

A surge of light at the birth of a supernova

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It is difficult to establish the properties of massive stars that explode as supernovae^{1,2}. The electromagnetic emission during the first minutes to hours after the emergence of the shock from the stellar surface conveys important information about the final evolution and structure of the exploding star³⁻⁶. However, the unpredictable nature of supernova events hinders the detection of this brief initial phase⁷⁻⁹. Here we report the serendipitous discovery of a newly born, normal type IIb supernova (SN 2016gkg)¹⁰, which reveals a rapid brightening at optical wavelengths of about 40 magnitudes per day. The very frequent sampling of the observations allowed us to study in detail the outermost structure of the progenitor of the supernova and the physics of the emergence of the shock. We develop hydrodynamical models of the explosion that naturally account for the complete evolution of the supernova over distinct phases regulated by different physical processes. This result suggests that it is appropriate to decouple the treatment of the shock propagation from the unknown mechanism that triggers

On 2016 September 20 (dates are given in UT throughout), amateur astronomer V.B. was testing a camera mounted on his 40-cm Newtonian telescope. He pointed the telescope at NGC 613, a spiral galaxy at a distance of 26.4 Mpc, because at that time it was located near the zenith. Over approximately 1.5 h he imaged the galaxy with a clear filter while a supernova was being born, using 20-s exposures to avoid saturation caused by the bright city sky. An initial series of 40 images obtained during 20 min showed no sign of the supernova. From the combined image we obtained a 5σ detection limit of 19.4 mag converted to the V band (see Methods). When observations resumed, after an interval of 45 min, the supernova became visible. During the remaining 25 min of observations the supernova doubled its flux (Fig. 1, Methods). The deep detection limit and frequent sampling during the initial rise constitute an unprecedented set of observations for a supernova discovery. A linear fit to the discovery photometry yields a remarkably fast rise rate of 43 ± 6 mag d⁻¹. An extrapolation of this linear rise back in time to the proposed brightness of the progenitor of $V \approx 24 \,\mathrm{mag}$ (Methods) suggests that SN 2016gkg exploded some time between 2:50 UT and 5:35 UT. This constraint of less than 3h on the explosion epoch is one of the most stringent available 11-15.

The prompt discovery and announcement of SN 2016gkg¹⁰ triggered extensive monitoring that began less than one day later, including Swift X-ray, ultraviolet and optical observations^{16,17}. This permitted excellent coverage of the subsequent evolution of the supernova. Consequently, the cooling peak, which lasted for about three days, is one of the best observed so far. Follow-up spectroscopy provided a classification of

SN 2016gkg as a type IIb supernova¹⁶. Our photometric and spectroscopic monitoring, which began less than one day after discovery, is described in Methods. In addition to these observations, the Hubble Space Telescope (HST) archive contained images of the site of the supernova, obtained with the WFPC2 camera in 2001. An object was identified at the location of the supernova in all three available optical bands (F450W, F606W and F814W). Our analysis of these data and inferences about the progenitor system are given in Methods^{16,18}.

To interpret the physical process that governed the behaviour of the supernova at the time of discovery, we plot the luminosity versus the rise rate and compare these data with available early optical observations of other supernovae and transients (Fig. 2; see ref. 9 for a similar

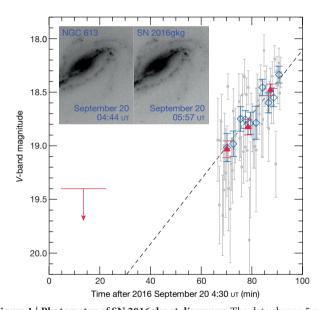


Figure 1 | Photometry of SN 2016gkg at discovery. The data show a 5σ detection limit (red arrow) and a sharp rise (points) starting less than 1 h after discovery. The inset images display a combination of the first series of 40 images (left) and a combination of the last series of 21 images (right). Photometry is shown for individual images (grey squares), combinations of 5 or 6 images (blue diamonds) and combinations of 17–21 images (red triangles). The dashed line is a linear fit to the blue diamonds, with a slope of 43 ± 6 mag d $^{-1}$. Error bars are 1σ . Photometry from combined images reveals hints of structure around the linear fit, although its statistical significance is low (the reduced χ^2 of the linear fit is 0.85).

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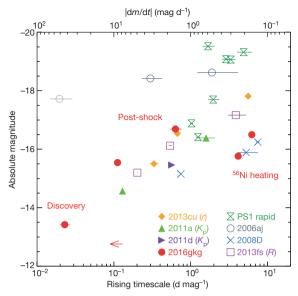


Figure 2 | Luminosity versus rise rate for objects with early optical **detections.** The rate of change of magnitude per unit time, dm/dt, is shown on the upper x axis. V-band data are used, except as indicated in parentheses next to the name of the object. SN 2016gkg is shown with filled red circles following its light-curve evolution, and an upper limit (red arrow) from the pre-discovery detection limit. The point labelled 'discovery' represents the initial rise. 'PS1 rapid' indicates rapidly evolving transients from Pan-STARRS1²⁷. Each point is one of the following objects (in parentheses we give the observed band that best matches the V band, according to the redshift of each object): PS1-10ah (rP1), PS1-10bjp (rP1), PS1-11qr (iP1), PS1-11bbq (zP1), PS1-12bv (iP1), PS1-12brf (iP1) and PS1-13duy (iP1). Other supernovae are as follows: the broad-lined type Ic, GRB-associated supernova SN 2006aj (dark-grey circles²⁸ and light-grey circle¹¹, but see a discussion about the light-grey data point in Methods); the X-ray flash associated with the type Ib supernova SN 2008D ¹²; the type II supernova SN 2013fs (iPTF13dqy)¹⁵; the type IIb supernova SN 2013cu (iPTF13ast)¹³; and the type II supernovae from the Kepler mission, KSN 2011a and KSN 2011d¹⁴. Error bars are 1σ .

graph in the near-ultraviolet range). The low luminosity and fast rise seen in SN 2016gkg place the discovery data in a clearly different location on the diagram compared with later observations and with data for other objects. This suggests a different physical origin for the initial rise of SN 2016gkg.

A natural explanation for the above comparison is that the first signal of SN 2016gkg corresponds to the long-sought shock-breakout phase^{19–21}. Hydrodynamical simulations indicate that, although the shock-breakout signal is predominant in the X-ray and ultraviolet ranges, it has a clear manifestation in the optical range as well, characterized by an extremely rapid brightening at relatively low luminosity^{6,22}. To test this interpretation, we performed numerical modelling of the supernova observations.

We divided the supernova modelling into two main stages²³. First, the overall evolution of the supernova was modelled by adjusting the explosion energy, ejecta mass and ⁵⁶Ni mass to reproduce the main light-curve peak and expansion velocities from spectral lines. In this way, we found that a model with an energy of $E\approx 1.2\times 10^{51}$ erg, an ejecta mass of $M_{\rm ej}\approx 3.4M_{\odot}$ (where M_{\odot} is the mass of the Sun) and a ⁵⁶Ni mass of $M_{\rm Ni}\approx 0.085M_{\odot}$ provided a good match to the observations (see Methods for alternative solutions). Once these parameters were constrained, the second step consisted of modelling the post-shock cooling peak. It is well known that to reproduce such a peak, an extended H-rich envelope has to be attached to the usual progenitor structure from stellar-evolution calculations. We varied the radius and mass of the envelope and arrived at a radius of $R_{\rm env}\approx 320R_{\odot}$ (where R_{\odot} is the radius of the Sun) and a mass of $M_{\rm env}\approx 0.01M_{\odot}$ for the extended envelope (see refs 16 and 17 for other estimates). Our preferred model

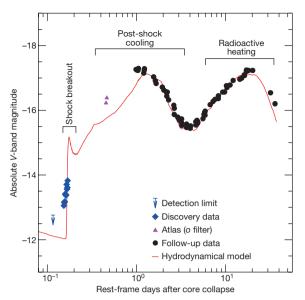


Figure 3 | Hydrodynamical model of the V-band light curve of SN 2016gkg. Our preferred model (red line) is able to self-consistently reproduce the observations (symbols) during three distinct phases of the supernova evolution, with different characteristic timescales and dictated by different physical properties: the shock-breakout phase, the post-shock cooling peak and the radioactivity-powered peak. Note the logarithmic scale for the time axis.

is shown in Fig. 3 (more details in Methods). The derived parameters are in close agreement with those of normal type IIb supernovae. In particular, the progenitor of SN 2016gkg was slightly more massive and had a more extended envelope than the well-studied type IIb supernova SN 2011dh²³.

Although our model was designed to match the observed cooling and radioactive peaks, it also explains without any modification the quick rise of the discovery data as being caused by emission from the breakout of the shock. Regardless of the parameters adopted, no physical process other than the breakout of the shock can produce such a fast rise. We verified this by exploring a set of hydrostatic progenitor structures and explosion parameters and comparing the rise slopes of our light-curve models during the breakout of the shock and the postshock cooling phases (Methods). We identified the explosion energy as the dominant factor that determines the slope of the rise to the cooling peak. However, even with an energy value far beyond what is allowed by the rest of the observations, we were unable to reach a cooling peak rise rate near that of the shock-breakout phase. This result implies that different processes govern the initial rise and the cooling phase, which provides strong support for our interpretation of the early rise as the manifestation of the breakout of the shock.

