

## Kinetics and thermodynamics of swelling and dissolution of PVA gels obtained by freeze-thaw technique



Jorge Ivan Daza Agudelo<sup>a</sup>, Juan Manuel Badano<sup>b</sup>, Ignacio Rintoul<sup>a,\*</sup>

<sup>a</sup> Instituto de Desarrollo Tecnológico para la Industria Química, Universidad Nacional del Litoral, Consejo Nacional de Investigaciones Científicas y Técnicas, Colectora Ruta Nac. 168, CP 3000 Santa Fe, Argentina

<sup>b</sup> Instituto de Investigaciones en Catálisis y Petroquímica, Universidad Nacional del Litoral, Consejo Nacional de Investigaciones Científicas y Técnicas, Colectora Ruta Nac. 168, CP 3000 Santa Fe, Argentina

### HIGHLIGHTS

- Freeze-thaw crosslinking of polyvinyl alcohol is a multiparametric process.
- Freeze-thaw parameters are crossdependent.
- A 4-D surface response of the swelling kinetics parameters is presented.
- A 4-D surface response of the swelling thermodynamics parameters is presented.
- A 4-D surface response of the dissolution kinetics parameters is presented.

### ARTICLE INFO

#### Keywords:

Hydrogel  
Microstructure  
Poly vinyl alcohol  
Freeze-thaw  
Swelling kinetics

### ABSTRACT

PVA hydrogels obtained by freeze-thaw technique find important applications in regenerative medicine and controlled drug delivery technologies. The novelty of this contribution is the establishment of the cross-dependency of kinetics and thermodynamics parameters of swelling and dissolution of PVA hydrogels with the freeze-thaw process main variables. The work also establishes cross-correlations between the freeze-thaw process conditions, the gel microstructure and the properties of obtained hydrogel/hydrosoluble PVA materials. The conditions of the freeze-thaw process were selected by following an experimental design. The time evolution of the water up-take of PVA hydrogels was measured and used as main raw data generator. A 4-D surface response of the swelling and dissolution parameters in the space of freeze-thaw process variables was obtained and analyzed in terms of the resulting hydrogel and hydrosoluble polymeric structures. The 4-D surface response in the space of freeze-thaw process variables can predict very precisely the swelling and dissolution of PVA systems and their conditions for developing fully crosslinked hydrogel networks to fully hydrosoluble polymeric bodies.

### 1. Introduction

Freeze-thaw technique is an interesting approach to obtain polyvinyl alcohol (PVA) hydrogels for medical use. PVA hydrogels find extended applications in soft contact lens, soft tissue engineering, embolizing agents, patches, electrode contacts, artificial heart linings, artificial cartilages, catheters, skin, pancreas membranes among many others medical applications [1]. The excellent biocompatibility of PVA combined with the avoidance of toxic molecules required to promote crosslinking reactions explain the interest of the medical industry in the PVA freeze-thaw process. The freeze-thaw technique uses repetitive cycles of freezing and thawing of PVA aqueous solutions to obtain cryotropic elastic and robust hydrogels [2]. The properties of obtained

materials depend on the molecular characteristics of the PVA, its concentration in the solution and the conditions of the freeze-thaw process [3].

Briefly, the gelation mechanism starts with the preparation of a homogeneous solution of PVA and water. This solution is cooled down to temperatures below to the solidification temperature of water (0 °C). The former initial water crystals grow by incorporating water molecules from the liquid phase. The liquid phase cumulates PVA by supplying with water molecules the grow of water crystals. This process ultimately results in the formation of PVA-impoverished water crystals and PVA-enriched supercooled liquid microdomains [4]. The confinement and high concentration of PVA in the supercooled microdomains promote its crystallization. PVA crystallization is facilitated by increasing

\* Corresponding author.

E-mail address: [irintoul@santafe-conicet.gov.ar](mailto:irintoul@santafe-conicet.gov.ar) (I. Rintoul).

its hydrolysis degree. The higher is the hydrolysis degree of PVA, the higher is its molecular regularity and the easier is its crystallization. The thawing process is carried out by increasing the temperature above 0 °C. The water crystals are melted forming a PVA-impoverished continuous liquid phase. The PVA crystals do not melt and remain stable linking together several polymer chains. Then, the PVA hydrogel is formed by a continuous liquid water phase retained in a physically crosslinked network. Multiple freeze-thaw cycles result in denser crosslinked networks and harder hydrogels [5].

Ritger and Peppas established a model to study the diffusion of small molecules such as drugs and water through hydrogel materials [6,7]. In general, hydrogels exhibit three behavioral water uptake (*WUT*) stages. In the first stage, the *WUT* of the gels increases progressively with time. In the second stage, the *WUT* progression slows down and reaches a maximum. In the third stage, the *WUT* remains unchanged or decreases with time. The first and third stages are assumed to be governed by gel swelling and dissolution mechanisms, respectively. The second stage is considered a transitional stage. However, swelling and dissolution mechanisms may occur simultaneously [8,9].

Equations (1) and (2) were proposed by Ritger and Peppas to model the first and the third stages of hydrogels *WUT*.

$$WUT_s = kt^n \quad (1)$$

$$WUT_d = k_1 - k_2 t^m \quad (2)$$

Equation (1) describes the swelling of an initially dry hydrogel. Equation (2) describes the degrading dissolution of an initially swelled hydrogel. Here,  $k$ ,  $n$ ,  $k_1$ ,  $k_2$  and  $m$  are constants established by the hydrogel material and the immersion medium conditions (solvent, temperature, etc) and  $t$  is the immersion time.

Equation (1) is valid within the range where the influence of dissolution mechanisms is small. This condition is usually valid until 60% of the maximum *WUT<sub>s</sub>* value. After this value, the dissolution effect became significant and the model deviates significantly from experimental data. The  $k$  and  $n$  values are related to the hydrogel material and process conditions. The  $k$  value is the swelling constant and the  $n$  value is the swelling exponent. The  $n$  value depends on the physical mechanism of the water uptake. Fickian diffusion mechanism is generally accepted when the  $n$  value takes values near to 0.5. Less Fickian mechanism is accepted when  $n < 0.5$ . Less Fickian mechanism happens when the water penetration rate is slower than the polymer chain relaxation rate. Anomalous transport mechanisms are accepted when  $0.5 < n < 1$ . And Case II transport associated with relaxation-controlled transport is accepted when  $n = 1$ . The  $k$  value also depends on the water uptake mechanism. And, to the best of our knowledge, its analysis has been mostly neglected by the literature [10,11].

