for neutral and positive H vacancy, respectively. This reduction is a consequence of the polarization of the near neighbors.

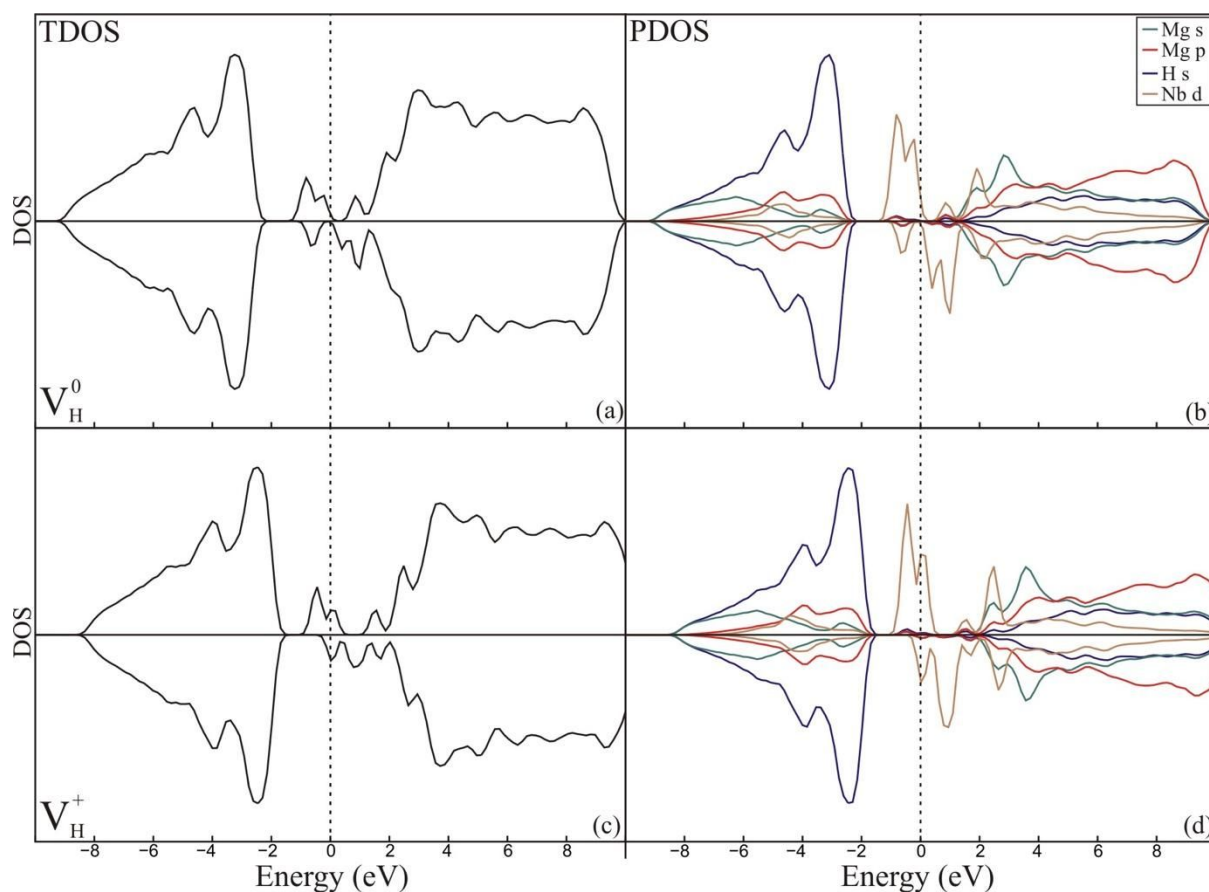


Figure 6: Total and partial DOS curves for $\text{MgH}_2 + \text{Nb}$ system with neutral (a) and (b); and positive (c) and (d) H vacancy.

For a better understanding of the atom interactions, the PDOS by atom is analyzed. It can be seen that in the case of system $\text{MgH}_2 + \text{Nb}$, the Nb atom mostly interacts with the Mg atom (see Figure 7(a-d)). The induced magnetic moment comes mostly from the Nb d orbitals (see Figure 7(d)). When the charged vacancy is introduced, the states in the Fermi level are splitted. In both cases the Nb atom major interactions are with the Mg atoms. But unlike the system without vacancy, the Nb-H interaction is noticeable in the DOS curves (compare Figure 7(c) with Figure 7(g) and 7(k)). In all cases the most important changes are located at CB, which is consistent with the fact that the Nb is a donor. It can be seen in this figure that the reduction in the induced magnetic moment comes from an important polarization of the Mg and H near neighbors.