A closer look at the discovery data reveals that the observed rise is more gradual than that of the model. This difference could be caused by limitations of our radiative-transfer treatment^{24,25}, but it could also be indicative of the presence of some circumstellar material (Methods). More detailed analysis of the shock-breakout signal could potentially provide important information about the outermost progenitor structure and the physical processes that occur during the emergence of the shock. The serendipitous nature of the discovery observations and the sampling that was required highlight the difficulty of systematizing this type of finding, which has been the goal of several recent and future transient surveys, including KISS⁷, HiTS⁸, HSC-SHOOT⁹, KEGS (http://www.mso.anu.edu.au/kegs/) and ZTF²⁶. We note that the chance probability of this discovery is of the order of 10^{-6} assuming a duration of 1 h and one supernova per century per galaxy. If we consider other factors, such as the sky conditions of the observing site and the location of the supernova away from bright host-galaxy regions, then this probability decreases by one order of magnitude.



Online Content Methods, along with any additional Extended Data display items and Source Data, are available in the online version of the paper; references unique to these sections appear only in the online paper.

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METHODS

Supernova discovery data. SN 2016gkg was discovered on 2016 September 2010 by amateur astronomer V.B. using a 406-mm Skywatcher Newtonian f= 4.4 reflector equipped with a ZWO ASI1600 MM-C camera and a clear (L) filter. Observations comprised four series of images (40, 17, 20 and 21 images), each with an exposure time of 20 s. Images were bias- and dark-subtracted, flat-fielded and aligned using the MaxIm DL software. The supernova is visible in the last three series of images at right ascension $\alpha = 01 \text{ h}$ 34 min 14.46 s and declination $\delta = -29^{\circ}$ 26′ 25.0″ (J2000), whereas a stack of the first 40 images shows no evidence of the supernova. Clearband photometry was calibrated to standard V-band magnitudes on the basis of five nearby stars in the AAVSO Photometric All-Sky Survey (APASS) catalogue (https://www.aavso.org/apass). The location and catalogue magnitudes of the comparison stars are given in Extended Data Table 1 and Extended Data Fig. 1. We decided to transform magnitudes to the V band because of the dense follow-up coverage in that band (including Swift/UVOT observations) compared with other bands that also lie within the range of the clear filter. In addition, as explained further below, the clear band is centred similarly to the V band, which reduces the transformation error to a minimum. The results of the photometry measurements described in this section are listed in Extended Data Table 2. We note that the airmass during the complete observing time ranged between 1.00 and 1.03; thus, we expect no large systematic error in the photometry produced by differences in colour between the supernova and the comparison stars.

We estimated the detection limit on the stack of 40 images from the first series. Following ref. 29, we set the 5σ detection limit at the magnitude level at which point sources are detected with a 50% probability. To find this magnitude, we first calibrated the V-band zero point of the combined image using the DAOPHOT photometry of the comparison stars. Then, we added artificial point sources in an area around the supernova location, with varying apparent magnitudes in the range of $19.0 \,\mathrm{mag} < V < 20.0 \,\mathrm{mag}$. We did this in groups of 1,000 artificial stars within each 0.1-mag bin. Finally, we used the daofind task in the DAOPHOT package of IRAF (the Image Reduction and Analysis Facility, distributed by the National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy (AURA) under cooperative agreement with the National Science Foundation (NSF); see http://iraf.noao.edu) to recover the artificial stars and thus determined the recovery fraction at each magnitude bin. We set the detection threshold Q in daofind as a factor of 3-4 times the background noise level. This value was based on the image scale value of p = 0.85 that results from the measured point-source full-width at half-maximum (FWHM) intensity of 2.1 pixels in the combined image (see figure 2 in ref. 29). By applying threshold values between 3 and 4, we obtained consistent fractions of recovered artificial sources as a function of magnitude. The resulting detection limit was $V \approx 19.4$ mag, which is about 4.5 mag fainter than the brightness at both the cooling and nickel peaks.

To increase the signal-to-noise ratio in the last three series of images, we combined them into several groups. On the one hand, we produced a single combined image per series. On the other hand, we computed 11 averaged images from groups of 5 or 6 individual exposures (the number varied in each group owing to the different number of images in each series). Extended Data Fig. 2 shows a mosaic of the rising supernova as seen in the series of combined images. We performed point-spread function (PSF) photometry of the supernova using the DAOPHOT package. Photometric zero points were computed for each individual and combined image, using the five comparison field stars. The results are listed in Extended Data Table 2 and shown in Fig. 1. The quick initial rise is evident from both the individual-image and combined-image photometry. A linear fit to the resulting light curves yielded slopes of 37.3 ± 5.3 mag d⁻¹ using the photometry from individual exposures, 43.4 ± 6.1 mag d⁻¹ using 11 data points, and 47.2 ± 7.8 mag d⁻¹ using 3 data points. The reduced χ^2 is about unity in all cases, which indicates that no significant departures from a linear rise in magnitudes was seen. The relatively lower slope obtained from individual measurements, although statistically compatible, can be explained by the fact that the supernova is initially very near the detection limit of individual images. This introduces a bias towards brighter measurements in DAOPHOT, which in turn causes the slope to be slightly smaller. Combined images into groups of 5 or 6 show the supernova comfortably above the detection limit. The corresponding slope is thus more reliable in these cases.

We tested our results by performing aperture photometry. We set the aperture size to approximately the value of the FWHM of the source. Although the results presented a slight systematic difference during the second series (when the supernova is the faintest), in the sense that aperture photometry yielded brighter magnitudes, the main result was confirmed: the supernova brightened by about 40 mag d $^{-1}$. Other possible sources of error in the photometry are contamination by background host-galaxy light and unaccounted variability in the shape of the PSF across the image. The host galaxy is faint and relatively smooth at the supernova location (see Extended Data Fig. 1) and so is expected to be accurately subtracted during the measurement of the supernova count rate. Moreover, the

image quality is very stable throughout the complete observations (see FWHM values in Extended Data Table 2). Therefore, any contamination would be approximately constant in time and hence would not affect the observed slope. Regarding the PSF variability, we found its shape to be consistent across the image. Also, the supernova and comparison stars are all located near the centre of the image, where the shape of the PSF is very stable.

We also tested the possible effect on the measured slope that would be caused by the supernova varying in colour during the observations. At very early times, the temperature evolution can be very fast, thus changing the supernova colour. Because we transform the measured counts in the clear band to the V band, a rapid change of colour can affect the derived magnitudes. Beyond a constant error introduced by the fact that the comparison stars are expected to be redder than the supernova, the effect on the slope would arise solely from the change in supernova colour. The supernova colour during this phase is unknown. However, our models (see Methods section 'Hydrodynamical model') show that the supernova peaks at a temperature of about 2×10^5 K soon after explosion and cools off to about 5×10^4 K a few hours later. Assuming black-body spectra of varying temperature in the range $(20-200) \times 10^3$ K, we estimated the possible variation in the calibrated V-band supernova photometry. For this purpose we calculated an approximate clear-band transmission function, including contributions from the manufacturer's filter transmission, the quantum efficiency of the detector, two aluminium reflecting surfaces and the atmospheric transmission. This filter passband is available online (see Methods section 'Data availability'). Synthetic (clear -V) colours varied by less than 0.05 mag in the range of temperatures considered above. Such a variation is less than 10% of the observed change in magnitude, which is below the uncertainty in the fitted slope. This means that the observed rise cannot be caused by an increasing amount of flux entering the optical range as the supernova cools down. We also estimated the size of the error introduced by the fact that the comparison stars are substantially redder than the supernova during this phase (see Extended Data Table 1). On the basis of those colours we assumed that the stars are of a spectral type between G2 and K3 and adopted ATLAS99 atmosphere models to represent their spectral energy distributions (SEDs). Synthetic colour differences between these stars and the supernova were below 0.1 mag for any assumed supernova temperature and stellar spectrum. The error is small because the clear passband, although much wider, is centred near the central wavelength of the V band. The extra flux introduced by the supernova on the blue side of the filter passband is compensated by extra flux from the stars on the red side. The correction from clear to V is thus accurate enough to the purposes of the current analysis.

Light curves. V.B. and J.L.S. obtained new images of SN 2016gkg on September 21, with clear, B, V and I bands. Both observers used identical telescopes and cameras. We performed aperture photometry of the supernova and comparison stars and converted the results to the standard system. The clear-band data were transformed to V magnitudes. Extended Data Table 3 provides these magnitudes.

Follow-up *B*, *V*, *R* and *I* multiband images of SN 2016gkg were obtained with both the Katzman Automatic Imaging Telescope (KAIT)³⁰ and the 1-m Nickel telescope at Lick Observatory. All images were reduced using a custom pipeline³¹. Aperture photometry was then obtained from a customized interactive data language (IDL) tool using the IDL Astronomy User's Library. Note that, owing to the small field of view for both KAIT and the Nickel telescope, we were able to use only one appropriate nearby star from the APASS catalogue as the reference star for calibration. Its magnitudes were first transformed into the Landolt system using an empirical prescription (see http://www.sdss.org/dr7/algorithms/sdssubvritransform.html#lupton2005) and then transformed to the KAIT and Nickel natural systems. Apparent magnitudes were all measured in the KAIT4/Nickel2 natural system^{31,32}. The final results were transformed to the standard system using local calibrators and colour terms for KAIT4 as given in table 4 of ref. 31, and updated Nickel colour terms as given in ref. 32. Extended Data Table 3 lists the resulting standard-system magnitudes from KAIT and the Nickel telescope.