Equation (2) starts with the initial *WUT<sub>d</sub>* value:  $k_1$ . This value can be interpreted as the initial starting condition of an ideal fully swelled hydrogel. This fully swelled hydrogel starts to dissolve directly. The *WUT<sub>d</sub>* decreases with time as a consequence of the dissolution of the polymer. The  $k_2$  and  $m$  values are also related to the hydrogel material and process conditions. The  $k_2$  value is the dissolution constant and the  $m$  value is the dissolution exponent. The  $m$  value depends on the diffusional mechanism that governs the mass loss from the gels. The  $m$  value is classified according to the above mentioned models. Eventually, the *WUT<sub>d</sub>* may remain constant with time indicating a very slow dissolution or no-dissolution at all of the hydrogel. Equation (2) is valid within the range where the influence of the swelling mechanism is small. This condition is usually valid after the system has reached its maximum *WUT* value. Then, the modeled *WUT<sub>d</sub>* values match the experimental *WUT* values [10,11].

The Flory-Rehner theory establishes the swelling behavior of a hydrogel to be governed by two opposing forces. The thermodynamic force of mixing of the pure solvent with the initially pure, amorphous and unstrained gel network ( $\Delta G_{mix}$ ) against the retractive

configurational forces of the polymer chains in the gel structure ( $\Delta G_{gel}$ ) [12]. Equation (3) describes this situation.

$$\Delta G_s = \Delta G_{mix} + \Delta G_{gel} \quad (3)$$

Equation (4) relates the free energy of a polymer with the osmotic pressure ( $\pi$ ) of the swelled gel [13–15].

$$-\left(\frac{\partial \Delta G}{\partial V}\right)_{T, n_i} = \pi = \pi_1 + \pi_2 \quad (4)$$

Here,  $\pi_1$  and  $\pi_2$  are the osmotic pressures related to the polymeric solution and its configurational contribution. The  $\pi_1$  and  $\pi_2$  interactions can be calculated according to equations (5) and (6).

$$\pi_1 = -\frac{RT}{V_1} [\ln(1 - v) + v + \chi v^2] \quad (5)$$

In equation (5),  $v$ ,  $V_1$  and  $\chi$  are the polymer volume fraction, the molar volume of the solvent and the polymer-solvent interaction parameter, respectively. In equation (6),  $\nu_0$ ,  $v_0$  and  $n$  are the concentration of constituent chains in the gel formation, the polymer volume fraction at gel formation and the number of statistical segments per chain, respectively. The equilibrium condition of the swelling is reached when the osmotic pressure is set equal to zero [16–19].

$$\begin{aligned} \pi_2 = & -\nu_0 RT \left[ \left( \frac{v}{v_0} \right)^{\frac{1}{3}} - \frac{1}{2} \left( \frac{v}{v_0} \right) \right] - \nu_0 RT \left[ \frac{3}{5} \left( \frac{v}{v_0} \right)^{\frac{1}{3}} \frac{1}{n} + \frac{99}{175} \left( \frac{v}{v_0} \right) \frac{1}{n^2} \right. \\ & \left. + \frac{513}{875} \left( \frac{v}{v_0} \right)^{\frac{5}{3}} \frac{1}{n^3} + \dots \right] \quad (6) \end{aligned}$$

The swelling and dissolution of PVA hydrogels obtained by freeze-thaw technique have been previously studied by several authors [20]. However, the analysis of previous results is not the task of this contribution. For such purpose the authors suggest to consult the excellent works of Lozinsky et al. [21–23] Very important to note is that the knowledge cumulated in the literature is based on studies carried out varying one freeze-thaw process condition at a time while keeping the other conditions unchanged. This situation neglects the cross dependency of conditions and gives limited views of the process. These limitations are later propagated to the understanding of the properties of the resulting materials.

In the present contribution the simultaneous and cross dependence influences of the freeze-thaw process conditions (time of freeze, time of thaw, temperature of thaw and number of cycles) in the swelling and dissolution of PVA hydrogels were investigated. The molecular weight, the hydrolysis degree and the concentration of PVA in water were kept unchanged. PVA hydrogels were obtained by freeze-thaw technique under conditions defined according to a Taguchi type experimental design [24,25]. The resulting data were analyzed using response surface methods. The main out-come of this paper is a set of equations expressing the swelling and dissolution parameters of PVA hydrogels as functions of the freeze-thaw process conditions.

## 2. Experimental

### 2.1. Materials

High-viscosity PVA (Serquim S.A., Argentina) with a hydrolysis degree of  $95 \pm 1\%$ ,  $M_w \approx 146000$ , density:  $\delta_p = 1.2 \text{ g cm}^{-3}$  at 25 °C and specific volume:  $\nu = 0.788 \text{ cm}^3 \text{ g}^{-1}$  was used as raw material [26]. The water was of Millipore quality, molar volume:  $V_1 = 18 \text{ cm}^3 \text{ mol}^{-1}$  and density:  $\delta_s = 1 \text{ g cm}^{-3}$  at 25 °C. The PVA-water interaction parameter has been reported as:  $\chi = 0,49$  [16,18]. The PVA gels were produced by repeatedly freezing and thawing of 5% PVA aqueous solutions. In those conditions the average number of segments per chain has been reported as:  $n = 16.3$  [18].

## 2.2. The experimental design

The Taguchi method is recommended for the study of processes with interdependent variables. The method proposes that a resulting response ( $Y$ ) of the system can be expressed as a polynomial function of four process conditions ( $A, B, C, D$ ) according to:

$$Y = \sum_{h,k,l,m} a_{h,k,l,m} A^h B^k C^l D^m \quad (7)$$

The indexes  $h, k, l$  and  $m$  are non-negative integers going from 0 to  $\infty$ . Each term of the sum is composed of an empirical coefficient ( $a_{h,k,l,m}$ ) determined by a least squares fit multiplied by variables powered to non-negative integer exponents. The higher the complexity of the response to a given condition, the higher is the number of terms containing such condition and the higher are the exponents in these terms. The resulting polynomial function has infinite terms and can, eventually, describe any functionality  $Y(A, B, C, D)$ .