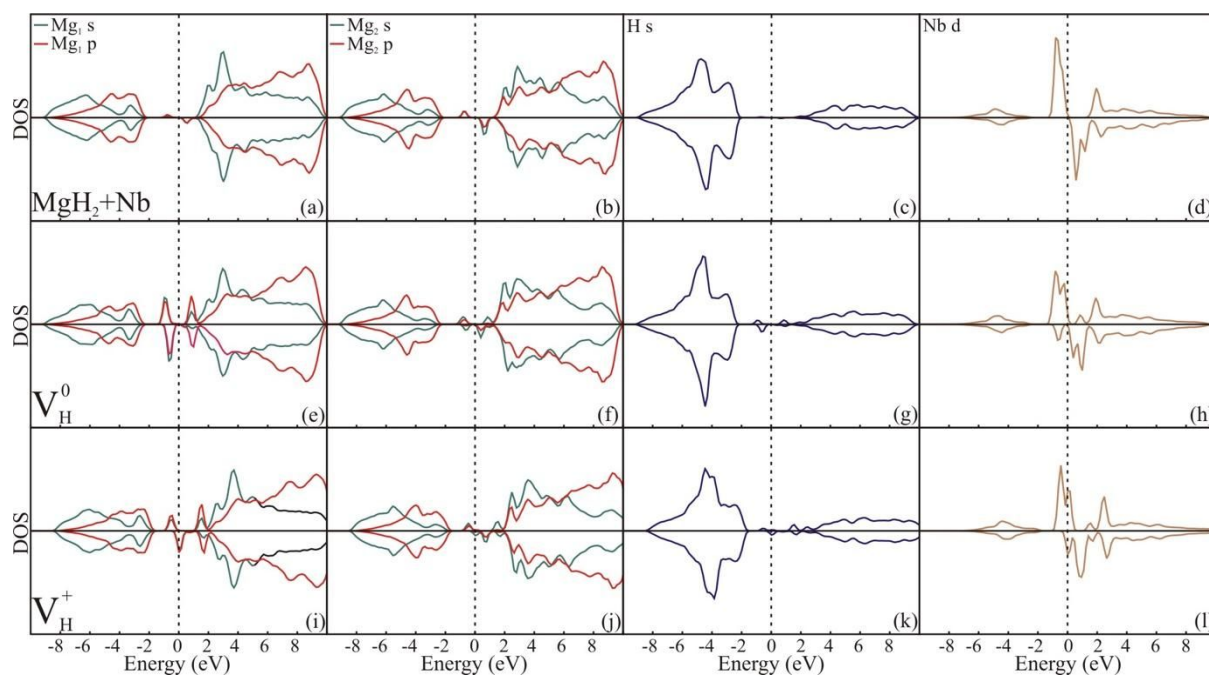


Figure 7: DOS curves for MgH_2+Nb system projected on Mg_1 atom (a), Mg_2 atom (b), H atom (c) and Nb (d). DOS curves for MgH_2+Nb system - with neutral H vacancy - projected on Mg_1 atom (a), Mg_2 atom (b), H atom (c) and Nb (d). DOS curves for MgH_2+Nb system - with positive H vacancy - projected on Mg_1 atom (a), Mg_2 atom (b), H atom (c) and Nb (d).

4. Conclusion

In the present work, it has been studied Nb doped MgH_2 with and without vacancies like defects. H vacancy, Mg vacancy and H-Mg di-vacancy (idem) in different charge states were analyzed using spin polarized DFT calculations. The most relevant conclusions for Nb doped MgH_2 bulk can be summarized as follow:

- The incorporation of Nb induces a magnetic moment of $2.11 \mu_B$ in the MgH_2 (non-magnetic material), acting as a donor for spin down and as acceptor for spin up.
- Nb presence introduces a small change in the Mg-H distances, and only affects their first neighbors, from 1.94 \AA to 1.96 \AA , signal of a slight enhancement in the H desorption.

- Tramp states appear, producing an important reduction in the bandgap. Also, a shift towards lower energies is observed in DOS curves.
- It could have optoelectronics applications due to its high bandgap and the well-dispersed top-most VB and bottom-most CB. With transition metals impurities the hydride exhibits a conversion from the metallic reflective state to the semiconducting transparent state. In the case of Nb the conduction increases but the transparency is highly reduced.

Regarding to Nb doped system containing charged vacancies, it can be concluded the following:

- The V_{H^+} and V_{H^0} are the most probable vacancies to be formed, due to their lowest formation energy.
- For the case of V_{H^0} , the Mg-H distances remains unaffected except for those with the H atoms nearest to the Nb which change from 1.96 Å to 2.00 Å, while all Mg-H bonds are elongated about 0.07 Å for the runs with V_{H^+} .
- Four of the six Nb-H bonds are contracted from 1.95 Å to 1.91 Å while the other two are elongated from 1.95 Å to 1.98 Å for H neutral vacancy. For H positive vacancy, the elongated ones have the same behavior and the four contracted bonds change from 1.95 Å to 1.88 Å.
- Both V_{H^+} and V_{H^0} produce a reduction in the bandgap, showing an improvement in the conduction, but also make this system not transparent.
- Compared to the Nb doped MgH_2 system without vacancies, the V_{H^+} and V_{H^0} reduce the bandgap and induce a lower magnetic moment, 1.49 μ_{B} with V_{H^0} and 1.36 μ_{B} with V_{H^+} . Therefore, doped material could have a hypothetical use in spintronics due to the different behavior between spin up DOS curve and spin down one.

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3 Summarizing for the MgH_2 we found that due to its high bandgap energy is potentially an
4 interesting candidate for switchable smart mirror applications. In the case of doped
5 magnesium hydride, the impurity states are in the gap and these add a magnetic characteristic
6 to a semiconductor. We found a different bandgap in both spin directions. Also, a different
7 spin up and spin down contribution to DOS leading the system to a spin polarized features
8 that could be used in magnetic tunnel junctions. In addition, using a spin filter of materials
9 with these two characteristics could provide different spin polarized currents of interest in
10 spintronics devices. Considering this system for optoelectronics applications is clear that Nb
11 generates a loss in transparency. On the other hand, with the present of vacancies (V_H^0 and
12 V_H^+) the system shows an important increase in the number of states in the gap crossing the
13 Fermi level and the material becomes almost conductor while keeping its magnetism. Also,
14 the system losses all possibility of TCOs behavior. Thus, controlling the vacancies
15 concentration is important when the transparency and semiconductor behavior are needed.
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17 From the point of view of hydrogen storage, Nb and vacancies elongate the metal-H bonds
18 making hydrogen desorption easier.
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TOC Graphic