Extended Data Fig. 3 shows the resulting light curves of SN 2016gkg compared with those of the well-observed type IIb supernovae SN 1993J and SN 2011dh. In this figure we also added the early-time V-band (or transformed) photometry from Atlas, ASAS, LCOGT and Swift.

Spectra. Spectroscopic observations of SN 2016gkg were performed using the Kast spectrograph on the Lick Observatory 3-m Shane telescope on 2016 September 24, November 3, December 4 and December 23, the Low Resolution Imaging Spectrometer (LRIS)³³ on the 10-m Keck-I telescope on 2016 September 28 and 2017 January 2, and the Deep Imaging Multi-Object Spectrograph (DEIMOS)³⁴ on the 10-m Keck-II telescope on 2016 October 25 with both the 600 and 1,200 lines mm⁻¹ gratings. Data were obtained at the parallactic angle³⁵ to ensure accurate relative spectrophotometry; moreover, LRIS is equipped with an atmospheric dispersion corrector. Standard data reduction (including bias subtraction, flat-fielding and spectral extraction) was performed within IRAF. The spectra were flux calibrated via observations of spectrophotometric standard stars at similar airmasses to those

for the supernova observations. The spectra are shown in Extended Data Fig. 3. Also displayed for comparison are spectra of the type IIb supernovae SN 1993]^{36,37} and SN 2011dh³⁸; these spectra were all obtained from WISeREP³⁹. We find, generally, that the SN 2016gkg spectra bear a stronger resemblance to those of SN 2011dh than to those of SN 1993J.

We produced synthetic spectra using the SYNOW code (see ref. 40 and references therein) with the aim of estimating expansion velocities as a function of phase. SYNOW provides approximate continuum and line-strength levels, using simple assumptions. It can, however, provide a robust estimate of the velocity at the photosphere from the overall fit to the observed spectrum including lines of several ionic species. Each SYNOW spectrum was computed consistently with the rest of the epochs, by keeping a smooth variation of the photospheric temperature and velocity with epoch. The derived photospheric velocities, shown in Extended Data Fig. 4b, were used to compare with the hydrodynamical models.

Hydrodynamical model. To analyse the supernova photometry and photospheric velocity evolution, we used a one-dimensional Lagrangian hydrodynamics code that assumes flux-limited radiation diffusion for optical photons and a one-group approximation for the non-local deposition of rays produced by radioactive decay⁴¹. The code simulates the supernova explosion by injecting energy in a hydrostatic structure and self-consistently following the shock-wave propagation inside the star, the shock breakout and the subsequent expansion of the supernova ejecta during the photospheric phase. For this work the code was adapted to include light-travel time effects and limb-darkening corrections, following the prescription of ref. 4, which are relevant only at the earliest epochs (the first 2 h) of the supernova evolution.

As initial configurations (pre-supernova models), we used hydrostatic structures from single stellar-evolution calculations. Specifically, models with pre-supernova masses of $3.3M_{\odot}$ (HE3.3), $4M_{\odot}$ (HE4), $5M_{\odot}$ (HE5) and $6M_{\odot}$ (HE6), which correspond to initial main-sequence masses of $13M_{\odot}$, $15M_{\odot}$, $18M_{\odot}$ and $20M_{\odot}$, respectively, were tested⁴². All of these configurations are compact hydrogen-free structures with radii of $R < 3R_{\odot}$, and were evolved from He burning until core collapse, assuming solar initial abundances⁴². However, these pre-supernova models were later modified to take into account the presence of a tenuous hydrogen envelope (of at most $1M_{\odot}$). This model construction is necessary to reproduce successfully the two-peak morphology of the light curves and the spectra of type IIb supernovae (see more details in ref. 23).

Our first step in the modelling was to find a set of parameters, such as explosion energy (E), ejected mass ($M_{\rm ej}$), and the mass of synthesized $^{56}{\rm Ni}$ ($M_{\rm Ni}$) and its distribution, that provided a good representation of the main bolometric light-curve peak and the photospheric velocity ($\nu_{\rm ph}$) evolution. Owing to large uncertainties in distance, reddening, bolometric corrections, photospheric velocities, and so on, we do not attempt a statistical fit to the observations. However, our conclusions are not affected by this. The model that provides the best overall agreement with the bolometric light curve and expansion velocities, shown with a solid line in Extended Data Fig. 4a, b, corresponds to the HE5 model for an explosion energy of $E = 1.2 \times 10^{51}$ erg, a 56 Ni mass of $M_{\text{Ni}} = 0.085 M_{\odot}$ and an ejecta mass of $M_{\rm ej} = 3.4 M_{\odot}$, assuming the formation of a compact remnant $(M_{\rm cut})$ of 1.6 M_{\odot} . Extended Data Fig. 4 also shows models with lower and higher mass that resulted in worse fits to the data. Note that the HE4 model, which corresponds to $M_{\rm ej} = 2.5 M_{\odot}$, $E = 1 \times 10^{51}$ erg, $M_{\rm Ni} = 0.087 M_{\odot}$ and $M_{\rm cut} = 1.5 M_{\odot}$, provides a possible solution, although slightly worse than that of HE5. Indeed, models with intermediate parameters—between those of HE4 and HE5, with $M_{\rm ei} = (2.5 - 3.4) M_{\odot}$, $E = (1 - 1.2) \times 10^{51}$ erg and $M_{\rm Ni} = (0.085 - 0.087) M_{\odot}$ — are also valid. Therefore, these values can be considered as the ranges of validity for the physical parameters. An important conclusion of this analysis is that the progenitor of SN 2016gkg needs to be a relatively low-mass He star, as is commonly the case for stripped-envelope supernovae⁴³⁻⁴⁵.

Once the global parameters were set, we focused on the modelling at epochs before the onset of radioactive-decay domination. At such times, the light curve is extremely sensitive to the extent (radius) and mass of the H-rich envelope. Therefore, we modified the initial structure in the HE5 model by smoothly attaching a low-mass H-rich envelope in hydrostatic and thermal equilibrium. Note that the HE4 model could also have been used in this analysis without changing the conclusions. Different configurations were tested with various progenitor radii and envelope masses. By comparing with the observations, we were able to find our preferred configuration, denoted as the preferred model and shown in Fig. 3, corresponding to an object with an H-rich envelope of radius $R = 320R_{\odot}$ and a mass of $M_{\rm env} = 0.01 M_{\odot}$. The preferred model provides a satisfactory match to the observations. Although the agreement during the post-shock cooling peak is not perfect, the model consistently reproduces the complete supernova evolution. Extended Data Fig. 4c shows this model with a solid line, compared with models of larger (smaller) progenitor radii, which overestimate (underestimate) the luminosity during the post-shock cooling phase. From these comparisons we conclude that reasonable ranges of validity for the radius and mass of the envelope are $R=(300-340)R_{\odot}$ and $M_{\rm env}=(0.01-0.09)M_{\odot}$. Note that this analysis was based on the V-band light curve instead of the bolometric luminosity. The reason for using the V-band data was that the earliest observations were done with that band or with a clear filter that was transformed to the V band. In addition, a bolometric correction at such early epochs is highly uncertain. Therefore, we computed theoretical V-band photometry assuming a black-body SED at the thermalization temperature²³.

Previous analyses of the post-shock cooling emission of SN 2016gkg arrived at different values of R and $M_{\rm env}$ for example, $R\approx 257R_{\odot}$ (ref. 16), $R=(50-125)R_{\odot}$ (ref. 17) and $R=(44-131)R_{\odot}$ (ref. 18). In these analyses an analytical approach was used, which is simpler than the hydrodynamical modelling presented here. Moreover, such analytic approaches have been proven to be wrong in the interpretation of the progenitor radius for the similar type IIb supernova SN 2011dh^{23,46}. By contrast, a similar hydrodynamical model for the post-shock cooling emission 47 of SN 2016gkg yielded a radius of $R\approx 200R_{\odot}$ and an envelope mass of $M_{\rm env}\approx 0.02M_{\odot}$, which are smaller than our values. Although the hydrodynamical code used 47 is similar to ours, the initial structures are not: instead, parametric models were used that allow the modification of the initial density profiles to take into account the existence of a tenuous extended envelope. This method, contrary to ours, does not ensure hydrostatic and thermal equilibrium of the external envelope. This could be a cause of the differences in the derived parameters. Several interesting conclusions can be derived from Extended Data Fig. 4, as follows.

- (1) Our model indicates that the optical light curves of type IIb supernova have three peaks, rather than the double peaks usually referred to in the literature. These are the shock-breakout (SBO) peak, the post-shock cooling peak and the nickel-powered peak (see also Fig. 3).
- (2) All models indicate that the discovery data can be interpreted as the SBO, regardless of the progenitor radius adopted. This is still valid for the values proposed previously^{18,47}.
- (3) The progenitor radius has a much more noticeable effect on the decline rate than on the rise rate during the cooling peak.
- (4) The larger the progenitor radius, the more luminous the cooling peak becomes and the later it appears.

To further test our SBO interpretation of the early-time data, we extensively explored parameters other than the radius that could increase the slope of the cooling peak to a similar rate to that of the SBO peak (that is, around 40 mag d $^{-1}$). We found that the explosion energy has the strongest effect on increasing this slope (Extended Data Fig. 4d). However, within the range of $\it E$ values allowed by the modelling of the complete supernova evolution, the cooling-peak rise is always appreciably slower than the SBO rise. This is true even in an extreme case, with an explosion energy of 5×10^{51} erg (about four times larger than our preferred model), as shown in Extended Data Fig. 5. Our analysis demonstrates that the initial rise is always steeper than the rise to the post-shock cooling peak, and that there is always a local maximum in the light curve between both phases, provided that realistic pre-supernova structures are assumed. This result gives support to our SBO interpretation.