In the present contribution, the number of experiments was limited to nine. Therefore, nine experiments give the possibility to define a polynomial fit of nine terms. The selection of the nine terms with the best fitting combination was carried out using experimentally obtained raw data and iterating using Minitab 17 software.

The freeze-thaw variables:  $A, B, C$  and  $D$  correspond to the time of freeze, the temperature of thaw, the time of thaw and the number of freeze-thaw cycles, respectively. Each variable was set at three different levels. The temperature of freeze was kept constant at  $-18^\circ\text{C}$ . The response,  $Y$ , corresponds to the  $WUT$  of the gels as a function of time.

The  $Y$  response resulted in a 4-D surface in the space of variables. Subsequently, 2-D plots were used to conclude tendencies and behaviors of the freeze-thaw process to the simultaneous variation of the process conditions.

## 2.3. Preparation of PVA gels

The experimental conditions are presented in Table 1. The solutions were dropped over glass slides to obtain 12 lenses-like specimens per each condition.

## 2.4. Swelling and dissolution of PVA hydrogels

The swelling and dissolution behavior of PVA gels were studied by monitoring their  $WUT$ . Gel specimens were retired from the glass slides after their freeze-thaw processes. Subsequently, the specimens were dehydrated, weighed and immediately immersed in vials filled with water. The vials were put in a chamber thermostatted at  $37^\circ\text{C}$ . The specimens were withdrawn from the water periodically. The excess of water was wiped out carefully from the gel surface using absorbent paper. The specimens were weighted and immediately reintroduced in their corresponding thermostatted vials. The  $WUT$  was calculated using equation (8).

**Table 1**  
Experimental conditions for the preparation of PVA hydrogels.

Nº	Time of freeze (hs)	Temp. of thaw (°C)	Time of thaw (hs)	cycles
1	0.5	22	0.5	2
2	0.5	40	1.0	3
3	0.5	4	1.5	4
4	1.0	22	1.0	4
5	1.0	40	1.5	2
6	1.0	4	0.5	3
7	1.5	22	1.5	3
8	1.5	40	0.5	4
9	1.5	4	1.0	2

5% of aqueous PVA solution. Temperature of freeze:  $-18^\circ\text{C}$ .

$$WUT = \frac{m_t - m_0}{m_0} \quad (8)$$

Here,  $m_0$  and  $m_t$  are the weight of the gel in the initial and swollen state at immersion time ( $t$ ), respectively.

## 2.5. Calculation of thermodynamic parameters

The polymer volume fraction,  $v$ , was calculated according to equation (9). The concentration of constituent chains in the gel formation,  $\nu_0$ , was calculated according to equation (10). Here,  $M_c$ , is the average molecular weight between crosslinks.  $M_c$  was calculated according to equation (11), where  $M_n$  is generally approximated as the half of the average molecular weight of the polymer:  $M_n = 0.5 M_w$  and  $\nu_p$  is the specific volume of the polymer. Finally, the polymer volume fraction at gel formation,  $\nu_0$ , was calculated according to equation (12). Further details of the calculus can be found in references 16–19 [16–19].

$$v = \frac{\delta_p^{-1}}{\frac{m_t}{m_0} \delta_s^{-1} + \delta_p^{-1}} \quad (9)$$

$$\nu_0 = \frac{m_0}{M_c} \quad (10)$$

$$\frac{1}{M_c} = \frac{2}{M_n} - \frac{\frac{\nu_p}{V_1} (\ln(1-v) + v + \chi v^2)}{\left(v^{1/3} - \frac{v}{2}\right)} \quad (11)$$

$$\nu_0 = \frac{m_t}{m_0} v \quad (12)$$

## 3. Results and discussion

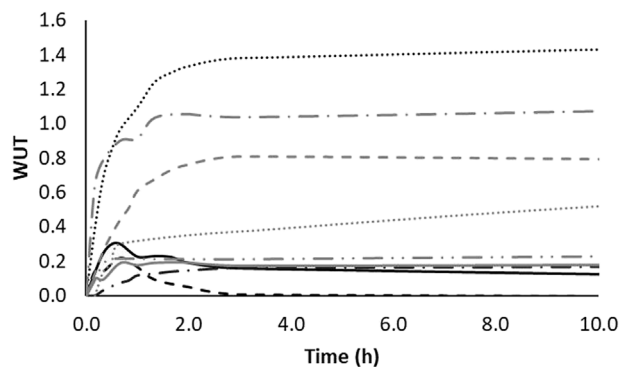
### 3.1. Swelling and dissolution parameters

Fig. 1 shows the experimental  $WUT$  behaviors of PVA hydrogels obtained by freeze-thaw technique according to the process conditions detailed in Table 1.

Samples 1, 2, 4, 5, 7 and 9 swelled to relatively low  $WUT$  reaching a maximum within 2 h. Afterwards, the  $WUT$  of samples 1, 4, 5 and 9 decreased slowly in time. Exceptionally, the  $WUT$  of sample 2 decreased very fast reaching almost zero at 3 h. And the  $WUT$  of sample 7 continued increasing with time. Samples 3, 6 and 8 swelled to relatively high  $WUT$  and stabilized within 2 h.

Stages 1 and 3 of the  $WUT$  were identified and fitted using equations (1) and (2). The resulting equation parameters are presented in Tables 2 and 3.

Evidently, the swelling and dissolution parameters varied according to different combinations of process conditions. These parameters were fitted and their responses were composed in the form of 4-D polynomial



**Fig. 1.**  $WUT$  behavior of PVA gels produced by freeze-thaw technique. In black, samples: 1(-), 2(---), 3(- - -) and 4(- · - ·). In gray, samples: 5(-), 6(—), 7(- · - ·), 8(- · - ·) and 9(- · - ·).

**Table 2**  
WUT swelling parameters.

Nº	k	n
1	0.69	0.91
2	0.33	0.80
3	1.31	0.65
4	0.11	1.12
5	0.30	1.01
6	0.60	0.79
7	0.51	0.80
8	2.62	0.80
9	0.58	1.02

**Table 3**  
WUT dissolution parameters.

Nº	k <sub>1</sub>	k <sub>2</sub>	m
1	3.92	3.66	0.02
2	3.02	2.85	0.06
3	2.69	0.97	0.06
4	0.18	0.00	2.04
5	0.21	0.01	0.52
6	0.88	0.05	0.28
7	0.89	0.09	0.22
8	1.08	0.00	0.84
9	0.25	0.03	0.36

surfaces in the space of process variables.

Equations (13)–(17) present the resulting surface responses of swelling and dissolution parameters to the freeze-thaw process variables.

$$k = 8.17 - 490A - 0.063B - 5.91C - 2.30D + 2.68A^2 + 0.0016B^2 + 2.66C^2 + 0.45D^2 \quad (13)$$

$$n = 0.89 + 1.34A + 0.016B + 1.30C - 0.85D - 0.63A^2 - 0.00033B^2 - 0.66C^2 + 0.13D^2 \quad (14)$$

$$k_1 = 9.50 - 14.88A + 0.047B - 4.42C + 1.15D + 6.21A^2 - 0.001B^2 + 1.86C^2 - 0.20D^2 \quad (15)$$

$$k_2 = -6.46 + 12.44A - 0.098B - 0.43C - 0.86D - 4.99A^2 + 0.002B^2 + 0.65C^2 + 0.22D^2 \quad (16)$$

$$m = -1.48 + 5.91A + 0.061B + 3.86C - 2.39D - 2.74A^2 - 0.0012B^2 - 1.99C^2 + 0.454D^2 \quad (17)$$

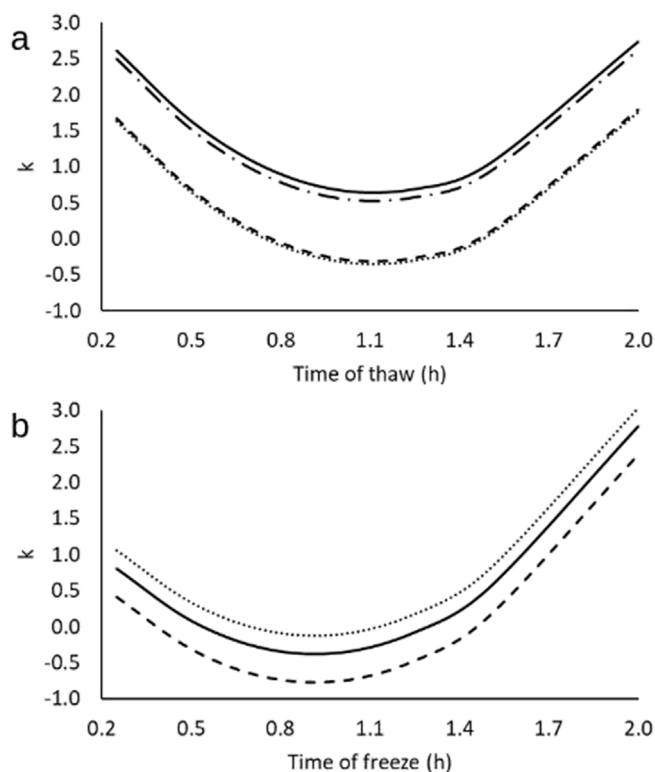
Here, A is the time of freeze in hours, B is the temperature of thaw in Celsius degrees, C is the time of thaw in hours and D is the number of freeze-thaw cycles.

Figs. 2–6 present the functionality of k, n, k<sub>1</sub>, k<sub>2</sub> and m parameters with different process conditions.

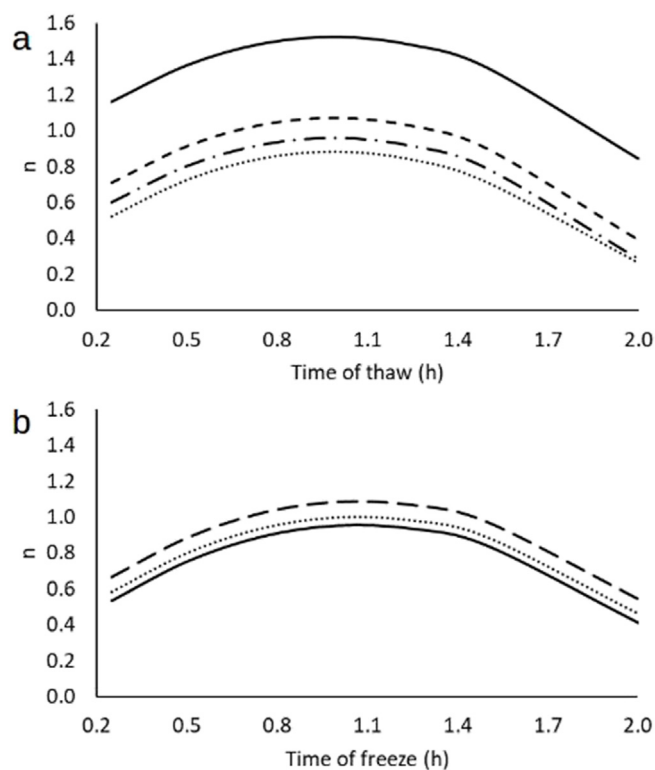
The parameters needed for the thermodynamic studies were obtained according to the calculus procedure previously detailed and using materials data specified in the Materials section. The resulting values for the different parameters are presented in Table 4.

### 3.2. The swelling zone kinetics

The variation of n and k with the time of thaw can be explained in terms of crystallites formation and their function as physical cross-linking sites. If the time of thaw is too short the system does not thaw. The PVA molecular segments do not get enough mobility to relax and accommodate favorably to form new crystallites in the next freezing step. Therefore, the k value results very high and the n value results very low. This situation suggests low density of crystallites in the resulting hydrogel. The low density of crystallites can be associated to soft



**Fig. 2.** a: freeze-thaw cycles: 1 (-), 2 (—), 3 (---), 4 (— · —). Time of freeze: 0.5 h, temp. of thaw: 22.0 °C. b: temp. of thaw: 4.0 °C (-), 22.0 °C (—), 44.0 °C (---). Time of thaw: 1.0 h, freeze-thaw cycles: 3.