Remarkably, we have demonstrated a model that is able to reproduce three distinct light-curve phases with very dissimilar timescales (note the logarithmic timescale in Fig. 3), assuming a standard set of parameters that fit normal type IIb supernovae. Nevertheless, a close look at the earliest phases shows that the observed rise is slower than that of the models during the SBO phase (see Extended Data Fig. 5). We thus tested if this situation could be ameliorated by adding some extra surrounding material to the initial density structure. We did not assume this material to be in hydrostatic equilibrium. It could be material ejected by the progenitor before the supernova explosion, probably in the form of a dense wind. Extended Data Fig. 5 shows a model with such circumstellar material (CSM; dashed line). Clearly, the presence of this material slows the rise during the SBO, in better concordance with the observations, without affecting the light curve at later epochs (at times of 1 day or more), owing to the small amount of matter involved. Specifically, the model presented here corresponds to a mass of $0.002M_{\odot}$ distributed out to 3×10^{13} cm, assuming a steep power-law density profile with an index of 10. This corresponds to an average mass-loss rate of $6 \times 10^{-4} M_{\odot} \text{ yr}^{-1}$, for a wind velocity of $v_{\text{wind}} = 100 \,\text{km s}^{-1}$. However, we found that the exact properties of this material are not very relevant. In fact, assuming a range of other density structures with different slopes and extensions produces similar results. In particular, for a constant wind profile (with an index of r^{-2}), we found almost the same results, but in this case the mass-loss rate increased by almost two orders of magnitude. On the basis of our tests, we can say that the mere presence of this material is enough to slow down the SBO rise, with very little dependence on its exact nature. In this context, it is noteworthy that recently there has been increasing evidence from early-time observations of normal supernovae (photometry and spectroscopy) of the existence of surrounding material in the vicinity of the progenitor,

possibly produced by a dense wind or an eruption that occurred shortly before the explosion $^{13,15,48,49}.\,$

It has been noted that non-thermal processes could have a role in shaping the light curve during the SBO phase²⁵. This second-order effect could smooth the SBO peak. However, according to those calculations, the initial rise rate remains basically unchanged. This suggests that, even if deriving detailed CSM properties from our models would be premature, the conclusion of the SBO signal detection would remain well founded. A deeper analysis of these effects is thus left for future study.

Previous SBO claims. Some supernovae have been associated in the past with possible SBO emission. The outstanding cases of SN 2006aj and SN 2008D 11,12,50,51 are worth noting. SN 2006aj was connected with a γ -ray burst (GRB) and SN 2008D was preceded by an X-ray flash. The X-ray transient in both cases was interpreted by some as being produced by the SBO. However, the peculiar characteristics of both events and the lack of a model that fully describes the hard and soft emission cast some doubt on this interpretation^{51–53}. The early-time optical data for these supernovae are shown in Fig. 2. The initial optical rise of SN 2008D has a slope and luminosity similar to those of SN 2016gkg during the cooling peak. Interestingly, Swift/UVOT V-band data for SN 2006aj11 obtained within 1 h after the associated GRB show a similarly steep rise of 49 ± 16 mag d^{-1} as that of SN 2016gkg at discovery, although with a much larger luminosity. Re-analysis of the early-time data based on the 2015 measurements available in the Swift Optical/Ultraviolet Supernova Archive (SOUSA; ref. 54 and P. Brown, private communication) provides a smaller slope of 21 ± 12 mag d^{-1} . Nevertheless, the behaviour of this emission may still be interpreted as an SBO, although emerging from some CSM instead of the stellar surface. It should also be noted that the rise slope of the SBO in this case may be reduced by a declining contribution from the GRB afterglow.

More recently, the case of KSN 2011d, discovered by the Kepler mission, was considered an SBO detection on the basis of an excess in the early-time optical light curve relative to a simple analytic model¹⁴. However, modifying the data binning and comparison function shows⁵⁵ that there is no statistical significance for an SBO in KSN 2011d.

Progenitor candidate. The SN 2016gkg site is contained in publicly available archival HST images, obtained with the Wide-Field Planetary Camera 2 (WFPC2) in bands F450W, F606W and F814W on 2001 August 21, as part of programme GO-9042. We also obtained images of the supernova itself on 2016 October 10 with HST, with the Wide-Field Camera 3 (WFC3) UVIS channel in band F555W, as part of the Target of Opportunity (ToO) programme GO-14115. The observations consisted of 24 dithered frames, each of 10-s duration; the short exposure time mitigated against possible saturation by a potentially bright supernova (we knew that the supernova brightness was increasing at the time, but not to what level). The frames were combined into a final mosaic of 240-s total exposure using Astro Drizzle
Fac 57 within PyRAF. Adopting 13 stars in common between the WFPC2 image mosaic at F606W and the WFC3/UVIS mosaic at F555W, we astrometrically registered the two datasets with a root-mean-square (r.m.s.) uncertainty of 0.42 WFPC2/WF pixels (0.042"; see the registered images in Extended Data Fig. 6). After measuring the centroid of the supernova in the WFC3 mosaic, we found that the supernova position on the WFPC2 mosaic is (1552.47, 196.39). On the WFPC2 mosaic we measured a centroid for the progenitor candidate of (1552.63, 196.06). This is a difference of 0.37 pixels, which is within the astrometric uncertainty. We therefore consider this progenitor candidate to be solidly identified; it will not be until the candidate has vanished well after the supernova explosion that its identity will be confirmed with little doubt. We note that there is more ambiguity in the identifications of the candidates in refs 16 and 18 than in ours.

We measured photometry for the progenitor candidate running Dolphot⁵⁸ on the individual 'c0m' WFPC2 frames after masking cosmic rays with AstroDrizzle. Although the star is relatively isolated, with little apparent background, we set FitSky = 3 (rather than FitSky = 1), because the star is only 10 pixels from the edge of the WF4 chip and much of the sky annulus would sit off the edge. We therefore also set RAper = 8, as well as InterpPSFlib = 1 using the TinyTim PSF library. We enabled charge transfer efficiency corrections by setting WFPC2UseCTE = 1 in Dolphot. This resulted in brightnesses on the Vega system of $m_{\rm F450W} = 24.07 \pm 0.16$ mag, $m_{\rm F606W} = 24.04 \pm 0.07$ mag and $m_{\rm F814W} = 23.58 \pm 0.14$ mag. The authors of ref. 16 found 23.60 ± 0.14 mag, 23.72 ± 0.08 mag and 23.25 ± 0.14 mag, respectively, for their 'object A' (which we have shown is the progenitor candidate). In addition, in ref. 16, results from ref. 18 were converted from STMAG to VEGAMAG, yielding 23.42 mag, 23.10 mag and 23.32 mag, respectively, and it is noted that this object in the Hubble Source Catalogue has 23.85 ± 0.08 mag at F450W and 23.34 ± 0.05 mag at F606W, all VEGAMAG. Our measurements are brighter by 0.3-0.4 mag compared to those of ref. 16. After contacting the authors of ref. 16, we now recognize that the source of the differences is the cosmic-ray masking procedure (they used LACosmic whereas we used AstroDrizzle) and the combination of the FitSky/RAper parameters in Dolphot (we used 3/8 whereas they used 1/4).

From our photometry of the progenitor candidate, and assuming a distance modulus of 32.11 \pm 0.38 mag and an extinction (Milky Way only) of A_V = 0.053 mag (see Methods section 'Supernova site extinction and metallicity'), we obtained absolute magnitudes of $M_{\rm F450W}=-8.1\pm0.4$ mag, $M_{\rm F606W}=-8.1\pm0.4$ mag and $M_{\rm F814W} = -8.6 \pm 0.4$ mag. We performed χ^2 fits of the resulting SED to stellar atmosphere models from ATLAS9⁵⁹ and found best-fit values of $T_{\rm eff} = 7,250^{+900}_{-850}$ K and luminosity $\log(L/L_{\odot}) = 5.10^{+0.17}_{-0.19}$. The fitted SED is shown in Extended Data Fig. 6. Assuming a black body, this corresponds to a progenitor radius of $226^{+98}_{-73}R_{\odot}$. Such a radius is slightly smaller than (but still compatible with) what we estimated from the hydrodynamical modelling (see refs 16, 18 and 47 for previous estimates). Progenitor model. We attempted to find a consistent progenitor picture based on the information from the light-curve modelling and the pre-explosion photometry. The location of the pre-explosion object in the Hertzsprung-Russell diagram (HRD) is not compatible with the endpoints of single stellar evolutionary tracks¹⁶, unless some non-standard enhanced mass loss is assumed. The relatively low progenitor mass derived from the light-curve modelling, however, goes against the possibility of large mass loss produced by an isolated star wind. A more natural scenario is that of a close binary system in which the primary star explodes as a supernova after transferring mass to its companion. This type of system allows for strong mass loss even in the case of relatively low-mass progenitors. Here we present a possible such scenario for SN 2016gkg. Our proposed model is not supposed to be a unique solution.

We used a code described⁶⁰ and applied⁶¹ previously to SN 2011dh. This code has detailed and updated physical ingredients (see ref. 61 and references therein). When stars are detached, it works as a standard Henyey code. When the donor star undergoes Roche-lobe overflow⁶², the code computes the mass-transfer rate simultaneously with the structure of the donor star in an implicit Henyey-like, numerically stable algorithm. We neglected rotation of the components and assumed that the orbit is circularized and synchronized. We assumed that the accreting star retains a fraction β of the material transferred by the donor component—a free parameter the value of which is kept constant throughout the entire evolution. Here we considered values of β =0.0, 0.25 and 0.50 (that is, nonconservative evolution). The material lost from the system is assumed to have the specific angular momentum of the companion star.

We found good agreement with the observations by assuming a binary progenitor with solar abundance, initial masses of $19.5M_{\odot}$ and $13.5M_{\odot}$ and an orbital period of 70 days. The primary star explodes as an supernova, with a final mass and radius of $M=4.61M_{\odot}$ and $R=183R_{\odot}$ and a final orbital period of 631 days. The total amount of hydrogen that remains in the primary is $6\times 10^{-3}M_{\odot}$, contained in the outer approximately $0.06M_{\odot}$ of the star. The surface abundance is $X_{\rm surf}=0.21$. The model stays inside the error box of Extended Data Fig. 6d for the final 14,000 yr of evolution. All of these values are almost independent of the uncertain value of β .

Qualitatively, the evolution of the progenitor of SN 2016gkg is very similar to that of SN 2011dh. For both objects, binary models are more plausible candidates than single stars, because isolated objects need very specific mass-loss rates to account for the final luminosity and effective temperature (L and $T_{\rm eff}$) indicated by the pre-supernova observations. In binary systems, the donor (progenitor) star spends almost all of its final nuclear burning stages (carbon, neon, oxygen and silicon), which last for several thousand years, under Roche-lobe-overflow conditions. This places the progenitor in a well-defined region of the HRD (Extended Data Fig. 6), inside the error box in (L, $T_{\rm eff}$) for SN 2016gkg. Thus, binary systems provide a natural reference frame for interpreting the evolution of the progenitor of SN 2016gkg.

We note that in ref. 18 a very different progenitor was proposed, with $15M_{\odot}+1.5M_{\odot}$ and $P_{\rm ini}=1,000$ days, which yielded a pre-supernova mass of $5.2M_{\odot}$. The evolutionary track in ref. 18 is completely different from ours, because it undergoes core He burning as a red supergiant, and after He exhaustion executes very large loops. This scenario requires some fine tuning of the initial conditions for the pre-supernova model to be at the observed location in the HRD; as discussed above, ours does not.

Remarkably, for the computed systems, most of the mass accreted by the companion star is gained before core He burning. Thus, there is plenty of time for the accreted mass to accommodate to the stellar structure. This implies that the companion star remains close to the zero-age main sequence in the HRD, while being over-luminous for its mass. Similarly to those for SN 2011dh, our calculations predict the existence of a hot companion to the progenitor that should remain after the explosion. The position of the companion star in the HRD depends on its final mass and therefore on β . At the moment of the explosion, the companion star is still undergoing core hydrogen burning. The presence of this object may be tested with future observations, once the supernova fades sufficiently.

Supernova site extinction and metallicity. To estimate a colour excess for SN 2016gkg, we compared its (B-V) colours with those of SN 2011dh, which shows very similar spectral evolution (see Extended Data Fig. 3). Adopting only the Galactic reddening of E(B-V)=0.017 mag for SN 2016gkg, and a total reddening of E(B-V)=0.074 mag for SN 2011dh³⁸, the colour curves of both supernovae match very well. This indicates that the host-galaxy reddening for the former supernova is negligible.

To test this, we inspected the optical spectra for signatures of dust extinction by looking for interstellar absorption lines. In our highest-resolution spectrum, obtained with DEIMOS on 2016 October 25, we detected the Na 1 D doublet both from the Milky Way and at the redshift of the host galaxy. The equivalent width of the D1 + D2 lines was 0.16 + 0.13 Å for the Galactic component and 0.43 + 0.26 Å for the host-galaxy component. This may indicate a larger host extinction than that from the Milky Way (as assumed in ref. 17). However, the Na 1 D equivalent width has been shown to be a poor indicator of dust extinction 63 . Following ref. 63, we instead studied the diffuse interstellar band at 5,780 Å. Such a feature is not detected in our DEIMOS spectrum, with a limitting equivalent width of around 0.01 Å. This is indicative of a low host-galaxy extinction, $A_V < 0.05 \, \mathrm{mag}$.

On the basis of the colour comparison with SN 2011dh and the study of spectral lines, we decided to neglect host-galaxy extinction; our results are not affected by this assumption.

We can also estimate the metallicity more directly from the spectrum of an H II region, at $\alpha = 01 \text{ h} 34 \text{ min } 14.53 \text{ s}$, $\delta = -29^{\circ} 26' 16.4'' \text{ (J2000)}$, which is about 8.6'' nearly due north of the supernova site⁶⁴. We had included the H II region in the slit, while observing the supernova with Keck/DEIMOS on 2016 October 25 with the lower-resolution grating. Owing to the short DEIMOS slit length, for strong emission lines (in this case, H) not much spatial area exists on the spectral image for accurately estimating the overall night-sky value, which probably introduces systematic uncertainty in the strong-line flux. Nonetheless, we measured the Balmer decrement from the observed spectrum and estimate $A_V = 3.5$ mag for the nebula. The line-of-sight Galactic foreground contribution to the extinction is comparatively low, $A_V = 0.053$ mag (ref. 65). A high extinction is plausible, given the conspicuous presence of a counterpart of the H II region in archival Spitzer Space Telescope data. The nebula corresponds to a luminous source at both $3.6\,\mu m$ and 4.5 $\mu\text{m},$ and one of the brightest sources at 24 $\mu\text{m},$ in the outer disk of the galaxy. We corrected the spectrum for this extinction, and for an assumed recession velocity of 1,481 km s $^{-1}$ (from the NASA/IPAC Extragalactic Database, NED), and show the corrected spectrum in Extended Data Fig. 6.

We enlisted the various strong-line indicators used to estimate the metallicity of extragalactic H II regions; these lines are labelled in Extended Data Fig. 6e. We measured their fluxes from the corrected spectrum and list them in Extended Data Table 4. Unfortunately, the spectrum did not go blueward enough that we could use the well-calibrated R_{23} indicator or $[N\ II]/[O\ II]$, which depends on the intensity of the $[O\ II]\ \lambda 3,727\ line^{66}$. Instead, we had to rely on other indicators. From the indicators R_3,N_2 and S_2 , as defined in ref. 67, and using the 'S calibration' (equation (6) in ref. 67), we find $12+\log(O/H)=8.65$. Considering the indicators R_3,N_2 and O_3N_2 from ref. 68, derived with the online tool at http://www.arcetri.astro.it/ metallicity/, we arrive at $12+\log(O/H)=8.7$.

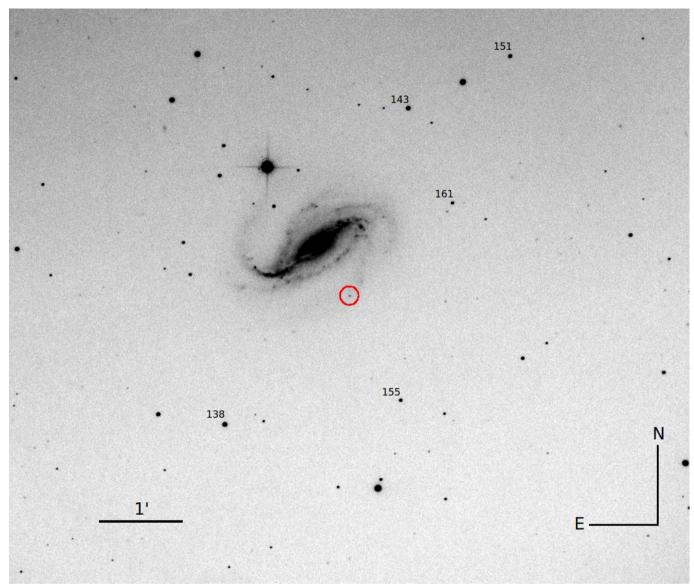
Culling all of these estimates and assuming a solar abundance, $12 + \log({\rm O/H}) = 8.69 \pm 0.05$ (ref. 69), the metallicity at the SN 2016gkg site appears to be consistent with solar, and we have adopted this throughout.

Data availability. The datasets analysed during this study are available from http://fcaglp.unlp.edu.ar/~gaston/data/sn2016gkg/.

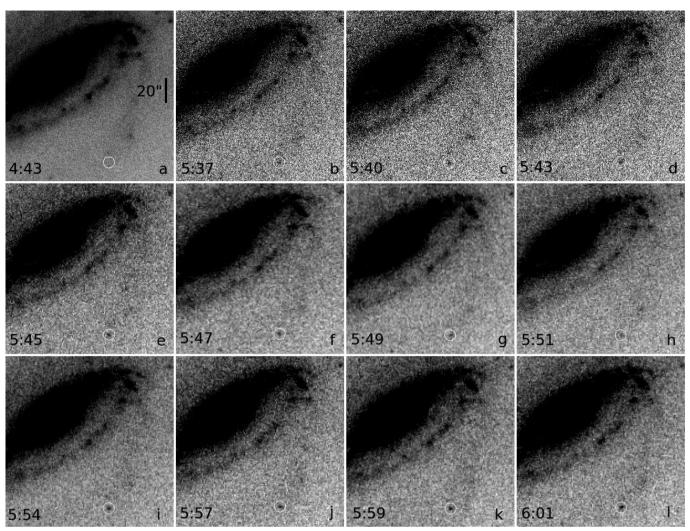
Code availability. We have opted not to make the supernova light-curve modelling code or the binary evolution code available because they have not been prepared for portability and lack the necessary documentation for general use. However, all optical spectra will be made available at WISeREP³⁹.