**Fig. 3.** a: freeze-thaw cycles: 1 (-), 2 (—), 3 (---), 4 (— · —). Time of freeze: 0.5 h, temp. of thaw: 22.0 °C. b: temp. of thaw: 4.0 °C (-), 22.0 °C (—), 44.0 °C (---). Time of thaw: 1.0 h, freeze-thaw cycles: 3.

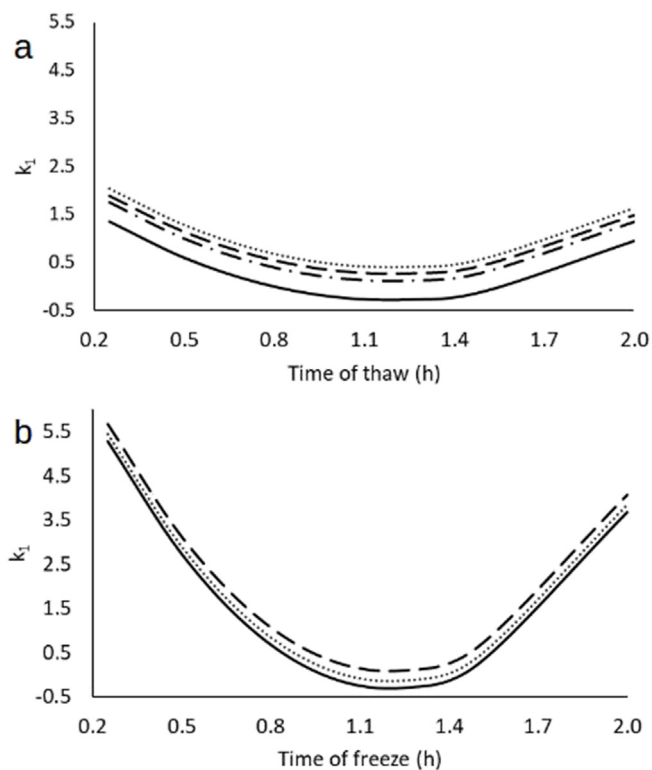


Fig. 4. a: freeze-thaw cycles: 1 (-), 2 (—), 3 (---), 4 (— · —). Time of freeze: 0.5 h, temp. of thaw: 22.0 °C. b: temp. of thaw: 4.0 °C (-), 22.0 °C (—), 44.0 °C (---). Time of thaw: 1.0 h, freeze-thaw cycles: 3.

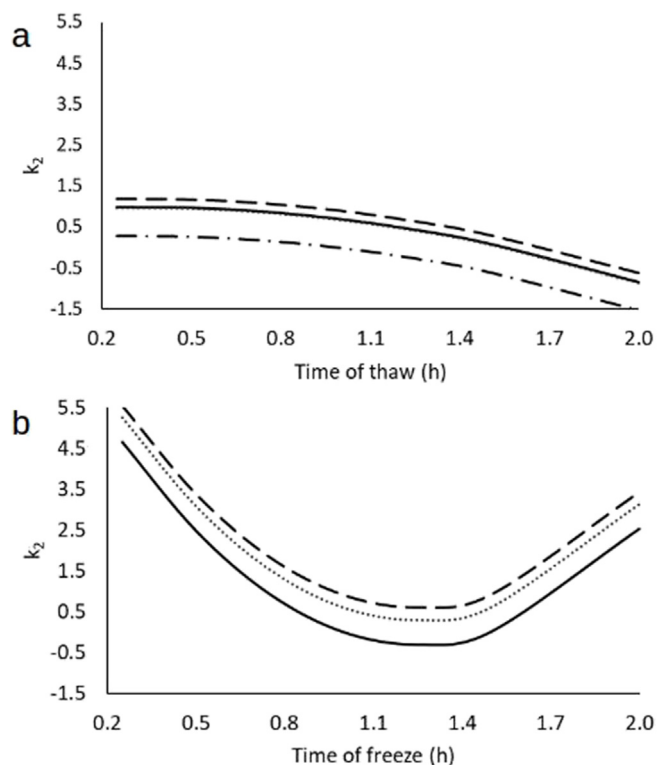


Fig. 5. a: freeze-thaw cycles: 1 (-), 2 (—), 3 (---), 4 (— · —). Time of freeze: 0.5 h, temp. of thaw: 22.0 °C. b: temp. of thaw: 4.0 °C (-), 22.0 °C (—), 44.0 °C (---). Time of thaw: 1.0 h, freeze-thaw cycles: 3.

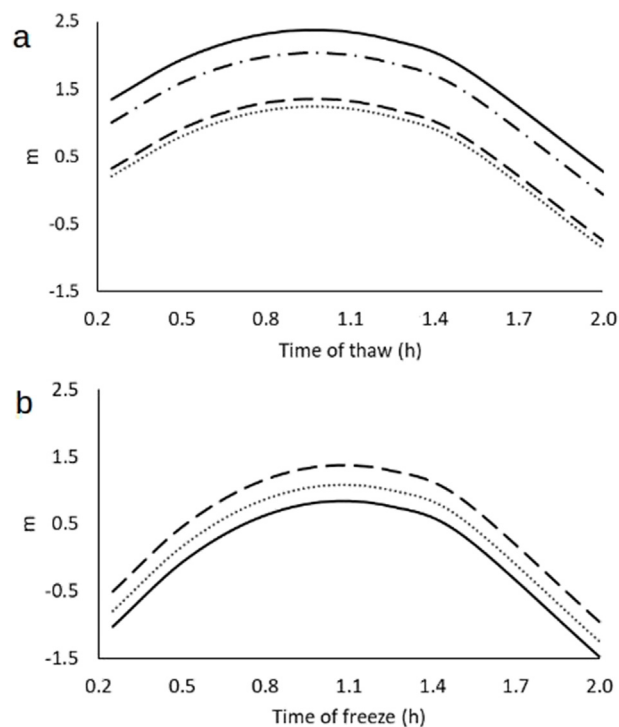


Fig. 6. a: freeze-thaw cycles: 1 (-), 2 (—), 3 (---). Time of freeze: 0.5 h, temp. of thaw: 22.0 °C. b: temp. of thaw: 4.0 °C (-), 22.0 °C (—), 44.0 °C (---). Time of thaw: 1.0 h, freeze-thaw cycles: 3.

Table 4  
Osmotic pressure parameter values.

Nº	$\nu$	$\nu_0$	$\nu_0$
1	0.39	0.51	4.97E-04
2	0.40	0.49	2.18E-04
3	0.24	0.64	0.12E-04
4	0.41	0.49	7.00E-04
5	0.41	0.49	2.16E-04
6	0.31	0.57	1.64E-04
7	0.32	0.57	0.94E-04
8	0.29	0.59	0.28E-04
9	0.40	0.50	5.09E-04

and fast dissolving hydrogels. It also explains the low *WUT* of hydrogels obtained under these conditions. The increment of the time of thaw permits the system to thaw between the freezing cycles. The thaw state relaxes the molecular structure and permits PVA segments to accommodate favorably to form new and to grow bigger crystallites during the subsequent freezing steps. As result, the *k* value decreases and the *n* value increases suggesting higher density of crystallites in the resulting hydrogels. The higher density of crystallites promotes stronger and higher *WUT* of the hydrogel. However, if the time of thaw is too long the previously formed crystallites start to dissolve. This situation reduces the size and the amount of crystallites and ultimately the cross-linking density of the hydrogel. Thus, the decrease of its *WUT* is justified. The time of thaw that minimizes the *k* and maximizes the *n* is in intimate relationship with the mass of the system. The higher is the mass of the system, the higher would be its heat capacity and the longer will be its time of thaw to get the minimal *k* and maximum *n*.

The increase of the number of freeze-thaw cycles decreases the *k* and *n* values consistently during the first three cycles. Further cycles do not modify the *k* and *n* values. The mechanism for crystallites formation may also explain this behavior. The first cycle results in the first PVA crystallites and PVA impoverished water domains of the initial hydrogel structure. The second cycle incorporates new crystallites to the



hydrogel structure and grows the crystallites formed during the first cycle. These crystallites are formed at the expenses of PVA molecular segments that remained in the water domains after the first freezing cycle. The second cycle increase the crosslinking density and decrease the *WUT* of resulting hydrogels. The PVA impoverishing of the water domains also increases with the number of cycles. Consequently, the availability of PVA segments for further crystallites formation and grow is significantly reduced. Ultimately, the hydrogel structure does not progress after the third freeze-thaw cycle.

The variation of the *k* and *n* values with the time of freeze can be also explained in terms of crystallite formation and their function as physical crosslinking sites. If the time of freeze is too short the system does not freeze completely. Then, the concentration of PVA in the PVA-enriched supercooled liquid microdomains do not reach its possible maximum. Therefore, the PVA crystallization conditions are not optimal and the density of crystallites in the hydrogel material results low. If the time of freeze is too long the PVA chain segments between the crystallites breaks due to ice expansion. As result, the crystallites loose connectivity in the gel network softening and degrading the gel and reducing its *WUT*. The temperature of thaw seems to have little influence on the *WUT* of the hydrogels. However, the *k* and *n* values slightly decrease if the temperature of thaw is too low or too high. If the temperature of thaw is too low the system does not thaw completely. In this case, the formation of crystallites is impaired from one cycle to another. If the temperature of thaw is too high the crystallites formed in the freezing step are melted in the next thawing step. In both cases, the result is a low density of crystallites in the hydrogels. These situations explain the decrease of *WUT* at too low or too high temperatures of thaw.

In general, the PVA hydrogels were found to swell according to the Fickian mechanism,  $n \sim 0.5$ . However, Less Fickian ( $n < 0.5$ ) and Anomalous transport ( $0.5 < n < 1$ ) mechanisms were present at the extremes of the studied process conditions. Case II transport mechanism ( $n > 1$ ) was only observed in samples obtained under conditions set within mid ranges of the studied process conditions. This situation may generate a density of crystallites so that maximize the *WUT* rate of the PVA hydrogels.

### 3.3. The swelling zone thermodynamics

The free energy of the hydrogels was calculated by composing equations (5) and (6) into equation (4) and equation (3). Materials data and Table 4 values were also used. Fig. 7 shows the free energy of the hydrogels as a function of the freeze-thaw process variables.

The free energy can be interpreted as the degree of natural tendency of the evaluated process to go in the purposed direction. The free energy of the swelling process is more negative (more favorable process) when the time of thaw and the time of freeze were set within mid-range values, minimal number of cycles and maximal temperature of thaw. This situation can be associated to hydrogels with very low crystallite density. In such condition, the polymer chains are very relaxed. In the presence of water they behave more as dissolving polymers than a swelling polymer network. Any change in the process conditions driving an increase of the density of crystallites would constrain the polymer chains and increase the associated swelling free energy of the hydrogel.

### 3.4. The dissolution zone kinetics

The variation of  $k_1$ ,  $k_2$  and *m* with the freeze-thaw process conditions is explained in terms of the structure of the hydrogels. The higher the crystallite density in the hydrogels, the slower is its dissolution rate and the lower is its initial *WUT*. Fig. 8 shows the relationship between the initial *WUT*,  $k_1$  and the dissolution rate:  $k_2 t^m$ , presented in Table 3.

Clearly, when  $k_1 < 2$ , the dissolution rate is zero or close to zero. Such condition suggests the crystallite density and stability are high

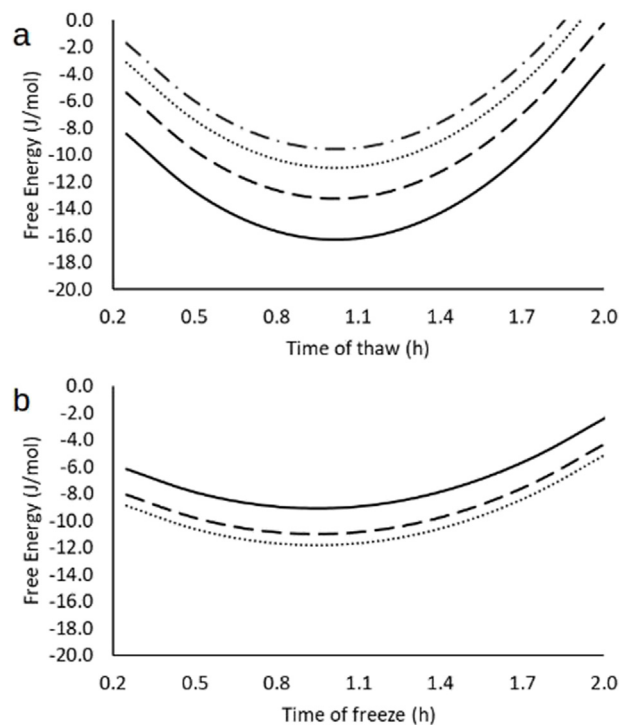


Fig. 7. a: freeze-thaw cycles: 1 (· · ·), 2 (—), 3 (---), 4 (— · —). Time of freeze: 1.0 h, temp. of thaw: 22.0 °C. b: temp. of thaw: 4.0 °C (· · ·), 22.0 °C (—), 44.0 °C (---). Time of thaw: 1.0 h, freeze-thaw cycles: 3.