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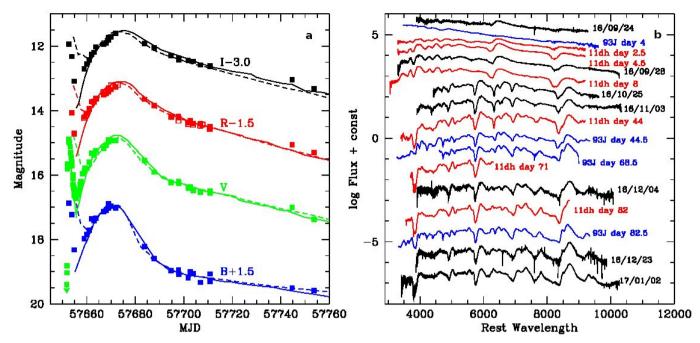


Extended Data Figure 1 | Image of SN 2016gkg in NGC 613. The image is a combination of the final 21-image series obtained at discovery. We show only the relevant region, containing the supernova (red circle), its host and the comparison stars for photometry (indicated with numbers on the upper left of each star; see Extended Data Table 1). Image obtained by V.B.



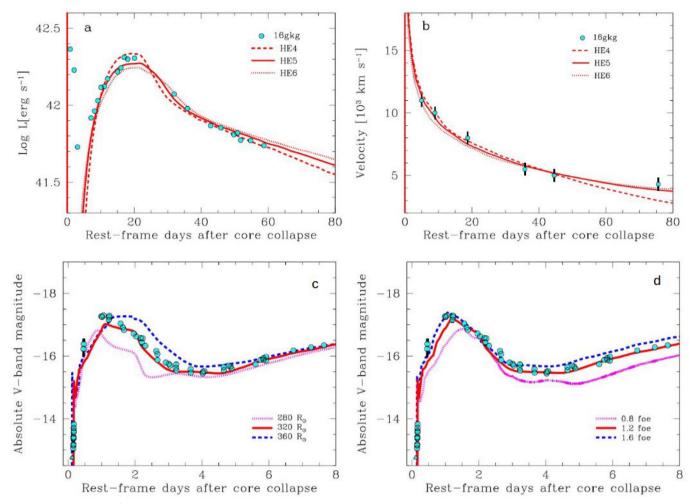
Extended Data Figure 2 | Series of discovery images of SN 2016gkg. The supernova location is indicated in all panels with a white circle. North is up and east is to the left. The bar in **a** indicates a scale of 20″. **a**, A combination of 40 exposures obtained before the detection of the supernova. **b–l**, Sequence of images obtained during the initial rise as

combinations of five or six individual exposures. Labels on the lower left of each panel indicate the mean $\mathtt{u}\mathtt{T}$ time of the images. Photometry from the latter set of images is shown with blue diamonds in Fig. 1. Images obtained by V.B.



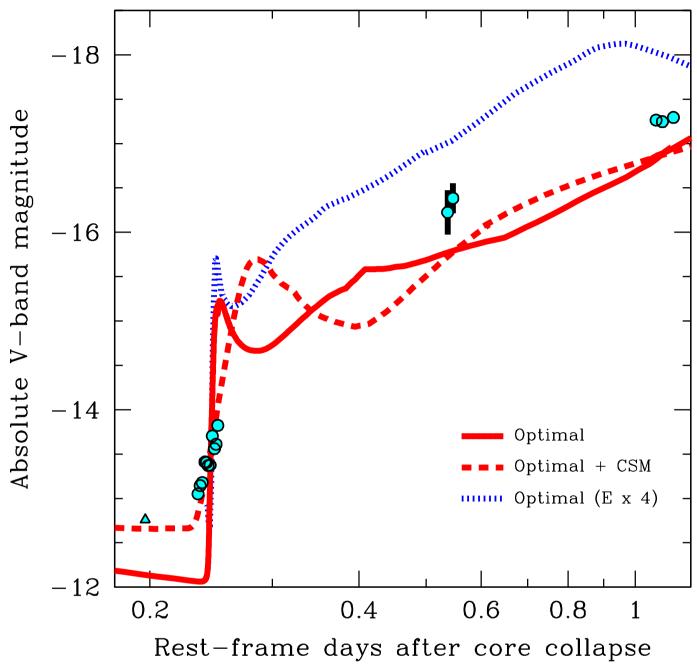
Extended Data Figure 3 | Follow-up observations of SN 2016gkg compared with those of other type IIb supernovae. a, BVRI light curves for SN 2016gkg (symbols) obtained with KAIT and the Nickel telescope. V-band data from V.B. and J.L.S. converted from the clear band and data from Atlas, ASAS, Swift and LCOGT¹⁷ are also included. Open symbols

are unfiltered data from KAIT, transformed to the R band. Data of type IIb supernovae SN 1993J (dashed lines) 70 and SN 2011dh (solid lines) 34,38,71 are included for comparison. MJD, modified Julian date. $\bf b$, Optical spectra of SN 2016gkg (black) compared with data of the type IIb supernovae SN 1993J (blue) 36,37 and SN 2011dh (red) 38 at similar epochs.



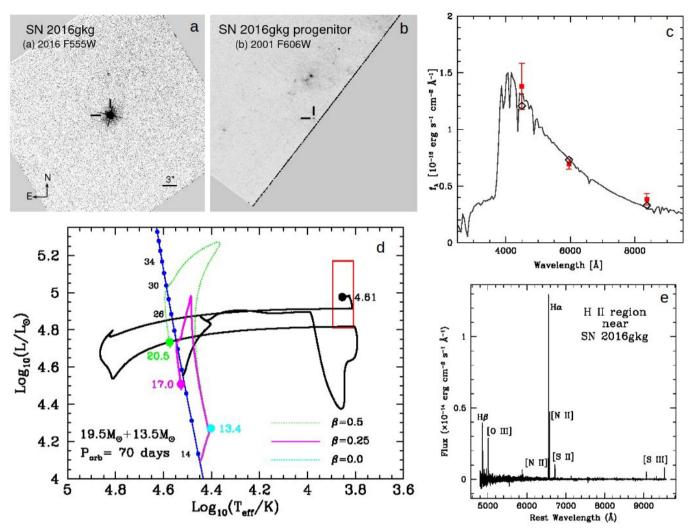
Extended Data Figure 4 | Hydrodynamical modelling of SN 2016gkg. a, b, Model (lines) bolometric light curve (a) and photospheric velocity evolution (b) compared with observations (points) during the 56 Nidominated phase. No attempt was made to reproduce the initial light-curve decline (before day 4). c, d, Absolute V-band light-curve models

(lines) compared with observations (points) during the SBO and post-shock cooling phases, for different progenitor radii (R_{\odot} ; **c**) and explosion energies (foe; **d**). Error bars are 1σ and are shown only when they are larger than the data points.



Extended Data Figure 5 | Modelling of the initial rise of SN 2016gkg. Absolute V-band magnitude of our preferred model (solid line), a similar model including some CSM (dashed line) and a model with approximately four times larger explosion energy (dotted line), compared with the early-time observations (points). The CSM is not necessarily in hydrostatic and thermal equilibrium. The presence of the CSM material reduces the

slope during the SBO phase, making it even more compatible with the observations, without affecting the evolution at times later than about 1 day. Even assuming an extreme explosion energy, the resulting cooling-peak slope is substantially smaller than that during the SBO. Error bars are 1σ and are shown only when they are larger than the data points.



Extended Data Figure 6 | The progenitor candidate and environment of SN 2016gkg. a, The HST WFC3/UVIS F555W image mosaic from 2016 October 10. b, A portion of the HST WFPC2 F606W image mosaic from 2001 August 21. The candidate position of the progenitor is indicated by tick marks. c, Stellar-atmosphere SED fit (line) to the candidate HST photometry (red squares). An H II region of which we obtained a Keck DEIMOS spectrum is seen about 8.6" north of the progenitor. Error bars

are 1σ . **d**, Evolutionary tracks on the HRD of our progenitor binary model (primary star in black; secondary star in cyan, magenta and green for different accretion efficiencies). Large circles indicate the end points of both stars, with final masses labelled, the red square shows the progenitor candidate location and the blue line is the zero-age main sequence with masses indicated. **e**, Spectrum of a bright H II region seen in **b**, 8.6″ north of SN 2016gkg.