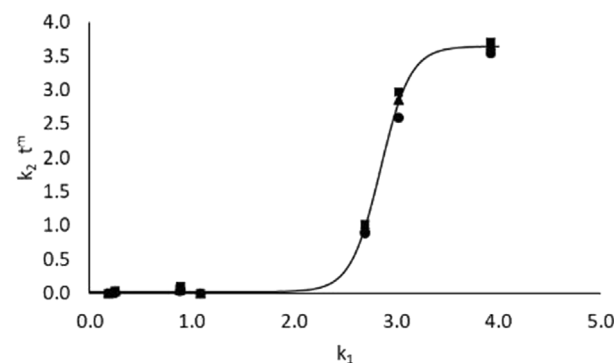


Fig. 8. Dissolution rate:  $k_2 t^m$  vs. initial *WUT*<sub>d</sub>:  $k_1$  at different water immersion times: 0.2 h (●); 1.0 h (▲); 2.0 h (■).

enough to retain the entire hydrogel network in swelled state. All PVA polymer chains are linked together by stable crystallites. When  $2 < k_1 < 3$ , the dissolution rate increases sharply, indicating a growing amount of free hydrosoluble polymer chains and suggesting a substantial decrease of the crystallite density in the hydrogel. However, the dissolution rate seems to reach a limit at  $k_1 > 4$ . In this condition the mechanism of dissolution seems to switch from crystallite density conditioning hydrogel to diffusional conditioning hydrosoluble polymer.

The dissolution rate:  $k_2 t^m$ , and the initial *WUT*<sub>d</sub>:  $k_1$  were found to fit a Boltzmann type functionality according to:

$$k_2 t^m = \frac{A_1 - A_2}{1 + e^{\frac{(k_1 - k_0)}{dk}}} + A_2 \quad (18)$$

The resulting Boltzmann factors are listed in Table 5.

$A_1$  and  $A_2$  are interpreted as the dissolution rates of a fully cross-linked PVA hydrogel network and a fully hydrosoluble PVA body, respectively.  $k_0$  is interpreted as the *WUT* of a system where the hydrogel and hydrosoluble contributions are equal. And  $dk$  is interpreted as the

**Table 5**

Parameters of the Boltzmann type relationship between the dissolution rate:  $k_2 t^m$  and the initial  $WUT_0$ :  $k_1$  of PVA gels.

Boltzmann parameters	
$A_1$	0.0
$A_2$	3.64
$k_0$	2.85
$dk$	0.15
$R^2$	0.9994

**Table 6**

Comparison between experimental and calculated dissolution times of PVA hydrogels.

Nº	Dissolution time (days)	
	Experimental	Calculated
1	2.2	2.5
2	0.4	0.1
3	N.D.	1.0E6 (~ 2700 years)
4	7.6	7.6
5	17.3	16.4
6	N.D.	1286 (~ 3.5 years)
7	N.D.	1783 (~ 5 years)
8	N.D.	116 (~ 4 months)
9	19.1	22

width of range where the system turns from pure hydrogel to pure hydro-soluble behaviors.

The combined effect of the freeze-thaw process conditions on the dissolution kinetics of PVA hydrogels is defined according to their resulting structures: fully crosslinked hydrogel, transitional hydrogel-hydro-soluble polymeric body and fully hydro-soluble polymeric body. In general, the system turns to the hydrogel side by increasing the number of cycles of freeze-thaw and setting optimal times of thaw and freeze. The temperature of thaw does not have an important effect if it is higher than the melting temperature of water.

### 3.5. Experimental verification of the dissolution kinetic model

The dissolution time of PVA hydrogels was calculated by composing equations (15)–(17) into equation (2). The calculated dissolution times were compared against experimental dissolution times. The experimental dissolution times were obtained by following the  $WUT$  of the hydrogels during 30 days. The experimental dissolution time was defined as the time needed by the hydrogel to reach  $WUT = 0$ . Table 6 presents the comparison between calculated and experimental dissolution times.

The experimental and calculated dissolution times present an excellent correlation. This result suggests that the set of equations (13)–(17) may find important applications to define the freeze-thaw process conditions to obtain PVA hydrophilic materials with tailored swelling degree and dissolution times. For example, hydrogel samples 3, 6 and 7 have the properties typically required for permanent embolic agents. Sample 8 may be useful as temporary embolic agents, patches, skin and membranes. And, samples 5 and 9, 4 and 1 may find applications as slow, medium and fast controlled drug release devices.

## 4. Conclusions

The cross-dependency of swelling and dissolution parameters of PVA hydrogels obtained through freeze-thaw process were investigated. A set of equations in the form of a 4-D surface was developed. The surfaces relate the swelling and dissolution kinetics parameters with the time of thaw, time of freeze, temperature of thaw and number of freeze-

thaw cycles. The results were discussed in terms of the conformation of a hydrogel - hydro-soluble structured polymers. The correlation of the free energy of swelling with the freeze-thaw process variables was also discussed. The system responded developing structures from fully crosslinked hydrogel networks to fully hydro-soluble polymeric bodies. The 4-D surface of the PVA hydrogel-hydro-soluble system permits to predict precisely their swelling and dissolution behaviors.