Extended Data Table 1 | Comparison stars in the field of SN 2016gkg

Star	α (J2000.0) [hh:mm:ss]	δ (J2000.0) [°:':"]	В	V	R	1
138	01:34:29.09	-29:29:40.3	14.741 ± 0.038	13.828 ± 0.012	13.402 ± 0.033	13.003 ± 0.045
143	01:34:07.46	-29:21:37.8	15.015 ± 0.013	14.293 ± 0.006	13.886 ± 0.103	13.504 ± 0.146
151	01:33:55.52	-29:20:18.1	16.091 ± 0.081	15.110 ± 0.045	14.722 ± 0.080	14.357 ± 0.104
155	01:34:08.51	-29:29:04.4	16.376 ± 0.110	15.464 ± 0.030	15.001 ± 0.034	14.568 ± 0.038
161	01:34:02.36	-29:24:03.3	16.694 ± 0.056	16.050 ± 0.017	1***	

Extended Data Table 2 \mid Discovery imaging description and photometry of SN 2016gkg

1-40 0.4 41-57 0. 41-46 0. 47-52 0. 53-57 0. 41 0. 42 0. 43 0. 44 0. 45 0. 46 0. 47 0. 48 0. 50 0.	JD - 2457651.0 [day] 0.69693184 0.73615480 0.73452949 0.73629636 0.73793518 0.73376157 0.73410880 0.73439815 0.73468750 0.73496528 0.73554398 0.73554398 0.735644676 0.73673611 0.73704861	Exp. time [s] 800 340 120 120 20 20 20 20 20 20 20 20 20 20 20 20 2	V [mag] > 19.4 19.03 ± 0.08 19.11 ± 0.13 18.98 ± 0.12 18.95 ± 0.39 19.55 ± 0.36 18.95 ± 0.19 18.77 ± 0.26 19.65 ± 0.38 19.41 ± 0.42 19.01 ± 0.31 19.26 ± 0.41	FWHM ["] 1.93 ± 0.13 1.61 ± 0.09 1.59 ± 0.10 1.67 ± 0.15 1.57 ± 0.12 1.71 ± 0.20 2.21 ± 0.24 1.53 ± 0.35 1.53 ± 0.21 1.67 ± 0.38 1.56 ± 0.28 2.13 ± 0.46	zero point [mag] 1.023 ± 0.011 1.235 ± 0.018 1.219 ± 0.013 1.220 ± 0.020 1.244 ± 0.023 1.332 ± 0.021 1.248 ± 0.015 1.220 ± 0.010 1.199 ± 0.013 1.238 ± 0.016	74 75 76 77 78 - 98 78 - 83 84 - 88 89 - 93 94 - 98	JD - 2457651.0 [day] 0.74384259 0.74414352 0.74446759 0.74476852 0.74817347 0.74595499 0.74763662 0.74904883 0.75049746	V [mag] 19.01 ± 0.20 19.04 ± 0.58 18.63 ± 0.30 18.58 ± 0.20 18.48 ± 0.05 18.46 ± 0.08 18.50 ± 0.09 18.55 ± 0.09 18.34 ± 0.08	FWHM ["] 2.20 ± 0.11 2.18 ± 0.18 2.82 ± 0.44 2.10 ± 0.15 2.06 ± 0.07 2.28 ± 0.08 2.00 ± 0.06 2.00 ± 0.07	zero point [mag] 2.925 ± 0.008 2.954 ± 0.013 3.071 ± 0.020 2.749 ± 0.010 2.780 ± 0.016 2.845 ± 0.012 2.814 ± 0.025 2.780 ± 0.014
41 - 57 0. 41 - 46 0. 47 - 52 0. 53 - 57 0. 41 0. 42 0. 43 0. 44 0. 45 0. 46 0. 47 0. 48 0. 50 0.	0.73615480 0.73452949 0.73629636 0.73793518 0.73376157 0.73410880 0.73439815 0.73468750 0.73496528 0.73554398 0.73554398 0.73585648 0.73614583 0.73673611	340 120 120 100 20 20 20 20 20 20 20 20 20	19.03 ± 0.08 19.11 ± 0.13 19.02 ± 0.13 18.98 ± 0.12 18.95 ± 0.39 19.55 ± 0.36 18.95 ± 0.19 18.77 ± 0.26 19.65 ± 0.38 19.41 ± 0.42 19.01 ± 0.31	1.61 ± 0.09 1.59 ± 0.10 1.67 ± 0.15 1.57 ± 0.12 1.71 ± 0.20 2.21 ± 0.24 1.53 ± 0.35 1.53 ± 0.21 1.67 ± 0.38 1.56 ± 0.28	1.235 ± 0.018 1.219 ± 0.013 1.220 ± 0.018 1.222 ± 0.020 1.244 ± 0.023 1.332 ± 0.021 1.248 ± 0.015 1.220 ± 0.010 1.199 ± 0.013	75 76 77 78 - 98 78 - 83 84 - 88 89 - 93 94 - 98	0.74414352 0.74446759 0.74476852 0.74817347 0.74595499 0.74763662 0.74904883	19.04 ± 0.58 18.63 ± 0.30 18.58 ± 0.20 18.48 ± 0.05 18.46 ± 0.08 18.60 ± 0.09 18.55 ± 0.09	2.18 ± 0.18 2.82 ± 0.44 2.10 ± 0.15 2.06 ± 0.07 2.28 ± 0.08 2.00 ± 0.08	2.954 ± 0.013 3.071 ± 0.020 2.749 ± 0.010 2.780 ± 0.016 2.845 ± 0.012 2.814 ± 0.025
41 - 46 0. 47 - 52 0. 53 - 57 0. 41 0. 42 0. 43 0. 44 0. 45 0. 46 0. 47 0. 48 0. 49 0.	0.73452949 0.73629636 0.73793518 0.73376157 0.73410880 0.73439815 0.73468750 0.73496528 0.73525463 0.73554398 0.73585648 0.73614583 0.73673611	120 120 100 20 20 20 20 20 20 20 20 20 20	19.11 ± 0.13 19.02 ± 0.13 18.98 ± 0.12 18.95 ± 0.39 19.55 ± 0.36 18.95 ± 0.19 18.77 ± 0.26 19.65 ± 0.38 19.41 ± 0.42 19.01 ± 0.31	1.59 ± 0.10 1.67 ± 0.15 1.57 ± 0.12 1.71 ± 0.20 2.21 ± 0.24 1.53 ± 0.35 1.53 ± 0.21 1.67 ± 0.38 1.56 ± 0.28	1.219 ± 0.013 1.220 ± 0.018 1.222 ± 0.020 1.244 ± 0.023 1.332 ± 0.021 1.248 ± 0.015 1.220 ± 0.010 1.199 ± 0.013	76 77 78 - 98 78 - 83 84 - 88 89 - 93 94 - 98	0.74446759 0.74476852 0.74817347 0.74595499 0.74763662 0.74904883	18.63 ± 0.30 18.58 ± 0.20 18.48 ± 0.05 18.46 ± 0.08 18.60 ± 0.09 18.55 ± 0.09	2.82 ± 0.44 2.10 ± 0.15 2.06 ± 0.07 2.28 ± 0.08 2.00 ± 0.08 2.00 ± 0.06	3.071 ± 0.020 2.749 ± 0.010 2.780 ± 0.016 2.845 ± 0.012 2.814 ± 0.025
47 - 52 0. 53 - 57 0. 41 0. 42 0. 43 0. 44 0. 45 0. 46 0. 47 0. 48 0. 49 0.	0.73629636 0.73793518 0.73376157 0.73410880 0.73439815 0.73496528 0.73525463 0.73554398 0.73585648 0.73614583 0.73644676 0.73673611	120 100 20 20 20 20 20 20 20 20 20 20	19.02 ± 0.13 18.98 ± 0.12 18.95 ± 0.39 19.55 ± 0.36 18.95 ± 0.19 18.77 ± 0.26 19.65 ± 0.38 19.41 ± 0.42 19.01 ± 0.31	1.67 ± 0.15 1.57 ± 0.12 1.71 ± 0.20 2.21 ± 0.24 1.53 ± 0.35 1.53 ± 0.21 1.67 ± 0.38 1.56 ± 0.28	1.220 ± 0.018 1.222 ± 0.020 1.244 ± 0.023 1.332 ± 0.021 1.248 ± 0.015 1.220 ± 0.010 1.199 ± 0.013	77 78 - 98 78 - 83 84 - 88 89 - 93 94 - 98	0.74476852 0.74817347 0.74595499 0.74763662 0.74904883	18.58 ± 0.20 18.48 ± 0.05 18.46 ± 0.08 18.60 ± 0.09 18.55 ± 0.09	2.10 ± 0.15 2.06 ± 0.07 2.28 ± 0.08 2.00 ± 0.08 2.00 ± 0.06	2.749 ± 0.010 2.780 ± 0.016 2.845 ± 0.012 2.814 ± 0.025
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48 0.1 49 0.1 50 0.1	0.73585648 0.73614583 0.73644676 0.73673611	20		2.13 ± 0.46		79	0.74550926	18.39 ± 0.14	2.48 ± 0.28	2.866 ± 0.016
49 0.1 50 0.1	0.73614583 0.73644676 0.73673611	20	19.26 ± 0.41	Experience of the Control of the Con	1.165 ± 0.009	80	0.74582176	18.80 ± 0.31	2.35 ± 0.14	2.953 ± 0.011
50 0.).73644676).73673611	13255		1.53 ± 0.52	1.221 ± 0.020	81	0.74612269	18.76 ± 0.35	2.89 ± 0.44	3.103 ± 0.008
	0.73673611	20	19.14 ± 0.33	1.71 ± 0.29	1.201 ± 0.015	82	0.74642361	18.11 ± 0.15	2.22 ± 0.10	2.770 ± 0.009
51 0.3		20	18.45 ± 0.12	2.07 ± 0.27	1.274 ± 0.022	83	0.74672454	18.47 ± 0.17	2.22 ± 0.15	2.741 ± 0.026
	73704861	20	19.40 ± 0.39	1.84 ± 0.43	1.208 ± 0.023	84	0.74706019	18.43 ± 0.18	2.12 ± 0.12	2.770 ± 0.026
52 0.3	7.73704001	20	19.59 ± 0.50	1.64 ± 0.55	1.174 ± 0.014	85	0.74737269	18.67 ± 0.16	1.97 ± 0.09	2.756 ± 0.014
53 0.3).73734954	20	19.17 ± 0.24	1.52 ± 0.27	1.166 ± 0.015	86	0.74765046	18.84 ± 0.31	1.97 ± 0.15	2.769 ± 0.017
54 0.3	0.73765046	20	19.23 ± 0.30	1.77 ± 0.23	1.255 ± 0.014	87	0.74791667	18.55 ± 0.15	2.81 ± 0.30	2.979 ± 0.025
55 0.3).73793982	20	18.67 ± 0.20	1.63 ± 0.51	1.197 ± 0.013	88	0.74818287	18.43 ± 0.15	1.78 ± 0.16	2.753 ± 0.017
56 0.3	0.73822917	20	19.02 ± 0.29	1.58 ± 0.42	1.218 ± 0.024	89	0.74843750	18.63 ± 0.16	2.01 ± 0.09	2.777 ± 0.014
57 0.3	0.73850694	20	18.90 ± 0.25	2.05 ± 0.30	1.267 ± 0.019	90	0.74870370	18.35 ± 0.14	1.90 ± 0.10	2.756 ± 0.018
58 - 77 0.3	0.74201745	400	18.82 ± 0.07	2.03 ± 0.10	2.787 ± 0.011	91	0.74906250	18.62 ± 0.17	2.38 ± 1.28	2.659 ± 0.010
58 - 62 0.3).73999995	100	18.75 ± 0.12	1.85 ± 0.12	2.822 ± 0.014	92	0.74938657	18.19 ± 0.13	2.17 ± 0.11	2.817 ± 0.012
63 - 67 0.3	0.74130100	100	18.75 ± 0.08	2.12 ± 0.09	2.825 ± 0.013	93	0.74965278	19.17 ± 0.31	2.14 ± 1.17	2.684 ± 0.022
68 - 72 0.3	0.74262035	100	18.79 ± 0.10	2.18 ± 0.11	2.800 ± 0.011	94	0.74994213	18.31 ± 0.14	2.10 ± 0.11	2.726 ± 0.014
73 - 77 0.3	0.74414861	100	18.79 ± 0.15	2.09 ± 0.12	2.826 ± 0.012	95	0.75023148	18.43 ± 0.18	2.61 ± 0.15	2.876 ± 0.023
58 0.3	0.73947917	20	18.59 ± 0.26	1.66 ± 0.14	2.762 ± 0.016	96	0.75050926	18.23 ± 0.14	1.92 ± 0.15	2.707 ± 0.021
59 0.3	0.73974537	20	18.93 ± 0.21	2.07 ± 0.11	2.785 ± 0.016	97	0.75077546	18.51 ± 0.15	2.03 ± 0.14	2.822 ± 0.020
60 0.3	0.74000000	20	18.82 ± 0.29	1.91 ± 0.12	2.827 ± 0.016	98	0.75103009	18.32 ± 0.14	1.83 ± 0.17	2.722 ± 0.023
61 0.3	0.74025463	20	19.20 ± 0.28	1.95 ± 0.16	2.803 ± 0.014					
62 0.3	0.74052083	20	18.53 ± 0.20	1.79 ± 0.15	2.786 ± 0.013					
63 0.	0.74077546	20	19.05 ± 0.29	2.54 ± 0.11	2.926 ± 0.009					
64 0.	0.74104167	20	18.35 ± 0.16	2.43 ± 0.15	2.929 ± 0.028					
65 0.	0.74129630	20	19.01 ± 0.23	2.01 ± 0.10	2.777 ± 0.019					
66 0.	0.74156250	20	18.52 ± 0.15	2.05 ± 0.07	2.772 ± 0.013					
67 0.3	0.74182870	20	19.04 ± 0.29	1.93 ± 0.12	2.789 ± 0.011		9			6
68 0.3	0.74208333	20	18.46 ± 0.16	2.32 ± 0.08	2.870 ± 0.022					
69 0.	0.74234954	20	19.88 ± 0.49	2.38 ± 0.27	2.888 ± 0.012					
70 0.3	0.74261574	20	19.32 ± 0.35	2.08 ± 0.11	2.807 ± 0.012					
71 0.	0.74287037	20	18.71 ± 0.21	1.85 ± 0.13	2.746 ± 0.020					
72 0.3	0.74318287	20	18.83 ± 0.22	2.41 ± 0.07	2.825 ± 0.019					
73 0.	0.74351852	20	18.94 ± 0.27	1.82 ± 0.17	2.632 ± 0.018					



Extended Data Table 3 | Follow-up BVRI and clear photometry of SN 2016gkg

MJD	В	V	R	I	Clear	Source
57652.1	15.37 ± 0.35	14.94 ± 0.05		14.93 ± 0.06		Buso
57652.3		14.95 ± 0.03				Sánchez
57653.3	15.73 ± 0.14	15.60 ± 0.08	15.57 ± 0.06	15.32 ± 0.10		KAIT
57654.3	16.82 ± 0.16	16.43 ± 0.12	16.23 ± 0.08	16.09 ± 0.12	16.22 ± 0.03	KAIT
57658.4	16.47 ± 0.08	15.89 ± 0.04	15.70 ± 0.04	15.70 ± 0.06	15.74 ± 0.04	KAIT
57659.4	16.34 ± 0.08	15.80 ± 0.04	15.58 ± 0.04	15.56 ± 0.06	15.62 ± 0.04	KAIT
57660.4	16.21 ± 0.08	15.60 ± 0.04	15.43 ± 0.02	15.42 ± 0.04	15.45 ± 0.05	KAIT
57661.4	15.86 ± 0.04	15.33 ± 0.02	15.22 ± 0.02	15.26 ± 0.04		Nickel
57662.4	15.92 ± 0.12	15.38 ± 0.06	15.22 ± 0.04	15.19 ± 0.06	15.22 ± 0.03	KAIT
57663.3	15.84 ± 0.10	15.24 ± 0.06	15.14 ± 0.04	15.02 ± 0.06	15.12 ± 0.05	KAIT
57666.4	15.63 ± 0.08	15.17 ± 0.04	15.01 ± 0.02	14.94 ± 0.04	14.93 ± 0.02	KAIT
57667.4	15.59 ± 0.06	15.07 ± 0.04	14.98 ± 0.02	14.90 ± 0.04	14.93 ± 0.02	KAIT
57668.4	15.39 ± 0.02	14.91 ± 0.02	14.80 ± 0.02	14.74 ± 0.02		Nickel
57668.4	15.53 ± 0.16	14.96 ± 0.12	14.92 ± 0.08	14.81 ± 0.10	14.82 ± 0.06	KAIT
57669.4	15.46 ± 0.10	14.93 ± 0.06	14.85 ± 0.04	14.72 ± 0.08	14.72 ± 0.04	KAIT
57671.4	15.52 ± 0.10	14.93 ± 0.10	14.77 ± 0.06	14.61 ± 0.10	14.70 ± 0.05	KAIT
57672.3					14.71 ± 0.04	KAIT
57683.3	16.72 ± 0.02	15.55 ± 0.02	15.15 ± 0.02	14.92 ± 0.02		Nickel
57687.3	17.10 ± 0.04	15.91 ± 0.02	15.35 ± 0.02	15.05 ± 0.02		Nickel
57694.3	17.46 ± 0.16	16.24 ± 0.06	15.71 ± 0.04	15.29 ± 0.04	15.70 ± 0.02	KAIT
57697.3	17.44 ± 0.04	16.20 ± 0.02	15.68 ± 0.02	15.36 ± 0.02		Nickel
57697.4	17.58 ± 0.18	16.26 ± 0.08	15.73 ± 0.04	15.42 ± 0.06	15.81 ± 0.04	KAIT
57701.3	17.69 ± 0.18	16.40 ± 0.06	15.87 ± 0.04	15.44 ± 0.04	15.92 ± 0.05	KAIT
57702.3	17.51 ± 0.06	16.36 ± 0.02	15.81 ± 0.02			Nickel
57703.3	17.55 ± 0.36	16.49 ± 0.14	15.91 ± 0.08	15.47 ± 0.08	15.92 ± 0.04	KAIT
57706.3	17.83 ± 0.46	16.53 ± 0.14	15.91 ± 0.08	15.58 ± 0.08	15.97 ± 0.05	KAIT
57707.2					15.93 ± 0.07	KAIT
57710.3	17.80 ± 0.20	16.55 ± 0.06	16.06 ± 0.04	15.62 ± 0.04	16.07 ± 0.06	KAIT
57710.3	17.57 ± 0.12	16.50 ± 0.04	16.00 ± 0.02	15.60 ± 0.04		Nickel
57744.2	18.00 ± 0.04	17.00 ± 0.02	16.56 ± 0.02	16.04 ± 0.02		Nickel
57753.1	18.09 ± 0.04	17.38 ± 0.06	16.80 ± 0.02	16.32 ± 0.02		Nickel

Extended Data Table 4 | H II region line fluxes

Line	Flux [10 -15 erg cm -2 s ⁻¹]		
Ηβ	19.2 ± 0.4		
[Ο ΙΙΙ] λ 4959	2.71 ± 0.31		
[O III] λ 5007	9.64 ± 0.29		
[N II] λ6548	5.74 ± 0.05		
Ηα	55.2 ± 0.3		
[N II] λ6584	19.4 ± 0.1		
[S II] λ6717	4.06 ± 0.05		
[S II] λ 6731	2.75 ± 0.07		
[S III] λ 9069	1.67 ± 0.02		
[S III] λ 9532	2.62 ± 0.03		