## Acknowledgements

The authors thank to Universidad Nacional del Litoral (UNL), Universität Ulm, Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET), Deutsch Akademische Austausch-Dienst (DAAD), Centro Universitario Argentino-Aleman (CUAA) and Agencia Nacional de Promoción Científica y Tecnológica (ANPCyT) - Fondo para la Investigación Científica y Tecnológica (FONCYT) for the financial support. Grants: PICT 2015 1785 and PIP 1118.

## References

- [1] S. Muppalaneni, H. Omidian, Polyvinyl alcohol in medicine and pharmacy: a perspective, *J. Develop. Drugs* 2 (2013) 1–5.
- [2] M.H. Alves, B.E.B. Jensen, A.A.A. Smith, A.N. Zelikin, Poly (vinyl alcohol) physical hydrogels: new vista on a long serving biomaterial, *Macromol. Biosci.* 11 (2011) 1293–1313.
- [3] N.A. Peppas, S.R. Stauffer, Reinforced uncrosslinked poly (vinyl alcohol) gels produced by cyclic freezing-thawing processes: a short review, *J. Contr. Release* 16 (1991) 305–310.
- [4] J.L. Holloway, A.M. Lowman, G.R. Palmese, The role of crystallization and phase separation in the formation of physically cross-linked PVA hydrogels, *Soft Matter* 9 (2013) 826–833.
- [5] V.I. Lozinsky, I.Y. Galaev, F.M. Plieva, I.N. Savina, H. Jungvid, B. Mattissoon, Polymeric cryogels as promising materials of biotechnological interest, *Trends Biotechnol.* 21 (2003) 445–451.
- [6] P.L. Ritger, N.A. Peppas, A simple equation for description of solute release I. Fickian and non-Fickian release from non-swelling devices in form of slabs, spheres, cylinders or discs, *J. Contr. Release* 5 (1987) 23–36.
- [7] P.L. Ritger, N.A. Peppas, A simple equation for description of solute release II. Fickian and anomalous release from swelling devices, *J. Contr. Release* 5 (1987) 37–42.
- [8] F. Bellini, I. Alberini, M.G. Ferreyra, I. Rintoul, Absolute determination of the gelling point of gelatin under quasi-thermodynamic equilibrium, *J. Food Sci.* 80 (2015) C935–C941.
- [9] L. Mengatto, M.G. Ferreyra, A. Rubiolo, I. Rintoul, J. Luna, Hydrophilic and hydrophobic interactions in cross-linked chitosan membranes, *Mater. Chem. Phys.* 139 (2013) 181–186.
- [10] E. Kargadag, D. Saraydin, Swelling studies of super water retainer acrylamide/crotonic acid hydrogels crosslinked by trimethylolpropane triacrylate and 1,4-butanediol dimethacrylate, *Polym. Bull.* 48 (2002) 299–307.
- [11] E. Jabbari, S. Nozari, Swelling behavior of acrylic acid hydrogels prepared by  $\gamma$ -radiation crosslinking of polyacrylic acid in aqueous solution, *Eur. Polym. J.* 36 (2000) 2685–2692.
- [12] P.J. Flory, J. Rehner, Statistical mechanics of cross-linked polymer networks. II. Swelling, *J. Chem. Phys.* 11 (1943) 521–526.
- [13] E. Vasheghani-Farahani, J.H. Vera, D.G. Cooper, M.E. Weber, Swelling in ionic gels in electrolyte solutions, *Ind. Eng. Chem. Res.* 29 (1990) 554–560.
- [14] F. Ganji, S. Vasheghani-Farahani, E. Vasheghani-Farahani, Theoretical description of hydrogel swelling: a review, *Iran. Polym. J.* 19 (2010) 375–398.
- [15] M. Nagy, Some new aspects of research in polymer gels, *Colloid Polym. Sci.* 263 (1985) 245–265.
- [16] H. Matsuyama, M. Teramoto, H. Urano, Analysis of solute diffusion in poly (vinyl alcohol) hydrogel membrane, *J. Membr. Sci.* 126 (1997) 151–160.
- [17] J. Ruiz, A. Mantecon, V. Cadiz, Synthesis and properties of hydrogels from poly (vinyl alcohol) hydrogels and ethylenediaminetetraacetic dianhydride, *Polymer* 42 (2001) 6347–6354.
- [18] F. Tanaka, K. Nishinari, Junction multiplicity in thermoreversible gelation, *Macromolecules* 29 (1996) 3625–3628.
- [19] M. Nagy, A comparative and systematic thermodynamic study of aqueous solutions and hydrogels of homo- and co-polymers of poly (vinyl alcohol), *Phys. Chem. Chem. Phys.* 2 (2000) 2613–2622.
- [20] S.R. Stauffer, N.A. Peppas, Poly (vinyl alcohol) hydrogels prepared by freezing-thawing cyclic processing, *Polymer* 33 (1992) 3932–3936.
- [21] V.I. Lozinsky, A.L. Zubov, I.N. Savina, F.M. Plieva, Study of cryostructure of polymer systems. XIV. Poly(vinyl alcohol) cryogels: apparent yield of the freeze-thaw-induced gelation of concentrated aqueous solutions of the polymer, *J. Appl. Polym. Sci.* 77 (2000) 1822–1831.
- [22] V.I. Lozinsky, L.G. Damshkahn, Study of cryostructure of polymer systems. XVII. Poly(vinyl alcohol) cryogels: dynamics of the cryotropic gel formation, *J. Appl. Polym. Sci.* 77 (2000) 2017–2023.
- [23] V.I. Lozinsky, L.G. Damshkahn, Study of cryostructure of polymer systems. XX.

- Foamed poly(vinyl alcohol) cryogels, *J. Appl. Polym. Sci.* 82 (2001) 1609–1619.
- [24] W.G. Cochran, G.M. Cox, *Diseños Experimentales*, Editorial Trillas, Ciudad de Mexico, 1978.
- [25] C.L. Davies, Oliver, Boyet (Eds.), *Design and Analysis of Industrial Experiments*, 1954 Edinburgh, UK.
- [26] Anonymous technical data sheet. Celvol Polyvinyl Alcohol. A Versatile High-Performance Polymer 2007, XP002632184, Retrieved from the Internet [http://www.celanese.com/celvol\\_polyvinyl\\_alcohol.pdf](http://www.celanese.com/celvol_polyvinyl_alcohol.pdf) [Retrieved on 20110408].